

## I

(Legislative acts)

**BUDGETS****DEFINITIVE ADOPTION (EU, Euratom) 2018/251****of the European Union's general budget for the financial year 2018**

THE PRESIDENT OF THE EUROPEAN PARLIAMENT,

having regard to the Treaty on the Functioning of the European Union, and in particular Article 314 thereof,

having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,

having regard to Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union <sup>(1)</sup>,

having regard to Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 <sup>(2)</sup>,

having regard to Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 <sup>(3)</sup>,

having regard to the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management <sup>(4)</sup>,

having regard to the draft general budget of the European Union for the financial year 2018, which the Commission adopted on 29 June 2017,

having regard to the position on the draft general budget of the European Union for the financial year 2018, which the Council adopted on 4 September 2017 and forwarded to Parliament on 13 September 2017,

having regard to the Commission's Letter of amendment No 1/2018 of 16 October 2017 to the draft general budget of the European Union for the financial year 2018,

having regard to the resolution adopted by Parliament on 25 October 2017 on the Council position on the draft general budget of the European Union for the financial year 2018,

having regard to the amendments to the draft general budget adopted by Parliament on 25 October 2017,

having regard to the letter of 25 October 2017 from the President of the Council stating that the Council was unable to approve all the amendments adopted by Parliament,

<sup>(1)</sup> OJ L 168, 7.6.2014, p. 105.

<sup>(2)</sup> OJ L 298, 26.10.2012, p. 1.

<sup>(3)</sup> OJ L 347, 20.12.2013, p. 884.

<sup>(4)</sup> OJ C 373, 20.12.2013, p. 1.

having regard to the letter to the President of the Council of 30 October 2017 convening the Conciliation Committee,

having regard to the fact that the Conciliation Committee agreed on a joint text within the 21-day time-limit referred to in Article 314(6) of the Treaty on the Functioning of the European Union,

having regard to the Council's approval of the joint text on 30 November 2017,

having regard to Parliament's approval of the joint text on 30 November 2017,

having regard to Rules 90 and 91 of Parliament's Rules of Procedure,

DECLARES:

*Sole Article*

The procedure under Article 314 of the Treaty on the Functioning of the European Union is complete and the general budget of the European Union for the financial year 2018 has been definitively adopted.

Done at Brussels, 30 November 2017.

*The President*  
A. TAJANI

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## GENERAL BUDGET OF THE EUROPEAN UNION FOR THE FINANCIAL YEAR 2018

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## A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET

### INTRODUCTION

The general budget of the Union is the instrument which sets out and authorises the total amount of revenue and expenditure deemed necessary for the European Union and the European Atomic Energy Community for each year.

The budget is established and implemented in compliance with the principles of unity, budgetary accuracy, annuality, equilibrium, unit of account, universality, specification, sound financial management and transparency.

- The *principle of unity* and the *principle of budgetary accuracy* mean that all revenue and expenditure of the Union when it is charged to the budget must be incorporated in a single document.
- The *principle of annuality* means that the budget is adopted for one budgetary year at a time and that both commitment and payment appropriations for the current budgetary year must, in principle, be used in the course of the year.
- The *principle of equilibrium* means that forecasts of revenue for the budgetary year must be equal to payment appropriations for that year; borrowing to cover any budget deficit which may arise is not compatible with the own resources system and will not be authorised.
- In accordance with the *principle of unit of account*, the budget is drawn up and implemented in euros and the accounts shall be presented in euros.
- The *principle of universality* means that total revenue is to cover total payment appropriations with the exception of a limited number of revenue items which are assigned to particular items of expenditure. Revenue and expenditure are entered in full in the budget without any adjustment against each other.
- The *principle of specification* means that each appropriation must have a given purpose and be assigned to a specific objective in order to prevent any confusion between appropriations.
- The *principle of sound financial management* is defined by reference to the principles of economy, efficiency and effectiveness.
- The budget is established in compliance with the *principle of transparency*, ensuring sound information on the implementation of the budget and the accounts.

The budget presents appropriations and resources by purpose (activity-based budgeting), with a view to enhancing transparency in the management of the budget with reference to the objectives of sound financial management and in particular efficiency and effectiveness.

The expenditure authorised by the present budget totals EUR 160 113 520 011 in commitment appropriations and EUR 144 680 980 690 in payment appropriations, representing a variation rate of + 0,18 % and of + 14,13 % respectively by comparison with the 2017 budget.

Budgetary revenue totals EUR 144 680 980 690. The uniform rate of call for the VAT resource is 0,30 % (except for Germany, Netherlands and Sweden for which the rate of call for the period 2014-2020 has been fixed at 0,15 %) whilst that for the GNI resource is 0,6524 %. Traditional own resources account for 15,79 % of the financing of the budget for 2018. The VAT resource accounts for 11,92 % and the GNI resource for 71,01 %. Other revenue for this financial year is estimated at EUR 1 848 645 936.

The own resources needed to finance the 2018 budget account for 0,91 % of the total GNI.

The tables below set out step by step the method used to calculate the financing of the 2018 budget.

## FINANCING OF THE GENERAL BUDGET

**Appropriations to be covered during the financial year 2018 pursuant to Article 1 of Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union**

## EXPENDITURE

| Description                              | Budget 2018            | Budget 2017 <sup>(1)</sup> | Change (%)     |
|------------------------------------------|------------------------|----------------------------|----------------|
| 1. Smart and inclusive growth            | 66 624 486 101         | 49 393 819 321             | + 34,88        |
| 2. Sustainable growth: natural resources | 56 083 793 633         | 54 120 940 747             | + 3,63         |
| 3. Security and citizenship              | 2 980 707 175          | 3 224 307 287              | - 7,56         |
| 4. Global Europe                         | 8 906 075 154          | 9 055 843 969              | - 1,65         |
| 5. Administration                        | 9 666 318 627          | 9 394 599 816              | + 2,89         |
| 6. Compensation                          | p.m.                   | p.m.                       | —              |
| Special instruments                      | 419 600 000            | 1 581 200 013              | - 73,46        |
| <b>Total expenditure <sup>(2)</sup></b>  | <b>144 680 980 690</b> | <b>126 770 711 153</b>     | <b>+ 14,13</b> |

(<sup>1</sup>) The figures in this column correspond to those in the 2017 budget (OJ L 51, 28.2.2017, p. 1) plus amending budgets No 1 to 6/2017.

(<sup>2</sup>) The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

## REVENUE

| Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Budget 2018            | Budget 2017 <sup>(1)</sup> | Change (%)     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------------|
| Miscellaneous revenue (Titles 4 to 9)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 1 848 645 936          | 4 882 392 898              | - 62,14        |
| Surplus available from the preceding financial year (Chapter 3 0, Article 3 0 0)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | p.m.                   | 6 404 529 791              | —              |
| Repayment of the surplus of the Guarantee Fund for external actions (Chapter 3 0, Article 3 0 2)                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | p.m.                   | p.m.                       | —              |
| Net balance of own resources accruing from VAT and GNP/GNI-based own resources for earlier years (Chapters 3 1, 3 2 and 3 3)                                                                                                                                                                                                                                                                                                                                                                                                                                         | p.m.                   | p.m.                       | —              |
| <b>Total revenue for Titles 3 to 9</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>1 848 645 936</b>   | <b>11 286 922 689</b>      | <b>- 83,62</b> |
| Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 22 844 000 000         | 20 507 300 000             | + 11,39        |
| VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 17 249 560 050         | 16 620 148 350             | + 3,79         |
| Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4)                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 102 738 774 704        | 78 356 340 114             | + 31,12        |
| Appropriations to be covered by the own resources referred to in Article 2 of Decision 2014/335/EU, Euratom <sup>(2)</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                           | 142 832 334 754        | 115 483 788 464            | + 23,68        |
| <b>Total revenue <sup>(3)</sup></b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <b>144 680 980 690</b> | <b>126 770 711 153</b>     | <b>+ 14,13</b> |
| <p><sup>(1)</sup> The figures in this column correspond to those in the 2017 budget (OJ L 51, 28.2.2017, p. 1) plus amending budgets No 1 to 6/2017.</p> <p><sup>(2)</sup> The own resources for the 2017 budget are determined on the basis of the budget forecasts adopted at the 169th meeting of the Advisory Committee on Own Resources on 19 May 2017.</p> <p><sup>(3)</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.</p> |                        |                            |                |

TABLE 1

Calculation of capping of harmonised value added tax (VAT) bases to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom

| Member State   | 1 % of non-capped VAT base | 1 % of gross national income | Capping rate (in %) | 1 % of gross national income multiplied by capping rate | 1 % of capped VAT base (!) | Member States whose VAT base is capped |
|----------------|----------------------------|------------------------------|---------------------|---------------------------------------------------------|----------------------------|----------------------------------------|
|                | (1)                        | (2)                          | (3)                 | (4)                                                     | (5)                        | (6)                                    |
| Belgium        | 1 875 386 000              | 4 450 833 000                | 50                  | 2 225 416 500                                           | 1 875 386 000              |                                        |
| Bulgaria       | 238 743 000                | 503 702 000                  | 50                  | 251 851 000                                             | 238 743 000                |                                        |
| Czech Republic | 732 794 000                | 1 792 468 000                | 50                  | 896 234 000                                             | 732 794 000                |                                        |
| Denmark        | 1 140 616 000              | 3 048 528 000                | 50                  | 1 524 264 000                                           | 1 140 616 000              |                                        |
| Germany        | 13 953 667 000             | 33 995 907 000               | 50                  | 16 997 953 500                                          | 13 953 667 000             |                                        |
| Estonia        | 119 112 000                | 230 792 000                  | 50                  | 115 396 000                                             | 115 396 000                | Estonia                                |
| Ireland        | 860 539 000                | 2 431 496 000                | 50                  | 1 215 748 000                                           | 860 539 000                |                                        |
| Greece         | 740 156 000                | 1 882 276 000                | 50                  | 941 138 000                                             | 740 156 000                |                                        |
| Spain          | 5 294 283 000              | 12 003 612 000               | 50                  | 6 001 806 000                                           | 5 294 283 000              |                                        |
| France         | 10 445 711 000             | 23 880 801 000               | 50                  | 11 940 400 500                                          | 10 445 711 000             |                                        |
| Croatia        | 299 825 000                | 483 272 000                  | 50                  | 241 636 000                                             | 241 636 000                | Croatia                                |
| Italy          | 6 653 984 000              | 17 500 853 000               | 50                  | 8 750 426 500                                           | 6 653 984 000              |                                        |
| Cyprus         | 125 263 000                | 185 558 000                  | 50                  | 92 779 000                                              | 92 779 000                 | Cyprus                                 |
| Latvia         | 113 835 000                | 279 697 000                  | 50                  | 139 848 500                                             | 113 835 000                |                                        |
| Lithuania      | 175 208 000                | 411 930 000                  | 50                  | 205 965 000                                             | 175 208 000                |                                        |
| Luxembourg     | 282 492 000                | 394 697 000                  | 50                  | 197 348 500                                             | 197 348 500                | Luxembourg                             |
| Hungary        | 525 608 000                | 1 239 469 000                | 50                  | 619 734 500                                             | 525 608 000                |                                        |
| Malta          | 71 317 000                 | 104 602 000                  | 50                  | 52 301 000                                              | 52 301 000                 | Malta                                  |
| Netherlands    | 3 133 325 000              | 7 354 650 000                | 50                  | 3 677 325 000                                           | 3 133 325 000              |                                        |
| Austria        | 1 730 418 000              | 3 720 154 000                | 50                  | 1 860 077 000                                           | 1 730 418 000              |                                        |
| Poland         | 1 992 720 000              | 4 681 410 000                | 50                  | 2 340 705 000                                           | 1 992 720 000              |                                        |
| Portugal       | 964 881 000                | 1 933 791 000                | 50                  | 966 895 500                                             | 964 881 000                |                                        |
| Romania        | 686 064 000                | 1 874 072 000                | 50                  | 937 036 000                                             | 686 064 000                |                                        |
| Slovenia       | 200 037 000                | 429 322 000                  | 50                  | 214 661 000                                             | 200 037 000                |                                        |
| Slovakia       | 300 056 000                | 865 923 000                  | 50                  | 432 961 500                                             | 300 056 000                |                                        |
| Finland        | 962 168 000                | 2 268 419 000                | 50                  | 1 134 209 500                                           | 962 168 000                |                                        |
| Sweden         | 2 144 162 000              | 5 044 851 000                | 50                  | 2 522 425 500                                           | 2 144 162 000              |                                        |
| United Kingdom | 11 550 289 000             | 24 483 615 000               | 50                  | 12 241 807 500                                          | 11 550 289 000             |                                        |
| <b>Total</b>   | <b>67 312 659 000</b>      | <b>157 476 700 000</b>       |                     | <b>78 738 350 000</b>                                   | <b>67 114 110 500</b>      |                                        |

(!) The base to be used does not exceed 50 % of GNI.

TABLE 2

Breakdown of own resources accruing from VAT pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom (Chapter 1 3)

| Member State   | 1 % of capped VAT base | Uniform rate of VAT own resource<br>(in %) | VAT-based own resource at uniform<br>rate |
|----------------|------------------------|--------------------------------------------|-------------------------------------------|
|                | (1)                    | (2)                                        | (3) = (1) × (2)                           |
| Belgium        | 1 875 386 000          | 0,30                                       | 562 615 800                               |
| Bulgaria       | 238 743 000            | 0,30                                       | 71 622 900                                |
| Czech Republic | 732 794 000            | 0,30                                       | 219 838 200                               |
| Denmark        | 1 140 616 000          | 0,30                                       | 342 184 800                               |
| Germany        | 13 953 667 000         | 0,15                                       | 2 093 050 050                             |
| Estonia        | 115 396 000            | 0,30                                       | 34 618 800                                |
| Ireland        | 860 539 000            | 0,30                                       | 258 161 700                               |
| Greece         | 740 156 000            | 0,30                                       | 222 046 800                               |
| Spain          | 5 294 283 000          | 0,30                                       | 1 588 284 900                             |
| France         | 10 445 711 000         | 0,30                                       | 3 133 713 300                             |
| Croatia        | 241 636 000            | 0,30                                       | 72 490 800                                |
| Italy          | 6 653 984 000          | 0,30                                       | 1 996 195 200                             |
| Cyprus         | 92 779 000             | 0,30                                       | 27 833 700                                |
| Latvia         | 113 835 000            | 0,30                                       | 34 150 500                                |
| Lithuania      | 175 208 000            | 0,30                                       | 52 562 400                                |
| Luxembourg     | 197 348 500            | 0,30                                       | 59 204 550                                |
| Hungary        | 525 608 000            | 0,30                                       | 157 682 400                               |
| Malta          | 52 301 000             | 0,30                                       | 15 690 300                                |
| Netherlands    | 3 133 325 000          | 0,15                                       | 469 998 750                               |
| Austria        | 1 730 418 000          | 0,30                                       | 519 125 400                               |
| Poland         | 1 992 720 000          | 0,30                                       | 597 816 000                               |
| Portugal       | 964 881 000            | 0,30                                       | 289 464 300                               |
| Romania        | 686 064 000            | 0,30                                       | 205 819 200                               |
| Slovenia       | 200 037 000            | 0,30                                       | 60 011 100                                |
| Slovakia       | 300 056 000            | 0,30                                       | 90 016 800                                |
| Finland        | 962 168 000            | 0,30                                       | 288 650 400                               |
| Sweden         | 2 144 162 000          | 0,15                                       | 321 624 300                               |
| United Kingdom | 11 550 289 000         | 0,30                                       | 3 465 086 700                             |
| <b>Total</b>   | <b>67 114 110 500</b>  |                                            | <b>17 249 560 050</b>                     |



TABLE 3

Determination of uniform rate and breakdown of resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom (Chapter 1 4)

| Member State   | 1 % of gross national income | Uniform rate of 'additional base',<br>own resource | 'Additional base' own resource<br>at uniform rate |
|----------------|------------------------------|----------------------------------------------------|---------------------------------------------------|
|                | (1)                          | (2)                                                | (3) = (1) × (2)                                   |
| Belgium        | 4 450 833 000                | 0,6 524 062 <sup>(1)</sup>                         | 2 903 751 024                                     |
| Bulgaria       | 503 702 000                  |                                                    | 328 618 305                                       |
| Czech Republic | 1 792 468 000                |                                                    | 1 169 417 228                                     |
| Denmark        | 3 048 528 000                |                                                    | 1 988 878 554                                     |
| Germany        | 33 995 907 000               |                                                    | 22 179 140 343                                    |
| Estonia        | 230 792 000                  |                                                    | 150 570 131                                       |
| Ireland        | 2 431 496 000                |                                                    | 1 586 323 054                                     |
| Greece         | 1 882 276 000                |                                                    | 1 228 008 524                                     |
| Spain          | 12 003 612 000               |                                                    | 7 831 230 835                                     |
| France         | 23 880 801 000               |                                                    | 15 579 982 522                                    |
| Croatia        | 483 272 000                  |                                                    | 315 289 647                                       |
| Italy          | 17 500 853 000               |                                                    | 11 417 664 921                                    |
| Cyprus         | 185 558 000                  |                                                    | 121 059 189                                       |
| Latvia         | 279 697 000                  |                                                    | 182 476 056                                       |
| Lithuania      | 411 930 000                  |                                                    | 268 745 684                                       |
| Luxembourg     | 394 697 000                  |                                                    | 257 502 768                                       |
| Hungary        | 1 239 469 000                |                                                    | 808 637 255                                       |
| Malta          | 104 602 000                  |                                                    | 68 242 993                                        |
| Netherlands    | 7 354 650 000                |                                                    | 4 798 219 225                                     |
| Austria        | 3 720 154 000                |                                                    | 2 427 051 517                                     |
| Poland         | 4 681 410 000                |                                                    | 3 054 180 887                                     |
| Portugal       | 1 933 791 000                |                                                    | 1 261 617 229                                     |
| Romania        | 1 874 072 000                |                                                    | 1 222 656 183                                     |
| Slovenia       | 429 322 000                  |                                                    | 280 092 333                                       |
| Slovakia       | 865 923 000                  |                                                    | 564 933 530                                       |
| Finland        | 2 268 419 000                |                                                    | 1 479 930 609                                     |
| Sweden         | 5 044 851 000                |                                                    | 3 291 292 047                                     |
| United Kingdom | 24 483 615 000               |                                                    | 15 973 262 111                                    |
| <b>Total</b>   | <b>157 476 700 000</b>       |                                                    | <b>102 738 774 704</b>                            |

<sup>(1)</sup> Calculation of rate:  $(102\,738\,774\,704) / (157\,476\,700\,000) = 0,652406195354614$ .

**TABLE 4**

Calculation of the gross reduction in GNI contribution for Denmark, Netherlands and Sweden and its financing, pursuant to Article 2(5) of Decision 2014/335/EU, Euratom (Chapter 1 6)

| Member State   | Gross reduction        | Percentage share of GNI base | GNI key applied to the gross reduction | Financing of the reduction |
|----------------|------------------------|------------------------------|----------------------------------------|----------------------------|
|                | (1)                    | (2)                          | (3)                                    | (4) = (1) + (3)            |
| Belgium        |                        | 2,83                         | 31 084 353                             | 31 084 353                 |
| Bulgaria       |                        | 0,32                         | 3 517 825                              | 3 517 825                  |
| Czech Republic |                        | 1,14                         | 12 518 490                             | 12 518 490                 |
| Denmark        | - 141 559 436          | 1,94                         | 21 290 739                             | - 120 268 697              |
| Germany        |                        | 21,59                        | 237 425 396                            | 237 425 396                |
| Estonia        |                        | 0,15                         | 1 611 838                              | 1 611 838                  |
| Ireland        |                        | 1,54                         | 16 981 424                             | 16 981 424                 |
| Greece         |                        | 1,20                         | 13 145 704                             | 13 145 704                 |
| Spain          |                        | 7,62                         | 83 832 514                             | 83 832 514                 |
| France         |                        | 15,16                        | 166 782 096                            | 166 782 096                |
| Croatia        |                        | 0,31                         | 3 375 143                              | 3 375 143                  |
| Italy          |                        | 11,11                        | 122 224 918                            | 122 224 918                |
| Cyprus         |                        | 0,12                         | 1 295 926                              | 1 295 926                  |
| Latvia         |                        | 0,18                         | 1 953 387                              | 1 953 387                  |
| Lithuania      |                        | 0,26                         | 2 876 895                              | 2 876 895                  |
| Luxembourg     |                        | 0,25                         | 2 756 540                              | 2 756 540                  |
| Hungary        |                        | 0,79                         | 8 656 378                              | 8 656 378                  |
| Malta          |                        | 0,07                         | 730 534                                | 730 534                    |
| Netherlands    | - 756 798 522          | 4,67                         | 51 364 437                             | - 705 434 085              |
| Austria        |                        | 2,36                         | 25 981 335                             | 25 981 335                 |
| Poland         |                        | 2,97                         | 32 694 690                             | 32 694 690                 |
| Portugal       |                        | 1,23                         | 13 505 482                             | 13 505 482                 |
| Romania        |                        | 1,19                         | 13 088 408                             | 13 088 408                 |
| Slovenia       |                        | 0,27                         | 2 998 359                              | 2 998 359                  |
| Slovakia       |                        | 0,55                         | 6 047 555                              | 6 047 555                  |
| Finland        |                        | 1,44                         | 15 842 504                             | 15 842 504                 |
| Sweden         | - 201 449 966          | 3,20                         | 35 232 940                             | - 166 217 026              |
| United Kingdom |                        | 15,55                        | 170 992 114                            | 170 992 114                |
| <b>Total</b>   | <b>- 1 099 807 924</b> | <b>100,00</b>                | <b>1 099 807 924</b>                   | <b>0</b>                   |

TABLE 5

Correction of budgetary imbalances for the United Kingdom for the year 2017 pursuant to Article 4 of Decision 2014/335/EU, Euratom (Chapter 1 5)

| Description                                                                          | Coefficient <sup>(1)</sup> (%) | Amount                 |
|--------------------------------------------------------------------------------------|--------------------------------|------------------------|
| 1. United Kingdom's share (in %) of notional uncapped VAT base                       | 17,1 194                       |                        |
| 2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure | 7,4 429                        |                        |
| 3. (1) – (2)                                                                         | 9,6 765                        |                        |
| <b>4. Total allocated expenditure</b>                                                |                                | <b>118 550 222 885</b> |
| 5. Enlargement related expenditure <sup>(2)</sup>                                    |                                | 25 135 292 635         |
| 6. Enlargement-adjusted total allocated expenditure = (4) – (5)                      |                                | 93 414 930 250         |
| 7. United Kingdom's correction original amount = (3) × (6) × 0,66                    |                                | 5 965 942 623          |
| 8. United Kingdom's advantage <sup>(3)</sup>                                         |                                | 1 019 406 910          |
| 9. Core United Kingdom's correction = (7) – (8)                                      |                                | 4 946 535 713          |
| 10. Windfall gains deriving from traditional own resources <sup>(4)</sup>            |                                | – 45 769 948           |
| 11. Correction for the United Kingdom = (9) – (10)                                   |                                | 4 992 305 660          |

<sup>(1)</sup> Rounded percentages.  
<sup>(2)</sup> The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the EU after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section.  
<sup>(3)</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.  
<sup>(4)</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 20 % as of 1 January 2014 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

TABLE 6

Calculation of the financing of the correction for the United Kingdom amounting to EUR – 4 992 305 660 (Chapter 1 5)

| Member State   | Percentage share of GNI base | Shares without the United Kingdom | Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom | Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2 | Column 4 distributed in accordance with column 3 | Financing scale       | Financing scale applied to the correction |
|----------------|------------------------------|-----------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------|-----------------------|-------------------------------------------|
|                | (1)                          | (2)                               | (3)                                                                             | (4)                                                                                     | (5)                                              | (6) = (2) + (4) + (5) | (7)                                       |
| Belgium        | 2,83                         | 3,35                              | 5,37                                                                            |                                                                                         | 1,52                                             | 4,86                  | 242 848 053                               |
| Bulgaria       | 0,32                         | 0,38                              | 0,61                                                                            |                                                                                         | 0,17                                             | 0,55                  | 27 483 181                                |
| Czech Republic | 1,14                         | 1,35                              | 2,16                                                                            |                                                                                         | 0,61                                             | 1,96                  | 97 801 325                                |
| Denmark        | 1,94                         | 2,29                              | 3,68                                                                            |                                                                                         | 1,04                                             | 3,33                  | 166 334 951                               |
| Germany        | 21,59                        | 25,56                             | 0,00                                                                            | – 19,17                                                                                 | 0,00                                             | 6,39                  | 319 035 307                               |
| Estonia        | 0,15                         | 0,17                              | 0,28                                                                            |                                                                                         | 0,08                                             | 0,25                  | 12 592 561                                |
| Ireland        | 1,54                         | 1,83                              | 2,93                                                                            |                                                                                         | 0,83                                             | 2,66                  | 132 668 215                               |
| Greece         | 1,20                         | 1,42                              | 2,27                                                                            |                                                                                         | 0,64                                             | 2,06                  | 102 701 463                               |
| Spain          | 7,62                         | 9,03                              | 14,48                                                                           |                                                                                         | 4,09                                             | 13,12                 | 654 945 669                               |
| France         | 15,16                        | 17,96                             | 28,81                                                                           |                                                                                         | 8,14                                             | 26,10                 | 1 302 993 398                             |
| Croatia        | 0,31                         | 0,36                              | 0,58                                                                            |                                                                                         | 0,16                                             | 0,53                  | 26 368 472                                |
| Italy          | 11,11                        | 13,16                             | 21,12                                                                           |                                                                                         | 5,97                                             | 19,13                 | 954 888 235                               |
| Cyprus         | 0,12                         | 0,14                              | 0,22                                                                            |                                                                                         | 0,06                                             | 0,20                  | 10 124 487                                |
| Latvia         | 0,18                         | 0,21                              | 0,34                                                                            |                                                                                         | 0,10                                             | 0,31                  | 15 260 935                                |
| Lithuania      | 0,26                         | 0,31                              | 0,50                                                                            |                                                                                         | 0,14                                             | 0,45                  | 22 475 882                                |
| Luxembourg     | 0,25                         | 0,30                              | 0,48                                                                            |                                                                                         | 0,13                                             | 0,43                  | 21 535 609                                |
| Hungary        | 0,79                         | 0,93                              | 1,50                                                                            |                                                                                         | 0,42                                             | 1,35                  | 67 628 382                                |
| Malta          | 0,07                         | 0,08                              | 0,13                                                                            |                                                                                         | 0,04                                             | 0,11                  | 5 707 334                                 |
| Netherlands    | 4,67                         | 5,53                              | 0,00                                                                            | – 4,15                                                                                  | 0,00                                             | 1,38                  | 69 019 868                                |
| Austria        | 2,36                         | 2,80                              | 0,00                                                                            | – 2,10                                                                                  | 0,00                                             | 0,70                  | 34 911 864                                |
| Poland         | 2,97                         | 3,52                              | 5,65                                                                            |                                                                                         | 1,60                                             | 5,12                  | 255 428 883                               |
| Portugal       | 1,23                         | 1,45                              | 2,33                                                                            |                                                                                         | 0,66                                             | 2,11                  | 105 512 244                               |
| Romania        | 1,19                         | 1,41                              | 2,26                                                                            |                                                                                         | 0,64                                             | 2,05                  | 102 253 833                               |
| Slovenia       | 0,27                         | 0,32                              | 0,52                                                                            |                                                                                         | 0,15                                             | 0,47                  | 23 424 831                                |
| Slovakia       | 0,55                         | 0,65                              | 1,04                                                                            |                                                                                         | 0,30                                             | 0,95                  | 47 246 822                                |
| Finland        | 1,44                         | 1,71                              | 2,74                                                                            |                                                                                         | 0,77                                             | 2,48                  | 123 770 345                               |
| Sweden         | 3,20                         | 3,79                              | 0,00                                                                            | – 2,84                                                                                  | 0,00                                             | 0,95                  | 47 343 511                                |
| United Kingdom | 15,55                        | 0,00                              | 0,00                                                                            |                                                                                         | 0,00                                             | 0,00                  | 0                                         |
| <b>Total</b>   | <b>100,00</b>                | <b>100,00</b>                     | <b>100,00</b>                                                                   | <b>– 28,26</b>                                                                          | <b>28,26</b>                                     | <b>100,00</b>         | <b>4 992 305 660</b>                      |

The calculations are made to 15 decimal places.

**TABLE 7**  
Summary of financing <sup>(1)</sup> of the general budget by type of own resource and by Member State

| Member State   | Traditional own resources (TOR) |                           |                                            |                                             | VAT and GNI-based own resources, including adjustments |                        |                                                                  |                             |                                | Total own resources <sup>(2)</sup> |
|----------------|---------------------------------|---------------------------|--------------------------------------------|---------------------------------------------|--------------------------------------------------------|------------------------|------------------------------------------------------------------|-----------------------------|--------------------------------|------------------------------------|
|                | Net sugar sector levies (80 %)  | Net customs duties (80 %) | Total net traditional own resources (80 %) | Collection costs (20 % of gross TOR) (p.m.) | VAT-based own resource                                 | GNI-based own resource | Reduction in favour of: Denmark, Netherlands, Austria and Sweden | United Kingdom correction   | Total 'national contributions' |                                    |
| (1)            | (2)                             | (3) = (1) + (2)           | (4)                                        | (5)                                         | (6)                                                    | (7)                    | (8)                                                              | (9) = (5) + (6) + (7) + (8) | (10)                           | (11) = (3) + (9)                   |
| Belgium        | p.m.                            | 2 473 200 000             | 2 473 200 000                              | 618 300 000                                 | 2 903 751 024                                          | 31 084 353             | 242 848 053                                                      | 3 740 299 230               | 3,12                           | 6 213 499 230                      |
| Bulgaria       | p.m.                            | 88 100 000                | 88 100 000                                 | 22 025 000                                  | 328 618 305                                            | 3 517 825              | 27 483 181                                                       | 431 242 211                 | 0,36                           | 519 342 211                        |
| Czech Republic | p.m.                            | 287 500 000               | 287 500 000                                | 71 875 000                                  | 1 169 417 228                                          | 12 518 490             | 97 801 325                                                       | 1 499 575 243               | 1,25                           | 1 787 075 243                      |
| Denmark        | p.m.                            | 377 700 000               | 377 700 000                                | 94 425 000                                  | 1 988 878 554                                          | - 120 268 697          | 166 334 951                                                      | 2 377 129 608               | 1,98                           | 2 754 829 608                      |
| Germany        | p.m.                            | 4 731 600 000             | 4 731 600 000                              | 1 182 900 000                               | 22 179 140 343                                         | 237 425 396            | 319 035 307                                                      | 24 828 651 096              | 20,69                          | 29 560 251 096                     |
| Estonia        | p.m.                            | 32 900 000                | 32 900 000                                 | 8 225 000                                   | 150 570 131                                            | 1 611 838              | 12 592 561                                                       | 199 393 330                 | 0,17                           | 232 293 330                        |
| Ireland        | p.m.                            | 305 400 000               | 305 400 000                                | 76 350 000                                  | 1 586 323 054                                          | 16 981 424             | 132 668 215                                                      | 1 994 134 393               | 1,66                           | 2 299 534 393                      |
| Greece         | p.m.                            | 177 100 000               | 177 100 000                                | 44 275 000                                  | 1 228 008 524                                          | 13 145 704             | 102 701 463                                                      | 1 565 902 491               | 1,31                           | 1 743 002 491                      |
| Spain          | p.m.                            | 1 712 500 000             | 1 712 500 000                              | 428 125 000                                 | 7 831 230 835                                          | 83 832 514             | 654 945 669                                                      | 10 158 293 918              | 8,47                           | 11 870 793 918                     |
| France         | p.m.                            | 1 749 800 000             | 1 749 800 000                              | 437 450 000                                 | 3 133 713 300                                          | 166 782 096            | 1 302 993 398                                                    | 20 183 471 316              | 16,82                          | 21 933 271 316                     |
| Croatia        | p.m.                            | 52 600 000                | 52 600 000                                 | 13 150 000                                  | 315 289 647                                            | 3 375 143              | 26 368 472                                                       | 417 524 062                 | 0,35                           | 470 124 062                        |
| Italy          | p.m.                            | 2 095 900 000             | 2 095 900 000                              | 523 975 000                                 | 11 417 664 921                                         | 122 224 918            | 954 888 235                                                      | 14 490 973 274              | 12,08                          | 16 586 873 274                     |
| Cyprus         | p.m.                            | 21 900 000                | 21 900 000                                 | 5 475 000                                   | 121 059 189                                            | 1 295 926              | 10 124 487                                                       | 160 313 302                 | 0,13                           | 182 213 302                        |
| Latvia         | p.m.                            | 48 000 000                | 48 000 000                                 | 12 000 000                                  | 34 150 500                                             | 1 953 387              | 15 260 935                                                       | 233 840 878                 | 0,19                           | 281 840 878                        |
| Lithuania      | p.m.                            | 87 400 000                | 87 400 000                                 | 21 850 000                                  | 268 745 684                                            | 2 876 895              | 22 475 882                                                       | 346 660 861                 | 0,29                           | 434 060 861                        |
| Luxembourg     | p.m.                            | 22 000 000                | 22 000 000                                 | 5 500 000                                   | 257 502 768                                            | 2 756 540              | 21 535 609                                                       | 340 999 467                 | 0,28                           | 362 999 467                        |
| Hungary        | p.m.                            | 157 000 000               | 157 000 000                                | 39 250 000                                  | 808 637 255                                            | 8 656 378              | 67 628 382                                                       | 1 042 604 415               | 0,87                           | 1 199 604 415                      |
| Malta          | p.m.                            | 13 200 000                | 13 200 000                                 | 3 300 000                                   | 68 242 993                                             | 730 534                | 5 707 334                                                        | 90 371 161                  | 0,08                           | 103 571 161                        |
| Netherlands    | p.m.                            | 2 746 600 000             | 2 746 600 000                              | 686 650 000                                 | 4 798 219 225                                          | - 705 434 085          | 69 019 868                                                       | 4 631 803 758               | 3,86                           | 7 378 403 758                      |
| Austria        | p.m.                            | 221 100 000               | 221 100 000                                | 55 275 000                                  | 2 427 051 517                                          | 25 981 335             | 34 911 864                                                       | 3 007 070 116               | 2,51                           | 3 228 170 116                      |
| Poland         | p.m.                            | 705 200 000               | 705 200 000                                | 176 300 000                                 | 3 054 180 887                                          | 32 694 690             | 255 428 883                                                      | 3 940 120 460               | 3,28                           | 4 645 320 460                      |
| Portugal       | p.m.                            | 154 700 000               | 154 700 000                                | 38 675 000                                  | 1 261 617 229                                          | 13 505 482             | 105 512 244                                                      | 1 670 099 255               | 1,39                           | 1 824 799 255                      |
| Romania        | p.m.                            | 162 200 000               | 162 200 000                                | 40 550 000                                  | 1 222 656 183                                          | 13 088 408             | 102 253 833                                                      | 1 543 817 624               | 1,29                           | 1 706 017 624                      |
| Slovenia       | p.m.                            | 77 400 000                | 77 400 000                                 | 19 350 000                                  | 280 092 333                                            | 2 998 359              | 23 424 831                                                       | 366 526 623                 | 0,31                           | 443 926 623                        |
| Slovakia       | p.m.                            | 105 300 000               | 105 300 000                                | 26 325 000                                  | 564 933 530                                            | 6 047 555              | 47 246 822                                                       | 708 244 707                 | 0,59                           | 813 544 707                        |
| Finland        | p.m.                            | 154 100 000               | 154 100 000                                | 38 525 000                                  | 1 479 930 609                                          | 15 842 504             | 123 770 345                                                      | 1 908 193 858               | 1,59                           | 2 062 293 858                      |
| Sweden         | p.m.                            | 548 000 000               | 548 000 000                                | 137 000 000                                 | 3 291 292 047                                          | - 166 217 026          | 47 343 511                                                       | 3 494 042 832               | 2,91                           | 4 042 042 832                      |
| United Kingdom | p.m.                            | 3 535 600 000             | 3 535 600 000                              | 883 900 000                                 | 15 973 262 111                                         | 170 992 114            | - 4 992 305 660                                                  | 14 617 035 265              | 12,18                          | 18 152 635 265                     |
| <b>Total</b>   | <b>p.m.</b>                     | <b>22 844 000 000</b>     | <b>22 844 000 000</b>                      | <b>5 711 000 000</b>                        | <b>17 249 560 050</b>                                  | <b>102 738 774 704</b> | <b>0</b>                                                         | <b>119 988 334 754</b>      | <b>100,00</b>                  | <b>142 832 334 754</b>             |

<sup>(1)</sup> p.m. (own resources + other revenue = total revenue = total expenditure); (142 832 334 754 + 1 848 645 936 = 144 680 980 690 = 144 680 980 690).

<sup>(2)</sup> Total own resources as percentage of GNI: (142 832 334 754) / (15 747 670 000 000) = 0,91 %, own resources ceiling as percentage of GNI: 1,20 %.

**B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING**

| Title | Heading                                                                            | Financial year 2018    | Financial year 2017    | Financial year 2016       |
|-------|------------------------------------------------------------------------------------|------------------------|------------------------|---------------------------|
| 1     | OWN RESOURCES                                                                      | 142 832 334 754        | 115 483 788 464        | 132 165 834 976,64        |
| 3     | SURPLUSES, BALANCES AND ADJUSTMENTS                                                | p.m.                   | 6 404 529 791          | 1 357 560 665,03          |
| 4     | REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES | 1 547 408 825          | 1 490 262 072          | 1 451 857 463,91          |
| 5     | REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS             | 45 050 050             | 70 200 866             | 579 194 066,40            |
| 6     | CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES       | 110 000 000            | 60 000 000             | 5 928 273 253,25          |
| 7     | DEFAULT INTEREST AND FINES                                                         | 115 000 000            | 3 230 000 000          | 3 175 480 154,09          |
| 8     | BORROWING AND LENDING OPERATIONS                                                   | 6 186 061              | 6 928 960              | 41 334 476,24             |
| 9     | MISCELLANEOUS REVENUE                                                              | 25 001 000             | 25 001 000             | 17 230 760,67             |
|       | <b>GRAND TOTAL</b>                                                                 | <b>144 680 980 690</b> | <b>126 770 711 153</b> | <b>144 716 765 816,23</b> |

## TITLE 1

## OWN RESOURCES

- CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM)
- CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM
- CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM
- CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM

| Article Item | Heading                                                                                                                                | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 1 1                                                                                                                            |                     |                     |                     |             |
| 1 1 0        | <i>Production levies related to the marketing year 2005/2006 and previous years</i>                                                    | p.m.                | p.m.                | 159 996,95          |             |
| 1 1 1        | <i>Sugar storage levies</i>                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
| 1 1 3        | <i>Charges levied on non-exported C sugar, C isoglucose and C inulin syrup production, and on substituted C sugar and C isoglucose</i> | p.m.                | p.m.                | 0,—                 |             |
| 1 1 7        | <i>Production charge</i>                                                                                                               | p.m.                | 133 300 000         | 131 566 944,18      |             |
| 1 1 8        | <i>One-off amounts on additional sugar quotas and supplementary isoglucose quotas</i>                                                  | p.m.                | p.m.                | 0,—                 |             |
| 1 1 9        | <i>Surplus amount</i>                                                                                                                  | p.m.                | p.m.                | 902 874,98          |             |
|              | CHAPTER 1 1 — TOTAL                                                                                                                    | p.m.                | 133 300 000         | 132 629 816,11      |             |
|              | CHAPTER 1 2                                                                                                                            |                     |                     |                     |             |
| 1 2 0        | <i>Customs duties and other duties referred to in point (a) of Article 2(1) of Decision 2014/335/EU, Euratom</i>                       | 22 844 000 000      | 20 374 000 000      | 19 961 488 403,71   | 87,38       |
|              | CHAPTER 1 2 — TOTAL                                                                                                                    | 22 844 000 000      | 20 374 000 000      | 19 961 488 403,71   | 87,38       |
|              | CHAPTER 1 3                                                                                                                            |                     |                     |                     |             |
| 1 3 0        | <i>Own resources accruing from value added tax pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom</i>              | 17 249 560 050      | 16 620 148 350      | 15 934 722 190,43   | 92,38       |
|              | CHAPTER 1 3 — TOTAL                                                                                                                    | 17 249 560 050      | 16 620 148 350      | 15 934 722 190,43   | 92,38       |
|              | CHAPTER 1 4                                                                                                                            |                     |                     |                     |             |
| 1 4 0        | <i>Own resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom</i>             | 102 738 774 704     | 78 356 340 114      | 95 578 437 497,43   | 93,03       |
|              | CHAPTER 1 4 — TOTAL                                                                                                                    | 102 738 774 704     | 78 356 340 114      | 95 578 437 497,43   | 93,03       |

**CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES****CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES**

| Article Item           | Heading                                                                                                                                                | Financial year 2018    | Financial year 2017    | Financial year 2016       | % 2016/2018  |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|------------------------|---------------------------|--------------|
| 1 5 0                  | CHAPTER 1 5                                                                                                                                            |                        |                        |                           |              |
|                        | <i>Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2014/335/EU, Euratom</i>           | 0,—                    | 0,—                    | 580 337 025,32            |              |
|                        | CHAPTER 1 5 — TOTAL                                                                                                                                    | 0,—                    | 0,—                    | 580 337 025,32            |              |
| 1 6 0                  | CHAPTER 1 6                                                                                                                                            |                        |                        |                           |              |
|                        | <i>Gross reduction in the annual GNI-based contribution granted to certain Member States pursuant to Article 2(5) of Decision 2014/335/EU, Euratom</i> | 0,—                    | 0,—                    | - 21 779 956,36           |              |
|                        | CHAPTER 1 6 — TOTAL                                                                                                                                    | 0,—                    | 0,—                    | - 21 779 956,36           |              |
| <b>Title 1 — Total</b> |                                                                                                                                                        | <b>142 832 334 754</b> | <b>115 483 788 464</b> | <b>132 165 834 976,64</b> | <b>92,53</b> |



**TITLE 1**  
**OWN RESOURCES**

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM)**

**1 1 0**      *Production levies related to the marketing year 2005/2006 and previous years*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 159 996,95          |

*Remarks*

The common organisation of the market in the sugar sector provided that sugar, isoglucose and inulin syrup producers had to pay basic and B production levies. These levies were intended to cover market support expenditure. At present amounts entered under this article are a consequence of the revision of past established levies. Levies for the marketing year 2007/2008 till the marketing year 2016/2017 are entered under Article 1 1 7 of this chapter as a 'production charge'.

Figures are net of collection costs.

*Legal basis*

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular point (a) of Article 2(1) thereof.

Council Regulation (EU) No 1360/2013 of 2 December 2013 fixing the production levies in the sugar sector for the 2001/2002, 2002/2003, 2003/2004, 2004/2005 and 2005/2006 marketing years, the coefficient required for calculating the additional levy for the 2001/2002 and 2004/2005 marketing years and the amount to be paid by sugar manufacturers to beet sellers in respect of the difference between the maximum levy and the levy to be charged for the 2002/2003, 2003/2004 and 2005/2006 marketing years (OJ L 343, 19.12.2013, p. 2).

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 0** (cont'd)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016 |
|------------------------------|-------------|-------------|--------------|
| Belgium                      | p.m.        | p.m.        | 0,—          |
| Bulgaria                     | —           | —           | 0,—          |
| Czech Republic               | p.m.        | p.m.        | 0,—          |
| Denmark                      | p.m.        | p.m.        | 0,—          |
| Germany                      | p.m.        | p.m.        | 0,—          |
| Estonia                      | —           | —           | 0,—          |
| Ireland                      | p.m.        | p.m.        | 0,—          |
| Greece                       | p.m.        | p.m.        | 0,—          |
| Spain                        | p.m.        | p.m.        | 0,—          |
| France                       | p.m.        | p.m.        | 0,—          |
| Croatia                      | —           | —           | 0,—          |
| Italy                        | p.m.        | p.m.        | 0,—          |
| Cyprus                       | —           | —           | 0,—          |
| Latvia                       | p.m.        | p.m.        | 0,—          |
| Lithuania                    | p.m.        | p.m.        | 0,—          |
| Luxembourg                   | —           | —           | 0,—          |
| Hungary                      | p.m.        | p.m.        | 0,—          |
| Malta                        | —           | —           | 0,—          |
| Netherlands                  | p.m.        | p.m.        | 0,—          |
| Austria                      | p.m.        | p.m.        | 0,—          |
| Poland                       | p.m.        | p.m.        | 0,—          |
| Portugal                     | p.m.        | p.m.        | 0,—          |
| Romania                      | —           | —           | 0,—          |
| Slovenia                     | p.m.        | p.m.        | 0,—          |
| Slovakia                     | p.m.        | p.m.        | 0,—          |
| Finland                      | p.m.        | p.m.        | 123 212,35   |
| Sweden                       | p.m.        | p.m.        | 0,—          |
| United Kingdom               | p.m.        | p.m.        | 36 784,60    |
| <i>Article 1 1 0 — Total</i> | p.m.        | p.m.        | 159 996,95   |

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 1 Sugar storage levies**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the outstanding sugar storage levies as Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1) abolished the storage levy.

It also covers the outstanding amounts due pursuant to Article 5 of Commission Regulation (EEC) No 65/82 of 13 January 1982 laying down detailed rules for carrying forward sugar to the following marketing year (OJ L 9, 14.1.1982, p. 14) when the obligation to store sugar carried forward is not complied with, and the amounts due in accordance with Council Regulation (EEC) No 1789/81 of 30 June 1981 laying down general rules concerning the system of minimum stocks in the sugar sector (OJ L 177, 1.7.1981, p. 39) when the general rules concerning the system of minimum stocks in the sugar sector are not complied with.

This article is also intended for the recording of income charged by new Member States in the event of non-elimination of sugar stocks considered surplus within the meaning of Commission Regulations laying down transitional measures in the sugar sector by reason of the accession.

Figures are net of collection costs.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (a) of Article 2(1) thereof.

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 1** (cont'd)

| Member State                | Budget 2018 | Budget 2017 | Outturn 2016 |
|-----------------------------|-------------|-------------|--------------|
| Belgium                     | p.m.        | p.m.        | 0,—          |
| Bulgaria                    | p.m.        | p.m.        | 0,—          |
| Czech Republic              | p.m.        | p.m.        | 0,—          |
| Denmark                     | p.m.        | p.m.        | 0,—          |
| Germany                     | p.m.        | p.m.        | 0,—          |
| Estonia                     | p.m.        | p.m.        | 0,—          |
| Ireland                     | p.m.        | p.m.        | 0,—          |
| Greece                      | p.m.        | p.m.        | 0,—          |
| Spain                       | p.m.        | p.m.        | 0,—          |
| France                      | p.m.        | p.m.        | 0,—          |
| Croatia                     | p.m.        | p.m.        | 0,—          |
| Italy                       | p.m.        | p.m.        | 0,—          |
| Cyprus                      | p.m.        | p.m.        | 0,—          |
| Latvia                      | p.m.        | p.m.        | 0,—          |
| Lithuania                   | p.m.        | p.m.        | 0,—          |
| Luxembourg                  | —           | —           | 0,—          |
| Hungary                     | p.m.        | p.m.        | 0,—          |
| Malta                       | p.m.        | p.m.        | 0,—          |
| Netherlands                 | p.m.        | p.m.        | 0,—          |
| Austria                     | p.m.        | p.m.        | 0,—          |
| Poland                      | p.m.        | p.m.        | 0,—          |
| Portugal                    | p.m.        | p.m.        | 0,—          |
| Romania                     | p.m.        | p.m.        | 0,—          |
| Slovenia                    | p.m.        | p.m.        | 0,—          |
| Slovakia                    | p.m.        | p.m.        | 0,—          |
| Finland                     | p.m.        | p.m.        | 0,—          |
| Sweden                      | p.m.        | p.m.        | 0,—          |
| United Kingdom              | p.m.        | p.m.        | 0,—          |
| <i>Article 1 1 1 —Total</i> | p.m.        | p.m.        | 0,—          |

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 3 Charges levied on non-exported C sugar, C isoglucose and C inulin syrup production, and on substituted C sugar and C isoglucose**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Amounts corresponding to charges levied on non-exported C sugar, C isoglucose and C inulin syrup production. They comprise as well the charges levied on substituted C sugar and C isoglucose.

Any incoming revenue under this article would only concern possible regularisations of certain files, for which estimates are not possible in advance.

Figures are net of collection costs.

*Legal basis*

Commission Regulation (EEC) No 2670/81 of 14 September 1981 laying down detailed implementing rules in respect of sugar production in excess of the quota (OJ L 262, 16.9.1981, p. 14).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular point (a) of Article 2(1) thereof.

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 3** (cont'd)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016 |
|------------------------------|-------------|-------------|--------------|
| Belgium                      | p.m.        | p.m.        | 0,—          |
| Bulgaria                     | —           | —           | 0,—          |
| Czech Republic               | p.m.        | p.m.        | 0,—          |
| Denmark                      | p.m.        | p.m.        | 0,—          |
| Germany                      | p.m.        | p.m.        | 0,—          |
| Estonia                      | —           | —           | 0,—          |
| Ireland                      | p.m.        | p.m.        | 0,—          |
| Greece                       | p.m.        | p.m.        | 0,—          |
| Spain                        | p.m.        | p.m.        | 0,—          |
| France                       | p.m.        | p.m.        | 0,—          |
| Croatia                      | —           | —           | 0,—          |
| Italy                        | p.m.        | p.m.        | 0,—          |
| Cyprus                       | —           | —           | 0,—          |
| Latvia                       | p.m.        | p.m.        | 0,—          |
| Lithuania                    | p.m.        | p.m.        | 0,—          |
| Luxembourg                   | —           | —           | 0,—          |
| Hungary                      | p.m.        | p.m.        | 0,—          |
| Malta                        | —           | —           | 0,—          |
| Netherlands                  | p.m.        | p.m.        | 0,—          |
| Austria                      | p.m.        | p.m.        | 0,—          |
| Poland                       | p.m.        | p.m.        | 0,—          |
| Portugal                     | p.m.        | p.m.        | 0,—          |
| Romania                      | —           | —           | 0,—          |
| Slovenia                     | p.m.        | p.m.        | 0,—          |
| Slovakia                     | p.m.        | p.m.        | 0,—          |
| Finland                      | p.m.        | p.m.        | 0,—          |
| Sweden                       | p.m.        | p.m.        | 0,—          |
| United Kingdom               | p.m.        | p.m.        | 0,—          |
| <i>Article 1 1 3 — Total</i> | p.m.        | p.m.        | 0,—          |

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 7      *Production charge***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | 133 300 000         | 131 566 944,18      |

*Remarks*

This article is intended to record revenue from the production charge levied on undertakings producing sugar, isoglucose or inulin syrup in accordance with Article 128 of Regulation (EU) No 1308/2013.

The production charge was collected and declared by Member States for the last time under the general budget of the Union for 2017 following the end of the sugar quota system in marketing year 2016/2017 on 30 September 2017. Any incoming revenue under this article would only concern possible regularisations of certain files, for which estimates are not possible in advance.

Figures are net of collection costs.

*Legal basis*

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 16 thereof.

Commission Regulation (EC) No 952/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards the management of the Community market in sugar and the quota system (OJ L 178, 1.7.2006, p. 39).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1), and in particular Article 51 thereof.

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671), and in particular Article 128 thereof.

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (a) of Article 2(1) thereof.

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 7** (cont'd)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016   |
|------------------------------|-------------|-------------|----------------|
| Belgium                      | p.m.        | 7 000 000   | 7 041 840,90   |
| Bulgaria                     | p.m.        | 400 000     | 428 150,00     |
| Czech Republic               | p.m.        | 3 600 000   | 3 592 216,20   |
| Denmark                      | p.m.        | 3 600 000   | 3 575 568,39   |
| Germany                      | p.m.        | 28 100 000  | 28 114 434,34  |
| Estonia                      | —           | —           | 0,—            |
| Ireland                      | p.m.        | p.m.        | 0,—            |
| Greece                       | p.m.        | 1 500 000   | 1 523 539,00   |
| Spain                        | p.m.        | 5 000 000   | 5 043 698,70   |
| France                       | p.m.        | 33 000 000  | 32 995 499,80  |
| Croatia                      | p.m.        | 1 900 000   | 1 857 819,79   |
| Italy                        | p.m.        | 5 000 000   | 4 226 873,25   |
| Cyprus                       | —           | —           | 0,—            |
| Latvia                       | p.m.        | p.m.        | 0,—            |
| Lithuania                    | p.m.        | 900 000     | 866 419,00     |
| Luxembourg                   | —           | —           | 0,—            |
| Hungary                      | p.m.        | 2 200 000   | 2 236 523,80   |
| Malta                        | —           | —           | 0,—            |
| Netherlands                  | p.m.        | 7 700 000   | 7 726 925,00   |
| Austria                      | p.m.        | 3 400 000   | 3 369 862,60   |
| Poland                       | p.m.        | 13 700 000  | 13 318 501,37  |
| Portugal                     | p.m.        | 200 000     | 60 000,00      |
| Romania                      | p.m.        | 1 000 000   | 1 118 033,57   |
| Slovenia                     | p.m.        | p.m.        | 0,—            |
| Slovakia                     | p.m.        | 1 400 000   | 1 405 120,75   |
| Finland                      | p.m.        | 800 000     | 777 590,00     |
| Sweden                       | p.m.        | 2 800 000   | 2 822 324,28   |
| United Kingdom               | p.m.        | 10 100 000  | 9 466 003,44   |
| <i>Article 1 1 7 — Total</i> | p.m.        | 133 300 000 | 131 566 944,18 |



**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 8      *One-off amounts on additional sugar quotas and supplementary isoglucose quotas***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

A one-off amount is levied on additional sugar quotas or supplementary isoglucose quotas which have been allocated to undertakings in accordance with Article 58 of Regulation (EC) No 1234/2007.

Any incoming revenue under this article would only concern possible regularisations of certain files, for which estimates are not possible in advance.

Figures are net of collection costs.

*Legal basis*

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 8 and Article 9(2) and (3) thereof.

Commission Regulation (EC) No 952/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards the management of the Community market in sugar and the quota system (OJ L 178, 1.7.2006, p. 39).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular point (a) of Article 2(1) thereof.

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 8** (cont'd)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016 |
|------------------------------|-------------|-------------|--------------|
| Belgium                      | p.m.        | p.m.        | 0,—          |
| Bulgaria                     | p.m.        | p.m.        | 0,—          |
| Czech Republic               | p.m.        | p.m.        | 0,—          |
| Denmark                      | p.m.        | p.m.        | 0,—          |
| Germany                      | p.m.        | p.m.        | 0,—          |
| Estonia                      | —           | —           | 0,—          |
| Ireland                      | p.m.        | p.m.        | 0,—          |
| Greece                       | p.m.        | p.m.        | 0,—          |
| Spain                        | p.m.        | p.m.        | 0,—          |
| France                       | p.m.        | p.m.        | 0,—          |
| Croatia                      | —           | —           | 0,—          |
| Italy                        | p.m.        | p.m.        | 0,—          |
| Cyprus                       | —           | —           | 0,—          |
| Latvia                       | p.m.        | p.m.        | 0,—          |
| Lithuania                    | p.m.        | p.m.        | 0,—          |
| Luxembourg                   | —           | —           | 0,—          |
| Hungary                      | p.m.        | p.m.        | 0,—          |
| Malta                        | —           | —           | 0,—          |
| Netherlands                  | p.m.        | p.m.        | 0,—          |
| Austria                      | p.m.        | p.m.        | 0,—          |
| Poland                       | p.m.        | p.m.        | 0,—          |
| Portugal                     | p.m.        | p.m.        | 0,—          |
| Romania                      | p.m.        | p.m.        | 0,—          |
| Slovenia                     | p.m.        | p.m.        | 0,—          |
| Slovakia                     | p.m.        | p.m.        | 0,—          |
| Finland                      | p.m.        | p.m.        | 0,—          |
| Sweden                       | p.m.        | p.m.        | 0,—          |
| United Kingdom               | p.m.        | p.m.        | 0,—          |
| <i>Article 1 1 8 — Total</i> | p.m.        | p.m.        | 0,—          |

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 9 Surplus amount**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 902 874,98          |

*Remarks*

This article is intended to record revenue from the surplus amount levied and charged by the Member States to the sugar undertakings concerned located on its territory.

Following the end of the sugar quota system in marketing year 2016/2017 on 30 September 2017, any incoming revenue under this article would only concern possible regularisations of certain files, for which estimates are not possible in advance.

Figures are net of collection costs.

*Legal basis*

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 15 thereof.

Commission Regulation (EC) No 967/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards sugar production in excess of the quota (OJ L 176, 30.6.2006, p. 22).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1), and in particular Article 64 thereof.

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671), and in particular Article 142 thereof.

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (a) of Article 2(1) thereof.

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) (cont'd)**

**1 1 9** (cont'd)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016 |
|------------------------------|-------------|-------------|--------------|
| Belgium                      | p.m.        | p.m.        | 17 543,10    |
| Bulgaria                     | p.m.        | p.m.        | 0,—          |
| Czech Republic               | p.m.        | p.m.        | 1 391,01     |
| Denmark                      | p.m.        | p.m.        | 0,—          |
| Germany                      | p.m.        | p.m.        | 734 740,00   |
| Estonia                      | —           | —           | 0,—          |
| Ireland                      | p.m.        | p.m.        | 0,—          |
| Greece                       | p.m.        | p.m.        | 0,—          |
| Spain                        | p.m.        | p.m.        | 0,—          |
| France                       | p.m.        | p.m.        | 148 480,00   |
| Croatia                      | p.m.        | p.m.        | 0,—          |
| Italy                        | p.m.        | p.m.        | 0,—          |
| Cyprus                       | —           | —           | 0,—          |
| Latvia                       | p.m.        | p.m.        | 0,—          |
| Lithuania                    | p.m.        | p.m.        | 0,—          |
| Luxembourg                   | —           | —           | 0,—          |
| Hungary                      | p.m.        | p.m.        | 0,—          |
| Malta                        | —           | —           | 0,—          |
| Netherlands                  | p.m.        | p.m.        | 0,—          |
| Austria                      | p.m.        | p.m.        | 0,—          |
| Poland                       | p.m.        | p.m.        | 720,87       |
| Portugal                     | p.m.        | p.m.        | 0,—          |
| Romania                      | p.m.        | p.m.        | 0,—          |
| Slovenia                     | p.m.        | p.m.        | 0,—          |
| Slovakia                     | p.m.        | p.m.        | 0,—          |
| Finland                      | p.m.        | p.m.        | 0,—          |
| Sweden                       | p.m.        | p.m.        | 0,—          |
| United Kingdom               | p.m.        | p.m.        | 0,—          |
| <i>Article 1 1 9 — Total</i> | p.m.        | p.m.        | 902 874,98   |

**CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM****1 2 0 *Customs duties and other duties referred to in point (a) of Article 2(1) of Decision 2014/335/EU, Euratom***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 22 844 000 000      | 20 374 000 000      | 19 961 488 403,71   |

*Remarks*

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Union. This article may comprise levies, premiums, additional or compensatory amounts, additional amounts or factors, Common Customs Tariff duties and other duties established or to be established by the institutions of the European Union in respect of trade with third countries and customs duties on products under the expired Treaty establishing the European Coal and Steel Community.

Figures are net of collection costs.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (a) of Article 2(1) thereof.

**CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM (cont'd)**

**1 2 0 (cont'd)**

| Member State                 | Budget 2018    | Budget 2017    | Outturn 2016      |
|------------------------------|----------------|----------------|-------------------|
| Belgium                      | 2 473 200 000  | 2 180 792 231  | 2 063 738 913,95  |
| Bulgaria                     | 88 100 000     | 74 252 985     | 71 521 308,63     |
| Czech Republic               | 287 500 000    | 253 894 259    | 247 455 408,57    |
| Denmark                      | 377 700 000    | 333 053 412    | 332 675 343,18    |
| Germany                      | 4 731 600 000  | 4 214 682 435  | 4 120 598 172,66  |
| Estonia                      | 32 900 000     | 29 059 618     | 27 314 845,00     |
| Ireland                      | 305 400 000    | 277 104 213    | 285 105 546,72    |
| Greece                       | 177 100 000    | 156 903 067    | 156 860 412,38    |
| Spain                        | 1 712 500 000  | 1 521 384 214  | 1 506 996 371,24  |
| France                       | 1 749 800 000  | 1 599 033 778  | 1 603 376 603,69  |
| Croatia                      | 52 600 000     | 45 759 463     | 44 799 546,12     |
| Italy                        | 2 095 900 000  | 1 910 669 874  | 1 784 161 050,98  |
| Cyprus                       | 21 900 000     | 20 285 123     | 21 086 051,66     |
| Latvia                       | 48 000 000     | 40 664 595     | 34 680 953,66     |
| Lithuania                    | 87 400 000     | 76 989 117     | 76 673 897,94     |
| Luxembourg                   | 22 000 000     | 19 530 328     | 19 544 194,69     |
| Hungary                      | 157 000 000    | 140 108 872    | 140 078 934,24    |
| Malta                        | 13 200 000     | 11 888 025     | 12 054 155,28     |
| Netherlands                  | 2 746 600 000  | 2 433 176 899  | 2 366 016 279,14  |
| Austria                      | 221 100 000    | 204 077 771    | 210 647 744,61    |
| Poland                       | 705 200 000    | 608 648 035    | 577 973 007,56    |
| Portugal                     | 154 700 000    | 138 316 233    | 138 344 643,65    |
| Romania                      | 162 200 000    | 148 034 222    | 162 099 774,38    |
| Slovenia                     | 77 400 000     | 67 648 526     | 65 778 810,36     |
| Slovakia                     | 105 300 000    | 95 670 300     | 97 703 809,71     |
| Finland                      | 154 100 000    | 140 486 269    | 132 243 895,58    |
| Sweden                       | 548 000 000    | 497 410 082    | 510 379 272,44    |
| United Kingdom               | 3 535 600 000  | 3 134 476 054  | 3 151 579 455,69  |
| <i>Article 1 2 0 — Total</i> | 22 844 000 000 | 20 374 000 000 | 19 961 488 403,71 |

**CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM****1 3 0** *Own resources accruing from value added tax pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 17 249 560 050      | 16 620 148 350      | 15 934 722 190,43   |

*Remarks*

The applied uniform rate valid for all Member States to the harmonised VAT assessment bases determined according to Union rules is fixed at 0,30 %. The assessment base to be taken into account for this purpose shall not exceed 50 % of GNI for each Member State. For the period 2014-2020 only, the rate of call of the VAT-based own resource for Germany, the Netherlands and Sweden shall be fixed at 0,15 %.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (b) of Article 2(1) and Article 2(4) thereof.

**CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM** (cont'd)

**1 3 0** (cont'd)

| Member State                 | Budget 2018    | Budget 2017    | Outturn 2016      |
|------------------------------|----------------|----------------|-------------------|
| Belgium                      | 562 615 800    | 545 106 000    | 516 597 300,00    |
| Bulgaria                     | 71 622 900     | 69 507 900     | 62 357 400,01     |
| Czech Republic               | 219 838 200    | 208 775 100    | 203 983 307,68    |
| Denmark                      | 342 184 800    | 328 630 800    | 307 240 768,53    |
| Germany                      | 2 093 050 050  | 2 016 873 750  | 1 947 663 300,00  |
| Estonia                      | 34 618 800     | 32 566 200     | 30 842 400,00     |
| Ireland                      | 258 161 700    | 246 984 000    | 242 726 400,00    |
| Greece                       | 222 046 800    | 218 107 200    | 227 779 200,00    |
| Spain                        | 1 588 284 900  | 1 533 648 300  | 1 416 915 600,00  |
| France                       | 3 133 713 300  | 3 050 158 800  | 2 913 773 100,00  |
| Croatia                      | 72 490 800     | 69 450 450     | 66 498 629,49     |
| Italy                        | 1 996 195 200  | 1 948 907 400  | 1 826 488 800,00  |
| Cyprus                       | 27 833 700     | 26 864 250     | 25 881 300,00     |
| Latvia                       | 34 150 500     | 31 988 700     | 29 487 300,00     |
| Lithuania                    | 52 562 400     | 49 670 100     | 45 314 700,00     |
| Luxembourg                   | 59 204 550     | 55 902 450     | 52 522 800,00     |
| Hungary                      | 157 682 400    | 145 071 000    | 131 852 909,16    |
| Malta                        | 15 690 300     | 14 764 650     | 13 695 900,00     |
| Netherlands                  | 469 998 750    | 456 466 950    | 418 055 850,00    |
| Austria                      | 519 125 400    | 504 234 000    | 467 034 600,00    |
| Poland                       | 597 816 000    | 538 340 100    | 536 828 417,46    |
| Portugal                     | 289 464 300    | 280 601 400    | 261 332 700,00    |
| Romania                      | 205 819 200    | 191 307 900    | 166 385 363,82    |
| Slovenia                     | 60 011 100     | 57 555 900     | 53 565 000,00     |
| Slovakia                     | 90 016 800     | 86 551 200     | 79 902 900,00     |
| Finland                      | 288 650 400    | 282 305 100    | 274 607 100,00    |
| Sweden                       | 321 624 300    | 312 822 150    | 300 456 995,43    |
| United Kingdom               | 3 465 086 700  | 3 316 986 600  | 3 314 932 148,85  |
| <i>Article 1 3 0 — Total</i> | 17 249 560 050 | 16 620 148 350 | 15 934 722 190,43 |



**CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM****1 4 0      *Own resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 102 738 774 704     | 78 356 340 114      | 95 578 437 497,43   |

*Remarks*

The GNI-based resource is an 'additional' resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States' gross national income for this financial year is 0,6524 %.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (c) of Article 2(1) thereof.

**CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM (cont'd)**

**1 4 0** (cont'd)

| Member State                 | Budget 2018     | Budget 2017    | Outturn 2016      |
|------------------------------|-----------------|----------------|-------------------|
| Belgium                      | 2 903 751 024   | 2 224 321 322  | 2 733 775 413,00  |
| Bulgaria                     | 328 618 305     | 249 118 218    | 281 365 594,01    |
| Czech Republic               | 1 169 417 228   | 881 874 642    | 1 019 894 258,52  |
| Denmark                      | 1 988 878 554   | 1 518 165 862  | 1 811 511 737,42  |
| Germany                      | 22 179 140 343  | 16 999 731 324 | 20 646 938 325,00 |
| Estonia                      | 150 570 131     | 112 083 039    | 134 108 593,00    |
| Ireland                      | 1 586 323 054   | 1 194 766 843  | 1 256 583 955,00  |
| Greece                       | 1 228 008 524   | 938 172 223    | 1 135 260 631,00  |
| Spain                        | 7 831 230 835   | 5 977 648 307  | 7 219 986 146,00  |
| France                       | 15 579 982 522  | 11 998 557 213 | 14 650 391 312,00 |
| Croatia                      | 315 289 647     | 239 027 503    | 285 928 890,52    |
| Italy                        | 11 417 664 921  | 8 803 510 192  | 10 763 324 824,00 |
| Cyprus                       | 121 059 189     | 92 458 646     | 111 290 286,00    |
| Latvia                       | 182 476 056     | 136 560 225    | 166 921 883,00    |
| Lithuania                    | 268 745 684     | 202 582 498    | 242 644 067,00    |
| Luxembourg                   | 257 502 768     | 192 399 373    | 225 849 452,00    |
| Hungary                      | 808 637 255     | 603 113 075    | 700 999 642,84    |
| Malta                        | 68 242 993      | 50 815 472     | 58 892 738,00     |
| Netherlands                  | 4 798 219 225   | 3 680 290 875  | 4 534 954 332,00  |
| Austria                      | 2 427 051 517   | 1 861 854 725  | 2 232 878 182,00  |
| Poland                       | 3 054 180 887   | 2 182 970 864  | 2 671 049 968,23  |
| Portugal                     | 1 261 617 229   | 965 745 390    | 1 172 210 977,00  |
| Romania                      | 1 222 656 183   | 903 195 963    | 1 067 128 121,00  |
| Slovenia                     | 280 092 333     | 211 201 371    | 252 657 754,00    |
| Slovakia                     | 564 933 530     | 424 902 964    | 500 601 819,00    |
| Finland                      | 1 479 930 609   | 1 139 597 795  | 1 372 422 809,00  |
| Sweden                       | 3 291 292 047   | 2 523 910 154  | 3 077 412 604,64  |
| United Kingdom               | 15 973 262 111  | 12 047 764 036 | 15 251 453 182,25 |
| <i>Article 1 4 0 — Total</i> | 102 738 774 704 | 78 356 340 114 | 95 578 437 497,43 |

## CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES

**1 5 0** *Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2014/335/EU, Euratom*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 0,—                 | 0,—                 | 580 337 025,32      |

*Remarks*

The budgetary imbalance correction mechanism in favour of the United Kingdom (UK correction) was introduced by the European Council in Fontainebleau in June 1984 and the resulting own resources decision of 1985. The purpose of the mechanism is to reduce the UK budgetary imbalance through a reduction in its payments to the Union.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Articles 4 and 5 thereof.

CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES (*cont'd*)1 5 0 (*cont'd*)

| Member State                 | Budget 2018     | Budget 2017     | Outturn 2016       |
|------------------------------|-----------------|-----------------|--------------------|
| Belgium                      | 242 848 053     | 240 885 677     | 300 419 482,00     |
| Bulgaria                     | 27 483 181      | 26 978 571      | 30 919 769,99      |
| Czech Republic               | 97 801 325      | 95 503 724      | 112 093 645,75     |
| Denmark                      | 166 334 951     | 164 411 683     | 199 157 831,04     |
| Germany                      | 319 035 307     | 316 145 831     | 388 574 256,00     |
| Estonia                      | 12 592 561      | 12 138 174      | 14 737 434,00      |
| Ireland                      | 132 668 215     | 129 388 779     | 138 088 264,00     |
| Greece                       | 102 701 463     | 101 600 542     | 124 755 826,00     |
| Spain                        | 654 945 669     | 647 356 946     | 793 417 224,00     |
| France                       | 1 302 993 398   | 1 299 398 854   | 1 609 957 772,00   |
| Croatia                      | 26 368 472      | 25 885 784      | 31 421 075,58      |
| Italy                        | 954 888 235     | 953 387 216     | 1 182 801 065,00   |
| Cyprus                       | 10 124 487      | 10 012 925      | 12 229 889,00      |
| Latvia                       | 15 260 935      | 14 788 961      | 18 343 345,00      |
| Lithuania                    | 22 475 882      | 21 938 927      | 26 664 592,00      |
| Luxembourg                   | 21 535 609      | 20 836 132      | 24 819 001,00      |
| Hungary                      | 67 628 382      | 65 314 890      | 77 131 068,87      |
| Malta                        | 5 707 334       | 5 503 126       | 6 471 829,00       |
| Netherlands                  | 69 019 868      | 68 442 765      | 85 347 594,00      |
| Austria                      | 34 911 864      | 34 625 113      | 42 022 646,00      |
| Poland                       | 255 428 883     | 236 407 577     | 292 902 821,67     |
| Portugal                     | 105 512 244     | 104 586 612     | 128 816 366,00     |
| Romania                      | 102 253 833     | 97 812 744      | 117 063 518,63     |
| Slovenia                     | 23 424 831      | 22 872 318      | 27 765 014,00      |
| Slovakia                     | 47 246 822      | 46 015 401      | 55 012 032,00      |
| Finland                      | 123 770 345     | 123 414 177     | 150 818 003,00     |
| Sweden                       | 47 343 511      | 46 937 429      | 57 775 719,98      |
| United Kingdom               | - 4 992 305 660 | - 4 932 590 878 | - 5 469 190 060,19 |
| <i>Article 1 5 0 — Total</i> | 0               | 0               | 580 337 025,32     |

## CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES

**1 6 0** *Gross reduction in the annual GNI-based contribution granted to certain Member States pursuant to Article 2(5) of Decision 2014/335/EU, Euratom*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 0,—                 | 0,—                 | - 21 779 956,36     |

*Remarks*

This article is intended to record reductions in the annual GNI contributions of certain Member States in accordance with Council Decision 2014/335/EU, Euratom.

*Legal basis*

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 10a(6) thereof.

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Article 2(5) thereof.

CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES (*cont'd*)1 6 0 (*cont'd*)

| Member State                 | Budget 2018   | Budget 2017   | Outturn 2016     |
|------------------------------|---------------|---------------|------------------|
| Belgium                      | 31 084 353    | 31 242 775    | 31 449 227,00    |
| Bulgaria                     | 3 517 825     | 3 499 110     | 3 236 817,00     |
| Czech Republic               | 12 518 490    | 12 386 794    | 11 741 636,01    |
| Denmark                      | - 120 268 697 | - 120 336 182 | - 122 103 800,45 |
| Germany                      | 237 425 396   | 238 777 900   | 237 521 435,00   |
| Estonia                      | 1 611 838     | 1 574 316     | 1 542 779,00     |
| Ireland                      | 16 981 424    | 16 781 672    | 14 455 684,00    |
| Greece                       | 13 145 704    | 13 177 549    | 13 059 986,00    |
| Spain                        | 83 832 514    | 83 961 933    | 83 058 391,00    |
| France                       | 166 782 096   | 168 531 504   | 168 537 432,00   |
| Croatia                      | 3 375 143     | 3 357 376     | 3 287 579,38     |
| Italy                        | 122 224 918   | 123 653 935   | 123 820 797,00   |
| Cyprus                       | 1 295 926     | 1 298 672     | 1 280 278,00     |
| Latvia                       | 1 953 387     | 1 918 122     | 1 920 262,00     |
| Lithuania                    | 2 876 895     | 2 845 470     | 2 791 366,00     |
| Luxembourg                   | 2 756 540     | 2 702 438     | 2 598 162,00     |
| Hungary                      | 8 656 378     | 8 471 315     | 8 118 089,60     |
| Malta                        | 730 534       | 713 753       | 677 499,00       |
| Netherlands                  | - 705 434 085 | - 705 644 524 | - 709 333 867,00 |
| Austria                      | 25 981 335    | 26 151 576    | 14 730 040,00    |
| Poland                       | 32 694 690    | 30 661 967    | 30 368 895,30    |
| Portugal                     | 13 505 482    | 13 564 841    | 13 485 062,00    |
| Romania                      | 13 088 408    | 12 686 273    | 12 155 125,08    |
| Slovenia                     | 2 998 359     | 2 966 530     | 2 906 563,00     |
| Slovakia                     | 6 047 555     | 5 968 179     | 5 758 900,00     |
| Finland                      | 15 842 504    | 16 006 769    | 15 788 289,00    |
| Sweden                       | - 166 217 026 | - 166 142 726 | - 159 919 159,25 |
| United Kingdom               | 170 992 114   | 169 222 663   | 165 286 575,97   |
| <i>Article 1 6 0 — Total</i> | 0             | 0             | - 21 779 956,36  |

## TITLE 3

## SURPLUSES, BALANCES AND ADJUSTMENTS

CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) NO 609/2014

CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) NO 609/2014

CHAPTER 3 3 — NETTING OF ADJUSTMENTS TO THE VAT AND GNI-BASED OWN RESOURCES FOR PREVIOUS FINANCIAL YEARS

CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE

| Article Item | Heading                                                                                                                                             | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 3 0                                                                                                                                         |                     |                     |                     |             |
| 3 0 0        | <i>Surplus available from the preceding financial year</i>                                                                                          | p.m.                | 6 404 529 791       | 1 349 116 813,74    |             |
| 3 0 2        | <i>Repayment to the budget of the surplus from the Guarantee Fund for external actions</i>                                                          | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 3 0 — TOTAL                                                                                                                                 | p.m.                | 6 404 529 791       | 1 349 116 813,74    |             |
|              | CHAPTER 3 1                                                                                                                                         |                     |                     |                     |             |
| 3 1 0        | <i>Application for 1995 and subsequent financial years of Article 10b of Regulation (EU, Euratom) No 609/2014</i>                                   |                     |                     |                     |             |
| 3 1 0 3      | Application for 1995 and subsequent years of Article 10b of Regulation (EU, Euratom) No 609/2014                                                    | p.m.                | p.m.                | - 39 623 759,37     |             |
|              | Article 3 1 0 — Total                                                                                                                               | p.m.                | p.m.                | - 39 623 759,37     |             |
|              | CHAPTER 3 1 — TOTAL                                                                                                                                 | p.m.                | p.m.                | - 39 623 759,37     |             |
|              | CHAPTER 3 2                                                                                                                                         |                     |                     |                     |             |
| 3 2 0        | <i>Application for 1995 and subsequent financial years of Article 10b of Regulation (EU, Euratom) No 609/2014</i>                                   |                     |                     |                     |             |
| 3 2 0 3      | Application for 1995 and subsequent financial years of Article 10b of Regulation (EU, Euratom) No 609/2014                                          | p.m.                | p.m.                | 0,—                 |             |
|              | Article 3 2 0 — Total                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 3 2 — TOTAL                                                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 3 3                                                                                                                                         |                     |                     |                     |             |
| 3 3 0        | <i>Netting of adjustments to the VAT and GNI-based own resources for previous financial years</i>                                                   | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 3 3 — TOTAL                                                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 3 4                                                                                                                                         |                     |                     |                     |             |
| 3 4 0        | <i>Adjustment for the impact of the non-participation of certain Member States in certain policies in the area of freedom, security and justice</i> | p.m.                | p.m.                | 6 378 878,24        |             |
|              | CHAPTER 3 4 — TOTAL                                                                                                                                 | p.m.                | p.m.                | 6 378 878,24        |             |

**CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM****CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM****CHAPTER 3 7 — ADJUSTMENT RELATING TO THE IMPLEMENTATION OF OWN RESOURCES DECISIONS**

| Article Item | Heading                                                                                                                                    | Financial year 2018 | Financial year 2017  | Financial year 2016     | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------------------|----------------------|-------------------------|-------------|
|              | CHAPTER 3 5                                                                                                                                |                     |                      |                         |             |
| <b>3 5 0</b> | <b>Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom</b>              |                     |                      |                         |             |
| 3 5 0 4      | Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom                     | p.m.                | 0,—                  | - 126 858,28            |             |
|              | Article 3 5 0 — Total                                                                                                                      | p.m.                | 0,—                  | - 126 858,28            |             |
|              | CHAPTER 3 5 — TOTAL                                                                                                                        | p.m.                | 0,—                  | - 126 858,28            |             |
|              | CHAPTER 3 6                                                                                                                                |                     |                      |                         |             |
| <b>3 6 0</b> | <b>Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom</b> |                     |                      |                         |             |
| 3 6 0 4      | Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom        | p.m.                | p.m.                 | 45 910 286,39           |             |
|              | Article 3 6 0 — Total                                                                                                                      | p.m.                | p.m.                 | 45 910 286,39           |             |
|              | CHAPTER 3 6 — TOTAL                                                                                                                        | p.m.                | p.m.                 | 45 910 286,39           |             |
|              | CHAPTER 3 7                                                                                                                                |                     |                      |                         |             |
| <b>3 7 0</b> | <b>Adjustment relating to the implementation of own resources decisions</b>                                                                |                     |                      |                         |             |
|              | CHAPTER 3 7 — TOTAL                                                                                                                        | p.m.                | p.m.                 | - 4 094 695,69          |             |
|              |                                                                                                                                            | p.m.                | p.m.                 | - 4 094 695,69          |             |
|              | <b>Title 3 — Total</b>                                                                                                                     | <b>p.m.</b>         | <b>6 404 529 791</b> | <b>1 357 560 665,03</b> |             |



### TITLE 3

#### SURPLUSES, BALANCES AND ADJUSTMENTS

#### CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

#### 3 0 0 *Surplus available from the preceding financial year*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | 6 404 529 791       | 1 349 116 813,74    |

#### *Remarks*

In accordance with Article 18 of the Financial Regulation, the balance from each financial year, whether surplus or deficit, is entered as revenue or expenditure in the budget of the subsequent financial year.

The relevant estimates of such revenue or expenditure are entered in the budget during the budgetary procedure and, where appropriate, in a letter of amendment submitted pursuant to Article 39 of the Financial Regulation. They are drawn up in accordance with the principles set out in Article 1(1) of Regulation (EU, Euratom) No 608/2014.

After the closure of the accounts for each financial year, any discrepancy in relation to the estimates is entered in the budget for the following financial year through an amending budget that must be presented by the Commission within 15 days following the submission of the provisional accounts.

A deficit is entered in Article 27 02 01 of the statement of expenditure in Section III 'Commission'.

#### *Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 18 thereof.

Council Regulation (EU, Euratom) No 608/2014 of 26 May 2014 laying down implementing measures for the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 29).

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39).

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Article 7 thereof.

CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR (*cont'd*)3 0 2 **Repayment to the budget of the surplus from the Guarantee Fund for external actions**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to receive, once the target amount has been reached, any surplus in the Guarantee Fund for external actions in accordance with Articles 3 and 4 of Regulation (EC, Euratom) No 480/2009.

*Legal basis*

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 7(2) thereof.

**CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) NO 609/2014**

**3 1 0 Application for 1995 and subsequent financial years of Article 10b of Regulation (EU, Euratom) No 609/2014**

3 1 0 3 Application for 1995 and subsequent years of Article 10b of Regulation (EU, Euratom) No 609/2014

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | - 39 623 759,37     |

*Remarks*

Under Article 7(1) of Regulation (EEC, Euratom) No 1553/89, Member States are to send the Commission a statement of the total amount of the VAT resources base for the previous calendar year before 31 July.

Each Member State is debited with an amount calculated on the basis of that statement according to Union rules and credited with the 12 payments actually made during the previous financial year.

Any corrections to the abovementioned statements resulting from Commission controls in accordance with Article 9 of Regulation (EEC, Euratom) No 1553/89 or/and any changes to the GNI of previous financial years that have an effect on the capping of the 'VAT' base will lead to adjustments of the 'VAT' balances.

The Commission shall inform the Member States of the amounts resulting from this calculation before 1 February of the year following that in which the data for the adjustments was supplied.

*Legal basis*

Council Regulation (EEC, Euratom) No 1553/89 of 29 May 1989 on the definitive uniform arrangements for the collection of own resources accruing from value added tax (OJ L 155, 7.6.1989, p. 9).

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 10b thereof.

**CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) NO 609/2014 (cont'd)**

**3 1 0** (cont'd)

**3 1 0 3** (cont'd)

| Member State         | Budget 2018 | Budget 2017 | Outturn 2016    |
|----------------------|-------------|-------------|-----------------|
| Belgium              | p.m.        | p.m.        | 0,—             |
| Bulgaria             | p.m.        | p.m.        | 0,—             |
| Czech Republic       | p.m.        | p.m.        | 0,—             |
| Denmark              | p.m.        | p.m.        | 0,—             |
| Germany              | p.m.        | p.m.        | 0,—             |
| Estonia              | p.m.        | p.m.        | 0,—             |
| Ireland              | p.m.        | p.m.        | 9 382 163,10    |
| Greece               | p.m.        | p.m.        | 0,—             |
| Spain                | p.m.        | p.m.        | 0,—             |
| France               | p.m.        | p.m.        | 0,—             |
| Croatia              | p.m.        | p.m.        | 0,—             |
| Italy                | p.m.        | p.m.        | – 49 234 541,85 |
| Cyprus               | p.m.        | p.m.        | 0,—             |
| Latvia               | p.m.        | p.m.        | 160 251,10      |
| Lithuania            | p.m.        | p.m.        | 0,—             |
| Luxembourg           | p.m.        | p.m.        | 0,—             |
| Hungary              | p.m.        | p.m.        | 0,—             |
| Malta                | p.m.        | p.m.        | 0,—             |
| Netherlands          | p.m.        | p.m.        | 0,—             |
| Austria              | p.m.        | p.m.        | 0,—             |
| Poland               | p.m.        | p.m.        | 68 368,28       |
| Portugal             | p.m.        | p.m.        | 0,—             |
| Romania              | p.m.        | p.m.        | 0,—             |
| Slovenia             | p.m.        | p.m.        | 0,—             |
| Slovakia             | p.m.        | p.m.        | 0,—             |
| Finland              | p.m.        | p.m.        | 0,—             |
| Sweden               | p.m.        | p.m.        | 0,—             |
| United Kingdom       | p.m.        | p.m.        | 0,—             |
| Item 3 1 0 3 — Total | p.m.        | p.m.        | – 39 623 759,37 |

**CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10b OF REGULATION (EU, EURATOM) NO 609/2014**

**3 2 0 Application for 1995 and subsequent financial years of Article 10b of Regulation (EU, Euratom) No 609/2014**

3 2 0 3 Application for 1995 and subsequent financial years of Article 10b of Regulation (EU, Euratom) No 609/2014

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

On the basis of figures for aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Regulation (EC, Euratom) No 1287/2003, each Member State shall be debited with an amount calculated according to Union rules and credited with the 12 payments made during that previous financial year.

Any changes to the gross national product/gross national income of previous financial years pursuant to Article 2(2) of Regulation (EC, Euratom) No 1287/2003, subject to Articles 4 and 5 thereof, gives rise for each Member State concerned to an adjustment to the balance established pursuant to Article 10b(4) of Regulation (EU, Euratom) No 609/2014.

The Commission shall inform the Member States of the amounts resulting from this calculation before 1 February of the year following that in which the data for the adjustments was supplied.

*Legal basis*

Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 10b thereof.

**CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) NO 609/2014**  
(cont'd)

**3 2 0** (cont'd)

**3 2 0 3** (cont'd)

| Member State         | Budget 2018 | Budget 2017 | Outturn 2016 |
|----------------------|-------------|-------------|--------------|
| Belgium              | p.m.        | p.m.        | 0,—          |
| Bulgaria             | p.m.        | p.m.        | 0,—          |
| Czech Republic       | p.m.        | p.m.        | 0,—          |
| Denmark              | p.m.        | p.m.        | 0,—          |
| Germany              | p.m.        | p.m.        | 0,—          |
| Estonia              | p.m.        | p.m.        | 0,—          |
| Ireland              | p.m.        | p.m.        | 0,—          |
| Greece               | p.m.        | p.m.        | 0,—          |
| Spain                | p.m.        | p.m.        | 0,—          |
| France               | p.m.        | p.m.        | 0,—          |
| Croatia              | p.m.        | p.m.        | 0,—          |
| Italy                | p.m.        | p.m.        | 0,—          |
| Cyprus               | p.m.        | p.m.        | 0,—          |
| Latvia               | p.m.        | p.m.        | 0,—          |
| Lithuania            | p.m.        | p.m.        | 0,—          |
| Luxembourg           | p.m.        | p.m.        | 0,—          |
| Hungary              | p.m.        | p.m.        | 0,—          |
| Malta                | p.m.        | p.m.        | 0,—          |
| Netherlands          | p.m.        | p.m.        | 0,—          |
| Austria              | p.m.        | p.m.        | 0,—          |
| Poland               | p.m.        | p.m.        | 0,—          |
| Portugal             | p.m.        | p.m.        | 0,—          |
| Romania              | p.m.        | p.m.        | 0,—          |
| Slovenia             | p.m.        | p.m.        | 0,—          |
| Slovakia             | p.m.        | p.m.        | 0,—          |
| Finland              | p.m.        | p.m.        | 0,—          |
| Sweden               | p.m.        | p.m.        | 0,—          |
| United Kingdom       | p.m.        | p.m.        | 0,—          |
| Item 3 2 0 3 — Total | p.m.        | p.m.        | 0,—          |

## CHAPTER 3 3 — NETTING OF ADJUSTMENTS TO THE VAT AND GNI-BASED OWN RESOURCES FOR PREVIOUS FINANCIAL YEARS

3 3 0 *Netting of adjustments to the VAT and GNI-based own resources for previous financial years*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Result of the calculation for the netting of adjustments to the VAT and GNI-based resources of previous financial years.

This calculation is the product of multiplying the total amounts of the adjustments referred to in Article 10b(1) to (4) of Regulation (EU, Euratom) No 609/2014, with the exception of particular adjustments pursuant to points (b) and (c) of Article 10b(2) of that Regulation, by the percentage that the GNI of that Member State represents of the GNI of all Member States, as applicable on 15 January to the budget in force for the year following that in which the data for the adjustments was supplied.

The Commission shall inform the Member States of the amounts resulting from this calculation before 1 February of the year following that in which the data for the adjustments was supplied.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105).

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 10b(5) thereof.

**CHAPTER 3 3 — NETTING OF ADJUSTMENTS TO THE VAT AND GNI-BASED OWN RESOURCES FOR PREVIOUS FINANCIAL YEARS**  
(cont'd)

**3 3 0** (cont'd)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016 |
|------------------------------|-------------|-------------|--------------|
| Belgium                      | p.m.        | p.m.        | 0,—          |
| Bulgaria                     | p.m.        | p.m.        | 0,—          |
| Czech Republic               | p.m.        | p.m.        | 0,—          |
| Denmark                      | p.m.        | p.m.        | 0,—          |
| Germany                      | p.m.        | p.m.        | 0,—          |
| Estonia                      | p.m.        | p.m.        | 0,—          |
| Ireland                      | p.m.        | p.m.        | 0,—          |
| Greece                       | p.m.        | p.m.        | 0,—          |
| Spain                        | p.m.        | p.m.        | 0,—          |
| France                       | p.m.        | p.m.        | 0,—          |
| Croatia                      | p.m.        | p.m.        | 0,—          |
| Italy                        | p.m.        | p.m.        | 0,—          |
| Cyprus                       | p.m.        | p.m.        | 0,—          |
| Latvia                       | p.m.        | p.m.        | 0,—          |
| Lithuania                    | p.m.        | p.m.        | 0,—          |
| Luxembourg                   | p.m.        | p.m.        | 0,—          |
| Hungary                      | p.m.        | p.m.        | 0,—          |
| Malta                        | p.m.        | p.m.        | 0,—          |
| Netherlands                  | p.m.        | p.m.        | 0,—          |
| Austria                      | p.m.        | p.m.        | 0,—          |
| Poland                       | p.m.        | p.m.        | 0,—          |
| Portugal                     | p.m.        | p.m.        | 0,—          |
| Romania                      | p.m.        | p.m.        | 0,—          |
| Slovenia                     | p.m.        | p.m.        | 0,—          |
| Slovakia                     | p.m.        | p.m.        | 0,—          |
| Finland                      | p.m.        | p.m.        | 0,—          |
| Sweden                       | p.m.        | p.m.        | 0,—          |
| United Kingdom               | p.m.        | p.m.        | 0,—          |
| <i>Article 3 3 0 — Total</i> | p.m.        | p.m.        | 0,—          |



CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE

**3 4 0** *Adjustment for the impact of the non-participation of certain Member States in certain policies in the area of freedom, security and justice*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 6 378 878,24        |

*Remarks*

Article 3 of the Protocol on the position of Denmark and Article 5 of the Protocol on the position of the United Kingdom and Ireland, annexed to the Treaty on European Union and the Treaty on the Functioning of the European Union, grant full exemption to these Member States from supporting the financial consequences of some specific policies in the area of freedom, security and justice, with the exception of the related administrative costs. They can therefore obtain an adjustment to the own resources paid for each year in which they do not participate.

Each Member State's contribution to the adjustment mechanism is calculated by applying to the budget expenditure resulting from this operation or policy the scale of the aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the account referred to in Article 9(1) of Regulation (EU, Euratom) No 609/2014 on the first working day of December, in accordance with Article 11 of that Regulation.

*Legal basis*

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 11 thereof.

Protocol on the position of Denmark, annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, and in particular Article 3 thereof, and Protocol on the position of the United Kingdom and Ireland in respect of the area of freedom, security and justice, annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, and in particular Article 5 thereof.

**CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE** (*cont'd*)

**3 4 0** (*cont'd*)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016    |
|------------------------------|-------------|-------------|-----------------|
| Belgium                      | p.m.        | p.m.        | 3 325 546,87    |
| Bulgaria                     | p.m.        | p.m.        | 359 505,19      |
| Czech Republic               | p.m.        | p.m.        | 1 274 640,46    |
| Denmark                      | p.m.        | p.m.        | – 7 727 917,80  |
| Germany                      | p.m.        | p.m.        | 25 108 543,18   |
| Estonia                      | p.m.        | p.m.        | 160 671,31      |
| Ireland                      | p.m.        | p.m.        | – 5 604 985,77  |
| Greece                       | p.m.        | p.m.        | 1 428 243,40    |
| Spain                        | p.m.        | p.m.        | 8 707 658,92    |
| France                       | p.m.        | p.m.        | 17 988 097,72   |
| Croatia                      | p.m.        | p.m.        | 359 996,95      |
| Italy                        | p.m.        | p.m.        | 13 231 950,00   |
| Cyprus                       | p.m.        | p.m.        | 142 378,77      |
| Latvia                       | p.m.        | p.m.        | 196 778,41      |
| Lithuania                    | p.m.        | p.m.        | 290 217,76      |
| Luxembourg                   | p.m.        | p.m.        | 270 053,86      |
| Hungary                      | p.m.        | p.m.        | 842 792,86      |
| Malta                        | p.m.        | p.m.        | 70 407,62       |
| Netherlands                  | p.m.        | p.m.        | 5 460 855,80    |
| Austria                      | p.m.        | p.m.        | 2 742 454,15    |
| Poland                       | p.m.        | p.m.        | 3 167 110,78    |
| Portugal                     | p.m.        | p.m.        | 1 419 826,23    |
| Romania                      | p.m.        | p.m.        | 1 254 141,69    |
| Slovenia                     | p.m.        | p.m.        | 305 294,12      |
| Slovakia                     | p.m.        | p.m.        | 627 057,99      |
| Finland                      | p.m.        | p.m.        | 1 708 755,90    |
| Sweden                       | p.m.        | p.m.        | 3 548 941,14    |
| United Kingdom               | p.m.        | p.m.        | – 74 280 139,27 |
| <i>Article 3 4 0 — Total</i> | p.m.        | p.m.        | 6 378 878,24    |

**CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM**

**3 5 0      *Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom***

3 5 0 4      Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | 0,—                 | – 126 858,28        |

*Remarks*

Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom.

The figures for 2017 correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2013.

The figures for 2016 correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2012.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Articles 4 and 5 thereof.

**CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY  
IMBALANCES FOR THE UNITED KINGDOM (cont'd)**

**3 5 0** (cont'd)

**3 5 0 4** (cont'd)

| Member State         | Budget 2018 | Budget 2017  | Outturn 2016     |
|----------------------|-------------|--------------|------------------|
| Belgium              | p.m.        | 3 427 431    | 12 108 628,00    |
| Bulgaria             | p.m.        | 1 515 290    | 1 275 199,00     |
| Czech Republic       | p.m.        | 2 998 256    | 3 213 857,05     |
| Denmark              | p.m.        | 6 889 492    | 4 701 620,16     |
| Germany              | p.m.        | 8 754 255    | 7 934 870,00     |
| Estonia              | p.m.        | 368 634      | 568 776,00       |
| Ireland              | p.m.        | 5 640 096    | 5 094 409,00     |
| Greece               | p.m.        | 2 191 253    | 1 773 357,00     |
| Spain                | p.m.        | - 651 779    | 7 537 051,00     |
| France               | p.m.        | 18 525 521   | 38 002 662,00    |
| Croatia              | p.m.        | 824 776      | 383 293,11       |
| Italy                | p.m.        | 25 072 902   | 19 830 215,00    |
| Cyprus               | p.m.        | 228 695      | 241 390,00       |
| Latvia               | p.m.        | 81 908       | 102 780,35       |
| Lithuania            | p.m.        | 1 117 632    | 646 364,00       |
| Luxembourg           | p.m.        | 2 999 679    | 1 741 166,00     |
| Hungary              | p.m.        | 1 214 768    | 2 086 250,60     |
| Malta                | p.m.        | 320 388      | 101 561,00       |
| Netherlands          | p.m.        | 1 151 037    | 4 101 900,00     |
| Austria              | p.m.        | 418 805      | 1 068 284,00     |
| Poland               | p.m.        | 2 257 310    | 6 717 065,04     |
| Portugal             | p.m.        | 1 399 728    | 3 441 569,00     |
| Romania              | p.m.        | 2 993 513    | 2 289 874,67     |
| Slovenia             | p.m.        | 916 682      | 554 253,00       |
| Slovakia             | p.m.        | 2 214 808    | 1 502 129,00     |
| Finland              | p.m.        | 4 965 839    | 4 198 567,00     |
| Sweden               | p.m.        | 565 841      | 911 530,58       |
| United Kingdom       | p.m.        | - 98 402 760 | - 132 255 479,84 |
| Item 3 5 0 4 — Total | p.m.        | 0            | - 126 858,28     |

**CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM**

**3 6 0** *Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom*

3 6 0 4 Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 45 910 286,39       |

*Remarks*

This item is intended to record the difference between previously budgeted and the latest intermediate update of the UK correction before the final calculations are made.

The figures for 2016 correspond to the result of the intermediate calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2014.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Articles 4 and 5 thereof.

**CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM** (cont'd)

**3 6 0** (cont'd)

**3 6 0 4** (cont'd)

| Member State         | Budget 2018 | Budget 2017 | Outturn 2016     |
|----------------------|-------------|-------------|------------------|
| Belgium              | p.m.        | p.m.        | 13 297 611,00    |
| Bulgaria             | p.m.        | p.m.        | 2 322 802,00     |
| Czech Republic       | p.m.        | p.m.        | 6 484 430,61     |
| Denmark              | p.m.        | p.m.        | 10 289 054,81    |
| Germany              | p.m.        | p.m.        | 19 889 796,00    |
| Estonia              | p.m.        | p.m.        | 870 740,00       |
| Ireland              | p.m.        | p.m.        | 13 998 232,00    |
| Greece               | p.m.        | p.m.        | 5 098 964,00     |
| Spain                | p.m.        | p.m.        | 34 026 198,00    |
| France               | p.m.        | p.m.        | 77 369 366,00    |
| Croatia              | p.m.        | p.m.        | 2 762 869,75     |
| Italy                | p.m.        | p.m.        | 59 231 069,00    |
| Cyprus               | p.m.        | p.m.        | 851 288,00       |
| Latvia               | p.m.        | p.m.        | 1 033 546,00     |
| Lithuania            | p.m.        | p.m.        | 869 479,00       |
| Luxembourg           | p.m.        | p.m.        | 3 575 795,00     |
| Hungary              | p.m.        | p.m.        | 3 912 813,02     |
| Malta                | p.m.        | p.m.        | 643 682,00       |
| Netherlands          | p.m.        | p.m.        | 4 823 050,00     |
| Austria              | p.m.        | p.m.        | 2 127 855,00     |
| Poland               | p.m.        | p.m.        | 18 898 687,07    |
| Portugal             | p.m.        | p.m.        | 6 712 478,00     |
| Romania              | p.m.        | p.m.        | 8 292 210,60     |
| Slovenia             | p.m.        | p.m.        | 1 770 672,00     |
| Slovakia             | p.m.        | p.m.        | 3 026 145,00     |
| Finland              | p.m.        | p.m.        | 9 102 902,00     |
| Sweden               | p.m.        | p.m.        | 3 367 883,34     |
| United Kingdom       | p.m.        | p.m.        | - 268 739 332,81 |
| Item 3 6 0 4 — Total | p.m.        | p.m.        | 45 910 286,39    |

## CHAPTER 3 7 — ADJUSTMENT RELATING TO THE IMPLEMENTATION OF OWN RESOURCES DECISIONS

3 7 0 *Adjustment relating to the implementation of own resources decisions*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | - 4 094 695,69      |

*Remarks*

Result of the calculation for the retroactive implementation of the Own Resources Decision 2014/335/EU, Euratom.

*Legal basis*

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Article 11.

CHAPTER 3 7 — ADJUSTMENT RELATING TO THE IMPLEMENTATION OF OWN RESOURCES DECISIONS (*cont'd*)3 7 0 (*cont'd*)

| Member State                 | Budget 2018 | Budget 2017 | Outturn 2016       |
|------------------------------|-------------|-------------|--------------------|
| Belgium                      | p.m.        | p.m.        | 380 157 383,00     |
| Bulgaria                     | p.m.        | p.m.        | 12 976 885,00      |
| Czech Republic               | p.m.        | p.m.        | 123 489 882,24     |
| Denmark                      | p.m.        | p.m.        | – 148 089 780,00   |
| Germany                      | p.m.        | p.m.        | – 1 996 696 973,00 |
| Estonia                      | p.m.        | p.m.        | 7 533 435,00       |
| Ireland                      | p.m.        | p.m.        | 170 564 976,00     |
| Greece                       | p.m.        | p.m.        | 60 999 683,00      |
| Spain                        | p.m.        | p.m.        | 444 555 900,00     |
| France                       | p.m.        | p.m.        | 1 063 986 397,00   |
| Croatia                      | p.m.        | p.m.        | 13 385 944,35      |
| Italy                        | p.m.        | p.m.        | 887 769 484,00     |
| Cyprus                       | p.m.        | p.m.        | 9 862 666,00       |
| Latvia                       | p.m.        | p.m.        | 6 740 740,00       |
| Lithuania                    | p.m.        | p.m.        | 12 047 755,00      |
| Luxembourg                   | p.m.        | p.m.        | 4 601 725,00       |
| Hungary                      | p.m.        | p.m.        | 44 449 215,89      |
| Malta                        | p.m.        | p.m.        | 2 539 423,00       |
| Netherlands                  | p.m.        | p.m.        | – 1 798 837 531,00 |
| Austria                      | p.m.        | p.m.        | 180 218 679,00     |
| Poland                       | p.m.        | p.m.        | 149 062 665,63     |
| Portugal                     | p.m.        | p.m.        | 77 069 484,00      |
| Romania                      | p.m.        | p.m.        | 32 439 679,16      |
| Slovenia                     | p.m.        | p.m.        | 22 119 731,00      |
| Slovakia                     | p.m.        | p.m.        | 33 257 802,00      |
| Finland                      | p.m.        | p.m.        | 132 868 521,00     |
| Sweden                       | p.m.        | p.m.        | – 606 454 696,38   |
| United Kingdom               | p.m.        | p.m.        | 673 286 228,42     |
| <i>Article 3 7 0 — Total</i> | p.m.        | p.m.        | – 4 094 695,69     |



## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                                                                                                                                                                                                         | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 4 0                                                                                                                                                                                                                                                                                                                                     |                     |                     |                     |             |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of Members of the institutions, officials, other servants and persons in receipt of a pension, and members of the governing bodies of the European Investment Bank, the European Central Bank, the European Investment Fund, their staff and persons in receipt of a pension</i> | 811 732 484         | 771 386 385         | 746 015 667,95      | 91,90       |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment</i>                                                                                                                                                                                             | p.m.                | p.m.                | 83 983,77           |             |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institutions, officials and other servants in active employment</i>                                                                                                                                                                                 | 91 680 394          | 85 949 434          | 83 989 089,60       | 91,61       |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                                                                                                                                                                                                             | 903 412 878         | 857 335 819         | 830 088 741,32      | 91,88       |
|              | CHAPTER 4 1                                                                                                                                                                                                                                                                                                                                     |                     |                     |                     |             |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                                                                                                                                                                                                | 467 789 059         | 451 191 279         | 441 782 084,74      | 94,44       |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                                                                                                                                                                                                          | 135 112 882         | 141 960 601         | 139 503 802,84      | 103,25      |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                                                                                                                                                                                                        | 110 000             | 110 000             | 140 780,70          | 127,98      |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                                                                                                                                                                                                             | 603 011 941         | 593 261 880         | 581 426 668,28      | 96,42       |
|              | CHAPTER 4 2                                                                                                                                                                                                                                                                                                                                     |                     |                     |                     |             |
| 4 2 0        | <i>Employer's contribution by decentralised agencies and international organisations to the pension scheme</i>                                                                                                                                                                                                                                  | 40 984 006          | 39 664 373          | 40 342 054,31       | 98,43       |
| 4 2 1        | <i>Contributions by Members of the European Parliament to the pension scheme</i>                                                                                                                                                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 4 2 — TOTAL                                                                                                                                                                                                                                                                                                                             | 40 984 006          | 39 664 373          | 40 342 054,31       | 98,43       |
|              | Title 4 — Total                                                                                                                                                                                                                                                                                                                                 | 1 547 408 825       | 1 490 262 072       | 1 451 857 463,91    | 93,83       |

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from the tax on the salaries, wages and allowances of Members of the institutions, officials, other servants and persons in receipt of a pension, and members of the governing bodies of the European Investment Bank, the European Central Bank, the European Investment Fund, their staff and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 811 732 484         | 771 386 385         | 746 015 667,95      |

## Remarks

This revenue represents all the tax levied on salaries, wages and emoluments of every type, with the exception of benefits and family allowances paid to Members of the Commission, officials, other servants and persons in receipt of the compensation payments on termination of employment referred to in Chapter 01 of each title of the statement of expenditure and to persons in receipt of a pension.

|                                                                                                             |               |
|-------------------------------------------------------------------------------------------------------------|---------------|
| Parliament                                                                                                  | 76 861 414    |
| Council                                                                                                     | 26 645 000    |
| Commission:                                                                                                 | 544 109 144   |
| — administration                                                                                            | (435 759 000) |
| — research and technological development                                                                    | (20 016 979)  |
| — research (indirect actions)                                                                               | (18 033 572)  |
| — European Anti-Fraud Office (OLAF)                                                                         | (3 561 000)   |
| — European Personnel Selection Office (EPSO)                                                                | (777 000)     |
| — Office for Infrastructure and Logistics in Brussels (OIB)                                                 | (3 110 000)   |
| — Office for Infrastructure and Logistics in Luxembourg (OIL)                                               | (902 000)     |
| — Office for Administration and Payment of Individual Entitlements (PMO)                                    | (1 335 000)   |
| — Publications Office of the European Union (OP)                                                            | (3 897 000)   |
| — Agency for the Cooperation of Energy Regulators (ACER)                                                    | (337 632)     |
| — Bio-based Industries Joint Undertaking (BBI)                                                              | (109 395)     |
| — Body of European Regulators for Electronic Communications (BEREC Office)                                  | (75 684)      |
| — Clean Sky Joint Undertaking (CSJU)                                                                        | (297 723)     |
| — Community Plant Variety Office (CPVO)                                                                     | (353 996)     |
| — Consumers, Health, Agriculture and Food Executive Agency (CHAFEA ex-EAHC)                                 | (271 069)     |
| — Education, Audiovisual and Culture Executive Agency (EACEA)                                               | (1 544 529)   |
| — Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL, ex-Artemis and ENIAC) | (163 039)     |
| — European Agency for Safety and Health at Work (EU-OSHA)                                                   | (288 894)     |

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 0** (*cont'd*)

|                                                                                                                                   |             |
|-----------------------------------------------------------------------------------------------------------------------------------|-------------|
| — European Agency for the Operational Management of Large-scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA) | (921 498)   |
| — European Asylum Support Office (EASO)                                                                                           | (789 138)   |
| — European Aviation Safety Agency (EASA)                                                                                          | (4 920 197) |
| — European Banking Authority (EBA)                                                                                                | (1 649 272) |
| — European Border and Coast Guard Agency (FRONTEX)                                                                                | (1 498 477) |
| — European Centre for Disease Prevention and Control (ECDC)                                                                       | (1 335 173) |
| — European Centre for the Development of Vocational Training (Cedefop)                                                            | (629 982)   |
| — European Chemicals Agency (ECHA)                                                                                                | (3 896 063) |
| — European Environment Agency (EEA)                                                                                               | (1 504 959) |
| — European Fisheries Control Agency (EFCA)                                                                                        | (372 004)   |
| — European Food Safety Authority (EFSA)                                                                                           | (2 004 707) |
| — European Foundation for the Improvement of Living and Working Conditions (Eurofound)                                            | (783 072)   |
| — European GNSS Agency (GSA)                                                                                                      | (763 218)   |
| — European Institute for Gender Equality (EIGE)                                                                                   | (117 687)   |
| — European Institute of Innovation and Technology (EIT)                                                                           | (163 169)   |
| — European Insurance and Occupational Pensions Authority (EIOPA)                                                                  | (856 910)   |
| — European Joint Undertaking for ITER and the Development of Fusion Energy (F4E)                                                  | (2 343 424) |
| — European Maritime Safety Agency (EMSA)                                                                                          | (1 083 208) |
| — European Medicines Agency (EMA)                                                                                                 | (5 329 516) |
| — European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)                                                                | (564 497)   |
| — European Research Council Executive Agency (ERCEA)                                                                              | (1 723 665) |
| — European Securities and Markets Authority (ESMA)                                                                                | (98 228)    |
| — European Training Foundation (ETF)                                                                                              | (917 538)   |
| — European Union Agency for Fundamental Rights (FRA)                                                                              | (495 115)   |
| — European Union Agency for Law Enforcement Cooperation (Europol)                                                                 | (3 444 039) |
| — European Union Agency for Law Enforcement Training (CEPOL)                                                                      | (110 848)   |
| — European Union Agency for Network and Information Security (ENISA)                                                              | (261 639)   |
| — European Union Agency for Railways (ERA)                                                                                        | (1 059 506) |
| — European Union Intellectual Property Office (EUIPO ex-OHIM)                                                                     | (4 672 104) |
| — European Union's Judicial Cooperation Unit (EUROJUST)                                                                           | (812 557)   |
| — Executive Agency for Small and Medium-sized Enterprises (EASME ex-EACI)                                                         | (1 411 464) |
| — Fuel Cells and Hydrogen Joint Undertaking (FCH)                                                                                 | (193 323)   |
| — Innovation and Networks Executive Agency (INEA ex-TEN-T EA)                                                                     | (956 126)   |
| — Innovative Medicines Initiative JU (IMI)                                                                                        | (266 872)   |
| — Research Executive Agency (REA)                                                                                                 | (2 308 512) |
| — Shift2Rail Joint Undertaking (SHIFT2RAIL)                                                                                       | (69 936)    |
| — Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)                                                   | (247 377)   |

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)

4 0 0

*(cont'd)*

|                                                                 |             |             |
|-----------------------------------------------------------------|-------------|-------------|
| — Single Resolution Board (SRB)                                 | (1 399 194) |             |
| — Translation Centre for the bodies of the European Union (CdT) | (1 301 418) |             |
| Court of Justice of the European Union                          |             | 29 190 000  |
| European Court of Auditors                                      |             | 11 334 000  |
| European Economic and Social Committee                          |             | 5 312 917   |
| European Committee of the Regions                               |             | 4 073 564   |
| European Ombudsman                                              |             | 641 445     |
| European Data Protection Supervisor                             |             | 705 000     |
| European External Action Service                                |             | 24 450 000  |
| European Investment Bank                                        |             | 47 690 000  |
| European Central Bank                                           |             | 36 000 000  |
| European Investment Fund                                        |             | 4 720 000   |
|                                                                 | Total       | 811 732 484 |

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Council Regulation No 422/67/EEC, No 5/67/Euratom of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) of the Council No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)4 0 0 (*cont'd*)

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

Council Decision 2009/910/EU of 1 December 2009 laying down the conditions of employment of the High Representative of the Union for Foreign Affairs and Security Policy (OJ L 322, 9.12.2009, p. 36).

Council Decision 2009/912/EU of 1 December 2009 laying down the conditions of employment of the Secretary-General of the Council of the European Union (OJ L 322, 9.12.2009, p. 38).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 83 983,77           |

*Remarks*

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this line will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment.

|                                                                          |        |      |
|--------------------------------------------------------------------------|--------|------|
| European Parliament                                                      |        | p.m. |
| Council                                                                  |        | p.m. |
| Commission:                                                              |        | p.m. |
| — administration                                                         | (p.m.) |      |
| — research and technological development                                 | (p.m.) |      |
| — research (indirect actions)                                            | (p.m.) |      |
| — European Personnel Selection Office (EPSO)                             | (p.m.) |      |
| — European Anti-Fraud Office (OLAF)                                      | (p.m.) |      |
| — Office for Infrastructure and Logistics in Brussels (OIB)              | (p.m.) |      |
| — Office for Infrastructure and Logistics in Luxembourg (OIL)            | (p.m.) |      |
| — Office for Administration and Payment of Individual Entitlements (PMO) | (p.m.) |      |
| — Publications Office of the European Union (OP)                         | (p.m.) |      |
| — Community Plant Variety Office (CPVO)                                  | (p.m.) |      |
| — European Agency for Safety and Health at Work (EU-OSHA)                | (p.m.) |      |
| — European Medicines Agency (EMA)                                        | (p.m.) |      |
| — European Aviation Safety Agency (EASA)                                 | (p.m.) |      |
| — European Centre for the Development of Vocational Training (Cedefop)   | (p.m.) |      |

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)4 0 3 (*cont'd*)

|                                                                                        |        |      |
|----------------------------------------------------------------------------------------|--------|------|
| — European Environment Agency (EEA)                                                    | (p.m.) |      |
| — European Food Safety Authority (EFSA)                                                | (p.m.) |      |
| — European Foundation for the Improvement of Living and Working Conditions (Eurofound) | (p.m.) |      |
| — European Maritime Safety Agency (EMSA)                                               | (p.m.) |      |
| — European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)                     | (p.m.) |      |
| — European Union Agency for Fundamental Rights (FRA)                                   | (p.m.) |      |
| — European Training Foundation (ETF)                                                   | (p.m.) |      |
| — European Union Intellectual Property Office (EUIPO ex-OHIM)                          | (p.m.) |      |
| — European Union's Judicial Cooperation Unit (Eurojust)                                | (p.m.) |      |
| — Translation Centre for the bodies of the European Union (CdT)                        | (p.m.) |      |
| Court of Justice of the European Union                                                 |        | p.m. |
| European Court of Auditors                                                             |        | p.m. |
| European Economic and Social Committee                                                 |        | p.m. |
| European Committee of the Regions                                                      |        | p.m. |
| European Ombudsman                                                                     |        | p.m. |
| European Data Protection Supervisor                                                    |        | p.m. |
|                                                                                        | Total  | p.m. |

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Council Regulation No 422/67/EEC, No 5/67/Euratom of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

## 4 0 4

***Proceeds from the special levy and the solidarity levy on the salaries of Members of the institutions, officials and other servants in active employment***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 91 680 394          | 85 949 434          | 83 989 089,60       |

*Remarks*

This article is intended to record the proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment in accordance with Article 66a of the Staff Regulations.

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)

4 0 4

*(cont'd)*

|                                                                                                                                   |              |            |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------|------------|
| Parliament                                                                                                                        |              | 11 551 312 |
| Council                                                                                                                           |              | 3 565 000  |
| Commission:                                                                                                                       |              | 60 888 266 |
| — administration                                                                                                                  | (38 409 000) |            |
| — research and technological development                                                                                          | (4 192 940)  |            |
| — research (indirect actions)                                                                                                     | (3 352 367)  |            |
| — European Anti-Fraud Office (OLAF)                                                                                               | (704 000)    |            |
| — European Personnel Selection Office (EPSO)                                                                                      | (151 000)    |            |
| — Office for Infrastructure and Logistics in Brussels (OIB)                                                                       | (574 000)    |            |
| — Office for Infrastructure and Logistics in Luxembourg (OIL)                                                                     | (167 000)    |            |
| — Office for Administration and Payment of Individual Entitlements (PMO)                                                          | (248 000)    |            |
| — Publications Office of the European Union (OP)                                                                                  | (831 000)    |            |
| — Agency for the Cooperation of Energy Regulators (ACER)                                                                          | (86 356)     |            |
| — Bio-based Industries Joint Undertaking (BBI)                                                                                    | (23 155)     |            |
| — Body of European Regulators for Electronic Communications (BEREC Office)                                                        | (22 013)     |            |
| — Clean Sky Joint Undertaking (CSJU)                                                                                              | (64 537)     |            |
| — Community Plant Variety Office (CPVO)                                                                                           | (78 368)     |            |
| — Consumers, Health, Agriculture and Food Executive Agency (CHAFEA ex-EAHC)                                                       | (43 977)     |            |
| — Education, Audiovisual and Culture Executive Agency (EACEA)                                                                     | (264 587)    |            |
| — Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL, ex-Artemis and ENIAC)                       | (39 692)     |            |
| — European Agency for Safety and Health at Work (EU-OSHA)                                                                         | (68 726)     |            |
| — European Agency for the Operational Management of Large-scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA) | (187 090)    |            |
| — European Asylum Support Office (EASO)                                                                                           | (172 769)    |            |
| — European Aviation Safety Agency (EASA)                                                                                          | (1 222 692)  |            |
| — European Banking Authority (EBA)                                                                                                | (257 876)    |            |
| — European Border and Coast Guard Agency (FRONTEX)                                                                                | (504 520)    |            |
| — European Centre for Disease Prevention and Control (ECDC)                                                                       | (241 689)    |            |
| — European Centre for the Development of Vocational Training (Cedefop)                                                            | (170 234)    |            |
| — European Chemicals Agency (ECHA)                                                                                                | (750 158)    |            |
| — European Environment Agency (EEA)                                                                                               | (239 038)    |            |
| — European Fisheries Control Agency (EFCA)                                                                                        | (97 694)     |            |
| — European Food Safety Authority (EFSA)                                                                                           | (468 040)    |            |

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (cont'd)

4 0 4

(cont'd)

|                                                                                        |             |                   |
|----------------------------------------------------------------------------------------|-------------|-------------------|
| — European Foundation for the Improvement of Living and Working Conditions (Eurofound) | (144 211)   |                   |
| — European GNSS Agency (GSA)                                                           | (221 949)   |                   |
| — European Institute for Gender Equality (EIGE)                                        | (37 004)    |                   |
| — European Institute of Innovation and Technology (EIT)                                | (54 128)    |                   |
| — European Insurance and Occupational Pensions Authority (EIOPA)                       | (186 976)   |                   |
| — European Joint Undertaking for ITER and the Development of Fusion Energy (F4E)       | (558 918)   |                   |
| — European Maritime Safety Agency (EMSA)                                               | (321 899)   |                   |
| — European Medicines Agency (EMA)                                                      | (784 035)   |                   |
| — European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)                     | (156 335)   |                   |
| — European Research Council Executive Agency (ERCEA)                                   | (310 131)   |                   |
| — European Securities and Markets Authority (ESMA)                                     | (238 417)   |                   |
| — European Training Foundation (ETF)                                                   | (195 345)   |                   |
| — European Union Agency for Fundamental Rights (FRA)                                   | (113 281)   |                   |
| — European Union Agency for Law Enforcement Cooperation (Europol)                      | (813 441)   |                   |
| — European Union Agency for Law Enforcement Training (CEPOL)                           | (34 052)    |                   |
| — European Union Agency for Network and Information Security (ENISA)                   | (63 943)    |                   |
| — European Union Agency for Railways (ERA)                                             | (244 781)   |                   |
| — European Union Intellectual Property Office (EUIPO ex-OHIM)                          | (1 115 137) |                   |
| — European Union's Judicial Cooperation Unit (EUROJUST)                                | (147 205)   |                   |
| — Executive Agency for Small and Medium-sized Enterprises (EASME ex-EACI)              | (218 425)   |                   |
| — Fuel Cells and Hydrogen Joint Undertaking (FCH)                                      | (45 704)    |                   |
| — Innovation and Networks Executive Agency (INEA ex-TEN-T EA)                          | (166 258)   |                   |
| — Innovative Medicines Initiative JU (IMI)                                             | (58 042)    |                   |
| — Research Executive Agency (REA)                                                      | (374 988)   |                   |
| — Shift2Rail Joint Undertaking (SHIFT2RAIL)                                            | (11 846)    |                   |
| — Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)        | (56 752)    |                   |
| — Single Resolution Board (SRB)                                                        | (295 994)   |                   |
| — Translation Centre for the bodies of the European Union (CdT)                        | (286 551)   |                   |
| Court of Justice of the European Union                                                 |             | 7 417 000         |
| European Court of Auditors                                                             |             | 2 000 000         |
| European Economic and Social Committee                                                 |             | 1 048 002         |
| European Committee of the Regions                                                      |             | 811 250           |
| European Ombudsman                                                                     |             | 80 564            |
| European Data Protection Supervisor                                                    |             | 123 000           |
| European External Action Service                                                       |             | 4 196 000         |
|                                                                                        | Total       | <u>91 680 394</u> |



**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

Council Regulation No 422/67/EEC, No 5/67/Euratom of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

Council Decision 2009/910/EU of 1 December 2009 laying down the conditions of employment of the High Representative of the Union for Foreign Affairs and Security Policy (OJ L 322, 9.12.2009, p. 36).

Council Decision 2009/912/EU of 1 December 2009 laying down the conditions of employment of the Secretary-General of the Council of the European Union (OJ L 322, 9.12.2009, p. 38).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 467 789 059         | 451 191 279         | 441 782 084,74      |

*Remarks*

The revenue represents staff contributions to the financing of the pension scheme.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (cont'd)

4 1 0

(cont'd)

|                                                                                                                                   |               |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------|
| Parliament                                                                                                                        | 66 291 673    |
| Council                                                                                                                           | 22 810 000    |
| Commission:                                                                                                                       | 320 611 896   |
| — administration                                                                                                                  | (192 400 000) |
| — research and technological development                                                                                          | (21 391 090)  |
| — research (indirect actions)                                                                                                     | (16 754 199)  |
| — European Anti-Fraud Office (OLAF)                                                                                               | (3 279 000)   |
| — European Personnel Selection Office (EPSO)                                                                                      | (912 000)     |
| — Office for Infrastructure and Logistics in Brussels (OIB)                                                                       | (5 498 000)   |
| — Office for Infrastructure and Logistics in Luxembourg (OIL)                                                                     | (1 507 000)   |
| — Office for Administration and Payment of Individual Entitlements (PMO)                                                          | (2 555 000)   |
| — Publications Office of the European Union (OP)                                                                                  | (4 551 000)   |
| — Agency for the Cooperation of Energy Regulators (ACER)                                                                          | (547 469)     |
| — Bio-based Industries Joint Undertaking (BBI)                                                                                    | (137 976)     |
| — Body of European Regulators for Electronic Communications (BEREC Office)                                                        | (146 248)     |
| — Clean Sky Joint Undertaking (CSJU)                                                                                              | (312 320)     |
| — Community Plant Variety Office (CPVO)                                                                                           | (391 712)     |
| — Consumers, Health, Agriculture and Food Executive Agency (CHAFEA ex-EAHC)                                                       | (353 002)     |
| — Education, Audiovisual and Culture Executive Agency (EACEA)                                                                     | (2 322 917)   |
| — Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL, ex-Artemis and ENIAC)                       | (204 606)     |
| — European Agency for Safety and Health at Work (EU-OSHA)                                                                         | (431 565)     |
| — European Agency for the Operational Management of Large-scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA) | (1 092 570)   |
| — European Asylum Support Office (EASO)                                                                                           | (1 341 814)   |
| — European Aviation Safety Agency (EASA)                                                                                          | (6 005 983)   |
| — European Banking Authority (EBA)                                                                                                | (1 321 425)   |
| — European Border and Coast Guard Agency (FRONTEX)                                                                                | (2 832 434)   |
| — European Centre for Disease Prevention and Control (ECDC)                                                                       | (1 597 193)   |
| — European Centre for the Development of Vocational Training (Cedefop)                                                            | (868 713)     |
| — European Chemicals Agency (ECHA)                                                                                                | (4 108 067)   |

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)4 1 0 (*cont'd*)

|                                                                                        |             |
|----------------------------------------------------------------------------------------|-------------|
| — European Environment Agency (EEA)                                                    | (1 350 362) |
| — European Fisheries Control Agency (EFCA)                                             | (506 499)   |
| — European Food Safety Authority (EFSA)                                                | (2 777 875) |
| — European Foundation for the Improvement of Living and Working Conditions (Eurofound) | (771 732)   |
| — European GNSS Agency (GSA)                                                           | (1 190 253) |
| — European Institute for Gender Equality (EIGE)                                        | (224 271)   |
| — European Institute of Innovation and Technology (EIT)                                | (358 766)   |
| — European Insurance and Occupational Pensions Authority (EIOPA)                       | (965 206)   |
| — European Joint Undertaking for ITER and the Development of Fusion Energy (F4E)       | (3 070 394) |
| — European Maritime Safety Agency (EMSA)                                               | (1 659 757) |
| — European Medicines Agency (EMA)                                                      | (4 849 544) |
| — European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)                     | (769 953)   |
| — European Research Council Executive Agency (ERCEA)                                   | (2 522 179) |
| — European Securities and Markets Authority (ESMA)                                     | (113 555)   |
| — European Training Foundation (ETF)                                                   | (977 277)   |
| — European Union Agency for Fundamental Rights (FRA)                                   | (678 243)   |
| — European Union Agency for Law Enforcement Cooperation (Europol)                      | (4 588 265) |
| — European Union Agency for Law Enforcement Training (CEPOL)                           | (249 540)   |
| — European Union Agency for Network and Information Security (ENISA)                   | (420 919)   |
| — European Union Agency for Railways (ERA)                                             | (1 255 884) |
| — European Union Intellectual Property Office (EUIPO ex-OHIM)                          | (6 225 888) |
| — European Union's Judicial Cooperation Unit (EUROJUST)                                | (1 256 562) |
| — Executive Agency for Small and Medium-sized Enterprises (EASME ex-EACI)              | (2 236 814) |
| — Fuel Cells and Hydrogen Joint Undertaking (FCH)                                      | (222 116)   |
| — Innovation and Networks Executive Agency (INEA ex-TEN-T EA)                          | (1 330 516) |
| — Innovative Medicines Initiative JU (IMI)                                             | (316 245)   |
| — Research Executive Agency (REA)                                                      | (3 624 030) |
| — Shift2Rail Joint Undertaking (SHIFT2RAIL)                                            | (104 054)   |
| — Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)        | (274 041)   |
| — Single Resolution Board (SRB)                                                        | (1 301 965) |
| — Translation Centre for the bodies of the European Union (CdT)                        | (1 555 888) |

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (cont'd)**4 1 0** (cont'd)

|                                        |             |
|----------------------------------------|-------------|
| Court of Justice of the European Union | 18 898 000  |
| European Court of Auditors             | 7 835 000   |
| European Economic and Social Committee | 5 567 163   |
| European Committee of the Regions      | 4 346 485   |
| European Ombudsman                     | 568 842     |
| European Data Protection Supervisor    | 606 000     |
| European External Action Service       | 20 254 000  |
| Total                                  | 467 789 059 |

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 135 112 882         | 141 960 601         | 139 503 802,84      |

*Remarks*

The revenue represents the payment to the Union of the actuarial equivalent or the flat-rate redemption value of pension rights acquired by officials in their previous jobs.

|                                        |             |
|----------------------------------------|-------------|
| European Parliament                    | 9 200 000   |
| Council                                | p.m.        |
| Commission                             | 125 912 882 |
| Court of Justice of the European Union | p.m.        |
| European Court of Auditors             | p.m.        |
| European Economic and Social Committee | p.m.        |
| European Committee of the Regions      | p.m.        |
| European Ombudsman                     | p.m.        |
| European Data Protection Supervisor    | p.m.        |
| European External Action Service       | p.m.        |
| Total                                  | 135 112 882 |

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (cont'd)**4 1 1** (cont'd)*Legal basis*

Staff Regulations of Officials of the European Union.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 110 000             | 110 000             | 140 780,70          |

*Remarks*

Officials and other servants taking leave on personal grounds may continue to acquire pension rights provided that they also bear the cost of the employer's contribution.

|                                        |                |
|----------------------------------------|----------------|
| European Parliament                    | 10 000         |
| Council                                | p.m.           |
| Commission                             | 100 000        |
| Court of Justice of the European Union | p.m.           |
| European Court of Auditors             | p.m.           |
| European Economic and Social Committee | p.m.           |
| European Committee of the Regions      | p.m.           |
| European Ombudsman                     | p.m.           |
| European Data Protection Supervisor    | p.m.           |
| European External Action Service       | p.m.           |
| Total                                  | <u>110 000</u> |

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME****4 2 0** *Employer's contribution by decentralised agencies and international organisations to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 40 984 006          | 39 664 373          | 40 342 054,31       |

CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)4 2 0 (*cont'd*)*Remarks*

The revenue represents the employer's contribution by decentralised agencies and international organisations to the pension scheme.

Commission

40 984 006

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 4 2 1

***Contributions by Members of the European Parliament to the pension scheme***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The revenue represents contributions by Members of the European Parliament to the financing of the pension scheme.

European Parliament

p.m.

*Legal basis*

Rules governing the payment of expenses and allowances to Members of the European Parliament, and in particular Annex III thereto.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

| Article Item | Heading                                                                                                                                                             | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                         |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property (supply of goods)</i></b>                                                                                          |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                               | p.m.                | p.m.                | 233 347,32          |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                 | p.m.                | p.m.                | 248 477,63          |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                                                                | p.m.                | p.m.                | 1 003 880,82        |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                        | p.m.                | p.m.                | 1 485 705,77        |             |
| <b>5 0 1</b> | <b><i>Proceeds from the sale of immovable property</i></b>                                                                                                          | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>                                                                    | p.m.                | p.m.                | 82 700,30           |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                 | p.m.                | p.m.                | 1 568 406,07        |             |
|              | CHAPTER 5 1                                                                                                                                                         |                     |                     |                     |             |
| <b>5 1 0</b> | <b><i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i></b>                                                                            | p.m.                | p.m.                | 355 336,67          |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>                                          |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                          | p.m.                | p.m.                | 21 940 574,30       |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                 | p.m.                | p.m.                | 4 824 024,93        |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                                                                        | p.m.                | p.m.                | 26 764 599,23       |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                 | p.m.                | p.m.                | 27 119 935,90       |             |
|              | CHAPTER 5 2                                                                                                                                                         |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the institutions' accounts</i></b>                                                      | 50 050              | 200 866             | 418 909,02          | 836,98      |
| <b>5 2 1</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i></b> | —                   | 25 000 000          | 37 644 922,58       |             |
| <b>5 2 2</b> | <b><i>Interest yielded by pre-financing</i></b>                                                                                                                     | 40 000 000          | 40 000 000          | 6 514 023,32        | 16,29       |
| <b>5 2 3</b> | <b><i>Revenue generated on trust accounts — Assigned revenue</i></b>                                                                                                | p.m.                | p.m.                | 52 901 263,24       |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                 | 40 050 050          | 65 200 866          | 97 479 118,16       | 243,39      |

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK**  
**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS**  
**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**  
**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                                | Financial year 2018 | Financial year 2017 | Financial year 2016   | % 2016/2018     |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|-----------------|
|              | CHAPTER 5 5                                                                                                                                                                                            |                     |                     |                       |                 |
| 5 5 0        | <i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i> | p.m.                | p.m.                | 85 697 278,42         |                 |
| 5 5 1        | <i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>                                                                                          | p.m.                | p.m.                | 7 198 932,68          |                 |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 92 896 211,10         |                 |
|              | CHAPTER 5 7                                                                                                                                                                                            |                     |                     |                       |                 |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                                   | p.m.                | p.m.                | 32 852 083,87         |                 |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>       | p.m.                | p.m.                | 28 774,—              |                 |
| 5 7 2        | <i>Repayment of welfare expenditure incurred on behalf of another institution</i>                                                                                                                      | p.m.                | p.m.                | 0,—                   |                 |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                           | p.m.                | p.m.                | 137 828 061,16        |                 |
| 5 7 4        | <i>Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Commission staff working in Union delegations — Assigned revenue</i>                            | p.m.                | p.m.                | 185 923 296,01        |                 |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 356 632 215,04        |                 |
|              | CHAPTER 5 8                                                                                                                                                                                            |                     |                     |                       |                 |
| 5 8 0        | <i>Revenue from payments connected with lettings — Assigned revenue</i>                                                                                                                                | p.m.                | p.m.                | 692 678,16            |                 |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                                     | p.m.                | p.m.                | 300 977,50            |                 |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 993 655,66            |                 |
|              | CHAPTER 5 9                                                                                                                                                                                            |                     |                     |                       |                 |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                                    | 5 000 000           | 5 000 000           | 2 504 524,47          | 50,09           |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                                    | 5 000 000           | 5 000 000           | 2 504 524,47          | 50,09           |
|              | <b>Title 5 — Total</b>                                                                                                                                                                                 | <b>45 050 050</b>   | <b>70 200 866</b>   | <b>579 194 066,40</b> | <b>1 285,67</b> |



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 233 347,32          |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institutions.

It also records the proceeds from the sale of vehicles that are being replaced or scrapped when their book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 248 477,63          |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institutions.

It also records the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (cont'd)

5 0 0 (cont'd)

5 0 0 1 (cont'd)

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 003 880,82        |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (*cont'd*)**5 0 1** *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institutions.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

**5 0 2** *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 82 700,30           |

*Remarks*

In accordance with point (h) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products on an electronic medium.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 355 336,67          |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*5 1 1 0 *Proceeds from letting and subletting immovable property — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 21 940 574,30       |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING (cont'd)

## 5 1 1 (cont'd)

## 5 1 1 1 Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 4 824 024,93        |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

5 2 0 *Revenue from investments or loans granted, bank and other interest on the institutions' accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 50 050              | 200 866             | 418 909,02          |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest credited or debited on the institutions' accounts.

|                                        |        |
|----------------------------------------|--------|
| European Parliament                    | 50 000 |
| Council                                | p.m.   |
| Commission                             | p.m.   |
| Court of Justice of the European Union | p.m.   |
| European Court of Auditors             | p.m.   |
| European Economic and Social Committee | p.m.   |
| European Committee of the Regions      | 50     |
| European Ombudsman                     | p.m.   |
| European Data Protection Supervisor    | p.m.   |
| European External Action Service       | p.m.   |
| Total                                  | 50 050 |

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)**5 2 1** *Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| —                   | 25 000 000          | 37 644 922,58       |

*Remarks*

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

**5 2 2** *Interest yielded by pre-financing*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 40 000 000          | 40 000 000          | 6 514 023,32        |

*Remarks*

This article is intended to record revenue from the interest yielded by pre-financing.

In accordance with point (d) of Article 21(3) of the Financial Regulation, this revenue may be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Interest generated by pre-financing payments made from the budget shall not be due to the Union except as otherwise provided for in the delegation agreements, with the exception of those agreements concluded with third countries or the bodies they have designated. In cases in which it is provided for, such interest shall be reused for the corresponding action, deducted from payment requests in accordance with point (c) of the first subparagraph of Article 23 of the Financial Regulation, or recovered.

Delegated Regulation (EU) No 1268/2012 also lays down provisions regarding the accounting of interest yielded on pre-financing.

Commission

40 000 000

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular point (d) of Article 21(3) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)5 2 3 *Revenue generated on trust accounts — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 52 901 263,24       |

*Remarks*

This article is intended to record interest and other revenue generated on trust accounts.

The trust accounts are kept on behalf of the Union by international financial institutions (European Investment Fund, European Investment Bank, Council of Europe Development Bank/Kreditanstalt für Wiederaufbau, European Bank for Reconstruction and Development) managing Union programmes and the amounts paid in by the Union remain on the account until they are made available to the beneficiaries under the single programme, such as small and medium-sized enterprises or institutions managing projects in accession countries.

In accordance with Article 21(4) of the Financial Regulation, interest generated by trust accounts used for Union programmes are used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

5 5 0 *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 85 697 278,42       |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK (cont'd)

## 5 5 0 (cont'd)

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## 5 5 1 Revenue from third parties in respect of services or work supplied at their request — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 7 198 932,68        |

## Remarks

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

## 5 7 0 Revenue arising from the repayment of amounts wrongly paid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 32 852 083,87       |



**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS** (cont'd)

**5 7 0** (cont'd)

*Remarks*

In accordance with point (c) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 28 774,—            |

*Remarks*

In accordance with point (d) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS** (cont'd)

**5 7 2 Repayment of welfare expenditure incurred on behalf of another institution**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

|                                        |       |
|----------------------------------------|-------|
| European Parliament                    | p.m.  |
| Council                                | p.m.  |
| Commission                             | p.m.  |
| Court of Justice of the European Union | p.m.  |
| European Court of Auditors             | p.m.  |
| European Economic and Social Committee | p.m.  |
| European Committee of the Regions      | p.m.  |
| European Ombudsman                     | p.m.  |
| European Data Protection Supervisor    | p.m.  |
| European External Action Service       | p.m.  |
|                                        | Total |
|                                        | p.m.  |

**5 7 3 Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 137 828 061,16      |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |       |
|----------------------------------------|-------|
| European Parliament                    | p.m.  |
| Council                                | p.m.  |
| Commission                             | p.m.  |
| Court of Justice of the European Union | p.m.  |
| European Court of Auditors             | p.m.  |
| European Economic and Social Committee | p.m.  |
| European Committee of the Regions      | p.m.  |
| European Ombudsman                     | p.m.  |
| European Data Protection Supervisor    | p.m.  |
| European External Action Service       | p.m.  |
|                                        | Total |
|                                        | p.m.  |

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS** (cont'd)

**5 7 4 Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Commission staff working in Union delegations — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 185 923 296,01      |

*Remarks*

This revenue arises from a Commission contribution to the EEAS for covering locally managed expenses of Commission staff working in Union delegations, including Commission staff funded by the European Development Fund (EDF).

In accordance with Article 21(2) and (3) of the Financial Regulation, any revenue will be used to provide additional appropriations for Item 3 0 0 5 in the statement of expenditure in Section X 'European External Action Service'.

European External Action Service p.m.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0 Revenue from payments connected with lettings — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 692 678,16          |

*Remarks*

In accordance with point (g) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## CHAPTER 5 8 — MISCELLANEOUS COMPENSATION (cont'd)

5 8 1 **Revenue from insurance payments received — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 300 977,50          |

*Remarks*

In accordance with point (f) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article is also intended to record revenue arising from reimbursement by insurance companies of the salaries of officials involved in accidents.

|                                        |      |
|----------------------------------------|------|
| European Parliament                    | p.m. |
| Council                                | p.m. |
| Commission                             | p.m. |
| Court of Justice of the European Union | p.m. |
| European Court of Auditors             | p.m. |
| European Economic and Social Committee | p.m. |
| European Committee of the Regions      | p.m. |
| European Ombudsman                     | p.m. |
| European Data Protection Supervisor    | p.m. |
| European External Action Service       | p.m. |
| Total                                  | p.m. |

## CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS

5 9 0 **Other revenue from administrative operations**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 000 000           | 5 000 000           | 2 504 524,47        |

*Remarks*

This article is intended to record other revenue from administrative operations.

|                                        |           |
|----------------------------------------|-----------|
| European Parliament                    | p.m.      |
| Council                                | p.m.      |
| Commission                             | 5 000 000 |
| Court of Justice of the European Union | p.m.      |
| European Court of Auditors             | p.m.      |
| European Economic and Social Committee | p.m.      |
| European Committee of the Regions      | p.m.      |
| European Ombudsman                     | p.m.      |
| European Data Protection Supervisor    | p.m.      |
| European External Action Service       | p.m.      |
| Total                                  | 5 000 000 |

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

| Article Item | Heading                                                                                                                                                                                 | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/<br>2018 |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-----------------|
|              | CHAPTER 6 0                                                                                                                                                                             |                     |                     |                     |                 |
| <b>6 0 1</b> | <b>Miscellaneous research programmes</b>                                                                                                                                                |                     |                     |                     |                 |
| 6 0 1 1      | Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue                                                                     | p.m.                | p.m.                | 0,—                 |                 |
| 6 0 1 2      | European Fusion Development Agreement (EFDA) — Assigned revenue                                                                                                                         | —                   | —                   | 0,—                 |                 |
| 6 0 1 3      | Cooperation agreements with third countries under Union research programmes — Assigned revenue                                                                                          | p.m.                | p.m.                | 291 302 748,97      |                 |
| 6 0 1 5      | Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue           | p.m.                | p.m.                | 0,—                 |                 |
| 6 0 1 6      | Agreements for European cooperation in the field of scientific and technical research — Assigned revenue                                                                                | p.m.                | p.m.                | 0,—                 |                 |
|              | <i>Article 6 0 1 — Total</i>                                                                                                                                                            | p.m.                | p.m.                | 291 302 748,97      |                 |
| <b>6 0 2</b> | <b>Other programmes</b>                                                                                                                                                                 |                     |                     |                     |                 |
| 6 0 2 1      | Miscellaneous revenue relating to humanitarian aid and emergency support — Assigned revenue                                                                                             | p.m.                | p.m.                | 57 781 507,49       |                 |
|              | <i>Article 6 0 2 — Total</i>                                                                                                                                                            | p.m.                | p.m.                | 57 781 507,49       |                 |
| <b>6 0 3</b> | <b>Association agreements between the Union and third countries</b>                                                                                                                     |                     |                     |                     |                 |
| 6 0 3 1      | Revenue accruing from the participation of the candidate countries and the Western Balkan potential candidates in Union programmes — Assigned revenue                                   | p.m.                | p.m.                | 238 065 676,34      |                 |
| 6 0 3 2      | Revenue accruing from the participation of third countries, other than candidate countries and Western Balkan potential candidates in customs cooperation agreements — Assigned revenue | p.m.                | p.m.                | 246 489,60          |                 |
| 6 0 3 3      | Participation of third countries or outside bodies in Union activities — Assigned revenue                                                                                               | p.m.                | p.m.                | 38 269 817,52       |                 |
|              | <i>Article 6 0 3 — Total</i>                                                                                                                                                            | p.m.                | p.m.                | 276 581 983,46      |                 |
|              | CHAPTER 6 0 — TOTAL                                                                                                                                                                     | p.m.                | p.m.                | 625 666 239,92      |                 |
|              | CHAPTER 6 1                                                                                                                                                                             |                     |                     |                     |                 |
| <b>6 1 1</b> | <b>Repayment of expenditure incurred on behalf of one or more Member States</b>                                                                                                         |                     |                     |                     |                 |
| 6 1 1 3      | Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue                                                                                        | p.m.                | p.m.                | 35 732 929,25       |                 |

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (cont'd)

| Article Item | Heading                                                                                                                                                                                                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
| <b>6 1 1</b> | (cont'd)                                                                                                                                                                                                                                                                                                                                         |                     |                     |                     |             |
| 6 1 1 4      | Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel                                                                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 1 — Total</i>                                                                                                                                                                                                                                                                                                                     | p.m.                | p.m.                | 35 732 929,25       |             |
| <b>6 1 2</b> | <b>Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue</b>                                                                                                                                                                                                           | p.m.                | p.m.                | 5 845,93            |             |
| <b>6 1 4</b> | <b>Repayment of Union support to commercially successful projects and activities</b>                                                                                                                                                                                                                                                             |                     |                     |                     |             |
| 6 1 4 3      | Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue                                                                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
| 6 1 4 4      | Repayment of Union support to risk-sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue                                                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 4 — Total</i>                                                                                                                                                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
| <b>6 1 5</b> | <b>Repayment of unused Union aid</b>                                                                                                                                                                                                                                                                                                             |                     |                     |                     |             |
| 6 1 5 0      | Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue | p.m.                | p.m.                | 46 067 434,95       |             |
| 6 1 5 1      | Repayment of unused subsidies for balancing budgets — Assigned revenue                                                                                                                                                                                                                                                                           | p.m.                | p.m.                | 0,—                 |             |
| 6 1 5 2      | Repayment of unused interest subsidies — Assigned revenue                                                                                                                                                                                                                                                                                        | p.m.                | p.m.                | 0,—                 |             |
| 6 1 5 3      | Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue                                                                                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| 6 1 5 7      | Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development — Assigned revenue                                                               | p.m.                | p.m.                | 420 116 068,08      |             |
| 6 1 5 8      | Repayment of miscellaneous unused Union aid — Assigned revenue                                                                                                                                                                                                                                                                                   | p.m.                | p.m.                | 607 450,06          |             |
|              | <i>Article 6 1 5 — Total</i>                                                                                                                                                                                                                                                                                                                     | p.m.                | p.m.                | 466 790 953,09      |             |
| <b>6 1 6</b> | <b>Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue</b>                                                                                                                                                                                                                                  | p.m.                | p.m.                | 0,—                 |             |
| <b>6 1 7</b> | <b>Repayment of amounts paid in connection with Union aid to third countries</b>                                                                                                                                                                                                                                                                 |                     |                     |                     |             |
| 6 1 7 0      | Repayments within the framework of cooperation with South Africa — Assigned revenue                                                                                                                                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 7 — Total</i>                                                                                                                                                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (cont'd)**  
**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT**

| Article Item | Heading                                                                                                                                                                                                            | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/<br>2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-----------------|
| <b>6 1 8</b> | <b>Repayment of amounts paid in connection with food aid</b>                                                                                                                                                       |                     |                     |                     |                 |
| 6 1 8 0      | Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue                                                                                                          | p.m.                | p.m.                | 0,—                 |                 |
| 6 1 8 1      | Repayment of additional costs caused by the recipients of food aid — Assigned revenue                                                                                                                              | p.m.                | p.m.                | 3 356,64            |                 |
|              | <i>Article 6 1 8 — Total</i>                                                                                                                                                                                       | p.m.                | p.m.                | 3 356,64            |                 |
| <b>6 1 9</b> | <b>Repayment of other expenditure incurred on behalf of outside bodies</b>                                                                                                                                         |                     |                     |                     |                 |
| 6 1 9 1      | Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/ Euratom — Assigned revenue                                                                                | p.m.                | p.m.                | 0,—                 |                 |
|              | <i>Article 6 1 9 — Total</i>                                                                                                                                                                                       | p.m.                | p.m.                | 0,—                 |                 |
|              | <b>CHAPTER 6 1 — TOTAL</b>                                                                                                                                                                                         | p.m.                | p.m.                | 502 533 084,91      |                 |
|              | <b>CHAPTER 6 2</b>                                                                                                                                                                                                 |                     |                     |                     |                 |
| <b>6 2 0</b> | <b>Supply against payment of source materials or special fissile materials (point (b) of Article 6 of the Euratom Treaty) — Assigned revenue</b>                                                                   | p.m.                | p.m.                | 0,—                 |                 |
| <b>6 2 2</b> | <b>Revenue from services provided by the Joint Research Centre to outside bodies against payment</b>                                                                                                               |                     |                     |                     |                 |
| 6 2 2 1      | Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue                                                                                       | p.m.                | p.m.                | 21 815,79           |                 |
| 6 2 2 3      | Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue                                             | p.m.                | p.m.                | 9 798 787,42        |                 |
| 6 2 2 4      | Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue                 | p.m.                | p.m.                | 249 647,78          |                 |
| 6 2 2 5      | Other revenue for the Joint Research Centre — Assigned revenue                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |                 |
| 6 2 2 6      | Revenue from services provided by the Joint Research Centre to other Union institutions or other Commission departments on a competitive basis, to be used to provide additional appropriations — Assigned revenue | p.m.                | p.m.                | 77 886 596,21       |                 |
|              | <i>Article 6 2 2 — Total</i>                                                                                                                                                                                       | p.m.                | p.m.                | 87 956 847,20       |                 |
| <b>6 2 4</b> | <b>Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue</b>                              | p.m.                | p.m.                | 0,—                 |                 |
|              | <b>CHAPTER 6 2 — TOTAL</b>                                                                                                                                                                                         | p.m.                | p.m.                | 87 956 847,20       |                 |

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

| Article Item | Heading                                                                                                                                                                                                                     | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 6 3                                                                                                                                                                                                                 |                     |                     |                     |             |
| <b>6 3 0</b> | <b>Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue</b>                                                                  | p.m.                | p.m.                | 393 490 071,—       |             |
| <b>6 3 1</b> | <b>Contributions within the framework of the Schengen acquis</b>                                                                                                                                                            |                     |                     |                     |             |
| 6 3 1 1      | Contributions to administrative costs resulting from the agreement concluded with Iceland and Norway — Assigned revenue                                                                                                     | p.m.                | p.m.                | 1 178 788,84        |             |
| 6 3 1 2      | Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue                | p.m.                | p.m.                | 396 714,84          |             |
| 6 3 1 3      | Other contributions within the framework of the Schengen acquis (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue                                                                                         | p.m.                | p.m.                | 19 789 217,14       |             |
|              | <i>Article 6 3 1 — Total</i>                                                                                                                                                                                                | p.m.                | p.m.                | 21 364 720,82       |             |
| <b>6 3 2</b> | <b>Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue</b>                                                                                                       | p.m.                | p.m.                | 95 169 370,—        |             |
| <b>6 3 3</b> | <b>Contributions to certain external aid programmes — Assigned revenue</b>                                                                                                                                                  |                     |                     |                     |             |
| 6 3 3 0      | Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue   | p.m.                | p.m.                | 679 449 344,05      |             |
| 6 3 3 1      | Contributions from third countries, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue | p.m.                | p.m.                | 9 475 721,93        |             |
| 6 3 3 2      | Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 3 3 — Total</i>                                                                                                                                                                                                | p.m.                | p.m.                | 688 925 065,98      |             |
| <b>6 3 4</b> | <b>Contributions from EU trust funds</b>                                                                                                                                                                                    |                     |                     |                     |             |
| 6 3 4 0      | Contributions from EU trust funds to the management costs of the Commission — Assigned revenue                                                                                                                              | p.m.                | p.m.                | 15 011 561,40       |             |
|              | <i>Article 6 3 4 — Total</i>                                                                                                                                                                                                | p.m.                | p.m.                | 15 011 561,40       |             |



**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (cont'd)**  
**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS**  
**CHAPTER 6 5 — FINANCIAL CORRECTIONS**

| Article Item | Heading                                                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
| <b>6 3 5</b> | <b>Contribution to the European Fund for Sustainable Development (EFSD) — Assigned revenue</b>                           |                     |                     |                     |             |
| 6 3 5 0      | Contribution from the European Development Fund to the EFSD — Assigned revenue                                           | p.m.                | p.m.                |                     |             |
| 6 3 5 1      | Contributions from Member States, including their agencies, entities or natural persons to the EFSD — Assigned revenue   | p.m.                | p.m.                |                     |             |
| 6 3 5 2      | Contributions from third countries, including their agencies, entities or natural persons to the EFSD — Assigned revenue | p.m.                | p.m.                |                     |             |
| 6 3 5 3      | Contributions from international organisations to the EFSD — Assigned revenue                                            | p.m.                | p.m.                |                     |             |
|              | <i>Article 6 3 5 — Total</i>                                                                                             | p.m.                | p.m.                |                     |             |
|              | <b>CHAPTER 6 3 — TOTAL</b>                                                                                               | p.m.                | p.m.                | 1 213 960 789,20    |             |
|              | CHAPTER 6 4                                                                                                              |                     |                     |                     |             |
| <b>6 4 1</b> | <b>Contributions from financial instruments — Assigned revenue</b>                                                       |                     |                     |                     |             |
| 6 4 1 0      | Contributions from financial instruments (revenues) — Assigned revenue                                                   | p.m.                |                     |                     |             |
| 6 4 1 1      | Contributions from financial instruments (repayments) — Assigned revenue                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 4 1 — Total</i>                                                                                             | p.m.                | p.m.                | 0,—                 |             |
| <b>6 4 2</b> | <b>Contributions from financial instruments – Non-assigned revenue</b>                                                   |                     |                     |                     |             |
| 6 4 2 0      | Contributions from financial instruments — Revenues                                                                      | 25 000 000          |                     |                     |             |
| 6 4 2 1      | Contributions from financial instruments — Repayments                                                                    | 25 000 000          |                     |                     |             |
|              | <i>Article 6 4 2 — Total</i>                                                                                             | 50 000 000          |                     |                     |             |
|              | <b>CHAPTER 6 4 — TOTAL</b>                                                                                               | 50 000 000          | p.m.                | 0,—                 | 0           |
|              | CHAPTER 6 5                                                                                                              |                     |                     |                     |             |
| <b>6 5 1</b> | <b>Financial corrections related to the programming periods before 2000</b>                                              | p.m.                | p.m.                | 12 003 509,60       |             |
| <b>6 5 2</b> | <b>Financial corrections related to the programming period 2000-2006 — Assigned revenue</b>                              | p.m.                | p.m.                | 35 407 317,64       |             |
| <b>6 5 3</b> | <b>Financial corrections related to the programming period 2007-2013 — Assigned revenue</b>                              | p.m.                | p.m.                | 0,—                 |             |
| <b>6 5 4</b> | <b>Financial corrections related to the programming period 2014-2020 — Assigned revenue</b>                              | p.m.                | p.m.                | 0,—                 |             |
|              | <b>CHAPTER 6 5 — TOTAL</b>                                                                                               | p.m.                | p.m.                | 47 410 827,24       |             |

**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT**

| Article Item | Heading                                                                                           | Financial year 2018 | Financial year 2017 | Financial year 2016     | % 2016/2018     |
|--------------|---------------------------------------------------------------------------------------------------|---------------------|---------------------|-------------------------|-----------------|
|              | CHAPTER 6 6                                                                                       |                     |                     |                         |                 |
| <b>6 6 0</b> | <b>Other contributions and refunds</b>                                                            |                     |                     |                         |                 |
| 6 6 0 0      | Other assigned contributions and refunds — Assigned revenue                                       | p.m.                | p.m.                | 582 274 072,88          |                 |
| 6 6 0 1      | Other non-assigned contributions and refunds                                                      | 60 000 000          | 60 000 000          | 3 492 703,59            | 5,82            |
|              | <i>Article 6 6 0 — Total</i>                                                                      | 60 000 000          | 60 000 000          | 585 766 776,47          | 976,28          |
|              | <b>CHAPTER 6 6 — TOTAL</b>                                                                        | 60 000 000          | 60 000 000          | 585 766 776,47          | 976,28          |
|              | CHAPTER 6 7                                                                                       |                     |                     |                         |                 |
| <b>6 7 0</b> | <b>Revenue concerning European Agricultural Guarantee Fund</b>                                    |                     |                     |                         |                 |
| 6 7 0 1      | Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue                     | p.m.                | p.m.                | 1 593 690 104,14        |                 |
| 6 7 0 2      | European Agricultural Guarantee Fund irregularities — Assigned revenue                            | p.m.                | p.m.                | 118 371 446,59          |                 |
| 6 7 0 3      | Superlevy from milk producers — Assigned revenue                                                  | p.m.                | p.m.                | 815 080 221,22          |                 |
|              | <i>Article 6 7 0 — Total</i>                                                                      | p.m.                | p.m.                | 2 527 141 771,95        |                 |
| <b>6 7 1</b> | <b>Revenue concerning European Agricultural Fund for Rural Development</b>                        |                     |                     |                         |                 |
| 6 7 1 1      | Clearance of accounts European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue | p.m.                | p.m.                | 329 084 729,99          |                 |
| 6 7 1 2      | European Agricultural Fund for Rural Development (EAFRD) irregularities — Assigned revenue        | p.m.                | p.m.                | 8 752 186,37            |                 |
|              | <i>Article 6 7 1 — Total</i>                                                                      | p.m.                | p.m.                | 337 836 916,36          |                 |
|              | <b>CHAPTER 6 7 — TOTAL</b>                                                                        | p.m.                | p.m.                | 2 864 978 688,31        |                 |
|              | <b>Title 6 — Total</b>                                                                            | <b>110 000 000</b>  | <b>60 000 000</b>   | <b>5 928 273 253,25</b> | <b>5 389,34</b> |

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

6 0 1 *Miscellaneous research programmes*

6 0 1 1 Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue resulting from the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 03 50 and Article 32 05 50 (indirect action) of the statement of expenditure in Section III 'Commission', depending on the expenditure to be covered.

6 0 1 2 European Fusion Development Agreement (EFDA) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| —                   | —                   | 0,—                 |

*Remarks*

Revenue resulting from the multilateral European Fusion Development Agreement (EFDA) between the European Atomic Energy Community and its 26 fusion associates, which expired at the end of 2013.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 03 50 and Item 32 05 50 02 (indirect action) of the statement of expenditure in Section III 'Commission', depending on the expenditure to be covered.

6 0 1 3 Cooperation agreements with third countries under Union research programmes — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 291 302 748,97      |

*Remarks*

Revenue resulting from cooperation agreements between the Union and third countries, in particular those participating in European cooperation in the field of scientific and technical research (COST) in order to associate them with Union research programmes.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Any contributions received are to cover the costs of meetings, experts' contracts and research expenditure under the programmes in question.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 08 03 50, 08 04 50, 09 04 50, 15 03 50, 32 04 50, 32 05 50 (indirect action), 10 02 50 and 10 03 50 (direct action) of the statement of expenditure in Section III 'Commission', depending on the expenditure to be covered.

The association of Switzerland to parts of Horizon 2020 Framework Programme, to Euratom Programme 2014-2018 and to the activities carried out by the European Joint Undertaking for ITER and the Development of Fusion for Energy for 2014-2020 (Fusion for Energy), was expected to last until 31 December 2016.

Following the ratification of the Protocol to the Agreement between the European Community and its Member States, of the one part, and the Swiss Confederation, of the other, on the free movement of persons, regarding the participation of the Republic of Croatia as a Contracting Party, following its accession to the European Union (OJ L 31, 4.2.2017, p. 3) by the Swiss Federal Council on 16 December 2016, as of 1 January 2017 the Agreement associating Switzerland to Horizon 2020 continues to apply and it is expanded to cover Horizon 2020 in its entirety, the Euratom Programme 2014-2018 and the activities carried out by Fusion for Energy.

*Legal basis*

Partnership and Cooperation Agreement between the European Communities and their Member States, and Ukraine (OJ L 49, 19.2.1998, p. 3) signed on 14 June 1994, entered into force on 1 March 1998 and remains applicable for the parts not covered by the provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part.

Council Decision 2008/372/EC of 12 February 2008 on the signing and provisional application of a Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the State of Israel, of the other part, on a framework Agreement between the European Community and the State of Israel on the general principles governing the State of Israel's participation in Community programmes (OJ L 129, 17.5.2008, p. 39).

Council Decision 2011/28/EU of 12 July 2010 on the conclusion of a Protocol to the Partnership and Cooperation Agreement establishing a partnership between the European Communities and their Member States, of the one part, and the Republic of Moldova, of the other part, on a Framework Agreement between the European Union and the Republic of Moldova on the general principles for the participation of the Republic of Moldova in Union programmes (OJ L 14, 19.1.2011, p. 5).

Council Decision 2012/777/EU of 10 December 2012 on the signing, on behalf of the European Union, of a Protocol to the Partnership and Cooperation Agreement between the European Communities and their Member States, of the one part, and the Republic of Armenia, of the other part, on a Framework Agreement between the European Union and the Republic of Armenia on the general principles for the participation of the Republic of Armenia in Union programmes (OJ L 340, 13.12.2012, p. 26).

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Commission Decision C(2014) 2089 of 2 April 2014 on the approval and signature of the Agreement between the European Union and the State of Israel on the participation of Israel in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision 2014/494/EU of 16 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Georgia, of the other part (OJ L 261, 30.8.2014, p. 1).

Commission Decision C(2014) 4290 of 30 June 2014 on the approval and signature of the Agreement between the European Union and the Republic of Moldova on the participation of Moldova in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision 2014/691/EU of 29 September 2014 amending Decision 2014/668/EU on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 289, 3.10.2014, p. 1).

Council Decision 2014/953/EU of 4 December 2014, on the signing, on behalf of the European Union, and provisional application of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 370, 30.12.2014, p. 1).

Council Decision 2014/954/Euratom of 4 December 2014 approving the conclusion by the European Commission, on behalf of the European Atomic Energy Community, of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation, associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020; and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 370, 30.12.2014, p. 19).

Commission Decision C(2014) 9320 of 5 December 2014 on the conclusion, on behalf of the European Atomic Energy Community, of an Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020 and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy.

Council Decision (EU) 2015/209 of 10 November 2014 on the signing, on behalf of the European Union and provisional application of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 35, 11.2.2015, p. 1).

CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES (*cont'd*)6 0 1 (*cont'd*)6 0 1 3 (*cont'd*)

Council Decision (EU) 2015/575 of 17 December 2014 on the signing, on behalf of the European Union, and provisional application of the Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the Republic of Tunisia, of the other part, on a Framework Agreement between the European Union and the Republic of Tunisia on the general principles for the participation of the Republic of Tunisia in Union programmes (OJ L 96, 11.4.2015, p. 1).

Commission Decision C(2015) 1355 of 3 March 2015 on the approval and signature of the Agreement between the European Union and Ukraine on the participation of Ukraine in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision (EU) 2015/1795 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 263, 8.10.2015, p. 6).

Council Decision (EU) 2015/1796 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 263, 8.10.2015, p. 8).

Commission Decision C(2015) 8195 of 25 November 2015 on the approval and signature of the Agreement between the European Union and the Republic of Tunisia on the participation of the Republic of Tunisia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' (Agreement not yet signed).

Commission Decision C(2016)1360 of 9 March 2016 on the approval, on behalf of the European Union, and signature of an agreement on the participation of Georgia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Commission Decision C(2016) 2119 of 14 April 2016 on the approval, on behalf of the European Union, and signature of an agreement on the participation of the Republic of Armenia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' (Agreement signed on 19 May 2016 entering into force after legislative approval by Armenian authorities).

Commission Decision (2016)3119 of 27 May 2016 on the conclusion of an Agreement for scientific and technological cooperation between the European Atomic Energy Community and Ukraine associating Ukraine to the Euratom Research and Training Programme (2014-2018).

6 0 1 5 Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 5 (*cont'd*)*Remarks*

Revenue resulting from cooperation agreements between the Union and institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 09 04 50, 15 03 50 and 32 04 50 (indirect action) of the statement of expenditure in Section III 'Commission'.

6 0 1 6 Agreements for European cooperation in the field of scientific and technical research — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue from States taking part in European cooperation in the field of scientific and technical research.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations in Section III 'Commission'.

**6 0 2** ***Other programmes***

6 0 2 1 Miscellaneous revenue relating to humanitarian aid and emergency support — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 57 781 507,49       |

*Remarks*

Any contributions by outside bodies relating to humanitarian aid and emergency support.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations in Section III 'Commission'.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 2** (*cont'd*)6 0 2 1 (*cont'd*)*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 122, 24.4.2014, p. 1).

Council Regulation (EU) 2016/369 of 15 March 2016 on the provision of emergency support within the Union (OJ L 70, 16.3.2016, p. 1).

**6 0 3** ***Association agreements between the Union and third countries***

6 0 3 1 Revenue accruing from the participation of the candidate countries and the Western Balkan potential candidates in Union programmes — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 238 065 676,34      |

*Remarks*

Revenue accruing from the Association Agreements concluded between the Union and the countries listed below as a result of their participation in various Union programmes.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Framework Agreement between the European Community and the Republic of Turkey on the general principles for the participation of the Republic of Turkey in Community programmes (OJ L 61, 2.3.2002, p. 29).

Commission Decision C(2014) 3502 of 2 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Turkey on the participation of the Republic of Turkey in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and the Republic of Albania on the general principles for the participation of the Republic of Albania in Community programmes (OJ L 192, 22.7.2005, p. 2).

Commission Decision C(2014) 3711 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Albania on the participation of Albania in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and Bosnia and Herzegovina on the general principles for the participation of Bosnia and Herzegovina in Community programmes (OJ L 192, 22.7.2005, p. 9).



CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES (*cont'd*)6 0 3 (*cont'd*)6 0 3 1 (*cont'd*)

Commission Decision C(2014) 3693 of 10 June 2014 on the approval and signature of an Agreement between the European Union and Bosnia and Herzegovina on the participation of Bosnia and Herzegovina in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and Serbia and Montenegro on the general principles for the participation of Serbia and Montenegro in Community programmes (OJ L 192, 22.7.2005, p. 29).

Commission Decision C(2014) 3710 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Serbia on the participation of Serbia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part, on a Framework Agreement between the European Community and the former Yugoslav Republic of Macedonia on the general principles for the participation of the Former Yugoslav Republic of Macedonia in Community programmes (OJ L 192, 22.7.2005, p. 23).

Commission Decision C(2014) 3707 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the former Yugoslav Republic of Macedonia on the participation of the former Yugoslav Republic of Macedonia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol 8 to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part, on the general principles for the participation of Montenegro in Community programmes (OJ L 108, 29.4.2010, p. 1).

Commission Decision C(2014) 3705 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Montenegro on the participation of Montenegro in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision (EU) 2017/1388 of 17 July 2017 on the conclusion of the Framework Agreement between the European Union and Kosovo on the general principles for the participation of Kosovo in Union programmes (OJ L 195, 27.7.2017, p. 1).

Additional protocols to the Europe Agreements (Articles 228 and 238) opening up Union programmes to the candidate countries.

6 0 3 2 Revenue accruing from the participation of third countries, other than candidate countries and Western Balkan potential candidates in customs cooperation agreements — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 246 489,60          |

*Remarks*

This item is intended to receive third country contributions based on international cooperation agreements, in particular under the Transit project and the project for the dissemination of tariff and other particulars (by computer).

CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES (*cont'd*)6 0 3 (*cont'd*)6 0 3 2 (*cont'd*)

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 14 02 01, 14 02 51, 14 03 01 and 14 03 51 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Convention of 20 May 1987 between the European Economic Community, the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation, on a common transit procedure (OJ L 226, 13.8.1987, p. 2).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Council Decision of 19 March 2001 authorising the Commission to negotiate, on behalf of the European Community, an amendment to the Convention creating the Customs Cooperation Council signed in Brussels on 15 December 1950 allowing the European Community to become a member of that organisation.

Regulation (EU) No 1286/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme to improve the operation of taxation systems in the European Union for the period 2014-2020 (Fiscalis 2020) and repealing Decision No 1482/2007/EC (OJ L 347, 20.12.2013, p. 25), and in particular Article 5 thereof.

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209), and in particular Article 5 thereof.

6 0 3 3 Participation of third countries or outside bodies in Union activities — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 38 269 817,52       |

*Remarks*

This item is intended to receive contributions by third countries or outside bodies to Union activities.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

6 1 1 *Repayment of expenditure incurred on behalf of one or more Member States*

## 6 1 1 3 Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 35 732 929,25       |

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4 of that Decision, net revenue from the investment of available assets will constitute revenue in the general budget of the European Union earmarked for a specific purpose, namely financing research projects in sectors related to the coal and steel industry by the Research Fund for Coal and Steel.

The net revenue available for financing research projects in year  $n + 2$  appears in the balance sheet of the ECSC in liquidation for year  $n$ , and after the liquidation process has been completed will appear as assets in the balance sheet of the Coal and Steel Research Fund. This financing mechanism took effect in 2003. Revenue coming from 2016 will be used for research in 2018. In order to reduce as far as possible the fluctuations that movements in the financial markets could cause in the financing of research, a smoothing arrangement is applied. The amount of net revenue expected to be available for research in 2018 comes to EUR 27 400 000.

In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the fund's appropriations will be for the steel-related sector and 27,2 % for the coal-related sector.

In accordance with Article 21 and Article 181(2) of the Financial Regulation, revenue will be used to provide additional appropriations under Chapter 08 05 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

## 6 1 1 4 Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4(5) of that Decision, the amounts recovered return initially to the assets of the ECSC in liquidation and subsequently, on completion of the liquidation, to the assets of the Research Fund for Coal and Steel.

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (cont'd)

6 1 1 (cont'd)

6 1 1 4 (cont'd)

## Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

6 1 2 **Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 5 845,93            |

## Remarks

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

|                                  |      |
|----------------------------------|------|
| Commission                       | p.m. |
| Council                          | p.m. |
| European External Action Service | p.m. |
| Total                            | p.m. |

6 1 4 **Repayment of Union support to commercially successful projects and activities**

6 1 4 3 Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## Remarks

Repayment of all or part of the support for commercially successful projects, with possible participation in profits resulting from grants awarded as part of European risk capital activities in support of small and medium-sized enterprises under the Venture Consort and Eurotech Capital instruments.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 4** (*cont'd*)

6 1 4 4 Repayment of Union support to risk-sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Repayment of reflows and amounts left over from Union support to risk-sharing instruments financed from the European Regional Development Fund and the Cohesion Fund.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which the revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Articles 14 and 36a thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**6 1 5** **Repayment of unused Union aid**

6 1 5 0 Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 46 067 434,95       |

*Remarks*

Repayment of unused aid from the European Social Fund, the European Regional Development Fund, the European Agricultural Guidance and Guarantee Fund, the Financial Instrument for Fisheries Guidance, the Cohesion Fund, the European Union Solidarity Fund, the Instrument for Structural Policies for Pre-Accession (ISPA), the Instrument for Pre-Accession Assistance (IPA), the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

In accordance with Article 21 of the Financial Regulation, this revenue will be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 5 (*cont'd*)

## 6 1 5 1 Repayment of unused subsidies for balancing budgets — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 6 1 5 2 Repayment of unused interest subsidies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 6 1 5 3 Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 6 1 5 7 Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 420 116 068,08      |

*Remarks*

This item is intended to record the repayment of payments on account under the Structural Funds (European Regional Development Fund and European Social Fund), the Cohesion Fund, the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 5** (*cont'd*)6 1 5 7 (*cont'd*)

The amounts booked to this item will, in accordance with Articles 21 and 177 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission', in order not to reduce the contribution from the Funds to the operation concerned.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1), and in particular Article D of Annex II thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 82(2) and Chapter II thereof.

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

6 1 5 8 Repayment of miscellaneous unused Union aid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 607 450,06          |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 6** *Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Repayment by the International Atomic Energy Agency (IAEA) of sums which were advanced by the Commission to pay for controls carried out by the IAEA under the verification agreements (see Articles 32 03 01 and 32 03 02 of the statement of expenditure in Section III 'Commission').

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III (1) and (4) of the Treaty on the non-proliferation of nuclear weapons (OJ L 51, 22.2.1978, p. 1), and in particular Article 15 thereof.

Tripartite agreements between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

**6 1 7** *Repayment of amounts paid in connection with Union aid to third countries***6 1 7 0** Repayments within the framework of cooperation with South Africa — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Repayments by tenderers or recipients of overpayments made in connection with development cooperation with South Africa.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 21 02 05 01 and 21 02 05 02 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).



CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 8**      ***Repayment of amounts paid in connection with food aid***

6 1 8 0      Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Provisions contained in the invitations to tender or in the financial conditions attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

6 1 8 1      Repayment of additional costs caused by the recipients of food aid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 3 356,64            |

*Remarks*

Provisions contained in the terms of delivery attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**6 1 9**      ***Repayment of other expenditure incurred on behalf of outside bodies***

6 1 9 1      Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 9 (*cont'd*)6 1 9 1 (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 21 06 01, 21 06 02, 21 06 51 and 22 02 51 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

Regulation (Euratom) No 237/2014 of the Council of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

## CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT

**6 2 0** ***Supply against payment of source materials or special fissile materials (point (b) of Article 6 of the Euratom Treaty)***  
— ***Assigned revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue from the supply to Member States against payment of source materials or special fissile materials for their research programmes.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Treaty establishing the European Atomic Energy Community, and in particular point (b) of Article 6 thereof.

## CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT (cont'd)

6 2 2 **Revenue from services provided by the Joint Research Centre to outside bodies against payment**

## 6 2 2 1 Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 21 815,79           |

*Remarks*

Revenue resulting from the operation of the HFR (high-flux reactor) at the Petten establishment of the Joint Research Centre.

Payments by outside bodies to cover all types of expenditure involved in the operation of the HFR by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05 and 10 04 04 of the statement of expenditure in Section III 'Commission'.

*Completion of earlier programmes*

The revenue is provided by France and the Netherlands.

## 6 2 2 3 Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 9 798 787,42        |

*Remarks*

Revenue from persons, firms and outside bodies (third parties) for which the Joint Research Centre will carry out work and/or provide services against payment.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 02 of the statement of expenditure in Section III 'Commission' amounting to the expenditure under each contract with an outside body.

## 6 2 2 4 Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 249 647,78          |

*Remarks*

Council Decision 2013/743/EU requires the Joint Research Centre to support knowledge and technology transfer and to generate additional resources through, *inter alia*, the exploitation of intellectual property.

## CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT (cont'd)

6 2 2 (cont'd)

6 2 2 4 (cont'd)

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 04 02, 10 04 03 and Chapters 10 02 and 10 03 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 182 and 183 thereof.

Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

6 2 2 5 Other revenue for the Joint Research Centre — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue from contributions, gifts or bequests from third parties for the various activities carried out by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 10 01 05 and Chapters 10 02, 10 03 and 10 04 of the statement of expenditure in Section III 'Commission'.

6 2 2 6 Revenue from services provided by the Joint Research Centre to other Union institutions or other Commission departments on a competitive basis, to be used to provide additional appropriations — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 77 886 596,21       |

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)**6 2 2** (*cont'd*)**6 2 2 6** (*cont'd*)*Remarks*

Revenue from other Union institutions or other Commission departments for which the Joint Research Centre will carry out work and/or provide services against payment and revenue from participation in the activities of the framework programmes for research and technological development.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 03 of the statement of expenditure in Section III 'Commission' amounting to the specific expenditure under each contract with other Union institutions or other Commission departments.

**6 2 4** *Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS****6 3 0** *Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 393 490 071,—       |

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 0 (*cont'd*)*Remarks*

This article is intended to record contributions from the European Free Trade Association Member States resulting from their financial participation in certain activities of the Union in accordance with Article 82 of and Protocol 32 to the Agreement on the European Economic Area.

The total contribution planned is shown in the summary presented for information in an annex to the statement of expenditure in Section III 'Commission'.

Contributions by the European Free Trade Association Member States are made available to the Commission in accordance with Articles 1, 2 and 3 of Protocol 32 to the Agreement on the European Economic Area.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3).

6 3 1 **Contributions within the framework of the Schengen acquis**

## 6 3 1 1 Contributions to administrative costs resulting from the agreement concluded with Iceland and Norway — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 178 788,84        |

*Remarks*

Contributions to administrative costs resulting from the Agreement of 18 May 1999 concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 36), and in particular Article 12 of that Agreement.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Council

p.m.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)**6 3 1 2** Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 396 714,84          |

*Remarks*

In accordance with Article 21 of Financial Regulation, any revenue will be used to provide additional appropriations under Articles 18 02 07, 18 02 08, 18 02 09 and 18 03 03 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Council Decision 2004/512/CE of 8 June 2004 establishing the Visa Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 2 (*cont'd*)

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Union, the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008, concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).



CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 1 (*cont'd*)6 3 1 2 (*cont'd*)

Council Decision 2011/351/EU of 7 March 2011 on the conclusion of a Protocol between the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 160, 18.6.2011, p. 37).

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011, establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

Regulation (EU) No 603/2013 of the European Parliament and of the Council of 26 June 2013 on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes, and amending Regulation (EU) No 1077/2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 180, 29.6.2013, p. 1).

Regulation (EU) No 604/2013 of the European Parliament and of the Council of 26 June 2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (recast) (OJ L 180, 29.6.2013, p. 31).

6 3 1 3 Other contributions within the framework of the Schengen *acquis* (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 19 789 217,14       |

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 18 03 02 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Council Decision 2012/192/EU of 12 July 2010 on the signing, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 1).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)

Council Decision 2012/193/EU of 13 March 2012 on the conclusion, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 3).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Council Decision 2014/185/EU of 11 February 2014, on the signing, on behalf of the Union, of the Arrangement between the European Union and the Swiss Confederation on the modalities of its participation in the European Asylum Support Office (OJ L 102, 5.4.2014, p. 1).

Council Decision 2014/194/EU of 11 February 2014, on the signing, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland on the modalities of its participation in the European Asylum Support Office (OJ L 106, 9.4.2014, p. 2).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

Council Decision 2014/301/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Kingdom of Norway on the modalities of its participation in the European Asylum Support Office (OJ L 157, 27.5.2014, p. 33).

Council Decision 2014/344/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Principality of Liechtenstein on the modalities of its participation in the European Asylum Support Office (OJ L 170, 11.6.2014, p. 49).

Council Decision (EU) 2016/350 of 25 February 2016 on the conclusion of the Arrangement between the European Union and the Swiss Confederation on the modalities of its participation in the European Asylum Support Office (OJ L 65, 11.3.2016, p. 61).

Council Decision (EU) 2017/1249 of 16 June 2017 on the conclusion on behalf of the Union of the Agreement between the European Union and the Kingdom of Norway on supplementary rules in relation to the instrument for financial support for external borders and visa, as part of the Internal Security Fund for the period 2014 to 2020 (OJ L 179, 12.7.2017, p. 1).

Council Decision (EU) 2017/657 of 3 April 2017 on the conclusion on behalf of the Union of the Agreement between the European Union and the Principality of Liechtenstein on supplementary rules in relation to the instrument for financial support for external borders and visa, as part of the Internal Security Fund for the period 2014 to 2020 (OJ L 94, 7.4.2017, p. 1).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)

Regulation (EU) 2017/2226 of the European Parliament and of the Council of 30 November 2017 establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third-country nationals crossing the external borders of the Member States and determining the conditions for access to the EES for law enforcement purposes, and amending the Convention implementing the Schengen Agreement and Regulations (EC) No 767/2008 and (EU) No 1077/2011 (OJ L 327, 9.12.2017, p. 20).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2016, establishing an European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 515/2014, (EU) 2016/399, (EU) 2016/794 and (EU) 2016/1624 (COM(2016) 731 final).

**6 3 2 Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 95 169 370,—        |

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue from the European Development Fund (EDF) contributing to the cost of support measures will be used to provide additional appropriations under Item 21 01 04 07 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 2013/755/EU of 25 November 2013 on the association of the overseas countries and territories with the European Union ('Overseas Association Decision') (OJ L 344, 19.12.2013, p. 1).

Council Decision 2013/759/EU of 12 December 2013 regarding transitional EDF management measures from 1 January 2014 until the entry into force of the 11th European Development Fund (OJ L 335, 14.12.2013, p. 48).

*Reference acts*

Internal Agreement between the Representatives of the Governments of the Member States, meeting within the Council, on the financing of Community aid under the multiannual financial framework for the period 2008 to 2013 in accordance with the ACP-EC Partnership Agreement and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the EC Treaty applies (OJ L 247, 9.9.2006, p. 32).

Communication from the Commission to the European Parliament and the Council of 7 December 2011: Preparation of the multiannual financial framework regarding the financing of EU cooperation for African, Caribbean and Pacific States and Overseas Countries and Territories for the 2014-2020 period (11th European Development Fund) (COM(2011) 837 final).

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)**6 3 3 Contributions to certain external aid programmes — Assigned revenue**

6 3 3 0 Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 679 449 344,05      |

*Remarks*

This item is intended to record the financial contributions from Member States, including their public agencies, entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

6 3 3 1 Contributions from third countries, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 9 475 721,93        |

*Remarks*

This item is intended to record the financial contributions from third countries, including their public agencies entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

6 3 3 2 Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record the financial contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)**6 3 4 Contributions from EU trust funds**

6 3 4 0 Contributions from EU trust funds to the management costs of the Commission — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 15 011 561,40       |

*Remarks*

This item is intended to record the management fees which the Commission is authorised to withdraw up to a maximum of 5 % of the amounts pooled into the EU trust fund to cover its management costs from the years in which the contributions to each trust fund have started to be used.

In accordance with point (b) of Article 21(2) of the Financial Regulation, such management fees shall be assimilated to assigned revenue for the duration of the EU trust fund.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 187(7) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 259 thereof.

**6 3 5 Contribution to the European Fund for Sustainable Development (EFSD) — Assigned revenue**

6 3 5 0 Contribution from the European Development Fund to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from the European Development Fund to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 5 (*cont'd*)

## 6 3 5 1 Contributions from Member States, including their agencies, entities or natural persons to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from Member States, including their agencies, entities or natural persons to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

## 6 3 5 2 Contributions from third countries, including their agencies, entities or natural persons to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from third countries, including their agencies, entities or natural persons to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

## 6 3 5 3 Contributions from international organisations to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (cont'd)**6 3 5** (cont'd)

6 3 5 3 (cont'd)

*Remarks*

This item is intended to record the financial contributions from international organisations to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS****6 4 1** ***Contributions from financial instruments — Assigned revenue***

6 4 1 0 Contributions from financial instruments (revenues) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                |                     |                     |

*Remarks**New item*

Revenues, including dividends, capital gains, guarantee fees and interest on loans and on amounts on fiduciary accounts paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1),



CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS (*cont'd*)6 4 1 (*cont'd*)6 4 1 0 (*cont'd*)*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

6 4 1 1 Contributions from financial instruments (repayments) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks**New item (former Item 6 3 4 1)*

Annual repayments, including capital repayments, guarantees released, and repayments of the principal of loans, paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument, shall constitute internal assigned revenue in accordance with Article 21 of the Financial Regulation and shall be used for the same financial instruments, without prejudice to Article 140(9) of that Regulation, for a period not exceeding the period for the commitment of appropriations plus two years, unless specified otherwise in a basic act.

This item is also used to book repayments resulting from a reduction of the Union contribution to the Instrument.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS (*cont'd*)**6 4 2 Contributions from financial instruments – Non-assigned revenue**

## 6 4 2 0 Contributions from financial instruments — Revenues

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 25 000 000          |                     |                     |

*Remarks**New item*

Revenues, including dividends, capital gains, guarantee fees and interest on loans and on amounts on fiduciary accounts paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument, shall be entered in the budget after deduction of management costs and fees.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

## 6 4 2 1 Contributions from financial instruments — Repayments

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 25 000 000          |                     |                     |

*Remarks**New item*

Annual repayments, including capital repayments, guarantees released, and repayments of the principal of loans, paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument, if so specified in a basic act, shall not be considered as internal assigned revenue.

**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS** (*cont'd*)**6 4 2** (*cont'd*)6 4 2 1 (*cont'd*)*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

**CHAPTER 6 5 — FINANCIAL CORRECTIONS****6 5 1** *Financial corrections related to the programming periods before 2000*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 12 003 509,60       |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) Guidance Section, the Financial Instrument for Fisheries Guidance (FIGF) and the Cohesion Fund (CF), related to the programming periods before 2000.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 1** (*cont'd*)

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EEC) No 4254/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Council Regulation (EEC) No 4256/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the EAGGF Guidance Section (OJ L 374, 31.12.1988, p. 25).

Council Regulation (EEC) No 2080/93 of 20 July 1993 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the financial instrument of fisheries guidance (OJ L 193, 31.7.1993, p. 1).

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 1 (*cont'd*)

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

6 5 2 **Financial corrections related to the programming period 2000-2006 — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 35 407 317,64       |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) Guidance Section, the Financial Instrument for Fisheries Guidance (FIGF), the Cohesion Fund (CF) and the Special Accession Programme for Agriculture and Rural Development (Sapard), related to the 2000-2006 programming period and the Transitional Rural Development Instrument (TRDI) funded by the EAGGF Guarantee Section.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 2** (*cont'd*)

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 June 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Commission Regulation (EC) No 1386/2002 of 29 July 2002 laying down detailed rules for the implementation of Council Regulation (EC) No 1164/94 as regards the management and control systems for assistance granted from the Cohesion Fund and the procedure for making financial corrections (OJ L 201, 31.7.2002, p. 5).

Commission Regulation (EC) No 27/2004 of 5 January 2004 laying down transitional detailed rules for the application of Council Regulation No 1257/1999 as regards the financing by the EAGGF Guarantee Section of rural development measures in the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 5, 9.1.2004, p. 36).

Commission Regulation (EC) No 141/2004 of 28 January 2004 laying down rules for applying Council Regulation (EC) No 1257/1999 as regards the transitional rural development measures applicable to the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 24, 29.1.2004, p. 25).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)**6 5 3** *Financial corrections related to the programming period 2007-2013 — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF) the European Fisheries Fund (EFF) and the Instrument for Pre-accession Assistance (IPA I), related to the 2007-2013 programming period.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

**6 5 4** *Financial corrections related to the programming period 2014-2020 — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF), the European Maritime and Fisheries Fund (EMFF), the Fund for European Aid to the Most Deprived (FEAD) and the Instrument for Pre-accession Assistance (IPA II), related to the 2014-2020 programming period.

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 4 (*cont'd*)

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

6 6 0 *Other contributions and refunds*

## 6 6 0 0 Other assigned contributions and refunds — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 582 274 072,88      |

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

|                                  |            |
|----------------------------------|------------|
| European Parliament              | p.m.       |
| Council                          | p.m.       |
| Commission                       | p.m.       |
| European Ombudsman               | p.m.       |
| European External Action Service | p.m.       |
| Total                            | <hr/> p.m. |



**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS** (*cont'd*)**6 6 0** (*cont'd*)

6 6 0 1 Other non-assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 60 000 000          | 60 000 000          | 3 492 703,59        |

*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used in accordance with Article 21 of the Financial Regulation.

|                                  |            |
|----------------------------------|------------|
| European Parliament              | p.m.       |
| Commission                       | 60 000 000 |
| European External Action Service | p.m.       |
| Total                            | 60 000 000 |

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT****6 7 0** *Revenue concerning European Agricultural Guarantee Fund*

6 7 0 1 Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 593 690 104,14    |

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF) under Heading 2 of the 2007-2013 and the 2014-2020 multiannual financial framework in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. It includes corrections related to non-compliance with payment deadlines in accordance with Article 40 of that Regulation.

This item is also intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget concerning expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in Section III 'Commission'.

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

6 7 0 1 (*cont'd*)

The revenue under this item has been estimated at EUR 1 343 900 000, including EUR 610 000 000 estimated to be carried over from 2017 to 2018 in accordance with Article 14 of the Financial Regulation. When establishing the budget for 2018, an amount of EUR 400 000 000 was taken into account for financing the needs of measures under Article 05 02 08 (Item 05 02 08 03) and the remaining amount of EUR 943 900 000 was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 0 2 European Agricultural Guarantee Fund irregularities — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 118 371 446,59      |

*Remarks*

This item is intended to accommodate amounts recovered following irregularities or negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received, as well as securities, deposits or guarantees forfeited concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF) under Heading 2 of the 2007-2013 and the 2014-2020 multiannual financial framework in accordance with Articles 54 and 55 of Regulation (EU) No 1306/2013.

This item is also intended to accommodate amounts recovered following irregularities or oversight, including interest, penalties and securities acquired, resulting from expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

It is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as provided for in Article 55 of Regulation (EU) No 1306/2013.

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

6 7 0 2 (*cont'd*)

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Articles 43 and 55 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in Section III 'Commission'.

The revenue under this item has been estimated at EUR 132 000 000. When establishing the budget for 2018, this amount was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 0 3 Superlevy from milk producers — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 815 080 221,22      |

*Remarks*

This item is intended to accommodate amounts related to the surplus levy applying to the milk quota system which are collected or recovered in accordance with Section III of Chapter III of Title I of Part II of Regulation (EC) No 1234/2007, in particular Article 78 thereof.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under European Agricultural Guarantee Fund of the statement of expenditure in Section III 'Commission'.

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

6 7 0 3 (*cont'd*)

The milk superlevy was collected and declared by Member States for the last time under the general budget of the Union for 2016 following the end of the milk quota system in calendar year 2015. Any incoming revenue under this item would only concern possible regularisations of certain files, for which estimates are not possible in advance, and would be used for financing needs for measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 1** ***Revenue concerning European Agricultural Fund for Rural Development***

6 7 1 1 Clearance of accounts European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 329 084 729,99      |

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget within the context of rural development financed by the EAFRD in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. Amounts in relation to the repayment of payments on account under the EAFRD are also recorded on this item.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2018, no specific amount was foreseen for Article 05 04 05 and 05 04 60.

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 1** (*cont'd*)

6 7 1 1 (*cont'd*)

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 1 2 European Agricultural Fund for Rural Development (EAFRD) irregularities — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 8 752 186,37        |

*Remarks*

This item is intended to accommodate amounts recovered resulting from irregularities and negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited in the context of rural development financed by the EAFRD in accordance with Articles 54 and 56 of Regulation (EU) No 1306/2013.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2018, no specific amount was foreseen for Articles 05 04 05 and 05 04 60.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## TITLE 7

## DEFAULT INTEREST AND FINES

## CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES

## CHAPTER 7 1 — FINES AND PENALTIES

| Article Item | Heading                                                                                                                                                                                                 | Financial year 2018 | Financial year 2017  | Financial year 2016     | % 2016/2018     |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|----------------------|-------------------------|-----------------|
|              | CHAPTER 7 0                                                                                                                                                                                             |                     |                      |                         |                 |
| <b>7 0 0</b> | <b>Default interest</b>                                                                                                                                                                                 |                     |                      |                         |                 |
| 7 0 0 0      | Default interest in respect of own resources made available by the Member States                                                                                                                        | 5 000 000           | 5 000 000            | 24 228 921,70           | 484,58          |
| 7 0 0 1      | Other default interest                                                                                                                                                                                  | p.m.                | p.m.                 | 1 144 194,77            |                 |
|              | <i>Article 7 0 0 — Total</i>                                                                                                                                                                            | 5 000 000           | 5 000 000            | 25 373 116,47           | 507,46          |
| <b>7 0 1</b> | <b>Interest connected with fines and penalty payments</b>                                                                                                                                               | 10 000 000          | 15 000 000           | 52 134 205,13           | 521,34          |
| <b>7 0 2</b> | <b>Interest on deposits in the framework of the Union's economic governance — Assigned revenue</b>                                                                                                      | p.m.                | p.m.                 | 0,—                     |                 |
| <b>7 0 9</b> | <b>Other interest</b>                                                                                                                                                                                   | p.m.                | p.m.                 |                         |                 |
|              | CHAPTER 7 0 — TOTAL                                                                                                                                                                                     | 15 000 000          | 20 000 000           | 77 507 321,60           | 516,72          |
|              | CHAPTER 7 1                                                                                                                                                                                             |                     |                      |                         |                 |
| <b>7 1 0</b> | <b>Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition</b>                                                                           | 100 000 000         | 3 210 000 000        | 2 961 185 957,47        | 2 961,19        |
| <b>7 1 1</b> | <b>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</b> | p.m.                | p.m.                 | 136 784 800,—           |                 |
| <b>7 1 2</b> | <b>Fines imposed for fraud and irregularities which are damaging to the Union's financial interests</b>                                                                                                 | p.m.                | p.m.                 |                         |                 |
| <b>7 1 3</b> | <b>Fines in the framework of the Union's economic governance — Assigned revenue</b>                                                                                                                     | p.m.                | p.m.                 | 0,—                     |                 |
| <b>7 1 9</b> | <b>Other fines and penalty payments</b>                                                                                                                                                                 |                     |                      |                         |                 |
| 7 1 9 0      | Other fines and penalty payments — Assigned revenue                                                                                                                                                     | p.m.                | p.m.                 |                         |                 |
| 7 1 9 1      | Other non-assigned fines and penalty payments                                                                                                                                                           | p.m.                | p.m.                 | 2 075,02                |                 |
|              | <i>Article 7 1 9 — Total</i>                                                                                                                                                                            | p.m.                | p.m.                 | 2 075,02                |                 |
|              | CHAPTER 7 1 — TOTAL                                                                                                                                                                                     | 100 000 000         | 3 210 000 000        | 3 097 972 832,49        | 3 097,97        |
|              | <b>Title 7 — Total</b>                                                                                                                                                                                  | <b>115 000 000</b>  | <b>3 230 000 000</b> | <b>3 175 480 154,09</b> | <b>2 761,29</b> |

**TITLE 7**  
**DEFAULT INTEREST AND FINES**

**CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES**

**7 0 0**      *Default interest*

7 0 0 0      Default interest in respect of own resources made available by the Member States

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 000 000           | 5 000 000           | 24 228 921,70       |

*Remarks*

Any delay by a Member State in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EU, Euratom) No 609/2014 gives rise to the payment of interest by the Member State concerned. However the recovery of amounts of interest below EUR 500 shall be waived.

For the VAT and GNI-based own resources, interest shall be payable only in relation to delays in entering amounts referred in Article 12(2) of Regulation (EU, Euratom) No 609/2014.

In the case of Member States belonging to the Economic and Monetary Union, the interest rate shall be equal to the rate as published in the Official Journal of the European Union, C series, which the European Central Bank applied to its main refinancing operations on the first day of the month in which the due date fell, or 0 per cent, whichever is higher, increased by 2,5 percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay.

In the case of Member States not belonging to the Economic and Monetary Union, the interest rate shall be equal to the rate applied on the first day of the month in question by the central banks for their main refinancing operations, or 0 per cent, whichever is higher, increased by 2,5 percentage points. For the Member States for which the central bank rate is not available, the interest rate shall be equal to the most equivalent rate applied on the first day of the month in question on the Member State's money market, or 0 per cent, whichever is higher, increased by 2,5 percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay.

The total increase shall not exceed 16 percentage points. The increased rate shall be applied to the entire period of delay.

|            |           |
|------------|-----------|
| Council    | p.m.      |
| Commission | 5 000 000 |
| Total      | 5 000 000 |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 12 thereof.

**CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES** (*cont'd*)**7 0 0** (*cont'd*)**7 0 0 1** Other default interest

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 144 194,77        |

*Remarks*

This item is intended to record default interest of entitlements other than own resources.

|                                  |      |
|----------------------------------|------|
| Council                          | p.m. |
| Commission                       | p.m. |
| European External Action Service | p.m. |
| Total                            | p.m. |

*Legal basis*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3), and in particular Article 2(5) of Protocol 32 thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 102 thereof.

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**7 0 1** ***Interest connected with fines and penalty payments***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 10 000 000          | 15 000 000          | 52 134 205,13       |

*Remarks*

This article is intended to receive accrued interest on special accounts for fines and default interest connected with fines and penalty payments, including penalty payments related to Member States.



**CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES** (*cont'd*)**7 0 1** (*cont'd*)*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**7 0 2** *Interest on deposits in the framework of the Union's economic governance — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to receive interest on deposits in the framework of the Union's economic governance.

In accordance with point (c) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

**7 0 9** *Other interest*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES (*cont'd*)7 0 9 (*cont'd*)*Remarks*

This article is intended to accommodate all other possible interest related to late payment and not listed under Chapter 70 which is due only in exceptional circumstances not justifying the creation of a specific budget line.

|                                  |      |
|----------------------------------|------|
| Council                          | p.m. |
| Commission                       | p.m. |
| European External Action Service | p.m. |
| Total                            | p.m. |

## CHAPTER 7 1 — FINES AND PENALTIES

7 1 0 ***Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 100 000 000         | 3 210 000 000       | 2 961 185 957,47    |

*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union. The undertaking must provide the Commission with either a provisional payment or a financial guarantee covering both the principal of the debt and the interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

## CHAPTER 7 1 — FINES AND PENALTIES (cont'd)

**7 1 1** *Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 36 784 800,—      |

*Remarks*

This article is intended to record penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

**7 1 2** *Fines imposed for fraud and irregularities which are damaging to the Union's financial interests*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This article is intended to accommodate any penalties resulting from measures taken by the Commission to address irregularities detected in the framework of the protection of the financial interests of the Union.

*Legal basis*

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209).

**7 1 3** *Fines in the framework of the Union's economic governance — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to fines in the framework of the Union's economic governance.

## CHAPTER 7 1 — FINES AND PENALTIES (cont'd)

## 7 1 3 (cont'd)

In accordance with point (c) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

Regulation (EU) No 1173/2011 of the European Parliament and of the Council of 16 November 2011 on the effective enforcement of budgetary surveillance in the euro area (OJ L 306, 23.11.2011, p. 1).

Regulation (EU) No 1174/2011 of the European Parliament and of the Council of 16 November 2011 on enforcement measures to correct excessive macroeconomic imbalances in the euro area (OJ L 306, 23.11.2011, p. 8).

7 1 9 **Other fines and penalty payments**

## 7 1 9 0 Other fines and penalty payments — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 7 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

## 7 1 9 1 Other non-assigned fines and penalty payments

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 075,02            |

*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Article 7 1 0 which is not used in accordance with Article 21 of the Financial Regulation.

## TITLE 8

## BORROWING AND LENDING OPERATIONS

CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES

CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION

CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES

| Article Item | Heading                                                                                                                                                                                                                           | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 8 0                                                                                                                                                                                                                       |                     |                     |                     |             |
| 8 0 0        | <i>European Union guarantee for Union borrowings for balance of payments support</i>                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
| 8 0 1        | <i>European Union guarantee for Euratom borrowings</i>                                                                                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
| 8 0 2        | <i>European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism</i>                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 0 — TOTAL                                                                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 1                                                                                                                                                                                                                       |                     |                     |                     |             |
| 8 1 0        | <i>Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries</i>                                                          | p.m.                | p.m.                | 35 170 433,24       |             |
| 8 1 3        | <i>Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Union Investment Partners operation</i> | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 1 — TOTAL                                                                                                                                                                                                               | p.m.                | p.m.                | 35 170 433,24       |             |
|              | CHAPTER 8 2                                                                                                                                                                                                                       |                     |                     |                     |             |
| 8 2 7        | <i>European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries</i>                                                                                     | p.m.                | p.m.                | 0,—                 |             |
| 8 2 8        | <i>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States</i>                        | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 2 — TOTAL                                                                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES**

**CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES**

| Article Item | Heading                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016  | % 2016/2018   |
|--------------|------------------------------------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|              | CHAPTER 8 3                                                                              |                     |                     |                      |               |
| 8 3 5        | <i>European Union guarantee for European Investment Bank loans to third countries</i>    | p.m.                | p.m.                | 0,—                  |               |
| 8 3 6        | <i>European Union guarantee for the European Fund for Sustainable Development (EFSD)</i> | p.m.                | p.m.                |                      |               |
|              | CHAPTER 8 3 — TOTAL                                                                      | p.m.                | p.m.                | 0,—                  |               |
|              | CHAPTER 8 5                                                                              |                     |                     |                      |               |
| 8 5 0        | <i>Dividends paid by the European Investment Fund</i>                                    | 6 186 061           | 6 928 960           | 6 164 043,—          | 99,64         |
|              | CHAPTER 8 5 — TOTAL                                                                      | 6 186 061           | 6 928 960           | 6 164 043,—          | 99,64         |
|              | <b>Title 8 — Total</b>                                                                   | <b>6 186 061</b>    | <b>6 928 960</b>    | <b>41 334 476,24</b> | <b>668,19</b> |

**TITLE 8**  
**BORROWING AND LENDING OPERATIONS**

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES**

**8 0 0** *European Union guarantee for Union borrowings for balance of payments support*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 02 02 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payment (OJ L 53, 23.2.2002, p. 1).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Decision 2011/288/EU of 12 May 2011 providing precautionary EU medium-term financial assistance for Romania (OJ L 132, 19.5.2011, p. 15).

Council Decision 2013/531/EU of 22 October 2013 providing precautionary Union medium-term financial assistance to Romania (OJ L 286, 29.10.2013, p. 1).

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES** (*cont'd*)

**8 0 1** *European Union guarantee for Euratom borrowings*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 04 03 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council Decision 77/271/Euratom of 29 March 1977 on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 11).

Commission Decision C(2013) 3496 of 24 June 2013 on granting a Euratom loan in support of the Ukraine safety upgrade program of nuclear power units.

**8 0 2** *European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The Union's guarantee is for borrowings raised on the capital markets or from financial institutions. The outstanding amount of loans or credit lines to be granted to Member States shall be within the limits provided for in the legal basis.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 02 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).



**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES** (*cont'd*)

**8 0 2** (*cont'd*)

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

Council Implementing Decision 2011/344/EU of 30 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION**

**8 1 0** *Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 35 170 433,24       |

*Remarks*

This article is intended to record capital repayments and interest payments on special loans and risk capital granted from the appropriations in Chapters 22 02 and 22 04 of the statement of expenditure in Section III 'Commission' to Mediterranean third countries.

It also comprises capital repayments and interest payments on special loans and risk capital granted to certain Mediterranean Member States which, however, represent a very small proportion of the overall amount. These loans/ risk capital were granted at a time those countries had not yet joined the Union.

The revenue obtained normally exceeds the amounts forecast in the budget because of the interest payments on special loans which may still be disbursed during the preceding financial year as well as during the current financial year. The interest on special loans and risk capital is charged from the moment the loans are disbursed; interest on special loans is paid in six-monthly instalments and interest on risk capital generally in annual instalments.

This article may accommodate, in accordance with Article 21 of the Financial Regulation, assigned revenue which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

*Legal basis*

For the legal basis, see remarks for Chapters 22 02 and 22 04 of the statement of expenditure in Section III 'Commission'.

**8 1 3** *Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Union Investment Partners operation*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION (*cont'd*)8 1 3 (*cont'd*)*Remarks*

This article is intended to record capital repayments and interest payment on loans and risk capital granted from the appropriations in Articles 21 02 51 and 22 04 51 of the statement of expenditure of Section III 'Commission' under the European Union Investment Partners operation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

For the legal basis, see also remarks for Articles 21 02 51 and 22 04 51 of the statement of expenditure in Section III 'Commission'.

## CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES

8 2 7 *European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 03 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59).

Council Decision 97/472/EC of 22 July 1997 providing macro-financial assistance for Bulgaria (OJ L 200, 29.7.1997, p. 61).

Council Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia (OJ L 322, 25.11.1997, p. 37).

Council Decision 98/592/EC of 15 October 1998 providing supplementary macro-financial assistance for Ukraine (OJ L 284, 22.10.1998, p. 45).

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES** (*cont'd*)**8 2 7** (*cont'd*)

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57).

Council Decision 1999/731/EC of 8 November 1999 providing supplementary macro-financial assistance to Bulgaria (OJ L 294, 16.11.1999, p. 27).

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES** (*cont'd*)

**8 2 7** (*cont'd*)

Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to the Republic of Tunisia (OJ L 151, 21.5.2014, p. 9).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

Decision (EU) 2016/1112 of the European Parliament and of the Council of 6 July 2016 providing further macro-financial assistance to Tunisia (OJ L 186, 9.7.2016, p. 1).

Decision (EU) 2016/2371 of the European Parliament and of the Council of 14 December 2016 providing further macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 352, 23.12.2016, p. 18).

Decision (EU) 2017/1565 of the European Parliament and of the Council of 13 September 2017 on providing macro-financial assistance to Moldova (OJ L 242, 20.9.2017, p. 14).

**8 2 8** *Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 04 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES** (*cont'd*)

**8 2 8** (*cont'd*)

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

For the legal basis for Euratom loans to Member States, see also Article 8 0 1.

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES**

**8 3 5** *European Union guarantee for European Investment Bank loans to third countries*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 05 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the Interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)**8 3 5** (*cont'd*)

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the Second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary, Poland, Czechoslovakia, Bulgaria and Romania (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)**8 3 5** (*cont'd*)

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol relating to financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5), repealed by Regulation (EC) No 1488/96 (OJ L 189, 30.7.1996, p. 1).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and eastern European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)**8 3 5** (*cont'd*)

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern European countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia and amending Decision 97/256/EC granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (central and eastern European countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 155, 29.5.1998, p. 53).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (central and eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus (OJ L 21, 25.1.2005, p. 11).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).



**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (cont'd)

**8 3 6** *European Union guarantee for the European Fund for Sustainable Development (EFSD)*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 07 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

**CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES**

**8 5 0** *Dividends paid by the European Investment Fund*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 6 186 061           | 6 928 960           | 6 164 043,—         |

*Remarks*

This article is intended to receive any dividends paid by the European Investment Fund in respect of this contribution.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).



**TITLE 9**  
**MISCELLANEOUS REVENUE**

**CHAPTER 9 0 — MISCELLANEOUS REVENUE**

**9 0 0**      *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 25 001 000          | 25 001 000          | 17 230 760,67       |

*Remarks*

This article is intended to receive miscellaneous revenue.

|                                        |            |
|----------------------------------------|------------|
| European Parliament                    | 1 000      |
| Council                                | p.m.       |
| Commission                             | 25 000 000 |
| Court of Justice of the European Union | p.m.       |
| European Court of Auditors             | p.m.       |
| European Economic and Social Committee | p.m.       |
| European Committee of the Regions      | p.m.       |
| European Ombudsman                     | p.m.       |
| European Data Protection Supervisor    | p.m.       |
| European External Action Service       | p.m.       |
| Total                                  | 25 001 000 |

## C. ESTABLISHMENT PLAN STAFF

## Authorised establishment plan

| Institution                                                            | 2018            |                 | 2017 <sup>(1)</sup> |                 |
|------------------------------------------------------------------------|-----------------|-----------------|---------------------|-----------------|
|                                                                        | Permanent posts | Temporary posts | Permanent posts     | Temporary posts |
| European Parliament                                                    | 5 406           | 1 277           | 5 467               | 1 276           |
| European Council and Council                                           | 2 996           | 35              | 2 991               | 36              |
| Commission:                                                            | 23 250          | 421             | 23 335              | 421             |
| — Administration                                                       | 18 363          | 376             | 18 411              | 375             |
| — Research and innovation                                              | 3 229           |                 | 3 285               |                 |
| — Publications Office of the European Union                            | 570             |                 | 595                 |                 |
| — European Anti-Fraud Office                                           | 298             | 44              | 304                 | 45              |
| — European Personnel Selection Office                                  | 108             | 1               | 108                 | 1               |
| — Office for the Administration and Payment of Individual Entitlements | 160             |                 | 165                 |                 |
| — Office for Infrastructure and Logistics, Brussels                    | 396             |                 | 339                 |                 |
| — Office for Infrastructure and Logistics, Luxembourg                  | 126             |                 | 128                 |                 |
| Court of Justice of the European Union                                 | 1 539           | 524             | 1 541               | 522             |
| Court of Auditors                                                      | 708             | 145             | 712                 | 141             |
| European Economic and Social Committee                                 | 629             | 39              | 626                 | 39              |
| Committee of the Regions                                               | 435             | 56              | 435                 | 54              |
| European Ombudsman                                                     | 45              | 20              | 46                  | 19              |
| European Data Protection Supervisor                                    | 64              |                 | 56                  |                 |
| European External Action Service                                       | 1 594           | 1               | 1 610               | 1               |
| <b>Total</b>                                                           | <b>36 666</b>   | <b>2 518</b>    | <b>36 819</b>       | <b>2 509</b>    |

<sup>(1)</sup> The figures in this column correspond to those in the 2017 budget (OJ L 51, 28.2.2017) plus amending budgets No 1 to No 6/2017.

## Authorised establishment plan

| Bodies set up by the Union and having legal personality | 2018            |                 | 2017 <sup>(1)</sup> |                 |
|---------------------------------------------------------|-----------------|-----------------|---------------------|-----------------|
|                                                         | Permanent posts | Temporary posts | Permanent posts     | Temporary posts |
| Decentralised agencies                                  | 104             | 5 599           | 110                 | 5 410           |
| European joint undertakings                             | 51              | 271             | 52                  | 270             |
| European Institute of Innovation and Technology         |                 | 44              |                     | 41              |
| Executive agencies                                      |                 | 614             |                     | 590             |
| <b>Total</b>                                            | <b>155</b>      | <b>6 528</b>    | <b>162</b>          | <b>6 311</b>    |

<sup>(1)</sup> The figures in this column correspond to those in the 2017 budget (OJ L 51, 28.2.2017) plus amending budgets No 1 to No 6/2017.

## D. BUILDINGS

| Institutions |                                                                    | Buildings rented                   |                                    | Buildings owned <sup>(1)</sup> |
|--------------|--------------------------------------------------------------------|------------------------------------|------------------------------------|--------------------------------|
|              |                                                                    | Appropriations 2018 <sup>(2)</sup> | Appropriations 2017 <sup>(2)</sup> |                                |
| Section I    | European Parliament                                                | 35 948 000                         | 37 169 000                         | 999 060 547                    |
| Section II   | European Council and Council                                       | 1 109 000                          | 1 982 000                          | 520 063 760                    |
| Section III  | Commission:                                                        |                                    |                                    | 1 480 205 748,39               |
|              | — headquarters (Brussels and Luxembourg)                           | 249 305 501                        | 250 846 000                        | 1 264 014 496,43               |
|              | — offices in the Union                                             | 13 328 800                         | 12 295 000                         | 29 948 619,65                  |
|              | — Health and food audits and analysis offices in Grange            | 2 285 000                          | 2 285 000                          | 14 676 312,03                  |
|              | — Union delegations <sup>(3)</sup>                                 | 21 673 000                         | 21 931 000                         | —                              |
|              | — Joint Research Centre <sup>(4)</sup>                             | 1 368 529                          | 1 376 014                          | 171 566 320,28                 |
|              | — Publications Office of the European Union                        | 9 115 000                          | 6 727 000                          | —                              |
|              | — European Anti-Fraud Office                                       | 5 201 000                          | 5 109 000                          | —                              |
|              | — European Personnel Selection Office                              | 2 864 000                          | 2 852 000                          | —                              |
|              | — Office for Administration and Payment of Individual Entitlements | 3 713 000                          | 3 661 000                          | —                              |
|              | — Office for Infrastructure and Logistics, Brussels                | 5 863 000                          | 5 760 000                          | —                              |
|              | — Office for Infrastructure and Logistics, Luxembourg              | 1 827 000                          | 1 827 000                          | —                              |
| Section IV   | Court of Justice of the European Union                             | 43 986 000                         | 41 843 000                         | 327 497 482,91 <sup>(5)</sup>  |
| Section V    | Court of Auditors                                                  | 175 000                            | 175 000                            | 73 926 466,50                  |
| Section VI   | European Economic and Social Committee                             | 15 631 525                         | 14 218 674                         | 103 358 303                    |
| Section VII  | Committee of the Regions                                           | 10 590 198                         | 10 444 683                         | 67 549 229                     |
| Section VIII | European Ombudsman                                                 | 1 050 000                          | 1 000 000                          | —                              |
| Section IX   | European Data Protection Supervisor                                | 1 413 273                          | 926 000                            | —                              |
| Section X    | European External Action Service:                                  |                                    |                                    | 317 954 680,54 <sup>(6)</sup>  |
|              | — headquarters (Brussels)                                          | 18 524 000                         | 18 372 000                         |                                |
|              | — Union delegations                                                | 92 639 000 <sup>(7)</sup>          | 84 055 736 <sup>(7)</sup>          |                                |
|              | <b>Total</b>                                                       | <b>536 609 826</b>                 | <b>524 855 107</b>                 | <b>3 889 616 217,34</b>        |

<sup>(1)</sup> Net book value entered in balance sheet at 31 December 2016 (except when stated otherwise).

<sup>(2)</sup> These appropriations represent the cumulative amounts entered in Items 2 0 0 0 (rent), 2 0 0 1 (annual lease payments) and 2 0 0 3 (acquisition of immovable property).

<sup>(3)</sup> Commission's contribution towards the Union delegations.

<sup>(4)</sup> These appropriations cover the renting of premises financed by Item 10 01 05 03 'Other management expenditure for research and innovation programmes — Horizon 2020'.

<sup>(5)</sup> Net book value entered in balance sheet at 31 December 2016 for the renovated Annex buildings 'A', 'B' and 'C' and for the buildings complex of the new Palais (the renovated original Palais, Anneau, two towers and connecting gallery) under lease-purchase contracts.

<sup>(6)</sup> Net booked value in 2017. The buildings of the Union delegations were transferred to the European External Action Service on 1 January 2011.

<sup>(7)</sup> These appropriations represent the amount entered for rents in Item 3 0 0 3 (infrastructure in delegations) and include amounts transferred from Section III 'Commission' as a result of the 2015 budgetary procedure. As from 2015, appropriations for rents and construction/acquisition of delegations' premises are fully included in Section X.

| Institution                  | Location                              | Year of purchase | Net booked value (€) |             |
|------------------------------|---------------------------------------|------------------|----------------------|-------------|
|                              |                                       |                  | Subtotal             | Total       |
| European Parliament          | <i>Brussels</i>                       |                  |                      | 669 613 486 |
|                              | Land                                  |                  | 172 277 743          |             |
|                              | Paul-Henri Spaak                      | 1993             | 19 185 116           |             |
|                              | Altiero Spinelli                      | 1995             | 161 137 703          |             |
|                              | Willy Brandt                          | 2007             | 63 420 810           |             |
|                              | József Antall                         | 2008             | 92 274 964           |             |
|                              | Atrium                                | 1999             | 18 462 295           |             |
|                              | Atrium II                             | 2004             | 5 246 140            |             |
|                              | Montoyer 75                           | 2006             | 15 161 692           |             |
|                              | Trier                                 | 2011             | 11 416 641           |             |
|                              | Eastman                               | 2008             | 13 511 603           |             |
|                              | Cathedrale                            | 2005             | 1 401 352            |             |
|                              | Wayenberg (Marie Haps)                | 2003             | 4 225 288            |             |
|                              | Remard                                | 2010             | 9 950 167            |             |
|                              | Montoyer 70                           | 2012             | 7 410 000            |             |
|                              | Wilfried Martens                      | 2016             | 74 531 972           |             |
|                              | <i>Strasbourg</i>                     |                  |                      |             |
|                              | Louise Weiss                          | 1998             | 147 217 349          | 241 560 538 |
|                              | Churchill, de Madariaga, Pflimlin     | 2006             | 87 653 189           |             |
|                              | Václav Havel                          | 2012             | 6 690 000            |             |
|                              | <i>Luxembourg</i>                     |                  |                      |             |
|                              | Konrad Adenauer                       | 2003             | 28 790 668           | 30 114 706  |
|                              | KAD Z                                 | 2010             | 1 324 038            |             |
|                              | Jean Monnet House ( <i>Bazoches</i> ) | 1982             |                      | 2 881 665   |
|                              | <i>Offices in the Union</i>           |                  |                      |             |
|                              | Lisbon                                | 1986             | 167 260              | 54 890 152  |
|                              | Athens                                | 1991             | 1 908 697            |             |
|                              | Copenhagen                            | 2005             | 2 851 204            |             |
|                              | The Hague                             | 2006             | 3 877 659            |             |
|                              | Valletta                              | 2006             | 1 851 066            |             |
|                              | Nicosia                               | 2006             | 2 326 307            |             |
|                              | Vienna                                | 2008             | 21 174 200           |             |
| London                       | 2008                                  | 9 748 155        |                      |             |
| Budapest                     | 2010                                  | 2 927 304        |                      |             |
| Sofia                        | 2013                                  | 8 058 300        |                      |             |
| European Council and Council | <i>Brussels</i>                       |                  |                      | 520 063 760 |
|                              | Land                                  |                  | 73 297 651           |             |
|                              | Justus Lipsius                        | 1995             | 63 967 765           |             |
|                              | Crèche                                | 2006             | 8 265 715            |             |
|                              | Lex                                   | 2007             | 119 868 073          |             |
|                              | Europa                                | 2016             | 254 664 556          |             |

| Institution    | Location             | Year of purchase | Net booked value (¹) |                  |
|----------------|----------------------|------------------|----------------------|------------------|
|                |                      |                  | Subtotal             | Total            |
| Commission     | Brussels             |                  |                      | 1 169 071 591,97 |
|                | Overijse             | 1997             | 568 652,00           |                  |
|                | Overijse             | 2015             | 9 515 753,00         |                  |
|                | Loi 130              | 1987             | 51 649 644,00        |                  |
|                | Breydel              | 1989             | 7 298 833,00         |                  |
|                | Haren                | 1993             | 4 840 176,36         |                  |
|                | Clovis               | 1995             | 6 674 876,50         |                  |
|                | Cours Saint-Michel 1 | 1997             | 14 289 019,67        |                  |
|                | Belliard 232 (²)     | 1997             | 15 056 713,75        |                  |
|                | Demot 24 (²)         | 1997             | 25 044 244,43        |                  |
|                | Breydel II           | 1997             | 29 107 111,79        |                  |
|                | Beaulieu 29/31/33    | 1998             | 25 737 249,33        |                  |
|                | Charlemagne          | 1997             | 84 750 756,75        |                  |
|                | Demot 28 (²)         | 1999             | 20 540 567,93        |                  |
|                | Joseph II 99 (²)     | 1998             | 14 169 644,39        |                  |
|                | Loi 86               | 1998             | 27 679 151,72        |                  |
|                | Luxembourg 46 (³)    | 1999             | 29 729 441,49        |                  |
|                | Montoyer 59 (²)      | 1998             | 14 434 665,29        |                  |
|                | Froissart 101 (²)    | 2000             | 15 864 575,92        |                  |
|                | VM 18 (²)            | 2000             | 13 720 653,39        |                  |
|                | Joseph II 70 (²)     | 2000             | 31 442 580,30        |                  |
|                | Loi 41 (²)           | 2000             | 51 016 100,18        |                  |
|                | SC 11 (²)            | 2000             | 16 864 848,22        |                  |
|                | Joseph II 30 (⁴)     | 2000             | 26 133 115,94        |                  |
|                | Joseph II 54 (²)     | 2001             | 31 630 208,30        |                  |
|                | Joseph II 79 (²)     | 2002             | 30 983 624,11        |                  |
|                | VM2 (²)              | 2001             | 30 778 826,25        |                  |
|                | Palmerston           | 2002             | 5 499 456,15         |                  |
|                | SPA 3 (²)            | 2003             | 22 398 743,79        |                  |
|                | Berlaymont (²)       | 2004             | 304 517 003,29       |                  |
|                | CCAB (²)             | 2005             | 38 987 967,91        |                  |
|                | BU-25                | 2006             | 41 847 799,16        |                  |
|                | Cornet-Leman         | 2006             | 17 392 514,04        |                  |
| Madou          | 2006                 | 93 407 468,15    |                      |                  |
| WALI           | 2009                 | 15 184 752,93    |                      |                  |
| Houtweg        | 2014                 | 314 852,54       |                      |                  |
| Luxembourg     |                      |                  | 94 942 904,46        |                  |
| Euroforum (²)  | 2004                 | 64 920 570,00    |                      |                  |
| Foyer européen | 2009                 | 6 315 666,67     |                      |                  |

| Institution                            | Location                                                                                            | Year of purchase | Net booked value (!) |                         |
|----------------------------------------|-----------------------------------------------------------------------------------------------------|------------------|----------------------|-------------------------|
|                                        |                                                                                                     |                  | Subtotal             | Total                   |
|                                        | CPE V                                                                                               | 2012             | 23 706 667,79        |                         |
|                                        | <i>Offices in the Union</i>                                                                         |                  |                      | 29 948 619,65           |
|                                        | Lisbon                                                                                              | 1986             | —                    |                         |
|                                        |                                                                                                     | 1993             | 84 316,14            |                         |
|                                        | Marseille                                                                                           | 1991             | 3 677,10             |                         |
|                                        |                                                                                                     | 1993             | —                    |                         |
|                                        | Milan                                                                                               | 1986             | —                    |                         |
|                                        | Copenhagen                                                                                          | 2005             | 2 835 370,83         |                         |
|                                        | Valletta                                                                                            | 2007             | 1 912 726,18         |                         |
|                                        | Nicosia (Byron)                                                                                     | 2006             | 2 326 306,81         |                         |
|                                        | The Hague                                                                                           | 2006             | 3 770 385,01         |                         |
|                                        | London                                                                                              | 2010             | 14 622 372,48        |                         |
|                                        | Budapest                                                                                            | 2010             | 4 393 465,10         |                         |
|                                        | <i>Joint Research Centre</i>                                                                        |                  |                      | 171 566 320,28          |
|                                        | Ispra                                                                                               |                  | 90 964 001,89        |                         |
|                                        | Geel                                                                                                |                  | 32 604 516,87        |                         |
|                                        | Karlsruhe                                                                                           |                  | 38 666 565,30        |                         |
|                                        | Petten                                                                                              |                  | 9 331 236,22         |                         |
|                                        | <i>Health and food audits and analysis offices in Grange</i>                                        |                  |                      | 14 676 312,03           |
|                                        | Grange (Ireland) (°)                                                                                | 2002             | 14 676 312,03        |                         |
|                                        | <b>Total Commission</b>                                                                             |                  |                      | <b>1 480 205 748,39</b> |
| Court of Justice of the European Union | Luxembourg                                                                                          |                  |                      | 327 497 482,91          |
|                                        | Annex 'A' — Erasmus, Annex 'B' — Thomas More and Annex 'C'                                          | 1994             | 7 368 456,75         |                         |
|                                        | New Palais buildings complex (renovated original Palais, Anneau, two towers and connecting gallery) | 2008             | 320 129 026,16       |                         |
| Court of Auditors                      | Luxembourg                                                                                          |                  |                      | 73 926 466,50           |
|                                        | Land                                                                                                | 1990             | 776 631,00           |                         |
|                                        | Luxembourg (K1)                                                                                     | 1990             | 7 554 036,67         |                         |
|                                        | Luxembourg (K2)                                                                                     | 2004             | 12 998 045,51        |                         |
|                                        | Luxembourg (K3)                                                                                     | 2009             | 52 597 753,32        |                         |



| Institution                                | Location                                                                           | Year of purchase | Net booked value (€) |                    |
|--------------------------------------------|------------------------------------------------------------------------------------|------------------|----------------------|--------------------|
|                                            |                                                                                    |                  | Subtotal             | Total              |
| European Economic and Social Committee (2) | <i>Brussels</i>                                                                    |                  |                      | 103 358 303        |
|                                            | Montoyer 92-102                                                                    | 2001             | 25 123 760           |                    |
|                                            | Belliard 99-101                                                                    | 2001             | 60 604 776           |                    |
|                                            | Belliard 68-72                                                                     | 2004             | 7 265 126            |                    |
|                                            | Trèves 74                                                                          | 2005             | 6 519 205            |                    |
|                                            | Belliard 93                                                                        | 2005             | 3 845 436            |                    |
| Committee of the Regions (2)               | <i>Brussels</i>                                                                    |                  |                      | 67 549 229         |
|                                            | Montoyer                                                                           | 2001             | 13 357 801           |                    |
|                                            | Belliard 101-103                                                                   | 2001             | 29 796 838           |                    |
|                                            | Belliard 68                                                                        | 2004             | 10 814 719           |                    |
|                                            | Trèves 74                                                                          | 2004             | 9 747 653            |                    |
|                                            | Belliard 93                                                                        | 2005             | 3 832 218            |                    |
| European External Action Service           | <i>External Action Service<br/>Brussels Headquarters (6)<br/>Union delegations</i> | 2012             | 208 116 143,92       | 317 954 680,54 (7) |
|                                            | Tirana (Albania)                                                                   | 2015             | 1 568 392,80         |                    |
|                                            | Buenos Aires (Argentina)                                                           | 1992             | 300 594,73           |                    |
|                                            | Canberra (Australia)                                                               | 1983             | —                    |                    |
|                                            |                                                                                    | 1990             | —                    |                    |
|                                            | Cotonou (Benin)                                                                    | 1992             | 105 965,14           |                    |
|                                            | Gaborone (Botswana)                                                                | 1982             | 50 866,95            |                    |
|                                            |                                                                                    | 1985             | 14 594,35            |                    |
|                                            |                                                                                    | 1986             | 5 912,85             |                    |
|                                            |                                                                                    | 1987             | 12 572,25            |                    |
|                                            | Brasilia (Brazil)                                                                  | 1994             | 218 136,78           |                    |
|                                            | Ouagadougou (Burkina Faso)                                                         | 1984             | 19 248,47            |                    |
|                                            |                                                                                    | 1997             | 637 820,64           |                    |
|                                            | Bujumbura (Burundi)                                                                | 1982             | 36 584,40            |                    |
|                                            |                                                                                    | 1986             | 111 426,72           |                    |
|                                            | Phnom Penh (Cambodia)                                                              | 2005             | 466 319,81           |                    |
|                                            | Ottawa (Canada)                                                                    | 1977             | 64 132,79            |                    |
| Praia (Cape Verde)                         | 1981                                                                               | 14 091,34        |                      |                    |
| Praia (Cape Verde)                         | 2015                                                                               | 1 137 361,95     |                      |                    |

| Institution | Location                          | Year of purchase | Net booked value (€) |       |
|-------------|-----------------------------------|------------------|----------------------|-------|
|             |                                   |                  | Subtotal             | Total |
|             | Bangui (Central African Republic) | 1983             | 65 707,89            |       |
|             | N'Djamena (Chad)                  | 1991             | 11 965,76            |       |
|             |                                   | 2009             | 361 840,50           |       |
|             | Beijing (China)                   | 1995             | 1 902 194,88         |       |
|             | Moroni (Comoros)                  | 1988             | 18 232,81            |       |
|             | Brazzaville (Congo)               | 1994             | 90 198,76            |       |
|             | San José (Costa Rica)             | 1995             | 132 602,56           |       |
|             | Abidjan (Côte d'Ivoire)           | 1993             | 103 234,13           |       |
|             |                                   | 1994             | 57 174,83            |       |
|             | Paris (France)                    | 1990             | 1 236 105,57         |       |
|             | Libreville (Gabon)                | 1996             | 194 334,98           |       |
|             | Banjul (The Gambia)               | 1989             | 22 778,48            |       |
|             | Bissau (Guinea-Bissau)            | 1995             | 188 941,92           |       |
|             | Port-au-Prince (Haiti)            | 2012             | 1 399 504,20         |       |
|             |                                   | 2014             | 5 338 865,77         |       |
|             | Tokyo (Japan)                     | 2006             | 34 008 178,59        |       |
|             |                                   | 2011             | 43 117 859,38        |       |
|             | Nairobi (Kenya)                   | 2005             | 515 225,69           |       |
|             | Maseru (Lesotho)                  | 1985             | 30 467,06            |       |
|             |                                   | 1985             | —                    |       |
|             |                                   | 1990             | 33 605,58            |       |
|             |                                   | 2006             | 173 186,66           |       |
|             | Lilongwe (Malawi)                 | 1982             | 42 053,03            |       |
|             |                                   | 1988             | —                    |       |
|             |                                   | 1988             | 12 969,50            |       |
|             | Mexico City (Mexico)              | 1995             | 1 018 838,21         |       |
|             | Rabat (Morocco)                   | 1987             | 62 541,23            |       |
|             | Port Louis (Mauritius)            | 1988             | 18 232,81            |       |
|             | Maputo (Mozambique)               | 2008             | 667 433,83           |       |
|             |                                   | 2008             | 2 634 880,47         |       |
|             | Windhoek (Namibia)                | 1992             | 28 773,04            |       |
|             |                                   | 1992             | 32 962,55            |       |
|             |                                   | 1992             | 52 707,15            |       |
|             |                                   | 1993             | 64 919,42            |       |
|             | Niamey (Niger)                    | 1997             | 70 366,48            |       |

| Institution        | Location                              | Year of purchase | Net booked value <sup>(1)</sup> |                         |
|--------------------|---------------------------------------|------------------|---------------------------------|-------------------------|
|                    |                                       |                  | Subtotal                        | Total                   |
|                    | Abuja (Nigeria)                       | 1992             | 207 993,09                      |                         |
|                    |                                       | 2005             | 2 947 552,11                    |                         |
|                    |                                       | 2012             | 3 304 383,33                    |                         |
|                    | Port Moresby (Papua New Guinea)       | 1982             | 48 274,53                       |                         |
|                    | Kigali (Rwanda)                       | 1980             | 112 548,18                      |                         |
|                    |                                       | 1982             | 71 627,45                       |                         |
|                    | Dakar (Senegal)                       | 1984             | 325 145,55                      |                         |
|                    | Honiara (Solomon Islands)             | 1990             | 16 968,28                       |                         |
|                    | Pretoria (South Africa)               | 1994             | 184 410,95                      |                         |
|                    |                                       | 1994             | 153 601,18                      |                         |
|                    |                                       | 1996             | 384 327,41                      |                         |
|                    | Mbabane (Swaziland)                   | 1987             | 26 994,00                       |                         |
|                    |                                       | 1988             | 13 497,00                       |                         |
|                    | Dar es Salaam (Tanzania)              | 2002             | 2 146 104,52                    |                         |
|                    | Kampala (Uganda)                      | 1986             | 28 096,41                       |                         |
|                    |                                       | 1986             | —                               |                         |
|                    |                                       | 1996             | 30 549,95                       |                         |
|                    | New York (United States of America)   | 1987             | 253 001,13                      |                         |
|                    | Washington (United States of America) | 1997             | 859 937,62                      |                         |
|                    | Lusaka (Zambia)                       | 1982             | 43 366,60                       |                         |
|                    | Harare (Zimbabwe)                     | 1990             | 73 859,06                       |                         |
|                    |                                       | 1994             | 133 392,58                      |                         |
| <b>Grand total</b> |                                       |                  |                                 | <b>3 889 616 217,34</b> |

<sup>(1)</sup> Net book value entered in balance sheet at 31 December 2016 (except when stated otherwise).

<sup>(2)</sup> Long-term lease with option to purchase.

<sup>(3)</sup> Long-term lease with option to purchase (ex Marie de Bourgogne).

<sup>(4)</sup> Long-term lease with option to purchase (partially occupied by OLAF).

<sup>(5)</sup> Long-term lease/purchase.

<sup>(6)</sup> Long-term lease.

<sup>(7)</sup> Net booked value as at 31 December 2016. The buildings of the Union delegations were transferred to the European External Action Service on 1 January 2011.

*SECTION I*

**EUROPEAN PARLIAMENT**

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the Parliament for the financial year 2018**

| Heading                 | Amount               |
|-------------------------|----------------------|
| Expenditure             | 1 950 687 373        |
| Own resources           | - 163 965 399        |
| <b>Contribution due</b> | <b>1 786 721 974</b> |

EUROPEAN PARLIAMENT

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016  | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|----------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                      |                     |                     |                      |              |
| 4 0 0        | <i>Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i> | 76 861 414          | 74 105 828          | 71 635 418,—         | 93,20        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>               | p.m.                | p.m.                | 13 616,—             |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>   | 11 551 312          | 11 006 056          | 10 602 055,—         | 91,78        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                              | 88 412 726          | 85 111 884          | 82 251 089,—         | 93,03        |
|              | CHAPTER 4 1                                                                                                                                                      |                     |                     |                      |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                 | 66 291 673          | 64 712 299          | 61 138 636,—         | 92,23        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                           | 9 200 000           | 9 200 000           | 7 294 722,—          | 79,29        |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                         | 10 000              | 10 000              | 22 096,—             | 220,96       |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                              | 75 501 673          | 73 922 299          | 68 455 454,—         | 90,67        |
|              | CHAPTER 4 2                                                                                                                                                      |                     |                     |                      |              |
| 4 2 1        | <i>Contributions by Members of the European Parliament to a retirement pension scheme</i>                                                                        | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 4 2 — TOTAL                                                                                                                                              | p.m.                | p.m.                | 0,—                  |              |
|              | <b>Title 4 — Total</b>                                                                                                                                           | <b>163 914 399</b>  | <b>159 034 183</b>  | <b>150 706 543,—</b> | <b>91,94</b> |

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 76 861 414          | 74 105 828          | 71 635 418,—        |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 13 616,—            |

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 11 551 312          | 11 006 056          | 10 602 055,—        |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 66 291 673          | 64 712 299          | 61 138 636,—        |

EUROPEAN PARLIAMENT

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** ***Transfer or purchase of pension rights by staff***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 9 200 000           | 9 200 000           | 7 294 722,—         |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

**4 1 2** ***Contributions to the pension scheme by officials and temporary staff on leave on personal grounds***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 10 000              | 10 000              | 22 096,—            |

**CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME****4 2 1** ***Contributions by Members of the European Parliament to a retirement pension scheme***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Rules governing the payment of expenses and allowances to Members of the European Parliament, and in particular Annex III thereto.



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

| Article Item | Heading                                                                                                                                                                                                       | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property (supply of goods)</i></b>                                                                                                                                    |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                         | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                                                           | p.m.                | p.m.                | 589,—               |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                                                                                                          | p.m.                | p.m.                | 188 858,—           |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                                                                  | p.m.                | p.m.                | 189 447,—           |             |
| <b>5 0 1</b> | <b><i>Proceeds from the sale of immovable property</i></b>                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                           | p.m.                | p.m.                | 189 447,—           |             |
|              | CHAPTER 5 1                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>                                                                                    |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                                                                    | p.m.                | p.m.                | 2 859 618,—         |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                                                           | p.m.                | p.m.                | 129 706,—           |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                                                                                                                  | p.m.                | p.m.                | 2 989 324,—         |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                           | p.m.                | p.m.                | 2 989 324,—         |             |
|              | CHAPTER 5 2                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>                                                                                                | 50 000              | 200 000             | 23 473,—            | 46,95       |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                           | 50 000              | 200 000             | 23 473,—            | 46,95       |
|              | CHAPTER 5 5                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 5 0</b> | <b><i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b> | p.m.                | p.m.                | 4 958 708,—         |             |
| <b>5 5 1</b> | <b><i>Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue</i></b>                                                                              | p.m.                | p.m.                | 583 648,—           |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                           | p.m.                | p.m.                | 5 542 356,—         |             |



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue accruing from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 589,—               |

*Remarks*

This item is intended to record revenue accruing from the sale or part exchange of movable property other than vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 188 858,—           |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

Details of expenditure and revenue resulting from loans or rents or the provision of services under this budget item shall be set out in an annex to this budget.

EUROPEAN PARLIAMENT

**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (cont'd)****5 0 1 Proceeds from the sale of immovable property**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

**5 0 2 Proceeds from the sale of publications, printed works and films — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article shall also include the proceeds of the sale of these products by electronic means.

**CHAPTER 5 1 — PROCEEDS FROM LETTING****5 1 1 Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings****5 1 1 0 Proceeds from letting and subletting immovable property — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 859 618,—         |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

Details of expenditure and revenue resulting from loans or rents or the provision of services under this budget item shall be set out in an annex to this budget.

**5 1 1 1 Reimbursement of charges connected with lettings — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 129 706,—           |

**CHAPTER 5 1 — PROCEEDS FROM LETTING** (*cont'd*)**5 1 1** (*cont'd*)5 1 1 1 (*cont'd*)*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 50 000              | 200 000             | 23 473,—            |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest on the institution's accounts.

**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT****5 5 0** *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 4 958 708,—         |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 583 648,—           |

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**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT** (cont'd)**5 5 1** (cont'd)*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 3 874 703,—         |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (d) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 3 298 878,—         |

**CHAPTER 5 8 — MISCELLANEOUS PAYMENTS**

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 217 498,—           |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article also includes reimbursement by insurance companies of the salaries of officials involved in accidents.





## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

6 6 0 *Other contributions and refunds*

## 6 6 0 0 Other assigned contributions and refunds — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 14 477 581,—        |

*Remarks*

This item is intended to record, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 and which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

## 6 6 0 1 Other non-assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |



**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0**      *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 1 000               | 1 000               | 2 061 711,—         |

*Remarks*

This article is intended to record miscellaneous revenue.

Details of expenditure and revenue resulting from loans or rents or the provision of services under this article shall be set out in an annex to this budget.

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**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                            | Appropriations 2018  | Appropriations 2017  | Outturn 2016          |
|---------------|------------------------------------------------------------------------------------|----------------------|----------------------|-----------------------|
| <b>1</b>      | <b>PERSONS WORKING WITH THE INSTITUTION</b>                                        |                      |                      |                       |
| 1 0           | MEMBERS OF THE INSTITUTION                                                         | 211 876 000          | 210 660 500          | 203 367 218,93        |
| 1 2           | OFFICIALS AND TEMPORARY STAFF                                                      | 667 614 000          | 650 213 700          | 625 820 199,74        |
| 1 4           | OTHER STAFF AND EXTERNAL SERVICES                                                  | 145 978 333          | 137 040 500          | 125 551 150,81        |
| 1 6           | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION                 | 18 648 150           | 17 539 500           | 14 441 489,62         |
|               | <b>Title 1 — Total</b>                                                             | <b>1 044 116 483</b> | <b>1 015 454 200</b> | <b>969 180 059,10</b> |
| <b>2</b>      | <b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>     |                      |                      |                       |
| 2 0           | BUILDINGS AND ASSOCIATED COSTS                                                     | 227 352 000          | 255 137 000          | 251 599 696,59        |
| 2 1           | DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY                                    | 179 543 500          | 170 034 500          | 154 150 447,36        |
|               | <i>Reserves (10 0)</i>                                                             |                      | <i>p.m.</i>          |                       |
|               |                                                                                    | 179 543 500          | 170 034 500          | 154 150 447,36        |
| 2 3           | CURRENT ADMINISTRATIVE EXPENDITURE                                                 | 7 103 000            | 5 719 000            | 4 421 416,81          |
|               | <b>Title 2 — Total</b>                                                             | <b>413 998 500</b>   | <b>430 890 500</b>   | <b>410 171 560,76</b> |
|               | <i>Reserves (10 0)</i>                                                             |                      | <i>p.m.</i>          |                       |
|               |                                                                                    | <b>413 998 500</b>   | <b>430 890 500</b>   | <b>410 171 560,76</b> |
| <b>3</b>      | <b>EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b> |                      |                      |                       |
| 3 0           | MEETINGS AND CONFERENCES                                                           | 36 693 000           | 32 827 000           | 33 038 897,66         |
| 3 2           | EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION    | 131 498 390          | 108 238 000          | 106 676 345,04        |
|               | <b>Title 3 — Total</b>                                                             | <b>168 191 390</b>   | <b>141 065 000</b>   | <b>139 715 242,70</b> |
| <b>4</b>      | <b>EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b> |                      |                      |                       |
| 4 0           | EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES                            | 114 770 000          | 112 905 000          | 109 952 171,—         |
| 4 2           | EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE                                   | 208 171 000          | 207 991 000          | 194 405 138,69        |
| 4 4           | MEETINGS AND OTHER ACTIVITIES OF CURRENT AND FORMER MEMBERS                        | 440 000              | 420 000              | 420 000,—             |
|               | <b>Title 4 — Total</b>                                                             | <b>323 381 000</b>   | <b>321 316 000</b>   | <b>304 777 309,69</b> |

| Title<br>Chapter | Heading                                                                                                                                         | Appropriations 2018  | Appropriations 2017  | Outturn 2016            |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|-------------------------|
| <b>5</b>         | <b>THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS</b>         |                      |                      |                         |
| 5 0              | EXPENDITURE OF THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS | p.m.                 | p.m.                 | 0,—                     |
|                  | <b>Title 5 — Total</b>                                                                                                                          | <b>p.m.</b>          | <b>p.m.</b>          | <b>0,—</b>              |
| <b>10</b>        | <b>OTHER EXPENDITURE</b>                                                                                                                        |                      |                      |                         |
| 10 0             | PROVISIONAL APPROPRIATIONS                                                                                                                      | p.m.                 | p.m.                 | 0,—                     |
| 10 1             | CONTINGENCY RESERVE                                                                                                                             | 1 000 000            | 864 300              | 0,—                     |
| 10 3             | ENLARGEMENT RESERVE                                                                                                                             | p.m.                 | p.m.                 | 0,—                     |
| 10 4             | RESERVE FOR INFORMATION AND COMMUNICATION POLICY                                                                                                | p.m.                 | p.m.                 | 0,—                     |
| 10 5             | PROVISIONAL APPROPRIATION FOR BUILDINGS                                                                                                         | p.m.                 | p.m.                 | 0,—                     |
| 10 6             | RESERVE FOR PRIORITY PROJECTS UNDER DEVELOPMENT                                                                                                 | p.m.                 | p.m.                 | 0,—                     |
| 10 8             | EMAS RESERVE                                                                                                                                    | p.m.                 | p.m.                 | 0,—                     |
|                  | <b>Title 10 — Total</b>                                                                                                                         | <b>1 000 000</b>     | <b>864 300</b>       | <b>0,—</b>              |
|                  | <b>GRAND TOTAL</b>                                                                                                                              | <b>1 950 687 373</b> | <b>1 909 590 000</b> | <b>1 823 844 172,25</b> |

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## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

| Article Item | Heading                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|--------------|-------------------------------------------------------------------|---------------------|---------------------|----------------|-------------|
|              | CHAPTER 1 0                                                       |                     |                     |                |             |
| <b>1 0 0</b> | <b>Salaries and allowances</b>                                    |                     |                     |                |             |
| 1 0 0 0      | Salaries                                                          |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 77 125 000          | 75 846 000          | 73 871 418,64  | 95,78       |
| 1 0 0 4      | Ordinary travel expenses                                          |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 68 500 000          | 69 200 000          | 66 290 000,—   | 96,77       |
| 1 0 0 5      | Other travel expenses                                             |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 6 200 000           | 6 000 000           | 6 150 000,—    | 99,19       |
| 1 0 0 6      | General expenditure allowance                                     |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 40 213 000          | 39 886 000          | 38 937 488,—   | 96,83       |
| 1 0 0 7      | Allowances for performance of duties                              |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 185 000             | 181 500             | 176 892,22     | 95,62       |
|              | <i>Article 1 0 0 — Total</i>                                      | 192 223 000         | 191 113 500         | 185 425 798,86 | 96,46       |
| <b>1 0 1</b> | <b>Accident and sickness insurance and other welfare measures</b> |                     |                     |                |             |
| 1 0 1 0      | Accident and sickness insurance and other social security charges |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 2 923 000           | 3 097 000           | 1 978 805,22   | 67,70       |
| 1 0 1 2      | Specific measures to assist disabled Members                      |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 805 000             | 775 000             | 593 638,06     | 73,74       |
|              | <i>Article 1 0 1 — Total</i>                                      | 3 728 000           | 3 872 000           | 2 572 443,28   | 69,00       |
| <b>1 0 2</b> | <b>Transitional allowances</b>                                    |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 960 000             | 939 000             | 1 725 886,26   | 179,78      |
| <b>1 0 3</b> | <b>Pensions</b>                                                   |                     |                     |                |             |
| 1 0 3 0      | Retirement pensions (PEAM)                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 11 540 000          | 11 450 000          | 10 521 344,41  | 91,17       |
| 1 0 3 1      | Invalidity pensions (PEAM)                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 310 000             | 303 000             | 300 813,90     | 97,04       |
| 1 0 3 2      | Survivors' pensions (PEAM)                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 2 315 000           | 2 313 000           | 2 170 644,40   | 93,76       |
| 1 0 3 3      | Optional pension scheme for Members                               |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | p.m.                | p.m.                | 287,82         |             |
|              | <i>Article 1 0 3 — Total</i>                                      | 14 165 000          | 14 066 000          | 12 993 090,53  | 91,73       |
| <b>1 0 5</b> | <b>Language and computer courses</b>                              |                     |                     |                |             |
|              | Non-differentiated appropriations                                 | 800 000             | 670 000             | 650 000,—      | 81,25       |
|              | CHAPTER 1 0 — TOTAL                                               | 211 876 000         | 210 660 500         | 203 367 218,93 | 95,98       |

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF**  
**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES**

| Article Item | Heading                                                                                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|----------------|-------------|
|              | CHAPTER 1 2                                                                                                      |                     |                     |                |             |
| <b>1 2 0</b> | <b>Remuneration and other entitlements</b>                                                                       |                     |                     |                |             |
| 1 2 0 0      | Remuneration and allowances                                                                                      |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 662 850 000         | 645 973 700         | 621 577 766,68 | 93,77       |
| 1 2 0 2      | Paid overtime                                                                                                    |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 134 000             | 135 000             | 60 000,—       | 44,78       |
| 1 2 0 4      | Entitlements in connection with entering the service, transfer and leaving the service                           |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 3 430 000           | 2 950 000           | 3 425 000,—    | 99,85       |
|              | <i>Article 1 2 0 — Total</i>                                                                                     | 666 414 000         | 649 058 700         | 625 062 766,68 | 93,79       |
| <b>1 2 2</b> | <b>Allowances upon early termination of service</b>                                                              |                     |                     |                |             |
| 1 2 2 0      | Allowances for staff retired or placed on leave in the interests of the service                                  |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 1 200 000           | 1 155 000           | 757 433,06     | 63,12       |
| 1 2 2 2      | Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—            |             |
|              | <i>Article 1 2 2 — Total</i>                                                                                     | 1 200 000           | 1 155 000           | 757 433,06     | 63,12       |
|              | <b>CHAPTER 1 2 — TOTAL</b>                                                                                       | 667 614 000         | 650 213 700         | 625 820 199,74 | 93,74       |
|              | CHAPTER 1 4                                                                                                      |                     |                     |                |             |
| <b>1 4 0</b> | <b>Other staff and external persons</b>                                                                          |                     |                     |                |             |
| 1 4 0 0      | Other staff — Secretariat and political groups                                                                   |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 48 941 000          | 44 392 000          | 41 021 126,59  | 83,82       |
| 1 4 0 1      | Other staff — Security                                                                                           |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 24 139 600          | 25 233 000          | 21 980 312,57  | 91,05       |
| 1 4 0 2      | Other staff — Drivers in the Secretariat                                                                         |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 6 202 300           | 6 169 000           |                |             |
| 1 4 0 4      | Graduate traineeships, grants and exchanges of officials                                                         |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 7 197 900           | 6 806 500           | 7 033 669,67   | 97,72       |
| 1 4 0 5      | Expenditure on interpretation                                                                                    |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 50 801 533          | 46 244 000          | 48 598 750,—   | 95,66       |
| 1 4 0 6      | Observers                                                                                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—            |             |
|              | <i>Article 1 4 0 — Total</i>                                                                                     | 137 282 333         | 128 844 500         | 118 633 858,83 | 86,42       |

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**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (cont'd)**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                                                  | Appropriations 2018  | Appropriations 2017  | Outturn 2016          | % 2016/2018  |
|--------------|--------------------------------------------------------------------------|----------------------|----------------------|-----------------------|--------------|
| <b>1 4 2</b> | <b>External translation services</b>                                     |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 8 696 000            | 8 196 000            | 6 917 291,98          | 79,55        |
|              | <b>CHAPTER 1 4 — TOTAL</b>                                               | <b>145 978 333</b>   | <b>137 040 500</b>   | <b>125 551 150,81</b> | <b>86,01</b> |
|              | CHAPTER 1 6                                                              |                      |                      |                       |              |
| <b>1 6 1</b> | <b>Expenditure relating to staff management</b>                          |                      |                      |                       |              |
| 1 6 1 0      | Expenditure on recruitment                                               |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 253 650              | 214 000              | 273 500,—             | 107,83       |
| 1 6 1 2      | Further training                                                         |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 6 210 000            | 5 515 000            | 5 535 265,36          | 89,13        |
|              | <i>Article 1 6 1 — Total</i>                                             | <b>6 463 650</b>     | <b>5 729 000</b>     | <b>5 808 765,36</b>   | <b>89,87</b> |
| <b>1 6 3</b> | <b>Measures to assist the institution's staff</b>                        |                      |                      |                       |              |
| 1 6 3 0      | Social welfare                                                           |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 743 000              | 768 000              | 418 323,17            | 56,30        |
| 1 6 3 1      | Mobility                                                                 |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 730 000              | 700 000              | 448 687,52            | 61,46        |
| 1 6 3 2      | Social contacts between members of staff and other social measures       |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 227 000              | 230 000              | 238 000,—             | 104,85       |
|              | <i>Article 1 6 3 — Total</i>                                             | <b>1 700 000</b>     | <b>1 698 000</b>     | <b>1 105 010,69</b>   | <b>65,00</b> |
| <b>1 6 5</b> | <b>Activities relating to all persons working with the institution</b>   |                      |                      |                       |              |
| 1 6 5 0      | Medical service                                                          |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 1 250 000            | 1 275 000            | 1 095 269,68          | 87,62        |
| 1 6 5 2      | Current operating expenditure for restaurants and canteens               |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 1 310 000            | 1 380 000            | 615 000,—             | 46,95        |
| 1 6 5 4      | Childcare facilities                                                     |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 7 478 900            | 7 162 500            | 5 517 443,89          | 73,77        |
| 1 6 5 5      | European Parliament contribution for accredited Type II European Schools |                      |                      |                       |              |
|              | Non-differentiated appropriations                                        | 445 600              | 295 000              | 300 000,—             | 67,32        |
|              | <i>Article 1 6 5 — Total</i>                                             | <b>10 484 500</b>    | <b>10 112 500</b>    | <b>7 527 713,57</b>   | <b>71,80</b> |
|              | <b>CHAPTER 1 6 — TOTAL</b>                                               | <b>18 648 150</b>    | <b>17 539 500</b>    | <b>14 441 489,62</b>  | <b>77,44</b> |
|              | <b>Title 1 — Total</b>                                                   | <b>1 044 116 483</b> | <b>1 015 454 200</b> | <b>969 180 059,10</b> | <b>92,82</b> |



## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Salaries and allowances*

## 1 0 0 0 Salaries

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 77 125 000          | 75 846 000          | 73 871 418,64 |

*Remarks*

This appropriation is intended to cover the salary provided for by the Statute for Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Articles 9 and 10 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 1 and 2 thereof.

## 1 0 0 4 Ordinary travel expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 68 500 000          | 69 200 000          | 66 290 000,— |

*Remarks*

This appropriation is intended to cover reimbursement of travel and subsistence expenses in connection with travelling to and from the places of work and with other duty travel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 10 to 21 and 24 thereof.

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## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (cont'd)

## 1 0 0 (cont'd)

## 1 0 0 5 Other travel expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 200 000           | 6 000 000           | 6 150 000,—  |

*Remarks*

This appropriation is intended to cover reimbursement of additional travel expenses and travel expenses incurred in the Member State of election.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 22 and 23 thereof.

## 1 0 0 6 General expenditure allowance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 213 000          | 39 886 000          | 38 937 488,— |

*Remarks*

This appropriation is intended to cover, in accordance with the Implementing measures for the Statute for Members of the European Parliament, expenses resulting from the parliamentary activities of Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 170 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 25 to 28 thereof.

## 1 0 0 7 Allowances for performance of duties

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 185 000             | 181 500             | 176 892,22   |

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)1 0 0 7 (*cont'd*)*Remarks*

This appropriation is intended to cover the flat-rate subsistence and representation allowances in connection with the duties of the President of the European Parliament.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Bureau Decision of 17 June 2009.

**1 0 1** ***Accident and sickness insurance and other welfare measures***

1 0 1 0 Accident and sickness insurance and other social security charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 923 000           | 3 097 000           | 1 978 805,22 |

*Remarks*

This appropriation is intended to cover accident insurance and reimbursement of medical expenses for Members and loss and theft of Members' personal effects.

It is also intended to cover the provision of insurance cover and assistance to Members should they need to be repatriated whilst undertaking an official journey, as a result of a serious illness, an accident or an unforeseen event that prevents them from continuing their journey. Such assistance involves organising the Member's repatriation and defraying the related costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 200 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Articles 18 and 19 thereof.

Implementing measures for the Statute for Members of the European Parliament, in particular Articles 3 to 9 and 29 thereof.

Common rules on the insurance of officials of the European Union against the risk of accident and of occupational disease.

Joint rules on sickness insurance for officials of the European Communities.

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## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (cont'd)

1 0 1 (cont'd)

1 0 1 0 (cont'd)

Commission Decision laying down general implementing provisions for the reimbursement of medical expenses.

1 0 1 2 Specific measures to assist disabled Members

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 805 000             | 775 000             | 593 638,06   |

*Remarks*

This appropriation is intended to cover certain expenditure required to provide assistance for a seriously disabled Member.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 30 thereof.

1 0 2 **Transitional allowances**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 960 000             | 939 000             | 1 725 886,26 |

*Remarks*

This appropriation is intended to cover the transitional allowance after the end of a Member's term of office.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 13 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 45 to 48 and 77 thereof.

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (cont'd)

**1 0 3 Pensions**

## 1 0 3 0 Retirement pensions (PEAM)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 540 000          | 11 450 000          | 10 521 344,41 |

*Remarks*

This appropriation is intended to cover the payment of an old-age pension after the cessation of a Member's term of office.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 150 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 75 thereof, and Annex III to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

## 1 0 3 1 Invalidity pensions (PEAM)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 310 000             | 303 000             | 300 813,90   |

*Remarks*

This appropriation is intended to cover the payment of a pension to Members who become incapacitated during their term of office.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 75 thereof, and Annex II to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

## 1 0 3 2 Survivors' pensions (PEAM)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 315 000           | 2 313 000           | 2 170 644,40 |

## EUROPEAN PARLIAMENT

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 3 (*cont'd*)1 0 3 2 (*cont'd*)*Remarks*

This appropriation is intended to cover the payment of a survivor's and/or orphan's pension in the event of the death of a Member or of a former Member.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 15 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 75 thereof, and Annex I to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

1 0 3 3 Optional pension scheme for Members

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 287,82       |

*Remarks*

This appropriation is intended to cover the institution's contribution to the additional voluntary pension scheme for Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 500.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 27 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 76 thereof, and Annex VII to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

1 0 5 **Language and computer courses**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 800 000             | 670 000             | 650 000,—    |

*Remarks*

This appropriation is intended to cover the cost of language and computer courses for Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 5** (*cont'd*)*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 44 thereof.

Bureau Decision of 4 May 2009 on language and computer courses for Members.

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF****1 2 0** ***Remuneration and other entitlements***

## 1 2 0 0 Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 662 850 000         | 645 973 700         | 621 577 766,68 |

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, allowances and other payments related to salaries,
- insurance against sickness, accident and occupational disease and other social security contributions,
- flat-rate overtime allowances,
- miscellaneous allowances and grants,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin.

It is also intended to cover the insurance premiums in respect of sports accidents for users of the European Parliament's sports centre in Brussels and in Strasbourg.

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CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 0 (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 350 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 2 0 2 Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 34 000            | 1 35 000            | 60 000,—     |

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions set out in the legal basis.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

1 2 0 4 Entitlements in connection with entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 430 000           | 2 950 000           | 3 425 000,—  |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation and resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,



**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 0** (*cont'd*)1 2 0 4 (*cont'd*)

- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- the compensation for a probationary official who is dismissed because his or her work is obviously inadequate,
- compensation for a member of the temporary staff whose contract is terminated by the institution,
- the difference between the contributions paid by contract staff to a Member State pension scheme and those payable to the Union scheme in the event of reclassification of a contract.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 2 2** ***Allowances upon early termination of service***

1 2 2 0 Allowances for staff retired or placed on leave in the interests of the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 200 000           | 1 155 000           | 757 433,06   |

*Remarks*

This appropriation is intended to cover the allowances payable:

- to officials assigned non-active status in connection with action to reduce the number of posts in the institution,
- to officials placed on leave to meet organisational needs associated with the acquisition of new skills within the institution,
- to officials and temporary management staff for political groups holding posts in grades AD 16 and AD 15 retired in the interests of the service.

It also covers the employer's contribution towards sickness insurance and the impact of the weightings applicable to these allowances (except for beneficiaries of Article 42c of the Staff Regulations, who are not entitled to a weighting).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

## EUROPEAN PARLIAMENT

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 2 (*cont'd*)1 2 2 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 42c and 50 thereof and Annex IV thereto, and Article 48a of the Conditions of Employment of Other Servants of the European Union.

1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the allowances payable under the Staff Regulations or Council Regulations (EC, Euratom, ESCS) No 2689/95 and (EC, Euratom) No 1748/2002,
- the employer's contributions towards sickness insurance for the recipients of the allowances,
- the impact of the weightings applicable to the various allowances.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

Council Regulation (EC, Euratom, ECSC) No 2689/95 of 17 November 1995 introducing special measures to terminate the service of temporary staff of the European Communities as a result of the accession of Austria, Finland and Sweden (OJ L 280, 23.11.1995, p. 4).

Council Regulation (EC, Euratom) No 1748/2002 of 30 September 2002 introducing, in the context of the modernisation of the institution, special measures to terminate the service of Officials of the European Communities appointed to an established post in the European Parliament and temporary staff working in the Political Groups of the European Parliament (OJ L 264, 2.10.2002, p. 9).

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 *Other staff and external persons*

1 4 0 0 Other staff — Secretariat and political groups

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 48 941 000          | 44 392 000          | 41 021 126,59 |

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 0 (*cont'd*)*Remarks*

This appropriation is mainly intended to cover the following expenditure, which does not include expenditure on other staff within the Directorate-General for Security and Safety who perform duties relating to the safety of persons and property, information security and risk assessment and on other staff working as drivers in the Secretariat or coordinating the work of those drivers:

- the remuneration, including allocations and allowances, of other staff, including contract staff and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes, the bulk of which are paid in to the Union institutions' own scheme, and the impact of salary weightings applicable to the remuneration of these staff,
- the employment of temporary agency staff,
- invoices issued by the PMO to cover the cost of employing staff to deal with the administrative files of members of the European Parliament's staff (in particular matters relating to unemployment benefits and pension entitlements).

Part of this appropriation is to be used for the recruitment of persons with disabilities as contract staff members, in accordance with the Bureau Decision of 7 and 9 July 2008.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 400 000.

*Legal basis*

Conditions of Employment of Other Servants of the European Union (Titles IV, V and VI).

General implementing provisions governing competitions and selection procedures, recruitment and the grading of officials and other servants of the European Parliament (decision of the Secretary-General of the European Parliament of 17 October 2014).

1 4 0 1 Other staff — Security

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 24 139 600          | 25 233 000          | 21 980 312,57 |

*Remarks*

This appropriation is mainly intended to cover the following expenditure on other staff within the Directorate-General for Security and Safety who perform duties relating to the safety of persons and property, information security and risk assessment:

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**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 1 (*cont'd*)

- the remuneration, including allocations and allowances, of contract staff and auxiliary contract staff, and the impact of salary weightings applicable to the remuneration of these staff,
- the employment of temporary agency staff.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Conditions of Employment of Other Servants of the European Union (Title IV).

General implementing provisions governing competitions and selection procedures, recruitment and the grading of officials and other servants of the European Parliament (decision of the Secretary-General of the European Parliament of 17 October 2014).

1 4 0 2 Other staff — Drivers in the Secretariat

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 202 300           | 6 169 000           |              |

*Remarks*

This appropriation is mainly intended to cover the following expenditure on other staff working as drivers in the Secretariat or coordinating the work of those drivers:

- the remuneration, including allocations and allowances, of contract staff and auxiliary contract staff, and the impact of salary weightings applicable to the remuneration of these staff,
- the employment of temporary agency staff.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Conditions of Employment of Other Servants of the European Union (Title IV).

General implementing provisions governing competitions and selection procedures, recruitment and the grading of officials and other servants of the European Parliament (decision of the Secretary-General of the European Parliament of 17 October 2014).

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)

1 4 0 4 Graduate traineeships, grants and exchanges of officials

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 197 900           | 6 806 500           | 7 033 669,67 |

*Remarks*

This appropriation is intended to cover:

- expenditure on selecting, recruiting and taking in trainees,
- emoluments for graduate trainees (scholarships), including any household allowances, and the costs of an additional disability payment (of up to 50 % of the scholarship),
- allowances paid to trainees,
- travel expenses of trainees,
- sickness and accident insurance for trainees,
- the operating grant or direct payment of expenditure connected with events organised by the Traineeships Committee, up to an annual limit of EUR 2 000,
- expenditure arising from movements between the European Parliament and the public sector in the Member States or other countries specified in the rules,
- expenditure arising from the secondment of national experts to the European Parliament, including allowances and travel expenses,
- accident insurance for national experts on secondment,
- allowances for study visits,
- the organisation of training schemes for conference interpreters and translators, inter alia in cooperation with schools of interpreting and universities providing training in translation, as well as grants for the training and further training of interpreters and translators, purchase of teaching materials, and associated costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Rules governing the attachment of European Parliament officials and temporary staff of the political groups to national public authorities, bodies treated as such public authorities and international organisations (Bureau Decision of 7 March 2005).

Rules governing the secondment of national experts to the European Parliament (Bureau Decision of 4 May 2009).

Internal rules governing traineeships and study visits in the Secretariat of the European Parliament (decision of the Secretary-General of the European Parliament of 1 February 2013).

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CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)

## 1 4 0 5 Expenditure on interpretation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 801 533          | 46 244 000          | 48 598 750,— |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the fees and related allowances, social security contributions, travel expenses and other expenses of contract conference interpreters recruited by the European Parliament to service meetings organised by the European Parliament to meet its own needs or those of other institutions when the necessary services cannot be provided by European Parliament interpreters (officials and temporary staff),
- expenditure on conference agencies, technicians and administrators used to service the above meetings where they cannot be serviced by officials, temporary staff or other European Parliament staff,
- expenses in connection with services provided to the European Parliament by interpreters who are staff members of regional, national or international institutions,
- expenses in connection with interpretation-related activities, in particular preparations for meetings and interpreter training and selection,
- expenses paid to the Commission for administering payments to conference interpreters.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 500 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Agreement on working conditions and the pecuniary regime for auxiliary conference interpreters (ACIs) (and the implementing rules therefor), as established on 28 July 1999, amended on 13 October 2004 and revised on 31 July 2008.

## 1 4 0 6 Observers

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 6 (*cont'd*)*Remarks*

This appropriation is intended to cover the payment of expenses relating to observers, in accordance with Rule 13 of the European Parliament's Rules of Procedure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

**1 4 2** *External translation services*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 696 000           | 8 196 000           | 6 917 291,98 |

*Remarks*

This appropriation is intended to cover the translation, editing, typing, coding and technical assistance work sent to outside suppliers.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****1 6 1** *Expenditure relating to staff management*

1 6 1 0 Expenditure on recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 253 650             | 214 000             | 273 500,—    |

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising procedures for selecting staff.

In cases duly justified by operational needs and after consultation of the European Personnel Selection Office, the institution may use some of these appropriations to organise its own competitions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

## EUROPEAN PARLIAMENT

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 1 (*cont'd*)1 6 1 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and Article 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee, the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 6 1 2 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 210 000           | 5 515 000           | 5 535 265,36 |

*Remarks*

This appropriation is intended to cover expenditure on training for improving staff skills and the performance and efficiency of the institution, e.g. via language courses for the official working languages.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

1 6 3 **Measures to assist the institution's staff**

1 6 3 0 Social welfare

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 743 000             | 768 000             | 418 323,17   |

*Remarks*

This appropriation is intended to cover:

- as part of an interinstitutional policy to assist persons with a disability in the following categories:
  - officials and temporary staff in active employment,



CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 0 (*cont'd*)

- spouses of officials and temporary staff in active employment,
- dependent children within the meaning of the Staff Regulations of Officials of the European Union,

the reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the handicap and supported by documentary evidence and not covered by the Joint Sickness Insurance Scheme,

- action taken in respect of officials and other servants in particularly difficult situations,
- the financing of a grant for the Staff Committee and incidental expenditure in the Welfare Service. Contributions or defrayal of expenses by the Staff Committee for participants in a welfare activity will be aimed at financing activities that have a social, cultural or linguistic dimension, but there will be no subsidies for individual staff members or households,
- other institutional or interinstitutional welfare measures for the inclusion of officials and other staff,
- the financing of specific reasonable accommodation measures or expenditure on medical analyses and welfare assessments for officials, other servants and trainees with disabilities or for officials and other servants with disabilities during recruitment procedures and trainees with disabilities during selection procedures, in application of Article 1d of the Staff Regulations, in particular personal assistance at the workplace or during missions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 1d, the third subparagraph of Article 9(3) and Article 76 thereof.

1 6 3 1 Mobility

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 730 000             | 700 000             | 448 687,52   |

*Remarks*

This appropriation is intended to cover expenditure relating to mobility at the various places of work.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

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**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 3** (*cont'd*)

## 1 6 3 2 Social contacts between members of staff and other social measures

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 227 000             | 230 000             | 238 000,—    |

*Remarks*

This appropriation is intended to encourage and provide financial backing for schemes to promote social contact between staff of different nationalities, for example subsidies to staff clubs, sports associations, cultural societies, etc., and to make a contribution to the cost of a permanent leisure centre (cultural and sports activities, hobbies, restaurant).

It also covers financial support for interinstitutional social activities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 600 000.

**1 6 5** ***Activities relating to all persons working with the institution***

## 1 6 5 0 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 250 000           | 1 275 000           | 1 095 269,68 |

*Remarks*

This appropriation is intended to cover the operating costs of the medical service at the three places of work, including the purchase of materials and pharmaceutical products, etc., expenditure on preventive medical check-ups, expenditure arising from the operation of the Invalidity Committee and expenditure on services provided by outside medical specialists deemed necessary by the medical officers.

It also covers expenditure involving the purchase of certain work tools deemed necessary on medical grounds, together with expenditure on medical or paramedical staff under service provision arrangements or on short-term stand-in assignment.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

## 1 6 5 2 Current operating expenditure for restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 310 000           | 1 380 000           | 615 000,—    |

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 6 5 (cont'd)

1 6 5 2 (cont'd)

*Remarks*

This appropriation is intended to cover restaurant and canteen management and operating costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 800 000.

1 6 5 4 Childcare facilities

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 478 900           | 7 162 500           | 5 517 443,89 |

*Remarks*

This appropriation is intended to cover the European Parliament's contribution to all the organisational expenditure and expenditure on services for the internal childcare facilities and outside childcare facilities with which an agreement has been concluded.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 250 000.

1 6 5 5 European Parliament contribution for accredited Type II European Schools

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 445 600             | 295 000             | 300 000,—    |

*Remarks*

Implementation of Commission Decision C(2013) 4886 of 1 August 2013 on the putting into effect of the EU contribution paid on a pro-rata basis to schools accredited by the Board of Governors of the European Schools according to the number of children of EU staff enrolled, replacing Commission Decision C(2009) 7719 of 14 October 2009 as amended by Commission Decision C(2010) 7993 of 8 December 2010 (OJ C 222, 2.8.2013, p. 8).

This appropriation is intended to cover the European Parliament's contribution for Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement of the contribution paid by the Commission on behalf of the European Parliament for Type II European Schools accredited by the Board of Governors of the European Schools. It covers costs relating to children of European Parliament staff coming under the Staff Regulations who are enrolled in such schools.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

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## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY

| Article Item | Heading                                                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------|---------------------|---------------------|----------------|-------------|
|              | CHAPTER 2 0                                                                      |                     |                     |                |             |
| <b>2 0 0</b> | <b>Buildings</b>                                                                 |                     |                     |                |             |
| 2 0 0 0      | Rent                                                                             |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 35 948 000          | 37 169 000          | 32 913 005,98  | 91,56       |
| 2 0 0 1      | Lease payments                                                                   |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 13 000 000          | p.m.                | 53 500 000,—   | 411,54      |
| 2 0 0 3      | Acquisition of immovable property                                                |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | p.m.                | p.m.                | 0,—            |             |
| 2 0 0 5      | Construction of buildings                                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 17 888 000          | 32 970 000          | 17 788 806,93  | 99,45       |
| 2 0 0 7      | Fitting-out of premises                                                          |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 60 820 000          | 84 550 000          | 60 652 105,72  | 99,72       |
| 2 0 0 8      | Other specific property management arrangements                                  |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 5 196 000           | 5 114 000           | 2 768 631,32   | 53,28       |
|              | <i>Article 2 0 0 — Total</i>                                                     | 132 852 000         | 159 803 000         | 167 622 549,95 | 126,17      |
| <b>2 0 2</b> | <b>Expenditure on buildings</b>                                                  |                     |                     |                |             |
| 2 0 2 2      | Building maintenance, upkeep, operation and cleaning                             |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 57 450 000          | 59 440 000          | 51 216 628,33  | 89,15       |
| 2 0 2 4      | Energy consumption                                                               |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 15 800 000          | 16 690 000          | 15 852 979,24  | 100,34      |
| 2 0 2 6      | Security and surveillance of buildings                                           |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 18 670 000          | 18 420 000          | 16 231 922,34  | 86,94       |
| 2 0 2 8      | Insurance                                                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 2 580 000           | 784 000             | 675 616,73     | 26,19       |
|              | <i>Article 2 0 2 — Total</i>                                                     | 94 500 000          | 95 334 000          | 83 977 146,64  | 88,86       |
|              | CHAPTER 2 0 — TOTAL                                                              | 227 352 000         | 255 137 000         | 251 599 696,59 | 110,67      |
|              | CHAPTER 2 1                                                                      |                     |                     |                |             |
| <b>2 1 0</b> | <b>Computing and telecommunications</b>                                          |                     |                     |                |             |
| 2 1 0 0      | Computing and telecommunications — Business-as-usual operations — Operations     |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 26 112 000          | 24 920 000          | 25 283 869,50  | 96,83       |
| 2 1 0 1      | Computing and telecommunications — Business-as-usual operations — Infrastructure |                     |                     |                |             |
|              | Non-differentiated appropriations                                                | 19 355 000          | 18 382 000          | 17 647 112,94  | 91,18       |

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY** (*cont'd*)  
**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**

| Article Item | Heading                                                                                             | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|--------------|-----------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|--------------|
| <b>2 1 0</b> | ( <i>cont'd</i> )                                                                                   |                     |                     |                       |              |
| 2 1 0 2      | Computing and telecommunications — Business-as-usual operations — General support for users         |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 13 866 500          | 13 588 000          | 12 181 472,58         | 87,85        |
| 2 1 0 3      | Computing and telecommunications — Business-as-usual operations — Management of ICT applications    |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 23 865 500          | 23 139 400          | 19 340 522,94         | 81,04        |
| 2 1 0 4      | Computing and telecommunications — Investment in infrastructure                                     |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 20 615 000          | 22 023 500          | 20 450 327,81         | 99,20        |
| 2 1 0 5      | Computing and telecommunications — Investment in projects                                           |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 33 868 000          | 28 086 500          | 23 512 236,53         | 69,42        |
|              | <i>Article 2 1 0 — Total</i>                                                                        | 137 682 000         | 130 139 400         | 118 415 542,30        | 86,01        |
| <b>2 1 2</b> | <b>Furniture</b>                                                                                    |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 5 680 000           | 6 005 000           | 2 113 970,90          | 37,22        |
| <b>2 1 4</b> | <b>Technical equipment and installations</b>                                                        |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 32 453 500          | 29 356 100          | 27 969 260,66         | 86,18        |
| <b>2 1 6</b> | <b>Transport of Members, other persons and goods</b>                                                |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 3 728 000           | 4 534 000           | 5 651 673,50          | 151,60       |
|              | <i>Reserves (10 0)</i>                                                                              |                     | <i>p.m.</i>         |                       |              |
|              |                                                                                                     | 3 728 000           | 4 534 000           | 5 651 673,50          |              |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                                          | 179 543 500         | 170 034 500         | 154 150 447,36        | 85,86        |
|              | <i>Reserves (10 0)</i>                                                                              |                     | <i>p.m.</i>         |                       |              |
|              |                                                                                                     | 179 543 500         | 170 034 500         | 154 150 447,36        |              |
|              | <b>CHAPTER 2 3</b>                                                                                  |                     |                     |                       |              |
| <b>2 3 0</b> | <b>Stationery, office supplies and miscellaneous consumables</b>                                    |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 1 449 500           | 1 440 500           | 1 370 433,69          | 94,55        |
| <b>2 3 1</b> | <b>Financial charges</b>                                                                            |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 60 000              | 40 000              | 25 001,—              | 41,67        |
| <b>2 3 2</b> | <b>Legal costs and damages</b>                                                                      |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 1 010 000           | 1 110 000           | 520 518,18            | 51,54        |
| <b>2 3 6</b> | <b>Postage on correspondence and delivery charges</b>                                               |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 271 000             | 271 000             | 193 486,81            | 71,40        |
| <b>2 3 7</b> | <b>Removals</b>                                                                                     |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 2 490 000           | 1 434 000           | 1 167 643,97          | 46,89        |
| <b>2 3 8</b> | <b>Other administrative expenditure</b>                                                             |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 1 560 000           | 1 161 000           | 1 039 958,96          | 66,66        |
| <b>2 3 9</b> | <b>EMAS activities, including promotion, and the European Parliament's carbon offsetting scheme</b> |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                   | 262 500             | 262 500             | 104 374,20            | 39,76        |
|              | <b>CHAPTER 2 3 — TOTAL</b>                                                                          | 7 103 000           | 5 719 000           | 4 421 416,81          | 62,25        |
|              | <b>Title 2 — Total</b>                                                                              | <b>413 998 500</b>  | <b>430 890 500</b>  | <b>410 171 560,76</b> | <b>99,08</b> |
|              | <b>Reserves (10 0)</b>                                                                              |                     | <i>p.m.</i>         |                       |              |
|              |                                                                                                     | <b>413 998 500</b>  | <b>430 890 500</b>  | <b>410 171 560,76</b> |              |

EUROPEAN PARLIAMENT

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

*Remarks*

Since risk cover has been revoked by insurance companies, the risk of industrial conflicts and terrorist attacks for the European Parliament buildings needs to be covered through the general budget of the European Union.

The appropriations of this title accordingly cover all expenses in connection with damage resulting from industrial conflicts and terrorist attacks.

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 **Buildings**

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 35 948 000          | 37 169 000          | 32 913 005,98 |

*Remarks*

This appropriation is intended to cover rent for the buildings or parts of buildings occupied by the European Parliament.

It also covers property tax. The rentals are calculated over 12 months on the basis of existing leases or leases in preparation, which normally provide for cost of living or construction cost index-linking.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

## 2 0 0 1 Lease payments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 13 000 000          | p.m.                | 53 500 000,— |

*Remarks*

This appropriation is intended to cover the annual lease payments for buildings or parts of buildings under existing leases or leases in preparation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 1** (*cont'd*)

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 3** Acquisition of immovable property

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the acquisition of immovable property. Subsidies for land and its servicing will be dealt with in accordance with the provisions of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 13 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 5** Construction of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 17 888 000          | 32 970 000          | 17 788 806,93 |

*Remarks*

This item is intended for any entry of appropriations for the construction of buildings (works, consultants' fees, initial fitting-out work and supplies to make buildings operational, and all related costs).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

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**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 7** Fitting-out of premises

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 60 820 000          | 84 550 000          | 60 652 105,72 |

*Remarks*

This appropriation is intended to cover the performance of fitting-out work, including other expenditure connected with that work, in particular architects' or engineers' fees, etc.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 600 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 8** Other specific property management arrangements

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 196 000           | 5 114 000           | 2 768 631,32 |

*Remarks*

This appropriation is intended to cover expenditure on property management not specifically provided for in the other articles in this Chapter, i.e.:

- waste management and treatment,
- mandatory inspections, quality checks, expert opinions, audits, compliance monitoring, etc.,
- technical library,
- management support (building helpdesk),
- taking care of building drawings and information media,
- other expenditure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.



**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2 Expenditure on buildings**

## 2 0 2 2 Building maintenance, upkeep, operation and cleaning

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 57 450 000          | 59 440 000          | 51 216 628,33 |

*Remarks*

This appropriation is intended to cover the maintenance, upkeep, operating and cleaning costs, on the basis of current contracts, for the buildings (offices, other areas and installations) rented or owned by the European Parliament.

Before renewing or concluding contracts, the institution will consult the other institutions on the contractual terms each of them has obtained (prices, currency chosen, index-linking, duration, other clauses) with due regard for Article 104 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 200 000.

## 2 0 2 4 Energy consumption

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 15 800 000          | 16 690 000          | 15 852 979,24 |

*Remarks*

This appropriation is intended to cover, in particular, water, gas, electricity and heating costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 150 000.

## 2 0 2 6 Security and surveillance of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 18 670 000          | 18 420 000          | 16 231 922,34 |

*Remarks*

This appropriation is intended to cover essentially the costs of caretaking and surveillance in respect of buildings occupied by the European Parliament at its three habitual places of work, its information offices in the Union and its offices in third countries.

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**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)2 0 2 6 (*cont'd*)

Before renewing or concluding contracts, the institution will consult the other institutions on the contractual terms each of them has obtained (prices, currency chosen, index-linking, duration, other clauses) with due regard for Article 104 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 120 000.

2 0 2 8 Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 580 000           | 784 000             | 675 616,73   |

*Remarks*

This appropriation is intended to cover payments in respect of insurance policy premiums.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY***Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

**2 1 0** ***Computing and telecommunications***

2 1 0 0 Computing and telecommunications — Business-as-usual operations — Operations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 26 112 000          | 24 920 000          | 25 283 869,50 |

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and on outside assistance from service bureaux and IT consultants for business-as-usual operations to ensure that the European Parliament's computing and telecommunications systems function properly. That expenditure relates mainly to systems at the computer and telecommunications centre, computing at departmental level and network management operations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 300 000.

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY (cont'd)

## 2 1 0 (cont'd)

## 2 1 0 1 Computing and telecommunications — Business-as-usual operations — Infrastructure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 19 355 000          | 18 382 000          | 17 647 112,94 |

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and on outside assistance from service bureaux and IT consultants for business-as-usual operations to manage and maintain the European Parliament's computing and telecommunications system infrastructure. That expenditure relates mainly to network, cabling, telecommunications, individual equipment and voting system infrastructure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 67 000.

## 2 1 0 2 Computing and telecommunications — Business-as-usual operations — General support for users

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 13 866 500          | 13 588 000          | 12 181 472,58 |

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and on outside assistance from service bureaux and IT consultants for business-as-usual operations to provide assistance and support for users of the European Parliament's computing and telecommunications systems. That expenditure relates to support services for Members and other users, covering in particular administrative, legislative and communication applications.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

## 2 1 0 3 Computing and telecommunications — Business-as-usual operations — Management of ICT applications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 23 865 500          | 23 139 400          | 19 340 522,94 |

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and related work, and on outside assistance from service bureaux and IT consultants for business-as-usual operations connected with ICT applications management in the institution. That expenditure relates mainly to applications for Members and communication activities, as well as administrative and legislative applications.

It is also intended to cover expenditure in ICT tools financed jointly in the context of interinstitutional cooperation in the field of languages, provided for by the decisions taken by the Interinstitutional Committee on Translation and Interpretation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 32 000.

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**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY** (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 4** Computing and telecommunications — Investment in infrastructure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 20 615 000          | 22 023 500          | 20 450 327,81 |

*Remarks*

This appropriation is intended to cover expenditure on the purchase of hardware and software and on outside assistance from service bureaux and IT consultants for investments connected to the European Parliament's computing and telecommunications system infrastructure. The investments relate mainly to systems at the computer and telecommunications centre, networks, cabling and video-conferencing systems.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 75 000.

**2 1 0 5** Computing and telecommunications — Investment in projects

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 33 868 000          | 28 086 500          | 23 512 236,53 |

*Remarks*

This appropriation is intended to cover expenditure on the purchase of hardware and software and on outside assistance from service bureaux and IT consultants for investments relating to ongoing and new ICT projects. The investments relate mainly to applications for Members, legislative, administrative, financial and communication applications and ICT governance applications.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

**2 1 2** **Furniture**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 680 000           | 6 005 000           | 2 113 970,90 |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture, the replacement of worn-out and broken furniture and office machines. It is also intended to cover miscellaneous expenditure on managing the European Parliament's furniture stock.

In connection with works of art, this appropriation is intended to cover both the cost of acquiring and purchasing specific material and the current expenditure relating thereto, such as experts, conservation, framing, restoration, cleaning, insurance and ad hoc transport costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY** (*cont'd*)**2 1 4** *Technical equipment and installations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 32 453 500          | 29 356 100          | 27 969 260,66 |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance, repair and management of technical equipment and installations, and in particular of:

- miscellaneous fixed and mobile technical installations and equipment in connection with publishing, security (including software), canteens, buildings, staff training and the institution's sports centres, etc.,
- equipment in particular for the printshop, telephone service, canteens, staff shops, security, conferences, and the audiovisual sector, etc.,
- special equipment (electronic, computing and electrical) and related external services.

This appropriation also covers publicity costs for the resale and scrapping of inventoried items and the costs of technical assistance (consultancy) with matters on which external expertise is needed.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 235 000.

**2 1 6** *Transport of Members, other persons and goods*

|                        | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|------------------------|---------------------|---------------------|--------------|
| 2 1 6                  | 3 728 000           | 4 534 000           | 5 651 673,50 |
| <i>Reserves (10 0)</i> |                     | <i>p.m.</i>         |              |
| Total                  | 3 728 000           | 4 534 000           | 5 651 673,50 |

*Remarks*

This appropriation is intended to cover the purchase, maintenance, use and repair of vehicles (fleet of cars and bicycles) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover and other management costs. When replacing the car fleet or purchasing or hiring vehicles, preference will be given to cars that are the least polluting for the environment, such as hybrid cars.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE***Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

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**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 0 Stationery, office supplies and miscellaneous consumables**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 449 500           | 1 440 500           | 1 370 433,69 |

*Remarks*

This appropriation is intended to cover the purchase of paper, envelopes, office supplies, supplies for the print shop and document reproduction workshops, etc., together with the related management costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

**2 3 1 Financial charges**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 000              | 40 000              | 25 001,—     |

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

**2 3 2 Legal costs and damages**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 010 000           | 1 110 000           | 520 518,18   |

*Remarks*

This appropriation is intended to cover:

- costs which may be awarded against the European Parliament by the Court of Justice, the General Court or national courts,
- the cost of hiring outside lawyers to represent the European Parliament in Union and national courts, and the cost of hiring legal advisers or experts to assist the Legal Service,
- reimbursement of lawyers' fees in connection with disciplinary and equivalent proceedings,
- damages and interest expenses,
- agreed compensation through amicable settlement pursuant to Chapter 11 of Title III of the Rules of Procedure of the General Court.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 6 Postage on correspondence and delivery charges**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 271 000             | 271 000             | 193 486,81   |

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by national postal services or private delivery firms.

This appropriation is also intended to cover mail-handling services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

**2 3 7 Removals**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 490 000           | 1 434 000           | 1 167 643,97 |

*Remarks*

This appropriation is intended to cover the cost of removal and handling work carried out by removal firms or by temporary handling staff supplied by outside agencies.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 8 Other administrative expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 560 000           | 1 161 000           | 1 039 958,96 |

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- the purchase and maintenance of uniforms for ushers, drivers, receptionists, warehouse staff, removal men and staff in the Visits and Seminars Unit, the Parliamentarium, the medical services, the security and building maintenance services and various technical services,
- miscellaneous operating and management expenses, including fees payable to the PMO for managing pensions payable to former Members under the Statute, expenses related to the security clearance of external persons working on the premises or in the systems of the European Parliament, purchases of goods or services not specifically provided for against another heading,
- miscellaneous purchases in connection with Eco-Management Audit Scheme (EMAS) activities (promotional campaigns, etc.).

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**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 8** (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 9** ***EMAS activities, including promotion, and the European Parliament's carbon offsetting scheme***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 262 500             | 262 500             | 104 374,20   |

*Remarks*

This appropriation is intended to cover expenditure related to EMAS activities aiming at improving the European Parliament's environmental performance, including promotion of these activities, and to the European Parliament's carbon offsetting scheme.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.



## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

| Article Item | Heading                                                                                                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|-----------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
|              | CHAPTER 3 0                                                                                                           |                     |                     |               |             |
| <b>3 0 0</b> | <b>Expenses for staff missions and duty travel between the three places of work</b>                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 29 673 000          | 25 370 000          | 26 050 083,46 | 87,79       |
| <b>3 0 2</b> | <b>Reception and representation expenses</b>                                                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 1 045 000           | 1 015 000           | 908 552,04    | 86,94       |
| <b>3 0 4</b> | <b>Miscellaneous expenditure on meetings</b>                                                                          |                     |                     |               |             |
| 3 0 4 0      | Miscellaneous expenditure on internal meetings                                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 1 230 000           | 1 712 000           | 2 295 000,—   | 186,59      |
| 3 0 4 2      | Meetings, congresses, conferences and delegations                                                                     |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 2 515 000           | 2 570 000           | 1 745 262,16  | 69,39       |
| 3 0 4 9      | Expenditure on travel agency services                                                                                 |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 2 230 000           | 2 160 000           | 2 040 000,—   | 91,48       |
|              | <i>Article 3 0 4 — Total</i>                                                                                          | 5 975 000           | 6 442 000           | 6 080 262,16  | 101,76      |
|              | CHAPTER 3 0 — TOTAL                                                                                                   | 36 693 000          | 32 827 000          | 33 038 897,66 | 90,04       |
|              | CHAPTER 3 2                                                                                                           |                     |                     |               |             |
| <b>3 2 0</b> | <b>Acquisition of expertise</b>                                                                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 8 200 350           | 9 211 500           | 6 461 534,48  | 78,80       |
| <b>3 2 1</b> | <b>Expenditure for the European Parliamentary Research Service, including the Library and the Historical Archives</b> |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 7 603 800           | 8 314 000           | 7 273 588,77  | 95,66       |
| <b>3 2 2</b> | <b>Documentation expenditure</b>                                                                                      |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 2 231 500           | 2 044 000           | 1 953 971,90  | 87,56       |
| <b>3 2 3</b> | <b>Support for democracy and capacity-building for the parliaments of third countries</b>                             |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 1 230 000           | 1 175 000           | 667 638,48    | 54,28       |
| <b>3 2 4</b> | <b>Production and dissemination</b>                                                                                   |                     |                     |               |             |
| 3 2 4 0      | Official Journal                                                                                                      |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 830 000             | 4 500 000           | 4 771 838,68  | 574,92      |
| 3 2 4 1      | Digital and traditional publications                                                                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 4 307 640           | 3 650 000           | 3 948 122,74  | 91,65       |
| 3 2 4 2      | Expenditure on publication, information and participation in public events                                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 25 410 000          | 10 580 000          | 16 286 376,98 | 64,09       |
| 3 2 4 3      | Parliamentarium — the European Parliament Visitors' Centre                                                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                     | 8 400 000           | 5 742 500           | 5 748 049,54  | 68,43       |

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**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION**  
*(cont'd)*

| Article Item | Heading                                                                                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|--------------|
| <b>3 2 4</b> | <i>(cont'd)</i>                                                                                                                   |                     |                     |                       |              |
| 3 2 4 4      | Organisation and reception of groups of visitors, Euroscola programme and invitations to opinion multipliers from third countries |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 32 491 000          | 30 845 000          | 29 213 247,67         | 89,91        |
| 3 2 4 5      | Organisation of seminars, symposia and cultural activities                                                                        |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 3 649 100           | 4 466 000           | 4 958 082,37          | 135,87       |
| 3 2 4 6      | Video and multimedia communication of the European Parliament                                                                     |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 4 420 000           | 4 600 000           | 4 508 377,59          | 102,00       |
| 3 2 4 7      | House of European History                                                                                                         |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 6 000 000           | 7 470 000           | 4 923 830,38          | 82,06        |
| 3 2 4 8      | Expenditure on audiovisual information                                                                                            |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 17 920 000          | 14 490 000          | 15 019 157,40         | 83,81        |
| 3 2 4 9      | Information exchanges with national parliaments                                                                                   |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 205 000             | 250 000             | 111 423,44            | 54,35        |
|              | <i>Article 3 2 4 — Total</i>                                                                                                      | 103 632 740         | 86 593 500          | 89 488 506,79         | 86,35        |
| <b>3 2 5</b> | <b><i>Expenditure relating to Information Offices</i></b>                                                                         |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 7 800 000           | 900 000             | 831 104,62            | 10,66        |
| <b>3 2 6</b> | <b><i>European Science-Media Hub</i></b>                                                                                          |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                 | 800 000             | p.m.                |                       |              |
|              | <b>CHAPTER 3 2 — TOTAL</b>                                                                                                        | 131 498 390         | 108 238 000         | 106 676 345,04        | 81,12        |
|              | <b>Title 3 — Total</b>                                                                                                            | <b>168 191 390</b>  | <b>141 065 000</b>  | <b>139 715 242,70</b> | <b>83,07</b> |

## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

3 0 0 *Expenses for staff missions and duty travel between the three places of work*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 29 673 000          | 25 370 000          | 26 050 083,46 |

*Remarks*

This appropriation is intended to cover expenditure on duty travel by staff of the institution, seconded national experts, trainees and staff of other European or international institutions invited by the institution between place of employment and any of the European Parliament's three places of work (Brussels, Luxembourg and Strasbourg) and on missions to any location other than the three places of work. Expenditure is made up of transport costs, daily allowances, accommodation costs and compensatory allowances for unsocial hours. Ancillary costs (including cancellation of tickets and hotel reservations, electronic invoicing costs and mission insurance costs) are also covered.

This appropriation is also intended to cover any expenditure on carbon offsetting relating to staff missions and duty travel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 240 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

3 0 2 *Reception and representation expenses*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 045 000           | 1 015 000           | 908 552,04   |

*Remarks*

This appropriation is intended to cover:

- expenses related to the obligations of the institution regarding receptions, including in connection with work relating to the assessment of scientific options (STOA), other forward-looking activities and representation expenses for Members of the institution,
- representation expenses of the President when he or she is travelling outside the places of work,

## EUROPEAN PARLIAMENT

CHAPTER 3 0 — MEETINGS AND CONFERENCES (*cont'd*)3 0 2 (*cont'd*)

- representation expenses and the contribution to the secretarial expenses of the President's office,
- the Secretariat's reception and representation expenses, including the purchase of items and medals for officials who have completed 15 or 25 years' service,
- miscellaneous protocol expenditure, such as on flags, display stands, invitation cards, printed menus, etc.,
- travel and subsistence expenses incurred by VIP visitors to the institution,
- visa costs relating to official travel by Members and staff,
- reception and representation expenses and the other specific expenses for Members performing official duties at the European Parliament.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

3 0 4 **Miscellaneous expenditure on meetings**

## 3 0 4 0 Miscellaneous expenditure on internal meetings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 230 000           | 1 712 000           | 2 295 000,—  |

*Remarks*

This appropriation is intended to cover the costs of the beverages, refreshments and occasional light meals served at meetings held by the European Parliament or interinstitutional meetings organised on its premises, together with the management costs for these services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

## 3 0 4 2 Meetings, congresses, conferences and delegations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 515 000           | 2 570 000           | 1 745 262,16 |

*Remarks*

This appropriation is intended to cover, inter alia, expenses other than those covered under Chapter 1 0 and Article 3 0 0, connected with:

- the organisation of meetings outside the places of work (committees and committee delegations, political groups), including, where appropriate, representation expenditure,
- the organisation of interparliamentary delegations, ad hoc delegations, joint parliamentary committees, parliamentary cooperation committees, parliamentary delegations to the WTO, and the Parliamentary Conference on the WTO and its Steering Committee,

**CHAPTER 3 0 — MEETINGS AND CONFERENCES** (*cont'd*)**3 0 4** (*cont'd*)3 0 4 2 (*cont'd*)

- the organisation of delegations to the ACP-EU Joint Parliamentary Assembly, the EuroLat Parliamentary Assembly and the Euronest Parliamentary Assembly and their bodies,
  
- the organisation of the Parliamentary Assembly of the Union for the Mediterranean (UfMPA), its committees and its Bureau; this expenditure includes the European Parliament's contribution to the budget of the autonomous secretariat of the UfMPA or the direct defrayal of expenses representing the European Parliament's share of the budget of the UfMPA,
  
- the affiliation fees in respect of international organisations to which the European Parliament or one of its bodies belongs (Interparliamentary Union, Association of Secretaries-General of Parliaments, Twelve Plus Group within the Interparliamentary Union),
  
- the reimbursement to the Commission, on the basis of a service agreement concluded between the European Parliament and the Commission, of the European Parliament's share of the cost of producing EU *laissez-passer* (equipment, staff and supplies), in accordance with the Protocol on the Privileges and Immunities of the European Union (Article 6), Article 23 of the Staff Regulations of Officials of the European Union, Articles 11 and 81 of the Conditions of Employment of Other Servants of the European Union and Council Regulation (EU) No 1417/2013 of 17 December 2013 laying down the form of the *laissez-passer* issued by the European Union (OJ L 353, 28.12.2013, p. 26).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

## 3 0 4 9 Expenditure on travel agency services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 230 000           | 2 160 000           | 2 040 000,—  |

*Remarks*

This appropriation is intended to cover the running costs of the travel agency under contract to the European Parliament.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

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## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

**3 2 0** *Acquisition of expertise*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 200 350           | 9 211 500           | 6 461 534,48 |

*Remarks*

This appropriation is intended to cover:

- the cost of contracts with qualified experts and research institutes for studies and other research activities (workshops, round tables, expert panels or hearings, and conferences) carried out for the European Parliament's governing bodies, for the parliamentary committees, for the parliamentary delegations and for the Administration,
- acquisition or hiring of specialised information sources, such as specialised databases, related literature or technical support, when needed to complement the expertise contracts mentioned above,
- the travel, subsistence and incidental expenses of experts and other persons, including petitioners to the European Parliament, invited to take part in committee, delegation, study group or working party meetings and in workshops,
- costs of dissemination of internal or external parliamentary research products and other relevant products, for the benefit of the institution and of the public (in particular by means of publications on the internet, internal databases, brochures and publications),
- expenditure on calling in outside persons to take part in the work of bodies such as the Disciplinary Board or the Specialised Financial Irregularities Panel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 64 000.

**3 2 1** *Expenditure for the European Parliamentary Research Service, including the Library and the Historical Archives*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 603 800           | 8 314 000           | 7 273 588,77 |

*Remarks*

This appropriation is intended to cover expenditure on the work of DG EPRS, particularly:

- acquisition of specialised expertise and support for the European Parliament's research activities (including articles, studies, workshops, seminars, round tables, expert panels and conferences) which may, if necessary, be carried out in partnership with other Institutions, international organisations, research departments and libraries of national parliaments, think tanks, research bodies and other qualified experts,
- acquisition of specialised expertise in the fields of impact assessment/ex ante and ex post evaluation, European added value, and scientific and technological options assessment (STOA),
- acquisition or hiring of books, journals, newspapers, databases, press agency products and any other information medium for the Library in various formats, including costs of copyright, the quality assurance system, materials and work involved in rebinding and conservation, and other relevant services,
- the cost of outside archiving services (organisation, selection, description, transfer to different media and to paperless form, acquisition of primary archive sources),

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (*cont'd*)**3 2 1** (*cont'd*)

- acquisition, development, installation, operation and maintenance of special library and archiving documentation and of special media-library materials, including materials and/or electrical, electronic and computerised systems, and materials for rebinding and conservation,
- costs of dissemination of internal or external parliamentary research products and other relevant products, for the benefit of the institution and of the public (in particular by means of publications on the internet, internal databases, brochures and publications),
- travel, subsistence and associated costs of experts and authors invited to attend presentations, seminars, workshops or other such activities organised by DG EPRS,
- participation by the Unit for Scientific and Technological Options Assessment (STOA) in the activities of European and international scientific bodies,
- the European Parliament's obligations under international and/or interinstitutional cooperation agreements, including the European Parliament's contribution to the costs of managing the Union's historical archives in accordance with Council Regulation (EEC, Euratom) No 354/83.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43).

Bureau Decision of 28 November 2001 on rules governing public access to European Parliament documents, as last amended on 22 June 2011 (OJ C 216, 22.7.2011, p. 19).

Bureau Decision of 16 December 2002 on rules governing the archives of the European Parliament, as consolidated on 3 May 2004.

Bureau Decision of 10 March 2014 on procedures governing the European Parliament's acquisition of private archives of Members and former Members.

**3 2 2** **Documentation expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 231 500           | 2 044 000           | 1 953 971,90 |

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## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 2 (cont'd)

## Remarks

This appropriation is intended to cover:

- subscriptions to newspapers and periodicals and news agencies and to the publications thereof and online services, including copyright fees for the reproduction and dissemination of the above in written and/or electronic form and service contracts for press reviews and cuttings,
- subscriptions or service contracts for the supply of summaries and analyses of the content of periodicals or the storage on optical media of articles taken from such periodicals,
- utilising external documentary and statistical databases (computer hardware and telecommunications charges excepted),
- the purchase of new dictionaries and glossaries, or the replacement thereof, regardless of medium, including for the new language sections, and other works for the language services and the Legislative Quality Units.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

3 2 3 **Support for democracy and capacity-building for the parliaments of third countries**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 230 000           | 1 175 000           | 667 638,48   |

## Remarks

This appropriation is intended to cover:

- expenditure on programmes for the exchange of information and cooperation between the European Parliament and the national parliaments of the pre-accession countries, in particular the Western Balkans and Turkey;
- expenditure committed for promoting relations between the European Parliament and democratically elected national parliaments from third countries (other than those referred to in the previous indent) as well as with corresponding regional parliamentary organisations. The activities concerned are notably aimed at strengthening parliamentary capacity in new and emerging democracies in particular in the European Neighbourhood (South and East);
- expenditure on promoting activities in support of mediation, and programmes for young political leaders from the European Union and from countries in the wider European Neighbourhood: the Maghreb, Eastern Europe and Russia, Israeli-Palestinian dialogue and other priority countries as decided by the Democracy Support and Election Coordination Group,
- expenditure on organising the Sakharov Prize (in particular the amount of the prize, travel expenses of the winner(s) and other finalists and the costs of receiving them, operating costs of the Sakharov network and duty travel by members of the network) and on activities to promote human rights.

These activities include information visits to the European Parliament in Brussels, Luxembourg or Strasbourg and visits to Member States and third countries. This appropriation covers, wholly or partially, the expenses of the participants, particularly travel, accommodation and daily subsistence.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.



## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

## 3 2 3 (cont'd)

*Legal basis*

Bureau Decision of 12 December 2011 establishing the Directorate for Democracy Support in the Directorate-General for External Policies of the Union.

3 2 4 **Production and dissemination**

## 3 2 4 0 Official Journal

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 830 000             | 4 500 000           | 4 771 838,68 |

*Remarks*

This appropriation is intended to cover the institution's share of the Publications Office's expenditure on publishing and dissemination and other ancillary costs with regard to the texts to be published in the *Official Journal of the European Union*.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

## 3 2 4 1 Digital and traditional publications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 307 640           | 3 650 000           | 3 948 122,74 |

*Remarks*

This appropriation is intended to cover:

- all costs for digital publishing (Intranet sites) and traditional publishing (miscellaneous documents and printed matter subcontracted out), including distribution,
- upgrading and evolutive and corrective maintenance of editorial systems.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

## 3 2 4 2 Expenditure on publication, information and participation in public events

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 25 410 000          | 10 580 000          | 16 286 376,98 |

## EUROPEAN PARLIAMENT

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 4 (cont'd)

3 2 4 2 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure on information publications, including electronic publications, information activities, public relations, participation in public events, trade fairs and exhibitions in the Member States and the accession countries and the countries in which the European Parliament has a liaison office, and updating of the Legislative Observatory (OEIL), as well as the development of tools or instruments to increase and facilitate public access to it using mobile equipment.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 35 000.

3 2 4 3 Parliamentarium — the European Parliament Visitors' Centre

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 400 000           | 5 742 500           | 5 748 049,54 |

*Remarks*

This appropriation is intended to finance the Parliamentarium — the European Parliament Visitors' Centre in Brussels — and installations, exhibitions and material adapted or reproduced for separate use outside Brussels.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

3 2 4 4 Organisation and reception of groups of visitors, Euroscola programme and invitations to opinion multipliers from third countries

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 32 491 000          | 30 845 000          | 29 213 247,67 |

*Remarks*

This appropriation is intended to cover subsidies granted for group visits and associated supervision and infrastructure costs, the financing of traineeships for opinion multipliers from third countries (EUVP) and the running costs of the Euroscola, Euromed-Scola and Euronest-Scola programmes. The Euromed-Scola and Euronest-Scola programmes shall take place each year, on an alternating basis, on the European Parliament's premises in Strasbourg or Brussels, with the exception of election years.

This appropriation shall be increased every year using a deflator that takes into account movements in GNI and prices.

Each Member of the European Parliament is entitled to invite a maximum of five groups each calendar year for a total of 110 visitors.

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 4 (cont'd)

3 2 4 4 (cont'd)

An appropriate amount is included for visitors with disabilities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 200 000.

*Legal basis*

Bureau Decision of 16 December 2002 on rules governing the reception of groups of visitors and the Euroscola, Euromed-Scola and Euronest-Scola programmes, consolidated on 3 May 2004, as last amended on 24 October 2016.

3 2 4 5 Organisation of seminars, symposia and cultural activities

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 649 100           | 4 466 000           | 4 958 082,37 |

*Remarks*

This appropriation is intended to cover:

- expenditure or subsidies connected with the organisation of national or international symposia and seminars for opinion multipliers from the Member States, the accession countries and the countries in which the European Parliament has a liaison office, the cost of organising parliamentary symposia and seminars, and the financing of cultural projects of European interest, such as the European Parliament LUX Prize for European Cinema,
- expenditure on special events in the Chamber in Strasbourg and Brussels in accordance with the annual programme adopted by the Bureau,
- multilingualism support measures and tools such as seminars and conferences, meetings with providers of training for interpreters or translators, measures and actions to raise awareness of multilingualism and the profession of interpreter or translator, including a programme of grants for universities, schools and other organisations offering interpreting or translation courses, virtual communication solutions, as well as participation in similar actions and measures organised jointly with other services in the context of interinstitutional and international cooperation,
- expenses connected with the organisation of symposia and seminars on information and communication technologies.

This appropriation also covers the cost of organising those activities, including catering services and expenses, and expenditure in connection with invitations to journalists to cover the activities concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

3 2 4 6 Video and multimedia communication of the European Parliament

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 420 000           | 4 600 000           | 4 508 377,59 |

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## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 4 (cont'd)

3 2 4 6 (cont'd)

*Remarks*

This appropriation is intended to cover the costs of in-house production, distribution and hosting by the European Parliament of web clips and other broadcast-ready multimedia material, in line with the European Parliament's communication strategy.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

3 2 4 7 House of European History

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 000 000           | 7 470 000           | 4 923 830,38 |

*Remarks*

This appropriation is intended to finance the activities of the House of European History, such as performing specific fitting-out work, acquiring collections and organising exhibitions, as well as its running costs, including expenditure on books, magazines and other publications related to the House's activities.

It is also intended to cover the cost of contracts with qualified experts and research institutes for studies and other research activities (workshops, round tables, expert panels and conferences) carried out for the House of European History.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000 000.

3 2 4 8 Expenditure on audiovisual information

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 17 920 000          | 14 490 000          | 15 019 157,40 |

*Remarks*

This appropriation is intended to cover:

- the operating budget of the audiovisual sector (including services under its own control and outside assistance such as technical services for radio and television stations, provision, production and co-production of audiovisual programmes, the hiring of lines, the transmission of television and radio programmes, and other measures to develop relations between the institution and audiovisual broadcasting bodies),
- expenditure on live internet broadcasting of plenary sittings and parliamentary committee meetings,
- the establishment of appropriate archives ensuring uninterrupted media and public access to that information.

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 4 (cont'd)

3 2 4 8 (cont'd)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

European Parliament Resolution of 12 March 2002 on the guidelines for the 2003 budgetary procedure (OJ C 47 E, 27.2.2003, p. 72).

European Parliament Resolution of 14 May 2002 on the estimates of revenue and expenditure of Parliament for the financial year 2003 (OJ C 180 E, 31.7.2003, p. 150).

European Parliament Resolution of 14 May 2003 on the estimates of revenue and expenditure of Parliament for the financial year 2004 (OJ C 67 E, 17.3.2004, p. 179).

3 2 4 9 Information exchanges with national parliaments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 205 000             | 250 000             | 111 423,44   |

*Remarks*

This appropriation is intended to cover:

- expenditure committed for promoting relations between the European Parliament and national parliaments. It relates to parliamentary relations other than those covered by Chapters 1 0 and 3 0, exchanges of information and documentation, and assistance in the analysis and management of that information, including exchanges with the European Centre for Parliamentary Research and Documentation (ECPRD),
- funding of cooperation programmes and training schemes for officials of the European Parliament and national parliaments and, in general, activities to strengthen their parliamentary capacities.

Training schemes include study visits to the European Parliament in Brussels, Luxembourg and Strasbourg; the appropriation is intended to cover all or part of the expenditure incurred by participants, in particular travelling costs, travel expenses, accommodation and daily allowances,

- cooperation measures, including those linked to legislative work, and measures linked to documentation, analysis and information and making the [www.ipex.eu](http://www.ipex.eu) domain secure, including those carried out by the ECPRD.

This appropriation aims at financing the cooperation between the European Parliament and national parliaments in the parliamentary scrutiny of the CFSP/CSDP, in accordance with the Treaty on European Union and the Treaty on the Functioning of the European Union, and in particular Articles 9 and 10 of Protocol No 1 on the role of national parliaments in the European Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

EUROPEAN PARLIAMENT

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (cont'd)**3 2 4** (cont'd)

3 2 4 9 (cont'd)

*Legal basis*

Conferences of Speakers of European Parliamentary Assemblies (June 1977) and of European Union Parliaments (September 2000, March 2001).

**3 2 5 Expenditure relating to Information Offices**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 800 000           | 900 000             | 831 104,62   |

*Remarks*

This appropriation is intended to cover expenditure by the European Parliament's information offices in the Member States:

- communication and information expenses (information and public events; internet — production, promotion, consultancy; seminars; audiovisual productions),
- general expenditure and miscellaneous incidental expenditure (office supplies, telecommunications, delivery charges, handling, transport, storage, standard promotional items, databases and press subscriptions, etc.).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

**3 2 6 European Science-Media Hub**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 800 000             | p.m.                |              |

*Remarks*

To foster an effective dialogue among Members, the scientific community and journalists — especially in relation to current scientific and technological developments and issues — the European Parliament can benefit from a dedicated and authoritative structure to support for discussion, training and dissemination of knowledge in this field. A 'European Science-Media Hub' has been established for that purpose, and its operation will be overseen by the European Parliament's STOA Panel.

Appropriations under this article, which are intended to enhance activities at the interface between the European Parliament, the scientific community and the media, will cover the costs of the European Science-Media Hub, in order specifically to promote networking, training and knowledge dissemination, by for example:

- setting up and maintaining networks at the interface between the European Parliament, the scientific community and the media;

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (*cont'd*)**3 2 6** (*cont'd*)

- organising seminars, conferences and training courses on current scientific and technological developments and issues and on the nature and effectiveness of science journalism;
- harnessing expert information and analysis from academia, the media and other sources in the field of science and technology for the benefit of policy-makers and citizens;
- making the European Parliament research and other relevant material in the field of science and technology more widely available by written, audiovisual and other means;
- developing techniques and methods for increasing the ability to identify and disseminate trustworthy sources in the field of science and technology;
- supporting the installation, upgrading and/or use of state-of-the-art technical equipment and media facilities in support of such dialogue;
- developing closer cooperation and link more generally between the European Parliament, relevant media outlets and universities and research centres in this field, including through promotion in the media of the role, and work of the European Scienc-Media Hub as well as its accessibility for citizens.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

European Parliament Resolution of 29 April 2015 on the estimates and expenditures of Parliament for the financial year 2016 (OJ C 346, 21.9.2016, p. 188), and in particular Paragraph 30 thereof.

European Parliament Resolution of 14 April 2016 on the estimates and expenditures of Parliament for the financial year 2017 (Text adopted, P8 TA(2016) 0132), and in particular Paragraph 54 thereof.

EUROPEAN PARLIAMENT

## TITLE 4

## EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 4 0 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES

## CHAPTER 4 2 — EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE

## CHAPTER 4 4 — MEETINGS AND OTHER ACTIVITIES OF CURRENT AND FORMER MEMBERS

| Article Item | Heading                                                                                                                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                            |                     |                     |                       |              |
| <b>4 0 0</b> | <b><i>Current administrative expenditure and expenditure relating to the political and information activities of the political groups and non-attached Members</i></b> |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                                                      | 63 000 000          | 62 000 000          | 61 000 000,—          | 96,83        |
| <b>4 0 2</b> | <b><i>Funding of European political parties</i></b>                                                                                                                    |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                                                      | 32 447 000          | 31 905 000          | 30 575 015,—          | 94,23        |
| <b>4 0 3</b> | <b><i>Funding of European political foundations</i></b>                                                                                                                |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                                                      | 19 323 000          | 19 000 000          | 18 377 156,—          | 95,11        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                                    | 114 770 000         | 112 905 000         | 109 952 171,—         | 95,80        |
|              | CHAPTER 4 2                                                                                                                                                            |                     |                     |                       |              |
| <b>4 2 2</b> | <b><i>Expenditure relating to parliamentary assistance</i></b>                                                                                                         |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                                                      | 208 171 000         | 207 991 000         | 194 405 138,69        | 93,39        |
|              | CHAPTER 4 2 — TOTAL                                                                                                                                                    | 208 171 000         | 207 991 000         | 194 405 138,69        | 93,39        |
|              | CHAPTER 4 4                                                                                                                                                            |                     |                     |                       |              |
| <b>4 4 0</b> | <b><i>Cost of meetings and other activities of former Members</i></b>                                                                                                  |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                                                      | 220 000             | 210 000             | 210 000,—             | 95,45        |
| <b>4 4 2</b> | <b><i>Cost of meetings and other activities of the European Parliamentary Association</i></b>                                                                          |                     |                     |                       |              |
|              | Non-differentiated appropriations                                                                                                                                      | 220 000             | 210 000             | 210 000,—             | 95,45        |
|              | CHAPTER 4 4 — TOTAL                                                                                                                                                    | 440 000             | 420 000             | 420 000,—             | 95,45        |
|              | <b>Title 4 — Total</b>                                                                                                                                                 | <b>323 381 000</b>  | <b>321 316 000</b>  | <b>304 777 309,69</b> | <b>94,25</b> |



## TITLE 4

## EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 4 0 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES

**4 0 0** *Current administrative expenditure and expenditure relating to the political and information activities of the political groups and non-attached Members*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 63 000 000          | 62 000 000          | 61 000 000,— |

*Remarks*

This appropriation is intended to cover, in respect of the political groups and the non-attached Members:

- secretarial, administrative and operational expenditure,
- expenditure on political and information activities conducted in connection with the Union's political activities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000 000.

*Legal basis*

Bureau Decision of 30 June 2003 on rules on the use of appropriations from budget Item 4 0 0, as last amended on 27 April 2015.

**4 0 2** *Funding of European political parties*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 32 447 000          | 31 905 000          | 30 575 015,— |

*Remarks*

This appropriation is intended to finance political parties at European level. Good governance and robust scrutiny of the use of funds must be ensured.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Treaty on European Union, and in particular Article 10(4) thereof.

Treaty on the Functioning of the European Union, and in particular Article 224 thereof.

Regulation (EU, Euratom) No 1141/2014 of the European Parliament and of the Council of 22 October 2014 on the statute and funding of European political parties and European political foundations (OJ L 317, 4.11.2014, p. 1).

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CHAPTER 4 0 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES (*cont'd*)4 0 3 *Funding of European political foundations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 19 323 000          | 19 000 000          | 18 377 156,— |

*Remarks*

This appropriation is intended to finance political foundations at European level. Good governance and robust scrutiny of the use of funds must be ensured.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Treaty on European Union, and in particular Article 10(4) thereof.

Treaty on the Functioning of the European Union, and in particular Article 224 thereof.

Regulation (EU, Euratom) No 1141/2014 of the European Parliament and of the Council of 22 October 2014 on the statute and funding of European political parties and European political foundations (OJ L 317, 4.11.2014, p. 1).

## CHAPTER 4 2 — EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE

4 2 2 *Expenditure relating to parliamentary assistance*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 208 171 000         | 207 991 000         | 194 405 138,69 |

*Remarks*

This appropriation is intended to cover costs relating to staff and service providers responsible for the provision of parliamentary assistance to Members, as well as costs relating to paying agents.

It also covers mission and training expenses (external courses) for accredited parliamentary assistants.

This appropriation is also intended to cover exchange differences to be met from the budget of the European Parliament in accordance with the provisions applicable to reimbursement of parliamentary assistance expenses, as well as expenditure on parliamentary assistance management support services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 775 000.

**CHAPTER 4 2 — EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE** (*cont'd*)**4 2 2** (*cont'd*)*Legal basis*

Statute for Members of the European Parliament, and in particular Article 21 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 33 to 44 thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Article 5a and Articles 125 to 139 thereof.

Bureau Decision of 14 April 2014 on implementing measures for Title VII of the Conditions of Employment of Other Servants of the European Union.

**CHAPTER 4 4 — MEETINGS AND OTHER ACTIVITIES OF CURRENT AND FORMER MEMBERS****4 4 0** *Cost of meetings and other activities of former Members*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 220 000             | 210 000             | 210 000,—    |

*Remarks*

This appropriation is intended to cover the cost of meetings of the association of former Members of the European Parliament plus any other associated costs, if appropriate.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

**4 4 2** *Cost of meetings and other activities of the European Parliamentary Association*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 220 000             | 210 000             | 210 000,—    |

*Remarks*

This appropriation is intended to cover the cost of meetings of the European Parliamentary Association plus, if appropriate, any other associated costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.



## TITLE 5

**THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND  
THE COMMITTEE OF INDEPENDENT EMINENT PERSONS**

**CHAPTER 5 0 — EXPENDITURE OF THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS**

**5 0 0      *Operational expenditure of the Authority for European political parties and European political foundations***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the expenditure of the Authority for European political parties and European political foundations to ensure its full and independent operation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Regulation (EU, Euratom) No 1141/2014 of the European Parliament and of the Council of 22 October 2014 on the statute and funding of European political parties and European political foundations (OJ L 317, 4.11.2014, p. 1), and in particular Article 6(1) and (7) thereof.

**5 0 1      *Expenditure related to the committee of independent eminent persons***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the expenditure linked to the secretariat and the funding of the committee of independent eminent persons.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Regulation (EU, Euratom) No 1141/2014 of the European Parliament and of the Council of 22 October 2014 on the statute and funding of European political parties and European political foundations (OJ L 317, 4.11.2014, p. 1), and in particular Article 11(2) thereof.

EUROPEAN PARLIAMENT

## TITLE 10

## OTHER EXPENDITURE

CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

CHAPTER 10 1 — CONTINGENCY RESERVE

CHAPTER 10 3 — ENLARGEMENT RESERVE

CHAPTER 10 4 — RESERVE FOR INFORMATION AND COMMUNICATION POLICY

CHAPTER 10 5 — PROVISIONAL APPROPRIATION FOR BUILDINGS

CHAPTER 10 6 — RESERVE FOR PRIORITY PROJECTS UNDER DEVELOPMENT

CHAPTER 10 8 — EMAS RESERVE

| Article Item | Heading                 | Appropriations 2018  | Appropriations 2017  | Outturn 2016            | % 2016/2018  |
|--------------|-------------------------|----------------------|----------------------|-------------------------|--------------|
|              | CHAPTER 10 0            | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 1            | 1 000 000            | 864 300              | 0,—                     | 0            |
|              | CHAPTER 10 1 — TOTAL    | 1 000 000            | 864 300              | 0,—                     | 0            |
|              | CHAPTER 10 3            | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 3 — TOTAL    | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 4            | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 4 — TOTAL    | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 5            | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 5 — TOTAL    | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 6            | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 6 — TOTAL    | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 8            | p.m.                 | p.m.                 | 0,—                     |              |
|              | CHAPTER 10 8 — TOTAL    | p.m.                 | p.m.                 | 0,—                     |              |
|              | <b>Title 10 — Total</b> | <b>1 000 000</b>     | <b>864 300</b>       | <b>0,—</b>              | <b>0</b>     |
|              | <b>GRAND TOTAL</b>      | <b>1 950 687 373</b> | <b>1 909 590 000</b> | <b>1 823 844 172,25</b> | <b>93,50</b> |

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 000 000           | 864 300             | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).

**CHAPTER 10 3 — ENLARGEMENT RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the cost of the institution's preparations for enlargement.

**CHAPTER 10 4 — RESERVE FOR INFORMATION AND COMMUNICATION POLICY**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on information and communication policy.

**CHAPTER 10 5 — PROVISIONAL APPROPRIATION FOR BUILDINGS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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**CHAPTER 10 5 — PROVISIONAL APPROPRIATION FOR BUILDINGS** (*cont'd*)*Remarks*

This appropriation is intended to cover property investments and fitting-out work carried out by the institution. The European Parliament's Bureau is requested to adopt a coherent and responsible long-term strategy in the area of immovable property which takes into account the particular problem of increasing maintenance costs, renovation needs and security costs and ensures the sustainability of the European Parliament's budget.

**CHAPTER 10 6 — RESERVE FOR PRIORITY PROJECTS UNDER DEVELOPMENT**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on the institution's priority projects under development.

**CHAPTER 10 8 — EMAS RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

Further to the decisions to be taken by the Bureau for implementation of the EMAS action plan, in particular following the European Parliament's carbon audit, this appropriation is intended to endow the relevant operational headings.



**STAFF**  
**Section I — European Parliament**

| Function group and grade | 2018                        |                          |                           |                  | 2017                        |                          |                           |                  |
|--------------------------|-----------------------------|--------------------------|---------------------------|------------------|-----------------------------|--------------------------|---------------------------|------------------|
|                          | Permanent posts             |                          | Temporary posts           |                  | Permanent posts             |                          | Temporary posts           |                  |
|                          |                             |                          | Others                    | Political groups |                             |                          | Others                    | Political groups |
| Non-category             | 1                           | —                        | —                         | —                | 1                           | —                        | —                         | —                |
| AD 16                    | 13                          | —                        | 1                         | 7                | 13                          | —                        | 1                         | 7                |
| AD 15                    | 54                          | —                        | 1                         | 5                | 54                          | —                        | 1                         | 5                |
| AD 14                    | 213                         | 2                        | 7                         | 36               | 214                         | 2                        | 7                         | 36               |
| AD 13                    | 430                         | 8                        | 2                         | 38               | 439                         | 8                        | 2                         | 38               |
| AD 12                    | 327                         | —                        | 13                        | 60               | 294                         | —                        | 13                        | 60               |
| AD 11                    | 157                         | —                        | 8                         | 29               | 154                         | —                        | 7                         | 29               |
| AD 10                    | 253                         | —                        | 8                         | 30               | 213                         | —                        | 7                         | 25               |
| AD 9                     | 337                         | —                        | 6                         | 40               | 149                         | —                        | 6                         | 32               |
| AD 8                     | 341                         | —                        | 8                         | 38               | 446                         | —                        | 7                         | 40               |
| AD 7                     | 250                         | —                        | 3                         | 68               | 311                         | —                        | 2                         | 65               |
| AD 6                     | 161                         | —                        | 9                         | 50               | 181                         | —                        | 8                         | 46               |
| AD 5                     | 105                         | —                        | 4                         | 70               | 143                         | —                        | 5                         | 88               |
| Subtotal AD              | 2 641                       | 10                       | 70                        | 471              | 2 611                       | 10                       | 66                        | 471              |
| AST 11                   | 102                         | 10                       | —                         | 37               | 120                         | 10                       | —                         | 37               |
| AST 10                   | 80                          | —                        | 19                        | 35               | 82                          | —                        | 20                        | 35               |
| AST 9                    | 509                         | —                        | 4                         | 42               | 570                         | —                        | 4                         | 42               |
| AST 8                    | 314                         | —                        | 10                        | 39               | 288                         | —                        | 6                         | 39               |
| AST 7                    | 304                         | —                        | 3                         | 49               | 321                         | —                        | 2                         | 47               |
| AST 6                    | 310                         | —                        | 4                         | 74               | 304                         | —                        | 6                         | 73               |
| AST 5                    | 430                         | —                        | 15                        | 81               | 376                         | —                        | 19                        | 70               |
| AST 4                    | 313                         | —                        | 4                         | 85               | 354                         | —                        | 3                         | 90               |
| AST 3                    | 177                         | —                        | 13                        | 86               | 216                         | —                        | 15                        | 84               |
| AST 2                    | 21                          | —                        | —                         | 56               | 38                          | —                        | —                         | 53               |
| AST 1                    | —                           | —                        | —                         | 80               | 2                           | —                        | —                         | 94               |
| Subtotal AST             | 2 560                       | 10                       | 72                        | 664              | 2 671                       | 10                       | 75                        | 664              |
| AST/SC 6                 | —                           | —                        | —                         | —                | —                           | —                        | —                         | —                |
| AST/SC 5                 | —                           | —                        | —                         | —                | —                           | —                        | —                         | —                |
| AST/SC 4                 | —                           | —                        | —                         | —                | —                           | —                        | —                         | —                |
| AST/SC 3                 | —                           | —                        | —                         | —                | —                           | —                        | —                         | —                |
| AST/SC 2                 | 70                          | —                        | —                         | —                | 50                          | —                        | —                         | —                |
| AST/SC 1                 | 134                         | —                        | —                         | —                | 134                         | —                        | —                         | —                |
| Subtotal AST/SC          | 204                         | —                        | —                         | —                | 184                         | —                        | —                         | —                |
| <b>Total</b>             | <b>5 406</b> <sup>(1)</sup> | <b>20</b> <sup>(2)</sup> | <b>142</b> <sup>(3)</sup> | <b>1 135</b>     | <b>5 467</b> <sup>(1)</sup> | <b>20</b> <sup>(2)</sup> | <b>141</b> <sup>(3)</sup> | <b>1 135</b>     |
| <b>Grand total</b>       | <b>6 683</b>                |                          |                           |                  | <b>6 743</b>                |                          |                           |                  |

<sup>(1)</sup> Of which three ad personam promotions (three AD 14 to AD 15) granted in exceptional cases to deserving officials.

<sup>(2)</sup> Notional reserve for officials seconded in the interests of the service not included in the total.

<sup>(3)</sup> Including one temporary AD 12 post for the Director of the Authority for European Parties and European Political Foundations.

*SECTION II*

**EUROPEAN COUNCIL AND COUNCIL**

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the European Council and Council for the financial year 2018**

| Heading                 | Amount             |
|-------------------------|--------------------|
| Expenditure             | 572 894 377        |
| Own resources           | - 53 020 000       |
| <b>Contribution due</b> | <b>519 874 377</b> |



## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 26 645 000          | 26 381 000          | 23 763 814,—        |

*Remarks*

Protocol on the Privileges and Immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 3 565 000           | 3 530 000           | 4 593 738,—         |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 22 810 000          | 22 584 000          | 23 485 529,—        |

EUROPEAN COUNCIL AND COUNCIL

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** ***Transfer or purchase of pension rights by staff***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 220 078,—         |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 4 and Article 11(2) of Annex VIII thereto.

**4 1 2** ***Contributions to the pension scheme by officials and temporary staff on leave on personal grounds***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 11(2) and Article 48 of Annex VIII thereto.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

| Article Item | Heading                                                                                                                                                                                                      | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property</i></b>                                                                                                                                                     |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                        | p.m.                | p.m.                | 16 629,—            |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                                                          | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                                                                                                         | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                                                                 | p.m.                | p.m.                | 16 629,—            |             |
| <b>5 0 1</b> | <b><i>Proceeds from the sale of immovable property</i></b>                                                                                                                                                   | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films</i></b>                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 16 629,—            |             |
|              | CHAPTER 5 1                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 1 0</b> | <b><i>Proceeds from the hiring-out of furniture and equipment</i></b>                                                                                                                                        | p.m.                | p.m.                | 0,—                 |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>                                                                                   | p.m.                | p.m.                | 1 240 386,—         |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 1 240 386,—         |             |
|              | CHAPTER 5 2                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank interest and other interest on the institution's accounts</i></b>                                                                                      | p.m.                | p.m.                | 2 664,—             |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 2 664,—             |             |
|              | CHAPTER 5 5                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 5 0</b> | <b><i>Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b> | p.m.                | p.m.                | 965 419,—           |             |
| <b>5 5 1</b> | <b><i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i></b>                                                                                         | p.m.                | p.m.                | 158 304,—           |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 1 123 723,—         |             |

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**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT**

| Article Item | Heading                                                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 7                                                                                                                                                                                      |                     |                     |                     |             |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                             | p.m.                | p.m.                | 17 448 493,—        |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
| 5 7 2        | <i>Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue</i>                                                                                             | p.m.                | p.m.                | 0,—                 |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                     | p.m.                | p.m.                | 1 678 282,—         |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 19 126 775,—        |             |
|              | CHAPTER 5 8                                                                                                                                                                                      |                     |                     |                     |             |
| 5 8 0        | <i>Miscellaneous compensation — Assigned revenue</i>                                                                                                                                             | p.m.                | p.m.                | 703 283,—           |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 703 283,—           |             |
|              | CHAPTER 5 9                                                                                                                                                                                      |                     |                     |                     |             |
| 5 9 0        | <i>Other revenue arising from administrative management</i>                                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                           | <b>p.m.</b>         | <b>p.m.</b>         | <b>22 213 460,—</b> |             |



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 16 629,—            |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 0 1 *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

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CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY (*cont'd*)5 0 2 *Proceeds from the sale of publications, printed works and films*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 240 386,—         |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST

5 2 0 *Revenue from investments or loans granted, bank interest and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 664,—             |

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

**5 5 0** *Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 965 419,—           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 158 304,—           |

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

**5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 17 448 493,—        |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

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**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 678 282,—         |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****5 8 0** *Miscellaneous compensation — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 703 283,—           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT****5 9 0** *Other revenue arising from administrative management*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

| Article Item | Heading                                                                                                                                                 | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
| 6 1 2        | CHAPTER 6 1<br><i>Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 6 1 — TOTAL                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
| 6 3 1        | CHAPTER 6 3<br><i>Contributions within the framework of the Schengen acquis — Assigned revenue</i>                                                      |                     |                     |                     |             |
| 6 3 1 1      | Contribution to the administrative costs arising from the framework agreement with Iceland and Norway — Assigned revenue                                | p.m.                | p.m.                | 1 178 789,—         |             |
|              | Article 6 3 1 — Total                                                                                                                                   | p.m.                | p.m.                | 1 178 789,—         |             |
|              | CHAPTER 6 3 — TOTAL                                                                                                                                     | p.m.                | p.m.                | 1 178 789,—         |             |
| 6 6 0        | CHAPTER 6 6<br><i>Other contributions and refunds</i>                                                                                                   |                     |                     |                     |             |
| 6 6 0 0      | Other assigned contributions and refunds — Assigned revenue                                                                                             | p.m.                | p.m.                | 0,—                 |             |
|              | Article 6 6 0 — Total                                                                                                                                   | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 6 6 — TOTAL                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 6 — Total</b>                                                                                                                                  | <b>p.m.</b>         | <b>p.m.</b>         | <b>1 178 789,—</b>  |             |

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## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

6 1 2 *Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

6 3 1 *Contributions within the framework of the Schengen acquis — Assigned revenue*

## 6 3 1 1 Contribution to the administrative costs arising from the framework agreement with Iceland and Norway — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 178 789,—         |

*Remarks*

Contribution to the administrative costs arising from the Agreement of 18 May 1999 concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen acquis — Final Act (OJ L 176, 10.7.1999, p. 36), and in particular Article 12 thereof.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen acquis (OJ L 176, 10.7.1999, p. 31).

**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0        *Other contributions and refunds***

6 6 0 0        Other assigned contributions and refunds — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this item is intended to record any revenue not provided for in any other parts of Title 6 and which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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## TITLE 7

## DEFAULT INTEREST AND FINES

## CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES

| Article Item | Heading                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 7 0                                                                      |                     |                     |                     |             |
| <b>7 0 0</b> | <b>Default interest</b>                                                          |                     |                     |                     |             |
| 7 0 0 0      | Default interest in respect of own resources made available by the Member States | p.m.                | p.m.                | 0,—                 |             |
| 7 0 0 1      | Other default interest                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 7 0 0 — Total</i>                                                     | p.m.                | p.m.                | 0,—                 |             |
|              |                                                                                  |                     |                     |                     |             |
| <b>7 0 9</b> | <b>Other interest</b>                                                            | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 7 0 — TOTAL                                                              | p.m.                | p.m.                | 0,—                 |             |
|              |                                                                                  |                     |                     |                     |             |
|              | <b>Title 7 — Total</b>                                                           | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |             |



## TITLE 7

## DEFAULT INTEREST AND FINES

## CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES

7 0 0 *Default interest*

7 0 0 0 Default interest in respect of own resources made available by the Member States

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

7 0 0 1 Other default interest

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

7 0 9 *Other interest*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.



**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0** *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 904,—               |

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**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                            | Appropriations 2018 | Appropriations 2017 | Outturn 2016         |
|---------------|--------------------------------------------------------------------|---------------------|---------------------|----------------------|
| <b>1</b>      | <b>PERSONS WORKING WITH THE INSTITUTION</b>                        |                     |                     |                      |
| 1 0           | MEMBERS OF THE INSTITUTION                                         | 1 346 000           | 1 322 000           | 971 453,—            |
| 1 1           | OFFICIALS AND TEMPORARY STAFF                                      | 328 800 000         | 315 446 000         | 294 763 750,—        |
| 1 2           | OTHER STAFF AND EXTERNAL SERVICES                                  | 13 040 000          | 14 149 000          | 12 364 849,—         |
| 1 3           | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION | 9 973 000           | 9 407 000           | 8 556 633,—          |
|               | <b>Title 1 — Total</b>                                             | <b>353 159 000</b>  | <b>340 324 000</b>  | <b>316 656 685,—</b> |
| <b>2</b>      | <b>BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE</b>              |                     |                     |                      |
| 2 0           | BUILDINGS AND ASSOCIATED COSTS                                     | 56 360 377          | 57 789 000          | 73 241 358,—         |
| 2 1           | COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE                          | 47 873 000          | 48 449 000          | 43 713 062,—         |
| 2 2           | OPERATING EXPENDITURE                                              | 114 002 000         | 113 014 000         | 85 323 021,—         |
|               | <b>Title 2 — Total</b>                                             | <b>218 235 377</b>  | <b>219 252 000</b>  | <b>202 277 441,—</b> |
| <b>10</b>     | <b>OTHER EXPENDITURE</b>                                           |                     |                     |                      |
| 10 0          | PROVISIONAL APPROPRIATIONS                                         | p.m.                | p.m.                | 0,—                  |
| 10 1          | CONTINGENCY RESERVE                                                | 1 500 000           | 2 000 000           | 0,—                  |
|               | <b>Title 10 — Total</b>                                            | <b>1 500 000</b>    | <b>2 000 000</b>    | <b>0,—</b>           |
|               | <b>GRAND TOTAL</b>                                                 | <b>572 894 377</b>  | <b>561 576 000</b>  | <b>518 934 126,—</b> |

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF

| Article Item | Heading                                                                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|-------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
|              | CHAPTER 1 0                                                             |                     |                     |               |             |
| <b>1 0 0</b> | <b>Remuneration and other entitlements</b>                              |                     |                     |               |             |
| 1 0 0 0      | Basic salary                                                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 342 000             | 335 000             | 319 196,—     | 93,33       |
| 1 0 0 1      | Entitlements related to the post held                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 70 000              | 68 000              | 64 896,—      | 92,71       |
| 1 0 0 2      | Entitlements related to personal circumstances                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 10 000              | 10 000              | 8 531,—       | 85,31       |
| 1 0 0 3      | Social security cover                                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 14 000              | 14 000              | 12 461,—      | 89,01       |
| 1 0 0 4      | Other management expenditure                                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 675 000             | 675 000             | 429 818,—     | 63,68       |
| 1 0 0 6      | Entitlements on entering the service, transfer, and leaving the service |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | p.m.                | p.m.                | 0,—           |             |
| 1 0 0 7      | Annual adjustment of the remuneration                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 50 000              |                     |               |             |
|              | <i>Article 1 0 0 — Total</i>                                            | 1 161 000           | 1 102 000           | 834 902,—     | 71,91       |
| <b>1 0 1</b> | <b>Termination of service</b>                                           |                     |                     |               |             |
| 1 0 1 0      | Transitory allowance                                                    |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 185 000             | 170 000             | 136 551,—     | 73,81       |
|              | <i>Article 1 0 1 — Total</i>                                            | 185 000             | 170 000             | 136 551,—     | 73,81       |
| <b>1 0 2</b> | <b>Provisional appropriation</b>                                        |                     |                     |               |             |
| 1 0 2 0      | Provisional appropriation for changes in entitlements                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | p.m.                | 50 000              | 0,—           |             |
|              | <i>Article 1 0 2 — Total</i>                                            | p.m.                | 50 000              | 0,—           |             |
|              | CHAPTER 1 0 — TOTAL                                                     | 1 346 000           | 1 322 000           | 971 453,—     | 72,17       |
|              | CHAPTER 1 1                                                             |                     |                     |               |             |
| <b>1 1 0</b> | <b>Remuneration and other entitlements</b>                              |                     |                     |               |             |
| 1 1 0 0      | Basic salaries                                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                       | 246 398 000         | 236 814 000         | 223 030 383,— | 90,52       |

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**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)

| Article Item | Heading                                                                                                                         | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
| <b>1 1 0</b> | <i>(cont'd)</i>                                                                                                                 |                     |                     |               |             |
| 1 1 0 1      | Entitlements under the Staff Regulations related to the post held                                                               |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 1 920 000           | 1 850 000           | 1 322 671,—   | 68,89       |
| 1 1 0 2      | Entitlements under the Staff Regulations related to the personal circumstances of the staff member                              |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 62 300 000          | 59 571 000          | 57 356 078,—  | 92,06       |
| 1 1 0 3      | Social security cover                                                                                                           |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 10 100 000          | 9 452 000           | 9 339 564,—   | 92,47       |
| 1 1 0 4      | Salary weightings                                                                                                               |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 52 000              | 50 000              | 142 502,—     | 274,04      |
| 1 1 0 5      | Overtime                                                                                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 1 450 000           | 1 500 000           | 1 110 425,—   | 76,58       |
| 1 1 0 6      | Entitlements under the Staff Regulations on entering the service, transfer, and leaving the service                             |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 2 400 000           | 2 600 000           | 1 904 300,—   | 79,35       |
| 1 1 0 7      | Annual adjustment of the remuneration                                                                                           |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 3 128 000           |                     |               |             |
|              | <i>Article 1 1 0 — Total</i>                                                                                                    | 327 748 000         | 311 837 000         | 294 205 923,— | 89,77       |
| <b>1 1 1</b> | <b>Termination of service</b>                                                                                                   |                     |                     |               |             |
| 1 1 1 0      | Allowances in the event of retirement in the interests of the service (pursuant to Articles 41 and 50 of the Staff Regulations) |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 362 000             | 171 000             | 54 169,—      | 14,96       |
| 1 1 1 1      | Allowances for staff whose service is terminated                                                                                |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | p.m.                | p.m.                | 0,—           |             |
| 1 1 1 2      | Entitlements of the former Secretaries-General                                                                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | 690 000             | 665 000             | 503 658,—     | 72,99       |
|              | <i>Article 1 1 1 — Total</i>                                                                                                    | 1 052 000           | 836 000             | 557 827,—     | 53,03       |
| <b>1 1 2</b> | <b>Provisional appropriation</b>                                                                                                |                     |                     |               |             |
| 1 1 2 0      | Provisional appropriation (officials and temporary staff)                                                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | p.m.                | 2 767 000           | 0,—           |             |
| 1 1 2 1      | Provisional appropriation (retired staff and staff retired under special arrangements)                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                               | p.m.                | 6 000               | 0,—           |             |
|              | <i>Article 1 1 2 — Total</i>                                                                                                    | p.m.                | 2 773 000           | 0,—           |             |
|              | <b>CHAPTER 1 1 — TOTAL</b>                                                                                                      | 328 800 000         | 315 446 000         | 294 763 750,— | 89,65       |

**CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES**  
**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                            | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|----------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 1 2                                        |                     |                     |              |             |
| <b>1 2 0</b> | <b>Other staff and external services</b>           |                     |                     |              |             |
| 1 2 0 0      | Other staff                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 10 565 000          | 9 706 000           | 9 283 499,—  | 87,87       |
| 1 2 0 1      | National experts on secondment                     |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 993 000             | 973 000             | 743 968,—    | 74,92       |
| 1 2 0 2      | Traineeships                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 680 000             | 670 000             | 583 054,—    | 85,74       |
| 1 2 0 3      | External services                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 498 000             | 2 498 000           | 1 678 745,—  | 337,10      |
| 1 2 0 4      | Supplementary services for the translation service |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 200 000             | 200 000             | 75 583,—     | 37,79       |
| 1 2 0 7      | Annual adjustment of the remuneration              |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 104 000             |                     |              |             |
|              | <i>Article 1 2 0 — Total</i>                       | 13 040 000          | 14 047 000          | 12 364 849,— | 94,82       |
| <b>1 2 2</b> | <b>Provisional appropriation</b>                   |                     |                     |              |             |
|              | Non-differentiated appropriations                  | p.m.                | 102 000             | 0,—          |             |
|              | <b>CHAPTER 1 2 — TOTAL</b>                         | 13 040 000          | 14 149 000          | 12 364 849,— | 94,82       |
|              | CHAPTER 1 3                                        |                     |                     |              |             |
| <b>1 3 0</b> | <b>Expenditure relating to staff management</b>    |                     |                     |              |             |
| 1 3 0 0      | Miscellaneous expenditure on recruitment           |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 192 000             | 181 000             | 172 215,—    | 89,70       |
| 1 3 0 1      | Further training                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 2 028 000           | 1 992 000           | 1 955 930,—  | 96,45       |
|              | <i>Article 1 3 0 — Total</i>                       | 2 220 000           | 2 173 000           | 2 128 145,—  | 95,86       |
| <b>1 3 1</b> | <b>Measures to assist the institution's staff</b>  |                     |                     |              |             |
| 1 3 1 0      | Special assistance grants                          |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 30 000              | 30 000              | 0,—          | 0           |
| 1 3 1 1      | Social contacts between members of staff           |                     |                     |              |             |
|              | Non-differentiated appropriations                  | 117 000             | 117 000             | 116 650,—    | 99,70       |

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**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (cont'd)

| Article Item | Heading                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
| <b>1 3 1</b> | (cont'd)                                                               |                     |                     |               |             |
| 1 3 1 2      | Supplementary aid for the disabled                                     |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 210 000             | 210 000             | 167 512,—     | 79,77       |
| 1 3 1 3      | Other welfare expenditure                                              |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 66 000              | 66 000              | 65 600,—      | 99,39       |
|              | <i>Article 1 3 1 — Total</i>                                           | 423 000             | 423 000             | 349 762,—     | 82,69       |
| <b>1 3 2</b> | <b>Activities relating to all persons working with the institution</b> |                     |                     |               |             |
| 1 3 2 0      | Medical service                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 505 000             | 498 000             | 404 075,—     | 80,01       |
| 1 3 2 1      | Restaurants and canteens                                               |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—           |             |
| 1 3 2 2      | Crèches and childcare facilities                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 2 895 000           | 2 683 000           | 2 366 000,—   | 81,73       |
|              | <i>Article 1 3 2 — Total</i>                                           | 3 400 000           | 3 181 000           | 2 770 075,—   | 81,47       |
| <b>1 3 3</b> | <b>Missions</b>                                                        |                     |                     |               |             |
| 1 3 3 1      | Mission expenses of the General Secretariat of the Council             |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 3 130 000           | 2 980 000           | 2 579 923,—   | 82,43       |
| 1 3 3 2      | Travel expenses of staff related to the European Council               |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 800 000             | 650 000             | 728 728,—     | 91,09       |
|              | <i>Article 1 3 3 — Total</i>                                           | 3 930 000           | 3 630 000           | 3 308 651,—   | 84,19       |
| <b>1 3 4</b> | <b>Schooling fees for Type II European Schools</b>                     |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—           |             |
|              | <b>CHAPTER 1 3 — TOTAL</b>                                             | 9 973 000           | 9 407 000           | 8 556 633,—   | 85,80       |
|              | <b>Title 1 — Total</b>                                                 | 353 159 000         | 340 324 000         | 316 656 685,— | 89,66       |



## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Remuneration and other entitlements*

## 1 0 0 0 Basic salary

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 342 000             | 335 000             | 319 196,—    |

*Remarks*

This appropriation is intended to cover the basic salary of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## 1 0 0 1 Entitlements related to the post held

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 70 000              | 68 000              | 64 896,—     |

*Remarks*

This appropriation is intended to cover entitlements of the President of the European Council related to the post held.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 1 0 0 2 Entitlements related to personal circumstances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 000              | 10 000              | 8 531,—      |

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## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (cont'd)

1 0 0 (cont'd)

1 0 0 2 (cont'd)

*Remarks*

This appropriation is intended to cover entitlements related to the personal circumstances of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 0 3 Social security cover

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 14 000              | 14 000              | 12 461,—     |

*Remarks*

This appropriation is intended to cover the employer's insurance contributions of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 0 4 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 675 000             | 675 000             | 429 818,—    |

*Remarks*

This appropriation is intended to cover:

- travel expenses incurred and related daily subsistence allowances and additional or exceptional expenditure incurred on mission of the President of the European Council,

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)1 0 0 4 (*cont'd*)

— representation expenditure of the President of the European Council which are related to the fulfilment of his or her duties and as part of the institution's activities,

— transitory expenditure relating to preparation to taking up duties at or leaving the office of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 0 0 6 Entitlements on entering the service, transfer, and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover entitlements of the President of the European Council related to entering the service, transfer and leaving the service.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 0 0 7 Annual adjustment of the remuneration

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              |                     |              |

*Remarks*

This appropriation is intended to cover the financial impact of the changes in the remuneration of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 0 1** ***Termination of service***

1 0 1 0 Transitory allowance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 185 000             | 170 000             | 136 551,—    |

*Remarks*

This appropriation is intended to cover:

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**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 1** (*cont'd*)1 0 1 0 (*cont'd*)

- the transitory allowance for the President of the European Council,
- the survivors' pensions (widows and orphans) of the former President of the European Council ,
- the impact of weightings applied to the retirement pensions of the former President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**1 0 2** **Provisional appropriation**

1 0 2 0 Provisional appropriation for changes in entitlements

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 50 000              | 0,—          |

*Remarks*

This appropriation is intended to cover the financial impact of the changes in the entitlements of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF**

*Remarks*

The appropriations entered in this chapter are assessed on the basis of the European Council and Council's establishment plan for the financial year.

A flat-rate reduction of 5,0 % has been applied to salaries, allowances and payments to take account of the fact that not all posts in the establishment plan are occupied at any given time.

## CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF (cont'd)

**1 1 0 Remuneration and other entitlements**

## 1 1 0 0 Basic salaries

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 246 398 000         | 236 814 000         | 223 030 383,— |

*Remarks*

This appropriation is intended to cover basic salaries, compensation for annual leave not taken up and management allowances for officials and temporary staff holding a post provided for in the establishment plan.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 1 1 0 1 Entitlements under the Staff Regulations related to the post held

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 920 000           | 1 850 000           | 1 322 671,—  |

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- secretarial allowances,
- accommodation and transport allowances,
- fixed local travel allowances,
- allowances for shift work or standby duty at the official's place of work or at home,
- other allowances and repayments,
- overtime (drivers, security agents, secretaries of the Secretary-General and the President of the European Council).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

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## CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF (cont'd)

1 1 0 (cont'd)

1 1 0 1 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 2 Entitlements under the Staff Regulations related to the personal circumstances of the staff member

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 62 300 000          | 59 571 000          | 57 356 078,— |

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- expatriation and foreign residence allowances,
- household, dependent child and education allowances,
- allowances for parental or family leave,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- miscellaneous allowances and grants.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 3 Social security cover

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 100 000          | 9 452 000           | 9 339 564,—  |

## CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF (cont'd)

1 1 0 (cont'd)

1 1 0 3 (cont'd)

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- insurance against sickness, accidents and occupational disease, and other social security charges,
- unemployment insurance for temporary staff and payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 4 Salary weightings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 52 000              | 50 000              | 142 502,—    |

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding a post provided for in the establishment plan, the impact of weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 5 Overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 450 000           | 1 500 000           | 1 110 425,—  |

EUROPEAN COUNCIL AND COUNCIL

CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 1 0 (*cont'd*)1 1 0 5 (*cont'd*)*Remarks*

This appropriation is intended for the payment of overtime pursuant to the provisions mentioned below.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

1 1 0 6 Entitlements under the Staff Regulations on entering the service, transfer, and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 400 000           | 2 600 000           | 1 904 300,—  |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up their duties, on transfer to a new place of employment and on leaving the service and resettling elsewhere,
- daily subsistence allowances payable to officials and temporary staff who provide proof that they have had to change their place of residence on taking up their duties or transferring to a new place of employment,
- severance grant for probationers dismissed for obvious inadequacy,
- compensation in the event of termination by the institution of the contract of a temporary staff member.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (cont'd)**1 1 0** (cont'd)

## 1 1 0 7 Annual adjustment of the remuneration

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 128 000           |                     |              |

*Remarks*

This appropriation is intended to cover the financial impact of the changes in the remuneration of officials and temporary staff.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 1 1** *Termination of service*

## 1 1 1 0 Allowances in the event of retirement in the interests of the service (pursuant to Articles 41 and 50 of the Staff Regulations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 362 000             | 171 000             | 54 169,—     |

*Remarks*

This appropriation is intended to cover allowances for officials:

- assigned non-active status in connection with a measure to reduce the number of posts in the institution,
- holding an AD 16 or AD 15 grade post and who are retired in the interests of the service.

It also covers the employer's contributions to sickness insurance and the impact of weightings applicable to these allowances.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

## 1 1 1 1 Allowances for staff whose service is terminated

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 1** (*cont'd*)1 1 1 1 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the allowances payable under the Staff Regulations or Regulation (EC, Euratom) No 1747/2002,
- the employer's contributions to the sickness insurance of persons eligible for the allowances,
- the impact of the weightings applicable to the various allowances.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1747/2002 of 30 September 2002 introducing, in the context of the modernisation of the institution, special measures to terminate the service of officials of the European Communities appointed to an established post in the Council of the European Union (OJ L 264, 2.10.2002, p. 5).

1 1 1 2 Entitlements of the former Secretaries-General

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 690 000             | 665 000             | 503 658,—    |

*Remarks*

This appropriation is intended to cover:

- the retirement pensions of the former Secretaries-General of the institution,
- the survivors' pensions (surviving spouses and orphans) of the former Secretaries-General of the institution,
- payment of the weightings applied to the retirement pensions of the former Secretaries-General of the institution.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)**1 1 2** ***Provisional appropriation***

## 1 1 2 0 Provisional appropriation (officials and temporary staff)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 2 767 000           | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any salary updates made by the Council in the course of the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

## 1 1 2 1 Provisional appropriation (retired staff and staff retired under special arrangements)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 6 000               | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any salary updates made by the Council in the course of the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

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## CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES

1 2 0 *Other staff and external services*

## 1 2 0 0 Other staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 565 000          | 9 706 000           | 9 283 499,—  |

*Remarks*

This appropriation is mainly intended to cover the remuneration of other staff including auxiliary, contract and local staff, and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of such staff.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## 1 2 0 1 National experts on secondment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 993 000             | 973 000             | 743 968,—    |

*Remarks*

This appropriation is intended to cover allowances and administrative expenses in respect of national experts on secondment.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Decision 2007/829/EC of 5 December 2007 concerning the rules applicable to national experts and military staff on secondment to the General Secretariat of the Council and repealing Decision 2003/479/EC (OJ L 327, 13.12.2007, p. 10).

Council Decision (EU) 2015/1027 of 23 June 2015 concerning the rules applicable to experts on secondment to the General Secretariat of the Council and repealing Decision 2007/829/EC (OJ L 163, 30.6.2015, p. 40).

## 1 2 0 2 Traineeships

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 680 000             | 670 000             | 583 054,—    |

## CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES (cont'd)

1 2 0 (cont'd)

1 2 0 2 (cont'd)

*Remarks*

This appropriation is intended to cover a grant, study travel and mission expenses for trainees, and accident and sickness insurance during traineeships.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 2 0 3 External services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 498 000             | 2 498 000           | 1 678 745,—  |

*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution and in particular:

- temporary staff for miscellaneous services,
- supplementary staff for meetings in Luxembourg and Strasbourg,
- staff-related security clearances,
- experts in the field of working conditions.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 2 0 4 Supplementary services for the translation service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 200 000             | 200 000             | 75 583,—     |

*Remarks*

This appropriation is intended to cover expenditure relating to translation services provided by external translation agencies to absorb the occasional excessive workload of the Council Language Service, on the one hand, and to verify the translations of agreements, treaties and other arrangements with third countries in non-EU languages, on the other hand. This appropriation is also intended to cover the Council's development projects in the field of translation.

Expenditure on any work entrusted to the Translation Centre for the Bodies of the European Union is also covered by this item.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

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**CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 2 0** (*cont'd*)

## 1 2 0 7 Annual adjustment of the remuneration

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 104 000             |                     |              |

*Remarks*

This appropriation is intended to cover the financial impact of the changes in the remuneration of other staff.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 2 2** **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 102 000             | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any salary updates made by the Council in the course of the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****1 3 0** **Expenditure relating to staff management**

## 1 3 0 0 Miscellaneous expenditure on recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 192 000             | 181 000             | 172 215,—    |

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,

CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 3 0 (*cont'd*)1 3 0 0 (*cont'd*)

- the costs of organising selection procedures for temporary, auxiliary and local staff,
- the costs associated with the work of selection boards and panels, in particular costs of specialised tests used to evaluate candidates' competencies; in cases duly justified by operational requirements, and after consulting the European Personnel Selection Office, this appropriation may be used for competitions organised by the institution itself,
- cost of organising outplacement activities,
- other type of recruitment and mobility costs, such as consulting services and publication of vacant posts.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 3 0 1 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 028 000           | 1 992 000           | 1 955 930,—  |

*Remarks*

This appropriation is intended to cover:

- further training and retraining courses, including language courses, run on an interinstitutional basis and within the institution, and competency testing,
- officials' enrolment fees for seminars and conferences.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

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## CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 3 0 (cont'd)

1 3 0 1 (cont'd)

Conditions of Employment of Other Servants of the European Union.

1 3 1 **Measures to assist the institution's staff**

1 3 1 0 Special assistance grants

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 30 000              | 30 000              | 0,—          |

*Remarks*

This appropriation is intended to cover measures taken to assist officials and other staff in particularly difficult situations.

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 10 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 24 and 76 thereof.

1 3 1 1 Social contacts between members of staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 117 000             | 117 000             | 116 650,—    |

*Remarks*

This appropriation is intended to cover costs for social contacts between members of staff.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 3 1 2 Supplementary aid for the disabled

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 210 000             | 210 000             | 167 512,—    |

*Remarks*

This appropriation is intended for the following categories of disabled persons as part of a policy to assist the disabled:



**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 3 1** (*cont'd*)1 3 1 2 (*cont'd*)

— officials in service,

— spouses of officials in service,

— all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It enables the reimbursement of non-medical costs that are deemed necessary, result from disablement and are duly substantiated, subject to budgetary ceilings, once any national entitlements granted in the country of residence or of origin have been exhausted.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 1 3 1 3 Other welfare expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 66 000              | 66 000              | 65 600,—     |

*Remarks*

This appropriation is intended to cover other welfare expenditure for staff and their families.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 3 2** ***Activities relating to all persons working with the institution***

## 1 3 2 0 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 505 000             | 498 000             | 404 075,—    |

*Remarks*

This appropriation is intended to cover in particular:

— medical expenditure related to the European Council,

— operating costs of the dispensaries, consumption, care and medicinal equipment expenses,

— expenses related to medical examinations (recruitment and annual),

— expenses to be set out in the title of the invalidity committees and specific expertise,

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CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 3 2 (*cont'd*)1 3 2 0 (*cont'd*)

— expenses relating to spectacles for work on screen.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Internal Directive No 2/2010 adopted by the Secretary-General on the reimbursement of expenses relating to spectacles for work on screen.

1 3 2 1 Restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover remuneration for the services provided by the operator of the restaurants and canteens.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 3 2 2 Crèches and childcare facilities

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 895 000           | 2 683 000           | 2 366 000,—  |

*Remarks*

This appropriation is intended to cover:

— the Council's contribution to the cost of the Early Childhood Centre and other crèches and childcare facilities (to be paid to the Commission),

— the cost of running the Council crèche.

Revenue from the parental contribution and from contributions by organisations employing parents gives rise to assigned revenue.

## CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 3 2 (cont'd)

1 3 2 2 (cont'd)

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 957 000.

1 3 3 **Missions**

1 3 3 1 Mission expenses of the General Secretariat of the Council

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 130 000           | 2 980 000           | 2 579 923,—  |

*Remarks*

This appropriation is intended to cover mission expenses and staff duty travel expenses of the staff of the General Secretariat of the Council, together with transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

1 3 3 2 Travel expenses of staff related to the European Council

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 800 000             | 650 000             | 728 728,—    |

*Remarks*

This appropriation is intended to cover mission expenses and staff duty travel expenses of the Cabinet and other staff members attached to the President of the European Council for specific activities of the European Council, together with transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

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CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 3 4**      ***Schooling fees for Type II European Schools***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the Council's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement of the contribution paid by the Commission on behalf of the Council for Type II European Schools accredited by the Board of Governors of the European Schools, in accordance with the service agreement concluded with the Commission. It covers costs relating to children of the Council staff coming under the Staff Regulations who are enrolled in such schools.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Commission Decision C(2013) 4886 of 1 August 2013 on the putting into effect of the EU contribution paid on a pro-rata basis to schools accredited by the Board of Governors of the European Schools according to the number of children of EU staff enrolled, replacing Commission Decision C(2009) 7719 as amended by Commission Decision C(2010) 7993 of 8 December 2010 (OJ C 222, 2.8.2013, p. 8).

## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

| Article Item | Heading                                                                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018   |
|--------------|---------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------|
|              | CHAPTER 2 0                                                                           |                     |                     |                     |               |
| <b>2 0 0</b> | <b>Buildings</b>                                                                      |                     |                     |                     |               |
| 2 0 0 0      | Rent                                                                                  |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 1 109 000           | 1 982 000           | 17 759 045,—        | 1 601,36      |
| 2 0 0 1      | Annual lease payments                                                                 |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | p.m.                | p.m.                | 0,—                 |               |
| 2 0 0 2      | Acquisition of immovable property                                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | p.m.                | p.m.                | 11 005 000,—        |               |
| 2 0 0 3      | Fitting-out and installation work                                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 8 855 377           | 10 618 000          | 7 338 868,—         | 82,87         |
| 2 0 0 4      | Work to make premises secure                                                          |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 2 547 000           | 2 830 000           | 904 859,—           | 35,53         |
| 2 0 0 5      | Expenditure preliminary to the acquisition, construction and fitting-out of buildings |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 690 000             | 711 000             | 519 100,—           | 75,23         |
|              | <i>Article 2 0 0 — Total</i>                                                          | 13 201 377          | 16 141 000          | 37 526 872,—        | 284,26        |
| <b>2 0 1</b> | <b>Costs relating to buildings</b>                                                    |                     |                     |                     |               |
| 2 0 1 0      | Cleaning and maintenance                                                              |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 19 080 000          | 19 057 000          | 15 356 378,—        | 80,48         |
| 2 0 1 1      | Water, gas, electricity and heating                                                   |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 4 766 000           | 4 974 000           | 3 706 814,—         | 77,78         |
| 2 0 1 2      | Building security and surveillance                                                    |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 18 493 000          | 16 815 000          | 15 925 700,—        | 86,12         |
| 2 0 1 3      | Insurance                                                                             |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 270 000             | 191 000             | 319 141,—           | 118,20        |
| 2 0 1 4      | Other expenditure relating to buildings                                               |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                     | 550 000             | 611 000             | 406 453,—           | 73,90         |
|              | <i>Article 2 0 1 — Total</i>                                                          | 43 159 000          | 41 648 000          | 35 714 486,—        | 82,75         |
|              | <b>CHAPTER 2 0 — TOTAL</b>                                                            | <b>56 360 377</b>   | <b>57 789 000</b>   | <b>73 241 358,—</b> | <b>129,95</b> |

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**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE**  
**CHAPTER 2 2 — OPERATING EXPENDITURE**

| Article Item | Heading                                                                                        | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 1                                                                                    |                     |                     |              |             |
| <b>2 1 0</b> | <b>Computer systems and telecommunications</b>                                                 |                     |                     |              |             |
| 2 1 0 0      | Acquisition of equipment and software                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 10 716 000          | 12 262 000          | 13 500 520,— | 125,98      |
| 2 1 0 1      | External assistance for the operation and development of computer systems                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 21 798 000          | 21 850 000          | 18 355 019,— | 84,21       |
| 2 1 0 2      | Servicing and maintenance of equipment and software                                            |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 7 196 000           | 7 156 000           | 5 213 407,—  | 72,45       |
| 2 1 0 3      | Telecommunications                                                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 1 590 000           | 1 532 000           | 1 649 691,—  | 103,75      |
|              | <i>Article 2 1 0 — Total</i>                                                                   | 41 300 000          | 42 800 000          | 38 718 637,— | 93,75       |
| <b>2 1 1</b> | <b>Furniture</b>                                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 733 000             | 942 000             | 666 719,—    | 90,96       |
| <b>2 1 2</b> | <b>Technical equipment and installations</b>                                                   |                     |                     |              |             |
| 2 1 2 0      | Purchase and replacement of technical equipment and installations                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 2 494 000           | 2 650 000           | 2 636 755,—  | 105,72      |
| 2 1 2 1      | External assistance for the operation and development of technical equipment and installations |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 312 000             | 78 000              | 229 427,—    | 73,53       |
| 2 1 2 2      | Renting, servicing, maintenance and repair of technical equipment and installations            |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 1 538 000           | 931 000             | 620 714,—    | 40,36       |
|              | <i>Article 2 1 2 — Total</i>                                                                   | 4 344 000           | 3 659 000           | 3 486 896,—  | 80,27       |
| <b>2 1 3</b> | <b>Transport</b>                                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 1 496 000           | 1 048 000           | 840 810,—    | 56,20       |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                                     | 47 873 000          | 48 449 000          | 43 713 062,— | 91,31       |
|              | CHAPTER 2 2                                                                                    |                     |                     |              |             |
| <b>2 2 0</b> | <b>Meetings and conferences</b>                                                                |                     |                     |              |             |
| 2 2 0 0      | Travel expenses of delegations                                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 17 802 000          | 17 802 000          | 16 609 174,— | 93,30       |
| 2 2 0 1      | Miscellaneous travel expenses                                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                              | 470 000             | 470 000             | 390 943,—    | 83,18       |

## CHAPTER 2 2 — OPERATING EXPENDITURE (cont'd)

| Article Item | Heading                                              | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|------------------------------------------------------|---------------------|---------------------|--------------|-------------|
| <b>2 2 0</b> | <i>(cont'd)</i>                                      |                     |                     |              |             |
| 2 2 0 2      | Interpreting costs                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 79 316 000          | 79 816 000          | 57 587 693,— | 72,61       |
| 2 2 0 3      | Representation expenses                              |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 175 000             | 150 000             | 157 763,—    | 90,15       |
| 2 2 0 4      | Miscellaneous expenditure on internal meetings       |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 4 040 000           | 4 174 000           | 2 943 355,—  | 72,86       |
| 2 2 0 5      | Organisation of conferences, congresses and meetings |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 260 000             | 190 000             | 75 834,—     | 29,17       |
|              | <i>Article 2 2 0 — Total</i>                         | 102 063 000         | 102 602 000         | 77 764 762,— | 76,19       |
| <b>2 2 1</b> | <b>Information</b>                                   |                     |                     |              |             |
| 2 2 1 0      | Documentation and library expenditure                |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 3 770 000           | 2 000 000           | 1 652 329,—  | 43,83       |
| 2 2 1 1      | Official Journal                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 1 777 000           | 3 500 000           | 2 243 250,—  | 126,24      |
| 2 2 1 2      | General publications                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 220 000             | 250 000             | 206 002,—    | 93,64       |
| 2 2 1 3      | Information and public events                        |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 4 385 000           | 2 535 000           | 2 378 741,—  | 54,25       |
|              | <i>Article 2 2 1 — Total</i>                         | 10 152 000          | 8 285 000           | 6 480 322,—  | 63,83       |
| <b>2 2 3</b> | <b>Miscellaneous expenses</b>                        |                     |                     |              |             |
| 2 2 3 0      | Office supplies                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 358 000             | 408 000             | 380 999,—    | 106,42      |
| 2 2 3 1      | Postal charges                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 60 000              | 80 000              | 51 000,—     | 85,00       |
| 2 2 3 2      | Expenditure on studies, surveys and consultations    |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 60 000              | 45 000              | 11 500,—     | 19,17       |
| 2 2 3 3      | Interinstitutional cooperation                       |                     |                     |              |             |
|              | Non-differentiated appropriations                    | p.m.                | p.m.                | 0,—          |             |
| 2 2 3 4      | Removals                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                    | 18 000              | 80 000              | 24 372,—     | 135,40      |





## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 *Buildings*

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 109 000           | 1 982 000           | 17 759 045,— |

*Remarks*

This appropriation is intended to cover the rents and taxes on buildings occupied by the European Council and Council and the rent for meeting rooms, a warehouse and parking spaces:

- premises in Brussels,
- premises in Luxembourg (Kirchberg).

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 35 000.

The requests for appropriations have been reduced by taking into account the estimated assigned revenue.

## 2 0 0 1 Annual lease payments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the annual lease payments for buildings or parts of buildings under existing leases or leases in preparation.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 0 0 2 Acquisition of immovable property

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 11 005 000,— |

*Remarks*

This appropriation is intended to cover the acquisition of immovable property.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

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**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 3** Fitting-out and installation work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 855 377           | 10 618 000          | 7 338 868,—  |

*Remarks*

This appropriation is intended to cover fitting-out work, including:

- fitting-out and transformation of premises in accordance with operational requirements,
- adaptation of premises and technical installations to meet the health and safety requirements and standards in force.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 0 4** Work to make premises secure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 547 000           | 2 830 000           | 904 859,—    |

*Remarks*

This appropriation is intended to cover work on the fitting-out of buildings for the purposes of the physical and material security of persons and property.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 0 5** Expenditure preliminary to the acquisition, construction and fitting-out of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 690 000             | 711 000             | 519 100,—    |

*Remarks*

This appropriation is intended to cover, inter alia, experts' contributions to the studies on adapting and extending the institution's buildings.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (*cont'd*)2 0 1 **Costs relating to buildings**

## 2 0 1 0 Cleaning and maintenance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 19 080 000          | 19 057 000          | 15 356 378,— |

*Remarks*

This appropriation is intended to cover the following cleaning and maintenance costs:

- the cleaning of building spaces,
- miscellaneous maintenance and repair,
- technical supplies,
- contracts for the maintenance of miscellaneous technical equipment (air conditioning, heating, waste disposal, lifts, electrical and security installations),
- maintenance of gardens and plants.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 0 1 1 Water, gas, electricity and heating

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 766 000           | 4 974 000           | 3 706 814,—  |

*Remarks*

This appropriation is intended to cover water, gas, electricity and heating costs.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 0 1 2 Building security and surveillance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 18 493 000          | 16 815 000          | 15 925 700,— |

*Remarks*

This appropriation is intended to cover essentially the costs of caretaking and surveillance in respect of buildings occupied by the European Council and Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

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**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 1** (*cont'd*)

## 2 0 1 3 Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 270 000             | 191 000             | 319 141,—    |

*Remarks*

This appropriation is intended to cover the premiums on contracts concluded with insurance companies for the buildings occupied by the European Council and Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 0 1 4 Other expenditure relating to buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 550 000             | 611 000             | 406 453,—    |

*Remarks*

This appropriation is intended to cover any other current expenditure relating to buildings not provided for in the other articles of this chapter, in particular the costs of waste removal, signage, surveys by specialised bodies, etc.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE****2 1 0** *Computer systems and telecommunications*

## 2 1 0 0 Acquisition of equipment and software

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 716 000          | 12 262 000          | 13 500 520,— |

*Remarks*

This appropriation is intended to cover expenditure relating to the purchase or renting of equipment or software for computer systems and applications.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 1 0 1 External assistance for the operation and development of computer systems

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 21 798 000          | 21 850 000          | 18 355 019,— |

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**2 1 0** (*cont'd*)2 1 0 1 (*cont'd*)*Remarks*

This appropriation is intended to cover the cost of assistance and training provided by computer services and consultancy firms for the operation and development of computer systems and applications, including support for users.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 1 0 2 Servicing and maintenance of equipment and software

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 196 000           | 7 156 000           | 5 213 407,—  |

*Remarks*

This appropriation is intended to cover expenditure relating to the servicing and maintenance of computer equipment and systems and applications software.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 1 0 3 Telecommunications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 590 000           | 1 532 000           | 1 649 691,—  |

*Remarks*

This appropriation is intended to cover subscriptions and the price of communications and data-transmission costs.

In drawing up these estimates, account was taken of the reusable value when recovering the costs of telephone communications.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 1** **Furniture**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 733 000             | 942 000             | 666 719,—    |

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**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**2 1 1** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- purchase of furniture and specialised furniture,
- replacement of some of the furniture which was purchased at least 15 years ago or cannot be reused,
- renting of furniture,
- maintenance and repair of furniture.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 2** ***Technical equipment and installations*****2 1 2 0** Purchase and replacement of technical equipment and installations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 494 000           | 2 650 000           | 2 636 755,—  |

*Remarks*

This appropriation is intended to cover the purchase or replacement of miscellaneous fixed and mobile technical equipment and installations, particularly in connection with archiving, the purchasing department, security, conferences, canteens and buildings.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 2 1** External assistance for the operation and development of technical equipment and installations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 312 000             | 78 000              | 229 427,—    |

*Remarks*

This appropriation is intended to cover expenditure on technical assistance and supervision, particularly in connection with conferences and canteens.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**2 1 2** (*cont'd*)**2 1 2 2** Renting, servicing, maintenance and repair of technical equipment and installations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 538 000           | 931 000             | 620 714,—    |

*Remarks*

This appropriation is intended to cover the renting of technical equipment and installations and the cost of servicing, maintaining and repairing such technical equipment and installations.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 3** **Transport**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 496 000           | 1 048 000           | 840 810,—    |

*Remarks*

This appropriation is intended to cover, inter alia:

- the acquisition, leasing and replacement of the vehicle fleet,
- the cost of hiring cars,
- the cost of maintenance and repair of service cars (purchase of petrol, tyres, etc.),
- the cost of the mobility policy adopted by the General Secretariat of the Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 2 — OPERATING EXPENDITURE****2 2 0** **Meetings and conferences****2 2 0 0** Travel expenses of delegations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 17 802 000          | 17 802 000          | 16 609 174,— |

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## CHAPTER 2 2 — OPERATING EXPENDITURE (cont'd)

2 2 0 (cont'd)

2 2 0 0 (cont'd)

## Remarks

This appropriation is intended to cover travel expenses of delegates of Member States in accordance with Decision No 30/2013 of the Secretary-General of the Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## Legal basis

Decision No 30/2013 of the Secretary-General of the Council concerning reimbursement of travel expenses of delegates of Member States.

2 2 0 1 Miscellaneous travel expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 470 000             | 470 000             | 390 943,—    |

## Remarks

This appropriation is intended to cover travel and subsistence allowances for experts invited to meetings or sent on mission by the Secretary-General of the Council or by the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## Legal basis

Decision No 21/2009 of the Deputy Secretary-General of the Council of the European Union on the reimbursement of mission expenses of persons other than staff members of the Council of the European Union.

2 2 0 2 Interpreting costs

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 79 316 000          | 79 816 000          | 57 587 693,— |

## Remarks

This appropriation is intended to cover interpretation expenditure in accordance with Decisions Nos 111/2007 and 3/17 of the Secretary-General of the Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.



**CHAPTER 2 2 — OPERATING EXPENDITURE** (cont'd)

2 2 0 (cont'd)

2 2 0 2 (cont'd)

*Legal basis*

Decision No 111/2007 of the Secretary-General of the Council concerning interpreting for the European Council, the Council and its preparatory bodies.

Decision No 3/17 of the Secretary-General of the Council on the legal and budgetary framework for the financing of interpretation when not provided by SCIC.

2 2 0 3 Representation expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 175 000             | 150 000             | 157 763,—    |

*Remarks*

This appropriation is intended to cover expenditure relating to the obligations incumbent upon the institution in the form of representation and miscellaneous expenses other than catering.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 0 4 Miscellaneous expenditure on internal meetings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 040 000           | 4 174 000           | 2 943 355,—  |

*Remarks*

This appropriation is intended to cover expenditure relating to the obligations incumbent upon the institution in the form of catering (e.g. meals, drinks, snacks), including goods and services that could be associated with the catering contracts (e.g. laundry services, acquisition of table linen and small acquisitions).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 0 5 Organisation of conferences, congresses and meetings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 260 000             | 190 000             | 75 834,—     |

*Remarks*

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

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## CHAPTER 2 2 — OPERATING EXPENDITURE (cont'd)

2 2 1 **Information**

## 2 2 1 0 Documentation and library expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 770 000           | 2 000 000           | 1 652 329,—  |

*Remarks*

This appropriation is intended to cover:

- the purchase of books and other works in hard copy and/or in electronic form for the library,
- subscriptions to newspapers, periodicals, services supplying analyses of such publications' content and other online publications (with the exception of press agencies); this appropriation also covers any copyright costs arising out of the reproduction and circulation of such publications in hard copy and/or electronically,
- the costs of access to external documentary and statistical databases,
- the cost of subscriptions to teleprinter news agencies,
- bookbinding and other costs essential for preserving books and periodicals,
- enhancing information and knowledge management.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 2 1 1 Official Journal

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 777 000           | 3 500 000           | 2 243 250,—  |

*Remarks*

This appropriation is intended to cover the costs of preparing, publishing and disseminating the texts which the Council is required to publish in the *Official Journal of the European Union* pursuant to Article 297 of the Treaty on the Functioning of the European Union and the entry into force of legal acts of the Union.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 2 — OPERATING EXPENDITURE** (cont'd)**2 2 1** (cont'd)**2 2 1 2** General publications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 220 000             | 250 000             | 206 002,—    |

*Remarks*

This appropriation is intended to cover the costs of preparing, publishing in the official languages of the Member States, by traditional means (paper or microfilm) or electronic methods, and disseminating European Council and Council publications other than those published in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 1 3** Information and public events

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 385 000           | 2 535 000           | 2 378 741,—  |

*Remarks*

This appropriation is intended to cover:

- expenditure arising, inter alia, from public meetings of the Council and assistance for audiovisual media covering the work of the institution (renting of equipment and radio/TV service contracts, purchase, maintenance and repair of the equipment necessary for radio/TV transmissions, external photo services, etc.),
- the cost of miscellaneous information and public relations activities,
- expenditure on publicity and the promotion of publications and public events relating to the institution's activities, including ancillary management and infrastructure costs.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 3** *Miscellaneous expenses***2 2 3 0** Office supplies

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 358 000             | 408 000             | 380 999,—    |

*Remarks*

This appropriation is intended to cover:

- purchase of paper,
- photocopies and fees,

## EUROPEAN COUNCIL AND COUNCIL

## CHAPTER 2 2 — OPERATING EXPENDITURE (cont'd)

2 2 3 (cont'd)

2 2 3 0 (cont'd)

- specific paper and office supplies (day-to-day supplies),
- printed material,
- supplies for dispatching mail (envelopes, wrapping paper, plates for the franking machine, stampers, frames),
- supplies for the document reproduction workshop (printer inks, offset plates, films and chemicals for preparing plates).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 1 Postal charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 000              | 80 000              | 51 000,—     |

*Remarks*

This appropriation is intended to cover expenditure on postal charges.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 2 Expenditure on studies, surveys and consultations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 000              | 45 000              | 11 500,—     |

*Remarks*

This appropriation is intended to cover the cost of studies and consultations contracted out to highly qualified experts.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 3 Interinstitutional cooperation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## CHAPTER 2 2 — OPERATING EXPENDITURE (cont'd)

2 2 3 (cont'd)

2 2 3 3 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure on interinstitutional activities.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 4 Removals

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 18 000              | 80 000              | 24 372,—     |

*Remarks*

This appropriation is intended to cover the cost of the removal and transport of equipment.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 5 Financial charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 000              | 10 000              | 5 515,—      |

*Remarks*

This appropriation is intended to cover all financial charges, including bank charges.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 6 Legal expenses and costs, damages and compensation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 000 000           | 1 250 000           | 541 903,—    |

*Remarks*

This appropriation is intended to cover:

— costs which may be awarded against the Council by any of the courts comprising the Court of Justice of the European Union,

EUROPEAN COUNCIL AND COUNCIL

**CHAPTER 2 2 — OPERATING EXPENDITURE** (*cont'd*)**2 2 3** (*cont'd*)**2 2 3 6** (*cont'd*)

- fees charged by outside lawyers for representing the Council in court or for advising the Council in administrative and contractual matters,
- damages and compensation for which the Council may be liable,
- cost of impact assessments necessary for the legislative process and contracted out to external service providers.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 3 7** Other operating expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 281 000             | 254 000             | 62 648,—     |

*Remarks*

This appropriation is intended to cover:

- insurance other than insurance on buildings, which is charged to Item 2 0 1 3,
- cost of purchasing working clothes for staff in accordance with the rules adopted by DGA, working equipment for workshop staff and internal services staff and the repair and maintenance of working clothes,
- the Council contribution towards the expenditure of some associations whose activities are directly related to those of the institutions of the Union,
- other operating expenditure not specially provided for under the preceding headings (flags, miscellaneous services).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## TITLE 10

## OTHER EXPENDITURE

## CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

## CHAPTER 10 1 — CONTINGENCY RESERVE

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|----------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 1            | 1 500 000           | 2 000 000           | 0,—                  | 0            |
|              | CHAPTER 10 1 — TOTAL    | 1 500 000           | 2 000 000           | 0,—                  | 0            |
|              | <b>Title 10 — Total</b> | <b>1 500 000</b>    | <b>2 000 000</b>    | <b>0,—</b>           | <b>0</b>     |
|              | <b>GRAND TOTAL</b>      | <b>572 894 377</b>  | <b>561 576 000</b>  | <b>518 934 126,—</b> | <b>90,58</b> |

EUROPEAN COUNCIL AND COUNCIL

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations entered in this chapter are provisional and may be used only after their transfer to other chapters in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 500 000           | 2 000 000           | 0,—          |

*Remarks*

This appropriation is intended to cover unforeseen expenditure resulting from budgetary decisions taken in the course of the financial year.



**STAFF**  
**European Council and Council**

| Function group and grade | 2018               |                                   |          | 2017               |                                   |          |
|--------------------------|--------------------|-----------------------------------|----------|--------------------|-----------------------------------|----------|
|                          | Permanent posts    | Temporary posts                   |          | Permanent posts    | Temporary posts                   |          |
|                          |                    | President of the European Council | Others   |                    | President of the European Council | Others   |
| Non-category             | 1                  | —                                 | —        | 1                  | —                                 | —        |
| AD 16                    | 8                  | 1                                 | —        | 8                  | 1                                 | —        |
| AD 15                    | 33 <sup>(1)</sup>  | 1                                 | —        | 33 <sup>(1)</sup>  | 1                                 | —        |
| AD 14                    | 127 <sup>(2)</sup> | 2                                 | 1        | 127 <sup>(2)</sup> | 2                                 | 1        |
| AD 13                    | 133                | 3                                 | —        | 128                | 3                                 | —        |
| AD 12                    | 180                | 2                                 | —        | 172                | 2                                 | 1        |
| AD 11                    | 84                 | 1                                 | 1        | 80                 | 1                                 | 1        |
| AD 10                    | 125                | 5                                 | —        | 105                | 5                                 | —        |
| AD 9                     | 203                | 1                                 | —        | 173                | 1                                 | —        |
| AD 8                     | 190                | —                                 | —        | 200                | —                                 | —        |
| AD 7                     | 144                | 1                                 | —        | 148                | 1                                 | —        |
| AD 6                     | 136                | 3                                 | —        | 145                | 3                                 | —        |
| AD 5                     | 72                 | —                                 | —        | 92                 | —                                 | —        |
| Subtotal AD              | 1 435              | 20                                | 2        | 1 411              | 20                                | 3        |
| AST 11                   | 34                 | —                                 | —        | 30                 | —                                 | —        |
| AST 10                   | 32                 | —                                 | —        | 28                 | —                                 | —        |
| AST 9                    | 166                | 2                                 | —        | 147                | 2                                 | —        |
| AST 8                    | 179                | 1                                 | —        | 184                | 1                                 | —        |
| AST 7                    | 134                | —                                 | —        | 160                | —                                 | —        |
| AST 6                    | 159                | 3                                 | —        | 161                | 3                                 | —        |
| AST 5                    | 253                | 3                                 | —        | 235                | 3                                 | —        |
| AST 4                    | 226                | 1                                 | —        | 236                | 1                                 | —        |
| AST 3                    | 167                | 2                                 | —        | 178                | 2                                 | —        |
| AST 2                    | 18                 | 1                                 | —        | 57                 | 1                                 | —        |
| AST 1                    | 12                 | —                                 | —        | 8                  | —                                 | —        |
| Subtotal AST             | 1 380              | 13                                | —        | 1 424              | 13                                | —        |
| AST/SC 6                 | —                  | —                                 | —        | —                  | —                                 | —        |
| AST/SC 5                 | —                  | —                                 | —        | —                  | —                                 | —        |
| AST/SC 4                 | —                  | —                                 | —        | —                  | —                                 | —        |
| AST/SC 3                 | 15                 | —                                 | —        | 15                 | —                                 | —        |
| AST/SC 2                 | 25                 | —                                 | —        | 15                 | —                                 | —        |
| AST/SC 1                 | 140                | —                                 | —        | 125                | —                                 | —        |
| Subtotal AST/SC          | 180                | —                                 | —        | 155                | —                                 | —        |
| <b>Total</b>             | <b>2 996</b>       | <b>33</b>                         | <b>2</b> | <b>2 991</b>       | <b>33</b>                         | <b>3</b> |
| <b>Grand total</b>       |                    | <b>3 031</b>                      |          |                    | <b>3 027</b>                      |          |

<sup>(1)</sup> Of which four AD 16 *ad personam*.

<sup>(2)</sup> Of which seven AD 15 *ad personam*.

*SECTION III*

**COMMISSION**

**REVENUE**

COMMISSION

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTION AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                         | Financial year 2018  | Financial year 2017  | Financial year 2016     | % 2016/2018  |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|-------------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                     |                      |                      |                         |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i> | 632 519 144          | 600 439 327          | 583 011 183,72          | 92,17        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>              | p.m.                 | p.m.                 | 70 140,77               |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>  | 60 888 266           | 57 405 978           | 56 700 581,94           | 93,12        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                             | 693 407 410          | 657 845 305          | 639 781 906,43          | 92,27        |
|              | CHAPTER 4 1                                                                                                                                                     |                      |                      |                         |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                | 320 611 896          | 308 270 359          | 302 457 302,60          | 94,34        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                          | 125 912 882          | 132 760 601          | 129 256 312,80          | 102,66       |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                        | 100 000              | 100 000              | 112 100,91              | 112,10       |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                             | 446 624 778          | 441 130 960          | 431 825 716,31          | 96,69        |
|              | CHAPTER 4 2                                                                                                                                                     |                      |                      |                         |              |
| 4 2 0        | <i>Employer's contribution by decentralised agencies and international organisations to the pension scheme</i>                                                  | 40 984 006           | 39 664 373           | 40 342 054,31           | 98,43        |
|              | CHAPTER 4 2 — TOTAL                                                                                                                                             | 40 984 006           | 39 664 373           | 40 342 054,31           | 98,43        |
|              | <b>Title 4 — Total</b>                                                                                                                                          | <b>1 181 016 194</b> | <b>1 138 640 638</b> | <b>1 111 949 677,05</b> | <b>94,15</b> |

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTION AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from the tax on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 632 519 144         | 600 439 327         | 583 011 183,72      |

*Remarks*

This revenue represents all the tax levied on salaries, wages and emoluments of every type, with the exception of benefits and family allowances paid to Members of the Commission, officials, other servants and persons in receipt of the compensation payments on termination of employment referred to in Chapter 01 of each title of the statement of expenditure and to persons in receipt of a pension.

The estimated revenue also includes the amounts for the European Investment Bank, the European Central Bank and the European Investment Fund.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) of the Council No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 70 140,77           |

COMMISSION

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)4 0 3 (*cont'd*)*Remarks*

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this article will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof in the version in force until 15 December 2003.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

4 0 4 ***Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 60 888 266          | 57 405 978          | 56 700 581,94       |

*Remarks*

This article is intended to record the proceeds from the special levy and from the solidarity levy on the salaries of officials and other servants in active employment in accordance with Article 66a of the Staff Regulations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 320 611 896         | 308 270 359         | 302 457 302,60      |

*Remarks*

This revenue represents staff contributions to the financing of the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 125 912 882         | 132 760 601         | 129 256 312,80      |

*Remarks*

This revenue represents the payment to the Union of the actuarial equivalent or the flat-rate redemption value of pension rights acquired by officials in their previous jobs.

*Legal basis*

Staff Regulations of Officials of the European Union.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 100 000             | 100 000             | 112 100,91          |

*Remarks*

Officials and other servants taking leave on personal grounds may continue to acquire pension rights provided that they also bear the cost of the employer's contribution.

*Legal basis*

Staff Regulations of Officials of the European Union.

COMMISSION

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME *(cont'd)*4 1 2 *(cont'd)*

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

4 2 0 ***Employer's contribution by decentralised agencies and international organisations to the pension scheme***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 40 984 006          | 39 664 373          | 40 342 054,31       |

*Remarks*

This revenue represents the employer's contribution by decentralised agencies and international organisations to the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

| Article Item | Heading                                                                                                                                                             | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                         |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property (supply of goods)</i></b>                                                                                          |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                               | p.m.                | p.m.                | 57 204,24           |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                 | p.m.                | p.m.                | 10 661,—            |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                                                                | p.m.                | p.m.                | 800 454,53          |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                        | p.m.                | p.m.                | 868 319,77          |             |
| <b>5 0 1</b> | <b><i>Proceeds from the sale of immovable property</i></b>                                                                                                          | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>                                                                    | p.m.                | p.m.                | 40 995,30           |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                 | p.m.                | p.m.                | 909 315,07          |             |
|              | CHAPTER 5 1                                                                                                                                                         |                     |                     |                     |             |
| <b>5 1 0</b> | <b><i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i></b>                                                                            | p.m.                | p.m.                | 355 336,67          |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>                                          |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                          | p.m.                | p.m.                | 14 081 131,55       |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                 | p.m.                | p.m.                | 2 372 911,—         |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                                                                        | p.m.                | p.m.                | 16 454 042,55       |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                 | p.m.                | p.m.                | 16 809 379,22       |             |
|              | CHAPTER 5 2                                                                                                                                                         |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>                                                      | p.m.                | p.m.                | 337 758,48          |             |
| <b>5 2 1</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i></b> | —                   | 25 000 000          | 37 644 922,58       |             |
| <b>5 2 2</b> | <b><i>Interest yielded by pre-financing</i></b>                                                                                                                     | 40 000 000          | 40 000 000          | 6 514 023,32        | 16,29       |
| <b>5 2 3</b> | <b><i>Revenue generated on trust accounts — Assigned revenue</i></b>                                                                                                | p.m.                | p.m.                | 52 901 263,24       |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                 | 40 000 000          | 65 000 000          | 97 397 967,62       | 243,49      |

## COMMISSION

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK****CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                                | Financial year 2018 | Financial year 2017 | Financial year 2016   | % 2016/2018   |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|---------------|
|              | CHAPTER 5 5                                                                                                                                                                                            |                     |                     |                       |               |
| 5 5 0        | <i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i> | p.m.                | p.m.                | 51 825 514,76         |               |
| 5 5 1        | <i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>                                                                                          | p.m.                | p.m.                | 1 535 042,59          |               |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 53 360 557,35         |               |
|              | CHAPTER 5 7                                                                                                                                                                                            |                     |                     |                       |               |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                                   | p.m.                | p.m.                | 6 686 727,41          |               |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>       | p.m.                | p.m.                | 0,—                   |               |
| 5 7 2        | <i>Repayment of welfare expenditure incurred on behalf of another institution</i>                                                                                                                      | p.m.                | p.m.                | 0,—                   |               |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                           | p.m.                | p.m.                | 131 791 097,48        |               |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 138 477 824,89        |               |
|              | CHAPTER 5 8                                                                                                                                                                                            |                     |                     |                       |               |
| 5 8 0        | <i>Revenue from payments connected with lettings — Assigned revenue</i>                                                                                                                                | p.m.                | p.m.                | 0,—                   |               |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                                     | p.m.                | p.m.                | 2 437,88              |               |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 2 437,88              |               |
|              | CHAPTER 5 9                                                                                                                                                                                            |                     |                     |                       |               |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                                    | 5 000 000           | 5 000 000           | 2 287 368,36          | 45,75         |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                                    | 5 000 000           | 5 000 000           | 2 287 368,36          | 45,75         |
|              | <b>Title 5 — Total</b>                                                                                                                                                                                 | <b>45 000 000</b>   | <b>70 000 000</b>   | <b>309 244 850,39</b> | <b>687,21</b> |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 57 204,24           |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It also records the proceeds from the sale of vehicles that are being replaced or scrapped when their book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 10 661,—            |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution. It also records the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 800 454,53          |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

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**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (cont'd)****5 0 1 Proceeds from the sale of immovable property**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

**5 0 2 Proceeds from the sale of publications, printed works and films — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 40 995,30           |

*Remarks*

In accordance with point (h) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products on an electronic medium.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING****5 1 0 Proceeds from the hiring-out of furniture and equipment — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 355 336,67          |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings****5 1 1 0 Proceeds from letting and subletting immovable property — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 14 081 131,55       |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING** (*cont'd*)**5 1 1** (*cont'd*)**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 372 911,—         |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 337 758,48          |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest credited to or debited from the institutions' accounts.

**5 2 1** *Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| —                   | 25 000 000          | 37 644 922,58       |

*Remarks*

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

**5 2 2** *Interest yielded by pre-financing*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 40 000 000          | 40 000 000          | 6 514 023,32        |

*Remarks*

This article is intended to record revenue from the interest yielded by pre-financing.

COMMISSION

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST** (*cont'd*)**5 2 2** (*cont'd*)

In accordance with point (d) of Article 21(3) of the Financial Regulation, this revenue may be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Interest generated by pre-financing payments made from the budget shall not be due to the Union except as otherwise provided for in the delegation agreements, with the exception of those agreements concluded with third countries or the bodies they have designated. In cases in which it is provided for, such interest shall either be reused for the corresponding action, deducted from payment requests in accordance with point (c) of the first subparagraph of Article 23(1) of the Financial Regulation or recovered.

Delegated Regulation (EU) No 1268/2012 also lays down provisions regarding the accounting of interest yielded on pre-financing.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 8(4) and point (d) of Article 21(3) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**5 2 3** *Revenue generated on trust accounts — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 52 901 263,24       |

*Remarks*

This article is intended to record interest and other revenue generated on trust accounts.

The trust accounts are kept on behalf of the Union by international financial institutions (European Investment Fund, European Investment Bank, Council of Europe Development Bank/Kreditanstalt für Wiederaufbau, European Bank for Reconstruction and Development) managing Union programmes and the amounts paid in by the Union remain on the account until they are made available to the beneficiaries under the single programme, such as small and medium-sized enterprises or institutions managing projects in accession countries.

In accordance with Article 21(4) of the Financial Regulation, interest generated by trust accounts used for Union programmes is used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(4) thereof.

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)5 2 3 (*cont'd*)

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

5 5 0 *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 51 825 514,76       |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 535 042,59        |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 6 686 727,41        |

*Remarks*

In accordance with point (c) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## COMMISSION

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION (cont'd)

5 7 1 **Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (d) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 7 2 **Repayment of welfare expenditure incurred on behalf of another institution**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

5 7 3 **Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 131 791 097,48      |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 8 — MISCELLANEOUS COMPENSATION

5 8 0 **Revenue from payments connected with lettings — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (g) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.



**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (*cont'd*)**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 437,88            |

*Remarks*

In accordance with point (f) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS****5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 000 000           | 5 000 000           | 2 287 368,36        |

*Remarks*

This article is intended to record other revenue from administrative operations.

COMMISSION

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

| Article Item | Heading                                                                                                                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 6 0                                                                                                                                                                              |                     |                     |                     |             |
| <b>6 0 1</b> | <b>Miscellaneous research programmes</b>                                                                                                                                                 |                     |                     |                     |             |
| 6 0 1 1      | Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue                                                                      | p.m.                | p.m.                | 0,—                 |             |
| 6 0 1 2      | European Fusion Development Agreement (EFDA) — Assigned revenue                                                                                                                          | —                   | —                   | 0,—                 |             |
| 6 0 1 3      | Cooperation agreements with third countries under Union research programmes — Assigned revenue                                                                                           | p.m.                | p.m.                | 291 302 748,97      |             |
| 6 0 1 5      | Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue            | p.m.                | p.m.                | 0,—                 |             |
| 6 0 1 6      | Agreements for European cooperation in the field of scientific and technical research — Assigned revenue                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 0 1 — Total</i>                                                                                                                                                             | p.m.                | p.m.                | 291 302 748,97      |             |
| <b>6 0 2</b> | <b>Other programmes</b>                                                                                                                                                                  |                     |                     |                     |             |
| 6 0 2 1      | Miscellaneous revenue relating to humanitarian aid and emergency support — Assigned revenue                                                                                              | p.m.                | p.m.                | 57 781 507,49       |             |
|              | <i>Article 6 0 2 — Total</i>                                                                                                                                                             | p.m.                | p.m.                | 57 781 507,49       |             |
| <b>6 0 3</b> | <b>Association agreements between the Union and third countries</b>                                                                                                                      |                     |                     |                     |             |
| 6 0 3 1      | Revenue accruing from the participation of the candidate countries and the Western Balkan potential candidates in Union programmes — Assigned revenue                                    | p.m.                | p.m.                | 238 065 676,34      |             |
| 6 0 3 2      | Revenue accruing from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements — Assigned revenue | p.m.                | p.m.                | 246 489,60          |             |
| 6 0 3 3      | Participation of third countries or outside bodies in Union activities — Assigned revenue                                                                                                | p.m.                | p.m.                | 38 269 817,52       |             |
|              | <i>Article 6 0 3 — Total</i>                                                                                                                                                             | p.m.                | p.m.                | 276 581 983,46      |             |
|              | CHAPTER 6 0 — TOTAL                                                                                                                                                                      | p.m.                | p.m.                | 625 666 239,92      |             |

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

| Article Item | Heading                                                                                                                                                                                                                                                                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 6 1                                                                                                                                                                                                                                                                                                                              |                     |                     |                     |             |
| <b>6 1 1</b> | <b>Repayment of expenditure incurred on behalf of one or more Member States</b>                                                                                                                                                                                                                                                          |                     |                     |                     |             |
| 6 1 1 3      | Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue                                                                                                                                                                                                                                         | p.m.                | p.m.                | 35 732 929,25       |             |
| 6 1 1 4      | Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel                                                                                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 1 — Total</i>                                                                                                                                                                                                                                                                                                             | p.m.                | p.m.                | 35 732 929,25       |             |
| <b>6 1 2</b> | <b>Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue</b>                                                                                                                                                                                                   | p.m.                | p.m.                | 5 845,93            |             |
| <b>6 1 4</b> | <b>Repayment of Union support to commercially successful projects and activities</b>                                                                                                                                                                                                                                                     |                     |                     |                     |             |
| 6 1 4 3      | Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue                                                                                                                                                                                                       | p.m.                | p.m.                | 0,—                 |             |
| 6 1 4 4      | Repayment of Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 4 — Total</i>                                                                                                                                                                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |             |
| <b>6 1 5</b> | <b>Repayment of unused Union aid</b>                                                                                                                                                                                                                                                                                                     |                     |                     |                     |             |
| 6 1 5 0      | Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development — Assigned revenue | p.m.                | p.m.                | 46 067 434,95       |             |
| 6 1 5 1      | Repayment of unused subsidies for balancing budgets — Assigned revenue                                                                                                                                                                                                                                                                   | p.m.                | p.m.                | 0,—                 |             |
| 6 1 5 2      | Repayment of unused interest subsidies — Assigned revenue                                                                                                                                                                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
| 6 1 5 3      | Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue                                                                                                                                                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
| 6 1 5 7      | Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development — Assigned revenue                                                       | p.m.                | p.m.                | 420 116 068,08      |             |
| 6 1 5 8      | Repayment of miscellaneous unused Union aid — Assigned revenue                                                                                                                                                                                                                                                                           | p.m.                | p.m.                | 607 450,06          |             |
|              | <i>Article 6 1 5 — Total</i>                                                                                                                                                                                                                                                                                                             | p.m.                | p.m.                | 466 790 953,09      |             |

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**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (cont'd)**  
**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT**

| Article Item | Heading                                                                                                                                                                                            | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
| <b>6 1 6</b> | <b>Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue</b>                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| <b>6 1 7</b> | <b>Repayment of amounts paid in connection with Union aid to third countries</b>                                                                                                                   |                     |                     |                     |             |
| 6 1 7 0      | Repayments within the framework of cooperation with South Africa — Assigned revenue                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 7 — Total</i>                                                                                                                                                                       | p.m.                | p.m.                | 0,—                 |             |
| <b>6 1 8</b> | <b>Repayment of amounts paid in connection with food aid</b>                                                                                                                                       |                     |                     |                     |             |
| 6 1 8 0      | Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue                                                                                          | p.m.                | p.m.                | 0,—                 |             |
| 6 1 8 1      | Repayment of additional costs caused by the recipients of food aid — Assigned revenue                                                                                                              | p.m.                | p.m.                | 3 356,64            |             |
|              | <i>Article 6 1 8 — Total</i>                                                                                                                                                                       | p.m.                | p.m.                | 3 356,64            |             |
| <b>6 1 9</b> | <b>Repayment of other expenditure incurred on behalf of outside bodies</b>                                                                                                                         |                     |                     |                     |             |
| 6 1 9 1      | Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 1 9 — Total</i>                                                                                                                                                                       | p.m.                | p.m.                | 0,—                 |             |
|              | <b>CHAPTER 6 1 — TOTAL</b>                                                                                                                                                                         | p.m.                | p.m.                | 502 533 084,91      |             |
|              | <b>CHAPTER 6 2</b>                                                                                                                                                                                 |                     |                     |                     |             |
| <b>6 2 0</b> | <b>Supply against payment of source materials or special fissile materials (point (b) of Article 6 of the Euratom Treaty) — Assigned revenue</b>                                                   | p.m.                | p.m.                | 0,—                 |             |
| <b>6 2 2</b> | <b>Revenue from services provided by the Joint Research Centre to outside bodies against payment</b>                                                                                               |                     |                     |                     |             |
| 6 2 2 1      | Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue                                                                       | p.m.                | p.m.                | 21 815,79           |             |
| 6 2 2 3      | Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue                             | p.m.                | p.m.                | 9 798 787,42        |             |
| 6 2 2 4      | Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue | p.m.                | p.m.                | 249 647,78          |             |

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)  
**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS**

| Article Item | Heading                                                                                                                                                                                                                    | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
| <b>6 2 2</b> | ( <i>cont'd</i> )                                                                                                                                                                                                          |                     |                     |                     |             |
| 6 2 2 5      | Other revenue for the Joint Research Centre — Assigned revenue                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |             |
| 6 2 2 6      | Revenue from services provided by the Joint Research Centre to other Union institutions or other Commission departments on a competitive basis, to be used to provide additional appropriations — Assigned revenue         | p.m.                | p.m.                | 77 886 596,21       |             |
|              | <i>Article 6 2 2 — Total</i>                                                                                                                                                                                               | p.m.                | p.m.                | 87 956 847,20       |             |
| <b>6 2 4</b> | <b><i>Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue</i></b>                               | p.m.                | p.m.                | 0,—                 |             |
|              | <b>CHAPTER 6 2 — TOTAL</b>                                                                                                                                                                                                 | p.m.                | p.m.                | 87 956 847,20       |             |
|              | CHAPTER 6 3                                                                                                                                                                                                                |                     |                     |                     |             |
| <b>6 3 0</b> | <b><i>Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue</i></b>                                                          | p.m.                | p.m.                | 393 490 071,—       |             |
| <b>6 3 1</b> | <b><i>Contributions within the framework of the Schengen acquis</i></b>                                                                                                                                                    |                     |                     |                     |             |
| 6 3 1 2      | Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue               | p.m.                | p.m.                | 396 714,84          |             |
| 6 3 1 3      | Other contributions within the framework of the Schengen <i>acquis</i> (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue                                                                                 | p.m.                | p.m.                | 19 789 217,14       |             |
|              | <i>Article 6 3 1 — Total</i>                                                                                                                                                                                               | p.m.                | p.m.                | 20 185 931,98       |             |
| <b>6 3 2</b> | <b><i>Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue</i></b>                                                                                               | p.m.                | p.m.                | 95 169 370,—        |             |
| <b>6 3 3</b> | <b><i>Contributions to certain external aid programmes — Assigned revenue</i></b>                                                                                                                                          |                     |                     |                     |             |
| 6 3 3 0      | Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue  | p.m.                | p.m.                | 679 449 344,05      |             |
| 6 3 3 1      | Contributions from third countries, including their public agencies, entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue | p.m.                | p.m.                | 9 475 721,93        |             |

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**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (cont'd)**  
**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS**

| Article Item | Heading                                                                                                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
| <b>6 3 3</b> | <i>(cont'd)</i>                                                                                                                                                          |                     |                     |                     |             |
| 6 3 3 2      | Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 3 3 — Total</i>                                                                                                                                             | p.m.                | p.m.                | 688 925 065,98      |             |
| <b>6 3 4</b> | <b>Contributions from EU trust funds — Assigned revenue</b>                                                                                                              |                     |                     |                     |             |
| 6 3 4 0      | Contributions from EU trust funds to the management costs of the Commission — Assigned revenue                                                                           | p.m.                | p.m.                | 15 011 561,40       |             |
|              | <i>Article 6 3 4 — Total</i>                                                                                                                                             | p.m.                | p.m.                | 15 011 561,40       |             |
| <b>6 3 5</b> | <b>Contribution to the European Fund for Sustainable Development (EFSD) — Assigned revenue</b>                                                                           |                     |                     |                     |             |
| 6 3 5 0      | Contribution from the European Development Fund to the EFSD — Assigned revenue                                                                                           | p.m.                | p.m.                |                     |             |
| 6 3 5 1      | Contributions from Member States, including their agencies, entities or natural persons to the EFSD — Assigned revenue                                                   | p.m.                | p.m.                |                     |             |
| 6 3 5 2      | Contributions from third countries, including their agencies, entities or natural persons to the EFSD — Assigned revenue                                                 | p.m.                | p.m.                |                     |             |
| 6 3 5 3      | Contributions from international organisations to the EFSD — Assigned revenue                                                                                            | p.m.                | p.m.                |                     |             |
|              | <i>Article 6 3 5 — Total</i>                                                                                                                                             | p.m.                | p.m.                |                     |             |
|              | <b>CHAPTER 6 3 — TOTAL</b>                                                                                                                                               | p.m.                | p.m.                | 1 212 782 000,36    |             |
|              | <b>CHAPTER 6 4</b>                                                                                                                                                       |                     |                     |                     |             |
| <b>6 4 1</b> | <b>Contributions from financial instruments — Assigned revenue</b>                                                                                                       |                     |                     |                     |             |
| 6 4 1 0      | Contributions from financial instruments (revenues) — Assigned revenue                                                                                                   | p.m.                |                     |                     |             |
| 6 4 1 1      | Contributions from financial instruments (repayments) — Assigned revenue                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 6 4 1 — Total</i>                                                                                                                                             | p.m.                | p.m.                | 0,—                 |             |
| <b>6 4 2</b> | <b>Contributions from financial instruments — Non-assigned revenue</b>                                                                                                   |                     |                     |                     |             |
| 6 4 2 0      | Contributions from financial instruments — Revenues                                                                                                                      | 25 000 000          |                     |                     |             |
| 6 4 2 1      | Contributions from financial instruments — Repayments                                                                                                                    | 25 000 000          |                     |                     |             |
|              | <i>Article 6 4 2 — Total</i>                                                                                                                                             | 50 000 000          |                     |                     |             |
|              | <b>CHAPTER 6 4 — TOTAL</b>                                                                                                                                               | 50 000 000          | p.m.                | 0,—                 | 0           |

**CHAPTER 6 5 — FINANCIAL CORRECTIONS****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT**

| Article Item | Heading                                                                                           | Financial year 2018 | Financial year 2017 | Financial year 2016     | % 2016/2018     |
|--------------|---------------------------------------------------------------------------------------------------|---------------------|---------------------|-------------------------|-----------------|
|              | CHAPTER 6 5                                                                                       |                     |                     |                         |                 |
| 6 5 1        | <i>Financial corrections related to the programming periods before 2000</i>                       | p.m.                | p.m.                | 12 003 509,60           |                 |
| 6 5 2        | <i>Financial corrections related to the programming period 2000-2006 — Assigned revenue</i>       | p.m.                | p.m.                | 35 407 317,64           |                 |
| 6 5 3        | <i>Financial corrections related to the programming period 2007-2013 — Assigned revenue</i>       | p.m.                | p.m.                | 0,—                     |                 |
| 6 5 4        | <i>Financial corrections related to the programming period 2014-2020 — Assigned revenue</i>       | p.m.                | p.m.                | 0,—                     |                 |
|              | CHAPTER 6 5 — TOTAL                                                                               | p.m.                | p.m.                | 47 410 827,24           |                 |
|              | CHAPTER 6 6                                                                                       |                     |                     |                         |                 |
| 6 6 0        | <i>Other contributions and refunds</i>                                                            |                     |                     |                         |                 |
| 6 6 0 0      | Other assigned contributions and refunds — Assigned revenue                                       | p.m.                | p.m.                | 567 796 492,88          |                 |
| 6 6 0 1      | Other non-assigned contributions and refunds                                                      | 60 000 000          | 60 000 000          | 3 492 703,59            | 5,82            |
|              | Article 6 6 0 — Total                                                                             | 60 000 000          | 60 000 000          | 571 289 196,47          | 952,15          |
|              | CHAPTER 6 6 — TOTAL                                                                               | 60 000 000          | 60 000 000          | 571 289 196,47          | 952,15          |
|              | CHAPTER 6 7                                                                                       |                     |                     |                         |                 |
| 6 7 0        | <i>Revenue concerning the European Agricultural Guarantee Fund</i>                                |                     |                     |                         |                 |
| 6 7 0 1      | Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue                     | p.m.                | p.m.                | 1 593 690 104,14        |                 |
| 6 7 0 2      | European Agricultural Guarantee Fund irregularities — Assigned revenue                            | p.m.                | p.m.                | 118 371 446,59          |                 |
| 6 7 0 3      | Superlevy from milk producers — Assigned revenue                                                  | p.m.                | p.m.                | 815 080 221,22          |                 |
|              | Article 6 7 0 — Total                                                                             | p.m.                | p.m.                | 2 527 141 771,95        |                 |
| 6 7 1        | <i>Revenue concerning the European Agricultural Fund for Rural Development</i>                    |                     |                     |                         |                 |
| 6 7 1 1      | Clearance of accounts European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue | p.m.                | p.m.                | 329 084 729,99          |                 |
| 6 7 1 2      | European Agricultural Fund for Rural Development (EAFRD) irregularities — Assigned revenue        | p.m.                | p.m.                | 8 752 186,37            |                 |
|              | Article 6 7 1 — Total                                                                             | p.m.                | p.m.                | 337 836 916,36          |                 |
|              | CHAPTER 6 7 — TOTAL                                                                               | p.m.                | p.m.                | 2 864 978 688,31        |                 |
|              | <b>Title 6 — Total</b>                                                                            | <b>110 000 000</b>  | <b>60 000 000</b>   | <b>5 912 616 884,41</b> | <b>5 375,11</b> |

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## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

6 0 1 *Miscellaneous research programmes*

6 0 1 1 Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue resulting from the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 08 03 50 and 32 05 50 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

6 0 1 2 European Fusion Development Agreement (EFDA) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| —                   | —                   | 0,—                 |

*Remarks*

Revenue resulting from the multilateral European Fusion Development Agreement (EFDA) between the European Atomic Energy Community and its 26 fusion associates which expired at the end of 2013.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 03 50 and Item 32 05 50 02 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

6 0 1 3 Cooperation agreements with third countries under Union research programmes — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 291 302 748,97      |

*Remarks*

Revenue resulting from cooperation agreements between the Union and third countries, in particular those participating in European cooperation in the field of scientific and technical research (COST), in order to associate them with Union research programmes.



**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Any contributions received are to cover the costs of meetings, experts' contracts and research expenditure under the programmes in question.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 08 03 50, 08 04 50, 09 04 50, 15 03 50, 32 04 50, 32 05 50 (indirect action), 10 02 50 and 10 03 50 (direct action) of the statement of expenditure in this section, depending on the expenditure to be covered.

The association of Switzerland to parts of Horizon 2020 Framework Programme, to the Euratom Programme 2014-2018 and to the activities carried out by the European Joint Undertaking for ITER and the Development of Fusion for Energy for 2014-2020 (Fusion for Energy), was expected to last until 31 December 2016.

Following the ratification of the Protocol to the Agreement between the European Community and its Member States, of the one part, and the Swiss Confederation, of the other, on the free movement of persons, regarding the participation of the Republic of Croatia as a Contracting Party, following its accession to the European Union (OJ L 31, 4.2.2017, p. 3) by the Swiss Federal Council on 16 December 2016, as of 1 January 2017 the agreement associating Switzerland to Horizon 2020 continues to apply and it is expanded to cover Horizon 2020 in its entirety, the Euratom Programme 2014-2018 and the activities carried out by Fusion for Energy.

*Legal basis*

Partnership and Cooperation Agreement between the European Communities and their Member States, and Ukraine, (OJ L 49, 19.2.1998, p. 3), signed on 14 June 1994, entered into force on 1 March 1998 and remains applicable for the parts not covered by the provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part.

Council Decision 2008/372/EC of 12 February 2008 on the signing and provisional application of a Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the State of Israel, of the other part, on a framework Agreement between the European Community and the State of Israel on the general principles governing the State of Israel's participation in Community programmes (OJ L 129, 17.5.2008, p. 39).

Council Decision 2011/28/EU of 12 July 2010 on the conclusion of a Protocol to the Partnership and Cooperation Agreement establishing a partnership between the European Communities and their Member States, of the one part, and the Republic of Moldova, of the other part, on a Framework Agreement between the European Union and the Republic of Moldova on the general principles for the participation of the Republic of Moldova in Union programmes (OJ L 14, 19.1.2011, p. 5).

Council Decision 2012/777/EU of 10 December 2012 on the signing, on behalf of the European Union, of a Protocol to the Partnership and Cooperation Agreement between the European Communities and their Member States, of the one part, and the Republic of Armenia, of the other part, on a Framework Agreement between the European Union and the Republic of Armenia on the general principles for the participation of the Republic of Armenia in Union programmes (OJ L 340, 13.12.2012, p. 26).

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**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Commission Decision C(2014) 2089 of 2 April 2014 on the approval and signature of the Agreement between the European Union and the State of Israel on the participation of Israel in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision 2014/494/EU of 16 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Georgia, of the other part (OJ L 261, 30.8.2014, p. 1).

Commission Decision C(2014) 4290 of 30 June 2014 on the approval and signature of the Agreement between the European Union and the Republic of Moldova on the participation of Moldova in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision 2014/691/EU of 29 September 2014 amending Decision 2014/668/EU on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 289, 3.10.2014, p. 1).

Council Decision 2014/953/EU of 4 December 2014, on the signing, on behalf of the European Union, and provisional application of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 370, 30.12.2014, p. 1).

Council Decision 2014/954/Euratom of 4 December 2014 approving the conclusion by the European Commission, on behalf of the European Atomic Energy Community, of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 370, 30.12.2014, p. 19).

Commission Decision C(2014) 9320 of 5 December 2014 on the conclusion, on behalf of the European Atomic Energy Community, of an Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020 and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy.

Council Decision (EU) 2015/209 of 10 November 2014 on the signing, on behalf of the European Union and provisional application of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 35, 11.2.2015, p. 1).

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Council Decision (EU) 2015/575 of 17 December 2014 on the signing, on behalf of the European Union, and provisional application of the Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the Republic of Tunisia, of the other part, on a Framework Agreement between the European Union and the Republic of Tunisia on the general principles for the participation of the Republic of Tunisia in Union programmes (OJ L 96, 11.4.2015, p. 1).

Commission Decision C(2015) 1355 of 3 March 2015 on the approval and signature of the Agreement between the European Union and Ukraine on the participation of Ukraine in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision (EU) 2015/1795 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 263, 8.10.2015, p. 6).

Council Decision (EU) 2015/1796 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 263, 8.10.2015, p. 8).

Commission Decision C(2015) 8195 of 25 November 2015 on the approval and signature of the Agreement between the European Union and the Republic of Tunisia on the participation of the Republic of Tunisia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' (Agreement not yet signed).

Commission Decision C(2016)1360 of 9 March 2016 on the approval, on behalf of the European Union, and signature of an agreement on the participation of Georgia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Commission Decision C(2016) 2119 of 14 April 2016 on the approval, on behalf of the European Union, and signature of an agreement on the participation of the Republic of Armenia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' (Agreement signed on 19 May 2016 entering into force after legislative approval by Armenian authorities).

Commission Decision C(2016) 3119 of 27 May 2016 on the conclusion of an Agreement for scientific and technological cooperation between the European Atomic Energy Community and Ukraine associating Ukraine to the Euratom Research and Training Programme (2014-2018).

6 0 1 5 Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

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**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 5 (*cont'd*)*Remarks*

Revenue resulting from cooperation agreements between the Union and institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 09 04 50, 15 03 50 and 32 04 50 (indirect action) of the statement of expenditure in this section.

6 0 1 6 Agreements for European cooperation in the field of scientific and technical research — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue from States taking part in European cooperation in the field of scientific and technical research.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations in this section.

**6 0 2** **Other programmes**

6 0 2 1 Miscellaneous revenue relating to humanitarian aid and emergency support — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 57 781 507,49       |

*Remarks*

Any contributions by outside bodies relating to humanitarian aid and emergency support.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations in this section.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 2** (*cont'd*)6 0 2 1 (*cont'd*)

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 122, 24.4.2014, p. 1).

Council Regulation (EU) 2016/369 of 15 March 2016 on the provision of emergency support within the Union (OJ L 70, 16.3.2016, p. 1).

**6 0 3** ***Association agreements between the Union and third countries***

6 0 3 1 Revenue accruing from the participation of the candidate countries and the Western Balkan potential candidates in Union programmes — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 238 065 676,34      |

*Remarks*

Revenue accruing from the Association Agreements concluded between the Union and the countries listed below as a result of their participation in various Union programmes.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Framework Agreement between the European Community and the Republic of Turkey on the general principles for the participation of the Republic of Turkey in Community programmes (OJ L 61, 2.3.2002, p. 29).

Commission Decision C(2014) 3502 of 2 June 2014 on approval and signature of an Agreement between the European Union and the Republic of Turkey on the participation of the Republic of Turkey in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.  
(2014/2014/Decisions)

Framework Agreement between the European Community and the Republic of Albania on the general principles for the participation of the Republic of Albania in Community programmes (OJ L 192, 22.7.2005, p. 2).

Commission Decision C(2014) 3711 of 10 June 2014 on approval and signature of an Agreement between the European Union and the Republic of Albania on the participation of Albania in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.  
(2014/2014/Decisions)

Framework Agreement between the European Community and Bosnia and Herzegovina on the general principles for the participation of Bosnia and Herzegovina in Community programmes (OJ L 192, 22.7.2005, p. 9).

Commission Decision C(2014) 3693 of 10 June 2014 on approval and signature of an Agreement between the European Union and Bosnia and Herzegovina on the participation of Bosnia and Herzegovina in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.  
(2014/2014/Decisions)

Framework Agreement between the European Community and Serbia and Montenegro on the general principles for the participation of Serbia and Montenegro in Community programmes (OJ L 192, 22.7.2005, p. 29).

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CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES (*cont'd*)6 0 3 (*cont'd*)6 0 3 1 (*cont'd*)

Commission Decision C(2014) 3710 of 10 June 2014 on approval and signature of an Agreement between the European Union and Republic of Serbia on the participation of Serbia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part, on a Framework Agreement between the European Community and the former Yugoslav Republic of Macedonia on the general principles for the participation of the Former Yugoslav Republic of Macedonia in Community programmes (OJ L 192, 22.7.2005, p. 23).

Commission Decision C(2014) 3707 of 10 June 2014 on approval and signature of an Agreement between the European Union and the former Yugoslav Republic of Macedonia on the participation of the former Yugoslav Republic of Macedonia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol 8 to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part, on the general principles for the participation of Montenegro in Community programmes (OJ L 108, 29.4.2010, p. 1).

Commission Decision C(2014) 3705 of 10 June 2014 on approval and signature of an Agreement between the European Union and Montenegro on the participation of Montenegro in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision (EU) 2017/1388 of 17 July 2017 on the conclusion of the Framework Agreement between the European Union and Kosovo on the general principles for the participation of Kosovo in Union programmes (OJ L 195, 27.7.2017, p. 1).

Additional protocols to the Europe Agreements (Articles 228 and 238) opening up Union programmes to the candidate countries.

6 0 3 2 Revenue accruing from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 246 489,60          |

*Remarks*

This item is intended to record third country contributions based on international cooperation agreements, in particular under the Transit project and the project for the dissemination of tariff and other particulars (by computer).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 14 02 01, 14 02 51, 14 03 01 and 14 03 51 of the statement of expenditure in this section.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 3** (*cont'd*)6 0 3 2 (*cont'd*)*Legal basis*

Convention of 20 May 1987 between the European Economic Community, the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation, on a common transit procedure (OJ L 226, 13.8.1987, p. 2).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Council Decision of 19 March 2001 authorising the Commission to negotiate, on behalf of the European Community, an amendment to the Convention creating the Customs Cooperation Council signed in Brussels on 15 December 1950 allowing the European Community to become a member of that organisation.

Regulation (EU) No 1286/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme to improve the operation of taxation systems in the European Union for the period 2014-2020 (Fiscalis 2020) and repealing Decision No 1482/2007/EC (OJ L 347, 20.12.2013, p. 25), and in particular Article 5 thereof.

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209) and in particular Article 5 thereof.

6 0 3 3 Participation of third countries or outside bodies in Union activities — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 38 269 817,52       |

*Remarks*

This item is intended to receive contributions by third countries or outside bodies to Union activities.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

COMMISSION

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

6 1 1 *Repayment of expenditure incurred on behalf of one or more Member States*

6 1 1 3 Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 35 732 929,25       |

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community (ECSC) which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4 of that Decision, net revenue from the investment of available assets will constitute revenue in the general budget of the European Union earmarked for a specific purpose, namely financing research projects in sectors related to the coal and steel industry by the Research Fund for Coal and Steel.

The net revenue available for financing research projects in year  $n + 2$  appears in the balance sheet of the ECSC in liquidation for year  $n$ , and after the liquidation process has been completed will appear as assets in the balance sheet of the Coal and Steel Research Fund. This financing mechanism took effect in 2003. Revenue coming from 2016 will be used for research in 2018. In order to reduce as far as possible the fluctuations that movements in the financial markets could cause in the financing of research, a smoothing arrangement is applied. The amount of net revenue expected to be available for research in 2018 comes to EUR 27 400 000.

In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the fund's appropriations will be for the steel-related sector and 27,2 % for the coal-related sector.

In accordance with Article 21 and Article 181(2) of the Financial Regulation, revenue will be used to provide additional appropriations under Chapter 08 05 of the statement of expenditure in this section.

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

6 1 1 4 Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community (ECSC) which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4(5) of that Decision, the amounts recovered return initially to the assets of the ECSC in liquidation and subsequently, on completion of the liquidation, to the assets of the Research Fund for Coal and Steel.



**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (cont'd)**6 1 1** (cont'd)

6 1 1 4 (cont'd)

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

**6 1 2** ***Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 5 845,93            |

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 1 4** ***Repayment of Union support to commercially successful projects and activities***

6 1 4 3 Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Repayment of all or part of the support for commercially successful projects, with possible participation in profits resulting from grants awarded as part of European risk capital activities in support of small and medium-sized enterprises under the Venture Consort and Eurotech Capital instruments.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

6 1 4 4 Repayment of Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## COMMISSION

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 4 (*cont'd*)6 1 4 4 (*cont'd*)*Remarks*

Repayment of reflows and amounts left over from Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which the revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Article 14 and Article 36a thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**6 1 5      *Repayment of unused Union aid***

6 1 5 0      Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 46 067 434,95       |

*Remarks*

Repayment of unused aid from the European Social Fund, the European Regional Development Fund, the European Agricultural Guidance and Guarantee Fund, the Financial Instrument for Fisheries Guidance, the Cohesion Fund, the European Union Solidarity Fund, the Instrument for Structural Policies for Pre-Accession (ISPA), the Instrument for Pre-Accession Assistance (IPA), the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

In accordance with Article 21 of the Financial Regulation, this revenue will be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (cont'd)**6 1 5** (cont'd)

## 6 1 5 1 Repayment of unused subsidies for balancing budgets — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 6 1 5 2 Repayment of unused interest subsidies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 6 1 5 3 Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 6 1 5 7 Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 420 116 068,08      |

*Remarks*

This item is intended to record the repayment of payments on account under the Structural Funds (European Regional Development Fund and European Social Fund), the Cohesion Fund, the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

COMMISSION

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 5** (*cont'd*)6 1 5 7 (*cont'd*)

The amounts booked to this item will, in accordance with Articles 21 and 177 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section, in order not to reduce the contribution from the Funds to the operation concerned.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1), and in particular Article D of Annex II thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 82(2) and Chapter II thereof.

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

6 1 5 8 Repayment of miscellaneous unused Union aid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 607 450,06          |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 6** *Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Repayment by the International Atomic Energy Agency (IAEA) of sums which were advanced by the Commission to pay for controls carried out by the IAEA under the verification agreements (see Articles 32 03 01 and 32 03 02 of the statement of expenditure in this section).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III(1) and (4) of the Treaty on the non-proliferation of nuclear weapons (OJ L 51, 22.2.1978, p. 1), and in particular Article 15 thereof.

Tripartite agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

**6 1 7** *Repayment of amounts paid in connection with Union aid to third countries***6 1 7 0** *Repayments within the framework of cooperation with South Africa — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Repayments by tenderers or recipients of overpayments made in connection with development cooperation with South Africa.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 21 02 05 01 and 21 02 05 02 of the statement of expenditure in this section.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

COMMISSION

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 8**      ***Repayment of amounts paid in connection with food aid***

6 1 8 0      Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Provisions contained in the invitations to tender or in the financial conditions attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

6 1 8 1      Repayment of additional costs caused by the recipients of food aid — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 3 356,64            |

*Remarks*

Provisions contained in the terms of delivery attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**6 1 9**      ***Repayment of other expenditure incurred on behalf of outside bodies***

6 1 9 1      Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (cont'd)**6 1 9** (cont'd)

6 1 9 1 (cont'd)

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 21 06 01, 21 06 02, 21 06 51 and 22 02 51 of the statement of expenditure in this section.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT****6 2 0** ***Supply against payment of source materials or special fissile materials (point (b) of Article 6 of the Euratom Treaty) — Assigned revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue from the supply to Member States against payment of source materials or special fissile materials for their research programmes.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Treaty establishing the European Atomic Energy Community, and in particular point (b) of Article 6 thereof.

**6 2 2** ***Revenue from services provided by the Joint Research Centre to outside bodies against payment***

6 2 2 1 Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 21 815,79           |

## COMMISSION

## CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT (cont'd)

6 2 2 (cont'd)

6 2 2 1 (cont'd)

*Remarks*

Revenue resulting from the operation of the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre.

Payments by outside bodies to cover all types of expenditure involved in the operation of the HFR by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05 and 10 04 04 of the statement of expenditure in this section.

*Completion of earlier programmes*

The revenue is provided by France and the Netherlands.

6 2 2 3 Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 9 798 787,42        |

*Remarks*

Revenue from persons, firms and outside bodies (third parties) for which the Joint Research Centre will carry out work and/or provide services against payment.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 02 of the statement of expenditure in this section, amounting to the expenditure under each contract with an outside body.

6 2 2 4 Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 249 647,78          |

*Remarks*

Council Decision 2013/743/EU requires the Joint Research Centre to support knowledge and technology transfer and to generate additional resources through, inter alia, the exploitation of intellectual property.



**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)**6 2 2** (*cont'd*)**6 2 2 4** (*cont'd*)

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 04 02, 10 04 03 and Chapters 10 02 and 10 03 in the statement of expenditure in this section.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 182 and 183 thereof.

Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

**6 2 2 5** Other revenue for the Joint Research Centre — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Revenue from contributions, gifts or bequests from third parties for the various activities carried out by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Article 10 01 05 and Chapters 10 02, 10 03 and 10 04 of the statement of expenditure in this section.

**6 2 2 6** Revenue from services provided by the Joint Research Centre to other Union institutions or other Commission departments on a competitive basis, to be used to provide additional appropriations — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 77 886 596,21       |

## COMMISSION

CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT (*cont'd*)6 2 2 (*cont'd*)6 2 2 6 (*cont'd*)*Remarks*

Revenue from other Union institutions or other Commission departments for which the Joint Research Centre will carry out work and/or provide services against payment and revenue from participation in the activities of the framework programmes for research and technological development.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 03 of the statement of expenditure in this section, amounting to the specific expenditure under each contract with other Union institutions or other Commission departments.

**6 2 4** *Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

**6 3 0** *Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 393 490 071,—       |

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 0** (*cont'd*)*Remarks*

This article is intended to record contributions from the European Free Trade Association Member States resulting from their financial participation in certain activities of the Union in accordance with Article 82 of and with Protocol 32 to the Agreement on the European Economic Area.

The total contribution planned is shown in the summary presented for information in an annex to the statement of expenditure in this section.

The contributions by the European Free Trade Association Member States will be made available to the Commission in accordance with Articles 1, 2 and 3 of Protocol 32 to the Agreement on the European Economic Area.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3).

**6 3 1** ***Contributions within the framework of the Schengen acquis*****6 3 1 2** Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 396 714,84          |

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 18 02 07, 18 02 08, 18 02 09 and 18 03 03 of the statement of expenditure in this section.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* ( OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

## COMMISSION

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 2 (*cont'd*)

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Council Decision 2004/512/CE of 8 June 2004 establishing the Vis Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008, concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 2 (*cont'd*)

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Council Decision 2011/351/EU of 7 March 2011 on the conclusion of a Protocol between the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 160, 18.6.2011, p. 37).

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

Regulation (EU) No 603/2013 of the European Parliament and of the Council of 26 June 2013 on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes, and amending Regulation (EU) No 1077/2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 180, 29.6.2013, p. 1).

Regulation (EU) No 604/2013 of the European Parliament and of the Council of 26 June 2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (recast) (OJ L 180, 29.6.2013, p. 31).

6 3 1 3 Other contributions within the framework of the Schengen *acquis* (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 19 789 217,14       |

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Article 18 03 02 of the statement of expenditure in this section.

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CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)

6 3 1 (*cont'd*)

6 3 1 3 (*cont'd*)

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* ( OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)

Council Decision 2012/192/EU of 12 July 2010 on the signing, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 1).

Council Decision 2012/193/EU of 13 March 2012 on the conclusion, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 3).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Council Decision 2014/185/EU of 11 February 2014 on the signing, on behalf of the Union, of the Arrangement between the European Union and the Swiss Confederation on the modalities of its participation in the European Asylum Support Office (OJ L 102, 5.4.2014, p. 1).

Council Decision 2014/194/EU of 11 February 2014, on the signing, on behalf of the Union, of the Arrangement with the Republic of Iceland on the modalities of its participation in the European Asylum Support Office (OJ L 106, 9.4.2014, p. 2).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

Council Decision 2014/301/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Kingdom of Norway on the modalities of its participation in the European Asylum Support Office (OJ L 157, 27.5.2014, p. 33).

Council Decision 2014/344/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Principality of Liechtenstein on the modalities of its participation in the European Asylum Support Office (OJ L 170, 11.6.2014, p. 49).

Council Decision (EU) 2016/350 of 25 February 2016 on the conclusion of the Arrangement between the European Union and the Swiss Confederation on the modalities of its participation in the European Asylum Support Office (OJ L 65, 11.3.2016, p. 61).

Council Decision (EU) 2017/657 of 3 April 2017 on the conclusion on behalf of the Union of the Agreement between the European Union and the Principality of Liechtenstein on supplementary rules in relation to the instrument for financial support for external borders and visa, as part of the Internal Security Fund for the period 2014 to 2020 (OJ L 94, 7.4.2017, p. 1).

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**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)

Council Decision (EU) 2017/1249 of 16 June 2017 on the conclusion on behalf of the Union of the Agreement between the European Union and the Kingdom of Norway on supplementary rules in relation to the instrument for financial support for external borders and visa, as part of the Internal Security Fund for the period 2014 to 2020 (OJ L 179, 12.7.2017, p. 1).

Regulation (EU) 2017/2226 of the European Parliament and of the Council of 30 November 2017 establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third-country nationals crossing the external borders of the Member States and determining the conditions for access to the EES for law enforcement purposes, and amending the Convention implementing the Schengen Agreement and Regulations (EC) No 767/2008 and (EU) No 1077/2011 (OJ L 327, 9.12.2017, p. 20).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 November 2016, establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 515/2014, (EU) 2016/399, (EU) 2016/794 and (EU) 2016/1624 (COM(2016) 731 final).

**6 3 2 Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 95 169 370,—        |

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue from the European Development Fund (EDF) contributing to the cost of support measures will be used to provide additional appropriations under Item 21 01 04 07 of the statement of expenditure in this section.

*Legal basis*

Council Decision 2013/755/EU of 25 November 2013 on the association of the overseas countries and territories with the European Union ('Overseas Association Decision') (OJ L 344, 19.12.2013, p. 1).

Council Decision 2013/759/EU of 12 December 2013 regarding transitional EDF management measures from 1 January 2014 until the entry into force of the 11th European Development Fund (OJ L 335, 14.12.2013, p. 48).

*Reference acts*

Internal Agreement between the Representatives of the Governments of the Member States, meeting within the Council, on the financing of Community aid under the multiannual financial framework for the period 2008 to 2013 in accordance with the ACP-EC Partnership Agreement and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the EC Treaty applies (OJ L 247, 9.9.2006, p. 32).



**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 2** (*cont'd*)

Communication from the Commission to the European Parliament and the Council of 7 December 2011: Preparation of the multiannual financial framework regarding the financing of EU cooperation for African, Caribbean and Pacific States and Overseas Countries and Territories for the 2014-2020 period (11th European Development Fund) (COM(2011) 837 final).

**6 3 3 Contributions to certain external aid programmes — Assigned revenue****6 3 3 0** Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 679 449 344,05      |

*Remarks*

This item is intended to record the financial contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 3 3 1** Contributions from third countries, including their public agencies, entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 9 475 721,93        |

*Remarks*

This item is intended to record the financial contributions from third countries, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 3 3 2** Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record the financial contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

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**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 3** (*cont'd*)6 3 3 2 (*cont'd*)

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 3 4 Contributions from EU trust funds — Assigned revenue**

6 3 4 0 Contributions from EU trust funds to the management costs of the Commission — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 15 011 561,40       |

*Remarks*

This item is intended to record the management fees which the Commission is authorised to withdraw up to a maximum of 5 % of the amounts pooled in the EU trust fund to cover its management costs from the years in which the contributions to each trust fund have started to be used.

In accordance with point (b) of Article 21(2) of the Financial Regulation, such management fees shall be assimilated to assigned revenue for the duration of the EU trust fund.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 187(7) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 259 thereof.

**6 3 5 Contribution to the European Fund for Sustainable Development (EFSD) — Assigned revenue**

6 3 5 0 Contribution from the European Development Fund to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from the European Development Fund to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 5** (*cont'd*)6 3 5 0 (*cont'd*)*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

6 3 5 1 Contributions from Member States, including their agencies, entities or natural persons to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from Member States, including their agencies, entities or natural persons to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

6 3 5 2 Contributions from third countries, including their agencies, entities or natural persons to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from third countries, including their agencies, entities or natural persons to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

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**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 5** (*cont'd*)6 3 5 2 (*cont'd*)*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

6 3 5 3 Contributions from international organisations to the EFSD — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This item is intended to record the financial contributions from international organisations to the EFSD.

In accordance with Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS****6 4 1** ***Contributions from financial instruments — Assigned revenue***

6 4 1 0 Contributions from financial instruments (revenues) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                |                     |                     |

*Remarks**New item*

Revenues, including dividends, capital gains, guarantee fees and interest on loans and on amounts on fiduciary accounts paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument.

**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS** (*cont'd*)**6 4 1** (*cont'd*)6 4 1 0 (*cont'd*)*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

6 4 1 1 Contributions from financial instruments (repayments) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks**New item (former Item 6 3 4 1)*

Annual repayments, including capital repayments, guarantees released and repayments of the principal of loans, paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument, shall constitute internal assigned revenue in accordance with Article 21 of the Financial Regulation and shall be used for the same financial instrument, without prejudice to Article 140(9) of that Regulation, for a period not exceeding the period for the commitment of appropriations plus two years, unless specified otherwise in a basic act.

This item is also used to book repayments resulting from a reduction of the Union contribution to the instrument.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

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CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS (*cont'd*)6 4 1 (*cont'd*)6 4 1 1 (*cont'd*)

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

6 4 2 **Contributions from financial instruments — Non-assigned revenue**

6 4 2 0 Contributions from financial instruments — Revenues

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 25 000 000          |                     |                     |

*Remarks**New item*

Revenues, including dividends, capital gains, guarantee fees and interest on loans and on amounts on fiduciary accounts paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument, shall be entered in the budget after deduction of management costs and fees.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**CHAPTER 6 4 — CONTRIBUTIONS FROM FINANCIAL INSTRUMENTS** (*cont'd*)**6 4 2** (*cont'd*)6 4 2 0 (*cont'd*)*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

6 4 2 1 Contributions from financial instruments — Repayments

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 25 000 000          |                     |                     |

*Remarks**New item*

Annual repayments, including capital repayments, guarantees released, and repayments of the principal of loans, paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the general budget of the Union under a financial instrument, if so specified in a basic act, shall not be considered as internal assigned revenue.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, on the financial rules applicable to the general budget of the Union and amending Regulation (EC) No 2012/2002, Regulations (EU) No 1296/2013, (EU) 1301/2013, (EU) No 1303/2013, EU No 1304/2013, (EU) No 1305/2013, (EU) No 1306/2013, (EU) No 1307/2013, (EU) No 1308/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, (EU) No 652/2014 of the European Parliament and of the Council and Decision No 541/2014/EU of the European Parliament and of the Council (COM(2016) 605 final).

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## CHAPTER 6 5 — FINANCIAL CORRECTIONS

6 5 1 *Financial corrections related to the programming periods before 2000*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 12 003 509,60       |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) — Guidance section, the Financial Instrument for Fisheries Guidance (FIGF) and the Cohesion Fund (CF), related to the programming periods before 2000.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EEC) No 4254/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Council Regulation (EEC) No 4256/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the EAGGF Guidance Section (OJ L 374, 31.12.1988, p. 25).

Council Regulation (EEC) No 2080/93 of 20 July 1993 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the financial instrument of fisheries guidance (OJ L 193, 31.7.1993, p. 1).



**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 1** (*cont'd*)

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

**6 5 2** **Financial corrections related to the programming period 2000-2006 — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 35 407 317,64       |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) — Guidance Section, the Financial Instrument for Fisheries Guidance (FIGF), the Cohesion Fund (CF) and the Special Accession Programme for Agriculture and Rural Development (Sapard), related to the 2000-2006 programming period and the Transitional Rural Development Instrument (TRDI) funded by the EAGGF Guarantee Section.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

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CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 2 (*cont'd*)

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 June 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13/08/1999, p. 5).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Commission Regulation (EC) No 1386/2002 of 29 July 2002 laying down detailed rules for the implementation of Council Regulation (EC) No 1164/94 as regards the management and control systems for assistance granted from the Cohesion Fund and the procedure for making financial corrections (OJ L 201, 31.7.2002, p. 5).

Commission Regulation (EC) No 27/2004 of 5 January 2004 laying down transitional detailed rules for the application of Council Regulation No 1257/1999 as regards the financing by the EAGGF Guarantee Section of rural development measures in the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 5, 9.1.2004, p. 36).

Commission Regulation (EC) No 141/2004 of 28 January 2004 laying down rules for applying Council Regulation (EC) No 1257/1999 as regards the transitional rural development measures applicable to the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 24, 29.1.2004, p. 25).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)**6 5 3** *Financial corrections related to the programming period 2007-2013 — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF) the European Fisheries Fund (EFF) and the Instrument for Pre-accession Assistance (IPA I), related to the 2007-2013 programming period.

The amounts booked to this article may, in accordance with Article 21 of the Financial Regulation be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

**6 5 4** *Financial corrections related to the programming period 2014-2020 — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF), the European Maritime and Fisheries Fund (EMFF), the Fund for European Aid to the Most Deprived (FEAD) and the Instrument for Pre-accession Assistance (IPA II), related to the 2014-2020 programming period.

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CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 4 (*cont'd*)

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

6 6 0 *Other contributions and refunds*

## 6 6 0 0 Other assigned contributions and refunds — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 567 796 492,88      |

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

## 6 6 0 1 Other non-assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 60 000 000          | 60 000 000          | 3 492 703,59        |

*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used, in accordance with Article 21 of the Financial Regulation.

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT**

**6 7 0 Revenue concerning the European Agricultural Guarantee Fund**

**6 7 0 1 Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 593 690 104,14    |

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF), under Heading 2 of the 2007-2013 and the 2014-2020 multiannual financial framework in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. It includes corrections related to non-compliance with payment deadlines in accordance with Article 40 of that Regulation.

This item is also intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the general budget of the Union concerning expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 1 343 900 000, including EUR 610 000 000 estimated to be carried over from 2017 to 2018 in accordance with Article 14 of the Financial Regulation. When establishing the budget for 2018, an amount of EUR 400 000 000 was taken into account for financing the needs of measures under Article 05 02 08 (Item 05 02 08 03) and the remaining amount of EUR 943 900 000 was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 0 2 European Agricultural Guarantee Fund irregularities — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 118 371 446,59      |

## COMMISSION

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)**6 7 0** (*cont'd*)6 7 0 2 (*cont'd*)*Remarks*

This item is intended to accommodate amounts recovered following irregularities or negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received, as well as securities, deposits or guarantees forfeited concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF), under Heading 2 of the 2007-2013 and the 2014-2020 multiannual financial framework in accordance with Articles 54 and 55 of Regulation (EU) No 1306/2013.

This item is also intended to accommodate amounts recovered following irregularities or oversight, including interest, penalties and securities acquired, resulting from expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

This item is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as provided for in Article 55 of Regulation (EU) No 1306/2013.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Articles 43 and 55 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 132 000 000. When establishing the budget for 2018, this amount was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 0 3 Superlevy from milk producers — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 815 080 221,22      |

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

6 7 0 3 (*cont'd*)

*Remarks*

This item is intended to accommodate amounts related to the surplus levy applying to the milk quota system which are collected or recovered in accordance with Section III of Chapter III of Title I of Part II of Regulation (EC) No 1234/2007, in particular Article 78 thereof.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under European Agricultural Guarantee Fund of the statement of expenditure in this section.

The milk superlevy was collected and declared by Member States for the last time under the general budget of the Union for 2016 following the end of the milk quota system in calendar year 2015. Any incoming revenue under this item would only concern possible regularisations of certain files, for which estimates are not possible in advance, and would be used for financing needs for measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 1** ***Revenue concerning the European Agricultural Fund for Rural Development***

6 7 1 1 Clearance of accounts European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 329 084 729,99      |

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget within the context of rural development financed by the EAFRD in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. Amounts in relation to the repayment of payments on account under the EAFRD are also recorded on this item.

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**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)**6 7 1** (*cont'd*)6 7 1 1 (*cont'd*)

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2018, no specific amount was foreseen for Articles 05 04 05 and 05 04 60.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 1 2 European Agricultural Fund for Rural Development (EAFRD) irregularities — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 8 752 186,37        |

*Remarks*

This item is intended to accommodate amounts recovered resulting from irregularities and negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited in the context of rural development financed by the EAFRD in accordance with Articles 54 and 56 of Regulation (EU) No 1306/2013.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2018, no specific amount was foreseen for Articles 05 04 05 and 05 04 60.



**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)**6 7 1** (*cont'd*)6 7 1 2 (*cont'd*)*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

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## TITLE 7

## DEFAULT INTEREST AND FINES

## CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES

## CHAPTER 7 1 — FINES AND PENALTIES

| Article Item | Heading                                                                                                                                                                                                 | Financial year 2018 | Financial year 2017  | Financial year 2016     | % 2016/2018     |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|----------------------|-------------------------|-----------------|
|              | CHAPTER 7 0                                                                                                                                                                                             |                     |                      |                         |                 |
| <b>7 0 0</b> | <b>Default interest</b>                                                                                                                                                                                 |                     |                      |                         |                 |
| 7 0 0 0      | Default interest in respect of own resources made available by the Member States                                                                                                                        | 5 000 000           | 5 000 000            | 24 228 921,70           | 484,58          |
| 7 0 0 1      | Other default interest                                                                                                                                                                                  | p.m.                | p.m.                 | 1 142 720,28            |                 |
|              | <i>Article 7 0 0 — Total</i>                                                                                                                                                                            | 5 000 000           | 5 000 000            | 25 371 641,98           | 507,43          |
| <b>7 0 1</b> | <b>Interest connected with fines and penalty payments</b>                                                                                                                                               | 10 000 000          | 15 000 000           | 52 134 205,13           | 521,34          |
| <b>7 0 2</b> | <b>Interest on deposits in the framework of the Union's economic governance — Assigned revenue</b>                                                                                                      | p.m.                | p.m.                 | 0,—                     |                 |
| <b>7 0 9</b> | <b>Other interest</b>                                                                                                                                                                                   | p.m.                | p.m.                 |                         |                 |
|              | CHAPTER 7 0 — TOTAL                                                                                                                                                                                     | 15 000 000          | 20 000 000           | 77 505 847,11           | 516,71          |
|              | CHAPTER 7 1                                                                                                                                                                                             |                     |                      |                         |                 |
| <b>7 1 0</b> | <b>Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition</b>                                                                           | 100 000 000         | 3 210 000 000        | 2 961 185 957,47        | 2 961,19        |
| <b>7 1 1</b> | <b>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</b> | p.m.                | p.m.                 | 136 784 800,—           |                 |
| <b>7 1 2</b> | <b>Fines imposed for fraud and irregularities which are damaging to the Union's financial interests</b>                                                                                                 | p.m.                | p.m.                 |                         |                 |
| <b>7 1 3</b> | <b>Fines in the framework of the Union's economic governance — Assigned revenue</b>                                                                                                                     | p.m.                | p.m.                 | 0,—                     |                 |
| <b>7 1 9</b> | <b>Other fines and penalty payments</b>                                                                                                                                                                 |                     |                      |                         |                 |
| 7 1 9 0      | Other fines and penalty payments — Assigned revenue                                                                                                                                                     | p.m.                | p.m.                 |                         |                 |
| 7 1 9 1      | Other non-assigned fines and penalty payments                                                                                                                                                           | p.m.                | p.m.                 | 2 075,02                |                 |
|              | <i>Article 7 1 9 — Total</i>                                                                                                                                                                            | p.m.                | p.m.                 | 2 075,02                |                 |
|              | CHAPTER 7 1 — TOTAL                                                                                                                                                                                     | 100 000 000         | 3 210 000 000        | 3 097 972 832,49        | 3 097,97        |
|              | <b>Title 7 — Total</b>                                                                                                                                                                                  | <b>115 000 000</b>  | <b>3 230 000 000</b> | <b>3 175 478 679,60</b> | <b>2 761,29</b> |

## TITLE 7

## DEFAULT INTEREST AND FINES

## CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES

7 0 0 *Default interest*

7 0 0 0 Default interest in respect of own resources made available by the Member States

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 000 000           | 5 000 000           | 24 228 921,70       |

*Remarks*

Any delay by a Member State in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EU, Euratom) No 609/2014 gives rise to the payment of interest by the Member State concerned. However the recovery of amounts of interest below EUR 500 shall be waived.

For the VAT and GNI-based own resources, interest shall be payable only in relation to delays in entering amounts referred in Article 12(2) of Regulation (EU, Euratom) No 609/2014.

In the case of Member States belonging to the Economic and Monetary Union, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its main refinancing operations on the first day of the month in which the due date fell, or 0 per cent, whichever is higher, increased by 2,5 percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay.

In the case of Member States not belonging to the Economic and Monetary Union, the interest rate shall be equal to the rate applied on the first day of the month in question by the central banks for their main refinancing operations, or 0 per cent, whichever is higher, increased by 2,5 percentage points. For the Member States for which the central bank rate is not available, the interest rate shall be equal to the most equivalent rate applied on the first day of the month in question on the Member State's money market, or 0 per cent, whichever is higher, increased by 2,5 percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay.

The total increase shall not exceed 16 percentage points. The increased rate shall be applied to the entire period of delay.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 12 thereof.

7 0 0 1 Other default interest

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 142 720,28        |

## COMMISSION

**CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES** (*cont'd*)7 0 0 (*cont'd*)7 0 0 1 (*cont'd*)*Remarks*

This item is intended to record default interest on entitlements other than own resources.

*Legal basis*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3), and in particular Article 2(5) of Protocol 32 thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 102 thereof.

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**7 0 1** *Interest connected with fines and penalty payments*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 10 000 000          | 15 000 000          | 52 134 205,13       |

*Remarks*

This article is intended to record accrued interest on special accounts for fines and default interest connected with fines and penalty payments, including penalty payments related to Member States.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

**CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES** (*cont'd*)**7 0 1** (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**7 0 2** ***Interest on deposits in the framework of the Union's economic governance — Assigned revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to receive interest on deposits in the framework of the Union's economic governance.

In accordance with point (c) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

**7 0 9** ***Other interest***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This article is intended to accommodate all other possible interest related to late payment and not listed under chapter 70 and which is due only in exceptional circumstances not justifying the creation of a specific budget line.

**CHAPTER 7 1 — FINES AND PENALTIES****7 1 0** ***Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 100 000 000         | 3 210 000 000       | 2 961 185 957,47    |

COMMISSION

CHAPTER 7 1 — FINES AND PENALTIES (*cont'd*)7 1 0 (*cont'd*)*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations enumerated below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union. The undertaking must provide the Commission with either a provisional payment or a financial guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

7 1 1 ***Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 36 784 800,—      |

*Remarks*

This article is intended to record penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

## CHAPTER 7 1 — FINES AND PENALTIES (cont'd)

7 1 2 **Fines imposed for fraud and irregularities which are damaging to the Union's financial interests**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

*Remarks*

This article is intended to accommodate any penalties resulting from measures taken by the Commission to address irregularities detected in the framework of the protection of the financial interests of the Union.

*Legal basis*

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209).

7 1 3 **Fines in the framework of the Union's economic governance — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to accommodate fines in the framework of the Union's economic governance.

In accordance with point (c) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

Regulation (EU) No 1173/2011 of the European Parliament and of the Council of 16 November 2011 on the effective enforcement of budgetary surveillance in the euro area (OJ L 306, 23.11.2011, p. 1).

Regulation (EU) No 1174/2011 of the European Parliament and of the Council of 16 November 2011 on enforcement measures to correct excessive macroeconomic imbalances in the euro area (OJ L 306, 23.11.2011, p. 8).

7 1 9 **Other fines and penalty payments**

## 7 1 9 0 Other fines and penalty payments — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

COMMISSION

CHAPTER 7 1 — FINES AND PENALTIES (*cont'd*)7 1 9 (*cont'd*)7 1 9 0 (*cont'd*)*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 7 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

7 1 9 1 Other non-assigned fines and penalty payments

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 075,02            |

*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Article 7 1 0 which is not used in accordance with Article 21 of the Financial Regulation.



## TITLE 8

## BORROWING AND LENDING OPERATIONS

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES**

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION**

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES**

| Article Item | Heading                                                                                                                                                                                                                           | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 8 0                                                                                                                                                                                                                       |                     |                     |                     |             |
| 8 0 0        | <i>European Union guarantee for Union borrowings for balance of payments support</i>                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
| 8 0 1        | <i>European Union guarantee for Euratom borrowings</i>                                                                                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
| 8 0 2        | <i>European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism</i>                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 0 — TOTAL                                                                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 1                                                                                                                                                                                                                       |                     |                     |                     |             |
| 8 1 0        | <i>Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries</i>                                                          | p.m.                | p.m.                | 35 170 433,24       |             |
| 8 1 3        | <i>Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Union Investment Partners operation</i> | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 1 — TOTAL                                                                                                                                                                                                               | p.m.                | p.m.                | 35 170 433,24       |             |
|              | CHAPTER 8 2                                                                                                                                                                                                                       |                     |                     |                     |             |
| 8 2 7        | <i>European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries</i>                                                                                     | p.m.                | p.m.                | 0,—                 |             |
| 8 2 8        | <i>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States</i>                        | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 8 2 — TOTAL                                                                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |

COMMISSION

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES****CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES**

| Article Item | Heading                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016  | % 2016/2018   |
|--------------|------------------------------------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|              | CHAPTER 8 3                                                                              |                     |                     |                      |               |
| 8 3 5        | <i>European Union guarantee for European Investment Bank loans to third countries</i>    | p.m.                | p.m.                | 0,—                  |               |
| 8 3 6        | <i>European Union guarantee for the European Fund for Sustainable Development (EFSD)</i> | p.m.                | p.m.                |                      |               |
|              | CHAPTER 8 3 — TOTAL                                                                      | p.m.                | p.m.                | 0,—                  |               |
|              | CHAPTER 8 5                                                                              |                     |                     |                      |               |
| 8 5 0        | <i>Dividends paid by the European Investment Fund</i>                                    | 6 186 061           | 6 928 960           | 6 164 043,—          | 99,64         |
|              | CHAPTER 8 5 — TOTAL                                                                      | 6 186 061           | 6 928 960           | 6 164 043,—          | 99,64         |
|              | <b>Title 8 — Total</b>                                                                   | <b>6 186 061</b>    | <b>6 928 960</b>    | <b>41 334 476,24</b> | <b>668,19</b> |

## TITLE 8

## BORROWING AND LENDING OPERATIONS

## CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES

8 0 0 *European Union guarantee for Union borrowings for balance of payments support*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 02 02, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 02 02 of the statement of expenditure in this section.

8 0 1 *European Union guarantee for Euratom borrowings*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 04 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 04 03 of the statement of expenditure in this section.

COMMISSION

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES** (*cont'd*)**8 0 2** *European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The outstanding amount of loans or credit lines to be granted to Member States shall be in the limits set out in the legal basis.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 02 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 02 03 of the statement of expenditure in this section.

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION****8 1 0** *Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 35 170 433,24       |

*Remarks*

This article is intended to record capital repayments and interest payments on special loans and risk capital granted from the appropriations in Chapters 22 02 and 22 04 of the statement of expenditure in this section to Mediterranean third countries.

It also comprises capital repayments and interest payments on special loans and risk capital granted to certain Mediterranean Member States which, however, represent a very small proportion of the overall amount. These loans/ risk capital were granted at a time the countries had not yet joined the Union.

The revenue obtained normally exceeds the amounts forecast in the budget because of the interest payments on special loans which may still be disbursed during the preceding financial year as well as during the current financial year. The interest on special loans and risk capital is charged from the moment the loans are disbursed; interest on special loans is paid in six-monthly instalments and interest on risk capital generally in annual instalments.

This article may accommodate, in accordance with Article 21 of the Financial Regulation, assigned revenue which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION** (*cont'd*)**8 1 0** (*cont'd*)*Legal basis*

For the legal basis, see remarks for Chapters 22 02 and 22 04 of the statement of expenditure in this section.

**8 1 3** ***Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Union Investment Partners operation***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record capital repayments and interest payments on loans and risk capital granted from the appropriations in Articles 21 02 51 and 22 04 51 of the statement of expenditure in this section under the European Union Investment Partners operation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

For the legal basis, see also the remarks for Articles 21 02 51 and 22 04 51 of the statement of expenditure in this section.

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES****8 2 7** ***European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 03 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 03 03 of the statement of expenditure in this section.

COMMISSION

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES** (*cont'd*)**8 2 8** *Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 03 04 of the statement of expenditure in this section, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 03 04 of the statement of expenditure in this section.

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES****8 3 5** *European Union guarantee for European Investment Bank loans to third countries*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 05 of the statement of expenditure in this section.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 03 05 of the statement of expenditure in this section.

**8 3 6** *European Union guarantee for the European Fund for Sustainable Development (EFSD)*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                |                     |

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)

**8 3 6** (*cont'd*)

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 07 of the statement of expenditure in this section provided that this revenue has not been deducted from expenditure.

*Legal basis*

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

**CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES**

**8 5 0** *Dividends paid by the European Investment Fund*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 6 186 061           | 6 928 960           | 6 164 043,—         |

*Remarks*

This article is intended to receive any dividends paid by the European Investment Fund in respect of this contribution.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).





**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0**      *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 25 000 000          | 25 000 000          | 15 159 820,43       |

*Remarks*

This article is intended to record miscellaneous revenue.

COMMISSION

**GENERAL SUMMARY OF APPROPRIATIONS (2018 AND 2017) AND OUTTURN (2016)**

| Title | Heading                                              | Appropriations 2018 |                | Appropriations 2017 |                | Outturn 2016      |                   |
|-------|------------------------------------------------------|---------------------|----------------|---------------------|----------------|-------------------|-------------------|
|       |                                                      | Commitments         | Payments       | Commitments         | Payments       | Commitments       | Payments          |
| 01    | ECONOMIC AND FINANCIAL AFFAIRS                       | 2 208 268 036       | 2 153 674 136  | 3 361 394 801       | 3 115 247 301  | 2 533 752 168,83  | 1 528 863 242,24  |
|       | Reserves (40 02 40, 40 02 41)                        | 130 185 000         | 25 000 000     |                     |                |                   |                   |
|       |                                                      | 2 338 453 036       | 2 178 674 136  | 3 361 394 801       | 3 115 247 301  | 2 533 752 168,83  | 1 528 863 242,24  |
| 02    | INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES | 2 356 185 710       | 2 062 361 812  | 2 437 745 834       | 2 244 099 566  | 2 391 075 116,62  | 1 900 907 499,71  |
| 03    | COMPETITION                                          | 109 841 980         | 109 841 980    | 108 427 562         | 108 427 562    | 104 906 256,61    | 104 906 256,61    |
| 04    | EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION             | 14 504 772 962      | 12 207 667 532 | 14 315 326 529      | 9 577 065 124  | 13 368 571 854,85 | 8 876 250 556,03  |
|       | Reserves (40 02 41)                                  | 11 102 000          | 8 327 000      |                     |                |                   |                   |
|       |                                                      | 14 515 874 962      | 12 215 994 532 | 14 315 326 529      | 9 577 065 124  | 13 368 571 854,85 | 8 876 250 556,03  |
| 05    | AGRICULTURE AND RURAL DEVELOPMENT                    | 58 159 838 271      | 55 462 985 694 | 57 518 919 539      | 53 315 507 435 | 63 449 875 939,42 | 57 207 636 212,57 |
|       | Reserves (40 02 41)                                  | 1 800 000           | 1 350 000      |                     |                |                   |                   |
|       |                                                      | 58 161 638 271      | 55 464 335 694 | 57 518 919 539      | 53 315 507 435 | 63 449 875 939,42 | 57 207 636 212,57 |
| 06    | MOBILITY AND TRANSPORT                               | 4 007 575 953       | 2 267 065 045  | 3 767 437 307       | 1 800 796 328  | 4 266 370 508,96  | 2 237 898 321,60  |
| 07    | ENVIRONMENT                                          | 498 283 862         | 350 533 612    | 472 338 520         | 388 088 137    | 458 052 493,65    | 392 581 658,73    |
|       | Reserves (40 02 41)                                  | 1 000 000           | 750 000        |                     |                |                   |                   |
|       |                                                      | 499 283 862         | 351 283 612    | 472 338 520         | 388 088 137    | 458 052 493,65    | 392 581 658,73    |
| 08    | RESEARCH AND INNOVATION                              | 6 890 257 765       | 6 472 483 396  | 6 361 155 986       | 6 061 771 730  | 6 556 660 583,76  | 5 916 274 024,33  |
| 09    | COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY      | 2 132 573 932       | 2 259 062 678  | 1 924 096 778       | 2 092 389 358  | 1 846 451 370,54  | 2 385 196 199,18  |
| 10    | DIRECT RESEARCH                                      | 403 727 789         | 397 336 829    | 401 736 330         | 401 569 370    | 510 349 456,47    | 502 104 199,10    |

## COMMISSION

| Title | Heading                                                                    | Appropriations 2018 |                | Appropriations 2017 |                | Outturn 2016      |                   |
|-------|----------------------------------------------------------------------------|---------------------|----------------|---------------------|----------------|-------------------|-------------------|
|       |                                                                            | Commitments         | Payments       | Commitments         | Payments       | Commitments       | Payments          |
| 11    | MARITIME AFFAIRS<br>AND FISHERIES                                          | 1 084 735 790       | 659 590 725    | 1 090 123 127       | 752 664 410    | 1 071 057 883,30  | 588 743 232,60    |
|       | <i>Reserves (40 02 41)</i>                                                 | 46 565 000          | 43 302 703     | 3 643 000           | 4 448 000      |                   |                   |
|       |                                                                            | 1 131 300 790       | 702 893 428    | 1 093 766 127       | 757 112 410    | 1 071 057 883,30  | 588 743 232,60    |
| 12    | FINANCIAL STABILITY,<br>FINANCIAL SERVICES<br>AND CAPITAL<br>MARKETS UNION | 94 563 454          | 94 367 704     | 85 913 287          | 88 425 287     | 86 836 498,56     | 84 556 112,31     |
|       | <i>Reserves (40 02 41)</i>                                                 |                     |                | 4 856 000           | 3 267 000      |                   |                   |
|       |                                                                            | 94 563 454          | 94 367 704     | 90 769 287          | 91 692 287     | 86 836 498,56     | 84 556 112,31     |
| 13    | REGIONAL AND<br>URBAN POLICY                                               | 39 812 082 371      | 34 132 507 452 | 39 779 339 821      | 21 952 379 022 | 36 139 347 701,51 | 29 123 665 734,57 |
|       | <i>Reserves (40 01 40,<br/>40 02 41)</i>                                   |                     |                | 23 625 000          | 12 375 000     |                   |                   |
|       |                                                                            | 39 812 082 371      | 34 132 507 452 | 39 802 964 821      | 21 964 754 022 | 36 139 347 701,51 | 29 123 665 734,57 |
| 14    | TAXATION AND<br>CUSTOMS UNION                                              | 175 802 112         | 168 303 344    | 178 361 995         | 161 007 995    | 171 126 384,10    | 161 810 912,67    |
| 15    | EDUCATION AND<br>CULTURE                                                   | 3 806 105 904       | 3 502 751 391  | 3 364 357 284       | 3 144 529 354  | 3 246 977 755,51  | 3 356 271 691,31  |
|       | <i>Reserves (40 01 40,<br/>40 02 41)</i>                                   | 42 785 652          | 33 226 000     |                     |                |                   |                   |
|       |                                                                            | 3 848 891 556       | 3 535 977 391  | 3 364 357 284       | 3 144 529 354  | 3 246 977 755,51  | 3 356 271 691,31  |
| 16    | COMMUNICATION                                                              | 213 021 691         | 211 969 691    | 211 571 438         | 210 059 438    | 210 687 751,16    | 201 896 272,84    |
| 17    | HEALTH AND FOOD<br>SAFETY                                                  | 599 104 264         | 562 925 962    | 564 194 603         | 541 211 603    | 573 425 119,32    | 551 146 907,60    |
| 18    | MIGRATION AND<br>HOME AFFAIRS                                              | 2 637 400 839       | 2 257 961 158  | 3 409 209 756       | 2 529 702 082  | 3 495 247 556,88  | 2 388 278 032,58  |
|       | <i>Reserves (40 02 41)</i>                                                 |                     |                | 40 000 000          |                |                   |                   |
|       |                                                                            | 2 637 400 839       | 2 257 961 158  | 3 449 209 756       | 2 529 702 082  | 3 495 247 556,88  | 2 388 278 032,58  |

| Title | Heading                                           | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  |
|-------|---------------------------------------------------|---------------------|---------------|---------------------|---------------|------------------|------------------|
|       |                                                   | Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |
| 19    | FOREIGN POLICY INSTRUMENTS                        | 816 917 462         | 705 331 155   | 738 187 747         | 699 292 859   | 688 843 846,58   | 617 829 761,37   |
|       | Reserves (40 01 40, 40 02 41)                     | 23 750 000          | 8 673 000     |                     |               |                  |                  |
|       |                                                   | 840 667 462         | 714 004 155   | 738 187 747         | 699 292 859   | 688 843 846,58   | 617 829 761,37   |
| 20    | TRADE                                             | 115 924 575         | 114 524 575   | 113 201 323         | 111 701 323   | 109 431 020,14   | 107 780 562,11   |
| 21    | INTERNATIONAL COOPERATION AND DEVELOPMENT         | 3 486 428 453       | 3 248 829 555 | 3 702 842 929       | 3 339 435 538 | 3 348 603 489,46 | 3 511 968 863,90 |
|       | Reserves (40 02 41)                               | 6 250 000           |               |                     |               |                  |                  |
|       |                                                   | 3 492 678 453       | 3 248 829 555 | 3 702 842 929       | 3 339 435 538 | 3 348 603 489,46 | 3 511 968 863,90 |
| 22    | NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS        | 3 891 105 748       | 3 680 713 958 | 4 508 080 400       | 3 182 965 335 | 4 904 244 864,39 | 3 334 734 517,72 |
|       | Reserves (40 02 41)                               | 70 000 000          | 35 000 000    |                     |               |                  |                  |
|       |                                                   | 3 961 105 748       | 3 715 713 958 | 4 508 080 400       | 3 182 965 335 | 4 904 244 864,39 | 3 334 734 517,72 |
| 23    | HUMANITARIAN AID AND CIVIL PROTECTION             | 1 189 000 497       | 1 195 508 750 | 1 052 651 277       | 1 254 755 387 | 2 230 504 867,98 | 2 002 562 750,81 |
|       | Reserves (40 02 41)                               | 2 000 000           | 1 500 000     |                     |               |                  |                  |
|       |                                                   | 1 191 000 497       | 1 197 008 750 | 1 052 651 277       | 1 254 755 387 | 2 230 504 867,98 | 2 002 562 750,81 |
| 24    | FIGHT AGAINST FRAUD                               | 82 294 500          | 79 884 041    | 82 246 700          | 80 192 081    | 78 208 850,25    | 78 536 468,10    |
| 25    | COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE | 244 565 633         | 244 015 633   | 232 305 442         | 232 055 442   | 216 031 931,16   | 216 031 931,16   |
| 26    | COMMISSION'S ADMINISTRATION                       | 1 126 245 771       | 1 128 233 771 | 1 065 512 732       | 1 063 133 732 | 1 104 610 848,65 | 1 108 457 409,03 |
|       | Reserves (40 01 40)                               | 5 915 694           | 5 915 694     | 4 644 253           | 4 644 253     |                  |                  |
|       |                                                   | 1 132 161 465       | 1 134 149 465 | 1 070 156 985       | 1 067 777 985 | 1 104 610 848,65 | 1 108 457 409,03 |
| 27    | BUDGET                                            | 78 630 924          | 78 630 924    | 76 142 758          | 76 142 758    | 64 040 410,06    | 64 040 410,06    |
| 28    | AUDIT                                             | 19 359 668          | 19 359 668    | 19 227 094          | 19 227 094    | 19 136 476,54    | 19 136 476,54    |
| 29    | STATISTICS                                        | 144 068 650         | 130 593 650   | 143 533 663         | 127 573 663   | 145 264 648,83   | 134 198 210,41   |

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| Title | Heading                                                 | Appropriations 2018    |                        | Appropriations 2017    |                        | Outturn 2016              |                           |
|-------|---------------------------------------------------------|------------------------|------------------------|------------------------|------------------------|---------------------------|---------------------------|
|       |                                                         | Commitments            | Payments               | Commitments            | Payments               | Commitments               | Payments                  |
| 30    | PENSIONS AND RELATED EXPENDITURE                        | 1 899 409 800          | 1 899 409 800          | 1 796 802 000          | 1 796 802 000          | 1 684 492 659,39          | 1 684 492 659,39          |
| 31    | LANGUAGE SERVICES                                       | 398 802 462            | 398 802 462            | 407 877 123            | 407 877 123            | 437 381 657,05            | 437 381 657,05            |
| 32    | ENERGY                                                  | 1 640 012 879          | 1 579 046 002          | 1 618 915 213          | 1 293 269 975          | 1 423 035 928,49          | 1 602 200 158,03          |
| 33    | JUSTICE AND CONSUMERS                                   | 257 557 182            | 224 742 182            | 270 997 258            | 238 117 353            | 259 254 368,83            | 230 631 944,47            |
| 34    | CLIMATE ACTION                                          | 155 158 150            | 96 805 400             | 146 724 470            | 102 431 675            | 138 052 271,55            | 68 506 389,13             |
|       | Reserves (40 02 41)                                     | 500 000                | 375 000                |                        |                        |                           |                           |
|       |                                                         | 155 658 150            | 97 180 400             | 146 724 470            | 102 431 675            | 138 052 271,55            | 68 506 389,13             |
| 40    | RESERVES                                                | 516 902 000            | 344 600 000            | 506 724 000            | 315 000 000            | 0,—                       | 0,—                       |
|       | <b>Total</b>                                            | <b>156 098 380 385</b> | <b>140 665 841 064</b> | <b>155 910 390 879</b> | <b>122 849 648 693</b> | <b>157 331 910 539,40</b> | <b>132 727 477 235,86</b> |
|       | <i>Of which Reserves (40 01 40, 40 02 40, 40 02 41)</i> | <b>341 853 346</b>     | <b>163 419 397</b>     | <b>76 768 253</b>      | <b>24 734 253</b>      |                           |                           |

*TITLE XX*

**ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS**

COMMISSION

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

## TITLE XX

## ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                      | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|-------------------------------------|----------------------------------------------------------------------------------------------|-----|---------------------|---------------------|------------------|
| XX 01                               | ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS                                         |     |                     |                     |                  |
| <b>XX 01 01</b>                     | <b>Expenditure related to officials and temporary staff in policy areas</b>                  |     |                     |                     |                  |
| XX 01 01 01                         | Expenditure related to officials and temporary staff working with the institution            |     |                     |                     |                  |
| XX 01 01 01 01                      | Remuneration and allowances                                                                  | 5.2 | 2 058 238 200       | 2 011 496 000       | 1 977 369 674,92 |
| XX 01 01 01 02                      | Expenses and allowances related to recruitment, transfers and termination of service         | 5.2 | 11 214 800          | 12 072 000          | 11 147 568,89    |
| XX 01 01 01 03                      | Adjustments to remuneration                                                                  | 5.2 | 20 647 600          | 18 170 000          | 0,—              |
|                                     | <i>Subtotal</i>                                                                              |     | 2 090 100 600       | 2 041 738 000       | 1 988 517 243,81 |
| XX 01 01 02                         | Expenditure related to Commission officials and temporary staff working in Union delegations |     |                     |                     |                  |
| XX 01 01 02 01                      | Remuneration and allowances                                                                  | 5.2 | 113 090 400         | 111 637 000         | 106 365 073,63   |
| XX 01 01 02 02                      | Expenses and allowances related to recruitment, transfers and termination of service         | 5.2 | 7 539 800           | 7 430 000           | 7 141 384,29     |
| XX 01 01 02 03                      | Appropriations to cover any adjustments to remuneration                                      | 5.2 | 1 083 000           | 967 000             | 0,—              |
|                                     | <i>Subtotal</i>                                                                              |     | 121 713 200         | 120 034 000         | 113 506 457,92   |
|                                     | <i>Article XX 01 01 — Subtotal</i>                                                           |     | 2 211 813 800       | 2 161 772 000       | 2 102 023 701,73 |
| <b>XX 01 02</b>                     | <b>External personnel and other management expenditure</b>                                   |     |                     |                     |                  |
| XX 01 02 01                         | External personnel working with the institution                                              |     |                     |                     |                  |
| XX 01 02 01 01                      | Contract staff                                                                               | 5.2 | 69 531 600          | 68 270 000          | 76 027 135,67    |
| XX 01 02 01 02                      | Agency staff and technical and administrative assistance in support of different activities  | 5.2 | 20 998 600          | 22 460 000          | 19 754 182,52    |
| XX 01 02 01 03                      | National civil servants temporarily assigned to the institution                              | 5.2 | 39 377 800          | 38 533 000          | 30 493 165,76    |
|                                     | <i>Subtotal</i>                                                                              |     | 129 908 000         | 129 263 000         | 126 274 483,95   |
| XX 01 02 02                         | External personnel of the Commission in Union delegations                                    |     |                     |                     |                  |
| XX 01 02 02 01                      | Remuneration of other staff                                                                  | 5.2 | 9 642 000           | 9 131 000           | 9 559 655,93     |
| XX 01 02 02 02                      | Training of junior experts and seconded national experts                                     | 5.2 | 1 971 000           | 1 868 000           | 1 763 000,—      |
| XX 01 02 02 03                      | Expenses of other staff and payment for other services                                       | 5.2 | 370 000             | 351 000             | 396 893,—        |
|                                     | <i>Subtotal</i>                                                                              |     | 11 983 000          | 11 350 000          | 11 719 548,93    |



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TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                      | FF  | Appropriations 2018  | Appropriations 2017  | Outturn 2016            |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|-------------------------|
| XX 01 02 11                         | Other management expenditure of the institution                                                              |     |                      |                      |                         |
| XX 01 02 11 01                      | Mission and representation expenses                                                                          | 5.2 | 56 969 000           | 57 319 000           | 59 065 294,79           |
| XX 01 02 11 02                      | Conferences, meetings and expert groups' expenses                                                            | 5.2 | 23 490 000           | 25 490 000           | 20 011 845,14           |
| XX 01 02 11 03                      | Meetings of committees                                                                                       | 5.2 | 10 515 000           | 12 015 000           | 9 543 097,14            |
| XX 01 02 11 04                      | Studies and consultations                                                                                    | 5.2 | 4 590 000            | 6 090 000            | 5 033 013,61            |
| XX 01 02 11 05                      | Information and management systems                                                                           | 5.2 | 35 082 000           | 28 937 000           | 36 725 571,84           |
| XX 01 02 11 06                      | Further training and management training                                                                     | 5.2 | 12 600 000           | 13 100 000           | 13 924 195,61           |
|                                     | <i>Subtotal</i>                                                                                              |     | 143 246 000          | 142 951 000          | 144 303 018,13          |
| XX 01 02 12                         | Other management expenditure relating to Commission staff in Union delegations                               |     |                      |                      |                         |
| XX 01 02 12 01                      | Missions, conferences and representation expenses                                                            | 5.2 | 5 700 000            | 5 652 000            | 5 946 995,—             |
| XX 01 02 12 02                      | Further training of staff in delegations                                                                     | 5.2 | 485 000              | 485 000              | 698 497,57              |
|                                     | <i>Subtotal</i>                                                                                              |     | 6 185 000            | 6 137 000            | 6 645 492,57            |
|                                     | <i>Article XX 01 02 — Subtotal</i>                                                                           |     | 291 322 000          | 289 701 000          | 288 942 543,58          |
| <b>XX 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services, and buildings</b> |     |                      |                      |                         |
| XX 01 03 01                         | Expenditure related to information and communication technology equipment and services of the Commission     |     |                      |                      |                         |
| XX 01 03 01 03                      | Information and communication technology equipment                                                           | 5.2 | 67 287 000           | 64 283 000           | 77 688 666,38           |
| XX 01 03 01 04                      | Information and communication technology services                                                            | 5.2 | 67 824 000           | 63 210 000           | 73 787 694,44           |
|                                     | <i>Subtotal</i>                                                                                              |     | 135 111 000          | 127 493 000          | 151 476 360,82          |
| XX 01 03 02                         | Buildings and related expenditure relating to Commission staff in Union delegations                          |     |                      |                      |                         |
| XX 01 03 02 01                      | Acquisition, renting and related expenditure                                                                 | 5.2 | 28 515 000           | 28 503 000           | 26 847 000,—            |
| XX 01 03 02 02                      | Equipment, furniture, supplies and services                                                                  | 5.2 | 667 000              | 827 000              | 500 100,—               |
|                                     | <i>Subtotal</i>                                                                                              |     | 29 182 000           | 29 330 000           | 27 347 100,—            |
|                                     | <i>Article XX 01 03 — Subtotal</i>                                                                           |     | 164 293 000          | 156 823 000          | 178 823 460,82          |
|                                     | <b>CHAPTER XX 01 — TOTAL</b>                                                                                 |     | <b>2 667 428 800</b> | <b>2 608 296 000</b> | <b>2 569 789 706,13</b> |

COMMISSION

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

## TITLE XX

## ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

## CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

## XX 01 01 Expenditure related to officials and temporary staff in policy areas

## XX 01 01 01 Expenditure related to officials and temporary staff working with the institution

| Title<br>Chapter<br>Article<br>Item | Heading                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|-------------------------------------|--------------------------------------------------------------------------------------|-----|---------------------|---------------------|------------------|
| XX 01 01 01                         | Expenditure related to officials and temporary staff working with the institution    |     |                     |                     |                  |
| XX 01 01 01 01                      | Remuneration and allowances                                                          | 5.2 | 2 058 238 200       | 2 011 496 000       | 1 977 369 674,92 |
| XX 01 01 01 02                      | Expenses and allowances related to recruitment, transfers and termination of service | 5.2 | 11 214 800          | 12 072 000          | 11 147 568,89    |
| XX 01 01 01 03                      | Adjustments to remuneration                                                          | 5.2 | 20 647 600          | 18 170 000          | 0,—              |
|                                     | Item XX 01 01 01 — Total                                                             |     | 2 090 100 600       | 2 041 738 000       | 1 988 517 243,81 |

## Remarks

With the exception of staff serving in third countries, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and other payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to be made by the Commission to temporary staff to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- in respect of officials and temporary staff, allowances for shift work or standby duty at the official's place of work or at home,
- allowances in the event of dismissal of a probationary official for obvious inadequacy,
- allowances in the event of cancellation by the institution of the contract of a temporary staff member,
- reimbursement of expenditure on security measures at the homes of officials working in offices of the Union and in Union delegations within the territory of the Union,
- flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST which cannot be compensated, under the arrangements laid down, by free time,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,

## CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (cont'd)

## XX 01 01 (cont'd)

## XX 01 01 01 (cont'd)

- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- transitional costs for officials assigned to posts in new Member States prior to accession who are requested to remain in service in those Member States following the accession date, and who will be entitled, exceptionally, to the same financial and material conditions applied by the Commission before accession, in accordance with Annex X to the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Union,
- the cost of any adjustments to remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 51 623 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## XX 01 01 02 Expenditure related to Commission officials and temporary staff working in Union delegations

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                      | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|-------------------------------------|----------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|
| XX 01 01 02                         | Expenditure related to Commission officials and temporary staff working in Union delegations |     |                     |                     |                |
| XX 01 01 02 01                      | Remuneration and allowances                                                                  | 5.2 | 113 090 400         | 111 637 000         | 106 365 073,63 |
| XX 01 01 02 02                      | Expenses and allowances related to recruitment, transfers and termination of service         | 5.2 | 7 539 800           | 7 430 000           | 7 141 384,29   |
| XX 01 01 02 03                      | Appropriations to cover any adjustments to remuneration                                      | 5.2 | 1 083 000           | 967 000             | 0,—            |
|                                     | Item XX 01 01 02 — Total                                                                     |     | 121 713 200         | 120 034 000         | 113 506 457,92 |

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TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)

**XX 01 01** (*cont'd*)

XX 01 01 02 (*cont'd*)

*Remarks*

In respect of Items 19 01 01 02, 20 01 01 02, 21 01 01 02 and 22 01 01 02, relating to Union delegations in third countries and at international organisations, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the Commission establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- overtime,
- the cost of weightings applied to the remuneration of officials and temporary staff,
- the cost of any adjustments to remuneration during the financial year,
- installation and resettlement allowances due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment, and on finally leaving the institution and resettling elsewhere,
- travel expenses due to staff (including members of their family) on taking up duty, leaving the institution or transfer to another place of employment,
- removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment, and on finally leaving the institution and resettling elsewhere.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (cont'd)

**XX 01 02**      *External personnel and other management expenditure*

XX 01 02 01    External personnel working with the institution

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                     | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|-------------------------------------|---------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|
| XX 01 02 01                         | External personnel working with the institution                                             |     |                     |                     |                |
| XX 01 02 01 01                      | Contract staff                                                                              | 5.2 | 69 531 600          | 68 270 000          | 76 027 135,67  |
| XX 01 02 01 02                      | Agency staff and technical and administrative assistance in support of different activities | 5.2 | 20 998 600          | 22 460 000          | 19 754 182,52  |
| XX 01 02 01 03                      | National civil servants temporarily assigned to the institution                             | 5.2 | 39 377 800          | 38 533 000          | 30 493 165,76  |
|                                     | Item XX 01 02 01 — Total                                                                    |     | 129 908 000         | 129 263 000         | 126 274 483,95 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the territory of the Union:

- the remuneration of contract staff (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to social welfare for contract staff and the impact of weightings applicable to the remuneration of such staff,
- a sum to cover the remuneration of contract staff acting as guides for persons with disabilities,
- the employment of agency staff, particularly clerical staff and shorthand typists,
- expenditure on staff included in service contracts for technical and administrative work and the supply of intellectual services, and expenditure on buildings and equipment and operating costs relating to this type of staff,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Commission or called for short consultations, particularly to draft legislation on harmonisation in various areas; exchanges are also organised to allow uniform application of Union legislation by the Member States,
- the cost of any adjustments to remuneration during the financial year.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Union's general costs under Article 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 169 020.

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

## CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (cont'd)

## XX 01 02 (cont'd)

## XX 01 02 01 (cont'd)

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The amount of assigned revenue based on data available in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 6 271 644.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Directive 2000/78/EC of 27 November 2000 establishing a general framework for equal treatment in employment and occupation (OJ L 303, 2.12.2000, p. 16).

Code of good practice for the employment of people with disabilities, adopted by European Parliament Bureau Decision of 22 June 2005.

## XX 01 02 02 External personnel of the Commission in Union delegations

| Title<br>Chapter<br>Article<br>Item | Heading                                                   | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|-------------------------------------|-----------------------------------------------------------|-----|---------------------|---------------------|---------------|
| XX 01 02 02                         | External personnel of the Commission in Union delegations |     |                     |                     |               |
| XX 01 02 02 01                      | Remuneration of other staff                               | 5.2 | 9 642 000           | 9 131 000           | 9 559 655,93  |
| XX 01 02 02 02                      | Training of junior experts and seconded national experts  | 5.2 | 1 971 000           | 1 868 000           | 1 763 000,—   |
| XX 01 02 02 03                      | Expenses of other staff and payment for other services    | 5.2 | 370 000             | 351 000             | 396 893,—     |
|                                     | Item XX 01 02 02 — Total                                  |     | 11 983 000          | 11 350 000          | 11 719 548,93 |

*Remarks*

In respect of Items 19 01 02 02, 20 01 02 02, 21 01 02 02 and 22 01 02 02, relating to external personnel of the Commission posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

— the remuneration of local and/or contract staff, and the social security charges and benefits to be met by the employer,

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TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

**CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS** (*cont'd*)

**XX 01 02** (*cont'd*)

XX 01 02 02 (*cont'd*)

— employer's contributions towards supplementary social security cover for local staff,

— services of agency and freelance staff.

As regards junior experts and seconded national experts in Union delegations, this appropriation is intended:

— to finance or co-finance the expenditure related to the posting of junior experts (university graduates) in Union delegations,

— to meet the costs of seminars organised for young diplomats from the Member States and third countries,

— to cover expenditure relating to the secondment or temporary assignment of officials from the Member States to Union delegations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

XX 01 02 11 Other management expenditure of the institution

| Title<br>Chapter<br>Article<br>Item | Heading                                           | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|-------------------------------------|---------------------------------------------------|-----|---------------------|---------------------|----------------|
| XX 01 02 11                         | Other management expenditure of the institution   |     |                     |                     |                |
| XX 01 02 11 01                      | Mission and representation expenses               | 5.2 | 56 969 000          | 57 319 000          | 59 065 294,79  |
| XX 01 02 11 02                      | Conferences, meetings and expert groups' expenses | 5.2 | 23 490 000          | 25 490 000          | 20 011 845,14  |
| XX 01 02 11 03                      | Meetings of committees                            | 5.2 | 10 515 000          | 12 015 000          | 9 543 097,14   |
| XX 01 02 11 04                      | Studies and consultations                         | 5.2 | 4 590 000           | 6 090 000           | 5 033 013,61   |
| XX 01 02 11 05                      | Information and management systems                | 5.2 | 35 082 000          | 28 937 000          | 36 725 571,84  |
| XX 01 02 11 06                      | Further training and management training          | 5.2 | 12 600 000          | 13 100 000          | 13 924 195,61  |
|                                     | Item XX 01 02 11 — Total                          |     | 143 246 000         | 142 951 000         | 144 303 018,13 |

*Remarks*

This appropriation is intended to cover the following decentralised operating expenditure:

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## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)**XX 01 02** (*cont'd*)XX 01 02 11 (*cont'd*)

## Missions:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments (refunds of mission expenses paid for the account of other Union institutions or bodies and for third parties will constitute assigned expenditure). Where the option is available, the Commission will use airlines covered by collective bargaining agreements and complying with the relevant ILO conventions.

## Representation expenses:

- reimbursement of the costs incurred by persons officially representing the Commission (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions).

## Meetings of experts:

- reimbursement of the costs incurred for the functioning of the expert groups established or convened by the Commission: travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission).

## Conferences:

- expenditure relating to conferences, congresses and meetings organised by the Commission in support of its various policies, and expenditure for running a network for financial control organisations and bodies, including an annual meeting between such organisations and the members of the European Parliament's Committee on Budgetary Control, as requested in paragraph 88 of European Parliament resolution of 27 April 2006 with comments forming an integral part of the decision on the discharge for implementation of the European Union general budget for the financial year 2004, Section III — Commission (OJ L 340, 6.12.2006, p. 5),
- expenditure relating to conferences, seminars, meetings, training courses and practical in-house training for officials of the Member States who manage or monitor operations financed by the Union funds or operations to collect revenue that constitutes Union own resources or cooperate in the Union statistics system, and expenditure of the same type for officials from the countries of central and eastern Europe managing or monitoring operations financed under Union programmes,
- expenditure on training third-country officials who carry out management or control duties with a direct bearing on protecting the Union's financial interest,
- the cost of the Commission's participation in conferences, congresses and meetings,
- conference enrolment fees, excluding training expenses,



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— subscriptions to trade and scientific associations,

— the cost of refreshments and food served on special occasions during internal meetings.

## Meetings of Committees:

— travel, subsistence and incidental expenses of experts participating in committees set up by the Treaty and by European Parliament and Council Regulations or Council Regulations, and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices) (experts are reimbursed on the basis of decisions made by the Commission).

## Studies and consultations:

— expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies,

— the purchase of studies already carried out or subscriptions with specialist research institutions.

## Information and management systems:

— the development and maintenance under contract of management and information systems,

— the acquisition and maintenance of complete (turnkey) information and management systems in the field of administrative management (staff, budget, finance, accounts, etc.),

— studies, documentation and training linked to those systems and project management,

— the acquisition of skills and expertise in the area of information technology for all departments: quality, security, technology, development methodology, information technology management, etc.,

— technical support for those systems, and the technical work needed to ensure that they operate satisfactorily.

## Further training and management training:

— expenditure on general training designed to improve the skills of the staff and the performance and efficiency of the Commission:

— fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,

— fees for consultants in various fields, in particular organisational methods, management, strategy, quality assurance and personnel management,

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TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)

XX 01 02 (*cont'd*)

XX 01 02 11 (*cont'd*)

- expenditure incurred in designing, holding and evaluating the training organised by the Commission in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses, and teaching materials),
- the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising such courses and the use of premises and transport and the cost of food and accommodation for the participants of residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing teaching aids.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Union's general costs under Article 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 725 000.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The amount of assigned revenue based on data available in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 589 970.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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**CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS** (*cont'd*)

**XX 01 02** (*cont'd*)

XX 01 02 12 Other management expenditure relating to Commission staff in Union delegations

| Title<br>Chapter<br>Article<br>Item | Heading                                                                        | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|-------------------------------------|--------------------------------------------------------------------------------|-----|---------------------|---------------------|--------------|
| XX 01 02 12                         | Other management expenditure relating to Commission staff in Union delegations |     |                     |                     |              |
| XX 01 02 12 01                      | Missions, conferences and representation expenses                              | 5.2 | 5 700 000           | 5 652 000           | 5 946 995,—  |
| XX 01 02 12 02                      | Further training of staff in delegations                                       | 5.2 | 485 000             | 485 000             | 698 497,57   |
|                                     | Item XX 01 02 12 — Total                                                       |     | 6 185 000           | 6 137 000           | 6 645 492,57 |

*Remarks*

In respect of Items 19 01 02 12, 20 01 02 12, 21 01 02 12 and 22 01 02 12, relating to Commission staff posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

- miscellaneous costs and allowances concerning other staff, including legal consultations,
- expenditure arising from recruitment procedures of officials, contract staff and local staff, including publication costs, travel and subsistence costs and accident insurance for candidates called for examinations and interviews, costs connected with the organisation of group recruitment tests and pre-recruitment medical examinations,
- expenses related to the cost of annual medical examinations of officials, contract staff and local staff, including analyses and tests carried out as part of such examinations, cultural activities and initiatives for encouraging social contacts,
- expenses related to the medical costs of local staff employed under local law contracts, the cost of medical and dental advisers and the costs concerning the policy regarding AIDS at the workplace,
- the fixed allowance granted to officials who regularly incur representation expenses by reason of their duties, and the reimbursement of costs incurred by authorised officials to represent the Commission/Union in the interest of the service and by reason of their duties (in the case of Union delegations within the territory of the Union, part of the accommodation expenses will be covered by the fixed representation allowance),
- expenditure on travel expenses, daily subsistence allowances for missions and incidental or exceptional expenses incurred in connection with missions by officials and other staff,
- expenditure on travel expenses and daily subsistence allowances in connection with medical evacuations,
- expenditure arising from crisis situations, including travel, accommodation and daily subsistence allowances,

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CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 02 (*cont'd*)XX 01 02 12 (*cont'd*)

- expenditure on general and language training designed to improve the skills of the staff and the performance of the Commission:
  - fees for experts employed to identify training needs, design, develop and hold courses, and to evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, planning, management, strategy, quality assurance and personnel management,
  - expenditure incurred in designing, holding and evaluating training organised by the Commission or the EEAS in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses and teaching materials),
  - expenditure related to the practical and logistical aspects of organising courses including premises, transport and equipment hire for training and local and regional seminars as well as miscellaneous connected costs such as refreshments and food,
  - the cost of participation in conferences and symposiums, and subscriptions to professional and scientific associations,
  - training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**XX 01 03 Expenditure related to information and communication technology equipment and services, and buildings**

## XX 01 03 01 Expenditure related to information and communication technology equipment and services of the Commission

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                  | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|-------------------------------------|----------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|
| XX 01 03 01                         | Expenditure related to information and communication technology equipment and services of the Commission |     |                     |                     |                |
| XX 01 03 01 03                      | Information and communication technology equipment                                                       | 5.2 | 67 287 000          | 64 283 000          | 77 688 666,38  |
| XX 01 03 01 04                      | Information and communication technology services                                                        | 5.2 | 67 824 000          | 63 210 000          | 73 787 694,44  |
|                                     | Item XX 01 03 01 — Total                                                                                 |     | 135 111 000         | 127 493 000         | 151 476 360,82 |

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This appropriation is intended to cover the following expenditure incurred within the territory of the Union:

- telecommunications facilities within the Commission's buildings, notably the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems,
- data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment, including toner, relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers and scanners,
- the purchase, hire or leasing of electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media, the training and support required for accessing this information,
- subscription charges and the cost of cable or radio communications (fixed and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, the cost of inter-building telephone and data links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software,
- expenditure on the Data Centre:
  - the purchase, hire or leasing of computers, peripherals and software for the Data Centre, and the costs of back-up facilities,
  - maintenance, support, studies, documentation, training and supplies related to this equipment and outside operating personnel,

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## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 03 (*cont'd*)XX 01 03 01 (*cont'd*)

— the development and maintenance, under contract, of the necessary software for the operation of the Data Centre.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding Commission Representations in the Union for which expenditure is entered in Item 16 01 03 03.

Any revenue from the Swiss Confederation's contributions for participation in Union programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 11 001 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

## XX 01 03 02 Buildings and related expenditure relating to Commission staff in Union delegations

| Title<br>Chapter<br>Article<br>Item | Heading                                                                             | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|-------------------------------------|-------------------------------------------------------------------------------------|-----|---------------------|---------------------|--------------|
| XX 01 03 02                         | Buildings and related expenditure relating to Commission staff in Union delegations |     |                     |                     |              |
| XX 01 03 02 01                      | Acquisition, renting and related expenditure                                        | 5.2 | 28 515 000          | 28 503 000          | 26 847 000,— |
| XX 01 03 02 02                      | Equipment, furniture, supplies and services                                         | 5.2 | 667 000             | 827 000             | 500 100,—    |
|                                     | Item XX 01 03 02 — Total                                                            |     | 29 182 000          | 29 330 000          | 27 347 100,— |

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In respect of Items 19 01 03 02, 20 01 03 02, 21 01 03 02 and 22 01 03 02, relating to Commission staff posted in Union delegations in third countries and with international organisations, this appropriation is intended to cover:

- temporary accommodation allowances and daily allowances,
- for all the buildings or parts of buildings for officials posted outside the Union: rents (including temporary accommodation) and taxes, insurance premiums, refurbishments and major repairs, and routine expenditure relating to the security of persons,
- for the buildings or parts of buildings occupied by officials within the territory of the Union: reimbursement of expenditure relating to the security of housing,
- the purchase, maintenance and repair of equipment such as generators and air-conditioning units for officials' housing,
- all expenditure on furniture and fittings for residential accommodation made available to officials.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

COMMISSION

*TITLE 01*

**ECONOMIC AND FINANCIAL AFFAIRS**



COMMISSION  
TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

**TITLE 01**

**ECONOMIC AND FINANCIAL AFFAIRS**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                        | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|--------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                                | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 01 01         | ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA | 82 734 214           | 82 734 214           | 81 979 051           | 81 979 051           | 83 497 326,15           | 83 497 326,15           |
| 01 02         | ECONOMIC AND MONETARY UNION                                                    | 12 555 100           | 13 153 200           | 12 547 500           | 14 000 000           | 15 776 104,58           | 11 514 289,32           |
| 01 03         | INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS                                   | 179 886 722          | 179 886 722          | 561 368 250          | 561 368 250          | 257 453 613,—           | 262 252 987,28          |
|               | <i>Reserves (40 02 40)</i>                                                     | 25 000 000           | 25 000 000           |                      |                      |                         |                         |
|               |                                                                                | 204 886 722          | 204 886 722          | 561 368 250          | 561 368 250          | 257 453 613,—           | 262 252 987,28          |
| 01 04         | FINANCIAL OPERATIONS AND INSTRUMENTS                                           | 1 933 092 000        | 1 877 900 000        | 2 705 500 000        | 2 457 900 000        | 2 177 025 125,10        | 1 171 598 639,49        |
|               | <i>Reserves (40 02 41)</i>                                                     | 105 185 000          |                      |                      |                      |                         |                         |
|               |                                                                                | 2 038 277 000        | 1 877 900 000        | 2 705 500 000        | 2 457 900 000        | 2 177 025 125,10        | 1 171 598 639,49        |
|               | <b>Title 01 — Total</b>                                                        | <b>2 208 268 036</b> | <b>2 153 674 136</b> | <b>3 361 394 801</b> | <b>3 115 247 301</b> | <b>2 533 752 168,83</b> | <b>1 528 863 242,24</b> |
|               | <b>Reserves (40 02 40, 40 02 41)</b>                                           | <b>130 185 000</b>   | <b>25 000 000</b>    |                      |                      |                         |                         |
|               |                                                                                | <b>2 338 453 036</b> | <b>2 178 674 136</b> | <b>3 361 394 801</b> | <b>3 115 247 301</b> | <b>2 533 752 168,83</b> | <b>1 528 863 242,24</b> |

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

**TITLE 01****ECONOMIC AND FINANCIAL AFFAIRS****CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                            | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|---------------|
| 01 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA                                                                                                     |     |                     |                     |                      |               |
| <b>01 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Economic and financial affairs' policy area</i></b>                                                             | 5.2 | 68 650 802          | 67 718 579          | 67 268 606,74        | 97,99         |
| <b>01 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Economic and financial affairs' policy area</i></b>                                                   |     |                     |                     |                      |               |
| 01 01 02 01                         | External personnel                                                                                                                                                                 | 5.2 | 3 577 871           | 3 989 271           | 4 210 223,66         | 117,67        |
| 01 01 02 11                         | Other management expenditure                                                                                                                                                       | 5.2 | 5 627 727           | 5 642 625           | 6 378 007,84         | 113,33        |
|                                     | <i>Article 01 01 02 — Subtotal</i>                                                                                                                                                 |     | 9 205 598           | 9 631 896           | 10 588 231,50        | 115,02        |
| <b>01 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services, and specific expenditure in the 'Economic and financial affairs' policy area</i></b> |     |                     |                     |                      |               |
| 01 01 03 01                         | Expenditure related to information and communication technology equipment and services, and specific expenditure                                                                   | 5.2 | 4 437 814           | 4 228 576           | 5 172 956,17         | 116,57        |
| 01 01 03 04                         | Expenditure related to specific electronic, telecommunication and information needs                                                                                                | 5.2 | 440 000             | 400 000             | 467 531,74           | 106,26        |
|                                     | <i>Article 01 01 03 — Subtotal</i>                                                                                                                                                 |     | 4 877 814           | 4 628 576           | 5 640 487,91         | 115,64        |
|                                     | <b>Chapter 01 01 — Total</b>                                                                                                                                                       |     | <b>82 734 214</b>   | <b>81 979 051</b>   | <b>83 497 326,15</b> | <b>100,92</b> |

**01 01 01 Expenditure related to officials and temporary staff in the 'Economic and financial affairs' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 68 650 802          | 67 718 579          | 67 268 606,74 |

## CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA (cont'd)

**01 01 02 External personnel and other management expenditure in support of the 'Economic and financial affairs' policy area**

## 01 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 577 871           | 3 989 271           | 4 210 223,66 |

## 01 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 627 727           | 5 642 625           | 6 378 007,84 |

**01 01 03 Expenditure related to information and communication technology equipment and services, and specific expenditure in the 'Economic and financial affairs' policy area**

## 01 01 03 01 Expenditure related to information and communication technology equipment and services, and specific expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 437 814           | 4 228 576           | 5 172 956,17 |

## 01 01 03 04 Expenditure related to specific electronic, telecommunication and information needs

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 440 000             | 400 000             | 467 531,74   |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- expenditure on equipping buildings with telecommunications, in particular the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing, installation and maintenance of electronic office equipment, computers, terminals, mini-computers, peripherals, connection devices and the necessary software,

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

**CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘ECONOMIC AND FINANCIAL AFFAIRS’ POLICY AREA** (*cont’d*)

**01 01 03** (*cont’d*)

01 01 03 04 (*cont’d*)

- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing such information,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of connection to telecommunication networks, for example SWIFT (interbank) and CoreNet (secure network set up by the ECB), and related infrastructure and services,
- installation, configuration, maintenance, studies, evaluations, documentation and supplies related to this equipment.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 01 02 — ECONOMIC AND MONETARY UNION**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                            | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                    |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 01 02                               | ECONOMIC AND MONETARY UNION                                                                                                        |     |                     |                   |                     |                   |                      |                      |                             |
| <b>01 02 01</b>                     | <b>Coordination and surveillance of, and communication on, the economic and monetary union, including the euro</b>                 |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.1 | 11 500 000          | 11 500 000        | 11 500 000          | 12 000 000        | 11 746 750,71        | 10 024 560,16        | 87,17                       |
| <b>01 02 02</b>                     | <b>European Union guarantee for Union borrowings for balance-of-payments support</b>                                               |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |                             |
| <b>01 02 03</b>                     | <b>European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism</b> |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |                             |
| <b>01 02 04</b>                     | <b>Protecting euro banknotes and coins against counterfeiting and related fraud</b>                                                |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.1 | 1 055 100           | 953 200           | 1 047 500           | 1 000 000         | 1 029 353,87         | 715 252,16           | 75,04                       |
| <b>01 02 05</b>                     | <b>Enforced budgetary surveillance proceeds to be transferred to the European Stability Mechanism</b>                              |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              |                      |                      |                             |
| <b>01 02 51</b>                     | <b>Completion of Pericles</b>                                                                                                      |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |                             |
| <b>01 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                      |     |                     |                   |                     |                   |                      |                      |                             |
| 01 02 77 01                         | Preparatory action — Capacity development and institution building to support the implementation of economic reforms               |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                                    | 1.2 | p.m.                | 700 000           | p.m.                | 1 000 000         | 3 000 000,—          | 774 477,—            | 110,64                      |
|                                     | Article 01 02 77 — Subtotal                                                                                                        |     | p.m.                | 700 000           | p.m.                | 1 000 000         | 3 000 000,—          | 774 477,—            | 110,64                      |
|                                     | <b>Chapter 01 02 — Total</b>                                                                                                       |     | <b>12 555 100</b>   | <b>13 153 200</b> | <b>12 547 500</b>   | <b>14 000 000</b> | <b>15 776 104,58</b> | <b>11 514 289,32</b> | <b>87,54</b>                |

**01 02 01**      **Coordination and surveillance of, and communication on, the economic and monetary union, including the euro**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 11 500 000          | 11 500 000 | 11 500 000          | 12 000 000 | 11 746 750,71 | 10 024 560,16 |

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 01 (*cont'd*)*Remarks*

This appropriation is intended to cover the cost of carrying out the Joint Harmonised EU Programme of Business and Consumer Surveys in the Member States and candidate countries. The programme was initiated by a Commission Decision in November 1961 and was modified through subsequent Council and Commission decisions. It was last approved through Commission Decision C(97) 2241 of 15 July 1997 and presented in the Commission communication COM(2006) 379 final on 12 July 2006 (OJ C 245, 12.10.2006, p. 5).

This appropriation is also intended to cover the cost of studies, workshops, conferences, analyses, evaluations, publications, technical assistance, the purchase and maintenance of databases and software and the part-financing and support of measures relating to:

- fiscal policy including monitoring of fiscal positions,
- assessment of transposition and application by the Member States of the new Union fiscal governance framework supporting the functioning of the economic and monetary union (EMU),
- economic monitoring, macro and micro-based analysis of the combination of measures and coordination of economic policies,
- the external aspects of the EMU,
- macroeconomic developments in the euro area,
- monitoring structural reforms and improving the operation of markets in the EMU and in the Union,
- coordination with financial institutions and analysis and development of financial markets, and borrowing and lending operations involving Member States,
- the facility providing financial assistance for Member States' balance of payments and the European financial stabilisation mechanism,
- cooperation with economic operators and decision-makers in the abovementioned fields,
- deepening and expanding the EMU,
- purchase of equipment, software development, maintenance and related training for the protection of the euro against counterfeiting.

This appropriation is also intended to cover the funding of priority information measures on Union policies on all aspects of the rules and functioning of EMU, as well as on the benefits of closer policy coordination and structural reforms, and to address information needs of key stakeholders and citizens, in relation to the EMU.

**CHAPTER 01 02 — ECONOMIC AND MONETARY UNION** (*cont'd*)**01 02 01** (*cont'd*)

This measure is designed to be an effective channel of communication and dialogue between the citizens of the Union and the Union institutions, and to take account of specific national and regional characteristics, where appropriate in cooperation with the Member State authorities. Emphasis will also be placed on preparing citizens for the introduction of the euro in Member States planning for its introduction.

It includes:

- the development of communication activities at central level (brochures, leaflets, newsletters, website design, development and maintenance, social media, exhibitions, stands, conferences, seminars, audiovisual products, opinion polls, surveys, studies, promotional material, coin design competitions, twinning programmes, training etc.), and similar activities at the national and regional level implemented in cooperation with the Commission's Representations,
- partnership agreements with Member States that wish to provide information about the euro or the EMU,
- cooperation and networking with Member States in the appropriate forums,
- communication initiatives in third countries, in particular to point out the international role of the euro and the value of financial integration.

The Commission, when implementing this article, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

The implementation of the Commission's communication strategy takes place in close coordination with the Member States and the European Parliament.

The Commission adopts a strategy and an annual work plan drawing on the orientations set out in its Communication of 11 August 2004 (COM(2004) 552 final) and it reports regularly to the relevant committee of the European Parliament on the implementation of the programme and on planning for the coming year.

This appropriation is also intended to cover or to temporarily pre-finance costs incurred by the Union in concluding and carrying out operations linked with the borrowing and lending operations for macro-financial assistance, Euratom, balance of payment and European financial stabilisation mechanism.

Any revenue entered in Article 5 5 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (a) of Article 21(3) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 01 (*cont'd*)

Council Decision 2003/861/EC of 8 December 2003 concerning analysis and cooperation with regard to counterfeit euro coins (OJ L 325, 12.12.2003, p. 44).

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

*Reference acts*

Commission Decision 2005/37/EC of 29 October 2004 establishing the European Technical and Scientific Centre (ETSC) and providing for coordination of technical actions to protect euro coins against counterfeiting (OJ L 19, 21.1.2005, p. 73).

Commission Decision C(2015) 6968 final of 19 October 2015 setting up the Counterfeit Coin Experts Group on the Commission's policy and Regulations regarding the protection of the euro coins against counterfeiting (OJ C 347, 20.10.2015, p. 4).

01 02 02 **European Union guarantee for Union borrowings for balance-of-payments support**

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The European Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).



**CHAPTER 01 02 — ECONOMIC AND MONETARY UNION** (*cont'd*)**01 02 02** (*cont'd*)

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Decision 2011/288/EU of 12 May 2011 providing precautionary EU medium-term financial assistance for Romania (OJ L 132, 19.5.2011, p. 15).

**01 02 03** ***European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism***

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

Article 122(2) of the Treaty on the Functioning of the European Union provides for the possibility of granting Union financial assistance to a Member State in difficulties or seriously threatened with severe difficulties caused by exceptional occurrences beyond its control.

The guarantee provided by the Union is for borrowings raised on the capital markets or from financial institutions.

In accordance with Article 2(2) of Regulation (EU) No 407/2010, the outstanding amount of loans and credit lines granted to Member States under this stabilisation mechanism is to be limited to the margin available under the Union's own resources for payment appropriations.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 03 (*cont'd*)

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

Council Implementing Decision 2011/344/EU of 17 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

Council Implementing Decision 2011/682/EU of 11 October 2011 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 269, 14.10.2011, p. 31).

Council Implementing Decision 2011/683/EU of 11 October 2011 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 269, 14.10.2011, p. 32).

*Reference acts*

Treaty on the Functioning of the European Union, and in particular Article 122(2) thereof.

01 02 04 **Protecting euro banknotes and coins against counterfeiting and related fraud**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments   |
| 1 055 100           | 953 200  | 1 047 500           | 1 000 000 | 1 029 353,87 | 715 252,16 |

*Remarks*

This appropriation is intended to cover the financing of the actions listed in Article 8 of Regulation (EU) No 331/2014 with the aim to provide exchanges of information, cooperation and mutual assistance establishing a harmonised framework for the protection of the euro. It also contributes to raising awareness of Union citizens improving the protection of the euro.

*Legal basis*

Regulation (EU) No 331/2014 of the European Parliament and of the Council of 11 March 2014 establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles 2020' programme) and repealing Council Decisions 2001/923/EC, 2001/924/EC, 2006/75/EC, 2006/76/EC, 2006/849/EC and 2006/850/EC (OJ L 103, 5.4.2014, p. 1), and in particular Article 4 thereof.

Council Regulation (EU) 2015/768 of 11 May 2015 extending to the non-participating Member States the application of Regulation (EU) No 331/2014 of the European Parliament and of the Council establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles 2020' programme) (OJ L 121, 14.5.2015, p. 1), and in particular Article 1 thereof.

## CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (cont'd)

01 02 05 **Enforced budgetary surveillance proceeds to be transferred to the European Stability Mechanism**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                |              |

Remarks

This article is intended to cover the assignment to the European Stability Mechanism of fines collected in application of Articles 6 and 8 of Regulation (EU) No 1173/2011 in accordance with Article 10 thereof. As such, any revenue from fines entered in Article 7 1 3 of the statement of revenue may give rise to the provision of appropriations.

The system of sanctions provided for in Regulation (EU) No 1173/2011 enhances the enforcement of the preventive and corrective parts of the Stability and Growth Pact in the euro area.

Legal basis

Regulation (EU) No 1173/2011 of the European Parliament and of the Council of 16 November 2011 on the effective enforcement of budgetary surveillance in the euro area (OJ L 306, 23.11.2011, p. 1).

01 02 51 **Completion of Pericles**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

Remarks

This appropriation is intended to finance the completion costs of the Pericles programme for training, exchange and assistance for the protection of the euro against counterfeiting.

Legal basis

Council Decision 2001/923/EC of 17 December 2001 establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 339, 21.12.2001, p. 50).

Council Decision 2001/924/EC of 17 December 2001 extending the effects of the Decision establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting ('Pericles' programme) to the Member States which have not adopted the euro as the single currency (OJ L 339, 21.12.2001, p. 55).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 51 (*cont'd*)

Council Decision 2006/75/EC of 30 January 2006 amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 36, 8.2.2006, p. 40).

Council Decision 2006/76/EC of 30 January 2006 extending to the non-participating Member States the application of Decision 2006/75/EC amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 36, 8.2.2006, p. 42).

Council Decision 2006/849/EC of 20 November 2006 amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the Pericles programme) (OJ L 330, 28.11.2006, p. 28).

Council Decision 2006/850/EC of 20 November 2006 extending to the non-participating Member States the application of Decision 2006/849/EC amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the Pericles programme) (OJ L 330, 28.11.2006, p. 30).

*Reference acts*

Commission Communication of 22 July 1998 to the Council, the European Parliament and the European Central Bank: 'Protection of the euro — Combating counterfeiting' (COM(98) 474 final).

Resolution of the European Parliament of 17 November 1998 on the communication from the Commission to the Council, the European Parliament and the European Central Bank: 'Protection of the euro — Combating counterfeiting' (OJ C 379, 7.12.1998, p. 39).

01 02 77 **Pilot projects and preparatory actions**

01 02 77 01 Preparatory action — Capacity development and institution building to support the implementation of economic reforms

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |           |
|---------------------|----------|---------------------|-----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments  |
| p.m.                | 700 000  | p.m.                | 1 000 000 | 3 000 000,—  | 774 477,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action is intended to finance the provision of support to Member States for the implementation of key reforms in the areas of fiscal responsibility, growth-enhancing public administration and competitiveness.

**CHAPTER 01 02 — ECONOMIC AND MONETARY UNION** (*cont'd*)**01 02 77** (*cont'd*)01 02 77 01 (*cont'd*)

This support will aim to enhance the capacity of Member States to implement reforms and to strengthen their public institutions. It should be focused in particular on fiscal, structural and institutional reforms that have been identified as priorities in the course of the Union's regular cycle of macroeconomic surveillance, in the course of an economic adjustment programme, during enhanced surveillance, or during post-programme surveillance. It will be delivered by the Commission upon request of the Member State concerned and is open to all Member States.

This appropriation may be used to cover the implementation of technical assistance programmes and projects by national and international public organisations with strong expertise in capacity development and institution building as well as by private sector actors. It may also be used to cover expenditure related to the preparatory phases of such programmes and projects (programming, identification and formulation) as well as monitoring, evaluation, audit and control during and after implementation. It may also cover the costs of short-term technical assistance, twinning-type arrangements between public authorities as well as support expenditure for such activities (training, meetings, seminars, studies).

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

## CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                     | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|--------------|--------------|-------------------------|
|                                     |                                                                                                                                                             |    | Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |                         |
| 01 03                               | INTERNATIONAL<br>ECONOMIC AND<br>FINANCIAL AFFAIRS                                                                                                          |    |                     |            |                     |            |              |              |                         |
| <b>01 03 01</b>                     | <b>Participation in the<br/>capital of inter-<br/>national financial<br/>institutions</b>                                                                   |    |                     |            |                     |            |              |              |                         |
| 01 03 01 01                         | European Bank for<br>Reconstruction and<br>Development —<br>Provision of paid-up<br>shares of subscribed<br>capital                                         | 4  | —                   | —          | —                   | —          | 0,—          | 0,—          |                         |
| 01 03 01 02                         | European Bank for<br>Reconstruction and<br>Development —<br>Callable portion of<br>subscribed capital                                                       | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
|                                     | Article 01 03 01 —<br>Subtotal                                                                                                                              |    | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| <b>01 03 02</b>                     | <b>Macro-financial<br/>assistance</b>                                                                                                                       | 4  | 42 086 000          | 42 086 000 | 45 828 000          | 45 828 000 | 331 821,—    | 5 131 195,28 | 12,19                   |
| <b>01 03 03</b>                     | <b>European Union<br/>guarantee for Union<br/>borrowings for<br/>macro-financial<br/>assistance to third<br/>countries</b>                                  | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| <b>01 03 04</b>                     | <b>Guarantee for<br/>Euratom borrowings<br/>to improve the<br/>degree of efficiency<br/>and safety of nuclear<br/>power stations in<br/>third countries</b> | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| <b>01 03 05</b>                     | <b>European Union<br/>guarantee for<br/>European Investment<br/>Bank loans and loan<br/>guarantees for oper-<br/>ations in third<br/>countries</b>          | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                  | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016         |                       | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|----------------------|-----------------------|-------------------------|
|                                     |                                                                                          |    | Commitments         | Payments           | Commitments         | Payments           | Commitments          | Payments              |                         |
| 01 03 06                            | <b>Provisioning of the Guarantee Fund for external actions</b>                           | 4  | 1 37 800 722        | 137 800 722        | 240 540 250         | 240 540 250        | 257 121 792,—        | 257 121 792,—         | 186,59                  |
| 01 03 07                            | <b>European Union guarantee for the European Fund for Sustainable Development (EFSD)</b> | 4  | p.m.                | p.m.               | p.m.                | p.m.               |                      |                       |                         |
| 01 03 08                            | <b>Provisioning of the EFSD Guarantee Fund</b>                                           | 4  | p.m.                | p.m.               | 275 000 000         | 275 000 000        |                      |                       |                         |
|                                     | Reserves (40 02 40)                                                                      |    | 25 000 000          | 25 000 000         |                     |                    |                      |                       |                         |
|                                     |                                                                                          |    | 25 000 000          | 25 000 000         | 275 000 000         | 275 000 000        |                      |                       |                         |
|                                     | <b>Chapter 01 03 — Total</b>                                                             |    | <b>179 886 722</b>  | <b>179 886 722</b> | <b>561 368 250</b>  | <b>561 368 250</b> | <b>257 453 613,—</b> | <b>262 252 987,28</b> | <b>145,79</b>           |
|                                     | Reserves (40 02 40)                                                                      |    | 25 000 000          | 25 000 000         |                     |                    |                      |                       |                         |
|                                     |                                                                                          |    | <b>204 886 722</b>  | <b>204 886 722</b> | <b>561 368 250</b>  | <b>561 368 250</b> | <b>257 453 613,—</b> | <b>262 252 987,28</b> |                         |

**01 03 01 Participation in the capital of international financial institutions**

01 03 01 01 European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| —                   | —        | —                   | —        | 0,—          | 0,—      |

Remarks

This item is intended to cover the financing of the capital subscribed by the Union in the European Bank for Reconstruction and Development (EBRD).

Legal basis

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Decision No 1219/2011/EU of the European Parliament and of the Council of 16 November 2011 concerning the subscription by the European Union to additional shares in the capital of the European Bank for Reconstruction and Development (EBRD) as a result of the decision to increase this capital (OJ L 313, 26.11.2011, p. 1).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

## CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (cont'd)

## 01 03 01 (cont'd)

## 01 03 01 02 European Bank for Reconstruction and Development — Callable portion of subscribed capital

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

This appropriation is intended to cover the financing of the capital subscribed by the Union in the European Bank for Reconstruction and Development.

The EBRD's current subscribed capital base amounts to EUR 29 674 000 000, while the total share capital subscribed by the Union accounts for EUR 900 440 000 (3 %). The paid-in shares of subscribed capital amounts to EUR 187 810 000, leaving a callable portion of subscribed capital amounting to EUR 712 630 000.

## Legal basis

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Decision No 1219/2011/EU of the European Parliament and of the Council of 16 November 2011 concerning the subscription by the European Union to additional shares in the capital of the European Bank for Reconstruction and Development (EBRD) as a result of the decision to increase this capital (OJ L 313, 26.11.2011, p. 1).

01 03 02 **Macro-financial assistance**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 42 086 000          | 42 086 000 | 45 828 000          | 45 828 000 | 331 821,—    | 5 131 195,28 |

## Remarks

Macro-financial assistance ('MFA') is a form of financial aid extended by the Union to partner countries experiencing a balance of payments crisis. MFA is designed for countries geographically, economically and politically close to the Union. These include candidate and potential candidate countries, countries covered by the European Neighbourhood Policy and, in certain circumstances, other third countries. In principle, MFA is only available to countries benefiting from an International Monetary Fund programme.



CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 02 (*cont'd*)

MFA is exceptional in nature and is mobilised on a case-by-case basis to help countries dealing with serious balance-of-payments difficulties. Its objective is to restore a sustainable external financial situation, while encouraging economic adjustments and structural reforms.

While MFA can take the form of medium/long-term loans or grants, or a combination of these, this article only covers the grant element of MFA operations.

Appropriations under this article will also be used to cover costs incurred in relation to MFA operations, and in particular: (i) costs incurred to conduct operational assessments in the beneficiary countries to obtain reasonable assurances on the functioning of administrative procedures and financial circuits, (ii) costs related to the implementation of the 'Better Regulation' guidelines, in particular for ex-post evaluations of MFA operations, and (iii) costs to cover comitology requirements.

The Commission will inform the budgetary authority regularly of the macro-financial situation of the beneficiary countries and will report extensively on the implementation of MFA on a yearly basis.

Any revenue entered in Article 5 5 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (a) of Article 21(3) of the Financial Regulation.

*Legal basis*

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision No 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to Tunisia (OJ L 151, 21.5.2014, p. 9).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 02 (*cont'd*)

Decision (EU) 2016/1112 of the European Parliament and of the Council of 6 July 2016 providing further macro-financial assistance to Tunisia (OJ L 186, 9.7.2016, p. 1).

Decision (EU) 2016/2371 of the European Parliament and of the Council of 14 December 2016 providing further macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 352, 23.12.2016, p. 18).

Decision (EU) 2017/1565 of the European Parliament and of the Council of 13 September 2017 on providing macro-financial assistance to the Republic of Moldova (OJ L 242, 20.9.2017, p. 14).

01 03 03 *European Union guarantee for Union borrowings for macro-financial assistance to third countries*

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on a loan granted under the Decisions referred to below.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 14 of Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59) (a maximum amount of EUR 40 000 000 in principal).

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57) (a maximum amount of EUR 30 000 000 in principal in the form of a 15-year loan).

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29) (a maximum amount of EUR 200 000 000 in principal).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31) (a maximum amount of EUR 50 000 000 in principal).

**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)**01 03 03** (*cont'd*)

Council Decision 2000/244/EC of 20 March 2000 amending Decision 97/787/EC providing exceptional financial assistance to Armenia and Georgia in order to extend it to Tajikistan (OJ L 77, 28.3.2000, p. 11) (a maximum amount of EUR 245 000 000 in principal).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2003/825/EC of 25 November 2003 amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia with regard to additional macro-financial assistance to Serbia and Montenegro (OJ L 311, 27.11.2003, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2004/861/EC of 7 December 2004 amending Council Decision 2002/883/EC providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 370, 17.12.2004, p. 80).

Council Decision 2004/862/EC of 7 December 2004 on macro-financial assistance to Serbia and Montenegro and amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 370, 17.12.2004, p. 81).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

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CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 03 (*cont'd*)

Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision No 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to the Republic of Tunisia (OJ L 151, 21.5.2014, p. 9).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

Decision (EU) 2016/1112 of the European Parliament and of the Council of 6 July 2016 providing further macro-financial assistance to Tunisia (OJ L 186, 9.7.2016, p. 1).

Decision (EU) 2016/2371 of the European Parliament and of the Council of 14 December 2016 providing further macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 352, 23.12.2016, p. 18).

Decision (EU) 2017/1565 of the European Parliament and of the Council of 13 September 2017 on providing macro-financial assistance to the Republic of Moldova (OJ L 242, 20.9.2017, p. 14).

**01 03 04** ***Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in third countries***

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

Remarks

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 14 of Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39) applies.

**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)**01 03 04** (*cont'd*)

The maximum amount of Euratom borrowings for the Member States and third countries remains fixed at EUR 4 000 000 000, as indicated in Article 01 04 03.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

For the legal basis for Euratom loans, see also Article 01 04 03.

**01 03 05** ***European Union guarantee for European Investment Bank loans and loan guarantees for operations in third countries****Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

Pursuant to Council Decision of 8 March 1977, the Union guarantees loans made by the European Investment Bank (EIB) in the context of the Union's financial commitments in respect of the Mediterranean countries.

That decision was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 30 October 1978 and in Luxembourg on 10 November 1978, setting up a comprehensive guarantee, equal to 75 % of all the funds available for loan operations in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Syria, Israel, Jordan, Egypt, former Yugoslavia and Lebanon.

Decision 90/62/EEC was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 24 April 1990 and in Luxembourg on 14 May 1990 as regards Hungary and Poland and for the extension of that contract to cover Czechoslovakia, Romania and Bulgaria, signed in Brussels and in Luxembourg on 31 July 1991.

Decision 93/696/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Pursuant to Decisions 93/115/EEC and 96/723/EC, the Union guarantees loans which may be made individually by the EIB in countries of Latin America and Asia with which the European Community has concluded cooperation agreements. Decision 93/115/EEC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993. Decision 96/723/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

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## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Pursuant to Decision 95/207/EC, the Union guarantees loans which may be made individually by the EIB in South Africa. Decision 95/207/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997 setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations opened is equivalent to EUR 7 105 000 000.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 24 January 2000 and in Luxembourg on 17 January 2000, last restated in 2005, setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 19 460 000 000. The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2001/777/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002 setting up a guarantee at 100 % against losses under a special lending action for selected environment projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling is EUR 100 000 000.

Decision 2005/48/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 9 December 2005 and in Brussels on 21 December 2005 setting up a guarantee at 100 % against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling is EUR 500 000 000. It covers a period ending on 31 January 2007. At the end of this period, the loans granted by the EIB not having attained the overall amounts referred to above, the period was automatically extended by six months.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations, less amounts reimbursed, plus all related sums. The overall ceiling of the appropriations made available for all countries under the Decision is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. That Decision has been replaced by Decision No 633/2009/EC.

Decision No 633/2009/EC was the basis for an amendment, signed on 28 October 2009, to the contract of guarantee between the European Community and the EIB which was signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007. The Union guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 27 800 000 000, broken down in a basic ceiling of EUR 25 800 000 000 and an optional mandate of EUR 2 000 000 000. It covers a period ending on 31 October 2011.

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011. The Union guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees less amounts reimbursed, plus all related amounts. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 29 484 000 000, broken down into a general mandate of EUR 27 484 000 000 and a Climate Change mandate of EUR 2 000 000 000. It covers a period beginning on 1 February 2007 and ending on 31 December 2013 with an extension until the entry into force of a new decision.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg on 22 July 2014 and in Brussels on 25 July 2014. The Union guarantee is restricted to 65 % of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all related amounts. The maximum ceiling of the EIB financing operations under the Union guarantee, less amounts cancelled, shall not exceed EUR 30 000 000 000, broken down into a fixed ceiling of EUR 27 000 000 000 and an optional mandate of EUR 3 000 000 000. The European Parliament and the Council shall decide in accordance with the ordinary legislative procedure on the activation in whole or in part of the optional mandate. The Union guarantee covers EIB financing operations signed during the period beginning on 25 July 2014 and ending on 31 December 2020 with an extension of six months if the European Parliament and the Council have not adopted a new decision granting the Union guarantee to the EIB against losses under its financing operations outside the Union before the end of 2020.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 14 of Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39) applies.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on the loans granted by the EIB.

*Legal basis*

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the Interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

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CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary, Poland, Czechoslovakia, Bulgaria and Romania (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol relating to financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all the Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5).



CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and eastern European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia and amending Decision 97/256/EC granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern European countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 155, 29.5.1998, p. 53).

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CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/688/EC of 7 November 2000 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus (OJ L 21, 25.1.2005, p. 11).

Council Decision 2006/174/EC of 27 February 2006 amending Decision 2000/24/EC in order to include the Maldives in the list of countries covered, following the Indian Ocean tsunamis of December 2004 (OJ L 62, 3.3.2006, p. 26).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)*Reference acts*

Proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

01 03 06 **Provisioning of the Guarantee Fund for external actions***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 1 37 800 722        | 240 540 250         | 257 121 792,— |

*Remarks*

This appropriation is intended to provide the financial resources for payments to the Guarantee Fund for external actions according to its provisioning mechanism and for payments of operational costs linked to the management of the fund and the external evaluation to be carried out in the context of the mid-term review of the EIB external mandate.

Assigned revenue received under Article 6 4 1 or 8 1 0 of the statement of revenue may give rise to additional appropriations under this article, in accordance with Article 21 of the Financial Regulation and with Article 10 of Decision No 466/2014/EU.

*Legal basis*

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Regulation (EU, Euratom) No 480/2009 establishing a Guarantee Fund for external actions (COM(2016) 582 final).

Proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

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## CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (cont'd)

**01 03 07 European Union guarantee for the European Fund for Sustainable Development (EFSD)**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                |              |

## Remarks

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on the instruments guaranteed.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 14 of Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39) applies.

## Legal basis

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

**01 03 08 Provisioning of the EFSD Guarantee Fund**

Figures (Non-differentiated appropriations)

|                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|---------------------|--------------|
| 01 03 08            | p.m.                | 275 000 000         |              |
| Reserves (40 02 40) | 25 000 000          |                     |              |
| Total               | 25 000 000          | 275 000 000         |              |

## Remarks

This appropriation is intended to provide the financial resources for payments to the EFSD Guarantee Fund in accordance with its legal basis and the procedures determined therein.

Assigned revenue received under Article 6 3 5 of the statement of revenue may give rise to additional appropriations under this Article, in accordance with Article 21 of the Financial Regulation.

## Legal basis

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

**CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                    | FF  | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|---------------|-----------------|------------------|-----------------------------|
|                                     |                                                                                                                            |     | Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |                             |
| 01 04                               | FINANCIAL OPERATIONS AND INSTRUMENTS                                                                                       |     |                     |               |                     |               |                 |                  |                             |
| <b>01 04 01</b>                     | <b>European Investment Fund</b>                                                                                            |     |                     |               |                     |               |                 |                  |                             |
| 01 04 01 01                         | European Investment Fund — Provision of paid-up shares of subscribed capital                                               | 1.1 | p.m.                | p.m.          | 44 500 000          | 44 500 000    | 40 987 457,28   | 40 987 457,28    |                             |
| 01 04 01 02                         | European Investment Fund — Callable portion of subscribed capital                                                          | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                             |
|                                     | <i>Article 01 04 01 — Subtotal</i>                                                                                         |     | p.m.                | p.m.          | 44 500 000          | 44 500 000    | 40 987 457,28   | 40 987 457,28    |                             |
| <b>01 04 02</b>                     | <b>Nuclear safety — Cooperation with the European Investment Bank</b>                                                      | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                             |
| <b>01 04 03</b>                     | <b>Guarantee for Euratom borrowings</b>                                                                                    | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                             |
| <b>01 04 04</b>                     | <b>Guarantee for the European Fund for Strategic Investments (EFSI)</b>                                                    | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                             |
| <b>01 04 05</b>                     | <b>Provisioning of the EFSI guarantee fund</b>                                                                             | 1.1 | 1 905 092 000       | 1 800 000 000 | 2 641 000 000       | 2 300 000 000 | 2 110 238 000,— | 1 018 037 889,04 | 56,56                       |
|                                     | <i>Reserves (40 02 41)</i>                                                                                                 |     | 105 185 000         |               |                     |               |                 |                  |                             |
|                                     |                                                                                                                            |     | 2 010 277 000       | 1 800 000 000 | 2 641 000 000       | 2 300 000 000 | 2 110 238 000,— | 1 018 037 889,04 |                             |
| <b>01 04 06</b>                     | <b>European Investment Advisory Hub (EIAH) and European Investment Project Portal (EIPP)</b>                               | 1.1 | 20 000 000          | 20 000 000    | 20 000 000          | 16 800 000    | 19 999 667,82   | 8 603 693,17     | 43,02                       |
| <b>01 04 07</b>                     | <b>Fees due to the European Investment Fund for increased assistance under the European Fund for Strategic Investments</b> | 1.1 | 8 000 000           | 8 000 000     | p.m.                | p.m.          | 5 000 000,—     | 5 000 000,—      | 62,50                       |

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

## CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                   | FF  | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|--------------------------------|
|                                     |                                                                                                           |     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                                |
| <b>01 04 51</b>                     | <b>Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)</b> | 1.1 | p.m.                 | 49 900 000           | p.m.                 | 96 000 000           | 0,—                     | 98 649 600,—            | 197,69                         |
| <b>01 04 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                             |     |                      |                      |                      |                      |                         |                         |                                |
| 01 04 77 02                         | Pilot project — State asset management                                                                    | 1.1 | p.m.                 | p.m.                 | p.m.                 | 600 000              | 800 000,—               | 320 000,—               |                                |
|                                     | Article 01 04 77 — Subtotal                                                                               |     | p.m.                 | p.m.                 | p.m.                 | 600 000              | 800 000,—               | 320 000,—               |                                |
|                                     | <b>Chapter 01 04 — Total</b>                                                                              |     | <b>1 933 092 000</b> | <b>1 877 900 000</b> | <b>2 705 500 000</b> | <b>2 457 900 000</b> | <b>2 177 025 125,10</b> | <b>1 171 598 639,49</b> | <b>62,39</b>                   |
|                                     | <b>Reserves (40 02 41)</b>                                                                                |     | <b>105 185 000</b>   |                      |                      |                      |                         |                         |                                |
|                                     |                                                                                                           |     | <b>2 038 277 000</b> | <b>1 877 900 000</b> | <b>2 705 500 000</b> | <b>2 457 900 000</b> | <b>2 177 025 125,10</b> | <b>1 171 598 639,49</b> |                                |

**01 04 01 European Investment Fund**

01 04 01 01 European Investment Fund — Provision of paid-up shares of subscribed capital

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|----------|---------------------|------------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments   | Commitments   | Payments      |
| p.m.                | p.m.     | 44 500 000          | 44 500 000 | 40 987 457,28 | 40 987 457,28 |

*Remarks*

This appropriation is intended to cover the financing of the provision of paid-up shares of the capital subscribed by the Union.

The European Investment Fund (EIF) was created in 1994. Its founder members were the European Community, represented by the Commission, the European Investment Bank (EIB) and a number of financial institutions. Union membership of the EIF is presently governed by Decision 94/375/EC.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).

**CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS** (cont'd)**01 04 01** (cont'd)

## 01 04 01 02 European Investment Fund — Callable portion of subscribed capital

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing in the event of a call for the amount of capital subscribed by the Union.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).

**01 04 02 Nuclear safety — Cooperation with the European Investment Bank***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing of the technical and legal assistance necessary for the evaluation of the safety, environmental, economic and financial aspects of the projects for which financing in the form of a Euratom loan has been requested, including studies undertaken by the European Investment Bank (EIB). The measures are also intended to enable loan contracts to be concluded and carried out.

Appropriation under this article will also be used to cover or temporarily pre-finance costs incurred by the Union in concluding and carrying out operations linked with Euratom borrowing and lending operations.

Any revenue entered in Article 5 5 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (a) of Article 21(3) of the Financial Regulation.

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CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 02 (*cont'd*)*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

01 04 03 **Guarantee for Euratom borrowings***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The authorised borrowing ceiling is EUR 4 000 000 000, of which EUR 500 000 000 is authorised pursuant to Decision 77/270/Euratom, EUR 500 000 000 pursuant to Decision 80/29/Euratom, EUR 1 000 000 000 pursuant to Decision 82/170/Euratom, EUR 1 000 000 000 pursuant to Decision 85/537/Euratom and EUR 1 000 000 000 pursuant to Decision 90/212/Euratom.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council Decision 77/271/Euratom of 29 March 1977 on the implementation of Decision 77/270/Euratom empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 11).

Council Decision 80/29/Euratom of 20 December 1979 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 12, 17.1.1980, p. 28).

Council Decision 82/170/Euratom of 15 March 1982 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 78, 24.3.1982, p. 21).



**CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS** (*cont'd*)**01 04 03** (*cont'd*)

Council Decision 85/537/Euratom of 5 December 1985 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 334, 12.12.1985, p. 23).

Council Decision 90/212/Euratom of 23 April 1990 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 112, 3.5.1990, p. 26).

**01 04 04** ***Guarantee for the European Fund for Strategic Investments (EFSI)***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This article will only receive appropriations in the event that the European Investment Bank makes calls on the EFSI guarantee, in excess of the available resources of the guarantee fund and in accordance with Regulation (EU) 2015/1017, with the Bank's agreement with the Commission to that effect and with the procedures determined therein.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic and Social Committee, the Committee of the Regions and the European Investment bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

Communication from the Commission to the European Parliament, the European Council, the Council, the European Economic and Social Committee and the Committee of the Regions of 1 June 2016 — Europe investing again — Taking stock of the Investment Plan for Europe and next steps (COM(2016) 359 final).

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 29 November 2016 — Investment Plan for Europe: evaluations give evidence to support its reinforcement (COM(2016) 764 final).

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CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)**01 04 05 Provisioning of the EFSI guarantee fund***Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  |
|---------------------|---------------------|---------------|---------------------|---------------|-----------------|------------------|
|                     | Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |
| 01 04 05            | 1 905 092 000       | 1 800 000 000 | 2 641 000 000       | 2 300 000 000 | 2 110 238 000,— | 1 018 037 889,04 |
| Reserves (40 02 41) | 105 185 000         |               |                     |               |                 |                  |
| Total               | 2 010 277 000       | 1 800 000 000 | 2 641 000 000       | 2 300 000 000 | 2 110 238 000,— | 1 018 037 889,04 |

*Remarks*

This appropriation is intended to provide the financial resources for payments to the EFSI guarantee fund in accordance with Regulation (EU) 2015/1017 and the procedures determined therein. Notably, the provisioning has the objective to provide for orderly execution of the budget if the EFSI guarantee is called.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (O) L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

Commission Decision C(2016) 165 of 21 January 2016 approving the asset management guidelines of the guarantee fund of the European Fund for Strategic Investments.

Communication from the Commission to the European Parliament, the European Council, the Council, the European Economic and Social Committee and the Committee of the Regions of 1 June 2016 — Europe investing again — Taking stock of the Investment Plan for Europe and next steps (COM(2016) 359 final).

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 29 November 2016 — Investment Plan for Europe: evaluations give evidence to support its reinforcement (COM(2016) 764 final).

## CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (cont'd)

01 04 06 *European Investment Advisory Hub (EIAH) and European Investment Project Portal (EIPP)**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 20 000 000          | 20 000 000 | 20 000 000          | 16 800 000 | 19 999 667,82 | 8 603 693,17 |

*Remarks*

This appropriation is intended to cover:

- financial support to the European Investment Bank for the set-up and implementation of EIAH in accordance with Article 14 of Regulation (EU) 2015/1017 providing, inter alia, advisory support to project promoters including technical advice on the use and set-up of financial instruments, and
- costs related to the set-up and development, management, support and maintenance and hosting of the EIPP, as well as branding and communication costs in accordance with the relevant articles of Commission Implementing Decision (EU) 2015/1214 of 22 July 2015 creating the European Investment Project Portal and setting out its technical specifications (OJ L 196, 24.7.2015, p. 23).

Any revenue entered in Item 6 6 0 0 from fees charged to private project promoters in connection to the EIPP may give rise to the provision of additional appropriations in accordance with Article 21(4) of the Financial Regulation.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

Communication from the Commission to the European Parliament, the European Council, the Council, the European Economic and Social Committee and the Committee of the Regions of 1 June 2016 — Europe investing again — Taking stock of the Investment Plan for Europe and next steps (COM(2016) 359 final).

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 29 November 2016 — Investment Plan for Europe: evaluations give evidence to support its reinforcement (COM(2016) 764 final).

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CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)

**01 04 07 Fees due to the European Investment Fund for increased assistance under the European Fund for Strategic Investments**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|-----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments    |
| 8 000 000           | 8 000 000 | p.m.                | p.m.     | 5 000 000,—  | 5 000 000,— |

*Remarks*

The European Investment Fund (EIF) implements the SME window of the European Fund for Strategic Investments, which supports loan and equity financing of SMEs and mid-caps. The EIF is entitled to receive management fees for the implementation of the SME window. As provided for in Regulation (EU) 2015/1017, fees due to the EIF shall be primarily met by revenues from the resources in the EFSI guarantee fund and the European Fund for Strategic Investments. However, to the extent that those revenues are not sufficient to cover the fees due to the EIF, those fees shall be covered by the general budget of the Union.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

**01 04 51 Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| p.m.                | 49 900 000 | p.m.                | 96 000 000 | 0,—          | 98 649 600,— |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Even though the end of the commitment period has been reached, the facilities have to be operated for several years during which time payments will be needed for investments and in order to honour guarantee obligations. Therefore reporting and monitoring requirements will continue until the end of the facility periods.

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 51 (*cont'd*)

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates, for participating in Union programmes and entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenues and repayments generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will be returned to the general budget of the Union or transferred to the succeeding financial instruments under the Union equity instruments for research and innovation under Horizon 2020 or the Equity Facility for Growth under COSME, as the case may be, in accordance with the Financial Regulation and Regulations (EU) No 1287/2013 and (EU) No 1290/2013.

*Legal basis*

Council Decision 98/347/EC of 19 May 1998 on measures of financial assistance for innovative and job-creating small and medium-sized enterprises (SMEs) — the growth and employment initiative (OJ L 155, 29.5.1998, p. 43).

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 333, 29.12.2000, p. 84).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 289, 3.11.2005, p. 14).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)**01 04 51** (*cont'd*)

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

**01 04 77** **Pilot projects and preparatory actions**

01 04 77 02 Pilot project — State asset management

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 600 000  | 800 000,—    | 320 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 02*

**INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES**

COMMISSION

TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

**TITLE 02****INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                                              | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                                                      | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 02 01         | ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA | 133 842 680          | 133 842 680          | 138 439 538          | 138 439 538          | 143 731 590,23          | 143 731 590,23          |
| 02 02         | COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)                        | 349 120 000          | 198 923 451          | 343 600 000          | 269 121 432          | 330 922 848,59          | 134 735 955,66          |
| 02 03         | INTERNAL MARKET FOR GOODS AND SERVICES                                                               | 77 965 021           | 73 590 836           | 123 330 500          | 117 039 500          | 109 326 958,10          | 106 783 656,42          |
| 02 04         | HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES                                                      | 331 469 484          | 304 666 320          | 306 055 360          | 313 803 660          | 276 028 524,56          | 342 341 451,36          |
| 02 05         | EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)                                         | 836 197 525          | 746 338 525          | 921 488 436          | 711 523 436          | 931 566 816,14          | 584 633 098,05          |
| 02 06         | EUROPEAN EARTH OBSERVATION PROGRAMME                                                                 | 627 591 000          | 605 000 000          | 604 832 000          | 694 172 000          | 599 498 379,—           | 588 681 747,99          |
|               | <b>Title 02 — Total</b>                                                                              | <b>2 356 185 710</b> | <b>2 062 361 812</b> | <b>2 437 745 834</b> | <b>2 244 099 566</b> | <b>2 391 075 116,62</b> | <b>1 900 907 499,71</b> |



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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## TITLE 02

## INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                 | FF  | Appropriations<br>2018 | Appropriations<br>2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------------------|------------------------|---------------|-------------|
| 02 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA                                                                    |     |                        |                        |               |             |
| <b>02 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Internal market, industry, entrepreneurship and SMEs' policy area</b>                                   | 5.2 | 84 934 866             | 89 338 192             | 93 600 658,08 | 110,20      |
| <b>02 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Internal market, industry, entrepreneurship and SMEs' policy area</b>                         |     |                        |                        |               |             |
| 02 01 02 01                         | External personnel                                                                                                                                                      | 5.2 | 6 740 536              | 7 341 175              | 6 763 995,52  | 100,35      |
| 02 01 02 11                         | Other management expenditure                                                                                                                                            | 5.2 | 5 030 730              | 5 059 144              | 4 934 863,—   | 98,09       |
|                                     | <i>Article 02 01 02 — Subtotal</i>                                                                                                                                      |     | 11 771 266             | 12 400 319             | 11 698 858,52 | 99,38       |
| <b>02 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services of the 'Internal market, industry, entrepreneurship and SMEs' policy area</b> | 5.2 | 5 490 470              | 5 578 578              | 7 197 578,81  | 131,09      |
| <b>02 01 04</b>                     | <b>Support expenditure for operations and programmes in the 'Internal market, industry, entrepreneurship and SMEs' policy area</b>                                      |     |                        |                        |               |             |
| 02 01 04 01                         | Support expenditure for Competitiveness of enterprises and small and medium-sized enterprises (COSME)                                                                   | 1.1 | 3 500 000              | 3 440 000              | 3 109 344,40  | 88,84       |
| 02 01 04 02                         | Support expenditure for standardisation and approximation of legislation                                                                                                | 1.1 | 160 000                | 160 000                | 158 843,46    | 99,28       |
| 02 01 04 03                         | Support expenditure for European satellite navigation programmes                                                                                                        | 1.1 | 3 000 000              | 2 500 000              | 3 809 285,67  | 126,98      |
| 02 01 04 04                         | Support expenditure for European Earth observation programme (Copernicus)                                                                                               | 1.1 | 2 600 000              | 2 600 000              | 2 664 299,29  | 102,47      |
|                                     | <i>Article 02 01 04 — Subtotal</i>                                                                                                                                      |     | 9 260 000              | 8 700 000              | 9 741 772,82  | 105,20      |
| <b>02 01 05</b>                     | <b>Support expenditure for research and innovation programmes in the 'Internal market, industry, entrepreneurship and SMEs' policy area</b>                             |     |                        |                        |               |             |
| 02 01 05 01                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                                                     | 1.1 | 8 326 928              | 7 855 592              | 8 149 910,—   | 97,87       |

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## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                   | FF  | Appropriations<br>2018 | Appropriations<br>2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------------------|------------------------|-----------------------|---------------|
| <b>02 01 05</b>                     | (cont'd)                                                                                                                                                  |     |                        |                        |                       |               |
| 02 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020                                                                         | 1.1 | 2 670 464              | 2 605 344              | 2 871 630,—           | 107,53        |
| 02 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020                                                                        | 1.1 | 1 900 000              | 2 937 950              | 3 465 787,—           | 182,41        |
|                                     | <i>Article 02 01 05 — Subtotal</i>                                                                                                                        |     | 12 897 392             | 13 398 886             | 14 487 327,—          | 112,33        |
| <b>02 01 06</b>                     | <b>Executive agencies</b>                                                                                                                                 |     |                        |                        |                       |               |
| 02 01 06 01                         | Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME) | 1.1 | 9 488 686              | 9 023 563              | 7 005 395,—           | 73,83         |
|                                     | <i>Article 02 01 06 — Subtotal</i>                                                                                                                        |     | 9 488 686              | 9 023 563              | 7 005 395,—           | 73,83         |
|                                     | <b>Chapter 02 01 — Total</b>                                                                                                                              |     | <b>133 842 680</b>     | <b>138 439 538</b>     | <b>143 731 590,23</b> | <b>107,39</b> |

**02 01 01** *Expenditure related to officials and temporary staff in the 'Internal market, industry, entrepreneurship and SMEs' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 84 934 866          | 89 338 192          | 93 600 658,08 |

**02 01 02** *External personnel and other management expenditure in support of the 'Internal market, industry, entrepreneurship and SMEs' policy area*

02 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 740 536           | 7 341 175           | 6 763 995,52 |

02 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 030 730           | 5 059 144           | 4 934 863,—  |

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES’ POLICY AREA** (cont'd)

**02 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Internal market, industry, entrepreneurship and SMEs’ policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 490 470           | 5 578 578           | 7 197 578,81 |

**02 01 04** *Support expenditure for operations and programmes in the ‘Internal market, industry, entrepreneurship and SMEs’ policy area*

**02 01 04 01** Support expenditure for Competitiveness of enterprises and small and medium-sized enterprises (COSME)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 500 000           | 3 440 000           | 3 109 344,40 |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

*Legal basis*

See Chapter 02 02.

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**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA** (cont'd)**02 01 04** (cont'd)

02 01 04 02 Support expenditure for standardisation and approximation of legislation

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 160 000             | 160 000             | 158 843,46   |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 02 03 02.

02 01 04 03 Support expenditure for European satellite navigation programmes

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 000 000           | 2 500 000           | 3 809 285,67 |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 02 05.

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**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA** (cont'd)

**02 01 04** (cont'd)

02 01 04 04 Support expenditure for European Earth observation programme (Copernicus)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 600 000           | 2 600 000           | 2 664 299,29 |

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts. Activities relating to the User Forum created by Article 17 of Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth monitoring programme (GMES) and its initial operations (2011-2013) (OJ L 276, 20.10.2010, p. 1) may also be covered.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

Legal basis

See Chapter 02 06.

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## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA (cont'd)

**02 01 05 Support expenditure for research and innovation programmes in the 'Internal market, industry, entrepreneurship and SMEs' policy area**

02 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 326 928           | 7 855 592           | 8 149 910,—  |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020 and occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 02 04.

02 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 670 464           | 2 605 344           | 2 871 630,—  |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA** (cont'd)**02 01 05** (cont'd)

02 01 05 02 (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 02 04.

02 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 900 000           | 2 937 950           | 3 465 787,—  |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA (cont'd)

02 01 05 (cont'd)

02 01 05 03 (cont'd)

*Legal basis*

See Chapter 02 04.

02 01 06 **Executive agencies**

02 01 06 01 Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 488 686           | 9 023 563           | 7 005 395,—  |

*Remarks*

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.



**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA** (*cont'd*)**02 01 06** (*cont'd*)02 01 06 01 (*cont'd*)*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

*Reference acts*

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the 'Intelligent Energy Executive Agency', to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the 'Intelligent Energy Executive Agency' into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                 | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               | % Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------|---------------|-----------------------------|
|                                     |                                                                                                                                         |     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |                             |
| 02 02                               | COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)                                                           |     |                     |             |                     |             |                |               |                             |
| 02 02 01                            | <i>Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises</i>                          | 1.1 | 126 566 000         | 69 717 000  | 119 820 000         | 140 000 000 | 113 609 618,08 | 57 217 895,74 | 82,07                       |
| 02 02 02                            | <i>Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt</i>                         | 1.1 | 214 554 000         | 120 850 000 | 217 030 000         | 120 000 000 | 209 313 851,53 | 67 550 195,42 | 55,90                       |
| 02 02 51                            | <i>Completion of former activities in the competitiveness and entrepreneurship domain</i>                                               | 1.1 | p.m.                | p.m.        | p.m.                | 700 000     | 152 886,64     | 5 954 112,03  |                             |
| 02 02 77                            | <i>Pilot projects and preparatory actions</i>                                                                                           |     |                     |             |                     |             |                |               |                             |
| 02 02 77 03                         | Preparatory action — Erasmus for Young Entrepreneurs                                                                                    | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 71 489,99     |                             |
| 02 02 77 08                         | Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products | 1.1 | p.m.                | p.m.        | p.m.                | 485 000     | 0,—            | 989 272,39    |                             |
| 02 02 77 09                         | Preparatory action — Tourism and accessibility for all                                                                                  | 1.1 | p.m.                | p.m.        | p.m.                | 270 000     | 0,—            | 460 652,61    |                             |
| 02 02 77 10                         | Preparatory action — Euromed innovation entrepreneurs for change                                                                        | 1.1 | p.m.                | 100 000     | p.m.                | 490 000     | 0,—            | 487 191,89    | 487,19                      |
| 02 02 77 13                         | Pilot project — Development of the European 'creative districts'                                                                        | 3   | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 280 287,97    |                             |
| 02 02 77 16                         | Pilot project — The future of manufacturing                                                                                             | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 0,—           |                             |
| 02 02 77 17                         | Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs                              | 1.1 | p.m.                | 81 000      | p.m.                | 270 000     | 0,—            | 119 583,90    | 147,63                      |

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**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                            | FF  | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |            | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----|---------------------|-----------|---------------------|-----------|--------------|------------|--------------------------------|
|                                     |                                                                                                                    |     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments   |                                |
| <b>02 02 77</b>                     | <i>(cont'd)</i>                                                                                                    |     |                     |           |                     |           |              |            |                                |
| 02 02 77 18                         | Pilot project — Female business angels                                                                             | 1.1 | p.m.                | p.m.      | p.m.                | 1 060 000 | 1 199 541,34 | 954 044,73 |                                |
| 02 02 77 19                         | Pilot project — World-bridging tourism                                                                             | 1.1 | p.m.                | 225 000   | p.m.                | 225 000   | 0,—          | 149 818,—  | 66,59                          |
| 02 02 77 20                         | Pilot project — Towards EU Regional Economic Convergence (TREC)                                                    | 1.1 | p.m.                | p.m.      | p.m.                | 346 432   | 0,—          | 0,—        |                                |
| 02 02 77 21                         | Preparatory action — Transnational culture-related European tourism product                                        | 1.1 | p.m.                | 450 000   | 1 500 000           | 1 000 000 | 0,—          | 0,—        | 0                              |
| 02 02 77 23                         | Pilot project — Youth on the SPOT — Special Partnership on Tourism                                                 | 1.1 | p.m.                | 247 330   | p.m.                | p.m.      | 494 660,—    | 247 330,—  | 100,00                         |
| 02 02 77 24                         | Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector                                  | 1.1 | p.m.                | 426 146   | 1 000 000           | 500 000   | 452 291,—    | 226 145,—  | 53,07                          |
| 02 02 77 25                         | Pilot project — Entrepreneurial capacity building for young migrants                                               | 1.1 | p.m.                | 1 102 000 | 1 000 000           | 1 000 000 | 2 200 000,—  | 0,—        | 0                              |
| 02 02 77 26                         | Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship             | 1.1 | p.m.                | 500 000   | p.m.                | 1 150 000 | 2 500 000,—  | 0,—        | 0                              |
| 02 02 77 27                         | Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU | 1.1 | p.m.                | 224 975   | p.m.                | p.m.      | 500 000,—    | 27 935,99  | 12,42                          |
| 02 02 77 28                         | Pilot project — SME instrument to enhance women's participation                                                    | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 500 000,—    | 0,—        |                                |
| 02 02 77 29                         | Preparatory action — European Capital of Tourism                                                                   | 1.1 | p.m.                | 1 000 000 | 2 500 000           | 1 250 000 |              |            |                                |

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                        |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 02 02 77 30                         | Pilot project — Achieve Leadership in Entrepreneurship and Cooperation Opportunities (ALECO)                                           | 1.1 | p.m.                | p.m.               | 750 000             | 375 000            |                       |                       |                             |
| 02 02 77 31                         | Preparatory action — Culture Europe: Promoting Europe's treasures                                                                      | 1.1 | 1 100 000           | 550 000            |                     |                    |                       |                       |                             |
| 02 02 77 32                         | Preparatory action — World Bridge Tourism                                                                                              | 1.1 | 1 800 000           | 900 000            |                     |                    |                       |                       |                             |
| 02 02 77 33                         | Preparatory action — Entrepreneurial capacity building for young migrants                                                              | 1.1 | 1 300 000           | 650 000            |                     |                    |                       |                       |                             |
| 02 02 77 34                         | Pilot project — Enhancing internationalisation capacity through European networks of SMEs                                              | 1.1 | 1 500 000           | 750 000            |                     |                    |                       |                       |                             |
| 02 02 77 35                         | Preparatory action — Speeding up industrial modernisation by improving support for pan-European demonstration facilities — 3D printing | 1.1 | 800 000             | 400 000            |                     |                    |                       |                       |                             |
| 02 02 77 36                         | Preparatory action — Cir@Lean: Business-enabling network for SMEs in the Union to utilise circular economy business opportunities      | 1.1 | 1 500 000           | 750 000            |                     |                    |                       |                       |                             |
|                                     | <i>Article 02 02 77 — Subtotal</i>                                                                                                     |     | 8 000 000           | 8 356 451          | 6 750 000           | 8 421 432          | 7 846 492,34          | 4 013 752,47          | 48,03                       |
|                                     | <b>Chapter 02 02 — Total</b>                                                                                                           |     | <b>349 120 000</b>  | <b>198 923 451</b> | <b>343 600 000</b>  | <b>269 121 432</b> | <b>330 922 848,59</b> | <b>134 735 955,66</b> | <b>67,73</b>                |

**02 02 01 Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments    | Payments      |
| 126 566 000         | 69 717 000 | 119 820 000         | 140 000 000 | 113 609 618,08 | 57 217 895,74 |

*Remarks*

This appropriation is intended to strengthen the competitiveness of enterprises, in particular that of small and medium-sized enterprises (SMEs), and to encourage entrepreneurship and foster the creation and growth of SMEs.

The implemented measures will include:

- networks bringing together a variety of stakeholders,
- market replication projects,
- policy analyses, development and coordination with participating countries,
- policies to foster entrepreneurship,

**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 01** (cont'd)

- information sharing, dissemination, awareness raising and advisory services to increase SME's competitiveness and help them participate in the single market and beyond,
- support to joint actions of Member States or regions, as well as other measures under the COSME programme.

The Union will provide support to activities such as the Enterprise Europe Network and entrepreneurship promotion. It will also support projects concerned with the first applications or market replication of techniques, practices or products (e.g. new business concepts for consumer goods) of Union relevance that have already been technically demonstrated with success but, owing to residual risk, have not yet significantly penetrated the market. Those projects will be designed to promote wider use within the participating countries and facilitate market uptake.

Projects will also seek to improve the framework conditions including through capacity building in clusters and other business networks notably with regard to support SME internationalisation in order to ensure that Union enterprises are competitive and sustainable, including in the tourism sector, by supporting coherence and consistency in implementation, as well as informed policy-making at Union level. In addition, projects will be put in place to support the implementation of the Single Market Strategy and the Start-up Initiative. Support actions, directly linked to the achievement of these objectives are also considered for funding: meetings (including workshops), studies, information and publications and participation in study groups.

In relation to gender equality, projects to promote the position of female entrepreneurs will receive particular attention in order to help overcome gender-based hurdles women may face and to attain an equal representation of male and female entrepreneurs throughout the Union.

Sustainable tourism activities will receive a special focus with initial priority given to soft mobility, cycling networks, eco-tourism and nature protection. Accessibility for all, particularly for people with reduced mobility and for socially disadvantaged people is also of high importance in this context.

The Union will coordinate, promote and support actions for sustainable tourism, such as:

- preservation of long-term sustainable tourism resources through protection of natural, cultural, historical and industrial heritage,
- coordination and support for access to sustainable tourism information and services for less-advantaged citizens living in poverty, as well as for persons with reduced mobility,
- cross-border coordination of European cycling networks, together with rail and long-distance bus information and services.

The 'Erasmus for Young Entrepreneurs' programme aims to encourage European entrepreneurship, the sharing of knowledge and best practices, and the creation of valuable networks and partnerships.

Due to the currently difficult economic situation it is indispensable to support European enterprises, in particular young innovative start-ups and female entrepreneurs, and to foster entrepreneurship by assigning enough funds to programmes like the programme for the competitiveness of enterprises and small and medium-sized enterprises (COSME). It is particularly important to provide support and incentives for the most modern and innovative sectors, such as the sharing economy and the digital economy. The Union needs to provide support for young entrepreneurs in these sectors and to develop and introduce instruments that will allow innovative start-ups to compete with rivals from third countries on world markets.

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

## 02 02 01 (cont'd)

In particular the programme 'Erasmus for Young Entrepreneurs' has been very successful, efficient and effective in contributing successfully to fighting unemployment and supporting robust start-ups across Europe. With regard to under-representation of women among entrepreneurs, special attention should be paid to involving young female entrepreneurs in the programme in order to encourage them to pursue their entrepreneurial career and to gain experience in how to overcome gender-specific hurdles they may face.

The financial means for the 'Erasmus for Young Entrepreneurs' programme must be increased in particular due to the following reasons:

- the programme helps fostering European entrepreneurship, the sharing of knowledge and best-practices as well as the creation of valuable networks and partnerships,
- the programme is very successful and has shown an increasing number of participants over the last years which is expected to increase further,
- the programme effectively tackles the problem of youth unemployment as it helps young people without work to become self-employed and existing SME to create jobs by expanding and/or internationalising their business,
- the number of applications exceeds by far the possibilities the Commission can fulfil with the financial means currently available.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33), and in particular points (a), (b) and (c) of Article 3(1) thereof.

02 02 02 **Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 214 554 000         | 120 850 000 | 217 030 000         | 120 000 000 | 209 313 851,53 | 67 550 195,42 |

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This appropriation is to be used to improve access to finance SMEs, including companies of female entrepreneurs, in the form of equity and debt, in their start-up, growth and transfer phase.

A loan guarantee facility (LGF) shall provide counter-guarantees, direct guarantees and other risk sharing arrangements for debt financing which shall reduce the particular difficulties that viable SMEs face in accessing finance either due to their perceived higher risk or their lack of sufficient available collateral and for securitisation of SME debt finance portfolios.

An equity facility for growth (EFG) shall allow investments in risk capital funds which invest in SMEs in the expansion and growth stage, whilst taking a gender-sensitive and non-discriminatory approach, and in particular in those operating cross-borders. The possibility shall exist to invest in early stage funds in conjunction with the equity facility for RDI under Horizon 2020. In cases of joint investments in multi-stage funds, investments will be provided on a pro-rata basis from the EFG of COSME and the equity facility for RDI under Horizon 2020. Support from the EFG shall be (a) either directly by the European Investment Fund (EIF) or other entities entrusted with the implementation on behalf of the Commission or (b) by funds-of-funds or investment vehicles investing across borders.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33), and in particular point (d) of Article 3(1) thereof.

**02 02 51** ***Completion of former activities in the competitiveness and entrepreneurship domain****Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 700 000  | 152 886,64   | 5 954 112,03 |

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 51 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Decision 89/490/EEC of 28 July 1989 on the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 239, 16.8.1989, p. 33).

Council Decision 91/179/EEC of 25 March 1991 on acceptance of the terms of reference of the International Copper Study Group (OJ L 89, 10.4.1991, p. 39).

Council Decision 91/319/EEC of 18 June 1991 revising the programme for the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 175, 4.7.1991, p. 32).

Council Decision 91/537/EEC of 14 October 1991 on acceptance of the terms of reference of the International Nickel Study Group (OJ L 293, 24.10.1991, p. 23).

Council Decision 92/278/EEC of 18 May 1992 confirming the consolidation of the EC-Japan Centre for Industrial Cooperation (OJ L 144, 26.5.1992, p. 19).

Council Decision 93/379/EEC of 14 June 1993 on a multiannual programme of Community measures to intensify the priority areas and to ensure the continuity and consolidation of policy for enterprise, in particular small and medium-sized enterprises, in the Community (OJ L 161, 2.7.1993, p. 68).

Council Decision 96/413/EC of 25 June 1996 on the implementation of a Community action programme to strengthen the competitiveness of European industry (OJ L 167, 6.7.1996, p. 55).

Council Decision 97/15/EC of 9 December 1996 on a third multiannual programme for small and medium-sized enterprises (SMEs) in the European Union (1997 to 2000) (OJ L 6, 10.1.1997, p. 25).



## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

## 02 02 51 (cont'd)

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 333, 29.12.2000, p. 84).

Council Decision 2001/221/EC of 12 March 2001 concerning the participation of the Community in the International Lead and Zinc Study Group (OJ L 82, 22.3.2001, p. 21).

Council Decision 2002/651/EC of 22 July 2002 concerning the participation of the Community in the International Rubber Study Group (OJ L 215, 10.8.2002, p. 13).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Decision No 593/2004/EC of the European Parliament and of the Council of 21 July 2004 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 268, 16.8.2004, p. 3).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 289, 3.11.2005, p. 14).

Commission Decision 2006/77/EC of 23 December 2005 setting up a High Level Group on Competitiveness, Energy and the Environment (OJ L 36, 8.2.2006, p. 43).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

02 02 77 **Pilot projects and preparatory actions**

## 02 02 77 03 Preparatory action — Erasmus for Young Entrepreneurs

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 71 489,99 |

**Remarks**

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

**Legal basis**

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 08 Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 485 000  | 0,—          | 989 272,39 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 09 Preparatory action — Tourism and accessibility for all

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 270 000  | 0,—          | 460 652,61 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)**

**02 02 77** (cont'd)

02 02 77 10 Preparatory action — Euromed innovation entrepreneurs for change

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 100 000  | p.m.                | 490 000  | 0,—          | 487 191,89 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 13 Pilot project — Development of the European 'creative districts'

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 280 287,97 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 16 Pilot project — The future of manufacturing

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 17 Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 81 000   | p.m.                | 270 000  | 0,—          | 119 583,90 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)**

**02 02 77** (cont'd)

02 02 77 18 Pilot project — Female business angels

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 1 060 000 | 1 199 541,34 | 954 044,73 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 19 Pilot project — World-bridging tourism

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 225 000  | p.m.                | 225 000  | 0,—          | 149 818,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 20 Pilot project — Towards EU Regional Economic Convergence (TREC)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 346 432  | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 21 Preparatory action — Transnational culture-related European tourism product

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 450 000  | 1 500 000           | 1 000 000 | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

02 02 77 23 Pilot project — Youth on the SPOT — Special Partnership on Tourism

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 247 330  | p.m.                | p.m.     | 494 660,—    | 247 330,— |

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**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)**

**02 02 77** (cont'd)

02 02 77 23 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

02 02 77 24 Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 426 146  | 1 000 000           | 500 000  | 452 291,—    | 226 145,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

02 02 77 25 Pilot project — Entrepreneurial capacity building for young migrants

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 102 000 | 1 000 000           | 1 000 000 | 2 200 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

02 02 77 26 Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 500 000  | p.m.                | 1 150 000 | 2 500 000,—  | 0,—      |

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 26 (cont'd)

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

02 02 77 27 Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 224 975  | p.m.                | p.m.     | 500 000,—    | 27 935,99 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

02 02 77 28 Pilot project — SME instrument to enhance women's participation

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 500 000,—    | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

02 02 77 29 Preparatory action — European Capital of Tourism

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 000 000 | 2 500 000           | 1 250 000 |              |          |



**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 77** (cont'd)

02 02 77 29 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Tourism is Europe's third-largest industry. The Union travel and tourism sector employs almost 25 million people (directly and indirectly) and visitors generate EUR 351 billion per year. The tourism industry generates 9,7 % of total EU-28 GDP, a figure which is forecast to rise to 10,4 % by 2025.

Last year more than 455 million tourists came to the Union. Tourism is part of daily life; it is part and parcel of European values. In view of recent crises, and at a time when there is an increasing threat of global terrorism, there is a greater need for tourism, which is an industry that promotes peace.

For the sustainable development and protection of this highly economically important sector, closer co-operation is required between the European Institutions and Member State governments, as well as maximum citizen involvement.

There are already two programmes, namely the European Capital of Culture and the European Capital of Sport. The evaluations made of the European Capital of Culture programme, as well as public consultation on its future after 2019, have revealed that it has become one of the most ambitious cultural initiatives in Europe and one of the most appreciated by European citizens. That programme has generated economic growth in the majority of the cities involved. In 2013 in Marseille (France) there were close to 11 million tourists, while Pécs (Hungary) recorded a 27 % increase in hotel room occupancy. Above all, the programme has created communities and the majority of the cities involved have found a new basis for their development plans. This has also created growth in the regions in which the cities are located.

It is crucial to uphold those values and disseminate them as widely as possible. A 'European Capital of Tourism' could be an excellent vehicle for that. Such a programme would aim to promote the rich tourism offering of European countries; increase a sentiment of shared local tourism-related values; strengthen tourism-generated development in cities, their surroundings and their regions; enhance the image of cities that are awarded this title and ultimately raise the profile of their regions.

It would also be important for cities holding the title to seek to promote social inclusion and equal opportunities and to do their utmost to ensure the broadest possible involvement of all the components of civil society in the preparation and implementation of tourism-related programmes, with special attention being paid to young people and marginalised and disadvantaged groups.

The award of the title should be based on a specially created tourism-related programme, which should have a strong European dimension. That tourism development programme should be part of a long-term strategy having a sustainable impact on local economic, cultural and social development.

Because of the strong regional dimension of tourism, it is crucial, alongside the title 'European Capital of Tourism', for it to be possible to give awards to cities and regions that have reached unique, innovation based results in the tourism sector.

Accordingly, a 'European Tourism Award' could be given by an independent board of experts in different categories (for example: sustainable tourism, digital tourism, health tourism) established by the European Parliament, the Commission and the Committee of Regions. In this process the network of tourism stakeholders which created the Tourism Manifesto for Growth and Jobs, with the support of civil society organisations, could be an excellent partner.

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 29 (cont'd)

The general aim of the preparatory action is to:

- give local tourism its own profile, and strengthen links between cities and their regions;
- establish the 'European Capital of Tourism' within the existing institutional framework alongside the Cultural and Sport Capital programmes with their effective operating methods; identify common values, harness potential synergies and avoid duplication;
- increase the attractiveness of cities and regions, which can lead to economic growth and job creation.

The operational objectives are:

- to make a maximum of three 'European Capital of Tourism' awards a year;
- to lay down conditions and categories for the 'European Tourism Award';
- to involve Member States on a voluntary basis at the outset and initiate a structural dialogue with the Council;
- to establish the professional body, the preparatory committee to assess the bids, in co-operation with the European Parliament, the Commission and the Committee of Regions;
- as regions are the main beneficiaries of Union funding, to look into the possibility of financial contributions for the sustainability of the programme;
- to create an annual cost-effectiveness plan for the number of titles and awards.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 30 Pilot project — Achieve Leadership in Entrepreneurship and Cooperation Opportunities (ALECO)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 750 000             | 375 000  |              |          |

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 30 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

ALECO is a pilot project for a one-way mobility scheme for young Union entrepreneurs with a maximum duration of one to six months in selected third countries. The aim is for ALECO to become an integral part of Erasmus for Young Entrepreneurs (EYE), mainly upgrading the programme in terms of geographical scope and exposure to successful entrepreneurial models. The pilot project will use the existing EYE infrastructure, including support office, intermediary organisations and IT tools.

Up to 200 young entrepreneurs from Member States are expected to participate. The target group will be selected from entrepreneur profiles upon application and assessment. Eligibility criteria: 1. Future entrepreneurs showcasing ready-to-implement business plans and a binding commitment to start up a firm; 2. Entrepreneurs who have started a business in the last three years, alone or in partnership; 3. Entrepreneurs who have taken advantage of EYE exchanges and showcase progress in their business.

Hosting countries may include the USA, Israel, Canada, Singapore, Japan, China and South Korea.

The pilot project is supported by Eurochambres, the European Youth Forum, Junior Achievement, Knowledge 4 Innovation and European Democrat Students.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 31 Preparatory action — Culture Europe: Promoting Europe's treasures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 100 000           | 550 000  |                     |          |              |          |

*Remarks*

Capitalising on the European Year of Cultural Heritage 2018, this action will showcase and promote the diversity and richness of European heritage and cultural manifestations (UNESCO World Heritage sites, music and food festivals, folklore and spirituality) as a reason to visit Europe, the continent of culture and creativity.

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)02 02 77 31 (*cont'd*)

The activities carried out under this preparatory action could be linked to the theme of 'Culture Europe - Promoting Europe's treasures', with individual countries, regions or macro-regions presenting their distinct heritage and cultural assets to a broad international audience.

The preparatory action builds on and continues the Destination Brand Europe pilot project, but it proposes an enlarged scope and an increased budget. The action will also include promoting less well-known but equally interesting locations and tourism sites, with the ultimate aim of diversifying the range of European destinations, creating new tourism products and supporting less developed regions.

VisitEurope.com, a portal developed with Union support, could be a suitable platform for promotional and marketing activities. Additionally, the '360° European Wonders' app presented by the Commission in 2017 could be integrated into the promotional and marketing strategy.

Ultimately, the action will raise awareness around responsible and sustainable development as regards cultural heritage and the protection of resources for future generations.

The main objectives and actions include:

- preserving Europe's lead position among world tourist destination by promoting European cultural heritage;
- developing and endorsing promotional and marketing channels (online and offline) that will enable destinations to maximise the reach of their tourism offer;
- diversifying the range of European destinations by promoting less visible secondary destinations (so-called 'hidden gems') as new tourism products;
- researching and identifying a number of well-developed and accessible alternative tourist destinations with high potential demand and appeal both internally and in overseas markets;
- engaging with various stakeholders across EU Member States to raise the visibility of cultural heritage sites;
- providing support to industry partners, especially SMEs in the hospitality and transport sectors, to develop tourism products that incorporate these alternative destinations into their travel packages.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 32 Preparatory action — World Bridge Tourism

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 800 000           | 900 000  |                     |          |              |          |

*Remarks*

The World Bridge Tourism pilot project was initiated in the 2015 budget. The main aim of the project was to ensure that Europe should benefit from world bridge tourism by increasing the GDP of the tourism sector. At the same time, it will bring about significant economic growth, including the creation of sustainable employment opportunities.

The preparatory action focuses on China as the fastest-growing tourism source market in the world. As stated in the pilot project, Chinese tourists' propensity to travel abroad is expected to continue rising in the coming decades. Beyond Asia and the Pacific, Europe is the largest destination region for Chinese travellers. As Europe has a prominent place on the Chinese 'wish list', it is necessary to think about how Member States could host Chinese tourists more effectively. This will involve mutual understanding and continuous and sustainable training of employees throughout Europe in the tourism sector.

The preparatory action will increase flows of Chinese visitors by facilitating business between European tourism providers and Chinese buyers.

2018 is EU-China Tourism Year, during the preparatory period for which the World Bridge Tourism project will have a crucial role.

The main objectives and actions include:

- identifying best practices and analysing the results of the pilot project;
- continuing the awareness campaign among tour operators and agencies;
- active involvement in the EU-China Tourism Year 2018;
- enlarging the scope of the project by involving Chinese cultural institutes (e.g. the Confucius Institute) and other public outreach stakeholders;
- increasing involvement of social networks and focusing on digitisation, and providing incentives for bringing tourist products and services into line with the needs of Chinese tourists, including the use of marketing tools (websites and flyers in Chinese) and promoting them on Chinese social networks (e.g. Weibo).

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 33 Preparatory action — Entrepreneurial capacity building for young migrants

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 300 000           | 650 000  |                     |          |              |          |

## Remarks

Knowledge and skills are crucial not only for the personal welfare of each citizen, but also for sustainable development and economic growth. It is therefore important to offer a perspective to people coming from countries in economic and social crisis, especially young people, so that they have an opportunity to create added value not only for themselves, but also for the general public.

It is therefore important to empower young migrants and refugees to gain access to knowledge and to develop skills they are able to use and leverage when they are back in their home countries.

This will make it possible for them not only to establish a livelihood, but also potentially to become entrepreneurs, build up their own businesses and create employment.

The core of this initiative will be targeted mentoring programmes from different organisations, such as civil protection and community services, as well as companies (including local suppliers). Elements of a dual-training system would help beneficiaries to identify the specific needs of organisations or companies on the ground.

The legal situation regarding the waiting period for taking up an economic activity differs considerably from Member State to Member State. To make the preparatory action a success, it will therefore also be necessary to conduct a review of current national legal frameworks and to identify best practices which could be promoted in order to speed up procedures, so that young migrants can take advantage of the proposed programmes as soon as possible upon arrival.

## Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 34 Pilot project — Enhancing internationalisation capacity through European networks of SMEs

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 500 000           | 750 000  |                     |          |              |          |

**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 77** (cont'd)

02 02 77 34 (cont'd)

*Remarks*

Increasing SMEs' internationalisation capacity is crucial for European competitiveness. 99 % of Union enterprises are SMEs; most of them face many difficulties when they try to establish an internationalisation strategy, especially vis-à-vis third markets where they face strong — and sometimes unfair — competition. In the last three years, only 29 % of micro enterprises, 43 % of small enterprises and 59 % of medium-sized enterprises have exported to another Member State. Many SMEs do not have the required skills to deal with multinational companies, attend international fairs or set up an e-commerce infrastructure.

This challenge could be overcome by encouraging SMEs to combine and work together on specific internationalisation projects. In the past few years, experiences have shown the potential that cooperation among SMEs offers for enhancing their internationalisation. The added value of cooperation has also been acknowledged by the Commission (e.g. in the SBA review). On the basis of national best practices, the Commission has introduced the concept of 'business network', defining it as 'a form of inter-firm cooperation that allows companies, located also in different regions or countries, to collaborate together on a basis of common development objectives expressed in a cooperation agreement/contract. The companies decide to join their strengths, share information and create synergies to become more innovative and competitive on the domestic and international markets, while keeping their autonomy, not creating a separate legal entity. This cooperation model is suitable for any kind of business activity and sector'.

Internationalisation represents a key component for European growth and competitiveness. Taking into account the fact that the difficulties SMEs have in going international, not only in third markets but also in the European internal market, are often due to their limited size, stimulating cooperation and encouraging SMEs to join forces in order to operate on the basis of a better structure while maintaining their entrepreneurial autonomy should be tested and developed. In its Communication 'Small Business, Big World — a new partnership to help SMEs seize global opportunities' (2011), the Commission pointed out the importance of promoting clusters and networks for SME internationalisation. The Enterprise Europe Network plays an essential role in supporting SMEs' internationalisation by matching needs and reducing costs.

In this context, a trial could be launched at Union level to test the impact of SMEs from different Member States joining forces and starting to work together on strengthening internationalisation capacity and enhancing presence on markets in third countries. In particular, the trial could: (1) test the added value for internationalisation of combining SMEs, (2) promote a culture of cooperation and networking activities among SMEs, (3) disseminate this cooperation/networking model as a best practice and as a tangible way for SMEs to enter new markets, by showing that by working together on common internationalisation plans they can greatly improve their international performance.

Networks should be composed of at least three SMEs from three different Member States. Applicants should submit a proposal for an internationalisation strategy, providing a detailed description of the purpose of the collaboration and of the strategic objectives. The trial should cover the costs of the start-up phase for SME network internationalisation activities, such as:

- identifying international markets that SME network members wish to enter to market their products;
- sharing knowledge and information about markets and clients;
- developing coordinated promotion, marketing and sales strategies (including joint digital marketing strategies/e-commerce infrastructure);

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 34 (cont'd)

- selecting marketing and distribution channels;
- creating a common brand;
- identifying fairs, exhibitions and national and international business events to promote and market the SMEs' products;
- developing high-quality after-sale assistance and increasing presence on international markets;
- improving product quality, in particular through the exchange of good practices and know-how between SME network members;
- sharing consultancy costs.

Other activities

With a budget of EUR 1 500 000, the pilot project will support 30 SME networks in developing a common internationalisation action plan. Each network will receive financial support of between EUR 30 000 and EUR 50 000. The minimum requirement is three SMEs per network. The action will cover at least 90 European SMEs.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 35 Preparatory action — Speeding up industrial modernisation by improving support for pan-European demonstration facilities — 3D printing

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 800 000             | 400 000  |                     |          |              |          |

*Remarks*

Industrial modernisation is critical to improving the Union's competitiveness and is therefore an absolute cornerstone of its policy. In this regard, the ability of industrial companies not only to develop but also to absorb and successfully implement new technologies is crucial. However, this remains a big challenge for many companies.



**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 77** (cont'd)

02 02 77 35 (cont'd)

Different stages can be identified in the process of developing and deploying new technologies. There is mounting evidence that market failure occurs at the demonstration stage (TRL 6-8) of the innovation process: between applied research, prototyping and market entry. Testing and validation of prototypes in an industrial environment and certifying new applications remain both very costly and highly uncertain. In many cases this prevents (or at least substantially delays) market uptake of very promising innovations from research and industry, including those previously financed by the Union. On the one hand, industrial companies very often do not have all the necessary equipment and skills to carry out further prototyping activities, validation tests, certification procedures, cost comparisons and other post-prototyping activities needed before full production and market launch. These activities are usually categorised as so-called 'non-recurrent costs' and contribute to what is commonly known as the 'Valley of Death'. On the other hand, very few regions have all the demonstration capabilities in place to help companies carry out the full spectrum of post-prototyping activities in a specific technology domain.

There is a strong need and rationale to establish an inter-regional innovation structure with pan-European shared demonstration facilities ('industry commons') accessible by industry and able to speed up technology deployment in and by industrial companies. Shared demonstration facilities can be established by connecting and upgrading the complementary facilities which already exist in the regions, or by creating new shared facilities if needed.

In the past, and in ongoing activities under Vanguard Initiative (VI) pilot projects ([www.s3vanguardinitiative.eu](http://www.s3vanguardinitiative.eu)), various regions have found that setting up 'shared demonstration facilities' usually involves a non-profitable component. This funding gap occurs when setting up shared facilities and meeting initial operating costs. Once this funding gap is closed, industrial demonstration activities can take place. If they are successful, companies can then upscale their production, generate revenues in the marketplace and hence generate growth and jobs. In order to speed up technology deployment and industrial modernisation, stronger public intervention is therefore needed to unlock innovation and growth potential. At the moment, there is no suitable cross-regional, pan-European instrument to support very much needed investments in innovation infrastructure.

In this context, this preparatory action will complement and further expand the ongoing activities under the VI and the Thematic Smart Specialisation Platform for Industrial Modernisation (TSSP IM). While the TSSP IM and its inter-regional partnerships, such as VI, are instrumental in detecting and initiating inter-regional collaboration for technology uptake, this action will support the development of investments, operations and services, and defray coordination costs for shared demonstration facilities serving a customer base of industrial organisations throughout Europe.

This preparatory action will be particularly important in generating input for the (re)designing of various Union policies, including innovation (FP9), investment (EFSI) and cohesion policy. The action will identify the scope and limitations of existing funds and financial instruments for ultimately stimulating the uptake of market-creating technologies and the promotion of follow-up investments by the private sector, including through close or closer cooperation with industry associations, thereby providing useful input for policy makers.

This preparatory action will focus on the specific VI pilot project for shared demonstration facilities for 'High Performance Production through 3D Printing' (3DP). The 3DP pilot project is among the most advanced, but it faces a huge investment challenge for several of its industrial applications. 3D printing has also been identified as a disruptive technology significantly impacting a broad range of industrial sectors.

Specifically, the preparatory action will focus on the following activities:

(1) setting up an operational platform of connected demonstration facilities between regions by, inter alia:

— connecting demonstration facilities to form a virtual support platform;

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)

02 02 77 (*cont'd*)

02 02 77 35 (*cont'd*)

- monitoring the provision of know-how, equipment and services to industry, ensuring broad sectoral coverage;
- if needed, expanding the network of connected facilities to improve industrial and/or geographical coverage;
- setting up a network of accredited service providers throughout Europe (for support, training, certification, etc. within the networked demonstration facilities);

(2) attracting industrial demonstration projects via the platform by, *inter alia*:

- interacting with key stakeholders;
- promoting the platform and identifying opportunities;
- further developing 3DP demonstration cases and use cases, creating new value chains;
- identifying the specific coordination costs and investment support needs;
- communicating and working with a wider audience, including making use of the TSSP IM;
- working on synergies between the relevant pilot project and related initiatives;
- gaining specific knowledge on funding issues to address specific investment needs;

(3) providing an overview of specific proposals for changes to Union policies, in consultation with the Commission.

For proper implementation and evaluation, a timeframe of three years (2018-2020) is needed, including an annual update of the roadmap by a strategy board that will also include Members of the European Parliament.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 36 Preparatory action — Cir©Lean: Business-enabling network for SMEs in the Union to utilise circular economy business opportunities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 500 000           | 750 000  |                     |          |              |          |

*Remarks*

This preparatory action will create a business-enabling network for SMEs to seize circular economy business opportunities. It will advance a new type of environmentally friendly industry, where the worthless is turned into valuable by making full use of all materials, including side flows and waste.

The major chemical-using industries, businesses and associated supply chains in different parts of Europe (notably in Finland, France, Norway, Sweden and the United Kingdom) attempt to create new business out of side flows and wastes. Side flows can be recovered and used as raw materials in new industries. By refining raw materials in the Union, most added value is created in the single market, thus benefitting European economies. This will create jobs and benefit the environment.

The Cir©Lean target group is export-orientated industrial service SMEs which are willing and able and have an international outlook. Cir©Lean will build a systematic, long-term business-enabling network for Union-based SMEs by utilising cross-border, cross-sector and cross-industry knowledge and by developing innovative circular economy business models. The action will help SMEs find ways to increase the value of (currently unidentified) waste and residues by turning them into valuable products to be sold in both the domestic and international markets. The action will enhance industrial symbiosis by increasing the degree of processing of residual waste and landfill and generate new residual waste business.

New business models will be created in cross-border, cross-sector and cross-industry Open Innovation Business workshops where SMEs, primary industries, business developers, educators, researchers, authorities and financiers pool their skills. The Sustainability Assessment Tool developed in Finland will be applied in this work. The workshops will contribute to the development of new knowledge for all participants, creating new commercial products and services that span national borders.

Approximately 20 SMEs will participate in the Cir©Lean preparatory action. As a milestone for the action, 100 SMEs will participate in professional development activities, and, as part of the action, they will gain new contacts and establish cross-border cooperation. When the action is completed, exports will increase and the number of SMEs with new business models will grow. New long-term and sustainable contacts and partnerships with permanent cooperation structures will be established as a result.

Cir©Lean will set up a circular economy business-enabling network that will continue after the preparatory action ends.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 |                   | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                                      |     | Commitments         | Payments          | Commitments         | Payments           | Commitments           | Payments              |                             |
| 02 03                               | INTERNAL MARKET FOR GOODS AND SERVICES                                                                                                               |     |                     |                   |                     |                    |                       |                       |                             |
| <b>02 03 01</b>                     | <b>Operation and development of the internal market of goods and services</b>                                                                        | 1.1 | 23 526 000          | 22 000 000        | 27 159 000          | 20 500 000         | 23 375 595,25         | 20 085 890,20         | 91,30                       |
| <b>02 03 02</b>                     | <b>Standardisation and approximation of legislation</b>                                                                                              |     |                     |                   |                     |                    |                       |                       |                             |
| 02 03 02 01                         | Support to standardisation activities performed by CEN, Cenelec and ETSI                                                                             | 1.1 | 18 562 000          | 17 000 000        | 18 562 000          | 18 600 000         | 17 608 033,58         | 18 150 692,34         | 106,77                      |
| 02 03 02 02                         | Support to organisations representing small and medium-sized enterprises (SMEs) and societal stakeholders in standardisation activities              | 1.1 | 4 080 000           | 3 500 000         | 3 970 000           | 4 000 000          | 4 148 698,17          | 3 390 476,50          | 96,87                       |
|                                     | <i>Article 02 03 02 — Subtotal</i>                                                                                                                   |     | 22 642 000          | 20 500 000        | 22 532 000          | 22 600 000         | 21 756 731,75         | 21 541 168,84         | 105,08                      |
| <b>02 03 03</b>                     | <b>European Chemicals Agency — Chemicals legislation</b>                                                                                             | 1.1 | 25 722 021          | 25 722 021        | 69 489 500          | 69 489 500         | 60 544 763,—          | 60 544 763,—          | 235,38                      |
| <b>02 03 04</b>                     | <b>Internal market governance tools</b>                                                                                                              | 1.1 | 3 675 000           | 3 700 000         | 3 650 000           | 3 700 000          | 3 649 868,10          | 3 713 931,74          | 100,38                      |
| <b>02 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                        |     |                     |                   |                     |                    |                       |                       |                             |
| 02 03 77 03                         | Preparatory action — Single Market Forum                                                                                                             | 1.1 | p.m.                | p.m.              | p.m.                | p.m.               | 0,—                   | 716 717,64            |                             |
| 02 03 77 04                         | Pilot project — Support measures for traditional retailing                                                                                           | 1.1 | p.m.                | 318 815           | p.m.                | 500 000            | 0,—                   | 181 185,—             | 56,83                       |
| 02 03 77 05                         | Pilot project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions                                           | 1.1 | p.m.                | 150 000           | 500 000             | 250 000            |                       |                       |                             |
| 02 03 77 07                         | Pilot project — Independent on-road real-driving emissions (RDE) testing to ensure broad information and transparency for better market surveillance | 1.1 | 1 600 000           | 800 000           |                     |                    |                       |                       |                             |
| 02 03 77 08                         | Pilot project — Assessing alleged differences in the quality of products sold in the single market                                                   | 1.1 | 800 000             | 400 000           |                     |                    |                       |                       |                             |
|                                     | <i>Article 02 03 77 — Subtotal</i>                                                                                                                   |     | 2 400 000           | 1 668 815         | 500 000             | 750 000            | 0,—                   | 897 902,64            | 53,80                       |
|                                     | <b>Chapter 02 03 — Total</b>                                                                                                                         |     | <b>77 965 021</b>   | <b>73 590 836</b> | <b>123 330 500</b>  | <b>117 039 500</b> | <b>109 326 958,10</b> | <b>106 783 656,42</b> | <b>145,10</b>               |

## 02 03 01

**Operation and development of the internal market of goods and services**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 23 526 000          | 22 000 000 | 27 159 000          | 20 500 000 | 23 375 595,25 | 20 085 890,20 |

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion of the internal market and its operation and development:

- measures intended to make the operation of the internal market more effective and to ensure that citizens and businesses have access to the most extensive rights and opportunities resulting from the opening up and deepening of the internal market without borders and are able to exercise those rights and take advantage of those opportunities in full; and monitoring and evaluation measures relating to the practical exercise by citizens and businesses of their rights and opportunities with a view to identifying and facilitating the removal of any obstacles which may be preventing them from exercising those rights in full;
- approximation of standards and maintenance and development of an information system for technical standards and regulations, examination of the rules notified by Member States, EFTA States and Turkey and translation of the draft technical regulations;
- financing of administrative and technical coordination and of cooperation between the notified bodies, grants in support of the European Technical Approval Organisation (EOTA), and of projects of Union interest undertaken by outside bodies;
- application of Union legislation on medical devices, cosmetics, foodstuffs, textile products, chemicals, classification and labelling of substances and mixtures, cars and safety, toys, legal metrology, pre-packaging and the quality of the environment, aerosol dispensers, and information and publicity measures for greater awareness of Union legislation;
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken to further the sound operation of the internal market and an evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering its functioning;
- greater sectorial approximation in the fields of application of the 'new approach' directives, especially the extension of the 'new approach' to other sectors;
- measures implementing Regulation (EC) No 765/2008, both for the infrastructures and market surveillance, and Regulation (EC) No 764/2008, for procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State, and implementation of the corresponding part of the Single Market Strategy (Commission Communication of 28 October 2015 (COM(2015) 550 final));
- the development of a unified area for security and defence, with measures implementing Directive 2009/43/EC simplifying terms and conditions of transfers of defence-related products within the Union and actions working towards the coordination of public procurement procedures for these products at Union level, as well as, where appropriate, devising studies and awareness-raising measures regarding the application of the legislation adopted;
- participation in the negotiation of agreements on mutual recognition and, under European agreements, support for the associate countries to allow them to adopt the *acquis* of the Union;

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)

02 03 01 (*cont'd*)

- implementation measures for Regulation (EC) No 1907/2006, especially those resulting from the 2017 REACH REFIT evaluation as well as the 2013 REACH review (Commission Report of 5 February 2013 (COM(2013) 49 final));
- implementation and monitoring of the provisions in the area of public procurement especially in relation to the transposition of the Directives 2014/23/EU, 2014/24/EU and 2014/25/EU;
- actions related to the implementation of Directive 2014/60/EU;
- implementing and monitoring the provisions governing public contracts with a view to ensuring their optimum operation and that tenders are genuinely open, including the awareness-raising and training of the various parties to these contracts; the introduction and use of new technologies in the various fields of operation of these contracts; the continuous adaptation of the legislative and regulatory framework in the light of developments arising from these contracts, particularly the globalisation of markets and existing or future international agreements;
- strengthening administrative cooperation through, inter alia, the Internal Market Information System (IMI), the deepening of knowledge of internal market legislation in the Member States and its sound application by them, and support for administrative cooperation between the authorities responsible for implementing legislation relating to the internal market with a view to achieving the Lisbon strategic goals as set out in the annual policy strategy;
- part of this appropriation will be used to achieve a similar level of implementation and enforcement of Union legislation by national bodies in order to fight distortions of competition and to contribute to a level playing field;
- reinforcing single market tools that make consumers and business more aware of internal market rules and enable them to enforce their rights, and that allow for better cooperation between the relevant national authorities;
- guaranteeing the completion and management of the internal market, especially in the fields of free movement of services, especially cross-border, recognition of professional qualifications, and intellectual and industrial property, in particular the development of proposals for establishing a Union patent;
- analysis of the effects of removing obstacles to the internal market for services and of the effects of measures in place as part of the follow-up to the progressive liberalisation of postal services, coordination of Union policies on postal services with regard to international systems and in particular with regard to participants in Universal Postal Union (UPU) activities, cooperation with central and eastern European countries, as well as analysis of practical implications of the application of the General Agreement on Trade in Services (GATS) provisions to the postal sector and overlap with UPU regulations;
- actions related to the implementation of EU Action Plan for the Circular Economy.

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)

This appropriation is also intended to cover expenditure on consultations, studies, evaluations, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this article, as maintenance, updating and development of informatics systems related to technical regulations or linked to putting into place and monitoring policies launched within the framework of the internal market, and any other expenditure on technical and administrative assistance not involving public authority tasks.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Treaty on the Functioning of the European Union, and in particular Articles 34 to 36 thereof (OJ C 326, 26.10.2012).

Council Directive 75/107/EEC of 19 December 1974 on the approximation of the laws of the Member States relating to bottles used as measuring containers (OJ L 42, 15.2.1975, p. 14).

Council Directive 75/324/EEC of 20 May 1975 on the approximation of the laws of the Member States relating to aerosol dispensers (OJ L 147, 9.6.1975, p. 40).

Council Directive 76/211/EEC of 20 January 1976 on the approximation of the laws of the Member States relating to the making-up by weight or by volume of certain prepackaged products (OJ L 46, 21.2.1976, p. 1).

Council Directive 76/768/EEC of 27 July 1976 on the approximation of the laws of the Member States relating to cosmetic products (OJ L 262, 27.9.1976, p. 169).

Council Directive 77/249/EEC of 22 March 1977 to facilitate the effective exercise by lawyers of freedom to provide services (OJ L 78, 26.3.1977, p. 17).

Council Directive 80/181/EEC of 20 December 1979 on the approximation of the laws of the Member States relating to units of measurement and on the repeal of Directive 71/354/EEC (OJ L 39, 15.2.1980, p. 40).

Council Directive 85/374/EEC of 25 July 1985 on the approximation of the laws, regulations and administrative provisions of the Member States concerning liability for defective products (OJ L 210, 7.8.1985, p. 29).

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 01 (*cont'd*)

Council Directive 89/105/EEC of 21 December 1988 relating to the transparency of measures regulating the pricing of medicinal products for human use and their inclusion in the scope of national health insurance systems (OJ L 040, 11.2.1989, p. 8).

Council Directive 90/385/EEC of 20 June 1990 on the approximation of the laws of the Member States relating to active implantable medical devices (OJ L 189, 20.7.1990, p. 17).

Council Directive 91/477/EEC of 18 June 1991 on control of the acquisition and possession of weapons (OJ L 256, 13.9.1991, p. 51).

Council Directive 91/671/EEC of 16 December 1991 on the approximation of the laws of the Member States relating to compulsory use of safety belts in vehicles of less than 3,5 tonnes (OJ L 373, 31.12.1991, p. 26).

Council Decision (8300/92) of 21 September 1992 authorising the Commission to negotiate agreements between the Community and certain non-member countries on mutual recognition.

Council Regulation (EEC) No 793/93 of 23 March 1993 on the evaluation and control of the risks of existing substances (OJ L 84, 5.4.1993, p. 1).

Council Directive 93/15/EEC of 5 April 1993 on the harmonisation of the provisions relating to the placing on the market and supervision of explosives for civil uses (OJ L 121, 15.5.1993, p. 20).

Council Directive 93/42/EEC of 14 June 1993 concerning medical devices (OJ L 169, 12.7.1993, p. 1).

Council Decision 93/465/EEC of 22 July 1993 concerning the modules for the various phases of the conformity assessment procedures and the rules for the affixing and use of the CE conformity marking, which are intended to be used in the technical harmonisation directives (OJ L 220, 30.8.1993, p. 23).

Council Decision 94/358/EC of 16 June 1994 accepting, on behalf of the European Community, the Convention on the elaboration of a European Pharmacopoeia (OJ L 158, 25.6.1994, p. 17).

Council Decision (8453/97) confirming the Article 113 Committee's interpretation of the Council decision of 21 September 1992 giving the Commission directives for the negotiation of European conformity assessment agreements.

Directive 98/5/EC of the European Parliament and of the Council of 16 February 1998 to facilitate practice of the profession of lawyer on a permanent basis in a Member State other than that in which the qualification was obtained (OJ L 77, 14.3.1998, p. 36).

Directive 98/79/EC of the European Parliament and of the Council of 27 October 1998 on in vitro diagnostic medical devices (OJ L 331, 7.12.1998, p. 1).

Council Regulation (EC) No 2679/98 of 7 December 1998 on the functioning of the internal market in relation to the free movement of goods among the Member States (OJ L 337, 12.12.1998, p. 8).



**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)

Directive 1999/4/EC of the European Parliament and of the Council of 22 February 1999 relating to coffee extracts and chicory extracts (OJ L 66, 13.3.1999, p. 26).

Council Directive 1999/36/EC of 29 April 1999 on transportable pressure equipment (OJ L 138, 1.6.1999, p. 20).

Directive 2000/14/EC of the European Parliament and of the Council of 8 May 2000 on the approximation of the laws of the Member States relating to the noise emission in the environment by equipment for use outdoors (OJ L 162, 3.7.2000, p. 1).

Directive 2000/35/EC of the European Parliament and of the Council of 29 June 2000 on combating late payment in commercial transactions (OJ L 200, 8.8.2000, p. 35).

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Directive 2002/95/EC of the European Parliament and of the Council of 27 January 2003 on the restriction of the use of certain hazardous substances in electrical and electronic equipment (OJ L 37, 13.2.2003, p. 19).

Directive 2002/96/EC of the European Parliament and of the Council of 27 January 2003 on waste electrical and electronic equipment (WEEE) (OJ L 37, 13.2.2003, p. 24).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Regulation (EC) No 2003/2003 of the European Parliament and of the Council of 13 October 2003 relating to fertilisers (OJ L 304, 21.11.2003, p. 1).

Regulation (EC) No 273/2004 of the European Parliament and of the Council of 11 February 2004 on drug precursors (OJ L 47, 18.2.2004, p. 1).

Directive 2004/9/EC of the European Parliament and of the Council of 11 February 2004 on the inspection and verification of good laboratory practice (GLP) (OJ L 50, 20.2.2004, p. 28).

Directive 2004/10/EC of the European Parliament and of the Council of 11 February 2004 on the harmonisation of laws, regulations and administrative provisions relating to the application of the principles of good laboratory practice and the verification of their applications for tests on chemical substances (OJ L 50, 20.2.2004, p. 44).

Regulation (EC) No 648/2004 of the European Parliament and of the Council of 31 March 2004 on detergents (OJ L 104, 8.4.2004, p. 1).

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 01 (*cont'd*)

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Directive 2004/22/EC of the European Parliament and of the Council of 31 March 2004 on measuring instruments (OJ L 135, 30.4.2004, p. 1).

Directive 2005/36/EC of the European Parliament and of the Council of 7 September 2005 on the recognition of professional qualifications (OJ L 255 30.9.2005, p. 22).

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Directive 2007/45/EC of the European Parliament and of the Council of 5 September 2007 laying down rules on nominal quantities for prepacked products, repealing Council Directives 75/106/EEC and 80/232/EEC, and amending Council Directive 76/211/EEC (OJ L 247, 21.9.2007, p. 17).

Regulation (EC) No 764/2008 of the European Parliament and of the Council of 9 July 2008 laying down procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State and repealing Decision No 3052/95/EC (OJ L 218, 13.8.2008, p. 21).

Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30).

Decision No 768/2008/EC of the European Parliament and of the Council of 9 July 2008 on a common framework for the marketing of products and repealing Council Decision 93/465/EEC (OJ L 218, 13.8.2008, p. 82).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Regulation (EC) No 78/2009 of the European Parliament and of the Council of 14 January 2009 on the type-approval of motor vehicles with regard to the protection of pedestrians and other vulnerable road users, amending Directive 2007/46/EC and repealing Directives 2003/102/EC and 2005/66/EC (OJ L 35, 4.2.2009, p. 1).

Regulation (EC) No 79/2009 of the European Parliament and of the Council of 14 January 2009 on type-approval of hydrogen-powered motor vehicles, and amending Directive 2007/46/EC (OJ L 35, 4.2.2009, p. 32).

Directive 2009/23/EC of the European Parliament and of the Council of 23 April 2009 on non-automatic weighing instruments (OJ L 122, 16.5.2009, p. 6).

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)

Directive 2009/34/EC of the European Parliament and of the Council of 23 April 2009 relating to common provisions for both measuring instruments and methods of metrological control (OJ L 106, 28.4.2009, p. 7).

Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfers of defence-related products within the Community (OJ L 146, 10.6.2009, p. 1).

Directive 2009/48/EC of the European Parliament and of the Council of 18 June 2009 on the safety of toys (OJ L 170, 30.6.2009, p. 1).

Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security, and amending Directives 2004/17/EC and 2004/18/EC (OJ L 216, 20.8.2009, p. 76).

Directive 2009/125/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products (OJ L 285, 31.10.2009, p. 10).

Regulation (EC) No 661/2009 of the European Parliament and of the Council of 13 July 2009 concerning type-approval requirements for the general safety of motor vehicles, their trailers and systems, components and separate technical units intended therefor (OJ L 200, 31.7.2009, p. 1).

Regulation (EU) No 305/2011 of the European Parliament and of the Council of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC (OJ L 88, 4.4.2011, p. 5).

Regulation (EU) No 1007/2011 of the European Parliament and of the Council of 27 September 2011 on textile fibre names and related labelling and marking of the fibre composition of textile products and repealing Council Directive 73/44/EEC and Directives 96/73/EC and 2008/121/EC of the European Parliament and of the Council (OJ L 272, 18.10.2011, p. 1).

Directive 2013/29/EU of the European Parliament and of the Council of 12 June 2013 on the harmonisation of the laws of the Member States relating to the making available on the market of pyrotechnic articles (OJ L 178, 28.6.2013, p. 27).

Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (OJ L 94, 28.3.2014, p. 1).

Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ L 94, 28.3.2014, p. 65).

Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ L 94, 28.3.2014, p. 243).

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 01 (*cont'd*)

Directive 2014/31/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of non-automatic weighing instruments (OJ L 96, 29.3.2014, p. 107).

Directive 2014/32/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of measuring instruments (OJ L 96, 29.3.2014, p. 149).

Regulation (EU) No 510/2014 of the European Parliament and of the Council of 16 April 2014 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products and repealing Council Regulations (EC) No 1216/2009 and (EC) No 614/2009 (OJ L 150, 20.5.2014, p. 1).

Regulation (EU) No 540/2014 of the European Parliament and of the Council of 16 April 2014 on the sound level of motor vehicles and of replacement silencing systems, and amending Directive 2007/46/EC and repealing Directive 70/157/EEC (OJ L 158, 27.5.2014, p. 131).

Directive 2014/60/EU of the European Parliament and of the Council of 15 May 2014 on the return of cultural objects unlawfully removed from the territory of a Member State and amending Regulation (EU) No 1024/2012 (OJ L 159, 28.5.2014, p. 1).

Directive (EU) 2015/1535 of the European Parliament and of the Council of 9 September 2015 laying down a procedure for the provision of information in the field of technical regulations and of rules on Information Society services (OJ L 241, 17.9.2015, p. 1).

Directives and Regulations of the European Parliament and of the Council implementing the new approach in certain sectors such as machinery, electromagnetic compatibility, radio equipment and telecommunications terminal equipment, low voltage electrical equipment, personal protective equipment, lifts, explosive atmospheres, medical devices, toys, pressure equipment, gas appliances, construction, the interoperability of the rail system, recreational craft, tyres, explosives, pyrotechnic articles, cableways installations, etc.

Council Directives adopted for the removal of technical barriers to trade in areas not covered by the 'new approach'.

*Reference acts*

Commission Regulation (EC) No 692/2008 of 18 July 2008 implementing and amending Regulation (EC) No 715/2007 of the European Parliament and of the Council on type-approval of motor vehicles with respect to emissions from light passenger and commercial vehicles (Euro 5 and Euro 6) and on access to vehicle repair and maintenance information (OJ L 199 28.7.2008, p. 1).

Commission Regulation (EU) No 406/2010 of 26 April 2010 implementing Regulation (EC) No 79/2009 of the European Parliament and of the Council on type-approval of hydrogen-powered motor vehicles (OJ L 122, 18.5.2010, p. 1).

Commission Implementing Regulation (EU) 2015/983 of 24 June 2015 on the procedure for issuance of the European Professional Card and the application of the alert mechanism pursuant to Directive 2005/36/EC of the European Parliament and of the Council (OJ L 159, 25.6.2015, p. 27).

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (cont'd)**02 03 01** (cont'd)

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 27 January 2016, on the approval and market surveillance of motor vehicles and their trailers, and of systems, components and separate technical units intended for such vehicles (COM(2016) 31 final).

**02 03 02** *Standardisation and approximation of legislation*

02 03 02 01 Support to standardisation activities performed by CEN, Cenelec and ETSI

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 18 562 000          | 17 000 000 | 18 562 000          | 18 600 000 | 17 608 033,58 | 18 150 692,34 |

*Remarks*

In accordance with the general aim of maintaining the proper functioning of the internal market and the competitiveness of European industry, in particular through the mutual recognition of standards and the establishment of European standards in suitable cases, this appropriation is intended to cover:

- financial obligations arising from contracts to be signed with the European standardisation organisations (such as ETSI, CEN and Cenelec), for the development of standards;
- checking and certifying conformity with the standards, and demonstration projects;
- contract expenditure for the execution of the programme and projects indicated above. The contracts in question cover the following: research, association, evaluation, technical operations, coordination, scholarships, grants, training and mobility of scientific staff, participation in international agreements and participation in expenditure on equipment;
- improving the performance of standards bodies;
- promoting quality in standardisation, and the verification thereof;
- supporting the transposition of European standards into national standards, particularly by having them translated;
- measures relating to information, promotion and profile-raising of standardisation and the promotion of European interests in international standardisation;
- secretarial services for the technical committees;
- technical projects in the field of tests for compliance with the standards;

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 02 (*cont'd*)02 03 02 01 (*cont'd*)

- examination of the compliance of draft standards to the relevant mandates;
- programmes of cooperation with and assistance to third countries;
- carrying out the work needed to apply international information technology standards uniformly throughout the Union;
- specifying methods of certification and laying down technical methods of certification;
- encouraging use of these standards in public procurement contracts;
- coordinating the various operations to prepare the standards and doing more to implement them (user guides, demonstrations, etc.). While preparing the standards, possible gender-related specificities should be considered.

Union finance is to be used to frame and implement the standardisation operation in consultation with the main participants: industry, workers' representatives, consumers, when relevant including women's organisations, small and medium-sized enterprises, the national and European standardisation institutions, the public procurement agencies in the Member States, all users and industrial policymakers at national and Union levels.

In support of interoperability, specific provisions about the use of ICT technical specifications in public procurement are included in Regulation (EU) No 1025/2012.

*Legal basis*

Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12).

02 03 02 02 Support to organisations representing small and medium-sized enterprises (SMEs) and societal stakeholders in standardisation activities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 4 080 000           | 3 500 000 | 3 970 000           | 4 000 000 | 4 148 698,17 | 3 390 476,50 |

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 02** (*cont'd*)02 03 02 02 (*cont'd*)*Remarks*

This appropriation is intended to cover the costs of the functioning and activities of European non-governmental and non-profit organisations representing the interests of SMEs and consumers, as well as environmental and social interests, in standardisation activities.

Such representation in the standardisation process at European level forms part of the statutory objectives of such organisations, and they have been mandated by national non-profit organisations in at least two thirds of the Member States to represent those interests.

Contributions to such European organisations have previously been covered by the Competitiveness and Innovation Programme, the consumer policy and the Financial Instrument for the environment, LIFE+. In Regulation (EU) No 1025/2012 the actions in the field of standardisation financed by specific programmes have been brought together in a single legal act.

*Legal basis*

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 17).

Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12).

**02 03 03** ***European Chemicals Agency — Chemicals legislation****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 25 722 021          | 25 722 021 | 69 489 500          | 69 489 500 | 60 544 763,— | 60 544 763,— |

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 03 (*cont'd*)*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure in connection with the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of the Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation to be charged to Item 6 6 0 0 of the general statement of revenue.

In 2018, the Agency's revenue from fees and charges and the surplus carried over from previous year will not be sufficient to cover for the expected expenditure, which implies the need of a balancing subsidy from the Commission. The Union contribution for 2018 amounts to a total of EUR 25 722 021.

*Legal basis*

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

02 03 04 **Internal market governance tools***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 3 675 000           | 3 700 000 | 3 650 000           | 3 700 000 | 3 649 868,10 | 3 713 931,74 |



## CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (cont'd)

## 02 03 04 (cont'd)

## Remarks

This appropriation is intended to cover:

- the expenditure for the management, training, further development and information linked to the services provided by the SOLVIT network, the Your Europe web portal and for creating the tools needed to enable closer cooperation between them;
- the expenditure for the running of the service contract for the management of Your Europe Advice, feedback reporting and the costs for outreach activities;
- the expected expenditure for the Internal Market Information (IMI) system;
- awareness raising activities for all Internal Market governance tools, including the Single Market Scoreboard.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 03 77 **Pilot projects and preparatory actions**

## 02 03 77 03 Preparatory action — Single Market Forum

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 716 717,64 |

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (cont'd)

02 03 77 (cont'd)

02 03 77 03 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 03 77 04 Pilot project — Support measures for traditional retailing

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 318 815  | p.m.                | 500 000  | 0,—          | 181 185,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 03 77 05 Pilot project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 150 000  | 500 000             | 250 000  |              |          |

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 77** (*cont'd*)02 03 77 05 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The scope of the pilot project is to collect, publish and communicate through experts the best practices available in the area of cross-border parcel delivery services, based on successful implementation by all market participants, with the aim of helping all Member States to reach a common level of delivery efficiency and tackle disparities, while at the same time potential areas for further actions could also be identified where the customer satisfaction level should be further addressed.

The project could encompass four steps: (1) a comprehensive assessment of the needs of users of e-commerce-related (cross-border) parcel delivery services; (2) an assessment of the extent to which existing delivery industry practices meet these needs; (3) identification of good industry practice in this field; (4) a gap analysis identifying areas where additional innovation and service improvements are needed to satisfy existing and emerging consumer needs.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Report from the Commission to the European Parliament and the Council of 17 November 2015 on the application of the Postal Services Directive (Directive 97/67/EC as amended by Directive 2002/39/EC and 2008/6/EC) (COM(2015) 568 final).

As stated by Vice-President Andrus Ansip, in charge of the Digital Single Market Strategy, regarding parcel delivery the Commission will:

- (1) take action to improve regulatory oversight while supporting innovation and ensuring a level playing field for operators;
- (2) address the issue of price transparency, including prices for small shipments. This action should mainly support consumers and small businesses. A comprehensive assessment of the situation will be carried out after two years to see if further action is needed.

Article published by the Commission (DG GROWTH) on 22 December 2015 on 'Cheaper cross-border parcel delivery to boost e-commerce in the EU'.

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 77 (*cont'd*)02 03 77 05 (*cont'd*)

WIK-Consult study for the Commission's DG Internal Market and Services — Bad Honnef, August 2014.

02 03 77 07 Pilot project — Independent on-road real-driving emissions (RDE) testing to ensure broad information and transparency for better market surveillance

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 600 000           | 800 000  |                     |          |              |          |

*Remarks*

Having regard to paragraph 40 of the European Parliament recommendation of 4 April 2017 to the Council and the Commission following the inquiry into emission measurements in the automotive sector (P8\_TA(2017) 100), this pilot project will fund measures relating to in-service conformity checks by third-party testing in relation to the transposition of the Regulation (EC) No 715/2007.

In the past, qualified third parties provided authorities at Union and national level with reliable information on the emission behaviour of vehicles. That information was rarely made available by the responsible authorities. Funding should be made available to allow them to provide reliable data from on-road emissions testing of passenger cars which is independent of the data provided by manufacturers and the regulatory authorities in order to promote transparency and improve market surveillance. To guarantee their independence, third parties must not be providing, or have provided in the past, vehicle emissions testing or similar (studies, measurements, etc.) services for industry stakeholders.

The third parties will validate the test procedures by referring to the provisions laid down in Regulation (EC) No 715/2007, Commission Regulation (EC) No 692/2008 and the first three RDE packages (Commission Regulations (EU) 2016/427, (EU) 2016/646 and (EU) 2017/1151) and the guidelines outlined in the Commission notice of 26 January 2017. They will publish the results of their measurements in order to support the development of best practice procedures and the provision of broader information to the responsible authorities and the public. The third parties will not only measure exhaust gases and particle emissions from passenger cars.

Independent third parties will thus contribute to better oversight on how exhaust standards are performing in practice and to what extent the Union's objectives in terms of air quality and climate policy are being achieved. They will contribute to a broader understanding of exhaust reduction strategies with regard to acceleration, high speed, ambient temperature and other criteria. Their specific test procedure will be documented transparently and should take into account current rules on RDE and the latest research.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (cont'd)

02 03 77 (cont'd)

02 03 77 08 Pilot project — Assessing alleged differences in the quality of products sold in the single market

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 800 000             | 400 000  |                     |          |              |          |

*Remarks*

The pilot project consists of a series of market investigations into several categories of consumer products in different Member States.

It will compare the qualitative characteristics of products that are sold in the single market under the same brand and in the same packaging and with the use of other marketing texts.

The pilot project will reveal how the quality of products under the same brands differs between Member States. The research will also include a comparative analysis of the labelling and consumer information for those products.

It will focus on food and non-food products. In the first phase only food products will be examined.

If the pilot project is continued, non-food products will also be examined.

With regard to food, each of the Eurostat-defined product groups examined will contain an adequate number of representative products. For non-food products, different product groups will also be represented. This may include detergents, cosmetics, toiletries and baby-products.

Products chosen will be those that consumers could reasonably believe to be the same, especially with regard to package labelling, brand name and the use of other marketing texts.

The investigation will be undertaken in at least half of the Member States, covering a representative cross-section in terms of population, Harmonised Index of Consumer Prices and geographical area. The scope of the investigation, including the selection of Member States and categories of products, will be decided by a task force on dual quality of products composed of representatives of Member States' competent authorities, consumer organisations, industry, the Commission and members of the European Parliament's Committee on Internal Market and Consumer Protection.

The following assessment will be carried for all products tested:

- physical-chemical testing;
- sensory testing;
- conformity with label declaration;

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**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)

**02 03 77** (*cont'd*)

02 03 77 08 (*cont'd*)

- price comparison;
- weight/volume comparison.

At the end of the pilot project, the Commission will publish a report informing the European Parliament and consumers in all Member States of the outcome of the investigation. It will be translated into the languages of the Member States where the investigation has been carried out and distributed to stakeholders. In order to raise consumer awareness, the report will also be publicised in the relevant Member States with the active involvement of European Parliament Information Offices, Commission Representations and Members of the European Parliament.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                             | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------|----------------|-------------------------|
|                                     |                                                                                                                                                                     |     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                         |
| 02 04                               | HORIZON 2020 —<br>RESEARCH RELATING TO<br>ENTERPRISES                                                                                                               |     |                     |             |                     |             |                |                |                         |
| <b>02 04 02</b>                     | <b>Industrial leadership</b>                                                                                                                                        |     |                     |             |                     |             |                |                |                         |
| 02 04 02 01                         | Leadership in space                                                                                                                                                 | 1.1 | 184 528 490         | 155 310 916 | 167 107 065         | 160 933 101 | 153 594 372,57 | 147 247 010,06 | 94,81                   |
| 02 04 02 02                         | Enhancing access to risk<br>finance for investing in<br>research and innovation                                                                                     | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 0,—            |                         |
| 02 04 02 03                         | Increasing innovation in<br>small and medium-sized<br>enterprises (SMEs)                                                                                            | 1.1 | 43 178 448          | 33 405 537  | 35 426 341          | 46 810 000  | 37 550 000,—   | 24 039 093,25  | 71,96                   |
|                                     | <i>Article 02 04 02 — Subtotal</i>                                                                                                                                  |     | 227 706 938         | 188 716 453 | 202 533 406         | 207 743 101 | 191 144 372,57 | 171 286 103,31 | 90,76                   |
| <b>02 04 03</b>                     | <b>Societal challenges</b>                                                                                                                                          |     |                     |             |                     |             |                |                |                         |
| 02 04 03 01                         | Achieving a resource-<br>efficient and climate change<br>resilient economy and a<br>sustainable supply of raw<br>materials                                          | 1.1 | 63 762 546          | 80 820 296  | 77 021 954          | 50 025 559  | 71 900 773,—   | 60 187 866,81  | 74,47                   |
|                                     | <i>Article 02 04 03 — Subtotal</i>                                                                                                                                  |     | 63 762 546          | 80 820 296  | 77 021 954          | 50 025 559  | 71 900 773,—   | 60 187 866,81  | 74,47                   |
| <b>02 04 50</b>                     | <b>Appropriations accruing<br/>from contributions from<br/>(non-European Economic<br/>Area) third parties to<br/>research and technological<br/>development</b>     |     |                     |             |                     |             |                |                |                         |
| 02 04 50 01                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (2014 to 2020)  | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 12 427 333,49  | 2 161 761,36   |                         |
| 02 04 50 02                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (prior to 2014) | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 119 966,27     |                         |
|                                     | <i>Article 02 04 50 — Subtotal</i>                                                                                                                                  |     | p.m.                | p.m.        | p.m.                | p.m.        | 12 427 333,49  | 2 281 727,63   |                         |
| <b>02 04 51</b>                     | <b>Completion of previous<br/>research framework<br/>programmes — Seventh<br/>Framework Programme —<br/>EC (2007 to 2013)</b>                                       |     |                     |             |                     |             |                |                |                         |
|                                     |                                                                                                                                                                     | 1.1 | p.m.                | 4 624 000   | p.m.                | 27 300 000  | 49 484,63      | 83 004 458,46  | 1 795,08                |

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                           | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                                                                                   |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 02 04 52                            | <b>Completion of previous research framework programmes (prior to 2007)</b>                                                                                                                                                       | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 10 973,96             |                         |
| 02 04 53                            | <b>Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)</b>                                                                                                                             | 1.1 | p.m.                | 1 755 571          | p.m.                | 17 460 000         | 6 560,87              | 24 794 044,01         | 1 412,31                |
| 02 04 77                            | <b>Pilot projects and preparatory actions</b>                                                                                                                                                                                     |     |                     |                    |                     |                    |                       |                       |                         |
| 02 04 77 01                         | Pilot project — Design, roll-out and implementation of an EU-wide technical architecture to assess 112 PSAPs' readiness to transport GNSS location and other data from 112 emergency apps to European PSAPs securely and reliably | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 278 973,90            |                         |
| 02 04 77 02                         | Pilot project — CSDP research                                                                                                                                                                                                     | 1.1 | p.m.                | p.m.               | p.m.                | 525 000            | 500 000,—             | 497 303,28            |                         |
| 02 04 77 03                         | Preparatory action on defence research                                                                                                                                                                                            | 1.1 | 40 000 000          | 28 000 000         | 25 000 000          | 10 000 000         |                       |                       |                         |
| 02 04 77 04                         | Pilot project — Space technologies                                                                                                                                                                                                | 1.1 | p.m.                | 750 000            | 1 500 000           | 750 000            |                       |                       |                         |
|                                     | <i>Article 02 04 77 — Subtotal</i>                                                                                                                                                                                                |     | 40 000 000          | 28 750 000         | 26 500 000          | 11 275 000         | 500 000,—             | 776 277,18            | 2,70                    |
|                                     | <b>Chapter 02 04 — Total</b>                                                                                                                                                                                                      |     | <b>331 469 484</b>  | <b>304 666 320</b> | <b>306 055 360</b>  | <b>313 803 660</b> | <b>276 028 524,56</b> | <b>342 341 451,36</b> | <b>112,37</b>           |

*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 — the Framework Programme for Research and Innovation which covers the 2014 to 2020 period, and for the completion of the previous research programmes (Seventh Framework Programme and preceding framework programmes) and the Competitiveness and Innovation Framework Programme (CIP).

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.



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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** (*cont'd*)

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 02 04 50 01.

Administrative appropriations of this chapter will be provided under Article 02 01 05.

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## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

02 04 02 **Industrial leadership***Remarks*

This priority of Horizon 2020 aims at making Europe a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of European companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

## 02 04 02 01 Leadership in space

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 184 528 490         | 155 310 916 | 167 107 065         | 160 933 101 | 153 594 372,57 | 147 247 010,06 |

*Remarks**Former Item 02 04 02 01 (in part)*

The aim of this appropriation is to foster a competitive and innovative space industry and research community to develop and exploit space infrastructure to meet future Union policy and societal needs. The lines of activities are: enabling European competitiveness, non-dependence and innovation of the European space sector, enabling advances in space technologies, enabling exploitation of space data and enabling European research in support of international space partnerships.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (a)(vi) of Article 3(2) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

## 02 04 02 (cont'd)

## 02 04 02 02 Enhancing access to risk finance for investing in research and innovation

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

Remarks

The aim of this appropriation is to help remedy market deficiencies in accessing risk finance for research and innovation. In particular, the equity facility will target investments in risk capital funds which make early stage investments. It shall enable equity investments in, amongst others, seed capital funds, cross-border seed funds, business angel co-investment vehicles and early-stage venture capital funds. The equity facility, which will be demand-driven, shall use a portfolio approach, where venture capital funds and other comparable intermediaries select the firms in which to invest. Special attention should be paid to encouraging female entrepreneurs to participate in such schemes.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(2) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 02 04 02 03 Increasing innovation in small and medium-sized enterprises (SMEs)

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 43 178 448          | 33 405 537 | 35 426 341          | 46 810 000 | 37 550 000,— | 24 039 093,25 |

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CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 02 (*cont'd*)02 04 02 03 (*cont'd*)*Remarks*

The aim of this appropriation is to:

- provide financing to the Enterprise Europe Network established under the COSME programme for their reinforced services linked to Horizon 2020. The support provided under the budget line is limited to services enhancing the innovation management capacity of SMEs, in particular of beneficiaries of the SME instrument;
- support the implementation and complementing the SME specific measures across Horizon 2020, notably to enhance effectiveness and efficiency of the innovation services provided to SMEs. Activities may include awareness raising, information and dissemination, training and mobility activities, networking and exchange of best practices, developing high quality innovation support mechanisms and services with strong Union added value for SMEs (e.g. intellectual property and innovation management, knowledge transfer), as well as assisting SMEs to connect to research and innovation partners across the Union;
- introduce measures encouraging female entrepreneurs to participate in the digital and innovative economy and the ICT and STEM sectors and support female entrepreneur networks;
- support market-driven innovation in view of enhancing the innovation capacity of firms by improving the framework conditions for innovation as well as tackling the specific barriers preventing the growth of innovative firms.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (c) of Article 3(2) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

02 04 03 **Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

## 02 04 03 (cont'd)

02 04 03 01 Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 63 762 546          | 80 820 296 | 77 021 954          | 50 025 559 | 71 900 773,— | 60 187 866,81 |

## Remarks

Former Item 02 04 03 01 (in part)

The aim of this appropriation will be to support secure supply of raw materials in order to meet the needs of Union society within the sustainable limits of the planet's natural resources. Activities will target the improvement of the knowledge base on raw materials and the development of innovative solutions for the cost-effective and environmentally friendly exploration, extraction, processing, recycling and recovery of raw materials and for their substitution by economically attractive alternatives.

Support will also be provided for tackling barriers impeding the deployment of circular-economy such as recovery of raw materials from different waste streams.

## Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (e) of Article 3(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

02 04 50 **Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

02 04 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |              |
|---------------------|----------|---------------------|----------|---------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 12 427 333,49 | 2 161 761,36 |

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## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

02 04 50 (cont'd)

02 04 50 01 (cont'd)

## Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

02 04 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 119 966,27 |

## Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

02 04 51 **Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)**

## Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 4 624 000 | p.m.                | 27 300 000 | 49 484,63    | 83 004 458,46 |

## Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** (cont'd)**02 04 51** (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**02 04 52** ***Completion of previous research framework programmes (prior to 2007)***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 10 973,96 |

*Remarks*

This appropriation is intended to cover earlier commitments related to the research framework programmes prior to 2003.

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CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 52 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).



## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

02 04 53 **Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 1 755 571 | p.m.                | 17 460 000 | 6 560,87     | 24 794 044,01 |

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

02 04 77 **Pilot projects and preparatory actions**

02 04 77 01 Pilot project — Design, roll-out and implementation of an EU-wide technical architecture to assess 112 PSAPs' readiness to transport GNSS location and other data from 112 emergency apps to European PSAPs securely and reliably

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 278 973,90 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

02 04 77 (cont'd)

02 04 77 02 Pilot project — CSDP research

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 525 000  | 500 000,—    | 497 303,28 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 04 77 03 Preparatory action on defence research

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|------------|---------------------|------------|--------------|----------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments |
| 40 000 000          | 28 000 000 | 25 000 000          | 10 000 000 |              |          |

*Remarks*

Europe faces today an increasingly deteriorating security situation with the emergence of new or existing threats; the financial crisis has put constant pressure on national defence budgets and since 2006, the budget spent on defence R&D in Europe decreased by 30 %. In this context, Member States and public opinion look to the Union for greater involvement in security and defence.

The main objective of the preparatory action is to prepare and test mechanisms for conducting Union-funded defence research, including a mechanism of cooperation with the European Defence Agency, with a view to prepare, organise and deliver a variety of defence research, technology and development activities. The final aim of these activities is to improve the competitiveness and innovation in the European defence industry and to stimulate growth and job creation. It needs to produce visible and timely results for the decision making in view of the discussion on a possible defence research programme within the next Multiannual Financial Framework.

## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (cont'd)

02 04 77 (cont'd)

02 04 77 03 (cont'd)

The research & technology programme of the preparatory action will be implemented by annual work programmes, mainly through calls for proposals for research projects and procurement. Tasks related to the implementation of the work programmes shall be delegated to the European Defence Agency. The preparatory action will cover all needed expenses to prepare and test mechanisms for conducting Union-funded defence research, including expenditure related to information and publications, dissemination, technical and administrative assistance, consultancy, studies and expert groups.

In 2018, this appropriation would cover funding for additional technology modules of a large scale demonstration project funded under the 2017 appropriation, which should yield timely the most tangible result: a first experimental development of new, innovative defence technology. This demonstration project should be complemented with a number of research projects, inter alia, on critical defence technologies as well as future disruptive technologies, and support activities to improve, for example, on standardisation and interoperability. The precise scope of the topics will include inter alia consultation with the Member States and the defence-related R&D stakeholders, and assistance from experts.

Any revenue from contributions from third countries entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 24 July 2013 'Towards a more competitive and efficient defence and security sector' (COM(2013) 542 final).

02 04 77 04 Pilot project — Space technologies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 750 000  | 1 500 000           | 750 000  |              |          |

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CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 77 (*cont'd*)02 04 77 04 (*cont'd*)*Remarks**Former Item 02 03 77 06*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The pilot project on space technologies will primarily test partnership arrangements with industry to identify priorities for competitiveness. This will prepare the ground for a public-private partnership (Joint Technology Initiative or similar) in space technologies and an associated roadmap through a structured dialogue with industry.

The pilot project will focus on two themes which are not addressed by current programmes: innovative materials for space equipment and cleaner space through deorbiting.

The specific area of materials for space equipment is critical for a European space sector currently suffering from dependency in some critical materials areas. In order to support a reduction in dependency as regards materials supply and a sustainable REACH-compliant competitive space sector, two major topics could be addressed by developing innovative materials for space equipment: composite supply source for critical space equipment and non-dependency with regard to materials and processes. The market for carbon-based raw materials suffers from under-capacity, and the space sector, because it is a low-volume customer, suffers the most. Supply sources for composite raw materials are still highly concentrated in the US and Japan. The drive for non-dependency with regard to materials and processes should aim at promoting European sources for critical materials and processes affected by dependency issues or Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency (OJ L 396, 30.12.2006, p. 1).

Innovative materials for space equipment would also provide a deorbiting solution by allowing the development of appropriate technology to ensure that satellites are removed at the end of their mission life.

The Earth's orbit needs to be in good condition in order to enable manned and unmanned operations in space in the future. The Union should start to take the steps necessary for limiting the growth of orbiting debris.

The three main areas for technological development could be:

- (1) end-of-life deorbiting solutions (propulsion);
- (2) trajectory and controlled re-entry (design and modelling);
- (3) design for demise (controlled break-up on re-entry). Establishing a long-term deorbiting scheme through a pilot project could also make a significant environmental contribution.

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** *(cont'd)***02 04 77** *(cont'd)*02 04 77 04 *(cont'd)*

The aim is to achieve sustainable long-term replacement solutions for materials through innovation, and identifying solutions for space debris is critical for industry.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                  | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                                                          |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 02 05                               | EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)                                                                                                             |     |                     |                    |                     |                    |                       |                       |                             |
| 02 05 01                            | <i>Developing and providing global satellite-based radio navigation infrastructures and services (Galileo) by 2020</i>                                                   | 1.1 | 621 709 000         | 530 000 000        | 614 965 000         | 495 000 000        | 624 915 111,—         | 491 193 064,52        | 92,68                       |
| 02 05 02                            | <i>Providing satellite-based services improving the performance of GPS to gradually cover the whole European Civil Aviation Conference (ECAC) region by 2020 (EGNOS)</i> | 1.1 | 183 150 000         | 180 000 000        | 280 000 000         | 150 000 000        | 277 565 378,14        | 39 766 973,—          | 22,09                       |
| 02 05 11                            | <i>European GNSS Agency</i>                                                                                                                                              | 1.1 | 31 338 525          | 31 338 525         | 26 523 436          | 26 523 436         | 29 086 327,—          | 29 086 327,—          | 92,81                       |
| 02 05 51                            | <i>Completion of European satellite navigation programmes (EGNOS and Galileo)</i>                                                                                        | 1.1 | p.m.                | 5 000 000          | p.m.                | 40 000 000         | 0,—                   | 24 586 733,53         | 491,73                      |
| <b>Chapter 02 05 — Total</b>        |                                                                                                                                                                          |     | <b>836 197 525</b>  | <b>746 338 525</b> | <b>921 488 436</b>  | <b>711 523 436</b> | <b>931 566 816,14</b> | <b>584 633 098,05</b> | <b>78,33</b>                |

**02 05 01** *Developing and providing global satellite-based radio navigation infrastructures and services (Galileo) by 2020*

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 621 709 000         | 530 000 000 | 614 965 000         | 495 000 000 | 624 915 111,— | 491 193 064,52 |

## Remarks

The Union contribution to the European GNSS programmes is granted with a view to financing activities relating to:

- the completion of the deployment phase of the Galileo programme, consisting of the construction, establishment, protection of the space and ground infrastructure, as well as preparatory activities for the exploitation phase including activities relating to the preparation of service provision;
- the exploitation phase of the Galileo programme, consisting of the management, maintenance, continuous improvement, evolution and protection of the space and ground infrastructure, the development of future generations of the system and the evolution of the services provided by the system, certification and standardisation operations, provision and marketing of the services provided by the system and all other activities needed to ensure that the programme runs smoothly.

**CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO) (cont'd)****02 05 01** (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The contribution of Member States for specific elements of the programmes could be added to the appropriations entered in this article.

*Legal basis*

Regulation (EU) No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 347, 20.12.2013, p. 1), and in particular Article 2(4) thereof.

**02 05 02** ***Providing satellite-based services improving the performance of GPS to gradually cover the whole European Civil Aviation Conference (ECAC) region by 2020 (EGNOS)****Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |              |
|---------------------|-------------|---------------------|-------------|----------------|--------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments     |
| 183 150 000         | 180 000 000 | 280 000 000         | 150 000 000 | 277 565 378,14 | 39 766 973,— |

*Remarks*

The Union contribution to the European GNSS programmes is granted with a view to financing activities relating to the exploitation of the EGNOS system, including all elements justifying the reliability of the system and its exploitation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The contribution of Member States for specific elements of the programmes could be added to the appropriations entered in this article.

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## CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO) (cont'd)

## 02 05 02 (cont'd)

*Legal basis*

Regulation (EU) No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 347, 20.12.2013, p. 1), and in particular Article 2(5) thereof.

02 05 11 *European GNSS Agency**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 31 338 525          | 31 338 525 | 26 523 436          | 26 523 436 | 29 086 327,— | 29 086 327,— |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Delegated Regulation (EU) No 1271/2013 constitute assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European GNSS Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 31 538 000. An amount of EUR 199 475, coming from the reserve of surplus, is added to the amount of EUR 31 338 525 entered in the budget.

*Legal basis*

Regulation (EU) No 912/2010 of the European Parliament and of the Council of 22 September 2010 setting up the European GNSS Agency, repealing Council Regulation (EC) No 1321/2004 on the establishment of structures for the management of the European satellite radio navigation programmes and amending Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 276, 20.10.2010, p. 11).



## CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO) (cont'd)

## 02 05 11 (cont'd)

Regulation (EU) No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 347, 20.12.2013, p. 1).

02 05 51 **Completion of European satellite navigation programmes (EGNOS and Galileo)**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 5 000 000 | p.m.                | 40 000 000 | 0,—          | 24 586 733,53 |

## Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## Legal basis

Regulation (EC) N 683/2008 of the European Parliament and of the Council of 9 July 2008 on the further implementation of the European satellite navigation programmes (EGNOS and Galileo) (OJ L 196, 24.7.2008, p. 1).

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                  | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016         |                       | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|----------------------|-----------------------|-------------------------|
|                                     |                                                                                                          |     | Commitments         | Payments           | Commitments         | Payments           | Commitments          | Payments              |                         |
| 02 06                               | EUROPEAN EARTH OBSERVATION PROGRAMME                                                                     |     |                     |                    |                     |                    |                      |                       |                         |
| <b>02 06 01</b>                     | <b>Delivering operational services relying on space-borne observations and in-situ data (Copernicus)</b> | 1.1 | 129 364 000         | 131 000 000        | 118 306 000         | 129 796 000        | 110 094 153,70       | 101 810 013,08        | 77,72                   |
| <b>02 06 02</b>                     | <b>Building an autonomous Union's Earth observation capacity (Copernicus)</b>                            | 1.1 | 498 227 000         | 474 000 000        | 486 526 000         | 564 376 000        | 489 404 225,30       | 486 135 087,91        | 102,56                  |
| <b>02 06 51</b>                     | <b>Completion of European Earth monitoring programme (GMES)</b>                                          | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                  | 736 647,—             |                         |
| <b>Chapter 02 06 — Total</b>        |                                                                                                          |     | <b>627 591 000</b>  | <b>605 000 000</b> | <b>604 832 000</b>  | <b>694 172 000</b> | <b>599 498 379,—</b> | <b>588 681 747,99</b> | <b>97,30</b>            |

**02 06 01 Delivering operational services relying on space-borne observations and in-situ data (Copernicus)**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 129 364 000         | 131 000 000 | 118 306 000         | 129 796 000 | 110 094 153,70 | 101 810 013,08 |

## Remarks

The aim of this appropriation is to:

- enable operations of Copernicus services, tailored to the needs of users;
- contribute to ensure access to data of the observation infrastructure necessary to operate Copernicus services;
- create opportunities for increased private sector usage of information sources, thereby facilitating innovation by value-adding service providers.

This appropriation will, in particular, fund the set-up, the establishment and operation of the six services mentioned in Regulation (EU) No 377/2014 and the related activities.

This appropriation may also fund cross-cutting activities among the services or their articulation and co-ordination, as well as for the in-situ co-ordination, user uptake and training and communication.

The budget envelope will be managed either directly by the Commission services or indirectly via delegation agreements with Union agencies and international organisations, or any entity eligible under Article 58 of the Financial Regulation.

When the Commission manages the budget directly, it may entrust the Joint Research Centre (JRC) with scientific and technical support tasks. The funding of these tasks may be entered into the JRC's indirect budget under point (c) of Article 183(2) and Article 183(6) of the Financial Regulation.

**CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME** (*cont'd*)**02 06 01** (*cont'd*)

In addition, in support of competitiveness and growth, this appropriation may also fund data dissemination and the incubation of new businesses by supporting more robust and innovative IT structures in Europe.

Copernicus services will facilitate access to key data required in policy formulation at Union, national, regional and local level in fields such as agriculture, forest monitoring, water management, transport, urban planning, climate change and many others. This appropriation covers mainly the implementation of delegation agreements for the Copernicus programme, pursuant to Article 58 of the Financial Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget. Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this Item in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU) No 377/2014 of the European Parliament and of the Council of 3 April 2014 establishing the Copernicus programme and repealing Regulation (EU) No 911/2010 (OJ L 122, 24.4.2014, p. 44).

**02 06 02** ***Building an autonomous Union's Earth observation capacity (Copernicus)****Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 498 227 000         | 474 000 000 | 486 526 000         | 564 376 000 | 489 404 225,30 | 486 135 087,91 |

*Remarks*

The aim of this appropriation is to:

- build an autonomous Union's Earth observation capacity by funding the space infrastructure and favouring the European industry in that regard, namely in the construction and launch of satellites;
- contribute to the availability of the observation capacity necessary to enable the Copernicus services, in particular through the operations of the ground segment of the space infrastructure;

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**CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME** (*cont'd*)

**02 06 02** (*cont'd*)

- create opportunities for increased private sector usage of information sources, thereby facilitating innovation by value-adding service providers.

The development of a European space infrastructure has a key role in enhancing competitiveness and innovation, and requires consistent public intervention to sustain the industrial effort.

This appropriation will fund the development and construction of satellites, as well as their operation. The data and information obtained through the space infrastructure is subject to the free, full and open data policy, which will increase their availability and thereby boost the downstream market.

To supplement the data needed by users, this appropriation may also fund the acquisition of data from third parties and the access to contributing mission from Member States, as well as the dedicated dissemination platform (core ground segment) which will serve, in priority the operational services funded by Article 02 06 01.

The budget envelope will be managed either directly by the Commission services or indirectly via delegation agreements with Union agencies and international organisations, or any entity eligible under Article 58 of the Financial Regulation.

When the Commission manages the budget directly, it may entrust the Joint Research Centre (JRC) with scientific and technical support tasks. The funding of these tasks may be entered into the JRC's indirect budget under point (c) of Article 183(2) and Article 183(6) of the Financial Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU) No 377/2014 of the European Parliament and of the Council of 3 April 2014 establishing the Copernicus programme and repealing Regulation (EU) No 911/2010 (OJ L 122, 24.4.2014, p. 44).

## CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME (cont'd)

02 06 51 **Completion of European Earth monitoring programme (GMES)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 736 647,— |

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

Legal basis

Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth monitoring programme (GMES) and its initial operations (2011 to 2013) (OJ L 276, 20.10.2010, p. 1).

Reference acts

Commission Decision 2010/67/EU of 5 February 2010 setting up the GMES Partners Board (OJ L 35, 6.2.2010, p. 23).

COMMISSION

*TITLE 03*

**COMPETITION**

**TITLE 03****COMPETITION****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                        | Appropriations 2018 | Appropriations 2017 | Outturn 2016          |
|------------------|----------------------------------------------------------------|---------------------|---------------------|-----------------------|
| 03 01            | ADMINISTRATIVE EXPENDITURE OF THE 'COMPETITION'<br>POLICY AREA | 109 841 980         | 108 427 562         | 104 906 256,61        |
|                  | <b>Title 03 — Total</b>                                        | <b>109 841 980</b>  | <b>108 427 562</b>  | <b>104 906 256,61</b> |

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### TITLE 03

### COMPETITION

#### CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMPETITION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                            | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|--------------|
| 03 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'COMPETITION' POLICY AREA                                                                        |     |                     |                     |                       |              |
| <b>03 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Competition' policy area</b>                                       | 5.2 | 90 792 443          | 89 910 140          | 86 077 243,96         | 94,81        |
| <b>03 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Competition' policy area</b>                             |     |                     |                     |                       |              |
| 03 01 02 01                         | External personnel                                                                                                                 | 5.2 | 5 226 762           | 5 184 921           | 4 813 058,87          | 92,08        |
| 03 01 02 11                         | Other management expenditure                                                                                                       | 5.2 | 7 953 652           | 7 718 209           | 7 396 531,57          | 93,00        |
|                                     | Article 03 01 02 — Subtotal                                                                                                        |     | 13 180 414          | 12 903 130          | 12 209 590,44         | 92,63        |
| <b>03 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services of the 'Competition' policy area</b>     | 5.2 | 5 869 123           | 5 614 292           | 6 619 422,21          | 112,78       |
| <b>03 01 07</b>                     | <b>Requests for damages resulting from legal proceedings against the Commission's decisions in the field of competition policy</b> | 5.2 | p.m.                | p.m.                | 0,—                   |              |
|                                     | <b>Chapter 03 01 — Total</b>                                                                                                       |     | <b>109 841 980</b>  | <b>108 427 562</b>  | <b>104 906 256,61</b> | <b>95,51</b> |

#### **03 01 01 Expenditure related to officials and temporary staff in the 'Competition' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 90 792 443          | 89 910 140          | 86 077 243,96 |

#### **03 01 02 External personnel and other management expenditure in support of the 'Competition' policy area**

##### 03 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 226 762           | 5 184 921           | 4 813 058,87 |



**CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMPETITION’ POLICY AREA** (cont'd)**03 01 02** (cont'd)

## 03 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 953 652           | 7 718 209           | 7 396 531,57 |

**03 01 03** **Expenditure related to information and communication technology equipment and services of the ‘Competition’ policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 869 123           | 5 614 292           | 6 619 422,21 |

**03 01 07** **Requests for damages resulting from legal proceedings against the Commission’s decisions in the field of competition policy**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

In order to ensure that the rules on competition concerning agreements, decisions by associations of undertakings and concerted practices (Article 101 of the Treaty on the Functioning of the European Union), abuses of a dominant position (Article 102 of the Treaty on the Functioning of the European Union), aids granted by States (Articles 107 and 108 of the Treaty on the Functioning of the European Union) and concentrations between undertakings (Regulation (EC) No 139/2004) are enforced, the Commission is empowered to take decisions, to conduct investigations and to impose penalties or order recovery.

The Commission’s decisions are subject to review by the Court of Justice of the European Union in accordance with the Treaty on the Functioning of the European Union.

As a prudential measure, it is appropriate to take into account the possibility of budgetary implications stemming from rulings of the Court of Justice of the European Union.

This appropriation is intended to cover any expenditure arising from damages awarded by the Court of Justice of the European Union to claimants and resulting from legal proceedings against the Commission’s decisions in the field of competition.

Since a reasonable estimate of the financial impact on the general budget cannot be established beforehand, a token entry (‘p.m.’) appears in this article. If necessary, the Commission will propose to make available the appropriations related to actual needs by means of transfers or through a draft amending budget.

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TITLE 03 — COMPETITION

**CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMPETITION’ POLICY AREA** (*cont'd*)

**03 01 07** (*cont'd*)

*Legal basis*

Articles 101 and 102 of the Treaty on the Functioning of the European Union (former Articles 81 and 82 of the Treaty establishing the European Community) and derived legislation, in particular:

- Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1),
- Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1).

Articles 107 and 108 of the Treaty on the Functioning of the European Union (former Articles 87 and 88 of the Treaty establishing the European Community) and derived legislation, in particular Council Regulation (EU) 2015/1589 of 13 July 2015 laying down detailed rules for the application of Article 108 of the Treaty on the Functioning of the European Union (OJ L 248, 24.9.2015, p. 9).

*TITLE 04*

**EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION**

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## TITLE 04

## EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                               | Appropriations 2018   |                       | Appropriations 2017   |                      | Outturn 2016             |                         |
|---------------|-------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|-----------------------|----------------------|--------------------------|-------------------------|
|               |                                                                                                       | Commitments           | Payments              | Commitments           | Payments             | Commitments              | Payments                |
| 04 01         | ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA              | 99 455 899            | 99 455 899            | 103 133 045           | 103 133 045          | 99 348 858,25            | 99 348 858,25           |
| 04 02         | EUROPEAN SOCIAL FUND (ESF)                                                                            | 13 585 939 213        | 11 437 470 919        | 13 399 726 072        | 8 741 741 079        | 12 449 216 012,72        | 8 136 185 759,15        |
|               | Reserves (40 02 41)                                                                                   | 11 102 000            | 8 327 000             |                       |                      |                          |                         |
|               |                                                                                                       | 13 597 041 213        | 11 445 797 919        | 13 399 726 072        | 8 741 741 079        | 12 449 216 012,72        | 8 136 185 759,15        |
| 04 03         | EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION                                                              | 262 903 197           | 239 740 714           | 266 640 500           | 216 191 000          | 257 605 048,31           | 252 713 025,48          |
| 04 04         | EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)                                                          | p.m.                  | 25 000 000            | p.m.                  | 25 000 000           | 27 638 171,—             | 27 638 171,—            |
| 04 05         | INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT | p.m.                  | 5 000 000             | p.m.                  | 50 000 000           | 0,—                      | 82 299 999,44           |
| 04 06         | FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (FEAD)                                                     | 556 474 653           | 401 000 000           | 545 826 912           | 441 000 000          | 534 763 764,57           | 278 064 742,71          |
|               | <b>Title 04 — Total</b>                                                                               | <b>14 504 772 962</b> | <b>12 207 667 532</b> | <b>14 315 326 529</b> | <b>9 577 065 124</b> | <b>13 368 571 854,85</b> | <b>8 876 250 556,03</b> |
|               | <b>Reserves (40 02 41)</b>                                                                            | <b>11 102 000</b>     | <b>8 327 000</b>      |                       |                      |                          |                         |
|               |                                                                                                       | <b>14 515 874 962</b> | <b>12 215 994 532</b> | <b>14 315 326 529</b> | <b>9 577 065 124</b> | <b>13 368 571 854,85</b> | <b>8 876 250 556,03</b> |

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

**TITLE 04**

**EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION**

**CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION’ POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                             | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 04 01                               | ADMINISTRATIVE EXPENDITURE OF THE ‘EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION’ POLICY AREA                                                                            |     |                     |                     |                      |              |
| <b>04 01 01</b>                     | <b><i>Expenditure relating to officials and temporary staff in the ‘Employment, social affairs and inclusion’ policy area</i></b>                                   | 5.2 | 69 588 014          | 69 663 198          | 69 038 811,79        | 99,21        |
| <b>04 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the ‘Employment, social affairs and inclusion’ policy area</i></b>                          |     |                     |                     |                      |              |
| 04 01 02 01                         | External personnel                                                                                                                                                  | 5.2 | 4 910 457           | 5 180 803           | 4 410 979,38         | 89,83        |
| 04 01 02 11                         | Other management expenditure                                                                                                                                        | 5.2 | 4 859 029           | 5 009 039           | 4 688 277,53         | 96,49        |
|                                     | <i>Article 04 01 02 — Subtotal</i>                                                                                                                                  |     | 9 769 486           | 10 189 842          | 9 099 256,91         | 93,14        |
| <b>04 01 03</b>                     | <b><i>Expenditure relating to information and communication technology equipment and services of the ‘Employment, social affairs and inclusion’ policy area</i></b> | 5.2 | 4 498 399           | 4 350 005           | 5 308 811,66         | 118,02       |
| <b>04 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the ‘Employment, social affairs and inclusion’ policy area</i></b>                                       |     |                     |                     |                      |              |
| 04 01 04 01                         | Support expenditure for European Social Fund and non-operational technical assistance                                                                               | 1.2 | 11 800 000          | 14 500 000          | 11 528 040,19        | 97,70        |
| 04 01 04 02                         | Support expenditure for the programme Employment and Social Innovation                                                                                              | 1.1 | 3 400 000           | 4 000 000           | 3 721 281,71         | 109,45       |
| 04 01 04 03                         | Support expenditure for the Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development                                   | 4   | p.m.                | p.m.                | 0,—                  |              |
| 04 01 04 04                         | Support expenditure for the European Globalisation Adjustment Fund                                                                                                  | 9   | p.m.                | p.m.                | 272 655,99           |              |
| 04 01 04 05                         | Support expenditure for the Fund for European Aid to the Most Deprived                                                                                              | 1.2 | 400 000             | 430 000             | 380 000,—            | 95,00        |
|                                     | <i>Article 04 01 04 — Subtotal</i>                                                                                                                                  |     | 15 600 000          | 18 930 000          | 15 901 977,89        | 101,94       |
|                                     | <b>Chapter 04 01 — Total</b>                                                                                                                                        |     | <b>99 455 899</b>   | <b>103 133 045</b>  | <b>99 348 858,25</b> | <b>99,89</b> |

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## CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA (cont'd)

**04 01 01 Expenditure relating to officials and temporary staff in the 'Employment, social affairs and inclusion' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 69 588 014          | 69 663 198          | 69 038 811,79 |

**04 01 02 External personnel and other management expenditure in support of the 'Employment, social affairs and inclusion' policy area**

## 04 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 910 457           | 5 180 803           | 4 410 979,38 |

## 04 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 859 029           | 5 009 039           | 4 688 277,53 |

**04 01 03 Expenditure relating to information and communication technology equipment and services of the 'Employment, social affairs and inclusion' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 498 399           | 4 350 005           | 5 308 811,66 |

**04 01 04 Support expenditure for operations and programmes in the 'Employment, social affairs and inclusion' policy area**

## 04 01 04 01 Support expenditure for European Social Fund and non-operational technical assistance

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 800 000          | 14 500 000          | 11 528 040,19 |

## Remarks

This appropriation is intended to cover the European Social Fund (ESF)-funded technical assistance measures provided for in Articles 58 and 118 of Regulation (EU) No 1303/2013. Technical assistance may finance the preparatory, monitoring, evaluation, supervision and management measures required for the implementation of the ESF by the Commission. This appropriation may, in particular, be used to cover:

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**04 01 04** (cont'd)

04 01 04 01 (cont'd)

- support expenditure (representation expenses, training, meetings, missions and translations),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 5 000 000, including missions relating to the external personnel financed under this item.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

04 01 04 02 Support expenditure for the programme Employment and Social Innovation

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 400 000           | 4 000 000           | 3 721 281,71 |

*Remarks*

This appropriation is intended to cover expenditure on studies, committees, meetings of experts, including meetings and other expenditure relating to the work of the European Platform to enhance cooperation on tackling undeclared work, conferences, information and publications directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

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## CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA (cont'd)

04 01 04 (cont'd)

04 01 04 02 (cont'd)

*Legal basis*

See Chapter 04 03.

04 01 04 03 Support expenditure for the Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the Instrument for Pre-Accession Assistance.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapter 04 05.

*Legal basis*

See Chapter 04 05.

04 01 04 04 Support expenditure for the European Globalisation Adjustment Fund

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 272 655,99   |



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**CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION’ POLICY AREA** *(cont’d)*

**04 01 04** *(cont’d)*

04 01 04 04 *(cont’d)*

*Remarks*

This appropriation is intended to be used, at the initiative of the Commission, subject to a ceiling of 0,5 % of the annual maximum amount of the European Globalisation Adjustment Fund (EGF) as defined in Regulation (EU) No 1309/2013. The appropriation may be used to finance the preparation, monitoring, data gathering and creation of a knowledge base relevant to the implementation of the EGF. It may also be used to finance administrative and technical support, information and communication activities, as well as audit, control and evaluation activities necessary to implement the operations of the EGF.

*Legal basis*

See Chapter 04 04.

04 01 04 05 Support expenditure for the Fund for European Aid to the Most Deprived

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 400 000             | 430 000             | 380 000,—    |

*Remarks*

This appropriation is intended to cover technical assistance as provided for in Article 27 of Regulation (EU) No 223/2014.

Technical assistance may finance preparation, monitoring, administrative and technical assistance, audit, information, control and evaluation measures necessary for implementing Regulation (EU) No 223/2014, as well as for activities pursuant to Article 10 of that same Regulation.

In particular, this appropriation is intended to cover:

- support expenditure (representation expenses, training, meetings, missions and translations),
- preparation, monitoring, data gathering and creation of a knowledge base relevant to the implementation of the Fund for European Aid to the Most Deprived (FEAD),
- contracts for the provision of services and studies.

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CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA *(cont'd)*

**04 01 04** *(cont'd)*

04 01 04 05 *(cont'd)*

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                   | FF  | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|----------|---------------------|----------|--------------|--------------|-----------------------------|
|                                     |                                                                                                                                                                           |     | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |                             |
| 04 02                               | EUROPEAN<br>SOCIAL FUND<br>(ESF)                                                                                                                                          |     |                     |          |                     |          |              |              |                             |
| 04 02 01                            | <i>Completion of the<br/>European Social<br/>Fund — Objective<br/>1 (2000 to 2006)</i>                                                                                    | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 6 849 787,58 |                             |
| 04 02 02                            | <i>Completion of the<br/>special programme<br/>for peace and<br/>reconciliation in<br/>Northern Ireland<br/>and the border<br/>counties of Ireland<br/>(2000 to 2006)</i> | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—          |                             |
| 04 02 03                            | <i>Completion of the<br/>European Social<br/>Fund — Objective<br/>1 (prior to 2000)</i>                                                                                   | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 577 099,61   |                             |
| 04 02 04                            | <i>Completion of the<br/>European Social<br/>Fund — Objective<br/>2 (2000 to 2006)</i>                                                                                    | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—          |                             |
| 04 02 05                            | <i>Completion of the<br/>European Social<br/>Fund — Objective<br/>2 (prior to 2000)</i>                                                                                   | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—          |                             |
| 04 02 06                            | <i>Completion of the<br/>European Social<br/>Fund — Objective<br/>3 (2000 to 2006)</i>                                                                                    | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 357 823,55 |                             |
| 04 02 07                            | <i>Completion of the<br/>European Social<br/>Fund — Objective<br/>3 (prior to 2000)</i>                                                                                   | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 127 669,45   |                             |
| 04 02 08                            | <i>Completion of<br/>EQUAL (2000 to<br/>2006)</i>                                                                                                                         | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—          |                             |
| 04 02 09                            | <i>Completion of<br/>previous<br/>Community<br/>initiatives (prior to<br/>2000)</i>                                                                                       | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—          |                             |

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                              | FF  | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|---------------|-----------------|------------------|--------------------------------|
|                                     |                                                                                                      |     | Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |                                |
| 04 02 10                            | Completion of the European Social Fund — Innovative actions and technical assistance (2000 to 2006)  | 1.2 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                                |
| 04 02 11                            | Completion of the European Social Fund — Innovative actions and technical assistance (prior to 2000) | 1.2 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                                |
| 04 02 17                            | Completion of the European Social Fund — Convergence (2007 to 2013)                                  | 1.2 | p.m.                | 690 000 000   | p.m.                | 510 000 000   | 0,—             | 2 447 673 863,34 | 354,74                         |
| 04 02 18                            | Completion of the European Social Fund — PEACE (2007 to 2013)                                        | 1.2 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—             | 0,—              |                                |
| 04 02 19                            | Completion of the European Social Fund — Regional competitiveness and employment (2007 to 2013)      | 1.2 | p.m.                | 210 000 000   | p.m.                | 190 000 000   | 0,—             | 935 890 936,03   | 445,66                         |
| 04 02 20                            | Completion of the European Social Fund — Operational technical assistance (2007 to 2013)             | 1.2 | p.m.                | p.m.          | p.m.                | 500 000       | 0,—             | 1 065 719,58     |                                |
| 04 02 60                            | European Social Fund — Less developed regions — Investment for growth and jobs goal                  | 1.2 | 7 575 750 550       | 5 773 000 000 | 7 346 787 700       | 4 312 197 693 | 6 902 446 258,— | 2 828 072 399,14 | 48,99                          |
| 04 02 61                            | European Social Fund — Transition regions — Investment for growth and jobs goal                      | 1.2 | 1 944 596 298       | 1 305 000 000 | 1 907 753 625       | 960 818 915   | 1 630 370 904,— | 459 505 340,41   | 35,21                          |
| 04 02 62                            | European Social Fund — More developed regions — Investment for growth and jobs goal                  | 1.2 | 3 700 562 470       | 2 847 000 000 | 3 629 184 747       | 2 156 657 392 | 3 474 867 783,— | 1 097 477 335,51 | 38,55                          |

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**CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                            | FF  | Appropriations 2018   |                       | Appropriations 2017   |                      | Outturn 2016             |                         | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----|-----------------------|-----------------------|-----------------------|----------------------|--------------------------|-------------------------|--------------------------------|
|                                     |                                                                                                                    |     | Commitments           | Payments              | Commitments           | Payments             | Commitments              | Payments                |                                |
| <b>04 02 63</b>                     | <b>European Social Fund — Operational technical assistance</b>                                                     |     |                       |                       |                       |                      |                          |                         |                                |
| 04 02 63 01                         | European Social Fund — Operational technical assistance                                                            | 1.2 | 15 029 895            | 10 000 000            | 16 000 000            | 11 000 000           | 14 083 709,64            | 8 168 422,35            | 81,68                          |
| 04 02 63 02                         | European Social Fund — Operational technical assistance managed by the Commission at the request of a Member State | 1.2 | p.m.                  | 2 470 919             | p.m.                  | 567 079              | 7 331 290,—              | 2 819 877,30            | 114,12                         |
|                                     | <i>Article 04 02 63 — Subtotal</i>                                                                                 |     | 15 029 895            | 12 470 919            | 16 000 000            | 11 567 079           | 21 414 999,64            | 10 988 299,65           | 88,11                          |
| <b>04 02 64</b>                     | <b>Youth Employment Initiative</b>                                                                                 | 1.2 | 350 000 000           | 600 000 000           | 500 000 000           | 600 000 000          | 420 116 068,08           | 346 599 485,30          | 57,77                          |
| <b>04 02 65</b>                     | <b>European Solidarity Corps — Contribution from the European Social Fund</b>                                      | 1.2 | p.m.                  | p.m.                  |                       |                      |                          |                         |                                |
|                                     | <i>Reserves (40 02 41)</i>                                                                                         |     | 11 102 000            | 8 327 000             |                       |                      |                          |                         |                                |
|                                     |                                                                                                                    |     | 11 102 000            | 8 327 000             |                       |                      |                          |                         |                                |
|                                     | <b>Chapter 04 02 — Total</b>                                                                                       |     | <b>13 585 939 213</b> | <b>11 437 470 919</b> | <b>13 399 726 072</b> | <b>8 741 741 079</b> | <b>12 449 216 012,72</b> | <b>8 136 185 759,15</b> | <b>71,14</b>                   |
|                                     | <i>Reserves (40 02 41)</i>                                                                                         |     | <b>11 102 000</b>     | <b>8 327 000</b>      |                       |                      |                          |                         |                                |
|                                     |                                                                                                                    |     | <b>13 597 041 213</b> | <b>11 445 797 919</b> | <b>13 399 726 072</b> | <b>8 741 741 079</b> | <b>12 449 216 012,72</b> | <b>8 136 185 759,15</b> |                                |

*Remarks*

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action the Union takes through the Structural Funds, which includes the ESF. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177 of the Treaty on the Functioning of the European Union.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ESF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing amounts applicable to the ESF.

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**CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)**

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39 thereof.

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(3) and (4), and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

04 02 01 **Completion of the European Social Fund — Objective 1 (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 6 849 787,58 |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

04 02 02 **Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 02 (cont'd)

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular point (b) of paragraph 44 thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

04 02 03 **Completion of the European Social Fund — Objective 1 (prior to 2000)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 577 099,61 |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 1 and 6.

*Legal basis*

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).



**CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)****04 02 03** (cont'd)

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 04** **Completion of the European Social Fund — Objective 2 (2000 to 2006)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 05** **Completion of the European Social Fund — Objective 2 (prior to 2000)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 2 and 5(b).

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 05 (cont'd)

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

04 02 06 **Completion of the European Social Fund — Objective 3 (2000 to 2006)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 357 823,55 |

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

04 02 07 **Completion of the European Social Fund — Objective 3 (prior to 2000)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 127 669,45 |

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 3 and 4.

**CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)****04 02 07** (cont'd)*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 08** **Completion of EQUAL (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

*Reference acts*

Communication from the Commission to the Member States of 14 April 2000 establishing the guidelines for the Community initiative EQUAL concerning transnational cooperation to promote new means of combating all forms of discrimination and inequalities in connection with the labour market (OJ C 127, 5.5.2000, p. 2).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

04 02 09 *Completion of previous Community initiatives (prior to 2000)**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from previous Community initiatives preceding the 2000-2006 programming period.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

*Reference acts*

Commission communication to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission communication to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission communication to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

**CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)****04 02 09 (cont'd)**

Commission communication to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (ADAPT), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission communication to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (PEACE I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (ADAPT) aimed at promoting employment growth and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 09 (cont'd)

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication of 26 November 1997 to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (PEACE I) (COM(97) 642 final).

04 02 10 **Completion of the European Social Fund — Innovative actions and technical assistance (2000 to 2006)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period for innovative actions and technical assistance as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative actions included studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covered preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. This appropriation was, in particular, used to cover:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

## Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

04 02 11 **Completion of the European Social Fund — Innovative actions and technical assistance (prior to 2000)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover the settlement of ESF commitments from previous programming periods for innovative actions or for preparatory, monitoring, evaluation, supervision and management measures or any other similar form of technical assistance provided for in the relevant Regulations.

It is also intended to cover the former multiannual measures, in particular those approved and implemented under the other Regulations mentioned below, which are not covered by the priority objectives of the Structural Funds.

Legal basis

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

**04 02 17 Completion of the European Social Fund — Convergence (2007 to 2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                  |
|---------------------|-------------|---------------------|-------------|--------------|------------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments         |
| p.m.                | 690 000 000 | p.m.                | 510 000 000 | 0,—          | 2 447 673 863,34 |

## Remarks

This appropriation is intended to cover commitments remaining to be settled for programmes under the ESF convergence objective in the 2007-2013 programming period. That objective is aimed at speeding up the convergence of the least developed Member States and regions by improving conditions for growth and employment.

This appropriation aims to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006, as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

## Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**04 02 18 Completion of the European Social Fund — PEACE (2007 to 2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

This appropriation is intended to cover commitments remaining to be settled for the PEACE programme under the ESF for the 2007-2013 programming period.



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**CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)**

**04 02 18** (cont'd)

In recognition of the special effort for the peace process in Northern Ireland, a total of EUR 200 000 000 was allocated for the PEACE programme for the 2007-2013 period. This programme is implemented in full compliance with the principle of additionality of Structural Fund operations.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

*Reference acts*

Conclusions of the Brussels European Council of 16 and 17 December 2005.

**04 02 19** **Completion of the European Social Fund — Regional competitiveness and employment (2007 to 2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 210 000 000 | p.m.                | 190 000 000 | 0,—          | 935 890 936,03 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ESF regional competitiveness and employment objective during the 2007-2013 programming period. That objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 strategy.

This appropriation aims to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

04 02 20 *Completion of the European Social Fund — Operational technical assistance (2007 to 2013)**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 500 000  | 0,—          | 1 065 719,58 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the 2007-2013 programming period for technical assistance measures as provided for in Articles 45 and 46 of Regulation (EC) No 1083/2006 and in Article 9 of Regulation (EC) No 1081/2006.

Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for people with disabilities within technical assistance measures,
- expenditure on a high-level group to ensure the implementation of overarching principles such as equality between men and women, accessibility for people with disabilities and sustainable development,
- contracts for the provision of services and studies,
- grants.

This appropriation is also intended to support measures approved by the Commission in the context of the preparation of the 2014-2020 programming period.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

04 02 60 **European Social Fund — Less developed regions — Investment for growth and jobs goal**

Figures (Differentiated appropriations)

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  |
|---------------------|---------------|---------------------|---------------|-----------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |
| 7 575 750 550       | 5 773 000 000 | 7 346 787 700       | 4 312 197 693 | 6 902 446 258,— | 2 828 072 399,14 |

## Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching-up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions concerns those regions whose GDP per capita is less than 75 % of the average GDP of the EU-27.

Promotion of equality between women and men horizontally and through specific actions should be part of the support provided by the ESF, in order to improve the employability of women and their participation in the labour market. Special attention should be paid to non-discrimination in the labour market, combating the feminisation of poverty, access to education and affordable childcare services.

## Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (c) of Article 4(3) thereof.

04 02 61 **European Social Fund — Transition regions — Investment for growth and jobs goal**

Figures (Differentiated appropriations)

| Appropriations 2018 |               | Appropriations 2017 |             | Outturn 2016    |                |
|---------------------|---------------|---------------------|-------------|-----------------|----------------|
| Commitments         | Payments      | Commitments         | Payments    | Commitments     | Payments       |
| 1 944 596 298       | 1 305 000 000 | 1 907 753 625       | 960 818 915 | 1 630 370 904,— | 459 505 340,41 |

## Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in the 2014-2020 programming period in a new category of region ('transition regions') that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes all regions with a GDP per capita between 75 and 90 % of the average GDP of the EU-27.

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 61 (cont'd)

Gender mainstreaming and gender equality specific actions should be part of the support provided by the ESF, in order to improve the employability of women and their participation in the labour market. Special attention should be paid to non-discrimination in the labour market, combating the feminisation of poverty, access to education and affordable childcare services.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (b) of Article 4(3) thereof.

04 02 62 **European Social Fund — More developed regions — Investment for growth and jobs goal**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  |
|---------------------|---------------|---------------------|---------------|-----------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |
| 3 700 562 470       | 2 847 000 000 | 3 629 184 747       | 2 156 657 392 | 3 474 867 783,— | 1 097 477 335,51 |

*Remarks*

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions concerns those regions whose GDP per capita is above 90 % of the average GDP of the EU-27.

Gender mainstreaming and gender equality specific actions should be part of the support provided by the ESF, in order to improve the employability of women and their participation in the labour market. Special attention should be paid to non-discrimination in the labour market, combating the feminisation of poverty, access to education and affordable childcare services.

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 62 (cont'd)

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (a) of Article 4(3) thereof.

04 02 63 **European Social Fund — Operational technical assistance**

## 04 02 63 01 European Social Fund — Operational technical assistance

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 15 029 895          | 10 000 000 | 16 000 000          | 11 000 000 | 14 083 709,64 | 8 168 422,35 |

*Remarks*

This appropriation is intended to cover the preparatory, monitoring, technical assistance, evaluation, audit and control measures, as well as the Corporate Communication programme, necessary for implementing Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation. In particular, it may be used to cover the cost of activities with partner organisations and stakeholders in Member States (such as training, meetings or conferences).

This appropriation is also intended to cover administrative learning and cooperation with non-governmental organisations and social partners.

This appropriation is also intended to cover inter alia the elaboration of:

- tools for electronic project application and reporting, unification and standardisation of documents and procedures for the management and implementation of operational programmes,
- a peer review of each of the Member States' financial management and quality performance,
- standard tender documentation on public procurement,
- a common system of result and impact indicators,
- a best practices manual to optimise the absorption process and decrease the error rate.

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

04 02 63 (cont'd)

04 02 63 01 (cont'd)

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

04 02 63 02 European Social Fund — Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|-----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | 2 470 919 | p.m.                | 567 079  | 7 331 290,—  | 2 819 877,30 |

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in that Member State.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

Regulation (EU) 2017/825 of the European Parliament and of the Council of 17 May 2017 on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 (OJ L 129, 19.5.2017, p. 1).

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 64 Youth Employment Initiative

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 350 000 000         | 600 000 000 | 500 000 000         | 600 000 000 | 420 116 068,08 | 346 599 485,30 |

Remarks

This appropriation is intended to provide additional support to measures against youth unemployment financed by the ESF. It represents the specific allocation to the Youth Employment Initiative under the Investment for growth and jobs goal in regions with a level of youth unemployment exceeding 25 % in 2012 or for Member States where the youth unemployment rate has increased by more than 30 % in 2012, regions that have a youth unemployment rate of more than 20 % in 2012 ('eligible regions'). The additional EUR 3 000 000 000 allocated to this line for the 2014-2020 period is intended to provide match funding to ESF interventions in the eligible regions. This appropriation is intended to finance the creation of decent jobs.

While promoting gender equality, special attention should be paid to the situation of young women who can face gender-specific obstacles to get a good quality offer of employment, continued education, an apprenticeship or a traineeship.

This appropriation is to be used, amongst others, to support the establishment of educational structures combining non-formal education, language courses, democratic awareness and vocational training in the regions most affected by youth unemployment, both by state-actors and non-governmental organisations.

The margins available below the multiannual financial framework (MFF) ceilings for commitment appropriations for 2014-2017 will constitute a global MFF margin for commitments, to be made available over and above the ceilings established in the MFF for 2016-2020 for policy objectives related to growth and employment, in particular youth employment, as established in Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

Reference acts

Communication from the Commission to the European Parliament and the Council of 14 September 2016 — Mid-term review/revision of the multiannual financial framework 2014-2020 — An EU budget focused on results (COM(2016) 603 final).

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## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF) (cont'd)

## 04 02 64 (cont'd)

Proposal for a Council Regulation, submitted by the Commission on 14 September 2016, amending Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020 (COM(2016) 604).

04 02 65 **European Solidarity Corps — Contribution from the European Social Fund**

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|-----------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 04 02 65            | p.m.                | p.m.      |                     |          |              |          |
| Reserves (40 02 41) | 11 102 000          | 8 327 000 |                     |          |              |          |
| Total               | 11 102 000          | 8 327 000 |                     |          |              |          |

Remarks

New article

This appropriation is intended to cover the financial contribution provided by the ESF to the European Solidarity Corps in line with its general and specific objectives.

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 May 2017, laying down the legal framework of the European Solidarity Corps and amending Regulations (EU) No 1288/2013, (EU) No 1293/2013, (EU) No 1303/2013, (EU) No 1305/2013, (EU) No 1306/2013 and Decision No 1313/2013/EU (COM(2017) 262 final).



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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                   | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|---------------|---------------|-----------------------------|
|                                     |                                                                                                                                                                           |     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                             |
| 04 03                               | EMPLOYMENT, SOCIAL AFFAIRS<br>AND INCLUSION                                                                                                                               |     |                     |            |                     |            |               |               |                             |
| <b>04 03 01</b>                     | <b>Prerogatives and specific<br/>competencies</b>                                                                                                                         |     |                     |            |                     |            |               |               |                             |
| 04 03 01 01                         | Cost of preliminary consultation<br>meetings with trade union represen-<br>tatives                                                                                        | 1.1 | 450 000             | 275 000    | 450 000             | 300 000    | 452 800,—     | 276 523,96    | 100,55                      |
| 04 03 01 03                         | Free movement of workers, coord-<br>ination of social security schemes<br>and measures for migrants,<br>including migrants from third<br>countries                        | 1.1 | 8 929 000           | 6 175 000  | 8 822 000           | 6 365 000  | 7 932 770,46  | 5 835 671,68  | 94,50                       |
| 04 03 01 04                         | Analysis of and studies on the social<br>situation, demographics and the<br>family                                                                                        | 1.1 | 4 290 000           | 3 450 000  | 4 018 500           | 2 660 000  | 4 117 824,—   | 2 777 718,60  | 80,51                       |
| 04 03 01 05                         | Information and training measures<br>for workers' organisations                                                                                                           | 1.1 | 19 767 000          | 18 200 000 | 19 263 200          | 17 800 000 | 19 539 486,67 | 17 282 436,69 | 94,96                       |
| 04 03 01 06                         | Information, consultation and<br>participation of representatives of<br>undertakings                                                                                      | 1.1 | 7 106 000           | 4 500 000  | 7 313 000           | 5 372 000  | 7 382 351,74  | 4 878 755,80  | 108,42                      |
| 04 03 01 07                         | European Year for Active Ageing<br>and Solidarity between Generations<br>(2012)                                                                                           | 1.1 | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 0,—           |                             |
| 04 03 01 08                         | Industrial relations and social<br>dialogue                                                                                                                               | 1.1 | 15 038 000          | 12 400 000 | 16 206 000          | 12 400 000 | 15 240 899,54 | 9 343 436,62  | 75,35                       |
|                                     | <i>Article 04 03 01 — Subtotal</i>                                                                                                                                        |     | 55 580 000          | 45 000 000 | 56 072 700          | 44 897 000 | 54 666 132,41 | 40 394 543,35 | 89,77                       |
| <b>04 03 02</b>                     | <b>European Union programme for<br/>Employment and Social Innovation<br/>(EaSI)</b>                                                                                       |     |                     |            |                     |            |               |               |                             |
| 04 03 02 01                         | Progress — Supporting the devel-<br>opment, implementation, moni-<br>toring and evaluation of Union<br>employment and social policy and<br>working conditions legislation | 1.1 | 77 589 483          | 55 000 000 | 65 000 000          | 41 167 000 | 71 560 079,—  | 52 980 160,21 | 96,33                       |
| 04 03 02 02                         | EURES — Promoting workers'<br>voluntary geographical mobility and<br>boosting employment opportunities                                                                    | 1.1 | 23 734 000          | 20 700 000 | 23 578 000          | 17 753 000 | 22 998 208,32 | 19 100 370,94 | 92,27                       |

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                      | FF  | Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016   |                | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|------------|----------------|----------------|-----------------------------|
|                                     |                                                                                                                                                                                                              |     | Commitments         | Payments    | Commitments         | Payments   | Commitments    | Payments       |                             |
| <b>04 03 02</b>                     | (cont'd)                                                                                                                                                                                                     |     |                     |             |                     |            |                |                |                             |
| 04 03 02 03                         | Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises | 1.1 | 26 989 000          | 36 380 000  | 43 465 800          | 27 500 000 | 27 765 384,—   | 49 123 351,34  | 135,03                      |
|                                     | <i>Article 04 03 02 — Subtotal</i>                                                                                                                                                                           |     | 128 312 483         | 112 080 000 | 132 043 800         | 86 420 000 | 122 323 671,32 | 121 203 882,49 | 108,14                      |
| <b>04 03 11</b>                     | <b>European Foundation for the Improvement of Living and Working Conditions</b>                                                                                                                              | 1.1 | 20 370 512          | 20 370 512  | 20 364 000          | 20 364 000 | 20 371 000,—   | 20 371 000,—   | 100,00                      |
| <b>04 03 12</b>                     | <b>European Agency for Safety and Health at Work</b>                                                                                                                                                         | 1.1 | 14 883 668          | 14 883 668  | 14 514 000          | 14 514 000 | 15 067 699,—   | 14 462 775,—   | 97,17                       |
| <b>04 03 13</b>                     | <b>European Centre for the Development of Vocational Training (Cedefop)</b>                                                                                                                                  | 1.1 | 17 100 237          | 17 100 237  | 17 375 000          | 17 375 000 | 18 634 634,59  | 17 434 000,—   | 101,95                      |
| <b>04 03 14</b>                     | <b>European Training Foundation (ETF)</b>                                                                                                                                                                    | 4   | 20 056 297          | 20 056 297  | 19 771 000          | 19 771 000 | 20 144 193,47  | 20 144 193,47  | 100,44                      |
| <b>04 03 51</b>                     | <b>Completion of Progress</b>                                                                                                                                                                                | 1.1 | p.m.                | 3 000 000   | p.m.                | 5 000 000  | 547,53         | 6 384 608,21   | 212,82                      |
| <b>04 03 52</b>                     | <b>Completion of EURES</b>                                                                                                                                                                                   | 1.1 | p.m.                | p.m.        | p.m.                | 300 000    | 0,—            | 0,—            |                             |
| <b>04 03 53</b>                     | <b>Completion of other activities</b>                                                                                                                                                                        | 1.1 | p.m.                | p.m.        | p.m.                | 1 700 000  | 0,—            | 7 900 000,—    |                             |
| <b>04 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                                                                                |     |                     |             |                     |            |                |                |                             |
| 04 03 77 02                         | Pilot project — Promoting protection of the right to housing                                                                                                                                                 | 1.1 | p.m.                | p.m.        | p.m.                | p.m.       | 0,—            | 299 799,—      |                             |
| 04 03 77 06                         | Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment                                      | 1.1 | p.m.                | p.m.        | p.m.                | p.m.       | 0,—            | 0,—            |                             |
| 04 03 77 07                         | Preparatory action — Your first EURES Job                                                                                                                                                                    | 1.1 | p.m.                | p.m.        | p.m.                | p.m.       | 0,—            | 73 275,49      |                             |

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                   | FF  | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-----------|---------------------|-----------|--------------|--------------|-----------------------------|
|                                     |                                                                                                                                                                           |     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |                             |
| <b>04 03 77</b>                     | (cont'd)                                                                                                                                                                  |     |                     |           |                     |           |              |              |                             |
| 04 03 77 08                         | Pilot project — Social solidarity for social integration                                                                                                                  | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 925,81       | 925,81       |                             |
| 04 03 77 09                         | Preparatory action — Information centres for posted workers and migrant workers                                                                                           | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 0,—          |                             |
| 04 03 77 13                         | Preparatory action — Activation measures targeting young people — implementing the 'Youth on the Move' initiative                                                         | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 0,—          |                             |
| 04 03 77 14                         | Preparatory action — Social innovation driven by social business and young entrepreneurship                                                                               | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 319 953,67   |                             |
| 04 03 77 15                         | Pilot project — Feasibility and added value of a European unemployment insurance or benefit scheme                                                                        | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 1 287 763,91 |                             |
| 04 03 77 17                         | Pilot project — Social security card                                                                                                                                      | 1.1 | p.m.                | 350 000   | p.m.                | 500 000   | 700 000,—    | 454 550,—    | 129,87                      |
| 04 03 77 18                         | Preparatory action — Social solidarity for social integration                                                                                                             | 1.1 | p.m.                | 750 000   | p.m.                | 750 000   | 0,—          | 0,—          | 0                           |
| 04 03 77 19                         | Preparatory action — Supporting active inclusion of disadvantaged migrants in Europe through development and testing of local centres for social and economic integration | 1.1 | p.m.                | p.m.      | p.m.                | 250 000   | 0,—          | 400 000,—    |                             |
| 04 03 77 21                         | Pilot project — European Union Real Time Sign Language Application and Service                                                                                            | 3   | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 0,—          |                             |
| 04 03 77 23                         | Preparatory action — Reactivate — Intra-Union mobility programme for unemployed over-35s                                                                                  | 1.1 | 5 000 000           | 4 000 000 | 2 500 000           | 1 500 000 | 2 996 244,18 | 1 198 497,67 | 29,96                       |

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## CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                 | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                                         |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 04 03 77 24                         | Pilot project — Quality employment for job starters through entrepreneurship                                                                            | 1.1 | p.m.                | 350 000            | p.m.                | 350 000            | 700 000,—             | 0,—                   | 0                           |
| 04 03 77 25                         | Preparatory action — Child Guarantee Scheme / Establishing a European child guarantee and financial support                                             | 1.1 | 900 000             | 450 000            | 2 000 000           | 1 000 000          |                       |                       |                             |
| 04 03 77 26                         | Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market | 1.1 | p.m.                | 1 000 000          | 2 000 000           | 1 500 000          | 2 000 000,—           | 383 257,41            | 38,33                       |
| 04 03 77 27                         | Pilot project — Promotion of domestic worker cooperatives and service voucher schemes                                                                   | 1.1 | 700 000             | 350 000            |                     |                    |                       |                       |                             |
|                                     | <i>Article 04 03 77 — Subtotal</i>                                                                                                                      |     | 6 600 000           | 7 250 000          | 6 500 000           | 5 850 000          | 6 397 169,99          | 4 418 022,96          | 60,94                       |
|                                     | <b>Chapter 04 03 — Total</b>                                                                                                                            |     | <b>262 903 197</b>  | <b>239 740 714</b> | <b>266 640 500</b>  | <b>216 191 000</b> | <b>257 605 048,31</b> | <b>252 713 025,48</b> | <b>105,41</b>               |

**04 03 01 Prerogatives and specific competencies**

04 03 01 01 Cost of preliminary consultation meetings with trade union representatives

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 450 000             | 275 000  | 450 000             | 300 000  | 452 800,—    | 276 523,96 |

*Remarks*

This appropriation is intended to cover expenditure on preliminary consultation meetings between European trade union representatives with a view to helping them form their opinions and harmonise their positions regarding the development of Union policies.

This appropriation is also intended to cover the cost of studies, workshops, conferences, analyses, evaluations, publications, technical assistance, the purchase and maintenance of databases and software and the part-financing and support of measures relating to economic monitoring, analysis of the combination of measures and coordination of economic policies.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (cont'd)

**04 03 01** (cont'd)

04 03 01 03 Free movement of workers, coordination of social security schemes and measures for migrants, including migrants from third countries

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 8 929 000           | 6 175 000 | 8 822 000           | 6 365 000 | 7 932 770,46 | 5 835 671,68 |

*Remarks*

The objective of this activity is to promote geographic and professional mobility (including the coordination of social security schemes) of workers in Europe in order to overcome the obstacles to free movement of workers and to contribute to the establishment of a real labour market at European level.

This appropriation is intended to cover actions supporting the monitoring of Union law through financing of a network of experts on free movement of workers and social security reporting regularly on the implementation of Union legal acts in the Member States and at Union level, and analysing and evaluating the major trends in legislation of the Member States with regard to the free movement of workers and coordination of social security systems. This appropriation is also intended to cover actions supporting governance of Union legal acts through meetings of the committees, actions for awareness raising and implementation and other specific technical support and the development of the Electronic Exchange of Social Security Information (EESSI) system and its implementation.

This appropriation is intended to cover in particular:

- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- the analysis and evaluation of the major trends in legislation of the Member States with regard to the free movement of workers, and coordination of social security systems, as well as the financing of networks of experts in those fields,
- the analysis and research on new policy developments in the domain of free movement of workers linked for example to the end of transitional periods and modernisation of social security coordination provisions,
- ensuring fair mobility by setting up additional information centres,

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 03 (*cont'd*)

- support for the work of the Administrative Commission and its sub-groups and follow-up of the decisions taken, as well as support for the work of the Technical and Advisory Committees on free movement of workers,
- support for actions preparing for the application of the new regulations on social security, including transnational exchanges of experience and information and training initiatives developed at national level,
- the financing of actions aimed at providing a better service and raising public awareness, including measures aimed at identifying migrant workers' social security and employment problems, and measures speeding up and simplifying administrative procedures, gender-sensitive analysis of the barriers to free movement of workers and lack of coordination of social security systems and their impact on people with disabilities, including adaptation of administrative procedures to new information-processing techniques, in order to improve the system for acquiring rights and the calculation and payment of benefits pursuant to Regulations (EEC) No 1408/71, (EEC) No 574/72, Regulation (EC) No 859/2003 as well as Regulation (EC) No 883/2004, its implementing Regulation (EC) No 987/2009, and Regulation (EU) No 1231/2010,
- the development of information and actions to make members of the public aware of their rights on the free movement of workers and the coordination of social security schemes,
- the support of the electronic exchange of social security information among Member States, with a view to facilitating the implementation of Regulation (EC) No 883/2004 and its implementing Regulation (EC) No 987/2009. This includes the maintenance of the central node of the EESSI system, testing system components, helpdesk activities, support for further development of the system and training.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 45 and 48 thereof.

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p. 2).

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 01** (*cont'd*)04 03 01 03 (*cont'd*)

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p. 1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p. 1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p. 1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p. 1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p. 1).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on free movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

Directive 2014/50/EU of the European Parliament and of the Council of 16 April 2014 on minimum requirements for enhancing worker mobility between Member States by improving the acquisition and preservation of supplementary pension rights (OJ L 128, 30.4.2014, p. 1).

Directive 2014/54/EU of the European Parliament and of the Council of 16 April 2014 on measures facilitating the exercise of rights conferred on workers in the context of freedom of movement for workers (OJ L 128, 30.4.2014, p. 8).

## 04 03 01 04 Analysis of and studies on the social situation, demographics and the family

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 4 290 000           | 3 450 000 | 4 018 500           | 2 660 000 | 4 117 824,—  | 2 777 718,60 |

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 04 (*cont'd*)*Remarks*

The objective of this activity is to promote better policy responses in the Member States to demographic and social challenges by producing and disseminating comparative information in the context of the implementation of the Europe 2020 strategy and the identification of future priorities for social policies, including gender-specific measures.

This appropriation is intended to cover actions promoting the development of comparative analysis and the exchange of views and experience at all relevant levels (regional, national, Union, international) regarding the social and demographic situation and the socio-economic trends in the Union, as well as the gender pay gap and discrimination against women in the workplace. This appropriation is also intended to cover actions supporting an Observatory of the Social Situation, the cooperation with relevant activities in the Member States and with international organisations and the management of a technical support group for the European Alliance for Families.

This appropriation is intended to cover in particular expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is intended to cover in particular expenditure on Commission reports (including an annual report on the social situation and a report on demographic change and its implications every 2 years, in accordance with Article 159 of the Treaty on the Functioning of the European Union (TFEU), as well as Commission reports on problems concerning the social situation (which can be requested by the European Parliament pursuant to Article 161 TFEU).

This appropriation is also intended to cover expenditure on analysis for the reporting referred to in TFEU, as well as for the dissemination of knowledge on major social and demographic challenges and ways of tackling them. In particular, the following activities can be pursued with due consideration of the gender aspect:

- analysis of the impact of population ageing in the context of a society for all ages, in terms of trends in care and social protection needs, behaviour and flanking policies, including research on elderly minorities or migrants and the situation of informal carers,
- analysis of the impact of demographic change on Union and Member State policies, measures and programmes, and formulation of recommendations for adjustments to economic and other policies, measures and programmes at Union and national levels in order to prevent the ageing of society from having a negative impact,
- analysis of the links between family units and demographic trends,
- analysis of trends in poverty, income and wealth distribution and their broader societal impact,



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**04 03 01** (*cont'd*)

04 03 01 04 (*cont'd*)

- identification of the existing relations between technological development (impact on communication technologies, geographical and occupational mobility) and the consequences for households and society in general,
- analysis of the links between disability and demographic trends, analysis of the social situation of people with disabilities and their families and the needs of children with disabilities within their families and communities,
- analysis of trends in social objectives (in terms of safeguarding acquired rights or extending them) regarding both goods and services, taking account of new social challenges as well as demographic trends and changing the relationships between generations,
- development of appropriate methodological tools (sets of social indicators, simulation techniques, data collection on policy initiatives at all levels, etc.), so as to underpin the reports on the social situation, on social protection and social inclusion with a solid quantitative and scientific foundation,
- raising awareness and organising debates on the major demographic and social challenges with the aim of promoting better policy responses,
- the taking into account of demographic trends, family life and childhood in the implementation of relevant Union policies, such as the free movement of persons and equality between men and women.

*Reference acts*

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

04 03 01 05 Information and training measures for workers' organisations

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 19 767 000          | 18 200 000 | 19 263 200          | 17 800 000 | 19 539 486,67 | 17 282 436,69 |

*Remarks*

This appropriation is intended to cover expenditure on information and training measures for workers' organisations, including representatives of workers' organisations in the candidate countries, deriving from Union action in the framework of the implementation of the Union social dimension. Those measures should help workers' organisations to address the overarching challenges facing European employment and social policy as laid down in the Europe 2020 strategy and within the context of Union initiatives to address the consequences of the economic crisis. Special attention will be given to training on gender challenges at work.

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This appropriation is intended to cover in particular the following activities:

- support for the work programmes of the two specific trade union institutes, European Trade Union Institute and European Centre for Workers' Questions, which have been established to facilitate capacity-building through training and research at European level, as well as to improve the degree of involvement of workers' representatives in European governance,
- information and training measures for workers' organisations, including representatives of workers' organisations in the candidate countries, deriving from the implementation of Union action in the framework of the implementation of the Union social dimension,
- measures involving representatives of the social partners in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of workers' organisations.

Strong and competent social partners are needed in order to improve the process of relaunching and enhancing the functioning of social dialogue, in order to support recovery and enhance competitiveness and fairness in the social market economy.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154 thereof.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

04 03 01 06 Information, consultation and participation of representatives of undertakings

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 7 106 000           | 4 500 000 | 7 313 000           | 5 372 000 | 7 382 351,74 | 4 878 755,80 |

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 01** (*cont'd*)04 03 01 06 (*cont'd*)*Remarks*

This appropriation is intended to cover in particular the following activities:

- measures to set the conditions for social dialogue in companies and proper employee involvement in undertakings as provided under Directive 2009/38/EC on European Works Councils, Directives 2001/86/EC and 2003/72/EC on employees' involvement in the European company and in the European cooperative society, respectively, Directive 2002/14/EC establishing a general framework for informing and consulting employees in the European Community, Directive 98/59/EC on collective redundancies and Article 16 of Directive 2005/56/EC on cross-border mergers of limited liability companies,
- initiatives to strengthen transnational cooperation between workers' and employers' representatives in respect of information, consultation and participation of employees within companies operating in more than one Member State and short training actions for negotiators and representatives in transnational information, consultation and participation bodies may be funded in that context. This may involve social partners from candidate countries,
- measures to enable social partners to exercise their rights and duties as regards employee involvement, especially within the framework of European Works Councils, to familiarise them with transnational company agreements and strengthen their cooperation in respect to Union law on employee involvement,
- operations fostering the development of employee involvement in undertakings as well as assessing the findings of the 'Fitness check' and its impact on Union acts in the area of information and consultation of workers,
- innovative actions relating to employee involvement, with the view of supporting the anticipation of change and the prevention and resolution of disputes in the context of corporate restructuring, mergers, take-overs and relocation in Union-scale undertakings and Union-scale groups of undertakings,
- measures to strengthen cooperation between the social partners for the development of employee involvement in the design of solutions addressing the consequences of the economic crisis, such as mass redundancies, or the need for a shift towards an inclusive, sustainable and low-carbon economy,
- transnational exchange of information and good practice in matters relevant for social dialogue at company level.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155 thereof.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

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Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p. 22).

Council Directive 98/59/EC of 20 July 1998 on the approximation of the laws of the Member States relating to collective redundancies (OJ L 225, 12.8.1998, p. 16).

Council Directive 2001/23/EC of 12 March 2001 on the approximation of the laws of the Member States relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses (OJ L 82, 22.3.2001, p. 16).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207, 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p. 1).

Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 122, 16.5.2009, p. 28).

## 04 03 01 07 European Year for Active Ageing and Solidarity between Generations (2012)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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**04 03 01** (*cont'd*)

04 03 01 07 (*cont'd*)

*Remarks*

The European Year for Active Ageing and Solidarity between Generations was intended to raise general awareness of the value of active ageing, to stimulate debate, to exchange information and to develop mutual learning between Member States and stakeholders at all levels, to offer a framework for commitment and concrete action to enable the Union, Member States and stakeholders at all levels to develop innovative solutions, policies and long-term strategies through specific activities and to pursue specific objectives relating to active ageing and intergenerational solidarity, and to promote activities which will help to combat age discrimination.

This appropriation was intended to cover at Union-level activities in line with the European Year's for Active Ageing and Solidarity between Generations objectives and to cover the costs relating to the organisation of the closing Union conference by the incumbent Presidency. Part of this appropriation was also intended to cover the costs relating to the evaluation of the European Year for Active Ageing and Solidarity between Generations.

*Legal basis*

Decision No 940/2011/EU of the European Parliament and of the Council of 14 September 2011 on the European Year for Active Ageing and Solidarity between Generations (2012) (OJ L 246, 23.9.2011, p. 5).

04 03 01 08 Industrial relations and social dialogue

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 15 038 000          | 12 400 000 | 16 206 000          | 12 400 000 | 15 240 899,54 | 9 343 436,62 |

*Remarks*

The objective of this activity is to strengthen the role of social dialogue and promote the adoption of agreements and other joint actions between the social partners at the Union level. The actions financed should help social partner organisations to address the overarching challenges facing European employment and social policy as laid down in the Europe 2020 strategy and within the context of Union initiatives to address the consequences of the economic crisis and to contribute to the improvement and dissemination of knowledge of industrial relations institutions and practices.

This appropriation is intended to cover in particular the following activities:

- studies, consultations, meetings of experts, negotiations, information, publications and other operations directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,

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- actions undertaken by social partners to promote social dialogue (including capacity of social partners) at cross-industry and sector level,
- actions to improve knowledge on industrial relations institutions and practices across the Union and dissemination of results,
- measures involving representatives of the social partners in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of both trade unions and employers' organisations,
- actions to support industrial relations measures, in particular those designed to develop expertise and the exchange of Union-relevant information.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155 thereof.

04 03 02 ***European Union programme for Employment and Social Innovation (EaSI)***

## 04 03 02 01 Progress — Supporting the development, implementation, monitoring and evaluation of Union employment and social policy and working conditions legislation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 77 589 483          | 55 000 000 | 65 000 000          | 41 167 000 | 71 560 079,— | 52 980 160,21 |

*Remarks*

The general objective of the European Union programme for Employment and Social Innovation (EaSI) is to contribute to the Europe 2020 strategy and the related headline targets for employment, education and poverty by providing financial support for the Union's objectives.

To achieve the general objectives of EaSI in terms of promoting a high level of employment, guaranteeing adequate social protection, combating social exclusion and poverty, improving working conditions and protecting workers' safety and health, the specific objectives of the Progress axis are to:

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- develop and disseminate high-quality comparative analytical knowledge in order to ensure that Union employment and social policy and working conditions and occupational health and safety legislation are based on sound evidence and are relevant to needs, challenges and conditions in the individual Member States and the other participating countries,
- facilitate effective and inclusive information-sharing, mutual learning and dialogue on Union employment and social policy and working conditions and occupational health and safety legislation at Union, national and international level in order to assist the Member States and the other participating countries in developing their policies and in implementing Union law,
- provide policymakers with financial support to promote social and labour market policy reforms, build up the main actors' capacity to design and implement social experimentation, and make the relevant knowledge and expertise accessible,
- provide Union and national organisations with financial support to develop, promote and support the implementation of Union employment and social policy and working conditions and occupational health and safety legislation,
- raising awareness, exchanging good practice, disseminating information and promoting the debate on the key challenges and policy issues in relation to working conditions, gender equality, occupational health and safety and the reconciliation of work and family life and the ageing society, including among social partners,
- to encourage job creation, promote youth employment and fight poverty by promoting increased social convergence by means of the Social Mark.

In addition support could be provided to actions related to the implementation of the common provisions of EaSI such as monitoring, evaluation, dissemination of results and communication. Article 16 of Regulation (EU) No 1296/2013 describes the types of actions which may be financed.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

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Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

04 03 02 02 EURES — Promoting workers' voluntary geographical mobility and boosting employment opportunities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 23 734 000          | 20 700 000 | 23 578 000          | 17 753 000 | 22 998 208,32 | 19 100 370,94 |

*Remarks*

The general objective of the EaSI is to contribute to the Europe 2020 strategy and the related headline targets for employment, education and poverty by providing financial support for the Union's objectives.

The EaSI is structured around three complementarity axes: Progress, EURES and Microfinance and Social Entrepreneurship.

To achieve the general objectives of EaSI, in particular to promote workers' geographical mobility and boost employment opportunities by developing Union labour markets that are open and accessible to all, the specific objectives of the EURES axis are to:

- ensure that job vacancies and applications, and any related information as well as working conditions and rights are transparent for the potential applicants and the employers; this shall be achieved through their exchange and dissemination at transnational, interregional and cross-border level using standard interoperability forms,
- help ensure that European-level job vacancies and mobility options are advertised in parallel to national job vacancies and applications and not only after local or national options are exhausted,
- develop services for the recruitment and placing of workers in employment through the clearance of job vacancies and applications at European level; this shall cover all phases of placement, ranging from pre-recruitment preparation to post-placement assistance, including language skill development options, with a view to the applicant's successful integration into the labour market; such services shall include targeted mobility schemes to fill job vacancies where labour market shortcomings have been identified and/or help particular groups of workers such as young people,



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- provide assistance for national and cross-border support activities organised by EURES partners,
- initial and further training of EURES advisers in the Member States,
- contacts between EURES advisers and cooperation between government employment services, including those of candidate countries,
- promotion of EURES among firms and the general public,
- development of special structures for cooperation and services in border regions pursuant to point (b) of Article 17(1) of Regulation (EEC) No 1612/68,
- measures to help remove mobility obstacles, particularly in the field of work-related social security.

This programme should also facilitate the matching and placement of apprentices and trainees as a critical element in helping the transition of young people from school to work, as already begun under the preparatory action 'Your first EURES job' and complemented by the Youth Employment Initiative. Companies, particularly small and medium-sized enterprises (SMEs), will be encouraged to recruit more young people, including by financial support.

Target groups:

- young people up to 30 years of age, regardless of their qualifications and work experience, as the scheme is not exclusively tailored for labour market entrants,
- all legally established businesses, particularly SMEs, to assist with reducing the costs of international hiring that affect mostly smaller companies.

Eligible jobs under this part of the programme will offer young people apprenticeships, a first work experience or specialised jobs. The scheme will not support situations of job substitution or precarious employment, or in any circumstances be contrary to national labour law.

To be eligible for funding, jobs have also to comply with the following criteria:

- be located in a EURES member country other than the country of origin of the young job-seeker (transnational vacancies),
- ensure a work placement for a minimum contractual period of 6 months.

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The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

In addition support could be provided to actions related to the implementation of the common provisions of EaSI such as monitoring, evaluation, dissemination of results and communication. Article 21 of Regulation (EU) No 1296/2013 describes the types of actions which may be financed.

*Legal basis*

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement for workers within the Community (OJ L 257, 19.10.1968, p. 2).

Commission Decision 2003/8/EC of 23 December 2002 implementing Council Regulation (EEC) No 1612/68 as regards the clearance of vacancies and applications for employment (OJ L 5, 10.1.2003, p. 16).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on freedom of movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

04 03 02 03 Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 26 989 000          | 36 380 000 | 43 465 800          | 27 500 000 | 27 765 384,— | 49 123 351,34 |

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The general objective of the EaSI is to contribute to the Europe 2020 strategy and the related headline targets for employment, education and poverty by providing financial support for the Union's objectives.

The EaSI is structured around three complementarity axes: Progress, EURES and Microfinance and Social Entrepreneurship.

To achieve the general objectives of EaSI in particular to promote employment and social inclusion by increasing the availability and accessibility of microfinance for vulnerable groups and micro-enterprises, and by increasing access to finance for social enterprises, the specific objectives of the Microfinance and Social Entrepreneurship axis are to:

- increase access to, and the availability of, microfinance for persons who have lost or are at risk of losing their jobs, or who have difficulty in entering or re-entering the labour market, persons at risk of social exclusion and vulnerable persons, including women wishing to start entrepreneurial careers, who are in a disadvantaged position with regard to access to the conventional credit market and who wish to start up or develop their own micro-enterprises; and for micro-enterprises, especially those which employ these persons as referred to,
- build up the institutional capacity of microcredit providers,
- support the development of social enterprises.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

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Part of the appropriations will be used to provide support and technical assistance for recipients of microfinance.

*Legal basis*

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

04 03 11 **European Foundation for the Improvement of Living and Working Conditions***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 20 370 512          | 20 370 512 | 20 364 000          | 20 364 000 | 20 371 000,— | 20 371 000,— |

*Remarks*

This appropriation is intended to cover the European Foundation for the Improvement of Living and Working Conditions (Eurofound) staff and administrative expenditure (Titles 1 and 2), and operational expenditure for the work programme (Title 3).

Eurofound must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Union contribution for 2018 amounts to a total of EUR 20 371 000. An amount of EUR 488 coming from the recovery of surplus is added to the amount of EUR 20 370 512 entered in the budget.

Part of this appropriation is intended for studies on working conditions and industrial relations in support of policies ensuring more and better jobs, making work more sustainable and strengthening social dialogue in Europe.

A further part of this appropriation is intended for studies and forward looking research on labour markets, and specifically the monitoring and anticipation of structural change, its impact on employment and management of the consequences.

The appropriation will also cover research and gathering of knowledge on living conditions and quality of life, with a particular focus on social policies and the role of public services in improving quality of life. Research into reconciliation of professional and private life and precarious employment, including breaking down by gender, should be also covered by the appropriation.

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 11** (*cont'd*)

Finally this appropriation will be used for the analysis of the impact of digitalisation on all of the above described areas as well as for studies contributing to policies aimed at upward convergence in the Union.

The Foundation's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

**04 03 12** ***European Agency for Safety and Health at Work****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 14 883 668          | 14 883 668 | 14 514 000          | 14 514 000 | 15 067 699,— | 14 462 775,— |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Agency's objective is to provide the Union institutions, Member States and interested parties with technical, scientific and economic information of use in the area of health and safety at work. Special attention will be paid to gender aspects in the area of health and safety at work.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The Union contribution for 2018 amounts to a total of EUR 14 973 000. An amount of EUR 89 332 coming from the recovery of surplus is added to the amount of EUR 14 883 668 entered in the budget.

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This appropriation is intended to cover the measures necessary to accomplish the Agency's tasks as defined in Regulation (EC) No 2062/94, and in particular:

- awareness-building and risk anticipation measures, with special emphasis on SMEs,
- operation of the European Risk Observatory based on examples of good practice collected from firms or specific branches of activity,
- preparation and provision of relevant tools for smaller companies to manage health and safety at work,
- operation of the network comprising the main component elements of the national information networks, including the national social partner's organisations, according to national legislation and/or practice, as well as the national focal points,
- also in collaboration with the International Labour Organization and other international organisations, organising exchanges of experience, information and good practices,
- integrating the candidate countries into these information networks and devising working tools which are geared to their specific situation,
- organising and running the European Campaign on Healthy Workplaces as well as the European Week on Health and Safety, focusing on specific risks and needs of users and final beneficiaries.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

04 03 13 **European Centre for the Development of Vocational Training (Cedefop)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 17 100 237          | 17 100 237 | 17 375 000          | 17 375 000 | 18 634 634,59 | 17 434 000,— |

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 13** (*cont'd*)

*Remarks*

This appropriation is intended to cover the Centre's staff and administrative expenditure, and operational expenditure relating to the work programme.

The Centre must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the Centre, undertakes to notify the European Parliament and the Council of transfers made between operational and administrative appropriations.

The Centre's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 17 434 000. An amount of EUR 333 763 coming from the recovery of surplus is added to the amount of EUR 17 100 237 entered in the budget.

*Legal basis*

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

**04 03 14** **European Training Foundation (ETF)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 20 056 297          | 20 056 297 | 19 771 000          | 19 771 000 | 20 144 193,47 | 20 144 193,47 |

*Remarks*

This appropriation is intended to cover the Foundation's staff and administrative expenditure (Titles 1 and 2), and operational expenditure regarding its work programme (Title 3).

It is also intended to cover the support of partner countries in the Mediterranean region in reforming their labour markets and vocational training systems, promoting social dialogue and supporting entrepreneurship.

The Foundation must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The establishment plan for the Foundation is set out in Annex 'Staff' to this section.

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CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)**04 03 14** (*cont'd*)

The Union contribution for 2018 amounts to a total of EUR 20 144 000. An amount of EUR 87 703 coming from the recovery of surplus is added to the amount of EUR 20 056 297 entered in the budget.

*Legal basis*

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

**04 03 51** **Completion of Progress***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 3 000 000 | p.m.                | 5 000 000 | 547,53       | 6 384 608,21 |

*Remarks*

This appropriation is intended to cover earlier commitments under the Community programme for Employment and Social Solidarity (Progress).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).



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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 52**      **Completion of EURES**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 300 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of former Article 04 03 04.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement for workers within the Community (OJ L 257, 19.10.1968, p. 2).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on freedom of movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

Commission Decision 2003/8/EC of 23 December 2002 implementing Council Regulation (EEC) No 1612/68 as regards the clearance of vacancies and applications for employment (OJ L 5, 10.1.2003, p. 16).

**04 03 53**      **Completion of other activities**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments    |
| p.m.                | p.m.     | p.m.                | 1 700 000 | 0,—          | 7 900 000,— |

*Remarks*

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of former Articles 04 04 07, 04 04 12 and 04 04 15.

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 53 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision of 9 July 1957 concerning the terms of reference and rules of procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487/57).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on Safety, Hygiene and Health Protection at Work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 53** (*cont'd*)

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Decision No 1098/2008/EC of the European Parliament and of the Council of 22 October 2008 on the European Year for Combating Poverty and Social Exclusion (2010) (OJ L 298, 7.11.2008, p. 20).

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

*Reference acts*

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union under Articles 151, 153 and 156 thereof.

**04 03 77** ***Pilot projects and preparatory actions***

04 03 77 02 Pilot project — Promoting protection of the right to housing

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 299 799,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 06 Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 07 Preparatory action — Your first EURES Job

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 73 275,49 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 77** (*cont'd*)

04 03 77 08 Pilot project — Social solidarity for social integration

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 925,81       | 925,81   |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 09 Preparatory action — Information centres for posted workers and migrant workers

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 13 Preparatory action — Activation measures targeting young people — implementing the 'Youth on the Move' initiative

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 14 Preparatory action — Social innovation driven by social business and young entrepreneurship

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 319 953,67 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 77** (*cont'd*)

04 03 77 15 Pilot project — Feasibility and added value of a European unemployment insurance or benefit scheme

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 287 763,91 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 17 Pilot project — Social security card

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 350 000  | p.m.                | 500 000  | 700 000,—    | 454 550,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (cont'd)

## 04 03 77 (cont'd)

## 04 03 77 18 Preparatory action — Social solidarity for social integration

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 750 000  | p.m.                | 750 000  | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 19 Preparatory action — Supporting active inclusion of disadvantaged migrants in Europe through development and testing of local centres for social and economic integration

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 250 000  | 0,—          | 400 000,— |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 77** (*cont'd*)

04 03 77 21 Pilot project — European Union Real Time Sign Language Application and Service

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 23 Preparatory action — Reactivate — Intra-Union mobility programme for unemployed over-35s

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 5 000 000           | 4 000 000 | 2 500 000           | 1 500 000 | 2 996 244,18 | 1 198 497,67 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Despite public perceptions, labour mobility is low in the Union. High unemployment and low labour mobility within and between countries coexist with skill and labour shortages in some countries and regions. Well-performing labour markets are important for facilitating adjustment to shocks, allocating resources to best effect and dealing with potential ageing-related labour market shortages. Mobility within the Union can, in principle, help to remedy labour market shortages and imbalances. The over-35 age group also faces higher mobility barriers, and it is therefore important to put financial and/or other support specifically designed for this group to the test.

Reactivate is a mobility programme for adult traineeships and work trials lasting between 6 and 12 months in other Member States. It is targeted at unemployed citizens in the 35+ age group, including the long-term unemployed (LTU). This age group generally represents a population segment with strong skills based on work experience, whence the need for this programme.

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)04 03 77 23 (*cont'd*)

In the light of the number of LTUs, Reactivate will test support tailor-made for them. In order to encourage employers to make job offers to unemployed persons from other Member States, Reactivate will test ways of engaging with employers and forms of financial and/or other support for employers.

Reactivate is intended as an extension of the successful EURES First Job programme, offering similar advantages for unemployed persons, in particular LTUs, over the age of 35. This project can build on EURES First Job as a sound mobility platform.

Reactivate will give unemployed persons, including LTUs, in this age group an unprecedented opportunity to acquire diversified knowledge and skills, to learn new languages, and to better understand the European internal market. All these advantages greatly militate in favour of a stronger European identity and diversity while increasing labour mobility and the employment rate.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 24 Pilot project — Quality employment for job starters through entrepreneurship

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 350 000  | p.m.                | 350 000  | 700 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)

**04 03 77** (*cont'd*)

04 03 77 25 Preparatory action — Child Guarantee Scheme / Establishing a European child guarantee and financial support

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments |
| 900 000             | 450 000  | 2 000 000           | 1 000 000 |              |          |

*Remarks*

This appropriation is intended to cover implementation of the preparatory action.

Inequalities are considered to be a cause of macroeconomic destabilisation and to reduce growth. Therefore European and national action to correct inequalities affecting children can be seen as a long-term policy for macroeconomic stabilisation and growth. Opening up opportunities can spur stronger economic performance and improve living standards.

Fighting poverty and social exclusion is one of the Europe 2020 targets: to reduce by at least 20 million the number of people in or at risk of poverty and social exclusion. However, between 2008 and 2014, the number of people at risk of poverty or social exclusion in the EU-27 increased from 116 million to 121 million; almost every fourth person in the EU-27 is at risk of poverty or social exclusion. Amongst this group, child poverty is alarming. In 2014, more than 26 million children in the Union were at risk of poverty and more than nine million suffered from severe material deprivation. If no measures are taken to correct this situation, they will be at greater risk of failing in the education system (Europe 2020 target for education) and will have the most difficulties in entering the labour market (Europe 2020 target for employment). The child guarantee is an integrated approach to tackling child poverty, aiming at fully implementing the Commission's 'Investing in Children' recommendation, to ensure that every child in Europe at risk of poverty (including refugees) has access to free health care, free education, free childcare, decent housing and adequate nutrition. Covering these five areas of action through European and national action plans would ensure that the living conditions and opportunities of millions of children in Europe improve considerably and with a long-term perspective. The child guarantee is a key horizontal policy and should be regarded as an investment in the stability and prosperity of the Union, which is necessary for preserving the Union's growth potential.

To make the child guarantee a successful instrument in the fight against child poverty, Union financial support should be put in place to ensure a coordinated effort together with Member States towards common goals with binding indicators. The preparatory action will lay down the implementing framework for the child guarantee:

- (1) selecting comparative measurable criteria to assign funds in accordance with the Commission's 'Investing in Children' recommendation;
- (2) assessing existing national and Union interventions tackling multi-dimensional aspects of child poverty and mapping best practices;
- (3) delineating the typology of programmes to be funded;
- (4) establishing funding arrangements; and
- (5) establishing governance mechanisms, including monitoring and evaluation.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)04 03 77 25 (*cont'd*)

This will be achieved through independent research work, consultations with partners (e.g. governmental institutions, NGOs, academia, the private sector and the European Network of Ombudspersons for Children) and technical workshops.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 26 Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|-----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments   |
| p.m.                | 1 000 000 | 2 000 000           | 1 500 000 | 2 000 000,—  | 383 257,41 |

*Remarks*

*Former Item 15 02 77 12*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 27 Pilot project — Promotion of domestic worker cooperatives and service voucher schemes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 700 000             | 350 000  |                     |          |              |          |

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)04 03 77 27 (*cont'd*)*Remarks*

In the personal and household services sector (PHS), a sector with high potential for job creation, experience with implementing service voucher schemes, such as in France or Belgium, has been positive. Most workers in the PHS sector are women; however, 60% of them are migrants and many are cross-border workers, and consequently there are important loopholes and limited or fragmented access to information about the rights and obligations of workers in this sector. In Member States such as France and Belgium, a dedicated tax framework has been developed to regularise activities in the sector and address the issue of undeclared work. In order to increase the number of Member States applying a policy on PHS and to support decent work in this sector, the pilot project will have two main objectives: (1) to help Member States develop service voucher schemes (or in an initial phase trial them in certain municipalities; and (2) to introduce an effective social dialogue in this sector.

This pilot project will provide advice, technical assistance and a range of tailored guidance activities and recommendations to Member States interested in developing the PHS sector, and will support the exchange of good practices among key stakeholders, national public administrations (municipalities/local administrations in the case of a trial introduction) and organisations of domestic workers, carers and employers.

Regarding the social dialogue and decent working conditions, the project will facilitate the professionalisation of these jobs, the dissemination of information on the rights and obligations of workers and employers and the creation and development of an effective social dialogue.

This pilot project will need to be supported by the principal European stakeholders in the PHS sector.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 04 — EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                          | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                     | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|---------------------|-------------------------|
|                                     |                                                                                                                  |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments            |                         |
| 04 04                               | EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)                                                                     |    |                     |                   |                     |                   |                     |                     |                         |
| <b>04 04 01</b>                     | <b>EGF — to support workers and self-employed persons whose activity has ceased as a result of globalisation</b> | 9  | p.m.                | 25 000 000        | p.m.                | 25 000 000        | 27 638 171,—        | 27 638 171,—        | 110,55                  |
| <b>04 04 51</b>                     | <b>Completion of the European Globalisation Adjustment Fund (2007 to 2013)</b>                                   | 9  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                 | 0,—                 |                         |
|                                     | <b>Chapter 04 04 — Total</b>                                                                                     |    | <b>p.m.</b>         | <b>25 000 000</b> | <b>p.m.</b>         | <b>25 000 000</b> | <b>27 638 171,—</b> | <b>27 638 171,—</b> | <b>110,55</b>           |

**04 04 01 EGF — to support workers and self-employed persons whose activity has ceased as a result of globalisation**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| p.m.                | 25 000 000 | p.m.                | 25 000 000 | 27 638 171,— | 27 638 171,— |

## Remarks

This appropriation is intended to cover the EGF so as to enable the Union to demonstrate solidarity towards, and to support workers made redundant and self-employed persons whose activity has ceased as a result of major structural changes in world patterns due to globalisation, as a result of the continuation of the global financial and economic crisis addressed in Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26), or as a result of a new global financial and economic crisis and to provide financial support for their rapid reintegration into sustainable employment.

The actions undertaken by the EGF should be complementary to those of the ESF and there must be no double funding from these instruments. EGF-supported actions or measures should aim to ensure that the largest possible number of beneficiaries participating in these actions find sustainable employment as soon as possible before the final report is due.

The rules for entering the appropriations in this reserve and for mobilising the EGF are laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

## Legal basis

Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006 (OJ L 347, 20.12.2013, p. 855), and in particular Article 1 thereof.

**CHAPTER 04 04 — EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF) (cont'd)****04 04 01** (cont'd)*Reference acts*

Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

**04 04 51** **Completion of the European Globalisation Adjustment Fund (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the EGF so as to enable the Union to provide time-limited and targeted support for workers made redundant as a result of major structural changes in world trade patterns due to globalisation where these redundancies have a significant adverse impact on the regional or local economy. It is applicable to all applications submitted up to 31 December 2013. For applications submitted between 1 May 2009 and 31 December 2011, it may also be used to provide support to workers made redundant as a direct result of the global financial and economic crisis.

The actions undertaken by the EGF should be complementary to those of the ESF. There must be no double funding from these instruments.

The rules for entering the appropriations in this reserve and for mobilising the EGF are laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

*Legal basis*

Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 on establishing the European Globalisation Adjustment Fund (OJ L 406, 30.12.2006, p. 1).

*Reference acts*

Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                    | FF | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|----------|--------------|----------|-----------------------------|
|                                     |                                                                                                                                            |    | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |                             |
| 04 05                               | INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT                                      |    |                     |          |                     |          |              |          |                             |
| <b>04 05 01</b>                     | <b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b> |    |                     |          |                     |          |              |          |                             |
| 04 05 01 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |
| 04 05 01 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>                    | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |
|                                     | <i>Article 04 05 01 — Subtotal</i>                                                                                                         |    | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |
| <b>04 05 02</b>                     | <b>Support to Iceland</b>                                                                                                                  |    |                     |          |                     |          |              |          |                             |
| 04 05 02 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |
| 04 05 02 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>                    | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |
|                                     | <i>Article 04 05 02 — Subtotal</i>                                                                                                         |    | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |
| <b>04 05 03</b>                     | <b>Support to Turkey</b>                                                                                                                   |    |                     |          |                     |          |              |          |                             |
| 04 05 03 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                             |



**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (cont'd)

| Title<br>Chapter<br>Article<br>Item                                                                                                                                                                                                     | Heading                                                                                                                 | FF | Appropriations 2018 |                  | Appropriations 2017 |                   | Outturn 2016 |                      | % Payments<br>2016/<br>2018 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------------|---------------------|-------------------|--------------|----------------------|-----------------------------|
|                                                                                                                                                                                                                                         |                                                                                                                         |    | Commitments         | Payments         | Commitments         | Payments          | Commitments  | Payments             |                             |
| <b>04 05 03</b>                                                                                                                                                                                                                         | (cont'd)                                                                                                                |    |                     |                  |                     |                   |              |                      |                             |
| 04 05 03 02                                                                                                                                                                                                                             | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i> | 4  | p.m.                | p.m.             | p.m.                | p.m.              | 0,—          | 0,—                  |                             |
|                                                                                                                                                                                                                                         | Article 04 05 03 — Subtotal                                                                                             |    | p.m.                | p.m.             | p.m.                | p.m.              | 0,—          | 0,—                  |                             |
| <b>04 05 51</b>                                                                                                                                                                                                                         | <b>Completion of actions (prior to 2014) — Instrument for Pre-Accession Assistance — Human resources development</b>    | 4  | p.m.                | 5 000 000        | p.m.                | 50 000 000        | 0,—          | 82 299 999,44        | 1 646,00                    |
|                                                                                                                                                                                                                                         | <b>Chapter 04 05 — Total</b>                                                                                            |    | <b>p.m.</b>         | <b>5 000 000</b> | <b>p.m.</b>         | <b>50 000 000</b> | <b>0,—</b>   | <b>82 299 999,44</b> | <b>1 646,00</b>             |
| (1) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence. |                                                                                                                         |    |                     |                  |                     |                   |              |                      |                             |

**04 05 01 Support to Albania, Bosnia and Herzegovina, Kosovo (1), Montenegro, Serbia and the former Yugoslav Republic of Macedonia**

 04 05 01 01 Support for political reforms and related progressive alignment with the Union *acquis*

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

(1) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

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## CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT (cont'd)

04 05 01 (cont'd)

04 05 01 01 (cont'd)

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

04 05 01 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

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**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (*cont'd*)

**04 05 02 Support to Iceland**

04 05 02 01 Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

04 05 02 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

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**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (*cont'd*)**04 05 02** (*cont'd*)04 05 02 02 (*cont'd*)

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**04 05 03** **Support to Turkey**04 05 03 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (*cont'd*)**04 05 03** (*cont'd*)04 05 03 01 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

04 05 03 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (cont'd)**04 05 03** (cont'd)

04 05 03 02 (cont'd)

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**04 05 51** **Completion of actions (prior to 2014) — Instrument for Pre-Accession Assistance — Human resources development***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 5 000 000 | p.m.                | 50 000 000 | 0,—          | 82 299 999,44 |

*Remarks*

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006, as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

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**CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (FEAD)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                  | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|--------------------------------|
|                                     |                                                                                          |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                                |
| 04 06                               | FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (FEAD)                                        |     |                     |                    |                     |                    |                       |                       |                                |
| 04 06 01                            | <i>Promoting social cohesion and alleviating the worst forms of poverty in the Union</i> | 1.2 | 555 274 653         | 400 000 000        | 544 386 912         | 440 000 000        | 533 712 658,—         | 277 364 821,41        | 69,34                          |
| 04 06 02                            | <i>Operational technical assistance</i>                                                  | 1.2 | 1 200 000           | 1 000 000          | 1 440 000           | 1 000 000          | 1 051 106,57          | 699 921,30            | 69,99                          |
|                                     | <b>Chapter 04 06 — Total</b>                                                             |     | <b>556 474 653</b>  | <b>401 000 000</b> | <b>545 826 912</b>  | <b>441 000 000</b> | <b>534 763 764,57</b> | <b>278 064 742,71</b> | <b>69,34</b>                   |

*Remarks*

Article 174 of the Treaty on the Functioning of the European Union sets out the Union's objective of economic, social and territorial cohesion while Article 175 specifies the role of the Structural Funds in achieving this objective and makes provisions for the adoption of specific actions outside the Structural Funds.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Articles 56 and 57 of Regulation (EU) No 223/2014 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to FEAD.

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 44 of Regulation (EU) No 223/2014 provides for specific rules on repayment of pre-financing applicable to the FEAD.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Article 19 of Regulation (EU, Euratom) No 1311/2013 lays down the conditions for the revision of the multiannual financial framework in order to transfer to subsequent years, in excess of the corresponding expenditure ceilings, allocations not used in 2014, in the event of the adoption after 1 January 2014 of new rules or programmes.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174 and 175 thereof.

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**CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (FEAD) (cont'd)**

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Articles 21(3) and (4), and Articles 80 and 177 thereof.

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

*Reference acts*

Conclusions of the European Council of 7 and 8 February 2013.

**04 06 01 Promoting social cohesion and alleviating the worst forms of poverty in the Union***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 555 274 653         | 400 000 000 | 544 386 912         | 440 000 000 | 533 712 658,— | 277 364 821,41 |

*Remarks*

The FEAD replaces the European Union's Food Distribution Programme for the Most Deprived Persons of the Community, which was discontinued at the end of 2013.

To ensure continuity between the two programmes, expenditure shall be eligible for a support from a FEAD operational programme if it is incurred by a beneficiary and paid between 1 December 2013 and 31 December 2023.

The FEAD shall promote social cohesion, enhance social inclusion and therefore ultimately contribute to the objective of eradicating poverty in the Union by contributing to achieving the poverty reduction target of at least 20 million of the number of persons at risk of poverty and social exclusion in accordance with the Europe 2020 strategy, whilst complementing the Structural Funds. Since the proportion of women at risk of poverty or social exclusion is higher than is the case for men, FEAD will take a gender-sensitive approach by adapting measures to the groups actually at risk, including women and the elderly. It shall contribute to achieving the specific objective of alleviating and eradicating the worst forms of poverty, by providing non-financial assistance to the most deprived persons by food and/or basic material assistance, and social inclusion activities aiming at the social integration of the most deprived persons.



**CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (FEAD) (cont'd)****04 06 01** (cont'd)

This objective and the results of the implementation of the FEAD shall be qualitatively and quantitatively assessed.

The FEAD shall complement and shall not replace or reduce sustainable national poverty eradication and social inclusion policies, which remain the responsibility of Member States.

The resources for the FEAD available for budgetary commitment for the 2014-2020 period shall be EUR 3 395 684 880 in 2011 prices.

Poverty is a multidimensional problem and tackling it should become a primary goal. Greater emphasis needs to be placed on poverty in all strategies, as poverty is a complex problem with many causes. Most importantly, it also has a great impact not only on the present but also on the future. People who experience poverty, especially children, are at greater risk of not succeeding in later life and of being excluded from society.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

**04 06 02** **Operational technical assistance**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|-----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments   |
| 1 200 000           | 1 000 000 | 1 440 000           | 1 000 000 | 1 051 106,57 | 699 921,30 |

*Remarks*

This appropriation is intended to cover technical assistance as provided for in Article 27 of Regulation (EU) No 223/2014.

Technical assistance covers preparation, monitoring, audit, information, control and evaluation measures necessary for implementing Regulation (EU) No 223/2014 as well as for activities pursuant to Article 10 of that Regulation.

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**CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (FEAD) (cont'd)**

**04 06 02** (cont'd)

In particular, this appropriation is intended to cover:

- the cost of activities with partner organisations and stakeholders in Member States (such as training, meetings, conferences, network of experts, working groups),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants,
- audit, control and evaluation activities.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

*TITLE 05*

**AGRICULTURE AND RURAL DEVELOPMENT**

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## TITLE 05

## AGRICULTURE AND RURAL DEVELOPMENT

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                                                                | Appropriations 2018   |                       | Appropriations 2017   |                       | Outturn 2016             |                          |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|--------------------------|--------------------------|
|               |                                                                                                                                        | Commitments           | Payments              | Commitments           | Payments              | Commitments              | Payments                 |
| 05 01         | ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA                                                      | 132 308 006           | 132 308 006           | 134 431 385           | 134 431 385           | 133 340 495,83           | 133 340 495,83           |
| 05 02         | IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS                                 | 2 358 100 000         | 2 302 896 000         | 2 806 800 000         | 2 766 337 000         | 3 184 276 029,66         | 3 163 929 739,03         |
| 05 03         | DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES | 40 668 700 000        | 40 668 700 000        | 39 661 700 000        | 39 661 700 000        | 40 984 131 447,04        | 40 984 131 447,04        |
| 05 04         | RURAL DEVELOPMENT                                                                                                                      | 14 367 669 509        | 11 843 037 093        | 14 354 048 697        | 10 421 022 443        | 18 679 199 008,51        | 12 365 257 730,76        |
|               | <i>Reserves (40 02 41)</i>                                                                                                             | <i>1 800 000</i>      | <i>1 350 000</i>      |                       |                       |                          |                          |
|               |                                                                                                                                        | 14 369 469 509        | 11 844 387 093        | 14 354 048 697        | 10 421 022 443        | 18 679 199 008,51        | 12 365 257 730,76        |
| 05 05         | INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT                                                            | 190 000 000           | 138 400 000           | 199 000 000           | 89 970 000            | 112 000 000,—            | 339 242 762,15           |
| 05 06         | INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA                                                           | 7 368 000             | 7 368 000             | 8 285 849             | 8 285 849             | 4 403 541,71             | 4 403 541,71             |
| 05 07         | AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF)                                          | 160 230 000           | 160 979 183           | 85 279 139            | 83 900 515            | 111 452 448,91           | 111 452 448,91           |
| 05 08         | POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA                                                | 39 706 899            | 54 412 168            | 47 810 940            | 40 944 954            | 31 077 996,97            | 30 200 549,86            |
| 05 09         | HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE                                                                          | 235 755 857           | 154 885 244           | 221 563 529           | 108 915 289           | 209 994 970,79           | 75 677 497,28            |
|               | <b>Title 05 — Total</b>                                                                                                                | <b>58 159 838 271</b> | <b>55 462 985 694</b> | <b>57 518 919 539</b> | <b>53 315 507 435</b> | <b>63 449 875 939,42</b> | <b>57 207 636 212,57</b> |
|               | <i>Reserves (40 02 41)</i>                                                                                                             | <i>1 800 000</i>      | <i>1 350 000</i>      |                       |                       |                          |                          |
|               |                                                                                                                                        | <b>58 161 638 271</b> | <b>55 464 335 694</b> | <b>57 518 919 539</b> | <b>53 315 507 435</b> | <b>63 449 875 939,42</b> | <b>57 207 636 212,57</b> |

## TITLE 05

## AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|-------------|
| 05 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA                                                                    |     |                     |                     |                |             |
| <b>05 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Agriculture and rural development' policy area</b>                                   | 5.2 | 97 704 383          | 100 777 140         | 102 894 402,73 | 105,31      |
| <b>05 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Agriculture and rural development' policy area</b>                         |     |                     |                     |                |             |
| 05 01 02 01                         | External personnel                                                                                                                                   | 5.2 | 3 156 935           | 3 419 215           | 3 548 911,27   | 112,42      |
| 05 01 02 11                         | Other management expenditure                                                                                                                         | 5.2 | 6 480 752           | 6 490 597           | 6 316 543,56   | 97,47       |
|                                     | <i>Article 05 01 02 — Subtotal</i>                                                                                                                   |     | 9 637 687           | 9 909 812           | 9 865 454,83   | 102,36      |
| <b>05 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services of the 'Agriculture and rural development' policy area</b> | 5.2 | 6 315 934           | 6 292 864           | 7 912 231,27   | 125,27      |
| <b>05 01 04</b>                     | <b>Support expenditure for operations and programmes in the 'Agriculture and rural development' policy area</b>                                      |     |                     |                     |                |             |
| 05 01 04 01                         | Support expenditure for the European Agricultural Guarantee Fund (EAGF) — Non-operational technical assistance                                       | 2   | 8 000 000           | 6 782 000           | 5 362 011,83   | 67,03       |
| 05 01 04 03                         | Support expenditure for pre-accession assistance in the 'Agriculture and rural development' policy area (IPA)                                        | 4   | 449 650             | 459 960             | 281 065,24     | 62,51       |
| 05 01 04 04                         | Support expenditure for the European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance                          | 2   | 4 689 000           | 4 910 000           | 3 246 016,77   | 69,23       |
|                                     | <i>Article 05 01 04 — Subtotal</i>                                                                                                                   |     | 13 138 650          | 12 151 960          | 8 889 093,84   | 67,66       |

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## CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                  | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| <b>05 01 05</b>                     | <b>Support expenditure for research and innovation programmes in the 'Agriculture and rural development' policy area</b> |     |                     |                     |                       |               |
| 05 01 05 01                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020      | 1.1 | 1 589 136           | 1 535 400           | 1 434 999,34          | 90,30         |
| 05 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020                                        | 1.1 | 442 216             | 433 545             | 435 791,—             | 98,55         |
| 05 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020                                       | 1.1 | 400 000             | 830 664             | 350 146,82            | 87,54         |
|                                     | <i>Article 05 01 05 — Subtotal</i>                                                                                       |     | 2 431 352           | 2 799 609           | 2 220 937,16          | 91,35         |
| <b>05 01 06</b>                     | <b>Executive agencies</b>                                                                                                |     |                     |                     |                       |               |
| 05 01 06 01                         | Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme         | 2   | 3 080 000           | 2 500 000           | 1 558 376,—           | 50,60         |
|                                     | <i>Article 05 01 06 — Subtotal</i>                                                                                       |     | 3 080 000           | 2 500 000           | 1 558 376,—           | 50,60         |
|                                     | <b>Chapter 05 01 — Total</b>                                                                                             |     | <b>132 308 006</b>  | <b>134 431 385</b>  | <b>133 340 495,83</b> | <b>100,78</b> |

## Remarks

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

## Legal basis

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**05 01 01 Expenditure related to officials and temporary staff in the 'Agriculture and rural development' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 97 704 383          | 100 777 140         | 102 894 402,73 |

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**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA** *(cont'd)*

**05 01 02** *External personnel and other management expenditure in support of the ‘Agriculture and rural development’ policy area*

05 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 156 935           | 3 419 215           | 3 548 911,27 |

05 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 480 752           | 6 490 597           | 6 316 543,56 |

**05 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Agriculture and rural development’ policy area*

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 315 934           | 6 292 864           | 7 912 231,27 |

**05 01 04** *Support expenditure for operations and programmes in the ‘Agriculture and rural development’ policy area*

*Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 870/2004 of 24 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture and repealing Regulation (EC) No 1467/94 (OJ L 162, 30.4.2004, p. 18).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

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## CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (cont'd)

## 05 01 04 (cont'd)

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

05 01 04 01 Support expenditure for the European Agricultural Guarantee Fund (EAGF) — Non-operational technical assistance

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 000 000           | 6 782 000           | 5 362 011,83 |



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**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA** *(cont'd)*

**05 01 04** *(cont'd)*

05 01 04 01 *(cont'd)*

*Remarks*

This appropriation is intended to cover the preparatory, monitoring, administrative and technical support activities, as well as evaluation, audit and inspection measures required to implement the common agricultural policy and in particular the measures as stipulated in points (a), (d), (e) and (f) of Article 6 of Regulation (EU) No 1306/2013.

This includes expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the genetic resources programme laid down by Regulation (EC) No 870/2004. It also includes the expenditure for the financing of the Conciliation Body within the framework of the common agricultural policy clearance of accounts (fees, equipment, travel and meetings).

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

05 01 04 03 Support expenditure for pre-accession assistance in the ‘Agriculture and rural development’ policy area (IPA)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 449 650             | 459 960             | 281 065,24   |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as the cost of training, meetings, missions, and renting of accommodation directly resulting from the presence in the delegation of the external personnel remunerated from the appropriations entered in this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

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CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)05 01 04 (*cont'd*)05 01 04 03 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapter 05 05.

05 01 04 04 Support expenditure for the European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 689 000           | 4 910 000           | 3 246 016,77 |

*Remarks*

This appropriation is intended to cover the EAFRD-funded technical assistance provided for in Article 51 of Regulation (EU) No 1305/2013, Article 6 of Regulation (EU) No 1306/2013 and Article 58 of Regulation (EU) No 1303/2013. Technical assistance covers preparatory, monitoring, administrative support, evaluation, and inspection measures required to implement the common agricultural policy. It can, in particular, be used to finance:

- dissemination of information, including cooperation and exchanges at Union level and networking of the parties concerned,
- provision of information including studies and evaluations,
- expenditure on information technology and telecommunications,
- expenditure for the protection of the interests of the Union (legality and regularity, fraud, penalties and recovery actions),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 1 850 000, as well as missions relating to the external personnel.

Any revenue entered in Article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 177 of the Financial Regulation.

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**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA** *(cont'd)*

**05 01 05 Support expenditure for research and innovation programmes in the ‘Agriculture and rural development’ policy area**

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**05 01 05 01** Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 589 136           | 1 535 400           | 1 434 999,34 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts under the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**05 01 05 02** External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 442 216             | 433 545             | 435 791,—    |

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)05 01 05 (*cont'd*)05 01 05 02 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

05 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 400 000             | 830 664             | 350 146,82   |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA** *(cont'd)*

**05 01 06** *Executive agencies*

05 01 06 01 Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 080 000           | 2 500 000           | 1 558 376,—  |

*Remarks*

This appropriation is intended to cover the contribution for expenditure of the Agency's staff and administration incurred as a result of the Agency's role in the management of measures forming part of the promotion programme as of 1 December 2015.

The establishment plan to the Executive Agency is set out in the Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1144/2014 of the European Parliament and of the Council of 22 October 2014 on information provision and promotion measures concerning agricultural products implemented in the internal market and in third countries and repealing Council Regulation (EC) No 3/2008 (OJ L 317, 4.11.2014, p. 56).

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341 18.12.2013, p. 69)

Commission Implementing Decision 2014/927/EU of 17 December 2014 amending Implementing Decision 2013/770/EU in order to transform the ‘Consumers, Health and Food Executive Agency’ into the ‘Consumers, Health, Agriculture and Food Executive Agency’ (OJ L 363, 18.12.2014, p. 183).

Commission Decision C(2014) 9594 of 19 December 2014 amending Decision C(2013) 9505 as regards the delegation of powers to the Consumers, Health, Agriculture and Food Executive Agency with a view to the performance of tasks linked to the implementation of information provision and promotion measures concerning agricultural products implemented in the internal market and in third countries and food safety training measures covered by Decision C(2014) 1269 comprising, in particular, the implementation of appropriations entered in the general budget of the Union.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                | FF | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|----------|--------------|----------|-------------------------|
|                                     |                                                                                                        |    | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |                         |
| 05 02                               | IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS |    |                     |          |                     |          |              |          |                         |
| <b>05 02 01</b>                     | <b>Cereals</b>                                                                                         |    |                     |          |                     |          |              |          |                         |
| 05 02 01 01                         | Export refunds for cereals                                                                             | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 01 02                         | Intervention storage for cereals                                                                       | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 01 99                         | Other measures for cereals                                                                             | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
|                                     | <i>Article 05 02 01 — Subtotal</i>                                                                     |    | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| <b>05 02 02</b>                     | <b>Rice</b>                                                                                            |    |                     |          |                     |          |              |          |                         |
| 05 02 02 01                         | Export refunds for rice                                                                                | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 02 02                         | Intervention storage for rice                                                                          | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 02 99                         | Other measures for rice                                                                                | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
|                                     | <i>Article 05 02 02 — Subtotal</i>                                                                     |    | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| <b>05 02 03</b>                     | <b>Refunds on products not listed in Annex I to the TFEU</b>                                           | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| <b>05 02 04</b>                     | <b>Food programmes</b>                                                                                 |    |                     |          |                     |          |              |          |                         |
| 05 02 04 99                         | Other measures for food programmes                                                                     | 2  | p.m.                | p.m.     | p.m.                | p.m.     | - 968,04     | - 968,04 |                         |
|                                     | <i>Article 05 02 04 — Subtotal</i>                                                                     |    | p.m.                | p.m.     | p.m.                | p.m.     | - 968,04     | - 968,04 |                         |
| <b>05 02 05</b>                     | <b>Sugar</b>                                                                                           |    |                     |          |                     |          |              |          |                         |
| 05 02 05 01                         | Export refunds for sugar and isoglucose                                                                | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 05 03                         | Production refunds for sugar used in the chemical industry                                             | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 05 08                         | Private storage of sugar                                                                               | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
| 05 02 05 99                         | Other measures for sugar                                                                               | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |
|                                     | <i>Article 05 02 05 — Subtotal</i>                                                                     |    | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |                         |

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                            | FF | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------|----|---------------------|---------------|---------------------|---------------|------------------|------------------|-------------------------|
|                                     |                                                    |    | Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |                         |
| <b>05 02 06</b>                     | <b>Olive oil</b>                                   |    |                     |               |                     |               |                  |                  |                         |
| 05 02 06 03                         | Private storage of olive oil                       | 2  | p.m.                | p.m.          | p.m.                | p.m.          | - 100 000,—      | - 100 000,—      |                         |
| 05 02 06 05                         | Quality improvement measures                       | 2  | 46 000 000          | 46 000 000    | 46 000 000          | 46 000 000    | 46 026 264,14    | 46 026 264,14    | 100,06                  |
| 05 02 06 99                         | Other measures for olive oil                       | 2  | 100 000             | 100 000       | 300 000             | 300 000       | 62 849,69        | 62 849,69        | 62,85                   |
|                                     | <i>Article 05 02 06 — Subtotal</i>                 |    | 46 100 000          | 46 100 000    | 46 300 000          | 46 300 000    | 45 989 113,83    | 45 989 113,83    | 99,76                   |
| <b>05 02 07</b>                     | <b>Textile plants</b>                              |    |                     |               |                     |               |                  |                  |                         |
| 05 02 07 02                         | Private storage of flax fibre                      | 2  | p.m.                | p.m.          | p.m.                | p.m.          | 0,—              | 0,—              |                         |
| 05 02 07 03                         | Cotton — National restructuring programmes         | 2  | p.m.                | p.m.          | 6 100 000           | 6 100 000     | 6 134 000,—      | 6 134 000,—      |                         |
| 05 02 07 99                         | Other measures for textile plants                  | 2  | 100 000             | 100 000       | 100 000             | 100 000       | 0,—              | 0,—              | 0                       |
|                                     | <i>Article 05 02 07 — Subtotal</i>                 |    | 100 000             | 100 000       | 6 200 000           | 6 200 000     | 6 134 000,—      | 6 134 000,—      | 6 134,00                |
| <b>05 02 08</b>                     | <b>Fruit and vegetables</b>                        |    |                     |               |                     |               |                  |                  |                         |
| 05 02 08 03                         | Operational funds for producer organisations       | 2  | 472 000 000         | 472 000 000   | 455 000 000         | 455 000 000   | 862 483 263,71   | 862 483 263,71   | 182,73                  |
| 05 02 08 11                         | Aid to producer groups for preliminary recognition | 2  | 10 000 000          | 10 000 000    | 22 000 000          | 22 000 000    | 71 373 084,53    | 71 373 084,53    | 713,73                  |
| 05 02 08 12                         | School fruit scheme                                | 2  | 10 000 000          | 10 000 000    | 130 000 000         | 130 000 000   | 109 915 855,51   | 109 915 855,51   | 1 099,16                |
| 05 02 08 99                         | Other measures for fruit and vegetables            | 2  | 39 800 000          | 39 800 000    | 54 500 000          | 54 500 000    | 128 952 215,11   | 128 952 215,11   | 324,00                  |
|                                     | <i>Article 05 02 08 — Subtotal</i>                 |    | 531 800 000         | 531 800 000   | 661 500 000         | 661 500 000   | 1 172 724 418,86 | 1 172 724 418,86 | 220,52                  |
| <b>05 02 09</b>                     | <b>Products of the wine-growing sector</b>         |    |                     |               |                     |               |                  |                  |                         |
| 05 02 09 08                         | National support programmes for the wine sector    | 2  | 1 057 000 000       | 1 057 000 000 | 1 075 000 000       | 1 075 000 000 | 1 027 566 919,35 | 1 027 566 919,35 | 97,22                   |
| 05 02 09 99                         | Other measures for the wine-growing sector         | 2  | 1 000 000           | 1 000 000     | 1 000 000           | 1 000 000     | - 435 981,13     | - 435 981,13     | - 43,60                 |
|                                     | <i>Article 05 02 09 — Subtotal</i>                 |    | 1 058 000 000       | 1 058 000 000 | 1 076 000 000       | 1 076 000 000 | 1 027 130 938,22 | 1 027 130 938,22 | 97,08                   |

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                      | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|----------------|----------------|-------------------------|
|                                     |                                                                                                              |    | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                         |
| <b>05 02 10</b>                     | <b>Promotion</b>                                                                                             |    |                     |             |                     |             |                |                |                         |
| 05 02 10 01                         | Promotion measures — Payments by Member States                                                               | 2  | 83 000 000          | 83 000 000  | 83 000 000          | 83 000 000  | 62 587 884,01  | 62 587 884,01  | 75,41                   |
| 05 02 10 02                         | Promotion measures — Direct payments by the Union                                                            | 2  | 88 600 000          | 27 396 000  | 52 500 000          | 12 037 000  | 18 480 225,—   | 4 133 934,37   | 15,09                   |
| 05 02 10 99                         | Other measures for promotion                                                                                 | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 0,—            |                         |
|                                     | <i>Article 05 02 10 — Subtotal</i>                                                                           |    | 171 600 000         | 110 396 000 | 135 500 000         | 95 037 000  | 81 068 109,01  | 66 721 818,38  | 60,44                   |
| <b>05 02 11</b>                     | <b>Other plant products/ measures</b>                                                                        |    |                     |             |                     |             |                |                |                         |
| 05 02 11 03                         | Hops — Aid to producer organisations                                                                         | 2  | 2 300 000           | 2 300 000   | 2 300 000           | 2 300 000   | 2 277 000,—    | 2 277 000,—    | 99,00                   |
| 05 02 11 04                         | Programmes of Options Specifically Relating to Remoteness and Insularity (POSEI) (excluding direct payments) | 2  | 231 000 000         | 231 000 000 | 237 000 000         | 237 000 000 | 239 632 322,93 | 239 632 322,93 | 103,74                  |
| 05 02 11 99                         | Other measures for other plant products/ measures                                                            | 2  | 100 000             | 100 000     | 100 000             | 100 000     | 98 440,65      | 98 440,65      | 98,44                   |
|                                     | <i>Article 05 02 11 — Subtotal</i>                                                                           |    | 233 400 000         | 233 400 000 | 239 400 000         | 239 400 000 | 242 007 763,58 | 242 007 763,58 | 103,69                  |
| <b>05 02 12</b>                     | <b>Milk and milk products</b>                                                                                |    |                     |             |                     |             |                |                |                         |
| 05 02 12 01                         | Refunds for milk and milk products                                                                           | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,01           | 0,01           |                         |
| 05 02 12 02                         | Storage measures for skimmed-milk powder                                                                     | 2  | 12 000 000          | 12 000 000  | 19 000 000          | 19 000 000  | 9 125 953,25   | 9 125 953,25   | 76,05                   |
| 05 02 12 04                         | Storage measures for butter and cream                                                                        | 2  | p.m.                | p.m.        | 9 000 000           | 9 000 000   | 9 067 447,10   | 9 067 447,10   |                         |
| 05 02 12 06                         | Private storage of certain cheeses                                                                           | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 0,—            |                         |
| 05 02 12 08                         | School milk                                                                                                  | 2  | 22 000 000          | 22 000 000  | 75 000 000          | 75 000 000  | 64 436 426,78  | 64 436 426,78  | 292,89                  |
| 05 02 12 09                         | Dairy products distribution as urgent response to humanitarian crises                                        | 2  | p.m.                | 6 000 000   | p.m.                | p.m.        | 30 000 000,—   | 24 000 000,—   | 400,00                  |
| 05 02 12 99                         | Other measures for milk and milk products                                                                    | 2  | 100 000             | 100 000     | 504 700 000         | 504 700 000 | 323 947 831,52 | 323 947 831,52 | 323 947,83              |
|                                     | <i>Article 05 02 12 — Subtotal</i>                                                                           |    | 34 100 000          | 40 100 000  | 607 700 000         | 607 700 000 | 436 577 658,66 | 430 577 658,66 | 1 073,76                |



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**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                       | FF | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|-------------------------|
|                                     |                                                                               |    | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                         |
| <b>05 02 13</b>                     | <b>Beef and veal</b>                                                          |    |                      |                      |                      |                      |                         |                         |                         |
| 05 02 13 01                         | Refunds for beef and veal                                                     | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 560 013,80              | 560 013,80              |                         |
| 05 02 13 02                         | Storage measures for beef and veal                                            | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                     |                         |
| 05 02 13 04                         | Refunds for live animals                                                      | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 4 765,60                | 4 765,60                |                         |
| 05 02 13 99                         | Other measures for beef and veal                                              | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 29 641 616,57           | 29 641 616,57           |                         |
|                                     | Article 05 02 13 — Subtotal                                                   |    | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 30 206 395,97           | 30 206 395,97           |                         |
| <b>05 02 14</b>                     | <b>Sheepmeat and goatmeat</b>                                                 |    |                      |                      |                      |                      |                         |                         |                         |
| 05 02 14 01                         | Private storage of sheepmeat and goatmeat                                     | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                     |                         |
| 05 02 14 99                         | Other measures for sheepmeat and goatmeat                                     | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 1 836 787,35            | 1 836 787,35            |                         |
|                                     | Article 05 02 14 — Subtotal                                                   |    | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 1 836 787,35            | 1 836 787,35            |                         |
| <b>05 02 15</b>                     | <b>Pigmeat, eggs and poultry, bee-keeping and other animal products</b>       |    |                      |                      |                      |                      |                         |                         |                         |
| 05 02 15 01                         | Refunds for pigmeat                                                           | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                     |                         |
| 05 02 15 02                         | Private storage of pigmeat                                                    | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 30 816 729,22           | 30 816 729,22           |                         |
| 05 02 15 04                         | Refunds for eggs                                                              | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                     |                         |
| 05 02 15 05                         | Refunds for poultrymeat                                                       | 2  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 30 454,55               | 30 454,55               |                         |
| 05 02 15 06                         | Specific aid for bee-keeping                                                  | 2  | 35 000 000           | 35 000 000           | 34 000 000           | 34 000 000           | 34 533 998,75           | 34 533 998,75           | 98,67                   |
| 05 02 15 99                         | Other measures for pigmeat, poultry, eggs, bee-keeping, other animal products | 2  | 60 000 000           | 60 000 000           | p.m.                 | p.m.                 | 75 220 629,70           | 75 220 629,70           | 125,37                  |
|                                     | Article 05 02 15 — Subtotal                                                   |    | 95 000 000           | 95 000 000           | 34 000 000           | 34 000 000           | 140 601 812,22          | 140 601 812,22          | 148,00                  |
| <b>05 02 18</b>                     | <b>School schemes</b>                                                         | 2  | 188 000 000          | 188 000 000          | 200 000              | 200 000              |                         |                         |                         |
|                                     | <b>Chapter 05 02 — Total</b>                                                  |    | <b>2 358 100 000</b> | <b>2 302 896 000</b> | <b>2 806 800 000</b> | <b>2 766 337 000</b> | <b>3 184 276 029,66</b> | <b>3 163 929 739,03</b> | <b>137,39</b>           |

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Articles 21 and 174 of the Financial Regulation.

In the framework of establishing the budgetary appropriations for this chapter, an amount of EUR 400 000 000 originating from Item 6 7 0 1 of the general statement of revenue was taken into account for Article 05 02 08, and in particular for Item 05 02 08 03.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

Council Regulation (EU) No 1370/2013 of 16 December 2013 determining measures on fixing certain aids and refunds related to the common organisation of the markets in agricultural products (OJ L 346, 20.12.2013, p. 12).

**05 02 01 Cereals**

05 02 01 01 Export refunds for cereals

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover export refunds for cereals granted in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Articles 13 and 14 of Regulation (EU) No 1370/2013.

05 02 01 02 Intervention storage for cereals

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)

**05 02 01** (*cont'd*)

05 02 01 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying-in of cereals for public storage in accordance with Articles 8, 9, 11 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

05 02 01 99 Other measures for cereals

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover outstanding balances as well as other expenditure related to intervention schemes for cereals under Regulations (EC) No 1234/2007, (EU) No 1308/2013 and (EU) No 1370/2013 not covered by the appropriations for the other items under Article 05 02 01.

It includes in particular expenditure on exceptional measures carried out in accordance with Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

**05 02 02** **Rice**

05 02 02 01 Export refunds for rice

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover export refunds for rice granted in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Articles 13 and 14 of Regulation (EU) No 1370/2013.

05 02 02 02 Intervention storage for rice

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying-in of rice for public storage in accordance with Articles 8, 9, 11 to 16 and 19 to 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

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05 02 02 99 Other measures for rice

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure under other intervention schemes for rice under Regulations (EC) No 1234/2007, (EU) No 1308/2013 and (EU) No 1370/2013 not covered by the appropriations for the other items under Article 05 02 02.

It includes in particular expenditure on exceptional measures carried out in accordance with Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

**05 02 03 Refunds on products not listed in Annex I to the TFEU***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover refunds on cereals exported in the form of certain spirits drinks, in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013, as well as refunds on goods resulting from the processing of cereals and rice, sugar and isoglucose, skimmed milk, butter and eggs, in accordance with Regulation (EU) No 510/2014.

*Legal basis*

Regulation (EU) No 510/2014 of the European Parliament and of the Council of 16 April 2014 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products and repealing Council Regulations (EC) No 1216/2009 and (EC) No 614/2009 (OJ L 150, 20.5.2014, p. 1).

**05 02 04 Food programmes**

05 02 04 99 Other measures for food programmes

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | – 968,04     |

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05 02 04 99 (cont'd)

*Remarks*

This appropriation is intended to cover any outstanding balances resulting from the application of Article 27 of Regulation (EC) No 1234/2007 in respect of the supply of food from intervention stocks and products mobilised on the Union market to designated organisations for distribution to the most deprived persons in the Union.

**05 02 05** **Sugar**

05 02 05 01 Export refunds for sugar and isoglucose

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover export refunds for sugar and isoglucose granted in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013, as well as outstanding expenditure granted in accordance with Article 27 of Regulation (EC) No 1260/2001, including those relating to certain sugars incorporated into processed fruit and vegetables, in accordance with Articles 16 and 18 of Regulation (EC) No 2201/96.

*Legal basis*

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the markets in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

05 02 05 03 Production refunds for sugar used in the chemical industry

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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This appropriation is intended to cover outstanding expenditure on production refunds for industrial sugar in accordance with Article 129 of Regulation (EU) No 1308/2013 and Article 8 of Regulation (EU) No 1370/2013 as well as outstanding expenditure for refunds for use in the chemical industry in accordance with Article 97 of Regulation (EC) No 1234/2007.

05 02 05 08 Private storage of sugar

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover aid for the private storage of sugar in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

05 02 05 99 Other measures for sugar

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover any other expenditure for sugar under Regulations (EC) No 1234/2007, (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances, including corrections related to it, from the application of Regulations (EC) No 1260/2001, (EC) No 318/2006 and (EC) No 320/2006, not covered by the appropriations for the other items under Article 05 02 05. These outstanding balances include, in particular, possible outstanding expenditure for measures to aid the disposal of raw sugar produced in the French Overseas Departments, in accordance with the first subparagraph of Article 7(4) of Regulation (EC) No 1260/2001 and for the adjustment aid for the refinery industry, in accordance with the second subparagraph of Article 7(4), Article 33(2) and Article 38 of Regulation (EC) No 1260/2001. It includes in particular expenditure on exceptional measures carried out in accordance with Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

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**05 02 05** (cont'd)

05 02 05 99 (cont'd)

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

**05 02 06 Olive oil**

05 02 06 03 Private storage of olive oil

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | – 100 000,—  |

Remarks

This appropriation is intended to cover aid for the private storage of olive oil in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

05 02 06 05 Quality improvement measures

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 46 000 000          | 46 000 000          | 46 026 264,14 |

Remarks

This appropriation is intended to cover expenditure for aid to operator organisations incurred in accordance with Articles 29 to 31 of Regulation (EU) No 1308/2013.

05 02 06 99 Other measures for olive oil

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 300 000             | 62 849,69    |

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This appropriation is intended to cover any other expenditure for olive oil under Regulation (EU) No 1308/2013, as well as any other outstanding balances from the application of Regulation No 136/66/EEC, Regulations (EC) No 865/2004 and (EC) No 1234/2007, not covered by the appropriations for the other items under Article 05 02 06. It includes in particular expenditure on exceptional measures under Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

**05 02 07** **Textile plants**

05 02 07 02 Private storage of flax fibre

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover aid for the private storage of flax fibre in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

05 02 07 03 Cotton — National restructuring programmes

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 6 100 000           | 6 134 000,—  |

*Remarks*

This appropriation is intended to cover outstanding balances related to schemes in accordance with Article 66(2) of Regulation (EU) No 1307/2013.

*Legal basis*

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).



**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (cont'd)**05 02 07** (cont'd)

05 02 07 99 Other measures for textile plants

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 100 000             | 0,—          |

*Remarks*

This appropriation is intended to cover any outstanding expenditure on aid for cotton which is not carded or combed in accordance with Regulation (EC) No 1051/2001 and any other expenditure for textile plants, including outstanding payments on processing aid for flax fibre and hemp fibre, under Regulations (EC) No 1234/2007 and (EU) No 1308/2013 not covered by the appropriations for the other items under Article 05 02 07.

It includes in particular expenditure on exceptional measures under Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 1050/2001 of 22 May 2001 adjusting, for the sixth time, the system of aid for cotton introduced by Protocol 4 annexed to the Act of Accession of Greece (OJ L 148, 1.6.2001, p. 1).

Council Regulation (EC) No 1051/2001 of 22 May 2001 on production aid for cotton (OJ L 148, 1.6.2001, p. 3).

**05 02 08** **Fruit and vegetables**

05 02 08 03 Operational funds for producer organisations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 472 000 000         | 455 000 000         | 862 483 263,71 |

*Remarks*

This appropriation is intended to cover the Union's part-financing of expenditure connected with operational funds for producer organisations, in accordance with Articles 32 to 38 and 152 to 160 of Regulation (EU) No 1308/2013.

05 02 08 11 Aid to producer groups for preliminary recognition

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 10 000 000          | 22 000 000          | 71 373 084,53 |

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This appropriation is intended to cover expenditure related to aid for producer groups granted preliminary recognition in accordance with Subsection I of Section IVa of Chapter IV of Title I of Part II of Regulation (EC) No 1234/2007.

05 02 08 12 School fruit scheme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 10 000 000          | 130 000 000         | 109 915 855,51 |

*Remarks*

This appropriation is intended to cover expenditure related to the Union contribution to the school fruit scheme in accordance with Articles 22 to 25 of Regulation (EU) No 1308/2013 and Article 5 of Regulation (EU) No 1370/2013 until school year 2016/2017, giving preference to products of local and/or national origin.

05 02 08 99 Other measures for fruit and vegetables

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 39 800 000          | 54 500 000          | 128 952 215,11 |

*Remarks*

This appropriation is intended to cover any other expenditure for fruit and vegetables under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any outstanding expenditure for measures under Regulations (EC) No 399/94, (EC) No 2200/96, (EC) No 2201/96, (EC) No 2202/96, (EC) No 1782/2003 and (EC) No 1234/2007, not covered by the appropriations for the other items under Article 05 02 08.

It includes in particular expenditure on exceptional measures under Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 09** *Products of the wine-growing sector*

05 02 09 08 National support programmes for the wine sector

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|---------------------|---------------------|------------------|
| 1 057 000 000       | 1 075 000 000       | 1 027 566 919,35 |

*Remarks*

This appropriation is intended to cover expenditure on support programmes for the wine sector in accordance with Articles 39 to 54 of Regulation (EU) No 1308/2013.

05 02 09 99 Other measures for the wine-growing sector

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 000 000           | 1 000 000           | - 435 981,13 |

*Remarks*

This appropriation is intended to cover any outstanding balances from application of Regulations (EEC) No 822/87, (EC) No 1493/1999, (EC) No 479/2008, (EC) No 1234/2007 and (EU) No 1308/2013, not covered by the appropriations for the other items under Article 05 02 09.

It includes in particular expenditure on exceptional measures carried out in accordance with Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EEC) No 822/87 of 16 March 1987 on the common organisation of the market in wine (OJ L 84, 27.3.1987, p. 1).

Council Regulation (EC) No 1493/1999 of 17 May 1999 on the common organisation of the market in wine (OJ L 179, 14.7.1999, p. 1).

Council Regulation (EC) No 479/2008 of 29 April 2008 on the common organisation of the market in wine (OJ L 148, 6.6.2008, p. 1).

**05 02 10** *Promotion**Legal basis*

Council Regulation (EC) No 3/2008 of 17 December 2007 on information provision and promotion measures for agricultural products on the internal market and in third countries (OJ L 3, 5.1.2008, p. 1).

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## 05 02 10 (cont'd)

Regulation (EU) No 1144/2014 of the European Parliament and of the Council of 22 October 2014 on information provision and promotion measures concerning agricultural products implemented in the internal market and in third countries and repealing Council Regulation (EC) No 3/2008 (OJ L 317, 4.11.2014, p. 56).

## 05 02 10 01 Promotion measures — Payments by Member States

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 83 000 000          | 83 000 000          | 62 587 884,01 |

## Remarks

This appropriation is intended to co-finance promotion programmes implemented by the Member States in respect of agricultural products, their method of production and food products in accordance with the provisions of Regulations (EC) No 3/2008 and (EU) No 1144/2014.

## 05 02 10 02 Promotion measures — Direct payments by the Union

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 88 600 000          | 27 396 000 | 52 500 000          | 12 037 000 | 18 480 225,— | 4 133 934,37 |

## Remarks

This appropriation is intended to finance promotion actions directly managed by the Commission as well as the technical assistance, such as preparatory work, monitoring, evaluation, supervision and management measures, required for the implementation of the promotion programmes in accordance with the provisions of Regulations (EC) No 3/2008 and (EU) No 1144/2014.

## 05 02 10 99 Other measures for promotion

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## Remarks

This appropriation is intended to finance other measures in accordance with Regulations (EC) No 3/2008 and (EU) No 1144/2014, not financed by the appropriations for the other items under Article 05 02 10.

CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)05 02 11 **Other plant products/measures**

05 02 11 03 Hops — Aid to producer organisations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 300 000           | 2 300 000           | 2 277 000,—  |

*Remarks*

This appropriation is intended to cover the aid to producer organisations in the hops sector in accordance with Articles 58, 59 and 60 of Regulation (EU) No 1308/2013.

05 02 11 04 Programmes of Options Specifically Relating to Remoteness and Insularity (POSEI) (excluding direct payments)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 231 000 000         | 237 000 000         | 239 632 322,93 |

*Remarks*

This appropriation is intended to cover expenditure incurred in accordance with Regulation (EU) No 228/2013 and Regulation (EU) No 229/2013 as well as any outstanding expenditure arising from the implementation of the POSEI and Aegean islands legislation under Regulations (EC) No 247/2006 and (EC) No 1405/2006.

*Legal basis*

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Regulation (EC) No 1405/2006 of 18 September 2006 laying down specific measures for agriculture in favour of the smaller Aegean islands (OJ L 265, 26.9.2006, p. 1).

Regulation (EU) No 228 /2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council Regulation (EC) No 247/2006 (OJ L 78, 20.3.2013, p. 23).

Regulation (EU) No 229/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in favour of the smaller Aegean islands and repealing Council Regulation (EC) No 1405/2006 (OJ L 78, 20.3.2013, p. 41).

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05 02 11 99 Other measures for other plant products/measures

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 100 000             | 98 440,65    |

## Remarks

This appropriation is intended to cover any other outstanding balances as well as other expenditure for other plant products/measures under Regulations (EEC) No 2075/92, (EC) 1786/2003, (EC) No 1234/2007 and (EU) No 1308/2013 not covered by the appropriations for the other items under Article 05 02 11.

It includes in particular expenditure on exceptional measures under Article 219, point (b) of Article 220(1) and Article 221 of Regulation (EU) No 1308/2013.

## Legal basis

Council Regulation (EEC) No 2075/92 of 30 June 1992 on the common organisation of the market in raw tobacco (OJ L 215, 30.7.1992, p. 70).

Council Regulation (EC) No 1786/2003 of 29 September 2003 on the common organisation of the market in dried fodder (OJ L 270, 21.10.2003, p. 114).

**05 02 12 Milk and milk products**

05 02 12 01 Refunds for milk and milk products

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,01         |

## Remarks

This appropriation is intended to cover export refunds for milk and milk products in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

05 02 12 02 Storage measures for skimmed-milk powder

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 12 000 000          | 19 000 000          | 9 125 953,25 |

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This appropriation is intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from public buying into storage of skimmed-milk powder in accordance with Articles 8, 9, 11 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

This appropriation is also intended to cover private storage aid for skimmed-milk powder in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

05 02 12 04 Storage measures for butter and cream

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 9 000 000           | 9 067 447,10 |

*Remarks*

This appropriation is intended to cover expenditure on private storage aid for butter and cream granted in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

It is also intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying into public storage of butter and cream in accordance with Articles 8, 9, 11 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Article 2 and 3 of Regulation (EU) No 1370/2013.

05 02 12 06 Private storage of certain cheeses

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on private storage aid for certain cheeses granted in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

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05 02 12 08 School milk

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 22 000 000          | 75 000 000          | 64 436 426,78 |

*Remarks*

This appropriation is intended to cover expenditure on Union aid granted for supplying to pupils in educational establishments certain milk products until the school year 2016/2017, in accordance with Articles 26 to 28 of Regulation (EU) No 1308/2013 and with Article 6 of Regulation (EU) No 1370/2013, giving preference to products of local and/or national origin.

05 02 12 09 Dairy products distribution as urgent response to humanitarian crises

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|-----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | 6 000 000 | p.m.                | p.m.     | 30 000 000,— | 24 000 000,— |

*Remarks*

As part of the emergency measures aiming to restore market balance in the Union dairy sector, this appropriation is intended to cover outstanding payments related to the distribution of dairy products of Union origin under Union humanitarian aid assistance to third countries in accordance with Regulation (EC) No 1257/96, in particular Articles 1 and 6 thereof.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

05 02 12 99 Other measures for milk and milk products

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 100 000             | 504 700 000         | 323 947 831,52 |

*Remarks*

This appropriation is intended to cover any outstanding expenditure for measures in the milk sector under Regulations (EC) No 2330/98, (EC) No 1234/2007, (EU) No 1233/2009, as well as other expenditure for the sector under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, not covered by the appropriations for the other items under Article 05 02 12.



**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (cont'd)**05 02 12** (cont'd)

05 02 12 99 (cont'd)

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 2330/98 of 22 October 1998 providing for an offer of compensation to certain producers of milk and milk products temporarily restricted in carrying out their trade (OJ L 291, 30.10.1998, p. 4).

**05 02 13** ***Beef and veal***

05 02 13 01 Refunds for beef and veal

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 560 013,80   |

*Remarks*

This appropriation is intended to cover export refunds for beef and veal in accordance with Articles 196 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

05 02 13 02 Storage measures for beef and veal

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover aid for the private storage of beef and veal in accordance with Articles 8 to 10 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

It is also intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying into public storage of beef and veal in accordance with Articles 8 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

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CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)05 02 13 (*cont'd*)

05 02 13 04 Refunds for live animals

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 4 765,60     |

*Remarks*

This appropriation is intended to cover export refunds for live animals in accordance with Articles 196 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

05 02 13 99 Other measures for beef and veal

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| p.m.                | p.m.                | 29 641 616,57 |

*Remarks*

This appropriation is intended to cover any other expenditure for measures in the beef and veal sector under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances from the application of Regulations (EC) No 1254/1999 and (EC) 1234/2007, not covered by the appropriations for the other items under Article 05 02 13.

It is also intended to cover any outstanding payments resulting from the application of Commission Regulation (EC) No 716/96 of 19 April 1996 adopting exceptional support measures for the beef market in the United Kingdom (OJ L 99, 20.4.1996, p. 14).

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 1254/1999 of 17 May 1999 on the common organisation of the market in beef and veal (OJ L 263, 18.10.2000, p. 34).

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 14** *Sheepmeat and goatmeat*

05 02 14 01 Private storage of sheepmeat and goatmeat

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on the private storage of sheepmeat and goatmeat in accordance with Articles 8 to 10 and 17 to 21 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

05 02 14 99 Other measures for sheepmeat and goatmeat

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 1 836 787,35 |

*Remarks*

This appropriation is intended to cover any other expenditure for measures in the sheepmeat and goatmeat sector under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances from the application of Regulations (EC) No 2529/2001 and (EC) No 1234/2007, not covered by the appropriations for the other items under Article 05 02 14.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

**05 02 15** *Pigmeat, eggs and poultry, bee-keeping and other animal products*

05 02 15 01 Refunds for pigmeat

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover export refunds for pigmeat in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

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## CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (cont'd)

05 02 15 (cont'd)

05 02 15 02 Private storage of pigmeat

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| p.m.                | p.m.                | 30 816 729,22 |

Remarks

This appropriation is intended to cover costs related to the private storage of pigmeat in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

05 02 15 04 Refunds for eggs

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

Remarks

This appropriation is intended to cover expenditure on export refunds for eggs in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

05 02 15 05 Refunds for poultrymeat

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 30 454,55    |

Remarks

This appropriation is intended to cover expenditure on export refunds for poultrymeat in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

05 02 15 06 Specific aid for bee-keeping

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 35 000 000          | 34 000 000          | 34 533 998,75 |

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (cont'd)**05 02 15** (cont'd)

05 02 15 06 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure under national programmes for the bee-keeping sector, in accordance with Articles 55 to 57 of Regulation (EU) No 1308/2013.

05 02 15 99 Other measures for pigmeat, poultry, eggs, bee-keeping, other animal products

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 60 000 000          | p.m.                | 75 220 629,70 |

*Remarks*

This appropriation is intended to cover any other expenditure for measures in the pigmeat, poultry, eggs, bee-keeping and other animal products sectors under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances from the application of Regulation (EC) No 1234/2007 not covered by the appropriations for the other items under Article 05 02 15.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

**05 02 18** **School schemes***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 188 000 000         | 200 000             |              |

*Remarks*

This appropriation is intended to cover expenditure relating to the aid scheme for the supply of fruit and vegetables, bananas and milk in the educational establishments in accordance with Articles 22 to 25 of Regulation (EU) No 1308/2013 and with Articles 5 and 6 of Regulation (EU) No 1370/2013, giving preference to products of local and/or national origin.

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                | FF | Appropriations 2018 | Appropriations 2017 | Outturn 2016      | % 2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|---------------------|-------------------|-------------|
| 05 03                               | DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES |    |                     |                     |                   |             |
| <b>05 03 01</b>                     | <b>Decoupled direct payments</b>                                                                                                       |    |                     |                     |                   |             |
| 05 03 01 01                         | Single payment scheme (SPS)                                                                                                            | 2  | 19 000 000          | 45 000 000          | 43 415 839,61     | 228,50      |
| 05 03 01 02                         | Single area payment scheme (SAPS)                                                                                                      | 2  | 4 162 000 000       | 4 101 000 000       | 4 032 384 138,30  | 96,89       |
| 05 03 01 07                         | Redistributive payment                                                                                                                 | 2  | 1 666 000 000       | 1 609 000 000       | 1 237 072 840,62  | 74,25       |
| 05 03 01 10                         | Basic payment scheme (BPS)                                                                                                             | 2  | 16 326 100 000      | 15 296 000 000      | 17 857 575 117,98 | 109,38      |
| 05 03 01 11                         | Payment for agricultural practices beneficial for the climate and the environment                                                      | 2  | 11 739 000 000      | 11 696 000 000      | 11 716 398 919,17 | 99,81       |
| 05 03 01 12                         | Payment for farmers in areas with natural constraints                                                                                  | 2  | 5 000 000           | 3 000 000           | 2 794 447,32      | 55,89       |
| 05 03 01 13                         | Payment for young farmers                                                                                                              | 2  | 391 000 000         | 441 000 000         | 317 040 646,08    | 81,08       |
| 05 03 01 99                         | Other (decoupled direct payments)                                                                                                      | 2  | 1 000 000           | 800 000             | - 2 590 666,37    | - 259,07    |
|                                     | <i>Article 05 03 01 — Subtotal</i>                                                                                                     |    | 34 309 100 000      | 33 191 800 000      | 35 204 091 282,71 | 102,61      |
| <b>05 03 02</b>                     | <b>Other direct payments</b>                                                                                                           |    |                     |                     |                   |             |
| 05 03 02 40                         | Crop-specific payment for cotton                                                                                                       | 2  | 242 000 000         | 246 000 000         | 243 860 903,65    | 100,77      |
| 05 03 02 44                         | Specific support (Article 68 of Regulation (EC) No 73/2009) — Coupled direct payments                                                  | 2  | 2 000 000           | 6 000 000           | 5 439 735,57      | 271,99      |
| 05 03 02 50                         | POSEI — European Union support programmes                                                                                              | 2  | 420 000 000         | 411 000 000         | 410 729 109,57    | 97,79       |
| 05 03 02 52                         | POSEI — Smaller Aegean islands                                                                                                         | 2  | 17 000 000          | 17 000 000          | 16 059 011,72     | 94,46       |
| 05 03 02 60                         | Voluntary coupled support scheme                                                                                                       | 2  | 3 993 000 000       | 3 988 000 000       | 3 800 556 914,57  | 95,18       |
| 05 03 02 61                         | Small farmers scheme                                                                                                                   | 2  | 1 224 000 000       | 1 347 000 000       | 907 708 038,16    | 74,16       |
| 05 03 02 99                         | Other (direct payments)                                                                                                                | 2  | 2 000 000           | 4 300 000           | 324 149,31        | 16,21       |
|                                     | <i>Article 05 03 02 — Subtotal</i>                                                                                                     |    | 5 900 000 000       | 6 019 300 000       | 5 384 677 862,55  | 91,27       |

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                 | FF | Appropriations 2018   | Appropriations 2017   | Outturn 2016             | % 2016/2018   |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----|-----------------------|-----------------------|--------------------------|---------------|
| 05 03 03                            | <i>Additional amounts of aid</i>                                                                                        | 2  | 100 000               | 100 000               | 5 539,14                 | 5,54          |
| 05 03 09                            | <i>Reimbursement of direct payments to farmers from appropriations carried-over in relation to financial discipline</i> | 2  | p.m.                  | p.m.                  | 395 356 762,64           |               |
| 05 03 10                            | <i>Reserve for crises in the agricultural sector</i>                                                                    | 2  | 459 500 000           | 450 500 000           | 0,—                      | 0             |
| <b>Chapter 05 03 — Total</b>        |                                                                                                                         |    | <b>40 668 700 000</b> | <b>39 661 700 000</b> | <b>40 984 131 447,04</b> | <b>100,78</b> |

*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Article 21 and Article 174 of the Financial Regulation.

When establishing the budgetary appropriations for this chapter, an amount of EUR 1 075 900 000 originating from Items 6 7 0 1, 6 7 0 2 and 6 7 0 3 of the general statement of revenue was taken into account for Article 05 03 01, and in particular for Item 05 03 01 10.

The following legal basis applies to all Articles and Items of this chapter unless otherwise stated.

*Legal basis*

Council Regulation (EC) No 1782/2003 of 29 September 2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers and amending Regulations (EEC) No 2019/93, (EC) No 1452/2001, (EC) No 1453/2001, (EC) No 1454/2001, (EC) 1868/94, (EC) No 1251/1999, (EC) No 1254/1999, (EC) No 1673/2000, (EEC) No 2358/71 and (EC) No 2529/2001 (OJ L 270, 21.10.2003, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers, amending Regulations (EC) No 1290/2005, (EC) No 247/2006, (EC) No 378/2007 and repealing Regulation (EC) No 1782/2003 (OJ L 30, 31.1.2009, p. 16).

Regulation (EU) No 671/2012 of the European Parliament and of the Council of 11 July 2012 amending Council Regulation (EC) No 73/2009 as regards the application of direct payments to farmers in respect of the year 2013 (OJ L 204, 31.7.2012, p. 11).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

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Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

**05 03 01**     ***Decoupled direct payments***

05 03 01 01     Single payment scheme (SPS)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 19 000 000          | 45 000 000          | 43 415 839,61 |

*Remarks*

This appropriation is intended to cover outstanding expenditure under the single payment scheme in accordance with Title III of Regulation (EC) No 73/2009 and Title III of Regulation (EC) No 1782/2003.

05 03 01 02     Single area payment scheme (SAPS)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|---------------------|---------------------|------------------|
| 4 162 000 000       | 4 101 000 000       | 4 032 384 138,30 |

*Remarks*

This appropriation is intended to cover expenditure under the single area payment scheme in accordance with Section 4 of Chapter 1 of Title III of Regulation (EU) No 1307/2013 as well as any outstanding expenditure under the single area payment scheme in accordance with Title V of Regulation (EC) No 73/2009, Title IVa of Regulation (EC) No 1782/2003 and the Acts of Accession of 2003 and 2005.

*Legal basis*

Act concerning the conditions of accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic and the adjustments to the Treaties on which the European Union is founded (OJ L 236, 23.9.2003, p. 33), and in particular Annex II thereto 'List referred to in Article 20 of the Act of Accession' point 6A(26), as adapted by Council Decision 2004/281/EC (OJ L 93, 30.3.2004, p. 1).

Act concerning the conditions of accession of the Republic of Bulgaria and Romania and the adjustments to the Treaties on which the European Union is founded, and in particular Annex III thereto 'List referred to in Article 19 of the Act of Accession' (OJ L 157, 21.6.2005, p. 203).



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**05 03 01** (cont'd)

05 03 01 07 Redistributive payment

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|---------------------|---------------------|------------------|
| 1 666 000 000       | 1 609 000 000       | 1 237 072 840,62 |

Remarks

This appropriation is intended to cover expenditure under the redistributive payment provided for in Chapter 2 of Title III of Regulation (EU) No 1307/2013 as well as any outstanding expenditure under the redistributive payment provided for in Articles 72a and 125a of Regulation (EC) No 73/2009 in calendar year 2014.

05 03 01 10 Basic payment scheme (BPS)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016      |
|---------------------|---------------------|-------------------|
| 16 326 100 000      | 15 296 000 000      | 17 857 575 117,98 |

Remarks

This appropriation is intended to cover expenditure under the basic payment scheme in accordance with Chapter 1 of Title III of Regulation (EU) No 1307/2013.

05 03 01 11 Payment for agricultural practices beneficial for the climate and the environment

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016      |
|---------------------|---------------------|-------------------|
| 11 739 000 000      | 11 696 000 000      | 11 716 398 919,17 |

Remarks

This appropriation is intended to cover expenditure under the payment for agricultural practices beneficial for the climate and the environment in accordance with Chapter 3 of Title III of Regulation (EU) No 1307/2013.

05 03 01 12 Payment for farmers in areas with natural constraints

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 000 000           | 3 000 000           | 2 794 447,32 |

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## CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES (cont'd)

05 03 01 (cont'd)

05 03 01 12 (cont'd)

## Remarks

This appropriation is intended to cover expenditure under the payment for areas with natural constraints in accordance with Chapter 4 of Title III of Regulation (EU) No 1307/2013.

05 03 01 13 Payment for young farmers

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 391 000 000         | 441 000 000         | 317 040 646,08 |

## Remarks

This appropriation is intended to cover expenditure under the payment for young farmers in accordance with Chapter 5 of Title III of Regulation (EU) No 1307/2013.

05 03 01 99 Other (decoupled direct payments)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 1 000 000           | 800 000             | - 2 590 666,37 |

## Remarks

Former Items 05 03 01 03, 05 03 01 04, 05 03 01 05, 05 03 01 06 and 05 03 01 99

This appropriation is intended to cover expenditure for other decoupled direct payments not covered by the appropriations for the other items under Article 05 03 01 and to cover corrections arising from non-compliance with the ceilings as laid down by Articles 8 and 40 of Regulation (EC) No 73/2009 as well as by Articles 6 and 7 of Regulation (EU) No 1307/2013 which are not attributable to a specific budget line under Article 05 03 01.

05 03 02 **Other direct payments**

05 03 02 40 Crop-specific payment for cotton

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 242 000 000         | 246 000 000         | 243 860 903,65 |

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (cont'd)

**05 03 02** (cont'd)

05 03 02 40 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure for the crop-specific payment for cotton provided for in Chapter 2 of Title IV of Regulation (EU) No 1307/2013 as well as any outstanding expenditure for the crop-specific payment for cotton in accordance with Section 6 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 10a of Title IV of Regulation (EC) No 1782/2003.

05 03 02 44 Specific support (Article 68 of Regulation (EC) No 73/2009) — Coupled direct payments

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 000 000           | 6 000 000           | 5 439 735,57 |

*Remarks*

This appropriation is intended to cover any outstanding expenditure for the coupled specific support provided for in Article 68 of Regulation (EC) No 73/2009, in particular those referred to in point (a)(i), (ii), (iii) and (iv) and points (b) and (e) of paragraph 1 thereof.

05 03 02 50 POSEI — European Union support programmes

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 420 000 000         | 411 000 000         | 410 729 109,57 |

*Remarks*

This appropriation is intended to cover direct payments related to programmes containing specific measures to assist local agricultural production in the outermost regions of the Union in accordance with Regulation (EU) No 228/2013 and any outstanding expenditure arising from the application of Title III of Regulation (EC) No 247/2006.

*Legal basis*

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Regulation (EU) No 228/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council Regulation (EC) No 247/2006 (OJ L 78, 20.3.2013, p. 23).

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## CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES (cont'd)

05 03 02 (cont'd)

05 03 02 52 POSEI — Smaller Aegean islands

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 17 000 000          | 17 000 000          | 16 059 011,72 |

## Remarks

This appropriation is intended to cover other expenditure for direct aid arising from the implementation of Regulation (EU) No 229/2013 and any outstanding expenditure arising from the application of Regulations (EEC) No 2019/93 and (EC) No 1405/2006.

## Legal basis

Council Regulation (EEC) No 2019/93 of 19 July 1993 introducing specific measures for the smaller Aegean islands concerning certain agricultural products (OJ L 184, 27.7.1993, p. 1).

Council Regulation (EC) No 1405/2006 of 18 September 2006 laying down specific measures for agriculture in favour of the smaller Aegean islands and amending Regulation (EC) No 1782/2003 (OJ L 265, 26.9.2006, p. 1).

Regulation (EU) No 229/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in favour of the smaller Aegean islands and repealing Council Regulation (EC) No 1405/2006 (OJ L 78, 20.3.2013, p. 41).

05 03 02 60 Voluntary coupled support scheme

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|---------------------|---------------------|------------------|
| 3 993 000 000       | 3 988 000 000       | 3 800 556 914,57 |

## Remarks

This appropriation is intended to cover expenditure for the voluntary coupled support provided for in Chapter 1 of Title IV of Regulation (EU) No 1307/2013.

05 03 02 61 Small farmers scheme

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 1 224 000 000       | 1 347 000 000       | 907 708 038,16 |

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (cont'd)**05 03 02** (cont'd)

05 03 02 61 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure under the small farmers scheme in accordance with Title V of Regulation (EU) No 1307/2013.

05 03 02 99 Other (direct payments)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 000 000           | 4 300 000           | 324 149,31   |

*Remarks*

*Former Items 05 03 02 06, 05 03 02 07, 05 03 02 13, 05 03 02 14, 05 03 02 28 and 05 03 02 99*

This appropriation is intended to cover expenditure for other direct payments not covered by the appropriations of the other items under Article 05 03 02 and to cover corrections which are not attributable to a specific budget line. It is also intended to cover corrections arising from non-compliance with the ceilings as laid down by Articles 8 and 40 of Regulation (EC) No 73/2009 which are not attributable to a specific budget line under Article 05 03 02. It may in particular finance outstanding expenditure linked to:

- the supplement to the area payment in accordance with Chapter 8 of Title IV of Regulation (EC) No 1782/2003,
- the per hectare aid to maintain crops of chickpeas, lentils and vetches in accordance with Chapter 13 of Title IV of Regulation (EC) No 1782/2003,
- the transitional regime for dried fodder in accordance with Regulation No 1782/2003,
- the supplement for durum wheat in non-traditional production zones in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003,
- the beef deseasonalisation premium in accordance with Chapter 12 of Title IV of Regulation (EC) No 1782/2003,
- the beef extensification premium in accordance with Chapter 12 of Title IV of Regulation (EC) No 1782/2003,
- the additional payments to beef producers in accordance with Article 133 of Regulation (EC) No 1782/2003,
- the additional payments in the sheep and goat sector in accordance with Chapter 11 of Title IV of Regulation (EC) No 1782/2003,
- the dairy premium to milk producers in accordance with Chapter 7 of Title IV of Regulation (EC) No 1782/2003,

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 02** (*cont'd*)05 03 02 99 (*cont'd*)

- the additional premiums to milk producers in accordance with Chapter 7 of Title IV of Regulation (EC) No 1782/2003,
- the compensatory aid for bananas in accordance with Article 12 of Regulation (EEC) No 404/93,
- the transitional aid for sugar beet growers in accordance with Chapter 10e of Title IV of Regulation (EC) No 1782/2003,
- the establishment of the agrimonetary arrangements for the euro in accordance with Regulation (EC) No 2799/98,
- the area aid for dried grapes in accordance with Article 7 of Regulation (EC) No 2201/96,
- the area aid to producers of energy crops in accordance with Chapter 5 of Title IV of Regulation (EC) No 1782/2003,
- the area aid for cereals, oilseeds, protein crops, grass silage and set aside in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003,
- the supplementary aid to the per hectare payments granted for durum wheat producers in traditional production zones in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003,
- the production aid for seeds in accordance with Section 5 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the beef special premiums in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the premium for the slaughter of bovine calves in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the premium for the slaughter of adult bovine animals in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the production aid paid to producers of potatoes intended for the manufacture of potato starch in accordance with Section 2 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the area aid for rice granted in accordance with Section 1 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the aid for olive groves in accordance with Chapter 10b of Title IV of Regulation (EC) No 1782/2003,
- the aid granted to farmers producing raw tobacco in accordance with Chapter 10c of Title IV of Regulation (EC) No 1782/2003,
- the hops area aid granted to producers in accordance with Chapter 10d of Title IV of Regulation (EC) No 1782/2003,

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 02** (*cont'd*)05 03 02 99 (*cont'd*)

- the specific quality premium for durum wheat in accordance with Chapter 1 of Title IV of Regulation (EC) No 1782/2003,
- the aid to producers of protein crops in accordance with Section 3 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the area aid to producers of nuts in accordance with Section 4 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the transitional payments to farmers producing tomatoes, in accordance with Articles 54(1) and 128(1) of Regulation (EC) No 73/2009 and the aid related to the transitional soft fruit payment in accordance with Section 9 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the direct aids granted in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003 and the direct aids granted in these regions before 2006,
- the payments for specific types of farming and quality production granted in accordance with Article 72(3) of Regulation (EC) No 73/2009,
- the additional amount for sugar beet and cane producers in accordance with Section 7 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the transitional payments to farmers producing one or more of the fruit and vegetables, other than tomatoes, in accordance with Article 54(2) and Article 128(2) of Regulation (EC) No 73/2009,
- the suckler-cow premium in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 12 of Title IV of Regulation (EC) No 1782/2003,
- the additional national suckler-cow premium in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 12 of Title IV of Regulation (EC) No 1782/2003,
- the sheep and goat premiums in accordance with Section 10 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 11 of Title IV of Regulation (EC) No 1782/2003,
- the specific aid per ewe or she-goat for producers of sheepmeat or goatmeat in less favoured or mountain areas in accordance with Section 10 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 11 of Title IV of Regulation (EC) No 1782/2003,
- the aid for silkworms granted in accordance with Article 111 of Regulation (EC) No 1234/2007.

*Legal basis*

Council Regulation (EEC) No 404/93 of 13 February 1993 on the common organisation of the market in bananas (OJ L 47, 25.2.1993, p. 1).

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 02** (*cont'd*)05 03 02 99 (*cont'd*)

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the markets in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 2799/98 of 15 December 1998 establishing agrimonetary arrangements for the euro (OJ L 349, 24.12.1998, p. 1).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

**05 03 03** **Additional amounts of aid***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 100 000             | 5 539,14     |

*Remarks*

This appropriation is intended to cover the outstanding payments in accordance with Article 12 of Regulation (EC) No 1782/2003.

**05 03 09** **Reimbursement of direct payments to farmers from appropriations carried-over in relation to financial discipline***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| p.m.                | p.m.                | 395 356 762,64 |

*Remarks*

This article does not include any fresh appropriations but is intended to accommodate the amounts that may be carried over in accordance with Article 169(3) of the Financial Regulation for reimbursement of the reduction of direct payments due to the application of financial discipline in the previous year. In accordance with Article 26(5) of Regulation (EU) No 1306/2013, Member States shall reimburse the final recipients who are subject, in the financial year to which the appropriations are carried over, to the application of financial discipline in accordance with Article 26(1) to (4) thereof.



**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (cont'd)**05 03 10 Reserve for crises in the agricultural sector***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 459 500 000         | 450 500 000         | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure for measures needed to cope with major crises affecting agricultural production or distribution.

The reserve is to be established by applying at the beginning of each year a reduction to direct payments (Chapter 05 03) with the financial discipline mechanisms in accordance with Articles 25 and 26 of Regulation (EU) No 1306/2013 as well as Article 8 of Regulation (EU) No 1307/2013. When the Commission considers the reserve needs to be called on, in accordance with the relevant legislative act, it shall present to the European Parliament and the Council a proposal for a transfer from the reserve to the corresponding budget lines financing the measure deemed necessary. Any Commission proposal for a transfer to draw on the reserve must be preceded by an examination of the scope for reallocating appropriations. By the end of the financial year, any amount of the reserve not made available for crisis measures shall be reimbursed to the final recipients of direct payments according to the provisions of Article 26 (5) of Regulation (EU) No 1306/2013. Any reimbursement will be done under Article 05 03 09 from appropriations carried over from the previous financial year.

Transfers calling on the reserve and transfer back from the reserve to direct payments shall be made in accordance with the Financial Regulation.

*Reference acts*

Interinstitutional agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (O) C 373, 20.12.2013, p. 1).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 04 — RURAL DEVELOPMENT

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                        | FF | Appropriations 2018 |          | Appropriations 2017 |             | Outturn 2016   |                  | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|-------------|----------------|------------------|-----------------------------|
|                                     |                                                                                                                                |    | Commitments         | Payments | Commitments         | Payments    | Commitments    | Payments         |                             |
| 05 04                               | RURAL DEVELOPMENT                                                                                                              |    |                     |          |                     |             |                |                  |                             |
| <b>05 04 01</b>                     | <b>Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006</b>               |    |                     |          |                     |             |                |                  |                             |
| 05 04 01 14                         | Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006                      | 2  | p.m.                | p.m.     | p.m.                | p.m.        | - 1 048 601,05 | - 1 048 601,05   |                             |
|                                     | <i>Article 05 04 01 — Subtotal</i>                                                                                             |    | p.m.                | p.m.     | p.m.                | p.m.        | - 1 048 601,05 | - 1 048 601,05   |                             |
| <b>05 04 03</b>                     | <b>Completion of other measures</b>                                                                                            |    |                     |          |                     |             |                |                  |                             |
| 05 04 03 02                         | Plant and animal genetic resources — Completion of earlier measures                                                            | 2  | p.m.                | p.m.     | p.m.                | p.m.        | 0,—            | 0,—              |                             |
|                                     | <i>Article 05 04 03 — Subtotal</i>                                                                                             |    | p.m.                | p.m.     | p.m.                | p.m.        | 0,—            | 0,—              |                             |
| <b>05 04 05</b>                     | <b>Completion of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)</b> |    |                     |          |                     |             |                |                  |                             |
| 05 04 05 01                         | Rural development programmes                                                                                                   | 2  | p.m.                | p.m.     | p.m.                | 500 000 000 | 1 218 266,83   | 4 495 770 024,93 |                             |
| 05 04 05 02                         | Operational technical assistance                                                                                               | 2  | p.m.                | p.m.     | p.m.                | p.m.        | 0,—            | 0,—              |                             |
|                                     | <i>Article 05 04 05 — Subtotal</i>                                                                                             |    | p.m.                | p.m.     | p.m.                | 500 000 000 | 1 218 266,83   | 4 495 770 024,93 |                             |
| <b>05 04 51</b>                     | <b>Completion of rural development financed by the EAGGF Guidance Section — Programming period prior to 2000</b>               | 2  | p.m.                | p.m.     | p.m.                | p.m.        | 0,—            | 0,—              |                             |

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 04 — RURAL DEVELOPMENT (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                          | FF | Appropriations 2018   |                       | Appropriations 2017   |                       | Outturn 2016             |                          | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----------------------|-----------------------|-----------------------|-----------------------|--------------------------|--------------------------|-----------------------------|
|                                     |                                                                                                                                                                                                                                  |    | Commitments           | Payments              | Commitments           | Payments              | Commitments              | Payments                 |                             |
| 05 04 52                            | Completion of rural development financed by the EAGGF Guidance section and the transitional instrument for rural development for the new Member States financed by the EAGGF Guarantee Section — Programming period 2000 to 2006 | 2  | p.m.                  | p.m.                  | p.m.                  | p.m.                  | 7 437 217,61             | 47 847 565,26            |                             |
| 05 04 60                            | European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)                                                                                                                                                          |    |                       |                       |                       |                       |                          |                          |                             |
| 05 04 60 01                         | Promoting sustainable rural development, a more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector                                                                            | 2  | 14 346 899 509        | 11 822 000 000        | 14 337 026 697        | 9 902 000 000         | 18 649 599 495,—         | 7 809 874 919,55         | 66,06                       |
| 05 04 60 02                         | Operational technical assistance                                                                                                                                                                                                 | 2  | 20 770 000            | 21 037 093            | 17 022 000            | 19 022 443            | 21 992 630,12            | 12 813 822,07            | 60,91                       |
| 05 04 60 03                         | Operational technical assistance managed by the Commission at the request of a Member State                                                                                                                                      | 2  | p.m.                  | p.m.                  | p.m.                  | p.m.                  | 0,—                      | 0,—                      |                             |
| 05 04 60 04                         | European Solidarity Corps – Contribution from the European Agricultural Fund for Rural Development (EAFRD)                                                                                                                       | 2  | p.m.                  | p.m.                  |                       |                       |                          |                          |                             |
|                                     | Reserves (40 02 41)                                                                                                                                                                                                              |    | 1 800 000             | 1 350 000             |                       |                       |                          |                          |                             |
|                                     |                                                                                                                                                                                                                                  |    | 1 800 000             | 1 350 000             |                       |                       |                          |                          |                             |
|                                     | Article 05 04 60 — Subtotal                                                                                                                                                                                                      |    | 14 367 669 509        | 11 843 037 093        | 14 354 048 697        | 9 921 022 443         | 18 671 592 125,12        | 7 822 688 741,62         | 66,05                       |
|                                     | Reserves (40 02 41)                                                                                                                                                                                                              |    | 1 800 000             | 1 350 000             |                       |                       |                          |                          |                             |
|                                     |                                                                                                                                                                                                                                  |    | 14 369 469 509        | 11 844 387 093        | 14 354 048 697        | 9 921 022 443         | 18 671 592 125,12        | 7 822 688 741,62         |                             |
|                                     | <b>Chapter 05 04 — Total</b>                                                                                                                                                                                                     |    | <b>14 367 669 509</b> | <b>11 843 037 093</b> | <b>14 354 048 697</b> | <b>10 421 022 443</b> | <b>18 679 199 008,51</b> | <b>12 365 257 730,76</b> | <b>104,41</b>               |
|                                     | Reserves (40 02 41)                                                                                                                                                                                                              |    | 1 800 000             | 1 350 000             |                       |                       |                          |                          |                             |
|                                     |                                                                                                                                                                                                                                  |    | 14 369 469 509        | 11 844 387 093        | 14 354 048 697        | 10 421 022 443        | 18 679 199 008,51        | 12 365 257 730,76        |                             |

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)**05 04 01** **Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006**

05 04 01 14 Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 |  | Appropriations 2017 |  | Outturn 2016   |  |
|---------------------|--|---------------------|--|----------------|--|
| p.m.                |  | p.m.                |  | – 1 048 601,05 |  |

*Remarks*

This appropriation is intended to cover sums recovered by the Member States that cannot be considered to constitute an irregularity or negligence under Article 32 of Regulation (EC) No 1290/2005. These sums will be entered as corrections regarding expenditure previously financed from Items 05 04 01 01 to 05 04 01 13 and cannot be reused by the Member States.

It is also intended to cover the payment of outstanding amounts declared by Member States subsequent to the application of Article 39(3) of Regulation (EC) No 1290/2005.

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this article in accordance with Articles 21 and 174 of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

**05 04 03** **Completion of other measures**

05 04 03 02 Plant and animal genetic resources — Completion of earlier measures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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This appropriation is intended to cover the settlement of commitments entered in respect of the Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture.

This appropriation is to be used as a matter of priority for the sustainable use and further development of biodiversity through the interaction of farmers, established non-governmental organisations in the area and public and private sector institutes. Consumer awareness in this field should also be fostered.

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 870/2004 of 26 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture and repealing Regulation (EC) No 1467/94 (OJ L 162, 30.4.2004, p. 18).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**05 04 05** ***Completion of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)***

*Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this article in accordance with Articles 21 and 177 of the Financial Regulation.

The following legal basis applies to all items of this article unless otherwise stated.

*Legal basis*

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 05 (*cont'd*)

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers, and amending Regulation (EC) No 1290/2005 (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers, amending Regulations (EC) No 1290/2005, (EC) No 247/2006, (EC) No 378/2007 and repealing Regulation (EC) No 1782/2003 (OJ L 30, 31.1.2009, p. 16).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

*Reference acts*

Commission Implementing Regulation (EU) No 335/2013 of 12 April 2013 amending Regulation (EC) No 1974/2006 laying down detailed rules for the application of Council Regulation (EC) No 1698/2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 105, 13.4.2013, p. 1).

## 05 04 05 01 Rural development programmes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |             | Outturn 2016 |                  |
|---------------------|----------|---------------------|-------------|--------------|------------------|
| Commitments         | Payments | Commitments         | Payments    | Commitments  | Payments         |
| p.m.                | p.m.     | p.m.                | 500 000 000 | 1 218 266,83 | 4 495 770 024,93 |

*Remarks*

This appropriation is intended to pay commitments of the 2007 to 2013 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

## 05 04 05 02 Operational technical assistance

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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**CHAPTER 05 04 — RURAL DEVELOPMENT** (*cont'd*)

**05 04 05** (*cont'd*)

05 04 05 02 (*cont'd*)

*Remarks*

This appropriation is intended to pay commitments for technical assistance measures as provided for by Article 66(1) of Regulation (EC) No 1698/2005, and in particular the European Network for Rural Development.

**05 04 51** **Completion of rural development financed by the EAGGF Guidance Section — Programming period prior to 2000**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover outstanding payments against commitments under the EAGGF Guidance Section for programming periods prior to 2000 related to the former objectives 1, 6, 5a, 5b and the Community initiatives.

This appropriation is also intended to cover the settlement of commitments entered into, in the course of earlier programming periods, in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the regulations concerned.

It also funds amounts outstanding from former multiannual measures, in particular those approved and implemented under the other regulations, mentioned below, which are not covered by the priority objectives of the Funds.

This appropriation will where applicable also be used to cover amounts payable in respect of the EAGGF Guidance Section for measures for which the corresponding commitment appropriations are neither available nor provided for in the programming for 2000 to 2006.

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6500 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Articles 21 and 177 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

*Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 51 (*cont'd*)

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

*Reference acts*

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (OJ C 186, 20.7.1995, p. 3) (PEACE I).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (INTERREG II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (COM(1997) 642 final).

## 05 04 52

**Completion of rural development financed by the EAGGF Guidance section and the transitional instrument for rural development for the new Member States financed by the EAGGF Guarantee Section — Programming period 2000 to 2006**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |               |
|---------------------|----------|---------------------|----------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 7 437 217,61 | 47 847 565,26 |



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This appropriation is intended to cover outstanding payments against commitments under the 2000 to 2006 programming period related to the EAGGF Guidance Section Objective 1, the Leader+ Community initiative and the special programme for peace and reconciliation.

It is also intended to cover the funding by the EAGGF Guidance Section of commitments remaining to be settled from the programming period 2000 to 2006 for technical assistance measures as provided for by Article 23 of Regulation (EC) No 1260/1999.

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6500 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Articles 21 and 177 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

*Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Act concerning the conditions of accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic and the adjustments to the Treaties on which the European Union is founded (OJ L 236, 23.9.2003, p. 33) and in particular Annex II thereto: 'List referred to in Article 20 of the Act of Accession', point 6A(26), as adapted by Council Decision 2004/281/EC (OJ L 93, 30.3.2004, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

*Reference acts*

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Commission notice to the Member States of 14 April 2000 laying down guidelines for the Community initiative for rural development (Leader+) (OJ C 139, 18.5.2000, p. 5).

05 04 60 **European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)***Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue in relation to programmes 2014-2020 may give rise to the provision of additional appropriations on any line in this article in accordance with Articles 21 and 177 of the Financial Regulation.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 60 (*cont'd*)

The following legal basis applies to all items of this article unless otherwise stated.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 May 2017, laying down the legal framework of the European Solidarity Corps and amending Regulations (EU) No 1288/2013, (EU) No 1293/2013, (EU) No 1303/2013, (EU) No 1305/2013, (EU) No 1306/2013 and Decision No 1313/2013/EU (COM(2017) 262 final).

05 04 60 01 Promoting sustainable rural development, a more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector

*Figures (Differentiated appropriations)*

| Appropriations 2018 |                | Appropriations 2017 |               | Outturn 2016     |                  |
|---------------------|----------------|---------------------|---------------|------------------|------------------|
| Commitments         | Payments       | Commitments         | Payments      | Commitments      | Payments         |
| 14 346 899 509      | 11 822 000 000 | 14 337 026 697      | 9 902 000 000 | 18 649 599 495,— | 7 809 874 919,55 |

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**CHAPTER 05 04 — RURAL DEVELOPMENT** (*cont'd*)

**05 04 60** (*cont'd*)

05 04 60 01 (*cont'd*)

*Remarks*

This appropriation is intended to cover the financing of the 2014 to 2020 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

Rural development measures will be measured against more refined performance indicators for farming systems and production methods so as to respond to the challenges related to climate change, water protection, biodiversity and renewable energies.

05 04 60 02 Operational technical assistance

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 20 770 000          | 21 037 093 | 17 022 000          | 19 022 443 | 21 992 630,12 | 12 813 822,07 |

*Remarks*

This appropriation is intended to cover technical assistance measures at the initiative of the Commission as provided for by Articles 51 to 54 of Regulation (EU) No 1305/2013, Article 6 of Regulation (EU) No 1306/2013 and Article 58 of Regulation (EU) No 1303/2013. This includes the European Network for Rural Development and the European Innovation Partnership Network.

05 04 60 03 Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 04 — RURAL DEVELOPMENT (cont'd)

## 05 04 60 (cont'd)

05 04 60 04 European Solidarity Corps – Contribution from the European Agricultural Fund for Rural Development (EAFRD)

*Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|-----------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 05 04 60 04         | p.m.                | p.m.      |                     |          |              |          |
| Reserves (40 02 41) | 1 800 000           | 1 350 000 |                     |          |              |          |
| Total               | 1 800 000           | 1 350 000 |                     |          |              |          |

Remarks

New item

This appropriation is intended to cover the financial contribution provided by the EAFRD to the European Solidarity Corps in line with its general and specific objectives.

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**CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                    | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |                | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|--------------|----------------|-------------------------|
|                                     |                                                                                                                                            |    | Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments       |                         |
| 05 05                               | INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT                                                                |    |                     |            |                     |            |              |                |                         |
| <b>05 05 01</b>                     | <b>Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures (prior to 2014)</b>         |    |                     |            |                     |            |              |                |                         |
| 05 05 01 01                         | The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)                                                           | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—            |                         |
| 05 05 01 02                         | The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight candidate countries                      | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—            |                         |
|                                     | <i>Article 05 05 01 — Subtotal</i>                                                                                                         |    | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—            |                         |
| <b>05 05 02</b>                     | <b>Instrument for Pre-Accession Assistance for Rural Development (IPARD) — Completion of the programme (2007 to 2013)</b>                  | 4  | p.m.                | p.m.       | p.m.                | 25 320 000 | 0,—          | 339 242 762,15 |                         |
| <b>05 05 03</b>                     | <b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b> |    |                     |            |                     |            |              |                |                         |
| 05 05 03 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—            |                         |
| 05 05 03 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>                    | 4  | 59 000 000          | 31 200 000 | 51 000 000          | 12 900 000 | 43 000 000,— | 0,—            | 0                       |
|                                     | <i>Article 05 05 03 — Subtotal</i>                                                                                                         |    | 59 000 000          | 31 200 000 | 51 000 000          | 12 900 000 | 43 000 000,— | 0,—            | 0                       |
| <b>05 05 04</b>                     | <b>Support to Turkey</b>                                                                                                                   |    |                     |            |                     |            |              |                |                         |
| 05 05 04 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—            |                         |

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                 | FF | Appropriations 2018 |                    | Appropriations 2017 |                   | Outturn 2016         |                       | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|-------------------|----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                         |    | Commitments         | Payments           | Commitments         | Payments          | Commitments          | Payments              |                         |
| <b>05 05 04</b>                     | (cont'd)                                                                                                                |    |                     |                    |                     |                   |                      |                       |                         |
| 05 05 04 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i> | 4  | 131 000 000         | 107 200 000        | 148 000 000         | 51 750 000        | 69 000 000,—         | 0,—                   | 0                       |
|                                     | Article 05 05 04 — Subtotal                                                                                             |    | 131 000 000         | 107 200 000        | 148 000 000         | 51 750 000        | 69 000 000,—         | 0,—                   | 0                       |
|                                     | <b>Chapter 05 05 — Total</b>                                                                                            |    | <b>190 000 000</b>  | <b>138 400 000</b> | <b>199 000 000</b>  | <b>89 970 000</b> | <b>112 000 000,—</b> | <b>339 242 762,15</b> | <b>245,12</b>           |

(1) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

**05 05 01** *Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures (prior to 2014)*

## Remarks

The following legal basis applies to all items of this article unless otherwise stated.

## Legal basis

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

05 05 01 01 The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

This appropriation is intended to cover the clearance of commitments made up to 31 December 2006 in Bulgaria, Romania and Croatia for support measures relating to agriculture and rural development under Sapard.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT** (cont'd)

**05 05 01** (cont'd)

05 05 01 02 The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight candidate countries

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover the clearance of commitments made up to 31 December 2003 for support measures relating to agriculture and rural development under Sapard in the eight candidate countries which became Member States in 2004.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

**05 05 02 Instrument for Pre-Accession Assistance for Rural Development (IPARD) — Completion of the programme (2007 to 2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |            | Outturn 2016 |                |
|---------------------|----------|---------------------|------------|--------------|----------------|
| Commitments         | Payments | Commitments         | Payments   | Commitments  | Payments       |
| p.m.                | p.m.     | p.m.                | 25 320 000 | 0,—          | 339 242 762,15 |

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

**05 05 03 Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia**

05 05 03 01 Support for political reforms and related progressive alignment with the Union *acquis*

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

<sup>(1)</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (*cont'd*)05 05 03 (*cont'd*)05 05 03 01 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

05 05 03 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|------------|---------------------|------------|--------------|----------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments |
| 59 000 000          | 31 200 000 | 51 000 000          | 12 900 000 | 43 000 000,— | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:



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**CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT** (*cont'd*)

**05 05 03** (*cont'd*)

05 05 03 02 (*cont'd*)

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the EAFRD.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**05 05 04** **Support to Turkey**

05 05 04 01 Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (cont'd)

05 05 04 (cont'd)

05 05 04 01 (cont'd)

- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

05 05 04 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|-------------|---------------------|------------|--------------|----------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments  | Payments |
| 131 000 000         | 107 200 000 | 148 000 000         | 51 750 000 | 69 000 000,— | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the EAFRD.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENTCHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (*cont'd*)05 05 04 (*cont'd*)05 05 04 02 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 06 — INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                      | FF | Appropriations 2018 |                  | Appropriations 2017 |                  | Outturn 2016        |                     | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------|----|---------------------|------------------|---------------------|------------------|---------------------|---------------------|-------------------------|
|                                     |                                                                              |    | Commitments         | Payments         | Commitments         | Payments         | Commitments         | Payments            |                         |
| 05 06                               | INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA |    |                     |                  |                     |                  |                     |                     |                         |
| <b>05 06 01</b>                     | <b>International agricultural agreements</b>                                 | 4  | 7 228 000           | 7 228 000        | 8 105 849           | 8 105 849        | 4 403 541,71        | 4 403 541,71        | 60,92                   |
| <b>05 06 02</b>                     | <b>International agricultural organisations</b>                              | 4  | 140 000             | 140 000          | 180 000             | 180 000          |                     |                     |                         |
|                                     | <b>Chapter 05 06 — Total</b>                                                 |    | <b>7 368 000</b>    | <b>7 368 000</b> | <b>8 285 849</b>    | <b>8 285 849</b> | <b>4 403 541,71</b> | <b>4 403 541,71</b> | <b>59,77</b>            |

**05 06 01 International agricultural agreements***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 7 228 000           | 7 228 000 | 8 105 849           | 8 105 849 | 4 403 541,71 | 4 403 541,71 |

*Remarks*

This appropriation is intended to cover the Union's contribution to the international agreements mentioned below.

*Legal basis*

Council Decision 92/580/EEC of 13 November 1992 on the signing and conclusion of the International Sugar Agreement 1992 (OJ L 379, 23.12.1992, p. 15).

Council Decision 96/88/EC of 19 December 1995 concerning the approval by the European Community of the Grains Trade Convention and the Food Aid Convention, constituting the International Grains Agreement 1995 (OJ L 21, 27.1.1996, p. 47).

Council Decision 2005/800/EC of 14 November 2005 concerning the conclusion of the International Agreement on olive oil and table olives 2005 (OJ L 302, 19.11.2005, p. 46).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular point (d) of Article 54(2) thereof.

Council Decision 2014/664/EU of 15 September 2014 on the position to be adopted on behalf of the European Union within the Council of members of the International Olive Council concerning the prolongation of the 2005 International Agreement on olive oil and table olives (OJ L 275, 17.9.2014, p. 6).

Council Decision establishing the position to be taken on behalf of the European Union within the International Sugar Council as regards the extension of the International Sugar Agreement 1992 adopted in the 3381st Council meeting of 20 April 2015. This decision prolongs the International Sugar Agreement for 2 years from 1 January 2016.

CHAPTER 05 06 — INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)05 06 01 (*cont'd*)

Council Decision establishing the position to be taken on behalf of the European Union within the International Grains Council as regards the extension of the Grains Trade Convention 1995 adopted in the 3381st Council meeting of 20 April 2015. This decision prolongs the Grains Trade Convention for 2 years from 1 July 2015.

Information concerning the extension of the Grains Trade Convention, 1995. At its 41st session (London, 8 June 2015), the International Grains Council decided to extend the Grains Trade Convention (1995) for a period of 2 years until 30 June 2017 (OJ L 234, 8.9.2015, p. 5).

Information concerning the extension of the International Sugar Agreement, 1992. At its 47th session (Antigua, Guatemala, 25 June 2015), the International Sugar Council decided to extend the International Sugar Agreement (1992) for a period of two years until 31 December 2017 (OJ L 234, 8.9.2015, p. 6).

Council Decision (EU) 2016/1892 of 10 October 2016 on the signing, on behalf of the European Union, and provisional application of the International Agreement on Olive Oil and Table Olives, 2015 (OJ L 293, 28.10.2016, p. 2).

05 06 02 **International agricultural organisations**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 140 000             | 140 000  | 180 000             | 180 000  |              |          |

*Remarks*

This appropriation is intended to cover the Union's contribution to the International Organisation of Vine and Wine (OIV).

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in point (d) of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                                     | FF | Appropriations 2018 |                    | Appropriations 2017 |                   | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|-------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                                                                                                             |    | Commitments         | Payments           | Commitments         | Payments          | Commitments           | Payments              |                         |
| 05 07                               | AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF)                                                                                                                                                               |    |                     |                    |                     |                   |                       |                       |                         |
| <b>05 07 01</b>                     | <b>Control of agricultural expenditure</b>                                                                                                                                                                                                                  |    |                     |                    |                     |                   |                       |                       |                         |
| 05 07 01 02                         | Monitoring and preventive measures — Direct payments by the Union                                                                                                                                                                                           | 2  | 9 130 000           | 9 879 183          | 11 279 139          | 9 900 515         | 9 128 777,96          | 9 128 777,96          | 92,40                   |
| 05 07 01 06                         | Expenditure for financial corrections in favour of Member States following decisions on accounting clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF | 2  | 21 400 000          | 21 400 000         | 20 000 000          | 20 000 000        | 31 459 336,66         | 31 459 336,66         | 147,01                  |
| 05 07 01 07                         | Expenditure for financial corrections in favour of Member States following decisions on conformity clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF | 2  | 5 200 000           | 5 200 000          | 25 000 000          | 25 000 000        | 18 495 644,14         | 18 495 644,14         | 355,69                  |
|                                     | <i>Article 05 07 01 — Subtotal</i>                                                                                                                                                                                                                          |    | 35 730 000          | 36 479 183         | 56 279 139          | 54 900 515        | 59 083 758,76         | 59 083 758,76         | 161,97                  |
| <b>05 07 02</b>                     | <b>Settlement of disputes</b>                                                                                                                                                                                                                               | 2  | 124 500 000         | 124 500 000        | 29 000 000          | 29 000 000        | 52 368 690,15         | 52 368 690,15         | 42,06                   |
|                                     | <b>Chapter 05 07 — Total</b>                                                                                                                                                                                                                                |    | <b>160 230 000</b>  | <b>160 979 183</b> | <b>85 279 139</b>   | <b>83 900 515</b> | <b>111 452 448,91</b> | <b>111 452 448,91</b> | <b>69,23</b>            |

*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this chapter in accordance with Article 21 and Article 174 of the Financial Regulation.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

**CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF) (cont'd)**

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

**05 07 01 Control of agricultural expenditure**

05 07 01 02 Monitoring and preventive measures — Direct payments by the Union

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 9 130 000           | 9 879 183 | 11 279 139          | 9 900 515 | 9 128 777,96 | 9 128 777,96 |

*Remarks*

This appropriation is intended to cover expenditure for carrying out remote-sensing checks, performing the Land Parcel Identification System (LPIS) quality assessment and the consequent technical services support in accordance with points (a) and (b) of Article 6 and Article 21 of Regulation (EU) No 1306/2013.

05 07 01 06 Expenditure for financial corrections in favour of Member States following decisions on accounting clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 21 400 000          | 20 000 000          | 31 459 336,66 |

*Remarks*

This appropriation is intended to cover the results of clearance of accounts decisions in accordance with Article 51 of Regulation (EU) No 1306/2013 in the event these decisions are in favour of the Member States.

It is also intended to cover the results of accounting clearance decisions relating to the Sugar Restructuring Fund when these are in favour of the Member States.

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

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**CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF) (cont'd)****05 07 01** (cont'd)

05 07 01 07 Expenditure for financial corrections in favour of Member States following decisions on conformity clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 5 200 000           | 25 000 000          | 18 495 644,14 |

*Remarks*

This appropriation is intended to cover the results of conformity clearance decisions in accordance with Article 52 of Regulation (EU) No 1306/2013 in the event these decisions are in favour of the Member States.

It is also intended to cover the results of conformity clearance decisions relating to the Sugar Restructuring Fund when these are in favour of Member States.

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

**05 07 02** **Settlement of disputes***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 124 500 000         | 29 000 000          | 52 368 690,15 |

*Remarks*

This appropriation is intended to accommodate, if necessary, an appropriation to cover expenditure for which the Commission may be made liable by a decision of a court, including the cost of settling claims for damages and interest.

It is also intended to cover any expenditure that the Commission may incur pursuant to Article 7(2) of Council Regulation (EEC) No 595/91 of 4 March 1991 concerning irregularities and the recovery of sums wrongly paid in connection with the financing of the common agricultural policy and the organisation of an information system in this field (OJ L 67, 14.3.1991, p. 11).



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**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                       | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|--------------|---------------|--------------------------------|
|                                     |                                                                                                               |    | Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |                                |
| 05 08                               | POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA                       |    |                     |            |                     |            |              |               |                                |
| <b>05 08 01</b>                     | <b>Farm Accountancy Data Network (FADN)</b>                                                                   | 2  | 14 900 087          | 14 109 446 | 18 000 830          | 17 811 386 | 15 076 490,— | 11 607 354,98 | 82,27                          |
| <b>05 08 02</b>                     | <b>Surveys on the structure of agricultural holdings</b>                                                      | 2  | p.m.                | 10 610 458 | 250 000             | 1 436 500  | 0,—          | 3 423 744,10  | 32,27                          |
| <b>05 08 03</b>                     | <b>Restructuring of systems for agricultural surveys</b>                                                      | 2  | 2 806 812           | 7 602 379  | 16 090 110          | 7 330 573  | 4 277 279,94 | 3 330 588,21  | 43,81                          |
| <b>05 08 06</b>                     | <b>Enhancing public awareness of the common agricultural policy</b>                                           | 2  | 14 560 000          | 14 560 000 | 8 000 000           | 8 000 000  | 7 931 738,92 | 7 931 738,92  | 54,48                          |
| <b>05 08 09</b>                     | <b>European Agricultural Guarantee Fund (EAGF) — Operational technical assistance</b>                         | 2  | 4 140 000           | 4 140 000  | 5 270 000           | 5 270 000  | 2 092 488,11 | 2 092 488,11  | 50,54                          |
| <b>05 08 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                 |    |                     |            |                     |            |              |               |                                |
| 05 08 77 06                         | Preparatory action — European farm prices and margins observatory                                             | 2  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 330 872,80    |                                |
| 05 08 77 09                         | Preparatory action — Union plant and animal genetic resources                                                 | 2  | p.m.                | 384 800    | p.m.                | 384 800    | 0,—          | 744 230,—     | 193,41                         |
| 05 08 77 10                         | Pilot project — Agropol: development of a European cross-border Agribusiness Model Region                     | 2  | p.m.                | 605 085    | p.m.                | 201 695    | 0,—          | 201 695,—     | 33,33                          |
| 05 08 77 12                         | Pilot project — Social eco-village                                                                            | 2  | p.m.                | 120 000    | p.m.                | 120 000    | 400 000,—    | 0,—           | 0                              |
| 05 08 77 13                         | Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector | 2  | p.m.                | 90 000     | p.m.                | 90 000     | 300 000,—    | 0,—           | 0                              |

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA  
(cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                              | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                      |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| <b>05 08 77</b>                     | (cont'd)                                                                                                                             |    |                     |                   |                     |                   |                      |                      |                             |
| 05 08 77 14                         | Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme                             | 2  | p.m.                | 450 000           | 200 000             | 210 000           | 700 000,—            | 0,—                  | 0                           |
| 05 08 77 15                         | Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported | 2  | p.m.                | 90 000            | p.m.                | 90 000            | 300 000,—            | 0,—                  | 0                           |
| 05 08 77 16                         | Preparatory action — Smart rural areas in the 21st century                                                                           | 2  | 3 300 000           | 1 650 000         |                     |                   |                      |                      |                             |
|                                     | <i>Article 05 08 77 — Subtotal</i>                                                                                                   |    | 3 300 000           | 3 389 885         | 200 000             | 1 096 495         | 1 700 000,—          | 1 276 797,80         | 37,66                       |
| <b>05 08 80</b>                     | <b>Union participation at the 'Feeding the Planet — Energy for Life' World Exposition 2015 in Milan</b>                              | 2  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 537 837,74           |                             |
| <b>Chapter 05 08 — Total</b>        |                                                                                                                                      |    | <b>39 706 899</b>   | <b>54 412 168</b> | <b>47 810 940</b>   | <b>40 944 954</b> | <b>31 077 996,97</b> | <b>30 200 549,86</b> | <b>55,50</b>                |

## Remarks

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

## Legal basis

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**05 08 01 Farm Accountancy Data Network (FADN)**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 14 900 087          | 14 109 446 | 18 000 830          | 17 811 386 | 15 076 490,— | 11 607 354,98 |

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**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA**  
(cont'd)

**05 08 01** (cont'd)

*Remarks*

This appropriation is intended to cover the financing of the standard fees and the development of facilities for the collection, processing, analysis, publication and dissemination of farm accountancy data and analysis of results.

*Legal basis*

Council Regulation (EC) No 1217/2009 of 30 November 2009 setting up a network for the collection of accountancy data on the incomes and business operation of agricultural holdings in the European Community (OJ L 328, 15.12.2009, p. 27).

**05 08 02** ***Surveys on the structure of agricultural holdings***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|------------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 10 610 458 | 250 000             | 1 436 500 | 0,—          | 3 423 744,10 |

*Remarks*

This appropriation is intended to cover contributions to financing statistical surveys needed to monitor structures in the Union, including the Eurofarm database.

*Legal basis*

Regulation (EC) No 1166/2008 of the European Parliament and of the Council of 19 November 2008 on farm structure surveys and the survey on agricultural production methods and repealing Council Regulation (EEC) No 571/88 (OJ L 321, 1.12.2008, p. 14).

**05 08 03** ***Restructuring of systems for agricultural surveys***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 2 806 812           | 7 602 379 | 16 090 110          | 7 330 573 | 4 277 279,94 | 3 330 588,21 |

*Remarks*

This appropriation is intended to cover:

— expenditure on the improvement of systems of agricultural statistics in the Union,

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(cont'd)**05 08 03** (cont'd)

- subsidies, contractual expenditure and expenditure involving payments for services connected with the purchasing and the consulting of databases,
- subsidies, contractual expenditure and expenditure involving payments for services connected with agricultural sector modelling and short- and medium-term forecasts of market and agricultural structure trends, and with the dissemination of results,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the implementation of operations for applying remote sensing, area sampling and agrometeorological models to agricultural statistics,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the carrying out of economic analyses and development of indicators in the field of the agricultural policy,
- subsidies, contractual expenditure and expenditure involving payments for services connected with measures required for the analysis, management, monitoring of agricultural resources and implementation of the common agricultural policy in accordance with point (c) of Article 6 and Article 22 of Regulation (EU) No 1306/2013 as well as for the implementation of the Common Monitoring and Evaluation Framework in accordance with point (a) of Article 6 and Article 110 of Regulation (EU) No 1306/2013,
- outstanding commitments made under Council Regulation (EC) No 78/2008.

*Legal basis*

Tasks resulting from the Commission's prerogatives at the institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 96/411/EC of 25 June 1996 on improving Community agricultural statistics (OJ L 162, 1.7.1996, p. 14).

Decision No 1445/2000/EC of the European Parliament and of the Council of 22 May 2000 on the application of aerial-survey and remote-sensing techniques to the agricultural statistics for 1999 to 2003 (OJ L 163, 4.7.2000, p. 1).

Council Regulation (EC) No 78/2008 of 21 January 2008 on the measures to be undertaken by the Commission in 2008-2013 making use of the remote-sensing applications developed within the framework of the common agricultural policy (OJ L 25, 30.1.2008, p. 1).

**05 08 06** *Enhancing public awareness of the common agricultural policy**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 14 560 000          | 8 000 000           | 7 931 738,92 |

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA**  
(cont'd)**05 08 06** (cont'd)*Remarks*

This appropriation is intended to cover the financing of information measures by the Union aiming to help explain, implement and develop the common agricultural policy and to raise public awareness of its content and objectives as provided for in Article 45 of Regulation (EU) No 1306/2013.

The measures may take the form of annual work programmes or other specific measures presented by third parties or activities implemented on the Commission's initiative.

**05 08 09** **European Agricultural Guarantee Fund (EAGF) — Operational technical assistance***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 140 000           | 5 270 000           | 2 092 488,11 |

*Remarks*

This appropriation is intended to cover the expenditure in conformity with points (a), (d), (e) and (f) of Article 6 of Regulation (EU) No 1306/2013.

This appropriation also includes the expenditure for establishing an analytical databank for wine products provided for in point (a) of Article 89(5) of Regulation (EU) No 1306/2013.

**05 08 77** **Pilot projects and preparatory actions**

## 05 08 77 06 Preparatory action — European farm prices and margins observatory

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 330 872,80 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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(cont'd)

05 08 77 (cont'd)

05 08 77 09 Preparatory action — Union plant and animal genetic resources

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 384 800  | p.m.                | 384 800  | 0,—          | 744 230,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 10 Pilot project — Agropol: development of a European cross-border Agribusiness Model Region

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 605 085  | p.m.                | 201 695  | 0,—          | 201 695,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA**  
(cont'd)

**05 08 77** (cont'd)

05 08 77 12 Pilot project — Social eco-village

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 120 000  | p.m.                | 120 000  | 400 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 13 Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 90 000   | p.m.                | 90 000   | 300 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA  
(cont'd)

05 08 77 (cont'd)

05 08 77 14 Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 450 000  | 200 000             | 210 000  | 700 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 15 Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 90 000   | p.m.                | 90 000   | 300 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)

**05 08 77** (cont'd)

05 08 77 16 Preparatory action — Smart rural areas in the 21st century

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 3 300 000           | 1 650 000 |                     |          |              |          |

*Remarks*

This action will complement the earlier smart villages pilot project (Smart Eco Social Villages, 2016) and act on considerations stemming from that pilot project as well as other lessons learned and knowledge obtained from actions and initiatives under the EU Action for Smart Villages. This preparatory action will provide the necessary tools and budget to set up plans and help create smart villages under real-life conditions.

This preparatory action will implement successful practices and ICT/online methods, such as setting up digital hubs involving up to 10 villages and other good examples operating throughout the Union. This idea is closely bound up with the Digital Single Market, digital platforms, urban-rural links, the sharing and collaborative economy and the bioeconomy (innovation, precision farming, environmental management, renewable energies, supply chains, services, local food), and improving quality of life, education and employment, taking account of the importance of women and young people.

This action will enhance the ability to exchange and share agricultural machinery, including precision farming tools, in order to maximize output from scarce resources. These goals are fully in line with the Cork Declaration 2.0, which recognises the importance of giving farms access to appropriate technologies in order to deliver economic, social and environmental benefits.

The action will focus on developing growth and jobs in rural areas through the following specific measures:

- selecting villages in the Union with common features: infrastructure, various resources, services, access to markets, and
- offering solutions concerning:
  - the Digital Single Market,
  - urban-rural links,
  - the bioeconomy and circular economy (innovation, precision farming, environmental management, local renewable energies, supply chains, services, local food),
  - the sharing and collaborative economy (new rural mobility solutions, such as car sharing, ride sharing; new paradigms in tourism; sharing and exchange of agricultural machinery and services, etc.),

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)**05 08 77** (cont'd)

05 08 77 16 (cont'd)

- technology (the Internet of Things, big data collection, drones, electric vehicles, next generation mobile broadband connections, etc.),
- social aspects (home care and outpatient care instead of hospitalisation),
- new full-time and part-time jobs created in the above-mentioned economies.

The action will be documented on film and in other media in order to show how it progresses. It will identify the regulatory and legislative obstacles at local, Member State and Union level to the establishment of new business models and access to various Union funding sources. One important outcome will be to propose regulatory changes enabling these new business models to be adopted and at the same time protecting the rights of all stakeholders.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**05 08 80** **Union participation at the ‘Feeding the Planet — Energy for Life’ World Exposition 2015 in Milan***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 537 837,74 |

*Remarks*

This appropriation is intended to finance the participation of the Union at the universal Expo ‘Feeding the Planet: Energy for Life’, which was held in Milan in 2015.

It is intended to cover the baseline costs for the Union participation within the Italian Pavilion (rental of space, stand erection and decoration, running costs) as well as for the preparatory and initial stages of a basic scientific programme for the EXPO 2015 involving the compilation of baseline data for policy support purposes. The cost for organising events and exhibitions (e.g. reimbursement of expert costs, exhibition materials, etc.) will be covered by appropriations of the relevant specific programmes according to the policy area concerned.

*Legal basis*

Task resulting from the Commission’s prerogatives at institutional level, as provided for in point (d) of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                            | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                      | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                                    |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments             |                         |
| 05 09                               | HORIZON 2020 —<br>RESEARCH AND INNO-<br>VATION RELATED TO<br>AGRICULTURE                                                                                           |     |                     |                    |                     |                    |                       |                      |                         |
| <b>05 09 03</b>                     | <b>Societal challenges</b>                                                                                                                                         |     |                     |                    |                     |                    |                       |                      |                         |
| 05 09 03 01                         | Securing sufficient supplies<br>of safe and high quality food<br>and other bio-based products                                                                      | 1.1 | 235 755 857         | 154 885 244        | 221 563 529         | 108 915 289        | 204 850 799,15        | 74 012 085,17        | 47,79                   |
|                                     | <i>Article 05 09 03 — Subtotal</i>                                                                                                                                 |     | 235 755 857         | 154 885 244        | 221 563 529         | 108 915 289        | 204 850 799,15        | 74 012 085,17        | 47,79                   |
| <b>05 09 50</b>                     | <b>Appropriations accruing<br/>from contributions from<br/>(non-European Economic<br/>Area) third parties to<br/>research and technological<br/>development</b>    |     |                     |                    |                     |                    |                       |                      |                         |
| 05 09 50 01                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (2014 to 2020) | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 5 144 171,64          | 1 665 412,11         |                         |
|                                     | <i>Article 05 09 50 — Subtotal</i>                                                                                                                                 |     | p.m.                | p.m.               | p.m.                | p.m.               | 5 144 171,64          | 1 665 412,11         |                         |
|                                     | <b>Chapter 05 09 — Total</b>                                                                                                                                       |     | <b>235 755 857</b>  | <b>154 885 244</b> | <b>221 563 529</b>  | <b>108 915 289</b> | <b>209 994 970,79</b> | <b>75 677 497,28</b> | <b>48,86</b>            |

*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 — the framework programme for research and innovation which covers the 2014 to 2020 period.

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the ERA, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE (*cont'd*)

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the ERA, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the framework programme for research and innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE** (*cont'd*)

Additional appropriations will be provided under Item 05 09 50 01.

Administrative appropriations of this chapter will be provided under Article 05 01 05.

**05 09 03 Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

05 09 03 01 Securing sufficient supplies of safe and high quality food and other bio-based products

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 235 755 857         | 154 885 244 | 221 563 529         | 108 915 289 | 204 850 799,15 | 74 012 085,17 |

*Remarks**Former Item 05 09 03 01 (in part)*

This activity focuses on developing more sustainable and productive agriculture and forestry systems, while at the same time developing services, concepts and policies for thriving rural livelihoods. In addition, emphasis is placed on healthy and safe foods for all as well as competitive food processing methods that use fewer resources and produce fewer by-products. In parallel, efforts are made on sustainably exploiting aquatic living resources (e.g. sustainable and environmentally friendly fisheries). Low carbon, resource-efficient, sustainable and competitive European bio-based industries are also promoted.

This appropriation will be used for agriculture-related research and innovation in order to secure sufficient supplies of safe and high-quality food and other bio-based products; research projects with direct participation by primary producers will be prioritised in order to maximise the practical applicability of results.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE (cont'd)

05 09 03 (cont'd)

05 09 03 01 (cont'd)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (O) L 169, 1.7.2015, p. 1).

**05 09 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

05 09 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 5 144 171,64 | 1 665 412,11 |

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

*TITLE 06*

**MOBILITY AND TRANSPORT**

COMMISSION

TITLE 06 — MOBILITY AND TRANSPORT

**TITLE 06****MOBILITY AND TRANSPORT****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                            | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|------------------|------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|                  |                                                                                    | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 06 01            | ADMINISTRATIVE<br>EXPENDITURE OF<br>THE 'MOBILITY AND<br>TRANSPORT' POLICY<br>AREA | 72 739 448           | 72 739 448           | 72 528 558           | 72 528 558           | 71 210 953,95           | 71 210 953,95           |
| 06 02            | EUROPEAN<br>TRANSPORT POLICY                                                       | 3 690 577 433        | 1 931 021 498        | 3 447 030 702        | 1 476 262 853        | 3 982 515 229,46        | 1 906 153 444,78        |
| 06 03            | HORIZON 2020 —<br>RESEARCH AND<br>INNOVATION<br>RELATED TO<br>TRANSPORT            | 244 259 072          | 263 304 099          | 247 878 047          | 252 004 917          | 212 644 325,55          | 260 533 922,87          |
|                  | <b>Title 06 — Total</b>                                                            | <b>4 007 575 953</b> | <b>2 267 065 045</b> | <b>3 767 437 307</b> | <b>1 800 796 328</b> | <b>4 266 370 508,96</b> | <b>2 237 898 321,60</b> |



## TITLE 06

## MOBILITY AND TRANSPORT

## CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                   | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 06 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA                                                                    |     |                     |                     |               |             |
| <b>06 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Mobility and transport' policy area</b>                                   | 5.2 | 36 316 977          | 36 147 079          | 36 289 654,82 | 99,92       |
| <b>06 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Mobility and transport' policy area</b>                         |     |                     |                     |               |             |
| 06 01 02 01                         | External personnel                                                                                                                        | 5.2 | 2 209 844           | 2 404 640           | 2 878 613,21  | 130,26      |
| 06 01 02 11                         | Other management expenditure                                                                                                              | 5.2 | 2 046 187           | 2 032 932           | 2 235 810,—   | 109,27      |
|                                     | <i>Article 06 01 02 — Subtotal</i>                                                                                                        |     | 4 256 031           | 4 437 572           | 5 114 423,21  | 120,17      |
| <b>06 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services of the 'Mobility and transport' policy area</b> | 5.2 | 2 347 649           | 2 257 145           | 2 789 907,48  | 118,84      |
| <b>06 01 04</b>                     | <b>Support expenditure for operations and programmes in the 'Mobility and transport' policy area</b>                                      |     |                     |                     |               |             |
| 06 01 04 01                         | Support expenditure for Connecting Europe Facility (CEF) — Transport                                                                      | 1.1 | 2 000 000           | 2 000 000           | 2 134 274,12  | 106,71      |
|                                     | <i>Article 06 01 04 — Subtotal</i>                                                                                                        |     | 2 000 000           | 2 000 000           | 2 134 274,12  | 106,71      |
| <b>06 01 05</b>                     | <b>Support expenditure for research and innovation programmes in the 'Mobility and transport' policy area</b>                             |     |                     |                     |               |             |
| 06 01 05 01                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                       | 1.1 | 4 754 946           | 4 776 024           | 4 897 784,17  | 103,00      |

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TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                       | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|-----------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| <b>06 01 05</b>                     | (cont'd)                                                                                      |     |                     |                     |                      |              |
| 06 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020             | 1.1 | 2 429 242           | 2 370 000           | 2 379 671,35         | 97,96        |
| 06 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020            | 1.1 | 608 000             | 608 000             | 517 518,80           | 85,12        |
|                                     | <i>Article 06 01 05 — Subtotal</i>                                                            |     | 7 792 188           | 7 754 024           | 7 794 974,32         | 100,04       |
| <b>06 01 06</b>                     | <b>Executive agencies</b>                                                                     |     |                     |                     |                      |              |
| 06 01 06 01                         | Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF) | 1.1 | 14 272 055          | 14 832 226          | 12 934 248,—         | 90,63        |
| 06 01 06 03                         | Innovation and Networks Executive Agency — Contribution from the Cohesion Fund                | 1.2 | 5 754 548           | 5 100 512           | 4 153 472,—          | 72,18        |
|                                     | <i>Article 06 01 06 — Subtotal</i>                                                            |     | 20 026 603          | 19 932 738          | 17 087 720,—         | 85,33        |
|                                     | <b>Chapter 06 01 — Total</b>                                                                  |     | <b>72 739 448</b>   | <b>72 528 558</b>   | <b>71 210 953,95</b> | <b>97,90</b> |

**06 01 01 Expenditure related to officials and temporary staff in the 'Mobility and transport' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 36 316 977          | 36 147 079          | 36 289 654,82 |

**06 01 02 External personnel and other management expenditure in support of the 'Mobility and transport' policy area**

06 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 209 844           | 2 404 640           | 2 878 613,21 |

06 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 046 187           | 2 032 932           | 2 235 810,—  |

## CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA (cont'd)

**06 01 03** *Expenditure related to information and communication technology equipment and services of the 'Mobility and transport' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 347 649           | 2 257 145           | 2 789 907,48 |

**06 01 04** *Support expenditure for operations and programmes in the 'Mobility and transport' policy area*

## 06 01 04 01 Support expenditure for Connecting Europe Facility (CEF) — Transport

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 000 000           | 2 000 000           | 2 134 274,12 |

*Remarks*

This appropriation is intended to cover the programme support actions defined in point (7) of Article 2 and point (b) of Article 5(2) of Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and directly related to the accompanying measures necessary for the implementation of the Connecting Europe Facility programme and trans-European transport network (TEN-T) guidelines. This includes expenditure on studies, meetings of experts, information and publications, software and databases supporting actions directly linked to the achievement of the objective of the Connecting Europe Facility.

*Legal basis*

See Article 06 02 01.

**06 01 05** *Support expenditure for research and innovation programmes in the 'Mobility and transport' policy area*

## 06 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 754 946           | 4 776 024           | 4 897 784,17 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

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TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA (cont'd)

06 01 05 (cont'd)

06 01 05 01 (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

See Chapter 06 03.

06 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 429 242           | 2 370 000           | 2 379 671,35 |

*Remarks*

This appropriation is intended to cover expenditure relating to external personnel implementing research and innovation programmes — Horizon 2020, engaged in indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

See Chapter 06 03.

06 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 608 000             | 608 000             | 517 518,80   |

**CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MOBILITY AND TRANSPORT’ POLICY AREA** (*cont'd*)**06 01 05** (*cont'd*)06 01 05 03 (*cont'd*)*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

See Chapter 06 03.

**06 01 06** ***Executive agencies***

06 01 06 01 Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 14 272 055          | 14 832 226          | 12 934 248,— |

*Remarks*

This appropriation constitutes the subsidy to cover administrative expenditure on staff and operating expenditure by the Innovation and Networks Executive Agency as a result of its participation in the management of the Connecting Europe Facility programme, in the completion of the projects financed under the 2000-2006 and the 2007-2013 trans-European transport network (TEN-T) programme.

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA (*cont'd*)06 01 06 (*cont'd*)06 01 06 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Commission Decision C(2007) 5282 of 5 November 2007 delegating powers to the Trans-European Transport Network Executive Agency with a view to the performance of tasks linked to implementation of the Community programmes for grants in the field of the trans-European transport network, comprising in particular implementation of appropriations entered in the Community budget, as amended by Decision C(2008) 5538 of 7 October 2008.

Commission Implementing Decision 2013/801/EU of 23 December 2013 establishing the Innovation and Networks Executive Agency and repealing Decision 2007/60/EC (OJ L 352, 24.12.2013, p. 65).

Commission Decision C(2013) 9235 of 23 December 2013 delegating powers to the Innovation and Networks Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport, energy and telecommunications infrastructure and in the field of transport and energy research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

## CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA (cont'd)

## 06 01 06 (cont'd)

06 01 06 03 Innovation and Networks Executive Agency — Contribution from the Cohesion Fund

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 754 548           | 5 100 512           | 4 153 472,—  |

*Remarks*

This appropriation constitutes the subsidy to cover administrative expenditure on staff and operating expenditure by the Innovation and Networks Executive Agency as a result of its participation in the management of the Cohesion Fund envelope of the Connecting Europe Facility programme.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

*Reference acts*

Commission Decision C(2007) 5282 of 5 November 2007 delegating powers to the Trans-European Transport Network Executive Agency with a view to the performance of tasks linked to implementation of the Community programmes for grants in the field of the trans-European transport network, comprising in particular implementation of appropriations entered in the Community budget, as amended by Decision C(2008) 5538 of 7 October 2008.

Commission Implementing Decision 2013/801/EU of 23 December 2013 establishing the Innovation and Networks Executive Agency and repealing Decision 2007/60/EC (OJ L 352, 24.12.2013, p. 65).

Commission Decision C(2013) 9235 of 23 December 2013 delegating powers to the Innovation and Networks Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport, energy and telecommunications infrastructure and in the field of transport and energy research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                            | FF  | Appropriations 2018 |               | Appropriations 2017 |             | Outturn 2016     |                  | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|-------------|------------------|------------------|--------------------------------|
|                                     |                                                                                                                    |     | Commitments         | Payments      | Commitments         | Payments    | Commitments      | Payments         |                                |
| 06 02                               | EUROPEAN TRANSPORT POLICY                                                                                          |     |                     |               |                     |             |                  |                  |                                |
| <b>06 02 01</b>                     | <b>Connecting Europe Facility (CEF)</b>                                                                            |     |                     |               |                     |             |                  |                  |                                |
| 06 02 01 01                         | Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections  | 1.1 | 1 405 640 764       | 790 274 000   | 1 174 293 698       | 428 362 267 | 746 045 128,91   | 269 132 613,41   | 34,06                          |
| 06 02 01 02                         | Ensuring sustainable and efficient transport systems                                                               | 1.1 | 68 544 512          | 37 367 000    | 59 776 865          | 43 209 743  | 85 279 802,—     | 11 461 323,12    | 30,67                          |
| 06 02 01 03                         | Optimising the integration and interconnection of transport modes and enhancing interoperability                   | 1.1 | 407 171 625         | 291 720 000   | 410 321 493         | 83 988 294  | 576 208 801,41   | 340 675 875,19   | 116,78                         |
| 06 02 01 04                         | Connecting Europe Facility (CEF) — Cohesion Fund allocation                                                        | 1.2 | 1 649 386 632       | 620 000 000   | 1 588 194 081       | 377 581 583 | 2 372 380 457,—  | 773 467 447,79   | 124,75                         |
| 06 02 01 05                         | Creating an environment more conducive to private investment for transport infrastructure projects                 | 1.1 | p.m.                | 25 000 000    | 62 109 000          | 50 000 000  | 66 354 000,—     | 37 500 000,—     | 150,00                         |
|                                     | <i>Article 06 02 01 — Subtotal</i>                                                                                 |     | 3 530 743 533       | 1 764 361 000 | 3 294 695 137       | 983 141 887 | 3 846 268 189,32 | 1 432 237 259,51 | 81,18                          |
| <b>06 02 02</b>                     | <b>European Aviation Safety Agency</b>                                                                             | 1.1 | 36 915 000          | 36 915 000    | 34 184 000          | 34 184 000  | 37 330 992,—     | 37 330 992,—     | 101,13                         |
| <b>06 02 03</b>                     | <b>European Maritime Safety Agency</b>                                                                             |     |                     |               |                     |             |                  |                  |                                |
| 06 02 03 01                         | European Maritime Safety Agency                                                                                    | 1.1 | 54 220 716          | 54 220 716    | 48 597 565          | 42 650 882  | 32 594 924,98    | 32 594 924,98    | 60,12                          |
| 06 02 03 02                         | European Maritime Safety Agency — Anti-pollution measures                                                          | 1.1 | 24 675 000          | 26 783 282    | 22 800 000          | 20 245 132  | 22 196 160,—     | 18 589 824,54    | 69,41                          |
|                                     | <i>Article 06 02 03 — Subtotal</i>                                                                                 |     | 78 895 716          | 81 003 998    | 71 397 565          | 62 896 014  | 54 791 084,98    | 51 184 749,52    | 63,19                          |
| <b>06 02 04</b>                     | <b>European Union Agency for Railways</b>                                                                          | 1.1 | 27 757 184          | 27 757 184    | 29 643 000          | 29 643 000  | 27 395 879,—     | 27 395 879,—     | 98,70                          |
| <b>06 02 05</b>                     | <b>Support activities to the European transport policy and passenger rights including communication activities</b> | 1.1 | 10 821 000          | 11 409 000    | 11 821 000          | 13 052 654  | 11 789 990,08    | 19 692 863,98    | 172,61                         |
| <b>06 02 06</b>                     | <b>Transport security</b>                                                                                          | 1.1 | 1 795 000           | 1 492 816     | 1 950 000           | 1 077 798   | 1 564 145,08     | 1 819 591,11     | 121,89                         |
| <b>06 02 51</b>                     | <b>Completion of trans-European networks programme</b>                                                             | 1.1 | p.m.                | p.m.          | p.m.                | 340 000 000 | 0,—              | 314 241 947,—    |                                |



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TITLE 06 — MOBILITY AND TRANSPORT

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                          | FF  | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-----------|---------------------|-----------|--------------|---------------|--------------------------------|
|                                     |                                                                                                                                                                                                                  |     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |                                |
| <b>06 02 52</b>                     | <b>Completion of Marco Polo programme</b>                                                                                                                                                                        | 1.1 | p.m.                | 2 680 000 | p.m.                | 8 135 000 | 0,—          | 15 503 157,48 | 578,48                         |
| <b>06 02 53</b>                     | <b>Completion of anti-pollution measures</b>                                                                                                                                                                     | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 4 504 500,46  |                                |
| <b>06 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                                                                                    |     |                     |           |                     |           |              |               |                                |
| 06 02 77 01                         | Preparatory action — European transport information and booking interface across transport modes                                                                                                                 | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 1 132 198,72  |                                |
| 06 02 77 03                         | Preparatory action — Ships fuelled by liquefied natural gas (LNG)                                                                                                                                                | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 518 525,—     |                                |
| 06 02 77 06                         | Preparatory action — General aviation — Statistics and key figures                                                                                                                                               | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 133 896,—     |                                |
| 06 02 77 07                         | Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure                                                                                                          | 1.1 | p.m.                | 650 000   | p.m.                | 650 000   | 0,—          | 0,—           | 0                              |
| 06 02 77 08                         | Pilot project — GNSS monitoring system for heavy vehicles                                                                                                                                                        | 1.1 | p.m.                | p.m.      | p.m.                | 245 000   | 349 949,—    | 0,—           |                                |
| 06 02 77 09                         | Pilot project — Making the EU transport sector attractive to future generations                                                                                                                                  | 1.1 | p.m.                | p.m.      | p.m.                | 350 000   | 500 000,—    | 0,—           |                                |
| 06 02 77 10                         | Preparatory action — Smart port city                                                                                                                                                                             | 1.1 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 0,—           |                                |
| 06 02 77 11                         | Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors | 1.1 | p.m.                | 120 000   | p.m.                | 280 000   | 400 000,—    | 0,—           | 0                              |
| 06 02 77 12                         | Preparatory action — Integrating remotely piloted aircraft systems (RPAS) in European airspace with an active geofencing service (AGS)                                                                           | 1.1 | p.m.                | p.m.      | p.m.                | 350 000   | 500 000,—    | 250 000,—     |                                |
| 06 02 77 13                         | Pilot project — Innovative ways of sustainably financing public transport                                                                                                                                        | 1.1 | p.m.                | 37 500    | p.m.                | 87 500    | 125 000,—    | 0,—           | 0                              |
| 06 02 77 14                         | Preparatory action — Towards a single and innovative European transport system                                                                                                                                   | 1.1 | p.m.                | 1 100 000 | p.m.                | 500 000   | 1 500 000,—  | 207 885,—     | 18,90                          |

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TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                      | FF  | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|-----------------------------|
|                                     |                                                                                                                                                                              |     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                             |
| <b>06 02 77</b>                     | (cont'd)                                                                                                                                                                     |     |                      |                      |                      |                      |                         |                         |                             |
| 06 02 77 15                         | Pilot project — Raising awareness of alternatives to private car                                                                                                             | 1.1 | 800 000              | 645 000              | 490 000              | 245 000              |                         |                         |                             |
| 06 02 77 16                         | Pilot project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs)) | 1.1 | 1 000 000            | 800 000              | 600 000              | 300 000              |                         |                         |                             |
| 06 02 77 17                         | Pilot project — Single European Sky (SES) airspace architecture                                                                                                              | 1.1 | 600 000              | 700 000              | 800 000              | 400 000              |                         |                         |                             |
| 06 02 77 18                         | Pilot project — Mapping accessible transport for people with reduced mobility                                                                                                | 1.1 | p.m.                 | 300 000              | 600 000              | 300 000              |                         |                         |                             |
| 06 02 77 19                         | Pilot project — Secure parking areas for trucks                                                                                                                              | 1.1 | p.m.                 | 425 000              | 850 000              | 425 000              |                         |                         |                             |
| 06 02 77 20                         | Pilot project — Human behaviour in connection with autonomous driving                                                                                                        | 1.1 | 350 000              | 175 000              |                      |                      |                         |                         |                             |
| 06 02 77 21                         | Pilot project — Pan-European road safety awareness campaign                                                                                                                  | 1.1 | 600 000              | 300 000              |                      |                      |                         |                         |                             |
| 06 02 77 22                         | Pilot project — OREL — European system for limiting odometer fraud: fast-track to roadworthiness in the Union                                                                | 1.1 | 300 000              | 150 000              |                      |                      |                         |                         |                             |
| Article 06 02 77 — Subtotal         |                                                                                                                                                                              |     | 3 650 000            | 5 402 500            | 3 340 000            | 4 132 500            | 3 374 949,—             | 2 242 504,72            | 41,51                       |
| <b>Chapter 06 02 — Total</b>        |                                                                                                                                                                              |     | <b>3 690 577 433</b> | <b>1 931 021 498</b> | <b>3 447 030 702</b> | <b>1 476 262 853</b> | <b>3 982 515 229,46</b> | <b>1 906 153 444,78</b> | <b>98,71</b>                |

**06 02 01 Connecting Europe Facility (CEF)**

06 02 01 01 Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 1 405 640 764       | 790 274 000 | 1 174 293 698       | 428 362 267 | 746 045 128,91 | 269 132 613,41 |

## Remarks

The objective of 'Removing bottlenecks and bridging missing links' refers to point (a) of Article 4(2) of Regulation (EU) No 1316/2013. This objective will be implemented through calls for proposals under the annual and multiannual work programmes constituting financing decisions within the meaning of Article 84 of the Financial Regulation, of the core network transport projects and Union transport corridors, which are defined in the annexes to CEF and TEN-T guidelines. The implementation of this objective is expected to be measured through new and improved cross-border connections and removed bottlenecks, which have benefitted from CEF.

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 01** (*cont'd*)06 02 01 01 (*cont'd*)

Part of this appropriation will be used to support the Trans-European EuroVelo Cycling Network.

Re-establishing regional cross-border rail connections that are abandoned or dismantled (missing links if eligible for CEF funding), will get particular support.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (a) of Article 4(2) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 06 02 01 02 Ensuring sustainable and efficient transport systems

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 68 544 512          | 37 367 000 | 59 776 865          | 43 209 743 | 85 279 802,— | 11 461 323,12 |

*Remarks*

The objective of 'Ensuring sustainable and efficient transport in the long run' refers to point (b) of Article 4(2) of Regulation (EU) No 1316/2013. This objective will be implemented through calls for proposals under the annual and multiannual work programmes constituting the financing decisions within the meaning of Article 84 of the Financial Regulation.

In the 2014-2020 period, a follow-up of the Marco Polo programme will be implemented by the Connecting Europe Facility within the framework of the revised TEN-T guidelines. In accordance with Article 32 of Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1), it will introduce a new approach in support of freight transport services in the Union. It is important to optimise the use of transport infrastructure by shifting cargo to more sustainable modes, including inland waterways, and increase the efficiency of multimodal services.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (b) of Article 4(2) thereof.

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 01 (*cont'd*)06 02 01 02 (*cont'd*)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

06 02 01 03 Optimising the integration and interconnection of transport modes and enhancing interoperability

Figures (*Differentiated appropriations*)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016   |                |
|---------------------|-------------|---------------------|------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments    | Payments       |
| 407 171 625         | 291 720 000 | 410 321 493         | 83 988 294 | 576 208 801,41 | 340 675 875,19 |

*Remarks*

The objective of 'Optimising the integration and interconnection of transport modes and enhancing the interoperability, safety and security of transport' refers to point (c) of Article 4(2) of Regulation (EU) No 1316/2013.

This objective will be implemented through calls for proposals under the annual and multiannual work programmes constituting financing decisions within the meaning of Article 84 of the Financial Regulation.

The achievement of this objective will be measured by the number of inland and maritime ports and airports connected to the railway network, by the number of improved multimodal logistics platforms, by the number of improved connections through motorways of the sea and by the number of supply points in alternative sources of energy on the core network.

The implementation of the Single European Sky policy and the deployment of Single European Sky Air Traffic Management Research (SESAR) project are pursued under this objective.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (c) of Article 4(2) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 01** (*cont'd*)

## 06 02 01 04 Connecting Europe Facility (CEF) — Cohesion Fund allocation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016    |                |
|---------------------|-------------|---------------------|-------------|-----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments     | Payments       |
| 1 649 386 632       | 620 000 000 | 1 588 194 081       | 377 581 583 | 2 372 380 457,— | 773 467 447,79 |

*Remarks*

This appropriation is intended to cover the support from the Cohesion Fund under the 'Investment for growth and jobs' goal for transport infrastructure under CEF in accordance with Article 84(4) of Regulation (EU) No 1303/2013.

In accordance with point (a) of Article 5(1) of Regulation (EU) No 1316/2013, an amount of EUR 11 305 500 000 in current prices should be transferred from the Cohesion Fund to be spent in line with that Regulation exclusively in Member States eligible for funding from the Cohesion Fund.

In accordance with Article 11, this objective will be implemented through calls for proposals under the annual and multiannual work programmes, which are opened exclusively for the Member States eligible for financing under the Cohesion Fund. These annual and multiannual work programmes constitute financing decisions in the meaning of Article 84 of the Financial Regulation.

In accordance with point (7) of Article 2 and Article 5(2), up to 1 % of the financial envelope will cover expenses pertaining to programme support actions.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular:

- point (a) of Article 5(1) related to the transfer of EUR 11 305 500 000 from the Cohesion Fund to CEF,
- Article 11 related to the specific calls for funds transferred from the Cohesion Fund,
- point (7) of Article 2 and Article 5(2) related to the programme support actions supporting the implementation of CEF.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 01 (*cont'd*)

06 02 01 05 Creating an environment more conducive to private investment for transport infrastructure projects

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| p.m.                | 25 000 000 | 62 109 000          | 50 000 000 | 66 354 000,— | 37 500 000,— |

*Remarks*

This objective of 'Creating an environment more conducive to private investment for transport infrastructure projects' aims to implement the projects of common interest through financial instruments, based on an *ex ante* assessment as required by Article 224 of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1). In accordance with Article 21(4) of Regulation (EU) No 1316/2013, from 10 % to 20 % of the financial envelope for CEF transport will be available for innovative financing instruments such as the Project Bonds Instrument, the Loan Guarantee Instrument and other instruments such as joint ventures and the equity instruments to combine public and private financial resources to accelerate infrastructure investments in Europe. The financial instruments are intended to facilitate access to private financing and thus to accelerate or to make possible financing of the TEN-T projects eligible under the TEN-T guidelines and Regulation (EU) No 1316/2013. The financial instruments are intended to be established as 'debt' or 'equity' frameworks and they should address specific market failures and provide appropriate financing solutions. They are intended to be implemented as direct management by the entrusted entities, within the meaning of the Financial Regulation or jointly with the entrusted entities. The entrusted entities must be accredited to provide guarantees to the Commission on the protection of the Union financial interests in line with the requirements of the Financial Regulation.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 14 thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (cont'd)

06 02 02 *European Aviation Safety Agency**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 36 915 000          | 36 915 000 | 34 184 000          | 34 184 000 | 37 330 992,— | 37 330 992,— |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013 on the framework financial regulation for the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42) constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2018 amounts to a total of EUR 36 915 000.

*Legal basis*

Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (OJ L 79, 19.3.2008, p. 1).

Regulation (EC) No 1108/2009 of the European Parliament and of the Council of 21 October 2009 amending Regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services and repealing Directive 2006/23/EC (OJ L 309, 24.11.2009, p. 51).

*Reference acts*

Commission Regulation (EC) No 768/2006 of 19 May 2006 implementing Directive 2004/36/EC of the European Parliament and of the Council as regards the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system (OJ L 134, 20.5.2006, p. 16).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 02 (*cont'd*)

Commission Implementing Regulation (EU) No 628/2013 of 28 June 2013 on working methods of the European Aviation Safety Agency for conducting standardisation inspections and for monitoring the application of the rules of Regulation (EC) No 216/2008 of the European Parliament and of the Council and repealing Commission Regulation (EC) No 736/2006 (OJ L 179, 29.6.2013, p. 46).

Commission Regulation (EU) No 319/2014 of 27 March 2014 on the fees and charges levied by the European Aviation Safety Agency, and repealing Regulation (EC) No 593/2007 (OJ L 93, 28.3.2014, p. 58).

Proposal for a regulation of the European Parliament and of the Council, submitted by the Commission on 7 December 2015, on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and repealing Regulation (EC) No 216/2008 of the European Parliament and of the Council (COM(2015) 613 final).

06 02 03 **European Maritime Safety Agency**

## 06 02 03 01 European Maritime Safety Agency

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 54 220 716          | 54 220 716 | 48 597 565          | 42 650 882 | 32 594 924,98 | 32 594 924,98 |

**Remarks**

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3) with the exception of anti-pollution measures (see Item 06 02 03 02).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 including anti-pollution measures amounts to a total of EUR 79 686 415. An amount of EUR 790 699 coming from the recovery of surplus is added to the amount of EUR 78 895 716 entered in the budget.



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 03** (*cont'd*)06 02 03 01 (*cont'd*)*Legal basis*

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

Regulation (EU) 2016/1625 of the European Parliament and of the Council of 14 September 2016 amending Regulation (EC) No 1406/2002 establishing a European Maritime Safety Agency (OJ L 251, 16.9.2016, p. 77).

06 02 03 02 European Maritime Safety Agency — Anti-pollution measures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 24 675 000          | 26 783 282 | 22 800 000          | 20 245 132 | 22 196 160,— | 18 589 824,54 |

*Remarks*

This appropriation is intended to cover anti-pollution measures as provided for in Regulation (EU) No 911/2014 of the European Parliament and of the Council.

It is in accordance with the European Parliament and Council decision to extend the tasks of the Agency to include response to marine pollution caused by offshore installations for oil and gas exploitation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

Regulation (EU) No 911/2014 of the European Parliament and of the Council of 23 July 2014 on multiannual funding for the action of the European Maritime Safety Agency in the field of response to marine pollution caused by ships and oil and gas installations (OJ L 257, 28.8.2014, p. 115).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)**06 02 04** *European Union Agency for Railways**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 27 757 184          | 27 757 184 | 29 643 000          | 29 643 000 | 27 395 879,— | 27 395 879,— |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 28 135 398. An amount of EUR 378 214 coming from the recovery of surplus, is added to the amount of EUR 27 757 184 entered in the budget.

*Legal basis*

Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways and amending Council Directive 95/18/EC on the licensing of railway undertakings and Directive 2001/14/EC on the allocation of railway infrastructure capacity and the levying of charges for the use of railway infrastructure and safety certification (Railway Safety Directive) (OJ L 164, 30.4.2004, p. 44).

Directive 2007/59/EC of the European Parliament and of the Council of 23 October 2007 on the certification of train drivers operating locomotives and trains on the railway system in the Community (OJ L 315, 3.12.2007, p. 51).

Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (OJ L 191, 18.7.2008, p. 1).

Regulation (EU) 2016/796 of the European Parliament and of the Council of 11 May 2016 on the European Union Agency for Railways and repealing Regulation (EC) No 881/2004 (OJ L 138, 26.5.2016, p. 1).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 04** (*cont'd*)

Directive (EU) 2016/797 of the European Parliament and of the Council of 11 May 2016 on the interoperability of the rail system within the European Union (OJ L 138, 26.5.2016, p. 44).

Directive (EU) 2016/798 of the European Parliament and of the Council of 11 May 2016 on railway safety (OJ L 138, 26.5.2016, p. 102).

**06 02 05** **Support activities to the European transport policy and passenger rights including communication activities**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 10 821 000          | 11 409 000 | 11 821 000          | 13 052 654 | 11 789 990,08 | 19 692 863,98 |

*Remarks*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is intended to cover expenditure on information and communication, conferences and events promoting activities in the transport sector as well as social media activities, audio-visual products, development of website and other IT tools, consultancy activities, electronic and paper publications directly linked to the achievement of the objective of transport policy, including its social dimension, as well as safety and protection of transport users.

This appropriation is also intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the Union's common transport policy, for all transport modes (road, rail, air, sea and inland waterways) and for all transport sectors (transport safety, internal market of transport with its implementation rules, optimisation of transport network, multimodality, logistics, passengers' rights and protection in all transport modes, the use of alternative fuels in all transport modes, clean vehicles procurement and urban mobility, social and gender aspects including employment data, as well as for all other sectors related to transport). The main endorsed actions and objectives are intended to support the Union's common transport policy, including extension to third countries, technical assistance for all transport modes and sectors, specific training, definition of rules of transport safety, simplification of administrative procedures, the use of ICT technologies, the contribution to the standardisation process, and promotion of the common transport policy including establishment and implementation of trans-European network orientation endorsed by the Treaty on the Functioning of the European Union as well as strengthening passengers' rights and protection in all transport modes and to improve the application and enforcement of existing Regulations on passenger rights, in particular through awareness-raising activities about the content of those Regulations, targeted at both the transport industry as well as the travelling public.

*Maritime transport and logistics*

This appropriation is intended to cover the development and implementation of the Union's maritime transport strategy, in line with the objectives defined in the White Paper on the future of transport.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)

This includes analyses of economic and technological developments, support of international negotiations, developing and interpreting cabotage rules, following complaints and infringements procedures, development and implementation of actions to promote and support competitive and efficient short sea shipping, revision of Directive 2010/65/EU of the European Parliament and of the Council of 20 October 2010 on reporting formalities for ships arriving in and/or departing from ports of the Member States and repealing Directive 2002/6/EC (OJ L 283, 29.10.2010, p. 1) and administrative simplification and make use of ICT systems in the waterborne and logistic sector, as well as support of the sustainable development of the shipping sector.

This appropriation is intended to cover the development and implementation of a Union strategy for freight transport logistics, including the digital transport and logistics agenda, providing a framework and actions for interoperable multimodal transport information and management systems and related standardisation issues, administrative (European) single window(s) for multimodal transport, a single transport document and a multimodal liability regime.

This appropriation is also intended to cover the development of a reference framework on carbon footprint measurement, certification and reduction, modal shift policy including the outstanding actions under the former Marco Polo programme, Motorways of the Sea, multimodal and combined transport, digitalisation of the transport and logistics sector and supporting standardisation and harmonisation of equipment.

*Maritime safety*

This appropriation is intended to cover monitoring, evaluation and revision (impact assessment) of Union legislation on maritime safety, protecting the maritime environment and promoting qualifications and working conditions for seafarers.

*Passenger rights*

This appropriation is intended to cover expenditure on monitoring, evaluation, revision and raising awareness actions of Union legislation on passenger rights.

In connection with Regulation (EC) No 261/2004, the Commission needs to develop additional measures to make enforcement of that Regulation more efficient. The implementation of Regulation (EC) No 1107/2006 and Council Regulation (EC) No 2027/97 of 9 October 1997 on air carrier liability in the event of accidents (OJ L 285, 17.10.1997, p. 1) needs also to be ensured.

The implementation of Regulation (EC) No 1371/2007 requires specific implementation action to ensure its correct application and enforcement in the Member States due to the complex interaction of regional, national and international (COTIF) administrative structures involved in the implementation.

The implementation of Regulation (EU) No 1177/2010 requires specific implementation actions to ensure its correct application and enforcement in the Member States and the compliance by Member States with their legal reporting obligations towards the Commission.

The implementation of Regulation (EU) No 181/2011 requires specific implementation actions in order to ensure its correct application and enforcement in the Member States and the compliance by Member States with their legal reporting obligations towards the Commission.

As an important implementation support measure the Commission conducts targeted actions covering some or all Member States to raise public awareness on passenger rights. Nearly one third of Union citizens are aware of their rights and obligations when buying a ticket to travel (31 %), although 59 % are said to be unaware of them (Eurobarometer on passenger rights 2014).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)

These actions and objectives could be supported at different levels (local, regional, national, European and international), for all transport modes and sectors and related to transport, as well as in technical, technological, regulatory, informative, environmental, climatic and political fields and for sustainable development.

Air transport has long been one of the sectors in which the consumer authorities receive most complaints in the Union. The increase in the number of commercial transactions performed electronically (using the internet or a mobile telephone) has merely resulted in more breaches of Union consumer legislation.

One of the main complaints by Union consumers is that there are no effective means of redress at airports themselves, particularly when disputes arise as a result of failure on the part of airlines and other service providers to meet their obligations. Union consumers and air transport authorities therefore need to work together to secure an immediate improvement in passenger support and information facilities at airports and, at the same time, step up co-regulation in the sector.

*Road safety*

The Commission communication of 20 July 2010 entitled 'Towards a European road safety area: policy orientations on road safety 2011-2020' (COM(2010) 389 final) presents seven objectives: road user education, enforcement of traffic rules, safe infrastructure, safe vehicles, use of modern technology, post-injury emergency responses and a special focus on vulnerable road users. Work continues on the Commission's proposal, on the regular update of the Union law on driving licences, and on the revision of the rules on qualification and training for professional drivers, on the follow-up to the Directives 2014/45/EU, 2014/46/EU and 2014/47/EU, as well as towards a strategy on serious road traffic injuries. The Commission's road safety work also includes the management of the European Road Safety Charter, the management of rules relating to the transport of dangerous goods, the maintenance of a European database on road accidents — Community Road Accident Database (CARE), the follow-up on the infrastructure management and tunnel safety directives and on various aspects of vulnerable road user safety. The implementation of the policy orientations 2011-2020 would also require specific implementation action for the exchange of good practices, road safety campaigns, calls for proposals and development of the road safety observatory; as well as the evaluations of options for making the road safety in the Union work more effectively and efficiently in the future.

This appropriation is also intended to cover expenditure on communication activities and on public events such as the annual European Road Safety Day and similar awareness-raising and citizen interaction initiatives.

It is also intended to establish efficient cross-border cooperation between Member States concerning the enforcement of road safety related traffic offences.

*Land transport*

The main activities in the land transport area concern the implementation and review of existing policies, enhancing sectoral cooperation and the planning of new initiatives. Among others, this includes activities in areas such as infrastructure charging, market access, social rules (including their enforcement), technical rules, safety rules and international aspects (land transport relations with third countries and international organisations dealing with land transport issues). All these activities require close cooperation with stakeholders.

*Rail market*

The full implementation of the Directive 2012/34/EU and Regulation (EU) No 913/2010 are key priorities to encourage competitiveness of railways. The legislation aims to open rail markets, improve the interoperability and safety of rail services and thus encourage the development of integrated rail system leading to a Single European Rail Area. In addition, the Commission services are reinforcing international transport cooperation on railway policy.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)

Encouraging sectoral cooperation is essential for effective implementation of Union rail legislation. Directive 2012/34/EU provides for the creation of the European Network of Rail Regulatory Bodies (ENRRB) and of the European Network of Infrastructure Managers (Platform of Rail Infrastructure Managers in Europe, PRIME). Informal cooperation frameworks have been established for railway undertakings (RUs) to share experience at Union level (RU Dialogue) and Ministries (Rail Directors Meetings). Equally, cooperation with third countries (the Gulf countries, China, Iran, Japan, Brazil, etc.) is important part of promoting Union's rail industry worldwide.

In this context, this appropriation is intended to cover the initiatives and the work of cooperation platforms that contribute to timely implementation of Single European Rail Area and addressing its future developments and international cooperation.

*Ports and inland navigation*

This appropriation is intended to cover development, monitoring, evaluation and revision (impact assessment) of Union legislation and policy on ports and inland navigation.

*Single European Sky*

The full implementation of the Single European Sky (four basic Regulations (EC) No 549/2004, (EC) No 550/2004, (EC) No 551/2004 and (EC) No 552/2004, and more than 20 implementing rules) is a key priority to improve the performance of the air navigation services in terms of safety, cost efficiency of the air navigation service provision, reduction of air traffic flow delays and environmental performance, and hence of air transport in Europe.

Implementing the Single European Sky and Single European Sky Air Traffic Management Research (SESAR) project, its technological pillar with the assistance of the SESAR Joint Undertaking, the SESAR Deployment Manager and the European Aviation Safety Agency (EASA) are also priorities in the Union's Aviation Strategy adopted in 2015.

The implementation of the performance scheme of the Single European Sky with the assistance of the Performance Review Body (PRB) is pursued under this article, with the assistance of EASA and Eurocontrol.

In this context, promoting the Single European Sky and the initiatives that contribute to its timely implementation, including through the involvement and consultation of stakeholders (Industry Consultation Body, National Supervisory Authorities Coordinating Platform and European Group on the Human Dimension), as well as addressing its future development are also important activities for the Commission and are pursued under this article.

*Aviation safety, environment and cooperation with the International Civil Aviation Organisation (ICAO)*

The use of several legislative tools are important to ensure the safety of European Aviation to ensure the achievement of an environmentally sustainable growth and protect Union citizens when travelling outside of the Union.

According to Articles 3 to 5 of Regulation (EC) No 2111/2005, the Commission may impose total bans or partial restrictions on air carriers from third countries coming to the Union. In that context and in accordance with Article 3(4) of Regulation (EC) No 473/2006, the Commission, the European Aviation Safety Agency (EASA) and experts from the Member States may carry out assessment missions to conduct on-the-spot checks and to identify safety deficiencies of the air carriers and the authorities responsible for their oversight. The cost of on-site assessment visits for national experts needs to be reimbursed by the Union.

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)

There is a clear need to complement these missions with more positive and preventive actions as well as with *ex post* technical cooperation to assist the countries concerned by the ban or restrictions to correct the deficiencies. In addition, the Commission and EASA have as their objective the promotion of the highest standards of aviation safety across the world.

In this context, the large-scale Union projects in the area of civil aviation cooperation managed by other Directorates-General (NEAR, DEVCO and FPI), which can only be implemented on a long-term basis, do not provide an immediate answer to short-term needs.

The objective of this initiative is therefore to complement the existing instruments by establishing a flexible tool to implement ad hoc preventive and assistance (corrective) actions in favour of the national authorities responsible for the oversight of air carriers affected by the Union ban within the Union. The actions envisaged are small-scale and short-term activities.

Since the framework service contract concluded between EASA and MOVE in 2009 proved to be an effective and efficient tool to carry out technical assistance, the contract with EASA was renewed for the period 2013-2016 and a new framework contract is under preparation for the period 2017-2020.

In addition, Regulation (EU) No 996/2010 provides for the establishment of a European Network of Civil Aviation Safety Investigation Authorities (Encasia). Encasia is required to develop actions in order to further improve the quality of investigations conducted by safety investigation authorities and to enhance accident prevention in the Union. According to that Regulation, the Commission is associated with Encasia's work and needs to provide Encasia with the necessary support.

Finally, the Memorandum of Cooperation between the Union and International Civil Aviation Organisation (ICAO) covers areas of aviation for which both the Commission and the ICAO have responsibilities (i.e. safety, environment, air traffic management and aviation security). This strengthened cooperation will ensure the indispensable participation in and contribution to ICAO initiatives in several key aviation policy areas which are being pursued at the global level. This includes the initiative to strengthen the safety of international aviation on a worldwide basis, or the development and implementation of measures with regard to the environmental impact of aviation which are acceptable worldwide. It aims to ensure that Union interests (including of an industrial nature, for example in the area of the definition of worldwide technical standards) are better taken into consideration by ICAO. Through the agreement, ICAO will also be brought to accept, support and enhance the increasingly important role which regional organisations play in the current and future development of international aviation.

*Sustainable and intelligent transport, including in urban areas*

This appropriation is intended to support policy and strategy development and implementation, as well as the implementation of Directives and the related delegated and implementing acts.

*Social issues*

This appropriation is intended to cover horizontal social issues. To reduce the risk of labour shortages, it will support actions aiming at attracting more workers to the transport sector, bearing in mind the effects of automation (a third of transport workers are over 50 years old). It will also support actions bringing more gender balance to the sector (transport remains male dominated with only 22 % of female workers and less than 3%, in technical positions).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)*Youth mobility*

This appropriation is intended to implement actions related to the mobility of young people in order to reinforce their knowledge and appreciation of other Union cultures by encouraging them to travel in a multi-modal, sustainable way. To this end, the Commission will, among other actions, promote travelling schemes and related campaigning actions and web portals.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EEC) No 2829/77 of 12 December 1977 on the bringing into force of the European Agreement concerning the work of crews of vehicles engaged in international road transport (AETR) (OJ L 334, 24.12.1977, p. 11) and in particular Article 22bis of the Agreement.

Council Regulation (EEC) No 4055/86 of 22 December 1986 applying the principle of freedom to provide services to maritime transport between Member States and between Member States and third countries (OJ L 378, 31.12.1986, p. 1).

Council Regulation (EEC) No 4057/86 of 22 December 1986 on unfair pricing practices in maritime transport (OJ L 378, 31.12.1986, p. 14).

Council Regulation (EEC) No 4058/86 of 22 December 1986 concerning coordinated action to safeguard free access to cargoes in ocean trades (OJ L 378, 31.12.1986, p. 21).

Council Regulation (EEC) No 3577/92 of 7 December 1992 applying the principle of freedom to provide services to maritime transport within Member States (maritime cabotage) (OJ L 364, 12.12.1992, p. 7).

Directive 92/106/EEC of the Council of 7 December 1992 on the establishment of common rules for certain types of combined transport of goods between Member States (OJ L 368, 17.12.1992, p. 38).

Council Regulation (EEC) No 95/93 of 18 January 1993 on common rules for the allocation of slots at Community airports (OJ L 14, 22.1.1993, p. 1).

Council Decision 93/704/EC of 30 November 1993 on the creation of a Community database on road accidents (OJ L 329, 30.12.1993, p. 63).

Council Directive 95/50/EC of 6 October 1995 on uniform procedures for checks on the transport of dangerous goods by road (OJ L 249, 17.10.1995, p. 35).

Council Directive 96/50/EC of 23 July 1996 on the harmonisation of the conditions for obtaining national boatmasters' certificates for the carriage of goods and passengers by inland waterway in the Community (OJ L 235, 17.9.1996, p. 31).



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Council Directive 96/53/EC of 25 July 1996 laying down for certain road vehicles circulating within the Community the maximum authorized dimensions in national and international traffic and the maximum authorized weights in international traffic (OJ L 235, 17.9.1996, p. 59).

Council Directive 96/67/EC of 15 October 1996 on access to the groundhandling market at Community airports (OJ L 302, 26.11.1996, p. 28).

Council Directive 96/75/EC of 19 November 1996 on the systems of chartering and pricing in national and international inland waterway transport in the Community (OJ L 304, 27.11.1996, p. 12).

Council Regulation (EC) No 2027/97 of 9 October 1997 on air carrier liability in case of accidents (OJ L 285, 17.10.1997, p. 1) as amended by Regulation (EC) No 889/2002 of the European Parliament and of the Council (OJ L 140, 30.5.2002, p. 2).

Council Regulation (EC) No 718/1999 of 29 March 1999 on a Community-fleet capacity policy to promote inland waterway transport (OJ L 90, 2.4.1999, p. 1).

Council Directive 1999/37/EC of 29 April 1999 on the registration documents for vehicles (OJ L 138, 1.6.1999, p. 57).

Directive 1999/62/EC of the European Parliament and of the Council of 17 June 1999 on the charging of heavy goods vehicles for the use of certain infrastructures (OJ L 187, 20.7.1999, p. 42).

Directive 2000/30/EC of the European Parliament and of the Council of 6 June 2000 on the technical roadside inspection of the roadworthiness of commercial vehicles circulating in the Community (OJ L 203, 10.8.2000, p. 1), and in particular Article 7 thereof.

Directive 2002/15/EC of the European Parliament and of the Council of 11 March 2002 on the organisation of the working time of persons performing mobile road transport activities (OJ L 80, 23.3.2002, p. 35).

Directive 2002/30/EC of the European Parliament and of the Council of 26 March 2002 on the establishment of rules and procedures with regard to the introduction of noise-related operating restrictions at Community airports (OJ L 85, 28.3.2002, p. 40).

Directive 2002/59/EC of the European Parliament and of the Council of 27 June 2002 establishing a Community vessel traffic monitoring and information system and repealing Council Directive 93/75/EEC (OJ L 208, 5.8.2002, p. 10), and in particular Article 26 thereof.

Decision 2002/309/EC, Euratom of the Council, and of the Commission as regards the Agreement on Scientific and Technological Cooperation, of 4 April 2002 on the conclusion of seven Agreements with the Swiss Confederation (OJ L 114, 30.4.2002, p. 91), and in particular Article 45 of Agreement between the European Community and the Swiss Confederation on the Carriage of Goods and Passengers by Rail and Road.

Council Regulation (EC) No 2027/97 of 9 October 1997 on air carrier liability in the event of accidents (OJ L 285, 17.10.1997, p. 1).

Directive 2003/25/EC of the European Parliament and of the Council of 14 April 2003 on specific stability requirements for ro-ro passenger ships (OJ L 123, 17.5.2003, p. 22), and in particular Article 10 thereof.

Directive 2003/42/EC of the European Parliament and of the Council of 13 June 2003 on occurrence reporting in civil aviation (OJ L 167, 4.7.2003, p. 26).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)

Directive 2003/59/EC of the European Parliament and of the Council of 15 July 2003 on the initial qualification and periodic training of drivers of certain road vehicles for the carriage of goods or passengers, amending Council Regulation (EEC) No 3820/85 and Council Directive 91/439/EEC and repealing Council Directive 76/914/EEC (OJ L 226, 10.9.2003, p. 4).

Regulation (EC) No 261/2004 of the European Parliament and of the Council of 11 February 2004 establishing common rules on compensation and assistance to passengers in the event of denied boarding and of cancellation or long delay of flights, and repealing Regulation (EEC) No 295/91 (OJ L 46, 17.2.2004, p. 1).

Regulation (EC) No 549/2004 of the European Parliament and of the Council of 10 March 2004 laying down the framework for the creation of the single European sky (the framework Regulation) (OJ L 96, 31.3.2004, p. 1).

Regulation (EC) No 550/2004 of the European Parliament and of the Council of 10 March 2004 on the provision of air navigation services in the single European sky (the service provision Regulation) (OJ L 96, 31.3.2004, p. 10).

Regulation (EC) No 551/2004 of the European Parliament and of the Council of 10 March 2004 on the organisation and use of the airspace in the single European sky (the airspace Regulation) (OJ L 96, 31.3.2004, p. 20).

Regulation (EC) No 552/2004 of the European Parliament and of the Council of 10 March 2004 on the interoperability of the European Air Traffic Management network (the interoperability Regulation) (OJ L 96, 31.3.2004, p. 26).

Regulation (EC) No 785/2004 of the European Parliament and of the Council of 21 April 2004 on insurance requirements for air carriers and aircraft operators (OJ L 138, 30.4.2004, p. 1).

Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways and amending Council Directive 95/18/EC on the licensing of railway undertakings and Directive 2001/14/EC on the allocation of railway infrastructure capacity and the levying of charges for the use of railway infrastructure and safety certification (Railway Safety Directive) (OJ L 164, 30.4.2004, p. 44).

Directive 2004/52/EC of the European Parliament and of the Council of 29 April 2004 on the interoperability of electronic road toll systems in the Community (OJ L 166, 30.4.2004, p. 124).

Directive 2004/54/EC of the European Parliament and of the Council of 29 April 2004 on minimum safety requirements for tunnels in the Trans-European Road Network (OJ L 167, 30.4.2004, p. 39).

Directive 2005/44/EC of the European Parliament and of the Council of 7 September 2005 on harmonised river information services (RIS) on inland waterways in the Community (OJ L 255, 30.9.2005, p. 152).

Regulation (EC) No 2111/2005 of the European Parliament and of the Council of 14 December 2005 on the establishment of a Community list of air carriers subject to an operating ban within the community and on informing air transport passengers of the identity of the operating air carrier (OJ L 344, 27.12.2005, p. 15).

Directive 2006/1/EC of the European Parliament and of the Council of 18 January 2006 on the use of vehicles hired without drivers for the carriage of goods by road (OJ L 33, 4.2.2006, p. 82).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)

Directive 2006/22/EC of the European Parliament and of the Council of 15 March 2006 on minimum conditions for the implementation of Council Regulations (EEC) No 3820/85 and (EEC) No 3821/85 concerning social legislation relating to road transport activities and repealing Council Directive 88/599/EEC (OJ L 102, 11.4.2006, p. 35).

Regulation (EC) No 561/2006 of the European Parliament and of the Council of 15 March 2006 on the harmonisation of certain social legislation relating to road transport (OJ L 102, 11.4.2006, p. 1).

Commission Regulation (EC) No 473/2006 of 22 March 2006 laying down implementing rules for the Community list of air carriers which are subject to an operating ban within the Community referred to in Chapter II of Regulation (EC) No 2111/2005 of the European Parliament and of the Council (OJ L 84, 23.3.2006, p. 8).

Regulation (EC) No 1107/2006 of the European Parliament and of the Council of 5 July 2006 concerning the rights of disabled persons and persons with reduced mobility when travelling by air (OJ L 204, 26.7.2006, p. 1).

Directive 2006/87/EC of the European Parliament and of the Council of 12 December 2006 laying down technical requirements for inland waterway vessels (OJ L 389, 30.12.2006, p. 1).

Directive 2006/126/EC of the European Parliament and of the Council of 20 December 2006 on driving licences (OJ L 403, 30.12.2006, p. 18).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road (OJ L 315, 3.12.2007, p. 1).

Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 4).

Directive 2007/59/EC of the European Parliament and of the Council of 23 October 2007 on the certification of train drivers operating locomotives and trains on the railway system in the Community (OJ L 315, 3.12.2007, p. 51).

Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the community (OJ L 191, 18.7.2008, p. 1).

Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation in air services in the Community (OJ L 293, 31.10.2008, p. 3).

Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ L 260, 30.9.2008, p. 18).

Directive 2008/96/EC of the European Parliament and of the Council of 19 November 2008 on road infrastructure safety management (OJ L 319, 29.11.2008, p. 59).

Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC (OJ L 79, 19.3.2008, p. 1), and in particular Article 6 and Article 14 thereof.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)

Regulation (EC) No 80/2009 of the European Parliament and of the Council of 14 January 2009 on a Code of Conduct for computerised reservation systems and repealing Council Regulation (EEC) No 2299/89 (OJ L 35 of 4.2.2009, p. 47).

Directive 2009/12/EC of the European Parliament and of the Council of 11 March 2009 on airport charges (OJ L 70, 14.3.2009, p. 11).

Directive 2009/16/EC of the European Parliament and of the Council of 23 April 2009 on port State control (OJ L 131 of 28.5.2009, p. 128), and in particular Article 35 thereof.

Directive 2009/18/EC of the European Parliament and of the Council of 23 April 2009 establishing the fundamental principles governing the investigation of accidents in the maritime transport sector and amending Council Directive 1999/35/EC and Directive 2002/59/EC of the European Parliament and of the Council (OJ L 131, 28.5.2009, p. 114), and in particular Article 23 thereof.

Directive 2009/21/EC of the European Parliament and of the Council of 23 April 2009 on compliance with flag State requirements (OJ L 131 of 28.5.2009, p. 132), and in particular Article 7 and Article 10(2) thereof.

Directive 2009/33/EC of the European Parliament and of the Council of 23 April 2009 on the promotion of clean and energy-efficient road transport vehicles (OJ L 120, 15.5.2009, p. 5).

Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 122, 16.5.2009, p. 28).

Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics and repealing Regulation (EC, Euratom) No 1101/2008 of the European Parliament and of the Council on the transmission of data subject to statistical confidentiality to the Statistical Office of the European Communities, Council Regulation (EC) No 322/97 on Community Statistics, and Council Decision 89/382/EEC, Euratom establishing a Committee on the Statistical Programmes of the European Communities (OJ L 87, 31.3.2009, p. 164).

Regulation (EC) No 392/2009 of the European Parliament and of the Council of 23 April 2009 on the liability of carriers of passengers by sea in the event of accidents (OJ L 131, 28.5.2009, p. 24).

Regulation (EC) No 1071/2009 of the European Parliament and of the Council of 21 October 2009 establishing common rules concerning the conditions to be complied with to pursue the occupation of road transport operator (OJ L 300, 14.11.2009, p. 51).

Regulation (EC) No 1072/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international road haulage market (OJ L 300, 14.11.2009, p. 72).

Regulation (EC) No 1073/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international market for coach and bus services, and amending Regulation (EC) No 561/2006 (OJ L 300, 14.11.2009, p. 88).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)

Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC and 1999/36/EC (OJ L 165, 30.6.2010, p. 1).

Directive 2010/40/EU of the European Parliament and of the Council of 7 July 2010 on the framework for the deployment of Intelligent Transport Systems in the field of road transport and for interfaces with other modes of transport (OJ L 207, 6.8.2010, p. 1).

Regulation (EU) No 913/2010 of the European Parliament and of the Council of 22 September 2010 concerning a European rail network for competitive freight (OJ L 276, 20.10.2010, p. 22).

Directive 2010/65/EU of the European Parliament and of the Council of 20 October 2010 on reporting formalities for ships arriving in and/or departing from ports of the Member States and repealing Directive 2002/6/EC (OJ L 283, 29.10.2010, p. 1).

Regulation (EU) No 996/2010 of the European Parliament and of the Council of 20 October 2010 on the investigation and prevention of accidents and incidents in civil aviation and repealing Directive 94/56/EC (OJ L 295, 12.11.2010, p. 35).

Regulation (EU) No 1177/2010 of the European Parliament and of the Council of 24 November 2010 concerning the rights of passengers when travelling by sea and inland waterway and amending Regulation (EC) No 2006/2004 (OJ L 334, 17.12.2010, p. 1).

Regulation (EU) No 181/2011 of the European Parliament and of the Council of 16 February 2011 concerning the rights of passengers in bus and coach transport and amending Regulation (EC) No 2006/2004 (OJ L 55, 28.2.2011, p. 1).

Council Decision 2012/243/EU of 8 March 2012 on the conclusion of a Memorandum of Cooperation between the European Union and the International Civil Aviation Organization providing a framework for enhanced cooperation, and laying down procedural arrangements related thereto (OJ L 121, 8.5.2012, p. 16).

Directive 2012/34/EU of the European Parliament and of the Council of 21 November 2012 establishing a single European railway area (OJ L 343, 14.12.2012, p. 32).

Regulation (EU) No 99/2013 of the European Parliament and of the Council of 15 January 2013 on the European statistical programme 2013-17 (OJ L 39, 9.2.2013, p. 12), and in particular Article 8 thereof.

Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

Directive 2014/45/EU of the European Parliament and of the Council of 3 April 2014 on periodic roadworthiness tests for motor vehicles and their trailers and repealing Directive 2009/40/EC (OJ L 127, 29.4.2014, p. 51).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)

Directive (EU) 2016/1629 of the European Parliament and of the Council of 14 September 2016 laying down technical requirements for inland waterway vessels, amending Directive 2009/100/EC and repealing Directive 2006/87/EC (OJ L 252, 16.9.2016, p. 118).

Directive 2014/47/EU of the European Parliament and of the Council of 3 April 2014 on the technical roadside inspection of the roadworthiness of commercial vehicles circulating in the Union and repealing Directive 2000/30/EC (OJ L 127, 29.4.2014, p. 134), and in particular point (b) of Article 4(3) and Article 18 thereof.

Regulation (EU) No 165/2014 of the European Parliament and of the Council of 4 February 2014 on tachographs in road transport, repealing Council Regulation (EEC) No 3821/85 on recording equipment in road transport and amending Regulation (EC) No 561/2006 of the European Parliament and of the Council on the harmonisation of certain social legislation relating to road transport (OJ L 60, 28.2.2014, p. 1).

Regulation (EU) No 376/2014 of the European Parliament and of the Council of 3 April 2014 on the reporting, analysis and follow-up of occurrences in civil aviation, amending Regulation (EU) No 996/2010 of the European Parliament and of the Council and repealing Directive 2003/42/EC of the European Parliament and of the Council and Commission Regulations (EC) No 1321/2007 and (EC) No 1330/2007 (OJ L 122, 24.4.2014, p. 18).

Directive 2014/94/EU of the European Parliament and of the Council of 22 October 2014 on the deployment of alternative fuels infrastructure (OJ L 307, 28.10.2014, p. 1).

Directive (EU) 2015/413 of the European Parliament and of the Council of 11 March 2015 facilitating the cross-border exchange of information on road-safety-related traffic offences (OJ L 68, 13.3.2015, p. 9).

Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels (OJ L 132, 21.5.2016, p. 58).

Regulation (EU) 2017/352 of the European Parliament and of the Council of 15 February 2017 establishing a framework for the provision of port services and common rules on the financial transparency of ports (OJ L 57, 3.3.2017, p. 1).

*Reference acts*

Commission Decision of 6 October 2009 on the definition of the European Electronic Toll Service and its technical elements (notified under document C(2009) 7547).

06 02 06 **Transport security***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 1 795 000           | 1 492 816 | 1 950 000           | 1 077 798 | 1 564 145,08 | 1 819 591,11 |

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 06** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the rules and measures required to improve the security of inland, air and sea transport, including extension to third countries, technical assistance and specific training.

The main objectives are to develop and apply security rules in the field of transport and in particular:

- measures to prevent malicious acts in the transport sector,
- approximation of legislation, technical standards and administrative monitoring practices relating to transport security,
- definition of common indicators, methods and security objectives for the transport sector and collection of the data necessary for such definition,
- monitoring of measures taken on transport security by the Member States, in all modes,
- international coordination on transport security,
- promoting research into transport security.

This appropriation is intended in particular to cover expenditure on the establishment and operation of a corps of inspectors to check compliance with the requirements of Union security legislation of airports, ports and port facilities in the Member States, including extension to third countries, and of ships flying the flag of a Member State. This expenditure includes the subsistence allowances and travel expenses of the Commission inspectors and the expenses of inspectors from the Member States, borne in accordance with the provisions laid down in that legislation. The costs of training inspectors, of preparatory meetings and of the supplies needed for inspections must, in particular, be added to that expenditure.

This appropriation is also intended to cover expenditure on information and communication, as well as electronic and paper publications directly linked to the achievement of the objective of transport policy, as well as safety and protection of transport users.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p. 6).

Directive 2005/65/EC of the European Parliament and of the Council of 26 October 2005 on enhancing port security (OJ L 310, 25.11.2005, p. 28).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 06 (*cont'd*)

Regulation (EC) No 300/2008 of the European Parliament and of the Council of 11 March 2008 on common rules in the field of civil aviation security (OJ L 97, 9.4.2008, p. 72).

Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ L 260, 30.9.2008, p. 13).

*Reference acts*

Commission Regulation (EU) No 72/2010 of 26 January 2010 laying down procedures for conducting Commission inspections in the field of aviation security (OJ L 23, 27.1.2010, p. 1).

06 02 51 **Completion of trans-European networks programme**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |             | Outturn 2016 |               |
|---------------------|----------|---------------------|-------------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments    | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | 340 000 000 | 0,—          | 314 241 947,— |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network (OJ L 228, 9.9.1996, p. 1).

Council Regulation (EC) No 876/2002 of 21 May 2002 setting up the Galileo joint undertaking (OJ L 138, 28.5.2002, p. 1).

Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64, 2.3.2007, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Commission Decision C(2007) 3512 of 23 July 2007, establishing the multiannual work programme for grants in the field of trans-European network for the period 2007-2013.



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 51** (*cont'd*)

Regulation (EC) No 67/2010 of the European Parliament and of the Council of 30 November 2009 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 27, 30.1.2010, p. 20).

Decision No 661/2010/EU of the European Parliament and of the Council of 7 July 2010 on Union guidelines for the development of the trans-European transport network (OJ L 204, 5.8.2010, p. 1).

*Reference acts*

Commission Decision C(2001) 2654 of 19 September 2001 establishing an indicative multiannual programme for the granting of Community financial aid in the area of the trans-European transport network for the period 2001 to 2006.

Commission Decision C(2007) 6382 of 17 December 2007 on the conclusion of a Cooperation Agreement between the Commission and the European Investment Bank in respect of the Loan Guarantee Instrument for TEN-T Projects.

**06 02 52** **Completion of Marco Polo programme***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 2 680 000 | p.m.                | 8 135 000 | 0,—          | 15 503 157,48 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EC) No 1382/2003 of the European Parliament and of the Council of 22 July 2003 on the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo programme) (OJ L 196, 2.8.2003, p. 1).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 52 (*cont'd*)

Regulation (EC) No 1692/2006 of the European Parliament and of the Council of 24 October 2006 establishing the second Marco Polo programme for the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo II) (O) L 328, 24.11.2006, p. 1).

06 02 53 **Completion of anti-pollution measures**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 4 504 500,46 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

*Legal basis*

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (O) L 208, 5.8.2002, p. 1).

06 02 77 **Pilot projects and preparatory actions**

06 02 77 01 Preparatory action — European transport information and booking interface across transport modes

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 132 198,72 |

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (cont'd)

06 02 77 (cont'd)

06 02 77 01 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 03 Preparatory action — Ships fuelled by liquefied natural gas (LNG)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 518 525,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 06 Preparatory action — General aviation — Statistics and key figures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 133 896,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (cont'd)

06 02 77 (cont'd)

06 02 77 06 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 07 Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 650 000  | p.m.                | 650 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 08 Pilot project — GNSS monitoring system for heavy vehicles

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 245 000  | 349 949,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (cont'd)**06 02 77** (cont'd)

06 02 77 08 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 09 Pilot project — Making the EU transport sector attractive to future generations

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 350 000  | 500 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 10 Preparatory action — Smart port city

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The preparatory action will support exchanges of good practices on city-port relations and innovative smart port city projects. It could be coordinated with smart and sustainable cities actions.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 10 (*cont'd*)

Port cities are facing huge challenges in reconciling competition and sustainable urban development. Those challenges include relocation of port functions, container transport, redevelopment of port-city interfaces and waterfronts and dialogue with city inhabitants. Tensions between city and port, tourism and industry, natural and built-up areas need to be addressed. Smart city and smart port city ambitions should be complementary. A knowledge- and ICT-based smart port city could work hand in hand with inland interfaces to further increase service efficiency and quality. Having regard to the motorways of the sea concept, this is in line with the Europe 2020 strategy and the revised White Paper of 28 March 2011 entitled 'Roadmap to a Single European Transport Area — Towards a competitive and resource efficient transport system' (COM(2011) 144 final).

Cities and ports are faced with the same problems and have the same opportunities, so there should be innovative solutions for: (a) reducing greenhouse gas emissions from ocean shipping and also from port operations; (b) developing the linkage with hinterland transport (rail, road, inland); (c) enhancing renewable energy use and improving energy efficiency; (d) promoting ICT use and interoperability; (e) strengthening the competitiveness of port cities, bearing in mind the strategic position of the outermost regions and the importance of connectivity between islands; and (f) promoting synergies between city and port.

These innovative actions should also have an impact on maritime and coastal tourism. Actions should also focus on port facilities and dialogue between port and city authorities for urban and port planning. Coastal and maritime tourism also has a role to play in the diversification of ports. This preparatory action will identify the benefits of smart port city development around Europe and take into consideration the full significance of ports. The action will also include initiatives to create a European smart port city network.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 11 Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 120 000  | p.m.                | 280 000  | 400 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (cont'd)

06 02 77 (cont'd)

06 02 77 11 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 12 Preparatory action — Integrating remotely piloted aircraft systems (RPAS) in European airspace with an active geofencing service (AGS)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 350 000  | 500 000,—    | 250 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will demonstrate an AGS for RPAS (drones) flying below 150 metres (500 feet) above ground level. It will be set up by connecting the drone-controlling remote pilot station on the ground to a web-based platform. The web-based platform will display the position of the RPAS operation and will validate compliance with different national legislation, depending on the location of the operation. The platform can generate no-fly zones on the basis of aviation standards.

By connecting the web-based platform to the RPAS, users will not be able to operate RPAS in no-fly zones. No-fly zones can be generated, monitored and controlled by the authorities responsible. The information generated by the web-based platform can also be shared with all stakeholders in the European aviation network.

This action can provide a solution for the safe and secure integration of small drones into the existing aviation network, guaranteeing a level of safety equivalent to that in manned aviation and resulting in a win-win situation for all RPAS stakeholders. Privacy can be guaranteed by using no-fly zones for RPAS operations; and, more importantly, SMEs in the RPAS industries will be helped to create promising new job opportunities in Europe. The following RPAS services can be demonstrated by using a web-based platform: an AGS monitored and controlled by the authorities responsible, and a validation service checking the regulations applicable.

This platform could be accessible to various stakeholders, such as RPAS users, air navigation service providers, civil aviation authorities, police and emergency services, RPAS manufacturers and qualified entities. It will use existing aviation standards, be compliant with manned aviation rules and result in safer, more secure and standardised integration into the aviation network. In addition to those standards, the platform must be interoperable with all RPAS so as to open the European market for all RPAS manufacturers and all RPAS users. Finally, this RPAS air traffic management platform should be scalable for all Member States.

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 12 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 13 Pilot project — Innovative ways of sustainably financing public transport

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 37 500   | p.m.                | 87 500   | 125 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 14 Preparatory action — Towards a single and innovative European transport system

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|-----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 1 100 000 | p.m.                | 500 000  | 1 500 000,—  | 207 885,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 77** (*cont'd*)06 02 77 14 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 15 Pilot project — Raising awareness of alternatives to private car

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 800 000             | 645 000  | 490 000             | 245 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Context*

Around 55 % of car journeys are less than five kilometres long. The average occupancy rate is low at around 1,3 persons per car. Those figures show that alternatives to the private car use are still underdeveloped and that there is a huge potential for a shift towards more sustainable modes.

Raising awareness is a first step towards developing alternatives to private car use. The aim is to encourage behavioural change, for instance for home-to-work and home-to-shop journeys. There are already a number of initiatives to change individual car use into non-motorised individual mobility, such as walking and cycling, including using electric bicycles (which has the potential to be the most reliable and fastest mode for distances up to 10 kilometres). But these initiatives, which are mostly used to target individual behaviours, could be speeded up by means of a collective approach.

*Project*

The pilot project is all about developing new tools to raise awareness at a collective level. There is a high potential for experimentation in public and private companies, local authorities and international conferences to use new tools to measure and set objectives for modal shift at a group level. These tools are called 'group-performance-based systems' using crowdsourcing and connected technologies. They allow better flexibility, team spirit and long-term coordination between people, and also provide statistics and mass data on behavioural change. The pilot project will develop and disseminate a new set of tools to limit the use of cars to what is strictly necessary.

*Compliance with:*

— the COP21 Agreement's main objective, which consists in '(...) holding the increase in the global average temperature to well below 2 °C above pre-industrial levels;'

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 15 (*cont'd*)

- the White Paper of 28 March 2011 entitled 'Roadmap to a Single European Transport Area — Towards a competitive and resource efficient transport system' (COM(2011) 144 final) containing ambitious targets for carbon reduction: 'By 2030, the goal for transport will be to reduce greenhouse gas (GHG) emissions to around 20 % below their 2008 level.;
- the European Parliament own-initiative report on sustainable urban mobility, which emphasises that the European Parliament 'is convinced that air pollution has a local, regional, national and cross-border dimension and requires action at all levels of governance; asks, therefore, for a strengthening of the multi-level governance approach where all actors take the responsibility for measures that can and should be taken at that very level';
- the Commission communication on transport decarbonation, published in July 2016, which makes carbon reduction a top priority for Union transport policy.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 16 Pilot project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs))

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 800 000  | 600 000             | 300 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

In rural areas in the Union there has been a large increase in projects developing shared mobility interconnected with public transport and non-motorised individual mobility. These are based on different conventional and digital tools (e.g. smartphone apps) and involve, for example, car sharing, car pooling, shared driving, hitchhiking, taxi sharing and flexible call buses. This development is a huge opportunity to give a boost to 'smart rural transport areas' (SMARTAs). The pilot project will improve knowledge and understanding of whether there is a need for a cross-border approach to SMARTAs, such as exchanges of best practice examples, interoperability, sustainable regional development, cohesion, research and development and innovation.

The pilot project has the potential to generate win-win situations, such as reducing CO<sub>2</sub> emissions (COP 21 Agreement), better mobility connections in remote and rural areas for persons who cannot or do not want to own a car (young, elderly and socially disadvantaged people).

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 16 (*cont'd*)

The eighth of the ten goals for a competitive and resource-efficient transport system set out in the White Paper of 28 March 2011 entitled 'Roadmap to a Single European Transport Area — Towards a competitive and resource efficient transport system' (COM(2011) 144 final) is to establish the framework for a European multimodal transport information, management and payment system by 2020.

Furthermore, initiative 22 in the White Paper (Seamless door-to-door mobility) involves defining 'the measures necessary for further integrating different passenger transport modes to provide seamless multimodal door-to-door travel'. Initiative 27 (Travel information) involves promoting 'awareness of the availability of alternatives to individual conventional transport (drive less, walk and cycle, car sharing, park and ride, intelligent ticketing, etc.)'.

Finally, this pilot project is a useful vehicle for applying Union legislation on intelligent transport systems (ITS) (Directive 2010/40/EU of the European Parliament and of the Council of 7 July 2010 on the framework for the deployment of Intelligent Transport Systems in the field of road transport and for interfaces with other modes of transport (OJ L 207, 6.8.2010, p. 1) and the corresponding work programme of the Commission) as well as for the further development of Horizon 2020 and for implementation of the Digital Agenda.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 17 Pilot project — Single European Sky (SES) airspace architecture

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 600 000             | 700 000  | 800 000             | 400 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

European air traffic management is handled by 28 Air Navigation Service Providers (ANSP) on the basis of ground national borders. The cost of airspace fragmentation has been put at EUR 4 billion a year. The pilot project will evaluate a new Union airspace architecture based only on traffic flow efficiency, direct routes and the most efficient number of control centres. This will take into account Single European Sky Air Traffic Management Research (SESAR)-related technology in order to establish the most efficient deployment locations.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 17 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 18 Pilot project — Mapping accessible transport for people with reduced mobility

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | 600 000             | 300 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will develop a digital platform for urban transport routes suitable for people with reduced mobility.

One in six people in Europe is affected by disability to some degree and with an ageing population the need for information about barrier-free transport options in cities will only grow with time. While municipalities and transport companies are working on making transport more accessible and harmonised across Europe, information regarding barrier-free mobility options is still not clearly communicated, and many of those affected still need to rely on privately organised transport options.

The proposal for a Directive of the European Parliament and of the Council on the approximation of the laws, regulations and administrative provisions of the Member States as regards the accessibility requirements for products and services (COM(2015) 615 final) laid the groundwork for improving the life of people with disabilities. It aims to overcome the divergent, and often contradictory, national accessibility requirements which have created barriers for business and thus have prevented people with disabilities from benefiting from the potential of the internal market.

The pilot project will first support the collection of information about barrier-free mobility in urban areas and then link the information to a common online platform, which should ideally be accessible via a mobile app and similarly accessible information tools. This platform will, for example, take into account a more accurate walking speed and the accessibility of public transport options, of certain streets, and of existing specialised transport services.

One focus of the proposed Directive is to make transport more accessible for people with disabilities by harmonising Member State accessibility requirements. This pilot project will therefore build on this momentum by helping information about accessible transport to be better communicated to the target group. This should encourage transport companies to improve their accessibility standards more quickly and businesses to take advantage of the digital economy market opportunities offered by this still relatively untapped market.

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (cont'd)

06 02 77 (cont'd)

06 02 77 18 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 19 Pilot project — Secure parking areas for trucks

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 425 000  | 850 000             | 425 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The increased migratory pressure on the Union has seriously affected both traffic security and road haulage industry security in a number of Member States in certain locations, such as Calais, where refugees are trying to get into trucks. It is necessary to react to this crisis and to provide safe parking points for trucks in order to prevent refugee deaths and safeguard truck drivers, as well as to help prevent material damage for hauliers.

The pilot project will cover expenditure on setting up secure and guarded parking areas for trucks along the major road transport routes through Europe to border locations, at points where traffic and truck security is affected by large numbers of refugees trying to climb into trucks to cross a border.

This appropriation will be in addition to the EUR 5 000 000 Commission Vice-President Frans Timmermans promised to the French authorities to deal with the refugee camps in Calais.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 20 Pilot project — Human behaviour in connection with autonomous driving

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 350 000             | 175 000  |                     |          |              |          |

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 20 (*cont'd*)*Remarks*

This new pilot project will address the lack of attention given by the Union to behaviour in connection with autonomous driving. Much research has been conducted in recent years into autonomous driving, both by car manufacturers and by the research community. It has mainly focused on the technological aspects of autonomous driving, i.e. interoperability of vehicles, interaction with road infrastructure, data security, data reliability, data protection and liability, etc.

The pilot project will provide a new area for studies and research that puts the emphasis on driver behaviour, which has been somewhat neglected both by policy makers and by the industry. It will provide the Commission and the legislative authority with a complementary view of the interaction of human behaviour with autonomous driving with a view to addressing potential problems arising from the large-scale introduction of autonomous driving in the Union in order to make it a success and improve road safety.

The pilot project will gather information from the research community on road safety in order to address the following areas:

- the human factor in new autonomous driving; opinions and views of professional/frequent/occasional drivers, broken down by age and other relevant criteria (country, gender, etc.);
- identifying and proposing solutions to address 'resistance' by the driver community to the introduction of autonomous vehicles;
- the training needs of drivers in connection with new autonomous forms of driving; need for additional/specific/less certification for self-drivers (compulsory training, additional requirements to obtain a driving licence, voluntary schemes, etc.);
- interaction between autonomous and traditional drivers; attitudes of drivers and other road users towards autonomous drivers and ways of alerting other road users to autonomous driving.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 21 Pilot project — Pan-European road safety awareness campaign

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 600 000             | 300 000  |                     |          |              |          |

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 77** (*cont'd*)06 02 77 21 (*cont'd*)*Remarks*

The Union is committed to halving the number of fatal road accidents by 2020. Cross-European enforcement operations supported by information and awareness campaigns could help to achieve this goal. Therefore a coordinated pan-European enforcement event should take place across the TEN-T network and Member States should sign up to it.

The Commission will therefore fund a one-month coordinated operation across the TEN-T network. European police operations in Member States should be coordinated. As more than half of all fatal accidents occur on rural roads, the event could take place in rural areas and be supported by targeted campaigns to raise awareness. It should be focused on the main killer: speed.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 22 Pilot project — OREL — European system for limiting odometer fraud: fast-track to roadworthiness in the Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 300 000             | 150 000  |                     |          |              |          |

*Remarks*

The pilot project will reduce odometer fraud by facilitating odometer information exchange in the Union.

It will include an assessment, a feasibility study and a technical business analysis to identify and explore solutions and define the scope for a future odometer information exchange system in the Union. It will provide support for roadworthiness certification in connection with Directive 2014/45/EU of the European Parliament and of the Council of 3 April 2014 on periodic roadworthiness tests for motor vehicles and their trailers and repealing Directive 2009/40/EC (OJ L 127, 29.4.2014, p. 51).

Recent studies show that in major European markets for used cars one-third of all vehicles have had their odometers manipulated. The average value of the fraud is roughly EUR 3 000, with central budget revenue losses estimated at between EUR 5 600 000 000 and EUR 9 600 000 000 a year. New vehicles are mainly checked and serviced at official dealerships as a condition of warranty. Dealerships keep records of odometer readings, but this information is not publicly accessible. New vehicles do not undergo roadworthiness testing until they are four years old. During the subsequent annual inspections, odometer readings are transmitted to databases in the Member States but are not exchanged. When a vehicle changes hands or is sold abroad, there is a break in the odometer track record, presenting the opportunity for tampering with odometer readings. It is becoming increasingly easy to do this with a minimum of investment in software, knowledge and time.

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**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)

**06 02 77** (*cont'd*)

06 02 77 22 (*cont'd*)

The target group includes consumers, tax and revenue authorities and car retailers.

The pilot project is supported by the Fédération Internationale de l'Automobile and the European Consumer Organisation BEUC.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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TITLE 06 — MOBILITY AND TRANSPORT

**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|---------------|----------------|-----------------------------|
|                                     |                                                                                                                                                                        |     | Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |                             |
| 06 03                               | HORIZON 2020 —<br>RESEARCH AND INNO-<br>VATION RELATED TO<br>TRANSPORT                                                                                                 |     |                     |             |                     |             |               |                |                             |
| <b>06 03 03</b>                     | <b>Societal challenges</b>                                                                                                                                             |     |                     |             |                     |             |               |                |                             |
| 06 03 03 01                         | Achieving a resource-effi-<br>cient, environmentally-<br>friendly, safe and seamless<br>European transport system                                                      | 1.1 | 56 835 072          | 105 297 459 | 86 255 047          | 88 680 904  | 99 322 820,15 | 100 461 969,75 | 95,41                       |
|                                     | <i>Article 06 03 03 — Subtotal</i>                                                                                                                                     |     | 56 835 072          | 105 297 459 | 86 255 047          | 88 680 904  | 99 322 820,15 | 100 461 969,75 | 95,41                       |
| <b>06 03 07</b>                     | <b>Joint Undertakings</b>                                                                                                                                              |     |                     |             |                     |             |               |                |                             |
| 06 03 07 31                         | Single European Sky Air<br>Traffic Management<br>Research (SESAR) Joint<br>Undertaking — Support<br>expenditure                                                        | 1.1 | 3 250 683           | 3 250 683   | 3 241 507           | 3 241 507   | 0,—           | 0,—            | 0                           |
| 06 03 07 32                         | Single European Sky Air<br>Traffic Management<br>Research 2 (SESAR2) Joint<br>Undertaking                                                                              | 1.1 | 106 749 317         | 79 017 129  | 96 758 493          | 65 088 493  | 61 638 000,—  | 46 001 500,—   | 58,22                       |
| 06 03 07 33                         | Shift2Rail (S2R) Joint<br>Undertaking — Support<br>expenditure                                                                                                         | 1.1 | 1 624 000           | 1 624 000   | 1 579 870           | 1 579 870   | 1 620 687,—   | 1 991 748,71   | 122,64                      |
| 06 03 07 34                         | Shift2Rail (S2R) Joint<br>Undertaking                                                                                                                                  | 1.1 | 75 800 000          | 74 114 828  | 60 043 130          | 50 800 000  | 45 248 848,—  | 47 091 508,—   | 63,54                       |
|                                     | <i>Article 06 03 07 — Subtotal</i>                                                                                                                                     |     | 187 424 000         | 158 006 640 | 161 623 000         | 120 709 870 | 108 507 535,— | 95 084 756,71  | 60,18                       |
| <b>06 03 50</b>                     | <b>Appropriations accruing<br/>from contributions from<br/>(non-European Economic<br/>Area) third parties to<br/>research and technological<br/>development</b>        |     |                     |             |                     |             |               |                |                             |
| 06 03 50 01                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (2014 to<br>2020)  | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 4 806 736,21  | 2 160 687,52   |                             |
| 06 03 50 02                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (prior to<br>2014) | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 2 614 608,93   |                             |
|                                     | <i>Article 06 03 50 — Subtotal</i>                                                                                                                                     |     | p.m.                | p.m.        | p.m.                | p.m.        | 4 806 736,21  | 4 775 296,45   |                             |

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TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                 | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                         |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 06 03 51                            | Completion of previous research framework programmes — the Seventh Framework Programme — European Community (2007-2013) | 1.1 | p.m.                | p.m.               | p.m.                | 42 614 143         | 7 234,19              | 60 211 899,96         |                             |
| <b>Chapter 06 03 — Total</b>        |                                                                                                                         |     | <b>244 259 072</b>  | <b>263 304 099</b> | <b>247 878 047</b>  | <b>252 004 917</b> | <b>212 644 325,55</b> | <b>260 533 922,87</b> | <b>98,95</b>                |

## Remarks

These remarks are applicable to all the budget lines in this chapter.

These appropriations are intended to be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014 to 2020 period, and for the completion of the previous research programmes (seventh framework programme and preceding framework programmes).

Horizon 2020 will play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 will contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European research area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European research area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations are intended to be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT** (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Revenue from States taking part in the European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 06 03 50 01.

Administrative appropriations of this chapter will be provided under Article 06 01 05.

**06 03 03**     ***Societal challenges****Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Funding will be focused on the following challenges:

- smart, green and integrated transport,
- innovation and research, particularly in the areas of behavioural change, modal shift, accessibility for all, integration (interconnectivity, intermodality, interoperability) and sustainability (climate change, gas and noise emission reduction), which are of crucial importance for the transport and tourism sectors.

COMMISSION

TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 03 (*cont'd*)

06 03 03 01 Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |                |
|---------------------|-------------|---------------------|------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments       |
| 56 835 072          | 105 297 459 | 86 255 047          | 88 680 904 | 99 322 820,15 | 100 461 969,75 |

*Remarks**Former Item 06 03 03 01 (in part)*

This appropriation is intended to cover research and innovation actions that should substantially contribute to shifting transport into a new era of smart mobility. Actions under this item should support the development and take-up of the necessary solutions for all transport modes, drastically reducing transport emissions that are harmful to the environment, lowering the dependence of transport on fossil fuels, and hence reducing transport impact on biodiversity and preserve natural resources. This is expected to be achieved by investing in specific activities, particularly in the form of large public-private partnerships, such as making aircraft, trains, vehicles and vessels cleaner and quieter, developing smart equipment, infrastructure and services and improving transport and mobility in urban areas.

Research and innovation carried under this item is expected to make important contributions to optimise performance and efficiency in the face of growing demands for mobility. Actions under this item will also focus on substantially reducing traffic congestion, substantially improving the mobility of people and freight, developing and applying new concepts of freight transport and logistics and reducing accident rates and fatal casualties and improving security. Actions under this item are indeed intended to contribute to making Europe the safest region for aviation and to move towards the target of zero fatalities in road transport by 2050.

Research and innovation is expected to play a significant role in achieving global leadership for the European transport industry and contributing to the development of small and medium-sized enterprises, staying ahead in new technologies and reducing the costs of existing manufacturing processes, therefore contributing to economic growth and highly skilled jobs in the European transport industry. In this context, actions to develop the next generation of transport means and explore entirely new transport concepts are expected to be developed and covered by this appropriation.

Socioeconomic research and forward-looking activities for policymaking will also be covered by this appropriation: actions to support policy analysis and development including on socioeconomic aspects of transport are necessary to promote innovation and meet the challenges raised by transport.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (d) of Article 3(3) thereof.

**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT** (cont'd)**06 03 03** (cont'd)

06 03 03 01 (cont'd)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**06 03 07** **Joint Undertakings**

06 03 07 31 Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| 3 250 683           | 3 250 683 | 3 241 507           | 3 241 507 | 0,—          | 0,—      |

*Remarks*

The Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system'. It will have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM research and innovation activities in the Union under its SESAR 2020 work programme and in line with the European ATM master plan.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (OJ L 192, 1.7.2014, p. 1).

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 07 (*cont'd*)06 03 07 31 (*cont'd*)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

06 03 07 32 Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 106 749 317         | 79 017 129 | 96 758 493          | 65 088 493 | 61 638 000,— | 46 001 500,— |

Remarks

The Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system'. It will have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM research and innovation activities in the Union under its SESAR 2020 work programme and in line with the European ATM master plan.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (OJ L 192, 1.7.2014, p. 1).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (cont'd)

## 06 03 07 (cont'd)

## 06 03 07 33 Shift2Rail (S2R) Joint Undertaking — Support expenditure

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 1 624 000           | 1 624 000 | 1 579 870           | 1 579 870 | 1 620 687,—  | 1 991 748,71 |

Remarks

The Shift2Rail (S2R) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Smart, green and integrated transport'. It will have the objective of contributing to the achievement of the single European railway area and to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, through a comprehensive and coordinated approach addressing the research and innovation needs of the rail system and its users.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking (OJ L 177, 17.6.2014, p. 9).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 06 03 07 34 Shift2Rail (S2R) Joint Undertaking

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 75 800 000          | 74 114 828 | 60 043 130          | 50 800 000 | 45 248 848,— | 47 091 508,— |

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TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (cont'd)

06 03 07 (cont'd)

06 03 07 34 (cont'd)

## Remarks

The Shift2Rail (S2R) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Smart, green and integrated transport'. It will have the objective of contributing to the achievement of the single European railway area and to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, through a comprehensive and coordinated approach addressing the research and innovation needs of the rail system and its users.

## Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking (OJ L 177, 17.6.2014, p. 9).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**06 03 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

06 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 4 806 736,21 | 2 160 687,52 |

## Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.



**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT** (cont'd)**06 03 50** (cont'd)

06 03 50 01 (cont'd)

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

06 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 2 614 608,93 |

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**06 03 51** **Completion of previous research framework programmes — the Seventh Framework Programme — European Community (2007-2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | 42 614 143 | 7 234,19     | 60 211 899,96 |

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 51 (*cont'd*)*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64, 2.3.2007, p. 1).

Council Regulation (EC) No 1361/2008 of 16 December 2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352, 31.12.2008, p. 12).

*TITLE 07*

**ENVIRONMENT**

COMMISSION  
TITLE 07 — ENVIRONMENT

## TITLE 07

### ENVIRONMENT

#### General summary of appropriations (2018 and 2017) and outturn (2016)

| Title<br>Chapter | Heading                                                           | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|-------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                   | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 07 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE<br>'ENVIRONMENT' POLICY AREA | 61 951 828          | 61 951 828         | 63 016 195          | 63 016 195         | 63 247 464,35         | 63 247 464,35         |
| 07 02            | ENVIRONMENTAL POLICY AT<br>UNION AND INTERNATIONAL<br>LEVEL       | 436 332 034         | 288 581 784        | 409 322 325         | 325 071 942        | 394 805 029,30        | 329 334 194,38        |
|                  | <i>Reserves (40 02 41)</i>                                        | <i>1 000 000</i>    | <i>750 000</i>     |                     |                    |                       |                       |
|                  |                                                                   | 437 332 034         | 289 331 784        | 409 322 325         | 325 071 942        | 394 805 029,30        | 329 334 194,38        |
|                  | <b>Title 07 — Total</b>                                           | <b>498 283 862</b>  | <b>350 533 612</b> | <b>472 338 520</b>  | <b>388 088 137</b> | <b>458 052 493,65</b> | <b>392 581 658,73</b> |
|                  | <i>Reserves (40 02 41)</i>                                        | <i>1 000 000</i>    | <i>750 000</i>     |                     |                    |                       |                       |
|                  |                                                                   | <b>499 283 862</b>  | <b>351 283 612</b> | <b>472 338 520</b>  | <b>388 088 137</b> | <b>458 052 493,65</b> | <b>392 581 658,73</b> |

## TITLE 07

## ENVIRONMENT

## CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                        | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|---------------|
| 07 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA                                                                    |     |                     |                     |                      |               |
| <b>07 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Environment' policy area</b>                                   | 5.2 | 46 860 616          | 46 327 744          | 46 468 446,39        | 99,16         |
| <b>07 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Environment' policy area</b>                         |     |                     |                     |                      |               |
| 07 01 02 01                         | External personnel                                                                                                             | 5.2 | 3 346 269           | 3 640 624           | 4 044 326,—          | 120,86        |
| 07 01 02 11                         | Other management expenditure                                                                                                   | 5.2 | 3 246 718           | 3 269 144           | 3 090 571,35         | 95,19         |
|                                     | <i>Article 07 01 02 — Subtotal</i>                                                                                             |     | 6 592 987           | 6 909 768           | 7 134 897,35         | 108,22        |
| <b>07 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services of the 'Environment' policy area</b> | 5.2 | 3 029 225           | 2 892 861           | 3 573 043,80         | 117,95        |
| <b>07 01 04</b>                     | <b>Support expenditure for operations and programmes of the 'Environment' policy area</b>                                      |     |                     |                     |                      |               |
| 07 01 04 01                         | Support expenditure for the Programme for the Environment and Climate Action (LIFE) — Sub-programme for Environment            | 2   | 1 600 000           | 1 600 000           | 1 599 434,81         | 99,96         |
|                                     | <i>Article 07 01 04 — Subtotal</i>                                                                                             |     | 1 600 000           | 1 600 000           | 1 599 434,81         | 99,96         |
| <b>07 01 06</b>                     | <b>Executive agencies</b>                                                                                                      |     |                     |                     |                      |               |
| 07 01 06 01                         | Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE                                               | 2   | 3 869 000           | 5 285 822           | 4 471 642,—          | 115,58        |
|                                     | <i>Article 07 01 06 — Subtotal</i>                                                                                             |     | 3 869 000           | 5 285 822           | 4 471 642,—          | 115,58        |
|                                     | <b>Chapter 07 01 — Total</b>                                                                                                   |     | <b>61 951 828</b>   | <b>63 016 195</b>   | <b>63 247 464,35</b> | <b>102,09</b> |

**07 01 01** *Expenditure related to officials and temporary staff in the 'Environment' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 46 860 616          | 46 327 744          | 46 468 446,39 |

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TITLE 07 — ENVIRONMENT

CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA (cont'd)

**07 01 02 External personnel and other management expenditure in support of the 'Environment' policy area**

07 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 346 269           | 3 640 624           | 4 044 326,—  |

07 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 246 718           | 3 269 144           | 3 090 571,35 |

**07 01 03 Expenditure related to information and communication technology equipment and services of the 'Environment' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 029 225           | 2 892 861           | 3 573 043,80 |

**07 01 04 Support expenditure for operations and programmes of the 'Environment' policy area**

07 01 04 01 Support expenditure for the Programme for the Environment and Climate Action (LIFE) — Sub-programme for Environment

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 600 000           | 1 600 000           | 1 599 434,81 |

Remarks

This appropriation is intended to cover, inter alia:

- development, hosting, maintenance, security, quality assurance, operation and support of adequate information technology (IT) systems for communication, selecting, monitoring, reporting on projects and disseminating results of projects, as well as of IT systems directly linked to the achievement of the policy objectives of the programme, for the mutual benefit of the Commission and beneficiaries and stakeholders. The engagement of IT experts *intra muros* to support the development, quality assurance and security of critical policy supporting IT system is also targeted,
- procurement of technical and/or administrative assistance relating to the evaluation, audit and supervision of programmes and projects,

**CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘ENVIRONMENT’ POLICY AREA** (*cont'd*)**07 01 04** (*cont'd*)07 01 04 01 (*cont'd*)

— procurement of technical and/or administrative assistance relating to communication activities such as social media including the engagement of experts *intra muros*.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

**07 01 06** **Executive agencies**

07 01 06 01 Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 869 000           | 5 285 822           | 4 471 642,—  |

*Remarks*

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of a Programme for the Environment and Climate Action (LIFE).

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation and ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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TITLE 07 — ENVIRONMENT

## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                            | FF | Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016   |                | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|-------------|----------------|----------------|-------------------------|
|                                     |                                                                                                                                                                                    |    | Commitments         | Payments   | Commitments         | Payments    | Commitments    | Payments       |                         |
| 07 02                               | ENVIRONMENTAL POLICY<br>AT UNION AND INTER-<br>NATIONAL LEVEL                                                                                                                      |    |                     |            |                     |             |                |                |                         |
| 07 02 01                            | <i>Contributing to a greener<br/>and more resource-efficient<br/>economy and to the devel-<br/>opment and implementation<br/>of Union environmental<br/>policy and legislation</i> | 2  | 140 778 000         | 69 600 000 | 139 399 233         | 67 000 000  | 128 799 482,—  | 40 571 360,93  | 58,29                   |
| 07 02 02                            | <i>Halting and reversing<br/>biodiversity loss</i>                                                                                                                                 | 2  | 200 092 250         | 72 800 000 | 165 584 150         | 57 000 000  | 159 148 936,81 | 44 393 927,45  | 60,98                   |
| 07 02 03                            | <i>Supporting better environ-<br/>mental governance and<br/>information at all levels</i>                                                                                          | 2  | 45 180 000          | 51 120 000 | 59 383 000          | 50 000 000  | 55 682 972,39  | 41 685 738,42  | 81,54                   |
| 07 02 04                            | <i>Contribution to multilateral<br/>and international<br/>environment agreements</i>                                                                                               | 4  | 3 900 000           | 3 900 000  | 3 900 000           | 3 900 000   | 3 635 140,54   | 3 635 140,54   | 93,21                   |
| 07 02 05                            | <i>European Chemicals Agency<br/>— Activities in the field of<br/>legislation on import and<br/>export of dangerous<br/>chemicals</i>                                              | 2  | 1 020 535           | 1 020 535  | 1 139 537           | 1 139 537   | 1 151 000,38   | 1 151 000,38   | 112,78                  |
| 07 02 06                            | <i>European Environment<br/>Agency</i>                                                                                                                                             | 2  | 37 311 249          | 37 311 249 | 35 166 405          | 35 166 405  | 41 687 497,18  | 41 687 497,18  | 111,73                  |
| 07 02 07                            | <i>European Solidarity Corps<br/>— Contribution from the<br/>LIFE sub-programme for<br/>Environment</i>                                                                            | 2  | p.m.                | p.m.       |                     |             |                |                |                         |
|                                     | Reserves (40 02 41)                                                                                                                                                                |    | 1 000 000           | 750 000    |                     |             |                |                |                         |
|                                     |                                                                                                                                                                                    |    | 1 000 000           | 750 000    |                     |             |                |                |                         |
| 07 02 51                            | <i>Completion of previous<br/>environmental programmes</i>                                                                                                                         | 2  | p.m.                | 45 000 000 | p.m.                | 105 000 000 | 0,—            | 152 734 521,16 | 339,41                  |
| 07 02 77                            | <i>Pilot projects and<br/>preparatory actions</i>                                                                                                                                  |    |                     |            |                     |             |                |                |                         |
| 07 02 77 02                         | Preparatory action — Envi-<br>ronmental monitoring of the<br>Black Sea Basin and a<br>common European<br>framework programme for<br>development of the Black<br>Sea region         | 4  | p.m.                | p.m.       | p.m.                | p.m.        | 0,—            | 0,—            |                         |
| 07 02 77 04                         | Preparatory action — Future<br>legal basis on harmonised<br>Union forest information                                                                                               | 2  | p.m.                | p.m.       | p.m.                | p.m.        | 0,—            | 170 491,37     |                         |



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## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                | FF | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|----------|--------------|------------|-------------------------|
|                                     |                                                                                                                                                                                        |    | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |                         |
| 07 02 77                            | (cont'd)                                                                                                                                                                               |    |                     |          |                     |          |              |            |                         |
| 07 02 77 13                         | Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories) | 2  | p.m.                | 400 000  | p.m.                | 180 000  | 0,—          | 667 652,04 | 166,91                  |
| 07 02 77 21                         | Pilot project — New knowledge for an integrated management of human activity in the sea                                                                                                | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 176 838,90 |                         |
| 07 02 77 22                         | Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements                                                                                | 2  | p.m.                | 300 000  | p.m.                | 585 000  | 0,—          | 511 420,20 | 170,47                  |
| 07 02 77 23                         | Pilot project — Transversal communication on Union policies related to Environment: Tackling environmental awareness deficit of Union citizens through audiovisual tools (movies)      | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 750 000,—  |                         |
| 07 02 77 24                         | Pilot project — 'Resource efficiency' in practice — Closing mineral cycles                                                                                                             | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 361 437,20 |                         |
| 07 02 77 26                         | Pilot project — Creation of South East European regional centre on advanced recycling of electric and electronic waste                                                                 | 2  | p.m.                | p.m.     | p.m.                | 281 000  | 0,—          | 172 617,78 |                         |
| 07 02 77 27                         | Pilot project — Resource efficient use of mixed wastes                                                                                                                                 | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 143 067,—  |                         |

## COMMISSION

## TITLE 07 — ENVIRONMENT

## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                                                                                                                       | FF | Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |            | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-----------|---------------------|----------|--------------|------------|-------------------------|
|                                     |                                                                                                                                                                                                                                                                                                                                               |    | Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments   |                         |
| 07 02 77 28                         | Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP) | 2  | p.m.                | 60 000    | p.m.                | 330 000  | 200 000,—    | 0,—        | 0                       |
| 07 02 77 29                         | Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform                                                                                                                                                                                                     | 2  | p.m.                | 110 000   | p.m.                | 315 000  | 0,—          | 221 483,83 | 201,35                  |
| 07 02 77 30                         | Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap                                                                                                                                                                 | 2  | p.m.                | 300 000   | p.m.                | 400 000  | 1 000 000,—  | 0,—        | 0                       |
| 07 02 77 31                         | Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive                                                                                                                                                                                                              | 2  | p.m.                | 210 000   | p.m.                | 360 000  | 900 000,—    | 0,—        | 0                       |
| 07 02 77 32                         | Pilot project — Protocols for the establishment of green infrastructure assessment schemes across the Union                                                                                                                                                                                                                                   | 2  | p.m.                | p.m.      | p.m.                | 240 000  | 600 000,—    | 300 000,—  |                         |
| 07 02 77 33                         | Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes                                                                                                                                                                                                                                 | 2  | p.m.                | 300 000   | p.m.                | 400 000  | 1 000 000,—  | 0,—        | 0                       |
| 07 02 77 34                         | Pilot project — Inventory of species and habitats in the French outermost regions                                                                                                                                                                                                                                                             | 2  | p.m.                | 400 000   | p.m.                | 400 000  | 1 000 000,—  | 0,—        | 0                       |
| 07 02 77 35                         | Pilot project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources                                                                                                                                                    | 2  | 1 500 000           | 1 350 000 | 1 000 000           | 500 000  |              |            |                         |

## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                       | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                                               |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 07 02 77 36                         | Pilot project — Network of European Green Cities                                                                                                                                              | 2  | p.m.                | 300 000            | 1 000 000           | 500 000            |                       |                       |                         |
| 07 02 77 37                         | Pilot project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures                                                                  | 2  | 1 400 000           | 925 000            | 750 000             | 375 000            |                       |                       |                         |
| 07 02 77 39                         | Pilot project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict | 2  | 500 000             | 400 000            | 500 000             | 250 000            |                       |                       |                         |
| 07 02 77 40                         | Pilot project —Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis                                                 | 2  | p.m.                | 150 000            | 500 000             | 250 000            |                       |                       |                         |
| 07 02 77 41                         | Pilot project — Promoting alternatives to animal testing                                                                                                                                      | 2  | p.m.                | 300 000            | 1 000 000           | 500 000            |                       |                       |                         |
| 07 02 77 42                         | Pilot project — Union butterfly monitoring and indicators                                                                                                                                     | 2  | 800 000             | 400 000            |                     |                    |                       |                       |                         |
| 07 02 77 43                         | Pilot project — Using satellite images to improve the operation of the Natura 2000 network                                                                                                    | 2  | 1 000 000           | 500 000            |                     |                    |                       |                       |                         |
| 07 02 77 44                         | Pilot project — Map of solutions, best practices and remedies for Lindane pesticide waste decontamination in the Union                                                                        | 2  | 600 000             | 300 000            |                     |                    |                       |                       |                         |
| 07 02 77 45                         | Preparatory action — Operationalising capacity building for programmatic development and mapping objectives in the field of environmental taxation and budgetary reform                       | 2  | 750 000             | 375 000            |                     |                    |                       |                       |                         |
| 07 02 77 46                         | Pilot project — Assessing, identifying, sharing and disseminating best practices for the humane management of invasive alien species                                                          | 2  | 500 000             | 250 000            |                     |                    |                       |                       |                         |
| 07 02 77 47                         | Pilot project — Integrating smart sensors and modelling for air quality monitoring in cities                                                                                                  | 2  | 1 000 000           | 500 000            |                     |                    |                       |                       |                         |
|                                     | <i>Article 07 02 77 — Subtotal</i>                                                                                                                                                            |    | 8 050 000           | 7 830 000          | 4 750 000           | 5 866 000          | 4 700 000,—           | 3 475 008,32          | 44,38                   |
|                                     | <b>Chapter 07 02 — Total</b>                                                                                                                                                                  |    | <b>436 332 034</b>  | <b>288 581 784</b> | <b>409 322 325</b>  | <b>325 071 942</b> | <b>394 805 029,30</b> | <b>329 334 194,38</b> | <b>114,12</b>           |
|                                     | <b>Reserves (40 02 41)</b>                                                                                                                                                                    |    | <b>1 000 000</b>    | <b>750 000</b>     |                     |                    |                       |                       |                         |
|                                     |                                                                                                                                                                                               |    | <b>437 332 034</b>  | <b>289 331 784</b> | <b>409 322 325</b>  | <b>325 071 942</b> | <b>394 805 029,30</b> | <b>329 334 194,38</b> |                         |

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

**07 02 01** *Contributing to a greener and more resource-efficient economy and to the development and implementation of Union environmental policy and legislation*

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 140 778 000         | 69 600 000 | 139 399 233         | 67 000 000 | 128 799 482,— | 40 571 360,93 |

Remarks

Regulation (EU) No 1293/2013 has four general objectives (Article 3) and three priority areas for the sub-programme for the environment (Article 9), of which the first one is Environment and Resource Efficiency.

Specific objectives of this first priority area are set out in Article 10.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4)).

Legal basis

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

**07 02 02** *Halting and reversing biodiversity loss*

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016   |               |
|---------------------|------------|---------------------|------------|----------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments    | Payments      |
| 200 092 250         | 72 800 000 | 165 584 150         | 57 000 000 | 159 148 936,81 | 44 393 927,45 |

Remarks

Regulation (EU) No 1293/2013 has four general objectives (Article 3) and three priority areas for the sub-programme for the environment (Article 9), of which the second one is Nature and Biodiversity.

Specific objectives of this second priority area are set out in Article 11.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4)).

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (cont'd)**07 02 02** (cont'd)

Reflecting higher priority for the biodiversity projects, at least 60,5 % of the budgetary resources allocated to projects supported by way of action grants under the sub-programme for Environment shall be dedicated to projects supporting the conservation of nature and biodiversity (Article 9(3)).

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

**07 02 03** **Supporting better environmental governance and information at all levels***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 45 180 000          | 51 120 000 | 59 383 000          | 50 000 000 | 55 682 972,39 | 41 685 738,42 |

*Remarks*

Regulation (EU) No 1293/2013 has four general objectives (Article 3) and three priority areas for the sub-programme for Environment (Article 9), of which the third one is Environment Governance and Information.

Specific objectives of this third priority area are set out in Article 12.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4)).

Costs of technical assistance for selecting projects and monitoring, evaluating and auditing projects under the LIFE and LIFE+ programme (including non-governmental organisations supported via operating grants) may also be financed by this appropriation.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

**07 02 04 Contribution to multilateral and international environment agreements**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 3 900 000           | 3 900 000 | 3 900 000           | 3 900 000 | 3 635 140,54 | 3 635 140,54 |

*Remarks*

This appropriation is intended to cover obligatory and voluntary contributions to a number of international conventions, protocols and agreements to which the Union is party and preparatory work for future international agreements involving the Union.

In some cases, contributions to subsequent protocols are included in contributions to their basic convention.

*Legal basis*

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 77/585/EEC of 25 July 1977 concluding the Convention for the protection of the Mediterranean Sea against pollution and the Protocol for the prevention of the pollution of the Mediterranean Sea by dumping from ships and aircraft (OJ L 240, 19.9.1977, p. 1).

Council Decision 81/462/EEC of 11 June 1981 on the conclusion of the Convention on long-range transboundary air pollution (OJ L 171, 27.6.1981, p. 11).

Council Decision 82/72/EEC of 3 December 1981 on the conclusion of the Convention on the conservation of European wildlife and natural habitats (OJ L 38, 10.2.1982, p. 1).

Council Decision 82/461/EEC of 24 June 1982 on the conclusion of the Convention on the conservation of migratory species of wild animals (OJ L 210, 19.7.1982, p. 10) and related agreements.

Council Decision 84/358/EEC of 28 June 1984 concerning the conclusion of the Agreement for cooperation in dealing with pollution of the North Sea by oil and other harmful substances (OJ L 188, 16.7.1984, p. 7).

Council Decision 86/277/EEC of 12 June 1986 on the conclusion of the Protocol to the 1979 Convention on long-range transboundary air pollution on long-term financing of the cooperative programme for monitoring and evaluation of the long-range transmission of air pollutants in Europe (EMEP) (OJ L 181, 4.7.1986, p. 1).

Council Decision 93/98/EEC of 1 February 1993 on the conclusion, on behalf of the Community, of the Convention on the control of transboundary movements of hazardous wastes and their disposal (Basel Convention) (OJ L 39, 16.2.1993, p. 1).

Council Decision 93/550/EEC of 20 October 1993 concerning the conclusion of the cooperation Agreement for the protection of the coasts and waters of the north-east Atlantic against pollution (OJ L 267, 28.10.1993, p. 20).

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**07 02 04** (*cont'd*)

Council Decision 93/626/EEC of 25 October 1993 concerning the conclusion of the Convention on Biological Diversity (OJ L 309, 13.12.1993, p. 1).

Council Decision 94/156/EC of 21 February 1994 on the accession of the Community to the Convention on the Protection of the Marine Environment of the Baltic Sea Area 1974 (Helsinki Convention) (OJ L 73, 16.3.1994, p. 1).

Council Decision 95/308/EC of 24 July 1995 on the conclusion, on behalf of the Community, of the Convention on the protection and use of transboundary watercourses and international lakes (OJ L 186, 5.8.1995, p. 42).

Council Decision of 27 June 1997 on the conclusion, on behalf of the Community, of the Convention on environmental impact assessment in a transboundary context (ESPOO Convention) (proposal OJ C 104, 24.4.1992, p. 5; decision not published).

Council Decision 97/825/EC of 24 November 1997 concerning the conclusion of the Convention on cooperation for the protection and sustainable use of the river Danube (OJ L 342, 12.12.1997, p. 18).

Council Decision 98/216/EC of 9 March 1998 on the conclusion, on behalf of the European Community, of the United Nations Convention to combat desertification in countries seriously affected by drought and/or desertification, particularly in Africa (OJ L 83, 19.3.1998, p. 1).

Council Decision 98/249/EC of 7 October 1997 on the conclusion of the Convention for the protection of the marine environment of the north-east Atlantic (OJ L 104, 3.4.1998, p. 1).

Council Decision 98/685/EC of 23 March 1998 concerning the conclusion of the Convention on the Transboundary Effects of Industrial Accidents (OJ L 326, 3.12.1998, p. 1).

Council Decision 2000/706/EC of 7 November 2000 concerning the conclusion, on behalf of the Community, of the Convention for the Protection of the Rhine (OJ L 289, 16.11.2000, p. 30).

Council Decision 2002/628/EC of 25 June 2002 on the conclusion, on behalf of the European Community, of the Cartagena Protocol on Biosafety (OJ L 201, 31.7.2002, p. 48).

Council Decision 2005/370/EC of 17 February 2005 on the conclusion on behalf of the European Community of the Convention on access to information, public participation in decision-making and access to justice in environmental matters (OJ L 124, 17.5.2005, p. 1).

Council Decision 2006/61/EC of 2 December 2005 on the conclusion, on behalf of the European Community, of the UN-ECE Protocol on Pollutant Release and Transfer Registers (OJ L 32, 4.2.2006, p. 54).

Council Decision 2006/507/EC of 14 October 2004 concerning the conclusion, on behalf of the European Community, of the Stockholm Convention on Persistent Organic Pollutants (OJ L 209, 31.7.2006, p. 1).

Council Decision 2006/730/EC of 25 September 2006 on the conclusion, on behalf of the European Community, of the Rotterdam Convention on the Prior Informed Consent Procedure for certain hazardous chemicals and pesticides in international trade (OJ L 299, 28.10.2006, p. 23).

Council Decision 2006/871/EC of 18 July 2005 on the conclusion on behalf of the European Community of the Agreement on the Conservation of African-Eurasian Migratory Waterbirds (OJ L 345, 8.12.2006, p. 24).

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**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)

**07 02 04** (*cont'd*)

Council Decision 2008/871/EC of 20 October 2008 on the approval, on behalf of the European Community, of the Protocol on Strategic Environmental Assessment to the 1991 UN/ECE Espoo Convention on Environmental Impact Assessment in a Transboundary Context (OJ L 308, 19.11.2008, p. 33).

Council Decision 2011/731/EU of 8 November 2011 on the conclusion, on behalf of the European Union, of the 2006 International Tropical Timber Agreement (OJ L 294, 12.11.2011, p. 1).

Council Decision 2014/283/EU of 14 April 2014 on the conclusion, on behalf of the European Union, of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity (OJ L 150, 20.5.2014, p. 231).

Council Decision (EU) 2015/451 of 6 March 2015 concerning the accession of the European Union to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) (OJ L 75, 19.3.2015, p. 1).

Council Decision (EU) 2017/939 of 11 May 2017 on the conclusion on behalf of the European Union of the Minamata Convention on Mercury (OJ L 142, 2.6.2017, p. 4).

**07 02 05** **European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 1 020 535           | 1 020 535 | 1 139 537           | 1 139 537 | 1 151 000,38 | 1 151 000,38 |

*Remarks*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure for the activities related to the implementation of legislation on the export and import of dangerous chemicals.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The establishment plan of the European Chemicals Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 1 096 320. An amount of EUR 75 785 coming from the recovery of 2016 surplus is added to the amount of EUR 1 020 535 entered in the budget.

*Legal basis*

Regulation (EU) No 649/2012 of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals (OJ L 201, 27.7.2012, p. 60).



## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

07 02 06 *European Environment Agency**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 37 311 249          | 37 311 249 | 35 166 405          | 35 166 405 | 41 687 497,18 | 41 687 497,18 |

*Remarks*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure.

The mission of the Agency is to provide the Union and the Member States with objective, reliable and comparable information on the environment at Union level, thus enabling them to take the requisite measures to protect the environment, to assess the results of such measures and to inform the public.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution to participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue. The establishment plan of the European Environment Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 37 724 481. An amount of EUR 413 232 coming from the recovery of 2016 surplus is added to the amount of EUR 37 311 249 entered in the budget.

*Legal basis*

Regulation (EC) No 401/2009 of the European Parliament and of the Council of 23 April 2009 on the European Environment Agency and the European Environment Information and Observation Network (OJ L 126, 21.5.2009, p. 13).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

**07 02 07 European Solidarity Corps — Contribution from the LIFE sub-programme for Environment**

Figures (*Differentiated appropriations*)

|                     | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|----------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 07 02 07            | p.m.                | p.m.     |                     |          |              |          |
| Reserves (40 02 41) | 1 000 000           | 750 000  |                     |          |              |          |
| Total               | 1 000 000           | 750 000  |                     |          |              |          |

Remarks

*New article*

This appropriation is intended to cover the financial contribution provided by the LIFE sub-programme for Environment to the European Solidarity Corps in line with its general and specific objectives.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 May 2017, laying down the legal framework of the European Solidarity Corps and amending Regulations (EU) No 1288/2013, (EU) No 1293/2013, (EU) No 1303/2013, (EU) No 1305/2013, (EU) No 1306/2013 and Decision No 1313/2013/EU (COM(2017) 262 final).

**07 02 51 Completion of previous environmental programmes**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 45 000 000 | p.m.                | 105 000 000 | 0,—          | 152 734 521,16 |

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from the general objectives of the previous LIFE programmes and from other programmes and general actions based on the Community environmental action programme.

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (cont'd)**07 02 51** (cont'd)*Legal basis*

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Directive 79/409/EEC of 2 April 1979 on the conservation of wild birds (OJ L 103, 25.4.1979, p. 1).

Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

Regulation (EC) No 1655/2000 of the European Parliament and of the Council of 17 July 2000 concerning the Financial Instrument for the Environment (LIFE) (OJ L 192, 28.7.2000, p. 1).

Decision No 1600/2002/EC of the European Parliament and of the Council of 22 July 2002 laying down the Sixth Community Environment Action Programme (OJ L 242, 10.9.2002, p. 1).

Regulation (EC) No 2152/2003 of the European Parliament and of the Council of 17 November 2003 concerning monitoring of forests and environmental interactions in the Community (Forest focus) (OJ L 324, 11.12.2003, p. 1).

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 1).

**07 02 77** *Pilot projects and preparatory actions*

07 02 77 02 Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 04 Preparatory action — Future legal basis on harmonised Union forest information

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 170 491,37 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 13 Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 400 000  | p.m.                | 180 000  | 0,—          | 667 652,04 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 21 Pilot project — New knowledge for an integrated management of human activity in the sea

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 176 838,90 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 22 Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 300 000  | p.m.                | 585 000  | 0,—          | 511 420,20 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 23 Pilot project — Transversal communication on Union policies related to Environment: Tackling environmental awareness deficit of Union citizens through audiovisual tools (movies)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 750 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 24 Pilot project — 'Resource efficiency' in practice — Closing mineral cycles

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 361 437,20 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

07 02 77 (cont'd)

07 02 77 26 Pilot project — Creation of South East European regional centre on advanced recycling of electric and electronic waste

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 281 000  | 0,—          | 172 617,78 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 27 Pilot project — Resource efficient use of mixed wastes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 143 067,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 28 Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 60 000   | p.m.                | 3 30 000 | 200 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 29 Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 110 000  | p.m.                | 315 000  | 0,—          | 221 483,83 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

07 02 77 (cont'd)

07 02 77 30 Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | p.m.                | 400 000  | 1 000 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 31 Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 210 000  | p.m.                | 360 000  | 900 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 32 Pilot project — Protocols for the establishment of green infrastructure assessment schemes across the Union

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 240 000  | 600 000,—    | 300 000,— |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 33 Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | p.m.                | 400 000  | 1 000 000,—  | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 34 Pilot project — Inventory of species and habitats in the French outermost regions

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | p.m.                | 400 000  | 1 000 000,—  | 0,—      |

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 34 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The Coordination of Information on the Environment (Corine) programme was set up by the Commission in 1991 with the principal aims of defining and protecting biotopes, combating environmental pollution, mapping the different types of land cover and preserving the natural environment. The database resulting from the programme was subsequently replaced by the European Union Nature Information System (EUNIS) database, which contains an inventory of European habitats (natural, near-natural, artificial, terrestrial and aquatic) and serves as the basis for Union nature legislation (Natura 2000).

However, that inventory does not include the species and habitats to be found in the French outermost regions (Martinique, Guadeloupe, French Guiana, Réunion and Mayotte), each of which has its own highly specific biological and ecological features and a very large proportion of endemic species.

The purpose of this pilot project is to use the same tools as the Corine Biotope and EUNIS programmes to compile an inventory of species and habitats and environmentally sensitive areas in these regions, which number among the world's biodiversity hotspots. A new common database of this kind is necessary in order to identify any gaps in knowledge and to take the action required in order to preserve the biotope of these regions, along the lines of that taken for the other Union regions.

This approach, which has already demonstrated its feasibility and value in other Union regions, is a first step on the road towards the adoption of legislation to preserve biodiversity in the French outermost regions. Advantage will be taken of any possible synergies with the work carried out under the BEST preparatory action.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 35 Pilot project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 1 500 000           | 1 350 000 | 1 000 000           | 500 000  |              |          |

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 35 (*cont'd*)*Remarks*

Action 5 of the EU Biodiversity Strategy urges Member States to map and assess the state of ecosystems and their services in their national territory. A Working Group on Mapping and Assessment of Ecosystems and their Services (MAES) was established in 2012.

The pilot project will start by assessing the state of the MAES exercise in the participating overseas entities, and by inventorying and substantiating the human and material capacities present in each of them.

It will capitalise on the work of BEST (initially supported by a preparatory action under the 2011, 2012 and 2013 budgets) and NETBIOME (supported via the 7th research Framework Programme) projects and it can also build on the inventory of species and habitats and the MAES experience planned under the pilot project entitled 'Inventory of species and habitats in the French outermost regions' adopted under the 2016 budget (Item 07 02 77 34). It will then choose as case studies a specific region and a particular contribution on which to focus the work of a dedicated team of local experts, policymakers and civil society members pooled from across the outermost regions (ORs) and overseas countries and territories (OCTs).

This project will produce a tangible contribution for the MAES exercise and will demonstrate the feasibility and added value of a bottom-up approach, involving and empowering local actors. If successful, this project will allow testing and implementation of the MAES methodology in different regions of the world, providing methodologies and good practice guidelines and contributing to worldwide Union leadership in this area.

The pilot project will involve policymakers, researchers and civil society in the development of methodologies for mapping and assessing the state of ecosystems and their services in the ORs and OCTs. A coordinated and synergistic approach is advocated so as to turn the geographical, political and knowledge base fragmentation of these entities into assets, pooling resources and building robust participatory tools.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 36 Pilot project — Network of European Green Cities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**07 02 77** (*cont'd*)07 02 77 36 (*cont'd*)

This pilot project will provide financial support for the development of a new 'Network of European Green Cities' (comprising European Green Capital Winners and cities shortlisted for the award) in order to share their experiences, knowledge and expertise on sustainable urban development with other European cities. The network will promote environmental sustainability in European cities through a series of specific actions to help all cities — from 'greener' cities to those whose environmental performance is less advanced — to take further steps towards genuine sustainability.

Each year since 2010, the Union has awarded the title of European Green Capital to a city in the Union which has a consistent record of achieving high environmental standards, is committed to ongoing and ambitious goals for further environmental improvement and sustainable development, and can act as a role model to inspire other cities and promote best practices for all other European cities. While winning cities take some action throughout the year to promote the concept of the green city, their budget and scope for Europe-wide dissemination is very limited.

The idea of this project is to draw on the wide range of lessons learnt from all the previous applicants considered worthy of consideration for the award and to consolidate these valuable experiences and communicate them to other cities, so that they might be inspired by such actions and understand what was involved in putting them in place. In effect this will be about enhancing the impact of the European Green Capital Award in the long term and to achieve spill-over effects for the benefit of entire regions and countries.

This project will go beyond simply organising the exchange of examples of good practice (although this is an important element of it) and will also seek to develop a specific set of tool kits and education materials that different types of city administration wishing to take steps towards becoming more sustainable could use. These tools will be combined with strategically targeted seminars, workshops and/or conferences, aimed at maximising the environmental benefits of the work (i.e. based on an analysis of where in the Union such experience could most usefully be disseminated to greatest effect). The pilot project will also need to provide the necessary appropriations to finance the setting up and running of the network.

As a starting point the cities to be invited to join the 'Network of European Green Cities' will be:

- (a) former winners of the award: Stockholm (2010); Hamburg (2011); Vitoria-Gastiez (2012); Nantes (2013); Copenhagen (2014); Bristol (2015); Ljubljana (2016); and Essen (2017);
- (b) former runners-up: Amsterdam, Freiburg, Münster, Oslo, Barcelona, Malmö, Nuremberg, Reykjavik, Frankfurt, Brussels, Glasgow, Nijmegen, 's-Hertogenbosch and Umeå.

All future winners and runners-up will be invited to join. Management of the network's membership will be handled by the Commission.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 37 Pilot project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 400 000           | 925 000  | 750 000             | 375 000  |              |          |

*Remarks*

The existing pilot project should be continued by running awareness-raising and targeted information campaigns and specific capacity-building activities to implement the findings of the current project. In particular, the inclusion of capacity building at regional and local level to address e.g. monitoring and surveillance, the inclusion of dedicated measures in the relevant air quality plans and an assessment of the effectiveness of these measures will allow the first-phase results to be built upon and disseminated on a wider scale.

Specific support is also required for the application on a voluntary basis of the various measures identified in the first project by certain municipalities in the two countries concerned.

For the continuation of the project, the following objectives could also be considered:

- evaluation of the health hazard posed by residential solid waste burning relative to regular activities;
- a harmonised cost-benefit analysis of the results obtained in the experiments;
- a detailed strategy to curtail illegal residential burning of solid waste at European level.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 39 Pilot project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 400 000  | 500 000             | 250 000  |              |          |

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 39 (*cont'd*)*Remarks*

This pilot project was first introduced in 2017 and it should be continued for another year, with new funds, so that it can reach its full potential. The pilot project must not be restricted only to those eight organisations that have signed up to the EU Platform on Coexistence between People and Large Carnivores, but should be open to any other (local or regional) organisation whose objectives are in line with the EU Platform's activities.

The four main large carnivore species in Europe — brown bear, wolf, Eurasian lynx and wolverine — are among the most challenging groups of species in conservation terms. The Commission has made significant efforts to understand both their biological needs and the conflicts between stakeholders. There is a wide range of conflict mitigation experience available, ranging from local knowledge of traditional animal husbandry and hunting practices to the latest in high-tech research, including from projects applied locally and co-funded by the EU LIFE programme. In 2012, a prioritising exercise involving multiple experts and stakeholders allowed the establishment of cross-cutting and species-specific 'key actions' for each large carnivore population. In summer 2014, the EU Platform on Coexistence between People and Large Carnivores was established to allow farmers, herders, conservationists, hunters, landowners and scientists to exchange ideas and best practices. The mission of the Platform is 'to promote ways and means to minimise, and wherever possible, find solutions to, conflicts between human interests and the presence of large carnivore species, by exchanging knowledge and by working together in an open-ended, constructive and mutually respectful way'.

The EU Platform faces a serious challenge in transmitting the good practices discussed to, and cooperation with, stakeholders coexisting with large carnivores on the ground. Its members lack the resources to engage fully in communicating with their own members or to travel to regional meetings. In order to tackle the main coexistence problems at their sources, similar groupings are needed locally. At the same time, making the link to the Union level and improving communication both vertically and horizontally between governance levels in order to effectively transmit information is essential. The objective of this project is therefore to establish several pilot local or regional groupings of stakeholders based on the model of the EU Platform. Experience from other regional platforms, e.g. established through LIFE projects, will be built upon.

*Methods and requirements*

- Select two areas in Europe for the pilot studies where there are serious conflicts involving coexistence between humans and large carnivores and where few solutions have been tested.
- Establish a regional Platform on Coexistence between People and Large Carnivores involving a grouping of stakeholders representing the main interest groups active in the area, referencing the EU Platform's agreement as a starting point and taking into account experience of other regional platforms.
- Using 'Key actions for large carnivore populations in Europe' as a basis, carry out a prioritisation exercise with stakeholders to establish which key actions have the greatest potential to be implemented in which locations. The focus will be on actions relating to conflict resolution, communication, reducing socio-economic problems and establishing win-win socio-economic benefits in line with the objectives of EU Nature Directives and the EU 2020 biodiversity strategy.
- Support the implementation of two or three actions (funded through the pilot project).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 39 (*cont'd*)

In order to drive forward the action, a key beneficiary will be responsible for coordinating the action, taking steps to identify and establish local platforms and managing interaction between the Union and regional platforms. The key beneficiary will manage a budget for each regional platform to encourage stakeholder participation, support individual members on study trips or attending regional meetings and funding the key actions decided upon by the regional platform. Ensuring appropriate mediation, including in the selection of platform members, will also be important.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 40 Pilot project —Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 1 50 000 | 500 000             | 250 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The Commission recently conducted a fitness check on the BHD to evaluate them in accordance with five criteria: effectiveness, efficiency, coherence, relevance, and Union added value. The evaluation revealed that key barriers to BHD performance relate to insufficient implementation, poor integration and conflicts with other policies and economic sectors, and insufficient cooperation among relevant stakeholders and organisations. Knowledge gaps and insufficient access to information have been identified as significant problems by stakeholders in implementation, including in relation to the effectiveness and efficiency of site and species permitting under the directives. Additionally, the collation and analysis of case studies, tools and recommendations for improving the BHD's implementation was beyond the scope of the fitness check.

The pilot project will fill that gap and help improve BHD implementation through systematically collecting and analysing the knowledge, evidence, tools and principles that can help improve BHD performance.

In view of the vast experience gained by scientists and other knowledge-holders across the Union, an effective way forward is therefore to conduct a systematic review and meta-analysis, and to develop a comprehensive set of guidelines for better implementation. Current approaches to do so could be complemented by having the scientific community co-produce the necessary knowledge base as a cost-effective way to maximise comprehensiveness, geographical representation and quality.



CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 40 (*cont'd*)

The pilot project will focus on conducting such a systematic review and developing the first steps towards a preparatory action to implement the proposed improvements. Key elements of the review will be:

- (a) to generate a comprehensive database of peer-reviewed publications and other knowledge across all Member States, collecting and organising tools, principles, guidelines, recommendations and case-study experiences indicating how to improve BHD implementation. The database will build on, and extend, the existing literature database already collected during the fitness check and will include categorisation based on scale, geography and policy relevance;
- (b) to assess how:
  - effectiveness could be enhanced in terms of planning, management and monitoring;
  - cost-efficiency could be improved by reducing conflicts and improving use of existing funds;
  - other Union policies affect BHD implementation and where improvements can be made with a view to reducing conflicts and increasing policy integration;
  - enforcement mechanisms and administration can be improved to maximise the Union's role in effective implementation of the BHD;
- (c) to conduct workshops to collate knowledge, discuss options, interpret the application of the evidence, create evidence-based guidance and identify future knowledge needs (five workshops totalling at least 100 participants);
- (d) to conduct a robust meta-analysis of the outcomes, including a quantitative comparison of the cost-effectiveness and cost-efficiency of different policy instruments;
- (e) to generate a set of carefully regionalised, scale-sensitive, non-prescriptive recommendations;
- (f) to open the recommendations for discussion and a peer review by the scientific community in order to maximise outcome quality and transparency, and subsequently enhance cooperation with all relevant stakeholders.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 41 Pilot project — Promoting alternatives to animal testing

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | 1 000 000           | 500 000  |              |          |

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 41 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Animal testing is used in Europe for four different purposes: basic research, applied research, toxicology and teaching.

Directive 2010/63/EU lays down a European framework for the protection of animals used for scientific purposes, making it a legal requirement to comply with the 3R principle (replacement, reduction, refinement).

As is set out in recital 28 of that Directive, the welfare of the animals used in procedures is highly dependent on the quality and professional competence of the personnel supervising procedures, as well as of those performing procedures or supervising those taking care of the animals on a daily basis. It is therefore imperative that, as regards animal welfare issues, the personnel responsible for those tasks in Member States should be properly informed, trained and proficient and that they should have access to all relevant information, tools and know-how needed to be able to make use of alternatives to animal testing.

One of the main obstacles to developing and using alternatives is the lack of linkage and of information pooling between scientific domains, thus preventing existing methods from being taken forward and used by animal testers.

The purpose of the project is to promote the use of alternatives to animal testing in the Union. Additional resources need to be marshalled and better coordinated in order to foster greater use of alternatives, with a view initially to reducing the number of animals used and, ultimately, to ending the practice altogether.

In expanding the remit and resources of existing bodies (e.g. ECVAM), the pilot project seeks to improve information and knowledge exchange between the scientific community, Union agencies, national authorities and users of animal testing in Member States, reflecting the policy approach taken by the Union in introducing Directive 2010/63/EU.

It aims actively to promote existing alternatives to animal testing, facilitate validation of new alternative methods, organise information campaigns, foster exchanges of information, knowledge and best practices between scientists and authorities in Member States on existing methods, on how to use them and on what is needed to develop new methods or improve existing ones.

In expanding the remit and resources of the Education and Training Platform for Laboratory Animal Science (ETPLAS), the pilot project also seeks to offer specific training courses, including on-line courses, not only for scientists, depending on their area of work (e.g. basic research or toxicology), doctors and veterinarians, but also for industry stakeholders.

The pilot project should also provide a forum for discussion and proposals, with a view to encouraging and optimising scientific research in order to develop alternatives, establish linkage between the Union regulatory set-up and national set-ups, and move towards making alternative methods in the Union more effective.

It should have a pivotal role to play in implementing current legislation and in realising the objective, shared by the Commission, of ultimately replacing animal testing by alternative methods.

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 41 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 42 Pilot project — Union butterfly monitoring and indicators

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 800 000             | 400 000  |                     |          |              |          |

*Remarks*

This pilot project will create a representative butterfly (Lepidoptera) monitoring network across the Union and a suite of Lepidoptera indicators, thus helping to improve the targeting and efficiency of conservation measures under Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7) (the Habitats Directive), and also monitor the biodiversity impact of the greening of the Common Agricultural Policy (CAP), ensuring payments to farmers for maintaining Natura 2000 areas in good condition, climate change and European sectoral and land use policies in general.

*Rationale*

- (1) The pilot project will develop a suite of Union Lepidoptera indicators which can help improve conservation measures and assess progress in implementing Union policies and legislation such as the Union Biodiversity Strategy to 2020 and the Habitats Directive. Besides providing a highly relevant indicator for measuring progress in terms of managing and restoring Natura 2000 sites, it will also contribute to monitoring progress on Target 3 of the Union Biodiversity Strategy, which aims to increase the contribution of agriculture and forestry to maintaining and enhancing biodiversity. In particular, the pilot project will deliver a representative indicator to help monitor the impact of the CAP on grassland biodiversity. It will also provide data to produce a climate change indicator, thereby contributing to the ongoing revision of climate change adaptation strategies. Indicators will also be possible for woodland, wetland and urban habitats.
- (2) Such indicators are needed for tracking and stimulating progress towards the Union and global headline biodiversity target for 2020 and the Sustainable Development Goals. The project is highly policy-relevant and can provide several benefits at Union level, such as linking science and policy and strengthening the evidence and knowledge base for Union policy-making; linking the efforts of citizens and volunteers with policy makers in Member States where this has not been a tradition; increasing professional opportunities for young people across Europe and increasing their skills; and linking knowledge across the environment and agriculture sectors, and involving climate change researchers and policy makers.

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- (3) It can help to raise the profile of biodiversity and the importance of agricultural policy and practice to biodiversity recovery and contribute to the ongoing discussion on innovative agro-ecology approaches, paving the way for sustainable innovation in agriculture and measuring the effectiveness of payments for greening and sustainability improvement. Currently, agricultural Natura 2000 areas have the lowest conservation status; this project can further assist with improving the implementation of the Habitats Directive and stimulating further actions to improve the conservation status of Natura 2000 Lepidoptera and the habitats on which they depend, specifically by enabling better targeting of CAP pillar 2 funds and ensuring that Natura 2000 payments are effective and sufficient so that farmers are better incentivised to protect the areas and the species they support. It will complement the existing farmland birds indicators as it will be more sensitive in describing what high habitat quality means and the condition of ecosystems. Moreover, it replaces an ad hoc approach to Lepidoptera monitoring and indicator reporting with a sustainable system that covers more Member States, has more records and is more representative, in order for it to be more widely accepted.
- (4) This coherent project will enable the development of a system for collecting and recording validated Lepidoptera data at European level so as to allow cost-effective and regular updating of a grassland butterfly indicator and other indicators. This will be a significant improvement on the current approach. It involves the development and construction of a well-designed database with processes for collating systematic records of Lepidoptera numbers along a fixed route or transect (a methodology already agreed with European Environment Agency (EEA)) from more countries and a process for regularly compiling and updating a suite of Lepidoptera indicators.
- (5) The project will enable new monitoring schemes to be set up in some Member States where there is none at present and contribute to building capacity. This will involve, inter alia, finding citizen volunteers, training them, giving them some support and enabling them to report their findings cost-effectively. Validation and quality control can be effectively achieved in those Member States with modest support for knowledgeable part-time coordinators. This will increase opportunities and skills for young people.
- (6) As a result of this project we will have a greatly improved Union network for monitoring lepidopterans, more transects walked each year, more Member States and more citizens involved in monitoring, and a suite of Lepidoptera indicators and a comprehensive database that can provide a valuable resource for researchers, policy makers and evaluators. Results will be used to inform policy debates, improve policy implementation and raise the profile of biodiversity and ecosystem services, including pollination services.

**Main tasks of the project***Objective 1*

Constructing a unified, high-quality database and automated data entry system.

*Expected results*

A unified database of validated and standardised Lepidoptera counts from across searchable European monitoring schemes producing regular reports on Lepidoptera indicators and enriching research, which will directly contribute to the integrated spatial data infrastructure for natural capital developed by the EEA, the Joint Research Centre, Eurostat and DG Environment.

*Main activities:*

- producing an effective database for Lepidoptera monitoring records which can produce a suite of Lepidoptera indicators;

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**07 02 77** (*cont'd*)07 02 77 42 (*cont'd*)

- establishing legal data sharing agreements with existing monitoring schemes to clarify intellectual property rights and rights of access to data;
- gathering data annually from existing monitoring schemes, validating counts and populating databases;
- developing an online data entry system and allowing countries to submit data efficiently and in a standard format (NB: some existing schemes are also adopting such a system);
- providing training for monitoring scheme volunteers in using the online data entry system;
- providing tools for calculating national Lepidoptera population trends;
- making the records available for research as appropriate.

*Objective 2*

Supporting and developing a unified, sustainable and cost-effective European monitoring network based on trained volunteer recorders, supported by new coordinators, together with local access to the on-line data recording system.

## Expected results:

- Volunteer-based and expert-validated Lepidoptera monitoring schemes in most European countries, reporting high-quality data to central databases and providing input for national records.
- Increased opportunities for young people to become involved in a European-level project of practical value and policy importance, increasing their skills and employability and creating new jobs requiring coordination, data management and people skills and professional knowledge.

## Activities:

- ensuring that existing Lepidoptera monitoring schemes contribute data to the central database: Belgium, Catalonia, Estonia, Finland, France, Germany, Ireland, Lithuania, Luxembourg, Netherlands, Slovenia, Sweden and the UK;
- supporting further development and improving the quality and sustainability of a number of existing and fledgling schemes, especially by adding transects, improving frequency of recording and recruiting more volunteers and supporting coordinators (e.g. in France, Slovenia, Estonia, Lithuania and Spain);
- working with partners and other key stakeholders, including national parks, where appropriate, to help set up new monitoring schemes in at least six of the following countries: Austria, Czech Republic, Italy, Portugal, Romania, Slovakia, Hungary, Poland, Bulgaria, Croatia, Greece, Cyprus, Malta, Denmark and Latvia;
- providing training and essential translations and facilitating learning among volunteers;
- providing feedback to volunteers and coordinators in the form of annual newsletters and by organising biennial meetings to co-ordinate efforts, share best practice and nurture long-term volunteer engagement.

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07 02 77 (*cont'd*)

07 02 77 42 (*cont'd*)

*Objective 3*

Producing policy-relevant Lepidoptera indicators for a range of habitats, analysing results and disseminating findings to policy makers and the public.

*Expected results*

A suite of policy-relevant Lepidoptera indicators for different habitats within the Union and Europe as a whole. A series of reports which interpret the significance of Lepidoptera indicators and trends. Increased understanding among policy makers of the impact of their policies on lepidopterans, ecosystems and their services, including pollination services. Adoption by the Union and other European institutions of Lepidoptera as indicators; sharing of best practice; and improved awareness amongst the public. Development of specific indicators which can be used for result-based schemes.

*Activities:*

- developing automated systems for producing annual indicators and trends in different habitats and groups of species. Initial selection: grassland, woodland, wetland, urban areas and climate change;
- producing a European Lepidoptera indicator showing the general trend in lepidopterans on a Union and European scale;
- producing a European Lepidoptera indicator to help improve the implementation of the Habitats Directive and attain Favourable Conservation Status (FCS) for Natura 2000 Lepidoptera species and their habitats;
- producing reports on the European grassland butterfly indicator covering more countries and helping, inter alia, to monitor the impact of the CAP on biodiversity, including the new approach on result-based schemes;
- producing reports on Lepidoptera trends in woodland, wetland and urban habitats;
- showing how Lepidoptera communities are responding to rising temperatures and climate change;
- disseminating results to relevant institutions, including the Union, the Council of Europe, the Berne Convention and the United Nations Convention on Biological Diversity, and influencing policy debates and action at Union and national levels;
- working with representatives involved with other invertebrate groups to share knowledge and contribute to a Union pollinator initiative;
- disseminating the results more widely to the public via websites and social media.

*Objective 4— Project management and administration*

*Expected results*

## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

07 02 77 (cont'd)

07 02 77 42 (cont'd)

Smooth running of the project; timely delivery of the above components on budget; annual progress reports; annual financial reports and overall evaluation of impacts.

Activities:

- managing the project to ensure delivery of the above and produce annual progress reports;
- establishing contracts to deliver the above components;
- administering finances for the project on a sound basis and publishing annual financial reports;
- producing annual progress reports and evaluating impacts.

This two-year pilot project has been established with a view to becoming a preparatory action and will have a total budget of EUR 800 000.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 43 Pilot project — Using satellite images to improve the operation of the Natura 2000 network

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

This pilot project will unlock the potential of satellite imagery to support the operation of the Natura 2000 network of protected areas in the Union. It will use available satellites images to better understand and tackle the threats to protected areas stemming from habitat loss. Halting biodiversity loss by 2020 is the headline target of the Union Biodiversity Strategy, and an essential step to achieve this target is to ensure that current protected areas are protected and managed adequately.

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 43 (*cont'd*)

Under Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7) (the Birds Directive) and Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7) (the Habitats Directive), Member States are obliged to protect Natura 2000 sites from destruction. However, in practice thousands of hectares of habitat (forests, grasslands) are lost in Natura 2000 sites every year due to a variety of factors, such as water abstraction and drainage, conversion of natural grassland into cropland, clear-cutting of forest and urbanisation, which were identified in the European Environment Agency's 2015 'State of Nature in the EU' report as key threats to biodiversity. Although habitat loss is poorly monitored, it probably varies greatly in nature and extent across the Union. Habitat loss is a very severe environmental problem, as either it is often irreversible or habitats can sometimes take centuries to recover (for example, old-growth forests).

Satellite technology is rapidly developing. The Union's Copernicus and other satellites, such as the freely available LANDSAT, are able to provide high-resolution images of the whole of Europe, with archives spanning several decades. However, much work is needed before the images can be used, and this is what is urgently needed at the moment.

First the satellite images will need to be processed. In order to understand how much habitat has been lost, and when, several images of the same area in different years will need to be aligned and further processed. The images will then be converted into habitat maps, preferably using MAES ecosystem types, and verified using existing maps and other data. The significant areas of habitat lost in Natura 2000 sites will then be analysed and estimates of habitat loss per ecosystem type over time will be compiled.

The maps will then be presented on an online platform accompanied by identified cases of past habitat loss, illustrating the drivers behind it. Citizens and civil society organisations are very active in scrutinising the operation of the Natura 2000 network, as is evident in the many complaints that the Commission receives every year about threats to Natura 2000 sites. By providing an online platform where habitat loss can be tracked, the project will empower them and encourage their involvement in the application of Union policy. The platform should be user friendly, with downloadable data, as this will enable citizens and scientists to use the project results to the fullest.

Ultimately, the project will contribute to land use in Natura 2000 areas that is compatible with conserving biodiversity, and contribute to resolving conflicts over land use. As such, the project will contribute to achieving the Sustainable Development Goals, in particular SDG 15 on sustainable forest management, halting and reversing land degradation and halting biodiversity loss.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 44 Pilot project — Map of solutions, best practices and remedies for Lindane pesticide waste decontamination in the Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 600 000             | 300 000  |                     |          |              |          |



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$\gamma$ -HCH, commonly known as Lindane, was widely used from the early 1940s as an insecticide in agriculture, in households and in wood and textile protection.

Its agricultural use began to be restricted in the 1970s due to concerns over its effects on human health and the environment and it was finally banned in the Union in 2000. Finally in 2009, the production and agricultural use of Lindane was also banned internationally under the Stockholm Convention on persistent organic pollutants. Lindane can harm the nervous system, producing a range of symptoms from headache and dizziness to seizures, convulsions and, more rarely, death. Based primarily on evidence from animal studies, most evaluations of Lindane have concluded that it may cause cancer.

Although Lindane has been banned as a crop pesticide since 2000, old production and dumping sites for this highly toxic pesticide still exist throughout the Union (e.g. in Slovenia, Germany, Romania and Spain), and while there is extensive knowledge about other persistent organic pollutants (POPs) there is no clear picture of the scale of Lindane-contaminated sites in the Union, and the volume of HCH which can enter the waste/water regime via contaminated construction and demolition waste from historical production and storage sites is unknown.

Although the Union has a comprehensive legal framework as regards production of POPs and a fairly well developed system for authorising or reauthorising the introduction of new pesticides, there are no rules or remedies in connection with existing Lindane sites, decontamination techniques and the regeneration of industrial sites. There is also a variety of techniques, ranging from incineration and chemical reaction to the use of genetically modified plants and sealed confinement, etc.

National, regional and local authorities sometimes are given no assistance with addressing negative effects on the environment and health as regards decontaminating industrial production sites, including a lack of Union funding possibilities. In order to deal with this and taking into account the new Union opportunities under the circular economy proposals, this pilot project has the following objectives:

- (1) identifying and mapping Lindane waste sites in the Union and cataloguing the actions taken by the various administrations to decontaminate Lindane waste sites and to assess the effectiveness of the actions carried out and the public investments made. This project will identify the strengths and weaknesses of POP and other pesticide decontamination as part of the endocrine disruptors debate;
- (2) facilitating the exchange of knowledge, best practices and technological transfer involved in HCH and Lindane decontamination actions throughout the Union so that this knowledge can be transferred to other regions with similar problems. This project will also disseminate the results of specific actions financed under previous LIFE and Horizon 2020 projects;
- (3) identifying possible additional Union funding for decontamination of sites in the Union and best practices in connection with projects to regenerate former industrial sites, in particular specific projects to be financed under the European Fund for Strategic Investments (EFSI) in close cooperation with the EFSI hub;
- (4) identifying research needs for developing further additional methods for more efficient and safe HCH and Lindane waste decontamination;
- (5) drawing up guidelines on a sustainable and environmentally-friendly approach to site redevelopment for regional/national/local administrations.

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 44 (*cont'd*)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 45 Preparatory action — Operationalising capacity building for programmatic development and mapping objectives in the field of environmental taxation and budgetary reform

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 750 000             | 375 000  |                     |          |              |          |

*Remarks*

Building on the successful 2015 pilot project (operational in 2016-17) entitled 'Capacity building, programmatic development, and communication in the field of environmental taxation and budgetary reform' and the five thematic areas defined within that project (biodiversity and land use; air pollution; water stress; water quality; and the circular economy) to develop best practices in the field of environmental taxes, this preparatory action will provide the necessary tools for organisations to become better prepared to participate in policy-making processes at both national and Union level.

It will have four pillars:

- (1) developing capacity-building toolkits for civil society actors and decision-makers at local, regional, national and European levels to provide guidance for participating in environmental taxation reforms;
- (2) mapping out windows of opportunity across the five thematic areas for stakeholder engagement, and developing roadmaps for potential stakeholder actions relating to environmental reforms until 2030, both at European level and in a sample of Member States (e.g. three per thematic area);
- (3) organising strategic meetings relating to the five thematic areas to refine the roadmaps and promote the involvement of decision makers and civil society actors in the field of environmental taxation and budgetary reform;
- (4) looking into various types of subsidies where reforms would be important for greening the economy, including grants, tax exemptions (e.g. corporate taxes) and subsidised loans, together with modelling analysis for issues such as optimum design and compensation for those who lose out as a result of subsidy reform.

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 45 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 46 Pilot project — Assessing, identifying, sharing and disseminating best practices for the humane management of invasive alien species

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |

*Remarks*

On 22 October 2014, the European Parliament and the Council adopted Regulation (EU) No 1143/2014 (the 'IAS Regulation'), according to which 'invasive alien species' (IAS) means an 'alien species whose introduction or spread has been found to threaten or adversely impact upon biodiversity and related ecosystem services'.

IAS are one of the major causes of biodiversity loss. Stricter control of IAS is one of the six main targets of the Union Biodiversity Strategy adopted by the Commission in May 2011 and applicable until 2020. IAS can also cause significant harm to human health and the economy. The cost to the European economy is estimated to be at least EUR 12 billion per year.

The IAS Regulation introduces an EU-wide system to tackle this issue. As provided for by Article 4 of that Regulation, on 13 July 2016 the Commission adopted a list of IAS of Union concern (the 'Union List'). This is the list of priority species which require Union action to prevent, minimise or mitigate their adverse impacts. Member States need to carry out the following measures with regard to species on the list: (1) prevention, (2) early detection and rapid eradication of new invasions, and (3) management of invasions that are already widely spread.

The control and management of IAS on the Union List can impact large numbers of animals for sustained periods of time, thereby raising concerns for animal welfare, reflecting increasing public concern that invasive alien animals are sentient beings and, more generally, increasing civil society interest in the humane treatment of animals.

As clearly stated in the IAS Regulation, non-lethal methods can be used for the management of species: 'Member States and any operator involved in the eradication, control or containment of invasive alien species should take the necessary measures to spare avoidable pain, distress and suffering of animals during the process [...]. Non-lethal methods should be considered'.

However, it is up to the Member States to select measures 'appropriate to the local conditions'. Non-lethal and humane methods are rarely used because they are poorly developed and are not well known in Europe.

COMMISSION  
TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

07 02 77 (*cont'd*)

07 02 77 46 (*cont'd*)

This pilot project, in line with the strategic approach identified by the IAS Regulation, will address the needs of Member States to apply effective, safe and humane methods to manage IAS and prevent them spreading more widely by using humane measures as a viable and publicly acceptable method of controlling the size and growth of IAS populations.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 47 Pilot project — Integrating smart sensors and modelling for air quality monitoring in cities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

This pilot project will establish an urban air quality sensor network (PM, NO<sub>2</sub>, CO, BC) and implement enhanced quality assessment and control (QA/QC) strategies to collect reliable output data from that network. The network will consist of both fixed and portable sensors.

A sensor network will improve the availability of data on local air quality and on human exposure to pollutants because of the higher spatial and temporal resolution than traditional measurement systems (monitoring stations). However, because of the lower accuracy of air quality sensors advanced QA/QC strategies need to be implemented.

This research project will develop and implement on-line calibration procedures for individual air quality sensors. The unique hybrid approach of combining high-resolution modelling with high-resolution monitoring will also be demonstrated to improve the QA/QC procedures and eventually make the network output data more reliable. Furthermore, network optimisation procedures will be developed and implemented to guarantee maximum network performance.

Selected sensors will be tested and calibration algorithms will be set. Sensor performance before deployment (e.g. development of sensor calibration models), as well as methods that are applied to follow up sensor performance through the deployment phase, will be demonstrated. In addition, network and power supply issues will be tackled too.

The project will also include combining or assimilating the Internet of Things (IoT) sensor network and air quality modelling applications. The model chain can provide the air quality sensor network with an independent source of information to identify faulty sensor nodes or aid in providing a calibration framework. In addition, the abundance of data from a sensor network will reveal shortcomings in the modelling and result in better exposure assessment.

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**07 02 77** (*cont'd*)07 02 77 47 (*cont'd*)

Sensor system definition and prototyping (sensor selection and calibration) will initially be based on the existing IoT network in a single Union city. The system will be deployed in a total of three Union cities.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 08*

**RESEARCH AND INNOVATION**

COMMISSION  
TITLE 08 — RESEARCH AND INNOVATION

**TITLE 08**  
**RESEARCH AND INNOVATION**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                 | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|-------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                         | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 08 01         | ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA | 333 056 974          | 333 056 974          | 330 480 222          | 330 480 222          | 324 238 441,46          | 324 238 441,46          |
| 08 02         | HORIZON 2020 — RESEARCH                                                 | 6 327 620 887        | 5 949 233 946        | 5 815 171 007        | 5 509 144 468        | 5 980 626 322,09        | 5 396 352 204,37        |
| 08 03         | EURATOM PROGRAMME — INDIRECT ACTIONS                                    | 229 579 904          | 190 192 476          | 215 504 757          | 222 147 040          | 209 544 705,34          | 150 975 827,43          |
| 08 05         | RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL              | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 42 251 114,87           | 44 707 551,07           |
|               | <b>Title 08 — Total</b>                                                 | <b>6 890 257 765</b> | <b>6 472 483 396</b> | <b>6 361 155 986</b> | <b>6 061 771 730</b> | <b>6 556 660 583,76</b> | <b>5 916 274 024,33</b> |

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TITLE 08 — RESEARCH AND INNOVATION

**TITLE 08****RESEARCH AND INNOVATION***Remarks*

These remarks apply to all the budget lines in this title.

Research and innovation activities under this title will contribute to two main research programmes, i.e. Horizon 2020 and Euratom. It will also cover research programmes of the Research Fund for Coal and Steel.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations are also intended to cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from the cooperation agreement between the European Atomic Energy Community and Switzerland will be entered in Item 6 0 1 1 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Items 08 02 50 01, 08 03 50 01 and 08 04 50 01.

Administrative appropriations of this title will be provided under Article 08 01 05.



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TITLE 08 — RESEARCH AND INNOVATION

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|-------------|
| 08 01                               | ADMINISTRATIVE EXPENDITURE OF THE<br>'RESEARCH AND INNOVATION' POLICY AREA                                                                           |     |                     |                     |                |             |
| <b>08 01 01</b>                     | <b>Expenditure related to officials and temporary<br/>staff in the 'Research and innovation' policy area</b>                                         | 5.2 | 6 209 032           | 9 036 770           | 8 851 131,55   | 142,55      |
| <b>08 01 02</b>                     | <b>External personnel and other management<br/>expenditure of the 'Research and innovation'<br/>policy area</b>                                      |     |                     |                     |                |             |
| 08 01 02 01                         | External personnel                                                                                                                                   | 5.2 | 351 898             | 333 599             | 268 601,10     | 76,33       |
| 08 01 02 11                         | Other management expenditure                                                                                                                         | 5.2 | 522 133             | 531 032             | 409 192,—      | 78,37       |
|                                     | <i>Article 08 01 02 — Subtotal</i>                                                                                                                   |     | 874 031             | 864 631             | 677 793,10     | 77,55       |
| <b>08 01 03</b>                     | <b>Expenditure related to information and communi-<br/>cation technology equipment and services of the<br/>'Research and innovation' policy area</b> | 5.2 | 401 373             | 564 287             | 680 616,90     | 169,57      |
| <b>08 01 05</b>                     | <b>Support expenditure for research and innovation<br/>programmes in the 'Research and innovation'<br/>policy area</b>                               |     |                     |                     |                |             |
| 08 01 05 01                         | Expenditure related to officials and temporary staff<br>implementing research and innovation programmes<br>— Horizon 2020                            | 1.1 | 94 197 536          | 94 221 251          | 100 139 037,06 | 106,31      |
| 08 01 05 02                         | External personnel implementing research and<br>innovation programmes — Horizon 2020                                                                 | 1.1 | 25 823 043          | 26 116 578          | 27 786 793,65  | 107,60      |
| 08 01 05 03                         | Other management expenditure for research and<br>innovation programmes — Horizon 2020                                                                | 1.1 | 46 062 594          | 45 500 949          | 44 081 776,75  | 95,70       |
| 08 01 05 11                         | Expenditure related to officials and temporary staff<br>implementing research and innovation programmes<br>— Euratom Programme                       | 1.1 | 10 008 550          | 9 702 170           | 9 800 968,—    | 97,93       |
| 08 01 05 12                         | External personnel implementing research and<br>innovation programmes — Euratom Programme                                                            | 1.1 | 689 286             | 709 823             | 750 371,—      | 108,86      |
| 08 01 05 13                         | Other management expenditure for research and<br>innovation programmes — Euratom Programme                                                           | 1.1 | 3 272 850           | 3 272 850           | 3 278 702,99   | 100,18      |
|                                     | <i>Article 08 01 05 — Subtotal</i>                                                                                                                   |     | 180 053 859         | 179 523 621         | 185 837 649,45 | 103,21      |
| <b>08 01 06</b>                     | <b>Executive agencies</b>                                                                                                                            |     |                     |                     |                |             |
| 08 01 06 01                         | European Research Council Executive Agency —<br>contribution from Horizon 2020                                                                       | 1.1 | 46 681 000          | 45 122 000          | 42 100 269,—   | 90,19       |

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                  | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|-------------------------------------|------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|--------------|
| <b>08 01 06</b>                     | (cont'd)                                                                                 |     |                     |                     |                       |              |
| 08 01 06 02                         | Research Executive Agency — Contribution from Horizon 2020                               | 1.1 | 64 590 426          | 62 627 224          | 59 696 794,46         | 92,42        |
| 08 01 06 03                         | Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020 | 1.1 | 26 327 644          | 27 390 168          | 21 798 678,—          | 82,80        |
| 08 01 06 04                         | Innovation and Networks Executive Agency — Contribution from Horizon 2020                | 1.1 | 6 854 609           | 5 351 521           | 4 595 509,—           | 67,04        |
| 08 01 06 05                         | Research Executive Agency — Contribution from non-research programmes                    | 5.2 | 1 065 000           |                     |                       |              |
|                                     | Article 08 01 06 — Subtotal                                                              |     | 145 518 679         | 140 490 913         | 128 191 250,46        | 88,09        |
|                                     | <b>Chapter 08 01 — Total</b>                                                             |     | <b>333 056 974</b>  | <b>330 480 222</b>  | <b>324 238 441,46</b> | <b>97,35</b> |

**08 01 01 Expenditure related to officials and temporary staff in the 'Research and innovation' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 209 032           | 9 036 770           | 8 851 131,55 |

**08 01 02 External personnel and other management expenditure of the 'Research and innovation' policy area**

08 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 351 898             | 333 599             | 268 601,10   |

08 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 522 133             | 531 032             | 409 192,—    |

**08 01 03 Expenditure related to information and communication technology equipment and services of the 'Research and innovation' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 401 373             | 564 287             | 680 616,90   |

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 05 **Support expenditure for research and innovation programmes in the 'Research and innovation' policy area**

08 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 94 197 536          | 94 221 251          | 100 139 037,06 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 08 02.

08 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 25 823 043          | 26 116 578          | 27 786 793,65 |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 05 (cont'd)

08 01 05 02 (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 08 02.

08 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 46 062 594          | 45 500 949          | 44 081 776,75 |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 05 (cont'd)

08 01 05 03 (cont'd)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 08 02.

08 01 05 11 Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 008 550          | 9 702 170           | 9 800 968,—  |

*Remarks*

This appropriation covers expenditure relating to officials and temporary staff implementing research and innovation programmes — Euratom Programme, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear programmes, including staff posted in Union delegations.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 08 03.

08 01 05 12 External personnel implementing research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 689 286             | 709 823             | 750 371,—    |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Euratom Programme, in the form of indirect action under the nuclear programmes, including external personnel posted in Union delegations.

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 05 (cont'd)

08 01 05 12 (cont'd)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 08 03.

08 01 05 13 Other management expenditure for research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 272 850           | 3 272 850           | 3 278 702,99 |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Euratom Programme, in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 08 03.

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 06 *Executive agencies*

08 01 06 01 European Research Council Executive Agency — contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 46 681 000          | 45 122 000          | 42 100 269,— |

*Remarks*

This appropriation is intended to cover the operating costs of the European Research Council Executive Agency incurred as a result of the Agency's role in the management of Horizon 2020 — the framework Programme for Research and Innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: Ideas implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

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## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 06 (cont'd)

08 01 06 01 (cont'd)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Commission Implementing Decision 2013/779/EU of 17 December 2013 establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC (OJ L 346, 20.12.2013, p. 58).

Commission Decision C(2013) 9428 of 20 December 2013 delegating powers to the European Research Council Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2014) 9450 of 12 December 2014 amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2015) 8754 of 11 December 2015 amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Proposal for a Commission Decision C(2017), submitted by the Commission on ..., amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union (COM(...) ... final).

08 01 06 02 Research Executive Agency — Contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 64 590 426          | 62 627 224          | 59 696 794,46 |

*Remarks*

This appropriation is intended to cover the operating costs of the Research Executive Agency incurred as a result of the Agency's role in the management of Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).



**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA** (*cont'd*)**08 01 06** (*cont'd*)08 01 06 02 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme Cooperation implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme People implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: Capacities implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

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## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (cont'd)

08 01 06 (cont'd)

08 01 06 02 (cont'd)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Commission Decision 2008/46/EC of 14 December 2007 setting up the 'Research Executive Agency' for the management of certain areas of the specific Community programmes People, Capacities and Cooperation in the field of research in application of Council Regulation (EC) No 58/2003 (OJ L 11, 15.1.2008, p. 9).

Commission Implementing Decision 2013/778/EU of 13 December 2013 establishing the Research Executive Agency and repealing Decision 2008/46/EC (OJ L 346, 20.12.2013, p. 54).

Commission Decision C(2013) 9418 of 20 December 2013 delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2014) 9450 of 12 December 2014 amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2015) 8754 of 11 December 2015 amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2017) 4900 of 14 July 2017 amending Commission Decision C(2013) 9418 as regards the delegation of tasks for the setting up of a single electronic data interchange area, the transfer of human resources in line with a redistribution of tasks and the delegation to the Research Executive Agency of projects generating EU classified information.

08 01 06 03 Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 26 327 644          | 27 390 168          | 21 798 678,— |

*Remarks*

This appropriation is intended to cover the operating costs of the Executive Agency for Small and Medium-sized Enterprises incurred as a result of the Agency's role in the management of Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 06** (*cont’d*)08 01 06 03 (*cont’d*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: ‘Intelligent Energy — Europe’ (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the ‘Intelligent Energy Executive Agency’, to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

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CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (*cont'd*)08 01 06 (*cont'd*)08 01 06 03 (*cont'd*)

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the Intelligent Energy Executive Agency into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Decision C(2007) 3198 of 9 July 2007 delegating powers to the 'Executive Agency for Competitiveness and Innovation' with a view to performance of tasks linked to implementation of the Intelligent Energy — Europe Programme 2003-2006, the Marco Polo Programme 2003-2006, the Competitiveness and Innovation Framework Programme 2007-2013 and the Marco Polo Programme 2007-2013 comprising in particular implementation of appropriations entered in the Community budget.

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

08 01 06 04 Innovation and Networks Executive Agency — Contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 854 609           | 5 351 521           | 4 595 509,—  |

*Remarks*

This appropriation is intended to cover the operating costs of the Innovation and Networks Executive Agency incurred as a result of the Agency's role in the management of Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 06** (*cont’d*)08 01 06 04 (*cont’d*)

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Commission Implementing Decision 2013/801/EU of 23 December 2013 establishing the Innovation and Networks Executive Agency and repealing Decision 2007/60/EC as amended by Decision 2008/593/EC (OJ L 352, 24.12.2013, p. 65).

Commission Decision C(2013) 9235 of 23 December 2013 delegating powers to the Innovation and Networks Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport, energy and telecommunications infrastructure and in the field of transport and energy research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

## 08 01 06 05 Research Executive Agency — Contribution from non-research programmes

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 065 000           |                     |              |

*Remarks**New item*

This appropriation is intended to cover the operating costs of the Research Executive Agency incurred as a result of the centralisation of the third parties’ legal validation and the preparation of viability assessment and its delegation to the Agency in response to the obligation of the Single Electronic Data Interchange Area (SEDIA), referred to in Article 95(2) of the Financial Regulation. Further to the support to research programmes, the Agency shall be responsible for the provision of administrative and logistical support services for the third parties’ legal validation and the preparation of viability assessment for both grants and procurement activities, including the first level of the indirect management transactions, for all non-research programmes, including for the implementation of administrative expenditures and in the cases referred to in Article 54(2) of the Financial Regulation.

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**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 06** (*cont’d*)08 01 06 05 (*cont’d*)*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Tasks resulting from the Commission’s administrative autonomy, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/ 2002 (OJ L 298, 26.10.2012, p. 1).

Tasks resulting from e-Government legal provisions, as provided for in Article 95(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/ 2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Implementing Decision 2013/778/EU of 13 December 2013 establishing the Research Executive Agency and repealing Decision 2008/46/EC (OJ L 346, 20.12.2013, p. 54).

Commission Decision C(2013) 9418 of 20 December 2013 delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2014) 9450 of 12 December 2014 amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2015) 8754 of 11 December 2015 amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Proposal for a Commission Decision C(2017), submitted by the Commission on ..., amending Decision C(2013) 9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union (COM(...) ... final).

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                       | FF  | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|---------------|------------------|----------------|-------------------------|
|                                     |                                                                                                                               |     | Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments       |                         |
| 08 02                               | HORIZON 2020 — RESEARCH                                                                                                       |     |                     |               |                     |               |                  |                |                         |
| <b>08 02 01</b>                     | <b>Excellent science</b>                                                                                                      |     |                     |               |                     |               |                  |                |                         |
| 08 02 01 01                         | Strengthening frontier research in the European Research Council                                                              | 1.1 | 1 842 122 604       | 1 356 020 405 | 1 753 136 644       | 935 198 152   | 1 672 439 594,30 | 674 033 058,13 | 49,71                   |
| 08 02 01 02                         | Strengthening research in future and emerging technologies                                                                    | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—              | 0,—            |                         |
| 08 02 01 03                         | Strengthening European research infrastructures, including e-infrastructures                                                  | 1.1 | 224 169 555         | 123 645 916   | 200 959 521         | 244 123 783   | 188 925 936,—    | 93 854 336,—   | 75,91                   |
|                                     | <i>Article 08 02 01 — Subtotal</i>                                                                                            |     | 2 066 292 159       | 1 479 666 321 | 1 954 096 165       | 1 179 321 935 | 1 861 365 530,30 | 767 887 394,13 | 51,90                   |
| <b>08 02 02</b>                     | <b>Industrial leadership</b>                                                                                                  |     |                     |               |                     |               |                  |                |                         |
| 08 02 02 01                         | Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing | 1.1 | 524 204 453         | 552 233 871   | 514 392 377         | 374 177 307   | 478 590 601,—    | 459 967 749,47 | 83,29                   |
| 08 02 02 02                         | Enhancing access to risk finance for investing in research and innovation                                                     | 1.1 | 399 485 523         | 379 207 648   | 400 331 277         | 358 772 793   | 436 230 145,30   | 357 981 378,26 | 94,40                   |
| 08 02 02 03                         | Increasing innovation in small and medium-sized enterprises (SMEs)                                                            | 1.1 | 46 681 093          | 24 901 508    | 42 032 876          | 1 226 502     | 35 406 658,—     | 16 086 230,30  | 64,60                   |
|                                     | <i>Article 08 02 02 — Subtotal</i>                                                                                            |     | 970 371 069         | 956 343 027   | 956 756 530         | 734 176 602   | 950 227 404,30   | 834 035 358,03 | 87,21                   |
| <b>08 02 03</b>                     | <b>Societal challenges</b>                                                                                                    |     |                     |               |                     |               |                  |                |                         |
| 08 02 03 01                         | Improving lifelong health and well-being                                                                                      | 1.1 | 582 802 183         | 439 393 124   | 452 389 733         | 375 657 554   | 545 496 852,38   | 290 207 229,75 | 66,05                   |
| 08 02 03 02                         | Securing sufficient supplies of safe, healthy and high quality food and other bio-based products                              | 1.1 | 188 374 001         | 189 964 342   | 151 783 756         | 133 402 096   | 127 056 013,42   | 66 211 902,80  | 34,85                   |
| 08 02 03 03                         | Making the transition to a reliable, sustainable and competitive energy system                                                | 1.1 | 336 486 398         | 323 232 721   | 297 292 784         | 204 438 229   | 327 405 642,97   | 284 028 683,77 | 87,87                   |
| 08 02 03 04                         | Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless                 | 1.1 | 239 323 675         | 284 091 541   | 331 267 186         | 285 072 690   | 298 747 790,90   | 184 110 893,84 | 64,81                   |

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## TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                       | FF  | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|---------------|------------------|------------------|-------------------------|
|                                     |                                                                                                               |     | Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |                         |
| <b>08 02 03</b>                     | (cont'd)                                                                                                      |     |                     |               |                     |               |                  |                  |                         |
| 08 02 03 05                         | Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials | 1.1 | 303 307 891         | 208 463 550   | 282 004 309         | 216 490 591   | 272 596 573,—    | 239 642 648,93   | 114,96                  |
| 08 02 03 06                         | Fostering inclusive, innovative and reflective European societies                                             | 1.1 | 124 102 267         | 125 202 494   | 107 587 818         | 97 646 402    | 107 678 870,—    | 125 732 891,75   | 100,42                  |
|                                     | Article 08 02 03 — Subtotal                                                                                   |     | 1 774 396 415       | 1 570 347 772 | 1 622 325 586       | 1 312 707 562 | 1 678 981 742,67 | 1 189 934 250,84 | 75,78                   |
| <b>08 02 04</b>                     | <b>Spreading excellence and widening participation</b>                                                        | 1.1 | 122 708 877         | 110 457 866   | 140 157 850         | 108 860 005   | 111 810 922,27   | 42 625 598,07    | 38,59                   |
| <b>08 02 05</b>                     | <b>Horizontal activities of Horizon 2020</b>                                                                  | 1.1 | 111 640 000         | 109 554 259   | 114 734 030         | 104 622 798   | 97 399 456,86    | 67 882 604,61    | 61,96                   |
| <b>08 02 06</b>                     | <b>Science with and for society</b>                                                                           | 1.1 | 65 082 398          | 53 314 382    | 58 457 571          | 54 171 621    | 54 957 741,—     | 43 249 843,90    | 81,12                   |
| <b>08 02 07</b>                     | <b>Joint Undertakings</b>                                                                                     |     |                     |               |                     |               |                  |                  |                         |
| 08 02 07 31                         | Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure                              | 1.1 | 5 033 678           | 5 033 678     | 1 265 453           | 1 265 453     | 1 200 000,—      | 1 200 000,—      | 23,84                   |
| 08 02 07 32                         | Innovative Medicines Initiative 2 (IMI2) Joint Undertaking                                                    | 1.1 | 259 290 000         | 103 165 053   | 173 798 000         | 74 953 762    | 203 186 585,—    | 70 856 809,—     | 68,68                   |
| 08 02 07 33                         | Bio-Based Industries (BBI) Joint Undertaking — Support expenditure                                            | 1.1 | 2 223 726           | 2 223 726     | 2 285 155           | 2 285 155     | 1 906 396,—      | 1 906 396,—      | 85,73                   |
| 08 02 07 34                         | Bio-Based Industries (BBI) Joint Undertaking                                                                  | 1.1 | 110 263 312         | 108 914 732   | 78 889 310          | 66 887 748    | 160 398 756,—    | 61 790 837,—     | 56,73                   |
| 08 02 07 35                         | Clean Sky 2 Joint Undertaking — Support expenditure                                                           | 1.1 | 4 450 485           | 4 450 485     | 3 037 689           | 3 037 689     | 2 697 469,—      | 2 697 469,—      | 60,61                   |
| 08 02 07 36                         | Clean Sky 2 Joint Undertaking                                                                                 | 1.1 | 278 980 583         | 319 857 059   | 189 833 010         | 167 476 200   | 200 090 976,—    | 182 142 264,—    | 56,94                   |
| 08 02 07 37                         | Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking — Support expenditure                                     | 1.1 | 2 288 599           | 2 288 599     | 55 406              | 55 406        | 467 368,—        | 467 368,—        | 20,42                   |



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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 |             | Appropriations 2017 |               | Outturn 2016   |                  | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|---------------|----------------|------------------|-------------------------|
|                                     |                                                                                                                                                      |     | Commitments         | Payments    | Commitments         | Payments      | Commitments    | Payments         |                         |
| <b>08 02 07</b>                     | (cont'd)                                                                                                                                             |     |                     |             |                     |               |                |                  |                         |
| 08 02 07 38                         | Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking                                                                                                  | 1.1 | 73 389 716          | 93 126 304  | 91 990 225          | 139 529 054   | 104 955 460,—  | 48 358 358,—     | 51,93                   |
|                                     | Article 08 02 07 — Subtotal                                                                                                                          |     | 735 920 099         | 639 059 636 | 541 154 248         | 455 490 467   | 674 903 010,—  | 369 419 501,—    | 57,81                   |
| <b>08 02 08</b>                     | <b>SME instrument</b>                                                                                                                                | 1.1 | 481 209 870         | 432 882 120 | 427 089 027         | 389 280 653   | 360 004 666,52 | 293 272 457,05   | 67,75                   |
| <b>08 02 50</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>          |     |                     |             |                     |               |                |                  |                         |
| 08 02 50 01                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)  | 1.1 | p.m.                | p.m.        | p.m.                | p.m.          | 175 132 848,14 | 58 844 743,52    |                         |
| 08 02 50 02                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014) | 1.1 | p.m.                | p.m.        | p.m.                | p.m.          | 76 382,98      | 59 110 272,—     |                         |
|                                     | Article 08 02 50 — Subtotal                                                                                                                          |     | p.m.                | p.m.        | p.m.                | p.m.          | 175 209 231,12 | 117 955 015,52   |                         |
| <b>08 02 51</b>                     | <b>Completion of previous research Framework Programme — Seventh Framework Programme — EC indirect action (2007 to 2013)</b>                         | 1.1 | p.m.                | 596 808 563 | p.m.                | 1 169 097 029 | 14 495 461,75  | 1 669 147 260,92 | 279,68                  |
| <b>08 02 52</b>                     | <b>Completion of previous research framework programmes — Indirect action (prior to 2007)</b>                                                        | 1.1 | p.m.                | p.m.        | p.m.                | p.m.          | 71 155,30      | 425 829,50       |                         |
| <b>08 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                        |     |                     |             |                     |               |                |                  |                         |
| 08 02 77 01                         | Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming                                                   | 2   | p.m.                | p.m.        | p.m.                | 50 000        | 0,—            | 75 000,—         |                         |

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                              | FF  | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|-------------------------|
|                                     |                                                                                                                                      |     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                         |
| <b>08 02 77</b>                     | (cont'd)                                                                                                                             |     |                      |                      |                      |                      |                         |                         |                         |
| 08 02 77 03                         | Pilot project — Research and development for poverty-related and neglected diseases in achieving universal health coverage post-2015 | 1.1 | p.m.                 | p.m.                 | p.m.                 | 565 796              | 0,—                     | 442 090,80              |                         |
| 08 02 77 05                         | Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings          | 1.1 | p.m.                 | 400 000              | p.m.                 | 200 000              | 600 000,—               | 0,—                     | 0                       |
| 08 02 77 06                         | Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe  | 1.1 | p.m.                 | 400 000              | p.m.                 | 400 000              | 600 000,—               | 0,—                     | 0                       |
| 08 02 77 09                         | Pilot project — Towards a care pathway for clitoral reconstruction in the European Union                                             | 2   | p.m.                 | p.m.                 | 400 000              | 200 000              |                         |                         |                         |
|                                     | <i>Article 08 02 77 — Subtotal</i>                                                                                                   |     | p.m.                 | 800 000              | 400 000              | 1 415 796            | 1 200 000,—             | 517 090,80              | 64,64                   |
|                                     | <b>Chapter 08 02 — Total</b>                                                                                                         |     | <b>6 327 620 887</b> | <b>5 949 233 946</b> | <b>5 815 171 007</b> | <b>5 509 144 468</b> | <b>5 980 626 322,09</b> | <b>5 396 352 204,37</b> | <b>90,71</b>            |

## Remarks

These budgetary remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the Framework Programme for Research and Innovation, which covers the 2014-2020 period and brings together all existing Union research and innovation funding, including the framework programme for research, the innovation related activities of the competitiveness and innovation framework programme and the European Institute of Innovation and Technology (EIT). The programme shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'A digital agenda for Europe' 'Resource-efficient Europe' and 'An industrial policy for the globalisation era', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. This appropriation will be used also for the completion of the previous research programmes (Seventh Framework Programme and preceding framework programmes).

This appropriation will be used in accordance with the Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

**08 02 01** *Excellent science**Remarks*

This priority of Horizon 2020 aims to reinforce and extend excellence in the Union's science base and ensure a steady stream of world-class research to secure the Union's long-term competitiveness. It will support the best ideas, develop talent within the Union, provide researchers with access to priority research infrastructure, and make the Union an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without pre-determined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

## 08 02 01 01 Strengthening frontier research in the European Research Council

*Figures (Differentiated appropriations)*

| Appropriations 2018 |               | Appropriations 2017 |             | Outturn 2016     |                |
|---------------------|---------------|---------------------|-------------|------------------|----------------|
| Commitments         | Payments      | Commitments         | Payments    | Commitments      | Payments       |
| 1 842 122 604       | 1 356 020 405 | 1 753 136 644       | 935 198 152 | 1 672 439 594,30 | 674 033 058,13 |

*Remarks*

The fundamental activity of the European Research Council (ERC) shall be to provide attractive long-term funding to support excellent investigators and their research teams to pursue ground-breaking, high-gain/high-risk research. Particular priority shall be given to assisting excellent starting researchers to make the transition to independence by providing adequate support at the critical stage, when they are setting up or consolidating their own research team or programme. The ERC shall also give support, as necessary, to emerging new ways of working in the scientific world with the potential to create breakthrough results and facilitate exploration of the commercial and social innovation potential of the research which it funds.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (a) of Article 3(1) thereof.

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TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 01 (*cont'd*)08 02 01 01 (*cont'd*)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

*Reference acts*

Commission Implementing Decision C(2013) 8632 of 10 December 2013 adopting the 2014-2015 work programme in the framework of the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) in relation to the specific objective 'Strengthening frontier research, through the activities of the European Research Council', as amended by Commission Implementing Decision C(2014) 5008 of 22 July 2014.

Commission Decision C(2013) 9428 of 20 December 2013 on delegating powers to the European Research Council Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union, as amended by Decision C(2014) 9437 of 12 December 2014.

Commission Decision C(2013) 8915 of 12 December 2013 establishing the European Research Council (OJ C 373, 20.12.2013, p. 23), as amended by Decision of 17 February 2015 (OJ C 58, 18.2.2015, p. 3).

08 02 01 02 Strengthening research in future and emerging technologies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Activities under the 'Future and emerging technologies' (FET) specific objective shall support fundamental science and technology research exploring new future technologies by challenging current paradigms and venturing into unknown areas. In addition, FET activities shall address a number of promising exploratory research themes with the potential to generate a critical mass of inter-related projects that, together, make up a broad and multifaceted exploration of the themes and build a European pool of knowledge. Finally, FET activities shall support ambitious large-scale, science-driven research aiming to achieve a scientific breakthrough. Such activities will benefit from the alignment of European and national agendas.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(1) thereof.

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 01** (*cont'd*)08 02 01 02 (*cont'd*)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## 08 02 01 03 Strengthening European research infrastructures, including e-infrastructures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |              |
|---------------------|-------------|---------------------|-------------|---------------|--------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments     |
| 224 169 555         | 123 645 916 | 200 959 521         | 244 123 783 | 188 925 936,— | 93 854 336,— |

*Remarks*

Research infrastructures activity will ensure the implementation and operation of the ESFRI (European Strategy Forum for Research Infrastructure) and other world-class research infrastructures, including the development of regional partner facilities, for 2020 and beyond. In addition, the integration of and access to national research infrastructures and the development, deployment and operation of e-infrastructures will be ensured. The activity will also encourage research infrastructures to act as early adopters of technology, promote research and development (R & D) partnerships with industry, facilitate industrial use of research infrastructures and stimulate the creation of innovation clusters.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (d) of Article 3(1) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**08 02 02** **Industrial leadership***Remarks*

This priority of Horizon 2020 aims to make the Union a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of Union companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 02 (cont'd)

08 02 02 01 Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 524 204 453         | 552 233 871 | 514 392 377         | 374 177 307 | 478 590 601,— | 459 967 749,47 |

Remarks

Former Item 08 02 02 01 (in part)

Leadership in enabling and industrial technologies shall provide dedicated support for research, development and demonstration on nanotechnology, advanced materials, biotechnology and advanced manufacturing and processing. Emphasis will be placed on interactions and convergence across and between the different technologies. In addition, emphasis shall be on R & D, large-scale pilots and demonstration activities, test beds and living labs, prototyping and product validation in pilot lines. Activities shall be designed to boost industrial competitiveness by stimulating industry, and in particular SMEs, to make more research and innovation investment.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular points (a)(ii) to (v) of Article 3(2) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 02 02 Enhancing access to risk finance for investing in research and innovation

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 399 485 523         | 379 207 648 | 400 331 277         | 358 772 793 | 436 230 145,30 | 357 981 378,26 |

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 02** (*cont'd*)08 02 02 02 (*cont'd*)*Remarks*

The aim of this activity is to help companies and other types of organisation engaged in research and innovation (R & I) to gain easier access, via financial instruments, to loans, guarantees, counter-guarantees and hybrid, mezzanine and equity finance. Debt and equity facilities will be run in a demand-driven manner, though the priorities of particular sectors or of other Union programmes will be targeted if top-up funding is made available. The focus is on attracting private investments into R & I. The European Investment Bank (EIB) and the European Investment Fund (EIF) will play an important role, as entrusted entities, in implementing each financial instrument facility on behalf of and in partnership with the Commission. Part of this appropriation will be used to reinforce, in the form of paid-in capital, the EIF's capital base.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(2) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 02 03 Increasing innovation in small and medium-sized enterprises (SMEs)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|------------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments  | Commitments  | Payments      |
| 46 681 093          | 24 901 508 | 42 032 876          | 1 226 502 | 35 406 658,— | 16 086 230,30 |

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 02 (*cont'd*)08 02 02 03 (*cont'd*)*Remarks*

To support the participation of SMEs in Horizon 2020 a dedicated market-oriented instrument has been introduced, targeting all types of innovative SMEs that wish to develop, grow and internationalise. In addition, support will be provided to research intensive SMEs in transnational research projects and to women-led start-ups. Activities enhancing the innovation capacity of SMEs and improving the framework conditions for innovation will also be supported.

In accordance with Regulation (EU) No 1291/2013, support will be provided to innovation in SMEs through the implementation of an SME instrument under a single management system and implemented in a bottom-up manner. In accordance with Annex II to that Regulation within the target of allocating a minimum of 20 % of the total combined budgets for the specific objective 'Leadership in enabling and industrial technologies' and the priority 'Societal challenges' for SMEs, a minimum of 5 % of those combined budgets will be initially allocated to the dedicated SME instrument. A minimum of 7 % of the total budgets of the specific objective 'Leadership in enabling and industrial technologies' and the priority 'Societal challenges' will be allocated to the dedicated SME instrument averaged over the duration of Horizon 2020.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (c) of Article 3(2) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 03 **Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level and take a gender-sensitive approach while aiming for balanced participation of men and women.



## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 03 (cont'd)

## 08 02 03 01 Improving lifelong health and well-being

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 582 802 183         | 439 393 124 | 452 389 733         | 375 657 554 | 545 496 852,38 | 290 207 229,75 |

*Remarks**Former Item 08 02 03 01 (in part)*

Lifelong health and well-being for all, high-quality and economically sustainable health and care systems, with health care becoming more personalised for more efficacy, and opportunities for new jobs and growth in the health sector and its related industries are the aims of this activity. To that respect activities will focus on effective health promotion and disease prevention (e.g. understanding the determinants of health, developing better preventive vaccines). Particular attention will be paid to gender- and age-related health specificities. In addition, emphasis will be placed on managing, treating and curing disease (notably via an increased personalisation of medicine), disability and reduced functionality (e.g. by transferring knowledge to clinical practice and scalable innovation actions, better use of health data, independent and assisted living). Furthermore, efforts will be made to improve decision-making in prevention and treatment provision, identify and support the dissemination of best practice in the health care sector, and support integrated care and the uptake of technological, organisational and social innovations empowering in particular older persons as well as disabled persons to remain active and independent. Finally, activities will be based on a gender-sensitive approach that recognises, amongst other things, the position of women in both the informal and the formal care sector.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (a) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 03 (cont'd)

08 02 03 02 Securing sufficient supplies of safe, healthy and high quality food and other bio-based products

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 188 374 001         | 189 964 342 | 151 783 756         | 133 402 096 | 127 056 013,42 | 66 211 902,80 |

*Remarks**Former Item 08 02 03 02 (in part)*

This activity will focus on developing more sustainable and productive agriculture and forestry systems, while at the same time developing services, concepts and policies for thriving rural livelihoods. In addition, emphasis will be placed on healthy and safe foods for all as well as competitive food processing methods that use fewer resources and produce fewer by-products. In parallel, efforts will be made on sustainably exploiting aquatic living resources (e.g. sustainable and environmentally friendly fisheries). Low carbon, resource efficient, sustainable and competitive European bio-based industries will also be promoted.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 03 03 Making the transition to a reliable, sustainable and competitive energy system

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 336 486 398         | 323 232 721 | 297 292 784         | 204 438 229 | 327 405 642,97 | 284 028 683,77 |

*Remarks**Former Item 08 02 03 03 (in part)*

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 03** (*cont'd*)08 02 03 03 (*cont'd*)

Research efforts to ensure a secure, clean and efficient energy supply will focus on reducing the Union's energy consumption and carbon footprint and on securing a low-cost, low-carbon electricity supply. These efforts will build on the objectives and priorities of the Energy Union and the Strategic Energy Technology (SET) Plan.

At least 85 % of the budget appropriations will be allocated to the renewables and energy efficiency policy areas, including smart grids, energy storage and smart cities and communities.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (c) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 08 02 03 04 Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 239 323 675         | 284 091 541 | 331 267 186         | 285 072 690 | 298 747 790,90 | 184 110 893,84 |

*Remarks**Former Item 08 02 03 04 (in part)*

Under this activity emphasis will be placed on resource-efficient transport (e.g. accelerating the development and deployment of a new generation of electric and other low or zero emission aircraft, vehicles and vessels) as well as on better mobility with less congestion and more safety and security (e.g. promoting integrated door-to-door transport and logistics). The focus will also be on reinforcing the competitiveness and performance of European transport manufacturing industries and related services, by, for instance, developing the next generation of innovative transport means and preparing the ground for the following one. Activities aiming at improving the understanding of transport-related socioeconomic trends and prospects, and at providing policymakers with evidence-based data and analyses will also be supported.

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 03 (*cont'd*)08 02 03 04 (*cont'd*)*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (d) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 03 05 Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 303 307 891         | 208 463 550 | 282 004 309         | 216 490 591 | 272 596 573,— | 239 642 648,93 |

*Remarks**Former Item 08 02 03 05 (in part)*

The main focus of this activity is to achieve a resource-efficient and climate change resilient economy and a sustainable supply of raw materials in order to meet the needs of a growing global population within the sustainable limits of the planet's natural resources. To that respect emphasis will be placed on fighting and adapting to climate change, on sustainably managing natural resources and ecosystems and on enabling the transition towards a green economy through eco-innovation. Comprehensive and sustained global environmental observation and information systems will also be developed.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (e) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 03** (*cont'd*)08 02 03 05 (*cont'd*)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 08 02 03 06 Fostering inclusive, innovative and reflective European societies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |                |
|---------------------|-------------|---------------------|------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments       |
| 124 102 267         | 125 202 494 | 107 587 818         | 97 646 402 | 107 678 870,— | 125 732 891,75 |

*Remarks*

*Former Item 08 02 03 06 (in part)*

The aim of this activity is to contribute to making European societies more inclusive, innovative and reflective, by promoting smart, sustainable and inclusive growth. Actions will support coordinated policymaking through the development of evidence, tools, forward-looking activities and pilots to increase transnational efficiency and economic impact of research and innovation policies and achieve a well-functioning European Research Area and Innovation Union. Actions will also aim at closing the innovation divide, ensuring societal engagement in research and innovation and fostering gender balance in research teams, promoting coherent and effective cooperation with third countries and developing an understanding of Europe's intellectual basis: its history and the many European and non-European influences, as an inspiration for our lives today.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (f) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

**08 02 04** *Spreading excellence and widening participation**Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 122 708 877         | 110 457 866 | 140 157 850         | 108 860 005 | 111 810 922,27 | 42 625 598,07 |

*Remarks*

The aim of those activities is to fully exploit the potential of Europe's talent pool and to ensure that the benefits of an innovation-led economy are both maximised and widely distributed across the Union in accordance with the principle of excellence. By nurturing and connecting pools of excellence, the activities proposed will contribute to strengthening the European Research Area.

The activities will focus on teaming of excellent research institutions and low-performing RDI regions aiming at the creation of new (or significant upgrade of existing) centres of excellence in low performing RDI Member States and regions, twinning of research institutions, establishing 'ERA Chairs', a Policy Support Facility to improve the design, implementation, and evaluation of national/regional research and innovation policies, supporting access to international networks for excellent researchers and innovators who lack sufficient involvement in European and international networks and strengthening the administrative and operational capacity of transnational networks of national contact points, including through training.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(4) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**08 02 05** *Horizontal activities of Horizon 2020**Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 111 640 000         | 109 554 259 | 114 734 030         | 104 622 798 | 97 399 456,86 | 67 882 604,61 |

*Remarks*

This appropriation is intended to cover actions of a horizontal nature, which support the implementation of Horizon 2020. This includes, for example, activities which aim to support communication and dissemination, as well as make use of results to support innovation and competitiveness, and support for independent experts evaluating project proposals. This may also entail cross-cutting activities involving several priorities of Horizon 2020.

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 05** (*cont'd*)*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**08 02 06** ***Science with and for society****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 65 082 398          | 53 314 382 | 58 457 571          | 54 171 621 | 54 957 741,— | 43 249 843,90 |

*Remarks*

The aim of this activity is to build effective cooperation between science and society, to recruit new talent for science and to pair scientific excellence with social awareness and responsibility. Emphasis will be placed on the attractiveness of science education and careers for young people, gender equality, the better integration of citizens' interests and values in science and innovation, and the development of the governance for the advancement of responsible research and innovation by all stakeholders (researchers, public authorities, industry and civil society organisations).

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(5) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**08 02 07** ***Joint Undertakings***

08 02 07 31 Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 07 (cont'd)

08 02 07 31 (cont'd)

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 5 033 678           | 5 033 678 | 1 265 453           | 1 265 453 | 1 200 000,—  | 1 200 000,— |

## Remarks

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

## Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 54).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 07 32 Innovative Medicines Initiative 2 (IMI2) Joint Undertaking

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|-------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments     |
| 259 290 000         | 103 165 053 | 173 798 000         | 74 953 762 | 203 186 585,— | 70 856 809,— |

## Remarks

The Innovative Medicines Initiative 2 (IMI2) Joint Undertaking, a joint undertaking (JU) between the Commission and the biopharmaceutical industry, will build up on the results of its predecessor, IMI. IMI2's objective is to improve the drug development process by supporting more efficient research and development cooperation among academia, small and medium-sized enterprises and the biopharmaceutical industry, in order to deliver better and safer medicines for patients.



**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 07** (*cont'd*)08 02 07 32 (*cont'd*)

IMI2 JU shall contribute to the implementation of Horizon 2020, and in particular the specific objective 'Health, demographic change and wellbeing for all' of the priority 'Societal challenges'.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 54).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 07 33 Bio-Based Industries (BBI) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 2 223 726           | 2 223 726 | 2 285 155           | 2 285 155 | 1 906 396,—  | 1 906 396,— |

*Remarks*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 07 (*cont'd*)08 02 07 33 (*cont'd*)

Council Regulation (EU) No 560/2014 of 6 May 2014 establishing the Bio-based Industries Joint Undertaking (OJ L 169, 7.6.2014, p. 130).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 07 34 Bio-Based Industries (BBI) Joint Undertaking

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|-------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments     |
| 110 263 312         | 108 914 732 | 78 889 310          | 66 887 748 | 160 398 756,— | 61 790 837,— |

*Remarks*

Bio-based Industries (BBI) Joint Undertaking is a joint undertaking (JU) between the Commission and the Bio-based industries aiming at contributing to the objectives of the BBI Initiative of a more resource-efficient and sustainable low-carbon economy and increasing economic growth and employment, in particular in rural areas, by developing sustainable and competitive bio-based industries in Europe based on advanced biorefineries that source their biomass sustainably.

BBI JU shall contribute to the implementation of Horizon 2020, and in particular the specific objective 'Food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bioeconomy' of the priority 'Societal challenges' and the 'Key Enabling Technologies' component of the specific objective 'Leadership in enabling and industrial technologies'.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 560/2014 of 6 May 2014 establishing the Bio-based Industries Joint Undertaking (OJ L 169, 7.6.2014, p. 130).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 07 (cont'd)

## 08 02 07 35 Clean Sky 2 Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 4 450 485           | 4 450 485 | 3 037 689           | 3 037 689 | 2 697 469,—  | 2 697 469,— |

*Remarks*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 77).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 08 02 07 36 Clean Sky 2 Joint Undertaking

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 278 980 583         | 319 857 059 | 189 833 010         | 167 476 200 | 200 090 976,— | 182 142 264,— |

*Remarks*

The Clean Sky 2 Joint Undertaking, a joint undertaking (JU) between the Commission and the European aeronautical industry, will build up on the results of its predecessor, Clean Sky. Clean Sky 2 JU's objective is to improve the environmental impact of European aeronautical technologies through advanced research and full-scale demonstration in green technology for air transport, thus contributing to the future international competitiveness of the aeronautical sector. The technical activity is developed through different technical areas and aims at building full scale demonstrators in all flying segments.

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 07 (cont'd)

08 02 07 36 (cont'd)

Clean Sky 2 JU shall contribute to the implementation of Horizon 2020, and in particular the specific objective 'Smart, green and integrated transport' of the priority 'Societal challenges'.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 77).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 07 37 Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|-----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments  |
| 2 288 599           | 2 288 599 | 55 406              | 55 406   | 467 368,—    | 467 368,— |

*Remarks*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 07 (cont'd)

08 02 07 37 (cont'd)

Council Regulation (EU) No 559/2014 of 6 May 2014 establishing the Fuel Cells and Hydrogen 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 108).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

08 02 07 38 Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016  |              |
|---------------------|------------|---------------------|-------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments   | Payments     |
| 73 389 716          | 93 126 304 | 91 990 225          | 139 529 054 | 104 955 460,— | 48 358 358,— |

*Remarks*

The Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking (JU) is a public private partnership between the Commission, the industry and the Research Grouping. FCH 2 JU's objective is to tackle a series of obstacles to the commercialisation of fuel cells and hydrogen technologies by reducing the cost of FCH systems, increasing their efficiency and demonstrating their feasibility, thus paving the way for a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union. This appropriation is intended to cover the operational costs of the FCH 2 JU.

FCH 2 JU shall continue to contribute to the implementation of Horizon 2020 and, in particular, of the specific objectives 'Making the transition to a reliable, affordable, publicly accepted, sustainable and competitive energy system' and 'Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless' of the priority 'Societal challenges'.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 559/2014 of 6 May 2014 establishing the Fuel Cells and Hydrogen 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 108).

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**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (cont'd)**08 02 07** (cont'd)

08 02 07 38 (cont'd)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**08 02 08 SME instrument**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 481 209 870         | 432 882 120 | 427 089 027         | 389 280 653 | 360 004 666,52 | 293 272 457,05 |

*Remarks*

Former Items 02 04 02 01, 02 04 03 01, 05 09 03 01, 06 03 03 01, 08 02 02 01, 08 02 03 01, 08 02 03 02, 08 02 03 03, 08 02 03 04, 08 02 03 05, 08 02 03 06, 09 04 02 01, 09 04 03 01, 09 04 03 02, 09 04 03 03, 18 05 03 01 and 32 04 03 01 (in parts)

This dedicated market-oriented instrument shall support the participation of SMEs in Horizon 2020 targeting all types of innovative SMEs that wish to develop, grow and internationalise. Support shall be provided to innovation in SMEs through the implementation of the SME instrument under a single management system and implemented in a bottom-up manner.

A minimum of 7 % of the total budgets of the specific objective 'Leadership in enabling and industrial technologies' and the priority 'Societal challenges' shall be allocated to the dedicated SME instrument averaged over the duration of Horizon 2020.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (c) of Article 3(2) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Annex II thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

**08 02 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

08 02 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016   |               |
|---------------------|----------|---------------------|----------|----------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments    | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 175 132 848,14 | 58 844 743,52 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

08 02 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 76 382,98    | 59 110 272,— |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)**08 02 51** **Completion of previous research Framework Programme — Seventh Framework Programme — EC indirect action (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |               | Outturn 2016  |                  |
|---------------------|-------------|---------------------|---------------|---------------|------------------|
| Commitments         | Payments    | Commitments         | Payments      | Commitments   | Payments         |
| p.m.                | 596 808 563 | p.m.                | 1 169 097 029 | 14 495 461,75 | 1 669 147 260,92 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme Cooperation implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/972/EC of 19 December 2006 concerning the Specific Programme: Ideas implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: Capacities implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Regulation (EC) No 71/2008 of 20 December 2007 setting up the Clean Sky Joint Undertaking (OJ L 30, 4.2.2008, p. 1).

Council Regulation (EC) No 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30, 4.2.2008, p. 38).



**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 51** (*cont'd*)

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

*Reference acts*

European Parliament resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

**08 02 52** **Completion of previous research framework programmes — Indirect action (prior to 2007)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 71 155,30    | 425 829,50 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 52 (cont'd)

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

Decision No 1209/2003/EC of the European Parliament and of the Council of 16 June 2003 on Community participation in a research and development programme aimed at developing new clinical interventions to combat HIV/AIDS, malaria and tuberculosis through a long-term partnership between Europe and developing countries, undertaken by several Member States (OJ L 169, 8.7.2003, p. 1).

08 02 77 *Pilot projects and preparatory actions*

08 02 77 01 Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 50 000   | 0,—          | 75 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 77 (cont'd)

08 02 77 03 Pilot project — Research and development for poverty-related and neglected diseases in achieving universal health coverage post-2015

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 565 796  | 0,—          | 442 090,80 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 05 Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | p.m.                | 200 000  | 600 000,—    | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 77 (cont'd)

08 02 77 06 Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | p.m.                | 400 000  | 600 000,—    | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Basic research at Union level into the status quo in all Member States to identify areas for action or draw political conclusions.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 09 Pilot project — Towards a care pathway for clitoral reconstruction in the European Union

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 400 000             | 200 000  |              |          |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

An important question that has emerged in recent years concerns clitoral reconstruction after female genital mutilation (FGM). Clitoral reconstruction is a relatively new surgical technique and in spite of the interest in the surgery, evidence is lacking as to its safety and effectiveness. Short- and long-term complications rates and long-term outcomes, including sexual function, body self-image and gender, social and aesthetic identity have been repeatedly indicated as future research gaps. More specifically, it is unclear which women could benefit from this surgical intervention and who might be better off with alternative care such as psycho-sexual therapy.

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 77** (*cont'd*)08 02 77 09 (*cont'd*)

The project will answer the question below. Firstly, the existing (non-official) guidelines, protocols and good practices in the Union will be individualised and analysed. Secondly, complications rate, postoperative sexual desire, sexual function, vulvar pain, body self-image and gender identity will be studied through the use of a validated and standardised tool in women who have undergone the surgery, or will in the course of the project, and women who have not. Finally, recommendations for best practices for a care pathway will be developed for clitoral reconstruction in the Union.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                             | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                     |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 08 03                               | EURATOM PROGRAMME<br>— INDIRECT ACTIONS                                                                                                                             |     |                     |                    |                     |                    |                       |                       |                         |
| <b>08 03 01</b>                     | <b>Operational expenditure for<br/>the Euratom Programme</b>                                                                                                        |     |                     |                    |                     |                    |                       |                       |                         |
| 08 03 01 01                         | Euratom — Fusion energy                                                                                                                                             | 1.1 | 161 949 185         | 156 248 000        | 152 023 159         | 131 090 873        | 132 239 003,—         | 135 447 595,27        | 86,69                   |
| 08 03 01 02                         | Euratom — Nuclear fission<br>and radiation protection                                                                                                               | 1.1 | 67 630 719          | 31 857 582         | 63 481 598          | 83 064 877         | 59 726 459,80         | 2 095 351,55          | 6,58                    |
|                                     | <i>Article 08 03 01 — Subtotal</i>                                                                                                                                  |     | 229 579 904         | 188 105 582        | 215 504 757         | 214 155 750        | 191 965 462,80        | 137 542 946,82        | 73,12                   |
| <b>08 03 50</b>                     | <b>Appropriations accruing<br/>from contributions from<br/>(non-European Economic<br/>Area) third parties to<br/>research and technological<br/>development</b>     |     |                     |                    |                     |                    |                       |                       |                         |
| 08 03 50 01                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (2014 to 2020)  | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 17 512 794,—          | 640 564,07            |                         |
| 08 03 50 02                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (prior to 2014) | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 15 970,54             | 233 674,93            |                         |
|                                     | <i>Article 08 03 50 — Subtotal</i>                                                                                                                                  |     | p.m.                | p.m.               | p.m.                | p.m.               | 17 528 764,54         | 874 239,—             |                         |
| <b>08 03 51</b>                     | <b>Completion of the previous<br/>Euratom research<br/>framework programme<br/>(2007 to 2013)</b>                                                                   | 1.1 | p.m.                | 2 086 894          | p.m.                | 7 991 290          | 50 478,—              | 11 727 792,09         | 561,97                  |
| <b>08 03 52</b>                     | <b>Completion of previous<br/>Euratom research<br/>framework programmes<br/>(prior to 2007)</b>                                                                     | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 830 849,52            |                         |
|                                     | <b>Chapter 08 03 — Total</b>                                                                                                                                        |     | <b>229 579 904</b>  | <b>190 192 476</b> | <b>215 504 757</b>  | <b>222 147 040</b> | <b>209 544 705,34</b> | <b>150 975 827,43</b> | <b>79,38</b>            |

## Remarks

The Euratom research and training programme (2014-2018) ('Euratom Programme') complements Horizon 2020 in the field of nuclear research and training. Its general objective is to pursue nuclear research and training activities with an emphasis on continually improving nuclear safety and radiation protection, notably to contribute to the long-term decarbonisation of the energy system in a safe, efficient and secure way. By supporting this research, the Euratom Programme shall reinforce outcomes under the three priorities of Horizon 2020: excellent science, industrial leadership, and societal challenges.

The indirect actions of the Euratom Programme are focused on two areas: nuclear fission, safety and radiation protection, and fusion research and development programme.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)08 03 01 **Operational expenditure for the Euratom Programme***Remarks*

The scope of the Euratom Programme for indirect actions includes nuclear fission safety and radiation protection as well as the fusion research and development which aim to ensure the success of the ITER project while enabling Europe to reap its benefits. It will reinforce outcomes under the three priorities of Horizon 2020: excellent science, industrial leadership and societal challenges.

## 08 03 01 01 Euratom — Fusion energy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 161 949 185         | 156 248 000 | 152 023 159         | 131 090 873 | 132 239 003,— | 135 447 595,27 |

*Remarks*

The Euratom activity in the field of fusion will support common research activities undertaken by the fusion stakeholders involved in the implementation of the tasks derived from the fusion roadmap. In addition, it will support joint activities to develop and qualify materials for a demonstration power plant as well as to address reactor operation issues and develop and demonstrate all relevant technologies for a fusion demonstration power plant. The activity will also implement or support knowledge management and technology transfer from the research co-funded by this programme to industry, exploiting all innovative aspects of the research. Furthermore, the activity will support the construction, refurbishment, use and continued availability of key research infrastructures under the Euratom Programme.

*Legal basis*

Council Regulation (Euratom) No 1314/2013 of 16 December 2013 on the Research and Training Programme of the European Atomic Energy Community (2014-2018) complementing the Horizon 2020 Framework Programme for Research and Innovation (OJ L 347, 20.12.2013, p. 948), and in particular points (e) to (h) of Article 3(2) thereof.

## 08 03 01 02 Euratom — Nuclear fission and radiation protection

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 67 630 719          | 31 857 582 | 63 481 598          | 83 064 877 | 59 726 459,80 | 2 095 351,55 |

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CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)08 03 01 (*cont'd*)08 03 01 02 (*cont'd*)*Remarks*

The Euratom activity in the field of nuclear fission will provide support to joint research activities concerning the safe operation of reactor systems in use or which may be used in the future in the Union. It will also contribute to the development of solutions for the management of ultimate nuclear waste. In addition, the activity will support joint and/or coordinated research activities, placing emphasis on the risks from low doses from industrial, medical or environmental exposure. Furthermore, Euratom fission will promote joint training and mobility activities between research centres and industry as well as between different Member and Associated States while it will provide support for maintaining multidisciplinary nuclear competences.

*Legal basis*

Council Regulation (Euratom) No 1314/2013 of 16 December 2013 on the Research and Training Programme of the European Atomic Energy Community (2014-2018) complementing the Horizon 2020 Framework Programme for Research and Innovation (OJ L 347, 20.12.2013, p. 948), and in particular points (a) to (d) of Article 3(2) thereof.

**08 03 50** ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

08 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 17 512 794,— | 640 564,07 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.



**CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS** (*cont'd*)**08 03 50** (*cont'd*)08 03 50 01 (*cont'd*)

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

08 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 15 970,54    | 233 674,93 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**08 03 51** **Completion of the previous Euratom research framework programme (2007 to 2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 2 086 894 | p.m.                | 7 991 290 | 50 478,—     | 11 727 792,09 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The programme covers two thematic areas:

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CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)08 03 51 (*cont'd*)

Fusion research, which includes activities that range from basic research to technology development, construction of major projects and training and education activities. It offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. The realisation of the ITER project therefore lies at the heart of the present Union strategy. It must be accompanied by a strong and focused European research and development programme on fusion to prepare for the exploitation of ITER and to develop the technologies and knowledge base that will be needed during ITER operation and beyond.

Fission research, which aims to establish a sound scientific and technical basis in order to accelerate practical developments for the safer management of long-lived radioactive waste, to promote safer, more resource-efficient and cost-effective nuclear energy and to ensure a robust and socially acceptable system of protection of man and the environment against the effects of radiation.

*Legal basis*

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the Seventh Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the Specific Programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012 to 2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the Specific Programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 33).

08 03 52 **Completion of previous Euratom research framework programmes (prior to 2007)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 830 849,52 |

CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)08 03 52 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Decision 94/268/Euratom of 26 April 1994 concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998) (OJ L 115, 6.5.1994, p. 31).

Council Decision 96/253/Euratom of 4 March 1996 adapting Decision 94/268/Euratom concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998), following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 72).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

Council Decision 2002/837/Euratom of 30 September 2002 adopting a specific programme (Euratom) for research and training on nuclear energy (2002-2006) (OJ L 294, 29.10.2002, p. 74).

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## CHAPTER 08 05 — RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL

| Title<br>Chapter<br>Article<br>Item | Heading                                                             | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------------|----------------------|-------------------------|
|                                     |                                                                     |     | Commitments         | Payments    | Commitments         | Payments    | Commitments          | Payments             |                         |
| 08 05                               | RESEARCH<br>PROGRAMME OF THE<br>RESEARCH FUND FOR<br>COAL AND STEEL |     |                     |             |                     |             |                      |                      |                         |
| <b>08 05 01</b>                     | <b>Research programme for<br/>steel</b>                             | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 30 844 181,21        | 32 616 991,24        |                         |
| <b>08 05 02</b>                     | <b>Research programme for<br/>coal</b>                              | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 11 406 933,66        | 12 090 559,83        |                         |
|                                     | <b>Chapter 08 05 — Total</b>                                        |     | <b>p.m.</b>         | <b>p.m.</b> | <b>p.m.</b>         | <b>p.m.</b> | <b>42 251 114,87</b> | <b>44 707 551,07</b> |                         |

## Remarks

The Research Fund for Coal and Steel funds every year innovative projects to enhance the safety, efficiency and competitive edge of the Union coal and steel industries. It was created in 2002 to build on the successes of the European Coal and Steel Community. The distribution of the budgets between coal (27,2 %) and steel (72,8 %) is defined in Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

**08 05 01** **Research programme for steel**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |               |
|---------------------|----------|---------------------|----------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 30 844 181,21 | 32 616 991,24 |

## Remarks

The steel research programme activity aims to improve steel production processes with a view to enhancing product quality and increasing productivity. Reducing emissions, energy consumption and the environmental impact as well as enhancing the use of raw materials and the conservation of resources shall form an integral part of the improvements sought.

## Legal basis

Council Decision 2008/376/EC of 29 April 2008 on the adoption of the Research Fund of the RFCS and on the multiannual technical guidelines for this programme (OJ L 130, 20.5.2008, p. 7).

**08 05 02** **Research programme for coal**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |               |
|---------------------|----------|---------------------|----------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 11 406 933,66 | 12 090 559,83 |

**CHAPTER 08 05 — RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL** (*cont'd*)**08 05 02** (*cont'd*)*Remarks*

The coal research programme activity aims to reduce the total costs of mining production, improve the quality of the products and reduce the costs of using coal. Research projects shall also aim to achieve scientific and technological progress with a view to gaining a better understanding of the behaviour and control of deposits in relation to rock pressure, gas emissions, the risk of explosion, ventilation and all other factors affecting mining operations. Research projects with these objectives shall present the prospect of results applicable in the short or medium term to a substantial part of Union production.

*Legal basis*

Council Decision 2008/376/EC of 29 April 2008 on the adoption of the Research Programme of the Research Fund for Coal and Steel and on the multiannual technical guidelines for this programme (OJ L 130, 20.5.2008, p. 7).

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*TITLE 09*

**COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY**

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## TITLE 09

## COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                         | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|-------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                                                 | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 09 01         | ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA | 117 565 176          | 117 565 176          | 117 057 015          | 117 057 015          | 121 477 513,74          | 121 477 513,74          |
| 09 02         | DIGITAL SINGLE MARKET                                                                           | 21 368 900           | 21 597 400           | 19 965 000           | 20 136 500           | 19 790 188,03           | 22 171 519,24           |
| 09 03         | CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS                                  | 169 331 512          | 140 981 000          | 123 348 258          | 117 224 000          | 191 283 530,40          | 45 829 130,44           |
| 09 04         | HORIZON 2020                                                                                    | 1 692 453 344        | 1 862 216 438        | 1 531 035 505        | 1 706 439 644        | 1 380 073 258,09        | 2 072 970 579,25        |
| 09 05         | CREATIVE EUROPE                                                                                 | 131 855 000          | 116 702 664          | 132 691 000          | 131 532 199          | 133 826 880,28          | 122 747 456,51          |
|               | <b>Title 09 — Total</b>                                                                         | <b>2 132 573 932</b> | <b>2 259 062 678</b> | <b>1 924 096 778</b> | <b>2 092 389 358</b> | <b>1 846 451 370,54</b> | <b>2 385 196 199,18</b> |

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## TITLE 09

## COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                   | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 09 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA                                                                           |     |                     |                     |               |             |
| <b>09 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Communications networks, content and technology' policy area</i></b>                                   | 5.2 | 43 580 372          | 44 726 291          | 44 255 647,64 | 101,55      |
| <b>09 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Communications networks, content and technology' policy area</i></b>                         |     |                     |                     |               |             |
| 09 01 02 01                         | External personnel                                                                                                                                                        | 5.2 | 2 579 382           | 2 588 036           | 3 158 380,61  | 122,45      |
| 09 01 02 11                         | Other management expenditure                                                                                                                                              | 5.2 | 1 808 857           | 1 806 103           | 1 894 055,—   | 104,71      |
|                                     | <i>Article 09 01 02 — Subtotal</i>                                                                                                                                        |     | 4 388 239           | 4 394 139           | 5 052 435,61  | 115,14      |
| <b>09 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Communications networks, content and technology' policy area</i></b> | 5.2 | 2 817 179           | 2 792 861           | 3 403 125,17  | 120,80      |
| <b>09 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Communications networks, content and technology' policy area</i></b>                                      |     |                     |                     |               |             |
| 09 01 04 01                         | Support expenditure for Connecting Europe Facility (CEF) — Information and Communication Technologies (ICT)                                                               | 1.1 | 1 009 000           | 609 000             | 520 888,05    | 51,62       |
| 09 01 04 02                         | Support expenditure for Creative Europe Programme — MEDIA Sub-programme                                                                                                   | 3   | 1 530 900           | 1 471 680           | 1 501 046,63  | 98,05       |
|                                     | <i>Article 09 01 04 — Subtotal</i>                                                                                                                                        |     | 2 539 900           | 2 080 680           | 2 021 934,68  | 79,61       |
| <b>09 01 05</b>                     | <b><i>Support expenditure for research and innovation programmes in the 'Communications networks, content and technology' policy area</i></b>                             |     |                     |                     |               |             |
| 09 01 05 01                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                                                       | 1.1 | 42 126 000          | 41 300 000          | 41 632 199,02 | 98,83       |
| 09 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020                                                                                         | 1.1 | 10 989 486          | 10 963 044          | 10 849 000,—  | 98,72       |



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**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                            | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| <b>09 01 05</b>                     | (cont'd)                                                                           |     |                     |                     |                       |               |
| 09 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020 | 1.1 | 11 124 000          | 10 800 000          | 14 263 171,62         | 128,22        |
|                                     | Article 09 01 05 — Subtotal                                                        |     | 64 239 486          | 63 063 044          | 66 744 370,64         | 103,90        |
|                                     | <b>Chapter 09 01 — Total</b>                                                       |     | <b>117 565 176</b>  | <b>117 057 015</b>  | <b>121 477 513,74</b> | <b>103,33</b> |

**09 01 01** *Expenditure related to officials and temporary staff in the ‘Communications networks, content and technology’ policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 43 580 372          | 44 726 291          | 44 255 647,64 |

**09 01 02** *External personnel and other management expenditure in support of the ‘Communications networks, content and technology’ policy area*

09 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 579 382           | 2 588 036           | 3 158 380,61 |

09 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 808 857           | 1 806 103           | 1 894 055,—  |

**09 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Communications networks, content and technology’ policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 817 179           | 2 792 861           | 3 403 125,17 |

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## CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA (cont'd)

**09 01 04 Support expenditure for operations and programmes in the ‘Communications networks, content and technology’ policy area**

09 01 04 01 Support expenditure for Connecting Europe Facility (CEF) — Information and Communication Technologies (ICT)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 009 000           | 609 000             | 520 888,05   |

*Remarks*

This appropriation is intended to cover expenditure supporting actions directly linked to the achievement of the objectives of the Connecting Europe Facility such as studies, meetings of experts, information and publications, software and databases or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the facility or actions.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Articles 09 03 01, 09 03 02 and 09 03 03.

09 01 04 02 Support expenditure for Creative Europe Programme — MEDIA Sub-programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 530 900           | 1 471 680           | 1 501 046,63 |

*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, evaluation and promotion activities which are required for the management of the Creative Europe Programme and the achievement of its objectives; in particular studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union in so far as they are related to the general objectives of this item, and expenses connected with IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission in managing the programme.

**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA** (cont'd)**09 01 04** (cont'd)

09 01 04 02 (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 15 04.

**09 01 05** ***Support expenditure for research and innovation programmes in the ‘Communications networks, content and technology’ policy area***

09 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 42 126 000          | 41 300 000          | 41 632 199,02 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

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**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA** (*cont'd*)**09 01 05** (*cont'd*)09 01 05 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 09 04.

09 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 989 486          | 10 963 044          | 10 849 000,— |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 09 04.

09 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 124 000          | 10 800 000          | 14 263 171,62 |

**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA** *(cont'd)***09 01 05** *(cont'd)*09 01 05 03 *(cont'd)**Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 09 04.

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## CHAPTER 09 02 — DIGITAL SINGLE MARKET

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                    | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                            |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 09 02                               | DIGITAL SINGLE MARKET                                                                                                      |     |                     |                   |                     |                   |                      |                      |                             |
| <b>09 02 01</b>                     | <b>Definition and implementation of the Union's policy in the field of electronic communications</b>                       |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                            | 1.1 | 3 200 000           | 3 500 000         | 3 615 000           | 3 580 000         | 3 237 751,27         | 4 609 677,86         | 131,71                      |
| <b>09 02 03</b>                     | <b>European Union Agency for Network and Information Security (ENISA)</b>                                                  |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                            | 1.1 | 10 490 564          | 10 490 564        | 10 242 000          | 10 242 000        | 10 398 201,44        | 10 397 932,—         | 99,12                       |
| <b>09 02 04</b>                     | <b>Body of European Regulators for Electronic Communications (BEREC) — Office</b>                                          |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                            | 1.1 | 4 124 336           | 4 124 336         | 4 026 000           | 4 026 000         | 4 246 000,—          | 4 246 000,—          | 102,95                      |
| <b>09 02 05</b>                     | <b>Measures concerning digital content, and audiovisual and other media industries</b>                                     |     |                     |                   |                     |                   |                      |                      |                             |
|                                     |                                                                                                                            | 3   | 1 104 000           | 1 070 000         | 1 082 000           | 1 026 000         | 1 058 235,32         | 1 462 005,48         | 136,64                      |
| <b>09 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                              |     |                     |                   |                     |                   |                      |                      |                             |
| 09 02 77 02                         | Pilot project — Implementation of the media pluralism monitoring tool                                                      | 3   | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 187 731,—            |                             |
| 09 02 77 03                         | Pilot project — European Centre for Press and Media Freedom                                                                | 3   | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 729 423,32           |                             |
| 09 02 77 04                         | Preparatory action — European Centre for Press and Media Freedom                                                           | 3   | p.m.                | 1 100 000         | 1 000 000           | 1 000 000         | 500 000,—            | 198 606,41           | 18,06                       |
| 09 02 77 05                         | Preparatory action — Implementation of the media pluralism monitoring tool                                                 | 3   | p.m.                | 87 500            | p.m.                | 262 500           | 350 000,—            | 340 143,17           | 388,74                      |
| 09 02 77 06                         | Pilot project — Media councils in the digital era                                                                          | 3   | 500 000             | 250 000           |                     |                   |                      |                      |                             |
| 09 02 77 07                         | Pilot project — Exchange of media 'rising stars' to speed up innovation and increase cross-border coverage ('Stars4media') | 1.1 | 1 200 000           | 600 000           |                     |                   |                      |                      |                             |
| 09 02 77 08                         | Preparatory action — Monitoring media pluralism in the digital era                                                         | 3   | 750 000             | 375 000           |                     |                   |                      |                      |                             |
|                                     | <i>Article 09 02 77 — Subtotal</i>                                                                                         |     | 2 450 000           | 2 412 500         | 1 000 000           | 1 262 500         | 850 000,—            | 1 455 903,90         | 60,35                       |
|                                     | <b>Chapter 09 02 — Total</b>                                                                                               |     | <b>21 368 900</b>   | <b>21 597 400</b> | <b>19 965 000</b>   | <b>20 136 500</b> | <b>19 790 188,03</b> | <b>22 171 519,24</b> | <b>102,66</b>               |

## CHAPTER 09 02 — DIGITAL SINGLE MARKET (cont'd)

09 02 01 **Definition and implementation of the Union's policy in the field of electronic communications**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 3 200 000           | 3 500 000 | 3 615 000           | 3 580 000 | 3 237 751,27 | 4 609 677,86 |

Remarks

This appropriation is intended to cover expenditure on a set of measures designed to:

- coordinate a better regulatory framework for competition, investment and growth over the entire range of issues in the e-communications field: economic analysis, impact assessment, policy development, regulatory compliance,
- carry out and review the Union's policy on electronic communication networks and services with a view to launching initiatives designed to meet the challenges in this dynamically evolving sector (convergence of electronic communications with audiovisual and content delivery),
- facilitate the implementation of the digital single market, in actions related to broadband targets through regulation, policy and public financial assistance, including coordination with cohesion policy in the areas relevant to electronic communications networks and services,
- develop policies and coordination measures which will ensure that Member States implement their national broadband plans with reference to the fixed and mobile infrastructure and their possible convergence, including coherence and economic efficiency of public interventions at Union and Member State levels,
- develop policy and legislation with a particular focus on issues related to access and authorisation of e-communications networks and services, notably interoperability, interconnection, civil works, the independence of regulators and new measures to strengthen the single market,
- facilitate the monitoring and implementation of relevant legislation in all Member States,
- provide coordination of infringement proceedings, and input to relevant State aid matters,
- develop policy and legislation with a particular focus on issues related to retail and consumer issues notably net neutrality, switching, roaming, demand and use stimulation and universal service,
- promote, monitor and review the implementation of the Union roaming policy as established under Regulation (EU) 2015/2120 of the European Parliament and of the Council of 25 November 2015 laying down measures concerning open internet access and amending Directive 2002/22/EC on universal service and users' rights relating to electronic communications networks and services and Regulation (EU) No 531/2012 on roaming on public mobile communications networks within the Union (OJ L 310, 26.11.2015, p. 1),
- develop and implement consistent market-based regulations to be applied by national regulatory authorities and to respond to individual notifications from those authorities, notably regarding relevant markets, competition and appropriate regulatory intervention, in particular for next generation access networks,
- develop policies across the board which will ensure that Member States manage all uses of spectrum, including the different internal market realms such as e-communications, 5G (including broadband internet), and innovation,

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)09 02 01 (*cont'd*)

- promote and monitor the implementation of the regulatory framework for communication services (including the mechanism provided for in Article 7 of Directive 2002/21/EC of the European Parliament and of the Council of 7 March 2002 on a common regulatory framework for electronic communications networks and services (Framework Directive) (OJ L 108, 24.4.2002, p. 33),
- enable third countries to pursue a policy of opening up their markets to the same extent as in the Union,
- promote and monitor the implementation of the radio spectrum policy programme (Decision No 243/2012/EU of the European Parliament and of the Council of 14 March 2012 establishing a multiannual radio spectrum policy programme (OJ L 81, 21.3.2012, p. 7)),
- develop policies on copyright at Union level, including on Directive 96/9/EC of the European Parliament and of the Council of 11 March 1996 on the legal protection of databases (OJ L 77, 27.3.1996, p. 20),
- develop, implement and monitor policies in the context of the digital single market, on e-commerce in the Union, in particular those related to Directive 2000/31/EC of the European Parliament and of the Council of 8 June 2000 on certain legal aspects of information society services, in particular electronic commerce, in the Internal Market ('Directive on electronic commerce') (OJ L 178, 17.7.2000, p. 1), including the assessment of legal and economic barriers stemming from the internal market framework for electronic commerce or from related measures,
- support the implementation and take-up of policies in the context of eGovernment (in particular the eGovernment Action Plan 2016-2020) and eIDAS (Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC (OJ L 257, 28.8.2014, p. 73)) in order to advance the quality and innovation of public administrations and accelerate the large-scale public and private sector use of trusted identification and trust services in the digital single market,
- support actions to safeguard the continued stability and security of the internet governed by a genuine multi-stakeholder model to ensure that economic and social opportunities offered by electronic communications can be fully exploited,
- continue implementing the action lines put forward in the Commission communication of 12 February 2014 entitled 'Internet Policy and Governance — Europe's role in shaping the future of Internet Governance' (COM(2014) 072 final), and notably
- providing financial support for the Internet Governance Forum, the Pan-European dialogue on Internet Governance (EuroDIG) and the secretariat of the Internet Corporation for Assigned Names and Numbers (ICANN) Governmental Advisory Committee,
- promote the importance of ICTs in the development of the post-2015 sustainable development goals, including through capacity and confidence-building measures in the area of electronic communications addressed at third countries.

The specific objectives of those measures are:

- the formulation of a Union policy and strategy in the field of communication services and networks (including convergence between electronic communications and audiovisual environments, aspects related to the internet, etc.),
- the continuous development of radio spectrum policy in the Union,



**CHAPTER 09 02 — DIGITAL SINGLE MARKET** (*cont'd*)**09 02 01** (*cont'd*)

- the development of activities in the mobile and satellite communications sector, particularly as regards frequencies, and demand stimulation,
- an analysis of the situation and the legislation adopted in these areas, as well as State aid decisions,
- an analysis of the financial state of play and investment intensities in the sector,
- the coordination of these policies and initiatives as regards the international environment (e.g. WRC, CEPT, etc.),
- the development of activities and initiatives in relation to the digital single market, including on roaming,
- the development of activities and initiatives in relation to cohesion policy,
- the continuous development and maintenance of the database in relation to the radio spectrum policy programme and other actions related to monitoring and the implementation of the programme,
- the promotion and further advancement of the Union's vision of the multi-stakeholder model of Internet governance.

Those measures consist, inter alia, in preparing analyses and progress reports, consulting stakeholders and the public, preparing communications, legislative proposals and monitoring the application of legislation, and translations of notifications and consultations under Article 7 of Directive 2002/21/EC.

This appropriation is also intended to cover, in particular, contracts for analysis and expert reports, specific studies, evaluation reports, coordination activities, grants and the part-financing of certain measures.

In addition, it is also intended to cover expenditure on meetings of experts, communication events, membership fees, information and publications directly linked to the achievement of the objectives of the policy or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**09 02 03** **European Union Agency for Network and Information Security (ENISA)***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 10 490 564          | 10 490 564 | 10 242 000          | 10 242 000 | 10 398 201,44 | 10 397 932,— |

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)09 02 03 (*cont'd*)*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme only (Title 3).

The Agency was set up to enhance the capability of the Union, the Member States and, as a consequence, the business community to prevent, address and respond to network and information security problems. In order to achieve this goal, the Agency will be developing a high level of expertise and stimulating broad cooperation between actors from the public and private sectors.

The Agency's aim is to provide assistance and to deliver advice to the Commission and the Member States on issues related to network and information security falling within its competencies and to assist the Commission, where called upon, in the technical preparatory work for updating and developing Union legislation in the field of network and information security.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 23 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Pursuant to Article 208 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Union, the role of the European Parliament and the Council has been strengthened.

The establishment plan of the Agency is set out in the Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 10 529 000. An amount of EUR 38 436 coming from the recovery of surplus is added to the amount of EUR 10 490 564 entered in the budget.

*Legal basis*

Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013 concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004 (OJ L 165, 18.6.2013, p. 41).

## CHAPTER 09 02 — DIGITAL SINGLE MARKET (cont'd)

09 02 04 **Body of European Regulators for Electronic Communications (BEREC) — Office**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 4 124 336           | 4 124 336 | 4 026 000           | 4 026 000 | 4 246 000,—  | 4 246 000,— |

*Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme only (Title 3).

BEREC acts as a specialised and independent expert advisory body assisting the Commission and the national regulatory authorities in the implementation of the Union regulatory framework for electronic communications in order to promote a consistent regulatory approach across the Union. BEREC is neither a Union body nor does it have legal personality.

The Office is established as a Union body with legal personality, providing BEREC with professional and administrative support in carrying out the tasks conferred on it by Regulation (EC) No 1211/2009.

The Office must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 23 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Pursuant to Article 208 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Union, the role of the European Parliament and the Council has been strengthened.

The establishment plan of the Office is set out in the Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 4 331 000. An amount of EUR 206 664 coming from the recovery of surplus is added to the amount of EUR 4 124 336 entered in the budget.

*Legal basis*

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)**09 02 05 Measures concerning digital content, and audiovisual and other media industries***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 1 104 000           | 1 070 000 | 1 082 000           | 1 026 000 | 1 058 235,32 | 1 462 005,48 |

*Remarks*

This appropriation is intended to cover the following measures:

- the implementation of the Directive 2010/13/EU of the European Parliament and of the Council of 10 March 2010 on the coordination of certain provisions laid down by law, regulation or administrative action in Member States concerning the provision of audiovisual media services (Audiovisual Media Services Directive) (OJ L 95, 15.4.2010, p. 1),
- the monitoring of the evolution of the media sector, including pluralism and freedom of the media and media literacy,
- the collection and dissemination of economic and legal information and analysis concerning the audiovisual sector and converging media and content industries.

It is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**09 02 77 Pilot projects and preparatory actions**

09 02 77 02 Pilot project — Implementation of the media pluralism monitoring tool

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 187 731,— |

## CHAPTER 09 02 — DIGITAL SINGLE MARKET (cont'd)

09 02 77 (cont'd)

09 02 77 02 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 03 Pilot project — European Centre for Press and Media Freedom

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 729 423,32 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 04 Preparatory action — European Centre for Press and Media Freedom

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|-----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments   |
| p.m.                | 1 100 000 | 1 000 000           | 1 000 000 | 500 000,—    | 198 606,41 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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## CHAPTER 09 02 — DIGITAL SINGLE MARKET (cont'd)

09 02 77 (cont'd)

09 02 77 04 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 05 Preparatory action — Implementation of the media pluralism monitoring tool

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 87 500   | p.m.                | 262 500  | 350 000,—    | 340 143,17 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 06 Pilot project — Media councils in the digital era

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |

*Remarks*

In order to protect media freedom and pluralism and to promote professionalism in journalistic content, the project will improve understanding of the consequences and challenges of digital developments through a press council forum, and in parallel support the transition of media self-regulatory bodies to the online world and engage them in discussions with internet intermediaries and internet media stakeholders.

**CHAPTER 09 02 — DIGITAL SINGLE MARKET** (*cont'd*)**09 02 77** (*cont'd*)09 02 77 06 (*cont'd*)

Suggested activities:

- conduct a survey to provide an in-depth examination of the state and models of media self-regulation in the digital environment, to bring clarity on how to achieve, in a converged media environment, the traditional goals of media regulation (i.e. a pluralistic and diverse media landscape in which the media benefit from independence from political, commercial and other influences and are accountable to the public),
- compile the first online database on the current functioning of media self-regulatory bodies and promote the work of press councils in Europe,
- develop a pan-Union working group on the digital challenges to applying the recommendations of the survey,
- provide direct support to newly established press councils in Europe,
- include press/media councils in a global dialogue on media ethics in the digital age (participation at global internet conferences etc.),
- organise regular meetings with internet intermediaries with the objective of achieving online recognition of media content already under the supervision of a press council.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 07 Pilot project — Exchange of media 'rising stars' to speed up innovation and increase cross-border coverage ('Stars4media')

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 200 000           | 600 000  |                     |          |              |          |

*Remarks*

Media innovations are called for by political and economic developments.

Democracy requires quality news and the media need innovations. As platforms dominate, the risk increases of a 'post-truth' era where 'fake news' and populism flourish. The recent white paper on 'The Future of Europe' states: 'We want to live in a democracy with a diversity of views and a critical, independent and free press'.

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)09 02 77 (*cont'd*)09 02 77 07 (*cont'd*)

The number of journalists and news outlets is shrinking (apart from broadcasting, which is largely publicly funded). Subsidising endangered models is not the solution. Innovation requires cross-border cooperation, mobility and faster careers for 'fresh blood'. An 'Erasmus for media professionals' — financing cross-border exchanges between media professionals under whatever project name — should be piloted.

This pilot project fits policy trends and is widely called for by the media. It focuses not only on mobility of media professionals, content exchanges and journalism quality, but also on media innovation and sustainable business models. Moreover, it includes a 'reciprocal exchange' for journalists and other media professionals, with all participating media both hosting and sending candidates. This will ensure the quality of training and maximise the 'return on investment' for the sending media. The pilot project will be organised in thematic waves. It will involve not only journalists, but also communication, marketing and IT professionals. A steering committee will be set up and involve media stakeholders.

Several policy trends lead to this media skills pilot project. First, consideration is being given to making skills programmes more sector-specific under the post 2020 Multiannual Financial Framework, complementing education programmes such as Erasmus+ and Erasmus Pro without affecting their budgets. Secondly, the digital single market is currently only a horizontal concept; its pending review could lead to 'vertical' media sector initiatives. Thirdly, the media sector is seen by the Union chiefly as a communication channel or an area for press freedom issues, and not as an economic sector in crisis. The Union's R&D programmes are mostly unrelated to this sector. This pilot project will test a concept suited to a strategic industry in crisis, with low risks and high impact. Finally, the project will build on the results of the earlier Commission feasibility study on 'Erasmus for Journalists' (2011).

Interviews with 30 editors and publishers in the #Media4EU series provided the basis for this project. All this translates into strong support in media and political circles (project findings and support list to be available). Fifty media organisations, experts and associations already back this project, as do a number of MEPs from several groups. (A fuller list of those providing moral support, including 14 MEPs, is available online under 'Yes, rising stars for media innovation' (Erasmus4media, provisional name).)

To ensure innovation, the principles of independence and effectiveness should be respected.

Several elements have been taken into account — previous attempts based chiefly on exchanging content, training journalists and good external inspirations — focusing on sustainable media innovations, and not just on coverage of European topics. Indeed, there are several ways of furthering media coverage at European level, but typically they are not sustainable after the Union support ends.

Media professionals are most mobile, innovative and credible when they have between five and 10 years of professional experience. Hence, the core target group will be around 25 to 30 years old, but there will be exceptions.

The thematic waves will be set up in cooperation with relevant media associations in order to match like-minded media and quality ideas. Topics for calls for proposals (not exhaustive) could be broken down by sub-sector (business media, centre-right, centre-left, green, community radio, etc.), by innovation area (data journalism, light video, mobile, etc.) or by editorial angle (investigation, European elections, migration, development, etc.). Participants from selected pairs will swap locations for one to three months, as well as taking part in initial training and debriefing/follow-up sessions, ensuring cooperation for nearly a year within each 'thematic wave'.



CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)09 02 77 (*cont'd*)09 02 77 07 (*cont'd*)

The project will be very cost-effective and have a major impact. Project promotion and preselection of candidates will take into account not only individual profiles but also the project ideas they wish to explore (ensuring a good return on investment, in terms of time and pay, for their employers).

Depending on the budget available, up to several hundred 'rising stars' and media organisations could be linked up. The project will not pay participants' salaries, only travel and training expenses (in addition to promotion, selection and administration). Therefore the cost per participant will be reasonable; there will be real results and a good budget multiplier effect. Experience suggests that many more applications will be received than there are places available. Selection will therefore be strict, providing a boost to participants' careers and a good 'brand'.

A process is suggested for media independence, impact for participants and effectiveness. To ensure editorial reach and business innovation, this pilot project originates with media professionals, who should make up most of the project steering committee.

To also ensure a focus on young professionals (not students) and on sector-specific skills, the right Commission department should be involved. The project could be administered either by DG GROW (a 'sectoral leg' of its 'Erasmus for Young Entrepreneurs' programme), or by DG CONNECT (a new media directorate complementing its R&D-originated actions with innovation-enabling skills). Different procedures exist, from open calls to restricted selection, in order to ensure prompt select of a good 'managing organisation'.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 08 Preparatory action — Monitoring media pluralism in the digital era

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 750 000             | 375 000  |                     |          |              |          |

*Remarks*

New technologies are dramatically and constantly changing the dynamics of opinion-making and the media landscape. While they allow easy distribution of information of public interest to wider audiences, fostering pluralism, the way in which information is created, searched for and distributed online may accentuate polarisation, exposing individuals to news, sources and ideas that match their stated preferences. This can significantly reduce the scope for encountering and debating opposing viewpoints and, as such, may pose a risk to media pluralism and democracy itself. As the impact of online information is growing, citizens are increasingly forming their opinions through information distributed online. This poses severe risks to effective pluralism when that information is false or is 'misinformation' or 'disinformation'. While some policy responses to proliferation of misinformation call on online intermediaries and social media platforms to adopt self-regulatory measures to limit the circulation of false and fake information, it is evident that entrusting those private companies with filtering information online may lead to a limiting of freedom of expression too.

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)09 02 77 (*cont'd*)09 02 77 08 (*cont'd*)

This preparatory action will support the development of a study on a set of indicators to measure the risks to media pluralism in the online environment. The Union has already invested resources in devising a media pluralism monitor that can assess risks to media pluralism and freedom. This comprehensive tool has proved effective and useful for assessing risks to media pluralism at country level. It is of the utmost importance that the methodology of that tool should be reusable for a new monitor that fully takes into account the online dimension of pluralism. The preparatory action will map the threats to information pluralism online, create a tool to assess the risks to pluralism online and test it in the 28 Member States.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                         | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                      | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                                                 |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments             |                         |
| 09 03                               | CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS                                                                                                                  |     |                     |                    |                     |                    |                       |                      |                         |
| <b>09 03 01</b>                     | <b>Preparing broadband projects for public and/or private financing</b>                                                                                                         | 1.1 | 333 000             | 314 000            | p.m.                | 300 000            | 832 945,97            | 300 373,97           | 95,66                   |
| <b>09 03 02</b>                     | <b>Creating an environment more conducive to private investment for telecommunications infrastructure projects — CEF broadband</b>                                              | 1.1 | p.m.                | 18 000 000         | p.m.                | 45 000 000         | 90 000 000,—          | 0,—                  | 0                       |
| <b>09 03 03</b>                     | <b>Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level</b> | 1.1 | 119 345 512         | 81 826 000         | 104 018 258         | 71 830 000         | 100 400 551,27        | 45 162 774,—         | 55,19                   |
| <b>09 03 04</b>                     | <b>WiFi4EU — Support the deployment of free local Wi-Fi</b>                                                                                                                     | 1.1 | 49 653 000          | 40 841 000         | 19 330 000          | p.m.               |                       |                      |                         |
| <b>09 03 51</b>                     | <b>Completion of previous programmes</b>                                                                                                                                        |     |                     |                    |                     |                    |                       |                      |                         |
| 09 03 51 01                         | Completion of the 'Safer Internet' programme (2009 to 2013)                                                                                                                     | 1.1 | p.m.                | p.m.               | p.m.                | 94 000             | 50 033,16             | 365 982,47           |                         |
| 09 03 51 02                         | Completion of 'Safer Internet plus' — Promoting safer use of the internet and new online technologies                                                                           | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 0,—                  |                         |
|                                     | Article 09 03 51 — Subtotal                                                                                                                                                     |     | p.m.                | p.m.               | p.m.                | 94 000             | 50 033,16             | 365 982,47           |                         |
|                                     | <b>Chapter 09 03 — Total</b>                                                                                                                                                    |     | <b>169 331 512</b>  | <b>140 981 000</b> | <b>123 348 258</b>  | <b>117 224 000</b> | <b>191 283 530,40</b> | <b>45 829 130,44</b> | <b>32,51</b>            |

## Remarks

These budgetary remarks are applicable to all the budget lines in this chapter.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS (cont'd)

09 03 01 **Preparing broadband projects for public and/or private financing**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 333 000             | 314 000  | p.m.                | 300 000  | 832 945,97   | 300 373,97 |

## Remarks

Actions under this article shall contribute to the objectives of the Connecting Europe Facility laid out in Article 3 of Regulation (EU) No 283/2014.

Actions under this article shall contribute to the broadband-related objectives of the Connecting Europe Facility through studies and programme support actions, in particular technical assistance, as defined in points (6) and (7) of Article 2 of Regulation (EU) No 1316/2013.

Actions under this article shall typically be carried out through grants or procurement, either under direct management in the meaning of point (a) of Article 58(1) of the Financial Regulation or indirect management in the meaning of point (c) of Article 58(1) of the same Regulation.

## Legal basis

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (a) of Article 5(2) thereof.

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14), and in particular Article 6(9) thereof and Section 3 of the Annex thereto.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

09 03 02 **Creating an environment more conducive to private investment for telecommunications infrastructure projects — CEF broadband**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|------------|---------------------|------------|--------------|----------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments |
| p.m.                | 18 000 000 | p.m.                | 45 000 000 | 90 000 000,— | 0,—      |

## Remarks

Actions under this article shall contribute to the objectives of the Connecting Europe Facility laid down in Article 3 of Regulation (EU) No 283/2014.

**CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS** (*cont'd*)**09 03 02** (*cont'd*)

They shall support projects of common interest in the field of broadband networks.

Actions under this article shall contribute to those objectives through financial instruments in accordance with Article 7(4) of Regulation (EU) No 1316/2013.

The expenses shall cover financial assistance to broadband networks, as defined in Article 2 of Regulation (EU) No 283/2014.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 7(4) thereof.

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14), and in particular Article 6 (7) thereof and Section 2 of the Annex thereto.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**09 03 03** **Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016   |              |
|---------------------|------------|---------------------|------------|----------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments    | Payments     |
| 119 345 512         | 81 826 000 | 104 018 258         | 71 830 000 | 100 400 551,27 | 45 162 774,— |

*Remarks*

Actions under this article shall contribute to the objectives laid down in Article 3 of Regulation (EU) No 283/2014.

They shall support projects of common interest in the field of digital service infrastructures.

Actions under this article shall typically contribute to those objectives through the instruments of grants and procurement:

— core service platforms will typically be financed through procurement,

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## CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS (cont'd)

## 09 03 03 (cont'd)

— generic services will typically be financed through grants.

The expenses shall cover the entire cycle of digital service infrastructures, including feasibility studies, implementation, continuous operation and upgrading, coordination and evaluation and technical assistance, as defined in Article 2 of Regulation (EU) No 283/2014. The focus must not be solely on the creation of digital services infrastructures and related services but also on the governance relating to the operation of such platforms and services.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(4) thereof.

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14) and in particular Article 6(1) to (6) and (9) thereof and Sections 1 and 3 of the Annex thereto.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

09 03 04 **WiFi4EU — Support the deployment of free local Wi-Fi**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|------------|---------------------|----------|--------------|----------|
| Commitments         | Payments   | Commitments         | Payments | Commitments  | Payments |
| 49 653 000          | 40 841 000 | 19 330 000          | p.m.     |              |          |

*Remarks*

This appropriation is intended to support entities with a public mission for the installation of local wireless access points in centres of public life such as public administrations, libraries, health centres and outdoor public spaces. Such local wireless connectivity should be provided on a non-commercial basis or be ancillary to the provision of other public services.

The expenses shall also cover programme support actions as defined in point (7) of Article 2 of Regulation (EU) No 1316/2013.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(4) thereof.

**CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS** (cont'd)**09 03 04** (cont'd)

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14) and in particular Article 6(1) to (6) and (9) thereof and Sections 1 and 3 of the Annex thereto.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**09 03 51** **Completion of previous programmes**

09 03 51 01 Completion of the 'Safer Internet' programme (2009 to 2013)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 94 000   | 50 033,16    | 365 982,47 |

*Remarks*

This appropriation is intended to cover earlier commitments relating to the Safer Internet programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1351/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing a multiannual Community programme on protecting children using the Internet and other communication technologies (OJ L 348, 24.12.2008, p. 118).

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## CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATIONS NETWORKS (cont'd)

09 03 51 (cont'd)

09 03 51 02 Completion of 'Safer Internet plus' — Promoting safer use of the internet and new online technologies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover earlier commitments relating to the Safer Internet plus programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 854/2005/EC of the European Parliament and of the Council of 11 May 2005 establishing a multiannual Community Programme on promoting safer use of the Internet and new online technologies (OJ L 149, 11.6.2005, p. 1).



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## CHAPTER 09 04 — HORIZON 2020

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                             | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | % Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------|----------------|-----------------------------|
|                                     |                                                                                                                                                     |     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                             |
| 09 04                               | HORIZON 2020                                                                                                                                        |     |                     |             |                     |             |                |                |                             |
| <b>09 04 01</b>                     | <b>Excellent science</b>                                                                                                                            |     |                     |             |                     |             |                |                |                             |
| 09 04 01 01                         | Strengthening research in future and emerging technologies                                                                                          | 1.1 | 426 837 832         | 378 998 000 | 322 099 260         | 216 700 000 | 221 291 383,—  | 295 276 610,29 | 77,91                       |
| 09 04 01 02                         | Strengthening European research infrastructure, including e-infrastructure                                                                          | 1.1 | 119 448 719         | 136 127 000 | 108 536 406         | 100 482 000 | 100 561 638,—  | 102 019 054,22 | 74,94                       |
|                                     | <i>Article 09 04 01 — Subtotal</i>                                                                                                                  |     | 546 286 551         | 515 125 000 | 430 635 666         | 317 182 000 | 321 853 021,—  | 397 295 664,51 | 77,13                       |
| <b>09 04 02</b>                     | <b>Industrial leadership</b>                                                                                                                        |     |                     |             |                     |             |                |                |                             |
| 09 04 02 01                         | Leadership in information and communications technology                                                                                             | 1.1 | 725 189 515         | 793 276 000 | 731 622 819         | 787 942 692 | 699 331 546,59 | 854 076 539,67 | 107,66                      |
|                                     | <i>Article 09 04 02 — Subtotal</i>                                                                                                                  |     | 725 189 515         | 793 276 000 | 731 622 819         | 787 942 692 | 699 331 546,59 | 854 076 539,67 | 107,66                      |
| <b>09 04 03</b>                     | <b>Societal challenges</b>                                                                                                                          |     |                     |             |                     |             |                |                |                             |
| 09 04 03 01                         | Improving lifelong health and well-being                                                                                                            | 1.1 | 141 434 051         | 144 191 000 | 100 213 001         | 99 345 061  | 102 370 410,80 | 105 468 392,31 | 73,14                       |
| 09 04 03 02                         | Fostering inclusive, innovative and reflective European societies                                                                                   | 1.1 | 41 482 827          | 46 634 000  | 44 285 476          | 37 428 482  | 33 922 521,69  | 50 149 512,39  | 107,54                      |
| 09 04 03 03                         | Fostering secure European societies                                                                                                                 | 1.1 | 50 098 276          | 49 783 000  | 45 163 543          | 39 612 493  | 43 175 002,25  | 48 113 816,96  | 96,65                       |
|                                     | <i>Article 09 04 03 — Subtotal</i>                                                                                                                  |     | 233 015 154         | 240 608 000 | 189 662 020         | 176 386 036 | 179 467 934,74 | 203 731 721,66 | 84,67                       |
| <b>09 04 07</b>                     | <b>Joint Undertakings</b>                                                                                                                           |     |                     |             |                     |             |                |                |                             |
| 09 04 07 31                         | Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure                                           | 1.1 | 1 962 124           | 1 962 124   | 1 377 397           | 1 377 397   | 1 046 952,—    | 1 046 952,—    | 53,36                       |
| 09 04 07 32                         | Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking                                                                 | 1.1 | 178 000 000         | 176 910 000 | 168 037 603         | 128 734 204 | 157 307 439,—  | 139 000 000,—  | 78,57                       |
|                                     | <i>Article 09 04 07 — Subtotal</i>                                                                                                                  |     | 179 962 124         | 178 872 124 | 169 415 000         | 130 111 601 | 158 354 391,—  | 140 046 952,—  | 78,29                       |
| <b>09 04 50</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>         |     |                     |             |                     |             |                |                |                             |
| 09 04 50 01                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020) | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 12 118 244,92  | 12 064 489,37  |                             |

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                              | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|---------------|----------------|-----------------------------|
|                                     |                                                                                                                                                                                                                      |     | Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |                             |
| <b>09 04 50</b>                     | (cont'd)                                                                                                                                                                                                             |     |                     |             |                     |             |               |                |                             |
| 09 04 50 02                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)                                                                 | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 2 672 122,69  | 17 796 800,78  |                             |
|                                     | Article 09 04 50 — Subtotal                                                                                                                                                                                          |     | p.m.                | p.m.        | p.m.                | p.m.        | 14 790 367,61 | 29 861 290,15  |                             |
| <b>09 04 51</b>                     | <b>Completion of the Seventh Framework Programme (2007 to 2013)</b>                                                                                                                                                  | 1.1 | p.m.                | 114 632 000 | p.m.                | 269 111 000 | 975 276,79    | 413 056 721,53 | 360,33                      |
| <b>09 04 52</b>                     | <b>Completion of previous research framework programmes (prior to 2007)</b>                                                                                                                                          | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 26 626,72     | 26 626,72      |                             |
| <b>09 04 53</b>                     | <b>Completion of Competitiveness and Innovation Framework Programme — Information and communication technologies policy support programme (ICT PSP)</b>                                                              |     |                     |             |                     |             |               |                |                             |
| 09 04 53 01                         | Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013)                                                      | 1.1 | p.m.                | 6 300 000   | p.m.                | 16 820 000  | 74 093,64     | 32 445 940,01  | 515,01                      |
| 09 04 53 02                         | Completion of previous information and communication technologies programmes (prior to 2007)                                                                                                                         | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 8 843,10       |                             |
|                                     | Article 09 04 53 — Subtotal                                                                                                                                                                                          |     | p.m.                | 6 300 000   | p.m.                | 16 820 000  | 74 093,64     | 32 454 783,11  | 515,16                      |
| <b>09 04 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                                                                                        |     |                     |             |                     |             |               |                |                             |
| 09 04 77 01                         | Pilot project — Open knowledge technologies: mapping and validating knowledge                                                                                                                                        | 1.1 | p.m.                | 888 314     | p.m.                | 1 166 315   | 0,—           | 1 494 043,92   | 168,19                      |
| 09 04 77 02                         | Pilot project — Connected for Health: well-being and healthcare solution in an open access FTTH networks                                                                                                             | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 336 243,98     |                             |
| 09 04 77 03                         | Pilot project — REsearch (Research Excellence Innovation Framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 402 492,—      |                             |

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                    | FF  | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |           | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-----------|---------------------|-----------|--------------|-----------|--------------------------------|
|                                     |                                                                                                                                                                                                                            |     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments  |                                |
| <b>09 04 77</b>                     | (cont'd)                                                                                                                                                                                                                   |     |                     |           |                     |           |              |           |                                |
| 09 04 77 04                         | Pilot project — Europe's digital agenda meets Silicon Valley                                                                                                                                                               | 1.1 | p.m.                | 50 000    | p.m.                | 100 000   | 150 000,—    | 0,—       | 0                              |
| 09 04 77 05                         | Preparatory action — Open knowledge technologies: mapping and validating knowledge                                                                                                                                         | 1.1 | 1 000 000           | 1 250 000 | 1 000 000           | 750 000   | 1 500 000,—  | 0,—       | 0                              |
| 09 04 77 06                         | Pilot project — Digital skills: new professions, new educational methods, new jobs                                                                                                                                         | 1.1 | p.m.                | 320 000   | p.m.                | 320 000   | 400 000,—    | 0,—       | 0                              |
| 09 04 77 07                         | Pilot project — Developing the use of new technologies and digital tools in education                                                                                                                                      | 1.1 | p.m.                | 160 000   | p.m.                | 200 000   | 400 000,—    | 0,—       | 0                              |
| 09 04 77 08                         | Preparatory action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers | 1.1 | 2 000 000           | 1 700 000 | 1 000 000           | 1 000 000 | 750 000,—    | 187 500,— | 11,03                          |
| 09 04 77 09                         | Preparatory action — Smart factories in Eastern Europe                                                                                                                                                                     | 1.2 | p.m.                | 1 300 000 | p.m.                | 1 500 000 | 2 000 000,—  | 0,—       | 0                              |
| 09 04 77 10                         | Pilot project — Framework of best practices to tackle child sexual abuse                                                                                                                                                   | 1.1 | p.m.                | 400 000   | 1 000 000           | 500 000   |              |           |                                |
| 09 04 77 11                         | Pilot project — Algorithm awareness building initiative                                                                                                                                                                    | 1.1 | 300 000             | 570 000   | 600 000             | 300 000   |              |           |                                |
| 09 04 77 12                         | Pilot project — Digital enablers in SMEs: support for digitalisation to enhance SMEs' capacity to go international and innovate                                                                                            | 1.2 | p.m.                | 900 000   | 1 000 000           | 500 000   |              |           |                                |
| 09 04 77 13                         | Preparatory action — Digital hub network                                                                                                                                                                                   | 1.1 | p.m.                | 1 125 000 | 1 500 000           | 750 000   |              |           |                                |
| 09 04 77 14                         | Preparatory action — Digital transformation of European industry                                                                                                                                                           | 1.1 | p.m.                | 1 350 000 | 1 500 000           | 750 000   |              |           |                                |
| 09 04 77 15                         | Pilot project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access By Default)                                                                                      | 1.1 | p.m.                | 240 000   | 600 000             | 300 000   |              |           |                                |

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                     | FF  | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|-----------------------------|
|                                     |                                                                                                                                                                                                                                             |     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                             |
| 09 04 77 16                         | Pilot project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs) | 1.2 | p.m.                 | 300 000              | 750 000              | 375 000              |                         |                         |                             |
| 09 04 77 17                         | Pilot project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland                                   | 1.2 | p.m.                 | 500 000              | 750 000              | 375 000              |                         |                         |                             |
| 09 04 77 18                         | Preparatory action — Creating a European Digital Academy                                                                                                                                                                                    | 1.1 | 1 700 000            | 850 000              |                      |                      |                         |                         |                             |
| 09 04 77 19                         | Pilot project — European start-up and scale-up ecosystem graph                                                                                                                                                                              | 1.1 | 1 000 000            | 500 000              |                      |                      |                         |                         |                             |
| 09 04 77 20                         | Pilot project — Art and the digital: Unleashing creativity for European industry, regions and society                                                                                                                                       | 1.1 | 1 000 000            | 500 000              |                      |                      |                         |                         |                             |
| 09 04 77 21                         | Pilot project — European ecosystem of distributed ledger technologies for social and public good                                                                                                                                            | 1.1 | 1 000 000            | 500 000              |                      |                      |                         |                         |                             |
| <i>Article 09 04 77 — Subtotal</i>  |                                                                                                                                                                                                                                             |     | 8 000 000            | 13 403 314           | 9 700 000            | 8 886 315            | 5 200 000,—             | 2 420 279,90            | 18,06                       |
| <b>Chapter 09 04 — Total</b>        |                                                                                                                                                                                                                                             |     | <b>1 692 453 344</b> | <b>1 862 216 438</b> | <b>1 531 035 505</b> | <b>1 706 439 644</b> | <b>1 380 073 258,09</b> | <b>2 072 970 579,25</b> | <b>111,32</b>               |

*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the Framework Programme for Research and Innovation, which covers the 2014-2020 period, and for the completion of the previous research programmes (Seventh Framework Programme and preceding framework programmes) and previous information and communication technologies programmes (ICT PSP (2007-2013) and programmes prior to 2007).

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'Digital Agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of the Union and ensuring optimum use thereof.

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Administrative appropriations of this chapter will be provided under Article 09 01 05.

**09 04 01** *Excellent science**Remarks*

This priority of Horizon 2020 aims to reinforce and extend excellence in the Union's science base and ensure a steady stream of world-class research to secure the Union's long-term competitiveness. It will support the best ideas, develop talent within the Union, provide researchers with access to priority research infrastructure, and make the Union an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without predetermined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

## 09 04 01 01 Strengthening research in future and emerging technologies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 426 837 832         | 378 998 000 | 322 099 260         | 216 700 000 | 221 291 383,— | 295 276 610,29 |

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 01 (cont'd)

09 04 01 01 (cont'd)

*Remarks*

The specific objective is to foster radically new technologies by exploring novel and high-risk ideas building on scientific foundations. By providing flexible support to goal-oriented and interdisciplinary collaborative research on various scales and by adopting innovative research practices, the aim is to identify and seize opportunities of long-term benefit for citizens, the economy and society.

Activities under the 'Future and Emerging Technologies' specific objective shall address the entire spectrum of science-driven innovation: from bottom-up, small-scale early explorations of embryonic and fragile ideas to building new research and innovation communities around transformative emerging research areas and large and federated research initiatives built around a research agenda aiming to achieve ambitious and visionary goals.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(1) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

09 04 01 02 Strengthening European research infrastructure, including e-infrastructure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 119 448 719         | 136 127 000 | 108 536 406         | 100 482 000 | 100 561 638,— | 102 019 054,22 |

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 01** (*cont'd*)09 04 01 02 (*cont'd*)*Remarks*

The specific objective is to endow Europe with world-class research infrastructure which is accessible to all researchers in Europe and beyond and fully exploit their potential for scientific advance and innovation.

Activities will focus on development, deployment and operation of e-infrastructure. In addition, activities for innovation, strengthening human resources for research infrastructure, policy development and international cooperation are foreseen.

An integrated and service-driven approach will be taken to deliver e-infrastructures that respond to the needs of European science, industry and society in terms of development and deployment of integrated e-infrastructure services for a wide range of research communities ('de-siloing'). Maximising coordination and synergies with e-infrastructure development at national level and expanding e-infrastructure beyond science as such, to the science-industry-society triangle.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (d) of Article 3(1) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 02 **Industrial leadership***Remarks*

This priority of Horizon 2020 aims to make the Union a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of Union companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

## 09 04 02 01 Leadership in information and communications technology

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 725 189 515         | 793 276 000 | 731 622 819         | 787 942 692 | 699 331 546,59 | 854 076 539,67 |

*Remarks**Former Item 09 04 02 01 (in part)*

The specific objective is to maintain and build global leadership in enabling technologies, which underpin competitiveness across a range of existing and emerging industries and sectors. In line with the digital single market, the specific objective of ICT research and innovation is to enable Europe to develop and exploit the opportunities brought by ICT progress for the benefits of its citizens, businesses and scientific communities.

ICT underpins innovation and competitiveness across a broad range of private and public markets and sectors, and enables scientific progress in all disciplines. Over the next decade, the transformative impact of digital technologies, ICT components, infrastructures and services will be increasingly visible in all areas of life.

Activities will strengthen the Union's scientific and technology base and insure its global leadership in ICTs, help drive and stimulate innovation through ICT use and ensure that ICT progress is rapidly transformed into benefits for Europe's citizens, businesses, industry and governments. The activities under the 'Leadership in information and communications technology' specific objective will be primarily based on research and innovation agendas defined by industry and business, together with the research community and will have a strong focus on leveraging private sector investment.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).



**CHAPTER 09 04 — HORIZON 2020** (cont'd)**09 04 02** (cont'd)

## 09 04 02 01 (cont'd)

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (a)(i) of Article 3(2) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**09 04 03 Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

## 09 04 03 01 Improving lifelong health and well-being

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016   |                |
|---------------------|-------------|---------------------|------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments    | Payments       |
| 141 434 051         | 144 191 000 | 100 213 001         | 99 345 061 | 102 370 410,80 | 105 468 392,31 |

*Remarks**Former Item 09 04 03 01 (in part)*

The vision of the eHealth action plan 2012-2020 is to utilise and develop e-health to address several of the most pressing health and health systems challenges of the first half of the twenty-first century, namely:

- to improve chronic disease and multi-morbidity (multiple concurrent disease) management and to strengthen effective prevention and health promotion practices,
- to increase the sustainability and efficiency of health systems by unlocking innovation, enhancing patient-/citizen-centric care and citizen empowerment and encouraging organisational changes,
- to foster cross-border healthcare, health security, solidarity, universality and equity,
- to improve legal and market conditions for developing e-Health products and services.

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 03 (cont'd)

## 09 04 03 01 (cont'd)

ICT-based products and services have demonstrated their capacity to help address these major challenges in the form of personalised health, telehealth and telecare solutions, service robotics for health and care, support for prolonged active and independent living and home-based care. It is also a major new growth opportunity as large new markets for ICT-based products and services emerge to address health, demographic change and well-being.

Activities will cover development and exploitation of ICT for health, well-being and 'ageing well' solutions. This will build on the emergence of underpinning technologies arising out of ICT in LEIT such as micro-nano systems, embedded systems, robotics, internet of the future and cloud technologies. It will also build on further development of security- and privacy-enhancing technologies.

The ambient assisted living research and development joint programme will also be supported to contribute to market availability and exploitation of ICT-based products and services and the ICT innovation and pilot projects will be further supported in response to the European Innovation Partnership on Active and Healthy Ageing and the eHealth 2020 action plan.

Activities will cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (a) of Article 3(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 09 04 03 02 Fostering inclusive, innovative and reflective European societies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 41 482 827          | 46 634 000 | 44 285 476          | 37 428 482 | 33 922 521,69 | 50 149 512,39 |

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 03** (*cont'd*)09 04 03 02 (*cont'd*)*Remarks**Former Item 09 04 03 02 (in part)*

The specific objective is to foster inclusive, innovative and reflective European societies in a context of unprecedented transformations and growing global interdependencies.

Activities will cover four main areas: ICT-enabled public sector innovation, understanding and preserving Europe's intellectual basis and cultural heritage, learning and inclusion.

ICT-enabled public sector innovation refers to the use of ICT for the creation and implementation of new processes, products, services and methods of delivery which result in significant improvements in the efficiency, effectiveness and quality of public services. The future public administrations should be digital and cross-border by default. Activities will cover fostering efficient, open and citizen-centric public services, involving the public sector as an agent for innovation and change as well as cross-border innovation measures or seamless delivery of public services.

The aim of the second challenge is 'to contribute to an understanding of Europe's intellectual basis and cultural heritage: its history and the many European and non-European influences; as an inspiration for our lives today', as well as to facilitate the access and exploitation of this cultural heritage.

The aim of the third challenge is to support the widespread adoption of ICT in schools and for training in Europe.

The fourth challenge is to get the elderly (aged 65 and above), the unemployed and low-educated persons, migrants, people in need of care, people living in remote or poorer areas, persons with disabilities, and the homeless people to fully participate in society. Activities will focus on empowering them with the necessary digital skills and providing them with access to digital technologies. Activities aiming at fostering a better consideration for inclusiveness and responsibility aspects in ICT-related innovations will also be supported.

Activities will cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Part of the support of the Union to the COST intergovernmental framework for transnational cooperation among researchers, engineers and scholars across Europe will also be covered from this appropriation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 03 (cont'd)

## 09 04 03 02 (cont'd)

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (f) of Article 3(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 09 04 03 03 Fostering secure European societies

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 50 098 276          | 49 783 000 | 45 163 543          | 39 612 493 | 43 175 002,25 | 48 113 816,96 |

Remarks

Former Item 09 04 03 03 (in part)

The specific objective is to foster secure societies, contributing to the protection of freedom and security of the Union and its citizens.

The integrated portfolio of activities will develop solutions to protect our society and economy against accidental or man-made disruptions of the information and communication technologies our society and economy depends on; providing solutions for end-to-end secure ICT systems, services and applications; safeguarding the human right of privacy in the digital society; providing the incentives for the industry to supply secure ICT; stimulating the uptake of secure ICT.

The aim is to ensure cyber security, trust and privacy in the digital single market, whilst at the same time improving the competitiveness of the Union's security, ICT and service industries. Another objective is to increase users' trust in their participation in the digital society and overcome citizens' concern with the disclosure of their personal information online because of security issues (e.g. internet usage for banking or purchases).

Activities will cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

**CHAPTER 09 04 — HORIZON 2020** (cont'd)**09 04 03** (cont'd)

## 09 04 03 03 (cont'd)

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (g) of Article 3(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**09 04 07** **Joint Undertakings**

## 09 04 07 31 Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 1 962 124           | 1 962 124 | 1 377 397           | 1 377 397 | 1 046 952,—  | 1 046 952,— |

*Remarks*

The Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking shall contribute to the implementation of Horizon 2020, and in particular the 'Information and communications technology' segment of the priority 'Industrial leadership'. It shall have the objective of keeping Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Council Regulation (EU) No 561/2014 of 6 May 2014 establishing the ECSEL Joint Undertaking (OJ L 169, 7.6.2014, p. 152).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 07 (cont'd)

09 04 07 31 (cont'd)

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

09 04 07 32 Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 178 000 000         | 176 910 000 | 168 037 603         | 128 734 204 | 157 307 439,— | 139 000 000,— |

## Remarks

The Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking shall contribute to the implementation of Horizon 2020 and in particular the 'Information and communications technology' segment of the priority 'Industrial leadership'. It shall have the objective of keeping Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation.

## Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Council Regulation (EU) No 561/2014 of 6 May 2014 establishing the ECSEL Joint Undertaking (OJ L 169, 7.6.2014, p. 152).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

**09 04 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

09 04 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |               |
|---------------------|----------|---------------------|----------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 12 118 244,92 | 12 064 489,37 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the accrual of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

09 04 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |               |
|---------------------|----------|---------------------|----------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 2 672 122,69 | 17 796 800,78 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the accrual of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**09 04 51 Completion of the Seventh Framework Programme (2007 to 2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 114 632 000 | p.m.                | 269 111 000 | 975 276,79   | 413 056 721,53 |

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 51 (cont'd)

*Remarks*

This appropriation is intended to cover earlier commitments relating to the seventh framework programme (2007 to 2013).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking (OJ L 30, 4.2.2008, p. 21).

Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30, 4.2.2008, p. 52).

09 04 52 **Completion of previous research framework programmes (prior to 2007)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 26 626,72    | 26 626,72 |



**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 52** (*cont'd*)*Remarks*

This appropriation is intended to cover earlier commitments related to the completion of previous research framework programmes (prior to 2007).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme for Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 52 (cont'd)

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

**09 04 53 Completion of Competitiveness and Innovation Framework Programme — Information and communication technologies policy support programme (ICT PSP)**

09 04 53 01 Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013)

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 6 300 000 | p.m.                | 16 820 000 | 74 093,64    | 32 445 940,01 |

Remarks

This appropriation is intended to cover earlier commitments relating to the Competitiveness and Innovation Framework Programme (CIP) — Information and Communication Technologies Policy Support Programme (ICT PSP).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

09 04 53 02 Completion of previous information and communication technologies programmes (prior to 2007)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 8 843,10 |

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 53** (*cont'd*)09 04 53 02 (*cont'd*)*Remarks*

This appropriation is intended to cover earlier commitments relating to the eContentplus programme, to networks in the telecommunications sectors and to the MODINIS multiannual programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 2717/95/EC of the European Parliament and of the Council of 9 November 1995 on a set of guidelines for the development of the EURO-ISDN (Integrated Services Digital Network) as a trans-European network (OJ L 282, 24.11.1995, p. 16).

Council Decision 96/339/EC of 20 May 1996 adopting a multiannual Community programme to stimulate the development of a European multimedia content industry and to encourage the use of multimedia content in the emerging information society (INFO 2000) (OJ L 129, 30.5.1996, p. 24).

Council Decision 96/664/EC of 21 November 1996 on the adoption of a multiannual programme to promote the linguistic diversity of the Community in the information society (OJ L 306, 28.11.1996, p. 40).

Decision No 1336/97/EC of the European Parliament and of the Council of 17 June 1997 on a series of guidelines for trans-European telecommunications networks (OJ L 183, 11.7.1997, p. 12).

Council Decision 98/253/EC of 30 March 1998 adopting a multiannual Community programme to stimulate the establishment of the Information Society in Europe (Information Society) (OJ L 107, 7.4.1998, p. 10).

Council Decision 2001/48/EC of 22 December 2000 adopting a multiannual Community programme to stimulate the development and use of European digital content on the global networks and to promote linguistic diversity in the information society (OJ L 14, 18.1.2001, p. 32).

Decision No 2256/2003/EC of the European Parliament and of the Council of 17 November 2003 adopting a multiannual programme (2003-2005) for the monitoring of the eEurope 2005 action plan, dissemination of good practices and the improvement of network and information security (MODINIS) (OJ L 336, 23.12.2003, p. 1).

Decision No 456/2005/EC of the European Parliament and of the Council of 9 March 2005 establishing a multiannual Community programme to make digital content in Europe more accessible, usable and exploitable (OJ L 79, 24.3.2005, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 Pilot projects and preparatory actions

09 04 77 01 Pilot project — Open knowledge technologies: mapping and validating knowledge

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 888 314  | p.m.                | 1 166 315 | 0,—          | 1 494 043,92 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 02 Pilot project — Connected for Health: well-being and healthcare solution in an open access FTTH networks

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 336 243,98 |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

09 04 77 03 Pilot project — REsearch (Research Excellence Innovation Framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 402 492,— |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 04 Pilot project — Europe's digital agenda meets Silicon Valley

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 50 000   | p.m.                | 100 000  | 150 000,—    | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

09 04 77 05 Preparatory action — Open knowledge technologies: mapping and validating knowledge

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 1 250 000 | 1 000 000           | 750 000  | 1 500 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Higher education and research are rapidly evolving. Creating new knowledge technologies requires training for teachers, students and researchers alike, as well as any individual who needs to adapt to new market demands. Many endeavours, such as citizen science, scientific discovery games and MOOCs (Massive Open Online Courses), show that — like many other sectors — education and research are rapidly evolving. In order to fully exploit the potential of this new type of learning system for the labour market, methods are needed to validate the quality of their learning. Consequently, a mechanism has to be found that allows individuals to assess their competencies (creating their individual knowledge map), the knowledge required on the labour market and the learning path that can link the two, hence providing the right content for each individual. Only by doing so can it be ensured that the right content is available to the right target group of individuals. In order to be able to track the learning path, there must be validation of the knowledge acquired, which is possible through online certificates or badges.

This type of social and technological innovation can benefit all citizens regardless of their level of resources, the languages they speak, their age, their health or their cultural capital. Such an action will thus help bridge the knowledge gap and reduce unemployment by helping young unemployed people in particular to maximise their learning and find new career opportunities.

The overall objective of this preparatory action is to demonstrate a Europe-wide ICT-based system shortening the up- and re-skilling time for European citizens, in particular the unemployed, by maximising the use of online courses and open education resources. The action will develop and deploy a technological platform integrating online services, including inter alia the following modules:

- (1) skill/competence mapping;
- (2) tailored learning roadmaps (via educational services, including specific open educational resources);
- (3) mechanisms for the validation of knowledge acquired.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 09 04 — HORIZON 2020** (cont'd)

**09 04 77** (cont'd)

09 04 77 06 Pilot project — Digital skills: new professions, new educational methods, new jobs

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 320 000  | p.m.                | 320 000  | 400 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 07 Pilot project — Developing the use of new technologies and digital tools in education

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 160 000  | p.m.                | 200 000  | 400 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

09 04 77 08 Preparatory action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |           |
|---------------------|-----------|---------------------|-----------|--------------|-----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments  |
| 2 000 000           | 1 700 000 | 1 000 000           | 1 000 000 | 750 000,—    | 187 500,— |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

REIsearch seeks to accelerate full use of Europe's intellectual capital to the benefit of citizens, entrepreneurs and scientists through new media tools. Growing from a successful pilot project, this preparatory action will expand the work of the platform.

The preparatory action involves expansion of an e-infrastructure platform developed around the concept of social networks which facilitates direct communication between researchers, policymakers and citizens, with the involvement of media, across the European Research Area (ERA).

Inspired by the 'societal challenges' outlined in Horizon 2020, REIsearch supports the creation of virtual clusters around key topics, creating a direct path for open dialogue between science and society.

The action promotes active interaction between science, citizens and policymakers through the involvement of European media and social networks, in order to support participation of citizens in research agenda-setting, ongoing research processes and discussions on the results and impacts of research for society, policy and further research. It supports the use of evidence-based policymaking in all Union actions, in line with the drive for better regulation. REIsearch supports science-based policymaking by affording access to scientific information across the spectrum and providing a critical mass of knowledge to inspire confidence in any users of the platform. It is a neutral platform with no bias as to national origin or disciplinary background. Discussions are linked to the scientific evidence they are based on.

Building on the successful 14-month pilot project, REIsearch will expand and grow to cover the full range of societal challenges, enabling users to engage with the issues of their choice and self-determine the clusters and direction of discussions. Alongside the platform itself, REIsearch will integrate a range of tools such as big data/social media data-mining, applications of new artificial intelligence and semantic web applications to extract information about the trends and flows of the scientific consensus and discussions.

The objectives of the preparatory action are:

- to expand and fortify the reliable, non-profit e-infrastructure (similar to social networks) launched as a beta in 2015 to promote stronger communication and dialogue across disciplines, sectors and borders,
- to analyse and assess the results of the beta launch and develop the search algorithms and data-mining mechanisms underpinning the trend-mapping capabilities,



**CHAPTER 09 04 — HORIZON 2020** (cont'd)**09 04 77** (cont'd)

09 04 77 08 (cont'd)

- to further promote interoperability with existing research databases and platforms, with a single access point to research results from all countries and disciplines (interoperability) and with linkage to existing European initiatives,
- to expand the number of virtual clusters to include all the 'societal challenges' that bring together researchers, industry, citizens and policymakers to enhance collaboration on these key themes,
- to create a direct dialogue between those virtual clusters and citizens by linking in with leading European media.

The preparatory action seeks to respond to some of the needs and concerns outlined in the Digital Agenda and Horizon 2020. It also supports the underlying infrastructures of the European Research Area, which serves as a vital engine in unlocking Europe's potential for innovations that could lead to jobs and economic growth.

Current issues addressed by the action: recent ICT developments have shown how the power of social networks and e-infrastructures can help harness the potential of networks.

The problems of the existing online environment for research e-infrastructures are:

- size: the majority of existing networks are too small to go beyond a specific field and research group (according to Commission statistics the average size of an online network of researchers is around 100 users). There is a need for a large interoperable network that allows researchers and other stakeholders to find the information they are looking for across sectors and disciplines, using a familiar tool,
- reach: traditionally, networks bring together users within the same field and sector. In order to unlock Europe's innovation capacity, transdisciplinary (intersectoral and interdisciplinary) connections need to be made easier,
- reliability: one of the major reasons why big research networks have not been successful is that existing relations are still based on personal connections and online identities are not trusted. In order to really foster transdisciplinary connections, a system is needed in which identity verification creates a secure environment for all users,
- trust: this is a pivotal aspect for any network. Market studies suggest that a European social network/e-infrastructure would need to be developed in collaboration with stakeholders, be non-profit and have a clear intellectual property policy developed together with researchers.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 09 Preparatory action — Smart factories in Eastern Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 300 000 | p.m.                | 1 500 000 | 2 000 000,—  | 0,—      |

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 09 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The preparatory action will seek to ensure a coherent, coordinated and sustainable approach, to secure, develop and enhance engagement of all relevant stakeholders from Eastern Europe (business, academia, research organisations and civil society) and to inform, prepare and help regional entities from this part of Europe as regards developing projects under the Commission's smart factories scheme. The action will cover those regions in Eastern Europe hard hit by deindustrialisation where no smart factories have been planned or brought into operation and those whose industrial capacity needs to be improved.

The preparatory action will also seek to identify, assess and support exploitation of the industrial potential in a selected region, and to explore its innovation potential and the scope for its expansion. It will clearly target identification of industrial competitive advantages and the potential for specialisation at regional level based on an entrepreneurship discovery process, establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for preparation of the relevant policy documents.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 10 Pilot project — Framework of best practices to tackle child sexual abuse

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Cybercrime is a growing industry. In today's extremely interconnected world, child sexual abuse material (CSAM) sadly transcends national boundaries to an extent never seen before. It is arguably one of the most heinous forms of cybercrime. In recent years the challenges of this horrific crime have grown as technology has become more advanced and the perpetrators and criminal facilitators and producers of CSAM have become more elusive. In addition, on a daily basis, hotline content analysts from the INHOPE network come across more graphic and violent content, with ever-younger victims, including pre-speech children, as the demand for new material grows.

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 10 (cont'd)

INHOPE member hotlines offer public service value so that digital citizens can take action, by anonymously reporting suspected CSAM if they come across it when they are online. With dedicated police units overstretched globally, national hotlines are essential partners for the police in ensuring that they only receive images that are confirmed CSAM (according to the legislation in the hosting country). INHOPE hotlines have proven instrumental in the process of 'Notice-and-Takedown' across all Member States and beyond since 1999, growing the INHOPE network membership every year, while additionally serving the victim identification imperative.

Because every child has a right to a childhood free from violence and abuse and to be protected from harm, this pilot project will conduct qualitative research to identify the challenges faced by hotlines tackling child sexual abuse in Member States. This research will help create a framework of best practices that can support the development and improvement of hotlines across the Union. What may work in one Member State will not necessarily work in another: Research is therefore required to help navigate the challenges that Member States may face and determine how to work with various stakeholders such as law enforcement, ministries and industry. By means of qualitative research the most important challenges faced by national hotlines tackling child sexual abuse in Member States will be identified. The research will take the form of interviews, case studies, surveys etc. with the intention of identifying the challenges and obstacles faced by hotlines.

Given its unique position and expertise in the field, this framework could be developed by INHOPE, the global network of Internet hotlines covering all Member States.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 11 Pilot project — Algorithm awareness building initiative

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 300 000             | 570 000  | 600 000             | 300 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The pilot project will address the potential impacts and the need for transparency in algorithms for the benefit of citizens and democracies, and to contribute to the development of the digital single market.

The pilot project could focus on the following issues:

— raising end-user awareness of the role of algorithms in digital societies,

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CHAPTER 09 04 — HORIZON 2020 (*cont'd*)09 04 77 (*cont'd*)09 04 77 11 (*cont'd*)

- assessing the spectrum of potential positive and negative impacts of algorithms and reflecting on the technical and non-technical aspects leading to discriminatory practices. The assessment could highlight likely opportunities unlocked by algorithms and look at how algorithms impact and create opportunities for innovation, SMEs, privacy, free speech and the free flow of information, and how algorithmic transparency can be balanced with, for example, protecting commercial trade secrets,
- considering, on the basis of the results of the assessment and involving appropriate stakeholders, an appropriate and proportionate policy response addressing the need for transparency, trust and awareness-raising, but also the institutional and capability implications for potential government oversight. This could include monitoring and conducting sporadic tests on algorithms to ensure that algorithms respect ethical and competitive best practices and compiling basic information that is intelligible for customers and citizens. This would provide users with a better understanding of basic types of algorithmic criteria and enable them to make informed choices,
- getting the governance of algorithmic decision-making right, which is a challenge for policymakers and all actors involved. Governments need to ramp up their understanding and use of relevant tools to tackle effectively the challenges raised by algorithmic decision-making. There is a need to further the cooperation and collaboration of governments across Europe and build a flexible approach tailored to a global digital world. This should be based on a better understanding of what policy choices can be made in response to the variety of issues emerging, from technical challenges to ethical and legal considerations. The complexity of these issues should be reflected in the guiding policy objectives, from safeguarding fundamental rights to stimulating an innovative environment in Europe.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Pilot project within the meaning of Article 49(2) of the Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Treaty on the Functioning of the European Union (OJ C 83, 30.3.2010, p. 47), in particular Articles 10 and 169 thereof.

Charter of Fundamental Rights of the European Union (OJ C 83, 30.3.2010, p. 389), in particular Articles 8, 11 and 38 thereof.

Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (OJ L 119, 4.5.2016, p. 1), in particular Article 22 thereof.

Commission Communication of 25 May 2016 on 'Online Platforms and the Digital Single Market Opportunities and Challenges for Europe' (COM(2016) 288 final).

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 11 (cont'd)

Commission Staff Working Document entitled 'Online Platforms' accompanying the Communication on Online Platforms and the Digital Single Market (SWD(2016) 172 final).

09 04 77 12 Pilot project — Digital enablers in SMEs: support for digitalisation to enhance SMEs' capacity to go international and innovate

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 900 000  | 1 000 000           | 500 000  |              |          |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Supporting dynamic, growth-oriented SMEs and start-ups in familiarising themselves with digital technologies so that they integrate them into their core activities represents a crucial factor in helping companies to develop their business, enhance their innovative capacity and enter new markets, thus increasing their competitiveness. The vast majority of SMEs, especially small businesses operating in traditional sectors, face great difficulties in understanding the potential of new digital technologies — such as data analysis, e-commerce, robotics, microelectronics and mechatronics — and the benefits deriving from using them in how they operate, in their production processes and in their relations with customers and clients.

In this context, the main objective of the pilot project is to match growth-oriented SMEs with highly skilled digital experts in order to make up for the lack of adequate technological competences in companies, equipping them with the appropriate tools and enabling their digital transformation, as an essential instrument for internationalisation either within the internal market or in third countries.

Quite often growth-oriented SMEs and start-ups cannot use their own human resources to follow the constant evolution of new technologies, even on a part-time basis. This is why matching with a digital enabler will allow companies to discover and apply suitable digital technologies, to explore new opportunities in terms of innovation and to enhance their capacity to enter new markets, including within the Union. It will also provide an opportunity for young digital enablers — experts with specific skills coming from incubator centres or universities — to make use of their technological knowledge inside an established SME.

The project will allow young highly skilled digital experts (not simply IT managers) to work in growth-oriented SMEs established in another Member State for up to six months according to the digitalisation needs of the companies.

Key elements of the pilot project are:

- support for the matching phase (company selection, job vacancies — also involving the EURES Portal — and recruitment),
- placement of digital enablers in SMEs (Union grant covering consultancy services offered by them),

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 12 (cont'd)

- training/coaching package for SMEs and digital enablers,
- internationalisation, innovation, mobility and entrepreneurship.

The pilot project will help SMEs undergo a digital transformation.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 13 Preparatory action — Digital hub network

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 1 125 000 | 1 500 000           | 750 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will enhance the potential of digital hubs and accelerators across Europe by making them work together, develop common projects and construct a digital community that can increase European competitiveness in this sector. The action will therefore connect all the European digital stakeholders and hubs in order to develop an integration and cooperation plan designed to generate common projects and create European digital accelerators that can use the full potential existing across Europe. The action will target the most innovative hubs and accelerators, with high added value and multiplier effect potential. The preparatory action will therefore involve identification of the network of hubs and accelerators and of their comparative advantages, assessment of their current status and their development and cooperation potential, creation of an active and animated experts and stakeholders working group, generation of a common integration plan based on concrete projects and elaboration of policy solutions that can help reinforce ties and cooperation between the European hubs and accelerators.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

## 09 04 77 14 Preparatory action — Digital transformation of European industry

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 1 350 000 | 1 500 000           | 750 000  |              |          |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will ensure a coherent, coordinated and sustainable approach, ensure, develop and enhance engagement of all relevant stakeholders interested in the digitalisation of European industry (business, academia, research organisations and civil society) and inform, prepare and help them develop projects designed to face the new transformations.

The action will cover those regions and sectors heavily affected by digitalisation which do not have a digitalisation programme/plan and those whose industrial capacity needs to be improved.

This preparatory action will identify, assess and support exploitation of the industrial potential of the digitalisation process and explore its innovation potential and possibilities for expansion.

It will clearly target identifying the industrial competitive advantages and the potential for digital specialisation at sector level based on entrepreneurship discovery processes, establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for preparation of the necessary policy documents.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 15 Pilot project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access By Default)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 240 000  | 600 000             | 300 000  |              |          |

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 15 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will directly encourage and support adoption of the relevant accessibility requirements of European standard EN 301 549 V1.1.2 by awarding grants to firms and associations or other not-for-profit groupings which will incorporate settings that meet the requirements of this European standard as the default option in their authoring tools or platforms. Special priority will be given to open source or free-to-use tools and platforms. These grants will also be open to Member State authorities that develop internal web authoring tools or platforms for public sector websites and may be used to hire user testing services.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 16 Pilot project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | 750 000             | 375 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will develop an interactive online map summarising the existing best practices developed for better integration of vulnerable/disadvantaged groups in the Information Society across the 28 Member States.

Best practices will include information on existing tools, practices, capacities, systems, infrastructure and standards.

This pilot project will help stakeholders understand what already exists and exploit the experience derived from existing tools at local/national and European levels.

It will allow stakeholders to avoid duplication and 'reinventing the wheel', to consolidate knowledge in order to better integrate vulnerable communities in Europe and to step up information exchange between public, private and civil society stakeholders.



**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 77** (*cont'd*)09 04 77 16 (*cont'd*)

The project could be developed in coordination with the Global Internet Policy Observatory (GIPO) and the European Internet Forum.

Direct outcome: interactive online map hosted by an online platform mapping existing best practices.

*Global overview*

Aspects of the Information Society are present in all spheres of life and have become an integral part of daily activities at work, at home and when socialising.

The Internet and ICTs are a catalyst for change; they are also a perfect tool that can empower vulnerable people to fully discover the opportunities offered by the Information Society and fully enjoy their human rights as Union citizens.

ICTs can provide an essential, enabling environment for the empowerment of all, especially people living in vulnerable circumstances, and help address the demographic changes that are taking place throughout the Union.

Target groups of vulnerable people are:

- people with disabilities and the elderly,
- marginalised young people and children,
- the unemployed persons or people with social problems,
- people defined as marginalised who are affected by current socio-economic or political events (current vulnerabilities).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 17 Pilot project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | 750 000             | 375 000  |              |          |

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 17 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Building on the infrastructure of a start-up hub (e.g. Business Link) in Szczecin, the project will bring together entrepreneurs and Western Pomeranian university students, researchers and teachers to establish a more start-up-friendly environment.

To change what is still an unfavourable start-up market within the Union, including in Poland, a start will be made with a pilot project to build an ecosystem meeting three basic needs of the market: sharing knowledge, new ideas and know-how in order to build a start-up scheme.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 18 Preparatory action — Creating a European Digital Academy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 700 000           | 850 000  |                     |          |              |          |

*Remarks*

This preparatory action will address the need to improve digital skills through education and professional training more actively and homogeneously throughout Europe. Despite the efforts made at European and national level, such as the Digital Skills for Jobs Coalition initiatives, there is still a huge digital skills gap to contend with in society. Existing initiatives are of great benefit in tackling Member States' current challenges. Therefore, the action should not duplicate those initiatives; rather, it should complement them instead. The Academy will address the lack of a well-developed, transnational network in Europe that supports and facilitates exchanges between and coordination of all stakeholders in this area. It could showcase, scale and multiply European best practices in training and in developing the digital skills of different target groups.

The European Digital Academy should be considered a possible long-term solution to the current challenges resulting from the combined impact of increasing robotisation and digitisation of the workspace, on the one hand, and, on the other, the lack of digital skills in society.

**CHAPTER 09 04 — HORIZON 2020** (cont'd)**09 04 77** (cont'd)

09 04 77 18 (cont'd)

The European Digital Academy will have the following remit:

- Making the workforce fit for the new digital era by ensuring that employees can update their e-skills and knowledge on an ongoing basis. The Digital Academy will offer regular workshops and consulting services on robotics and automation issues. For information and communication technology (ICT) beginners, the Academy could offer an opportunity to participate in occupational retraining and to become familiar with the advantages of robotics and automation technology in order to use them profitably within the workspace.

SMEs in particular could benefit from such an academy because they often do not have the financial and human resources to provide training internally.

- Making schoolchildren and students fit for the new digital era by bringing robotics and automation technology into school life. The Academy should offer courses on e-skills which excite, inspire, and motivate young people about the importance and impact of robotics technologies in daily life. The Academy could provide a great opportunity to assist in equipping students with the necessary skills for the jobs of tomorrow. However, the courses offered by the Academy should have no implications for national education systems; rather, they should instead be considered an optional complement and support.
- Creating a European environment that empowers and encourages girls and young women to consider careers in the growing ICT field, thereby enabling both girls and technology companies to reap the benefits of greater female participation in the ICT sector.

The Academy will be established in cooperation with ministries, industry, specialist ICT companies, national institutions or initiatives with responsibility for professional training and lifelong learning programmes, and social partners. They all have the necessary expertise to coordinate and devise content and programmes for workshops and courses. In order to guarantee that this can be offered at European level, the Academy will set up a network of regional and national professional training centres throughout Europe. The network could provide considerable added value for addressing the challenge of reducing the various imbalances between skills offered and skills needed in Europe.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 19 Pilot project — European start-up and scale-up ecosystem graph

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks**Introduction*

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CHAPTER 09 04 — HORIZON 2020 (*cont'd*)09 04 77 (*cont'd*)09 04 77 19 (*cont'd*)

European start-up and scale-up ecosystems have clear particularities such as growth models, density, investments, sectors, education programs, regulations and cross-border collaborations. In this economic sector, various sources report a large pool of private capital that exists and continues to grow in countries such as the United Kingdom, France, Germany and Sweden. Consequently, this particular financial interest generated a large volume of data regarding start-ups and scale-ups located in about 60 European cities.

This pilot project will accelerate the process of knowledge gathering through the StartupHubs project within the Startup Europe initiative, creating a measurable impact that ultimately serves as a trustworthy tool for evidence-based policymaking.

*Objectives*

The main objective of this project pilot is to investigate the growth potential of various start-up and scale-up ecosystems, in a dynamic way, based on socio-economic data gathered through effective and reliable data collecting and processing methods. All this information will be gathered and made accessible to the public through a user-friendly online platform that will map and link key connections for numerous parameters that make up the start-up and scale-up ecosystems.

As a result, this initiative should provide a better understanding of what is happening locally in various hubs, for example assessing strengths and weaknesses, and yearly growth, and measure their overall contribution to generating socio-economic welfare.

Since the image of European ICT start-up and scale-up scene must be strengthened at global level, this initiative will target the positioning of such ecosystems, with a view to showcasing their strengths, and ultimately reinforce the European Digital Single Market strategy, create new jobs in the region and foster growth and collaboration across the Member States.

These objectives being in line with the Digital Single Market strategy, DG Connect is the most appropriate entity to take the lead on this pilot project. It will seek collaboration opportunities and share responsibilities, in terms of policymaking and data analysis, with other DGs.

The Commission will organise a call for proposals, building upon the main lines set out under this pilot project, and assign project development and implementation to a suitable IT company.

*Description of elements*

The pilot project will implement novel approaches to data visualisation and predictive analytics, ultimately taking the final form of an interactive platform containing essential information for possible investors or other stakeholders.

Expenditure must relate to the following: data acquisition, data analysis, setting up and maintaining the platform, providing advice on developing evidence-based policy, information campaigns and other activities relating to interaction with governments or communities.

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 19 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 20 Pilot project — Art and the digital: Unleashing creativity for European industry, regions and society

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

Europe's capacity to compete in the global market will depend on its capacity to convert scientific and technological knowledge into innovative products and services; even more, the appeal of Europe will very much depend on how its regions can conceive an inspiring, motivating and future-oriented environment for its citizens. The transformation of society by digital technologies is creating opportunities for Europe that a joint effort between the arts and technology could help fully exploit. In the digital world, Europe can stake a claim to leadership as regards way of life and in those elements of the digital revolution that most depend on creativity, i.e. broadly speaking, 'content'.

A committed partnership between arts and technology can make this claim a reality in areas as diverse as social inclusion, new digital media (augmented reality, new media such as social media, etc.), urban development (smart cities, Internet of Things, etc.) or the future of mobility. Enhanced collaboration between art and technology would not only stimulate innovation and thereby enhance the competitiveness of Europe; it would also help unleash creativity in society and in European regions. The 2015 Latvian Presidency conclusions on 'crossovers from culture to businesses' therefore invited the European institutions to consider enhancing collaboration between arts and technology for a holistic exploration of opportunities that overcomes the traditional boundaries of sectors, disciplines or the culture-engineering divide.

The Commission — DG Connect — has reacted by launching the Starts programme — innovation at the nexus of science, technology and the arts. This is a highly pertinent step focused on promoting innovation in industry with the arts as a catalyst for unconventional thinking and exploration. The Commission is fostering innovation rooted in such collaboration by introducing lighthouse projects that will put forward the crucial role of the arts in tackling challenges in the context of the digital single market.

This pilot project will explore how best to put the programme on as broad a footing as possible and have Starts ideas extended from pure industrial settings to, for instance, areas of regional and urban development where the digital also plays a prominent role. It will develop a coherent horizontal framework for 'art-technology' thinking in Europe across sectors and disciplines as well as across pertinent activities of European institutions (including framework programmes, structural funds, education programmes, etc.).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 20 (cont'd)

The pilot project will create a network of key players from the arts world (arts institutions and artists engaging with technology), digital media relying on the arts for media content, industry that considers art as a means for exploration for possible application, and regions and cities willing to create infrastructure to host collaborations of artists and technologists as a seed for urban development. It will support artistic explorations of technology, e.g. by supporting technologies for performances and installations and will stimulate the most promising pathways by seed-funding ideas for arts-technology collaborations. It will in particular foster practical mechanisms that help convert emerging ideas from such collaborative explorations into tangible assets for European society and industry.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 21 Pilot project — European ecosystem of distributed ledger technologies for social and public good

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

This pilot project will consist of two phases: (1) a mapping exercise by the Joint Research Centre (JRC) on: (a) the European ecosystem of companies and other organisations developing distributed ledger technologies (DLTs) for social and public good, and/or cooperating with different policy actors at supranational, national, regional or local levels; (b) relevant topics or use cases these companies or organisations are working on (e.g. DLTs for distribution and tracking of funding or benefits, issuing digital IDs or remittance systems for migrants or displaced groups, and increasing transparency of supply chains in order to combat trade in conflict minerals, etc.); (c) potential advisers from different disciplines and sectors that can guide or support these organisations; (2) an open challenge designed and launched by the JRC and DG Connect to select up to 10 DLT projects or innovations tackling the topics identified. The selected projects would be coached by advisers for a specific period of time (up to two months) in an incubator environment. Specific JRC support for the projects through a 'co-creation' and 'experimental' approach is planned. After this period, the projects will present their proof-of-concept prototypes to an independent panel. At a final event, one main prize and two runner-up prizes will be announced.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 09 05 — CREATIVE EUROPE

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                          | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                  |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 09 05                               | CREATIVE EUROPE                                                                                                                                                  |    |                     |                    |                     |                    |                       |                       |                         |
| <b>09 05 01</b>                     | <b>MEDIA Sub-programme<br/>— Operating trans-<br/>nationally and inter-<br/>nationally and promoting<br/>transnational circulation<br/>and mobility</b>          | 3  | 109 145 000         | 99 000 000         | 107 118 000         | 101 000 000        | 105 440 400,75        | 95 021 440,37         | 95,98                   |
| <b>09 05 05</b>                     | <b>Multimedia actions</b>                                                                                                                                        | 3  | 19 960 000          | 14 602 226         | 22 573 000          | 26 997 455         | 26 186 479,53         | 23 767 278,33         | 162,76                  |
| <b>09 05 51</b>                     | <b>Completion of former<br/>MEDIA programmes</b>                                                                                                                 | 3  | p.m.                | p.m.               | p.m.                | 395 416            | 0,—                   | 2 989 916,81          |                         |
| <b>09 05 77</b>                     | <b>Pilot projects and<br/>preparatory actions</b>                                                                                                                |    |                     |                    |                     |                    |                       |                       |                         |
| 09 05 77 01                         | Preparatory action —<br>Circulation of audiovisual<br>works in a digital<br>environment                                                                          | 3  | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 169 071,—             |                         |
| 09 05 77 02                         | Pilot project — Fostering<br>European integration<br>through culture by<br>providing new subtitled<br>versions of selected TV<br>programmes across all<br>Europe | 3  | p.m.                | 600 438            | p.m.                | 939 328            | 0,—                   | 799 750,—             | 133,19                  |
| 09 05 77 03                         | Preparatory action —<br>Action on subtitling<br>including crowdsourcing<br>to increase the circulation<br>of European works                                      | 3  | p.m.                | 225 000            | 1 000 000           | 1 000 000          | 450 000,—             | 0,—                   | 0                       |
| 09 05 77 04                         | Pilot project — Media<br>literacy for all                                                                                                                        | 3  | p.m.                | 450 000            | 500 000             | 450 000            | 250 000,—             | 0,—                   | 0                       |
| 09 05 77 05                         | Preparatory action —<br>Subtitling European<br>cultural television content<br>throughout Europe                                                                  | 3  | 1 750 000           | 1 325 000          | 1 500 000           | 750 000            | 1 500 000,—           | 0,—                   | 0                       |
| 09 05 77 06                         | Preparatory action —<br>Media literacy for all                                                                                                                   | 3  | 500 000             | 250 000            |                     |                    |                       |                       |                         |
| 09 05 77 07                         | Pilot project — Internship<br>opportunities for minority<br>language media                                                                                       | 3  | 500 000             | 250 000            |                     |                    |                       |                       |                         |
|                                     | <i>Article 09 05 77 —<br/>Subtotal</i>                                                                                                                           |    | 2 750 000           | 3 100 438          | 3 000 000           | 3 139 328          | 2 200 000,—           | 968 821,—             | 31,25                   |
|                                     | <b>Chapter 09 05 — Total</b>                                                                                                                                     |    | <b>131 855 000</b>  | <b>116 702 664</b> | <b>132 691 000</b>  | <b>131 532 199</b> | <b>133 826 880,28</b> | <b>122 747 456,51</b> | <b>105,18</b>           |

**09 05 01**      **MEDIA Sub-programme — Operating transnationally and internationally and promoting transnational circulation and mobility**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments    | Payments      |
| 109 145 000         | 99 000 000 | 107 118 000         | 101 000 000 | 105 440 400,75 | 95 021 440,37 |

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CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)

09 05 01 (*cont'd*)

*Remarks*

This appropriation is intended to cover the following measures relating to MEDIA Sub-programme of the Creative Europe programme:

- facilitating the acquisition and improvement of skills and competences of audiovisual professionals and the development of networks, including the use of digital technologies to ensure adaptation to market development, testing new approaches to audience development and testing new business models,
- increasing the capacity of audiovisual operators to develop European audiovisual works with a potential to circulate in the Union and beyond and to facilitate European and international co-production, including with television broadcasters,
- encouraging business-to-business exchanges by facilitating access to markets and business tools enabling audiovisual operators to increase the visibility of their projects on Union and international markets,
- supporting theatrical distribution through transnational marketing, branding, distribution and exhibition of audiovisual works,
- promoting transnational marketing, branding and distribution of audiovisual works on all other non-theatrical platforms,
- supporting audience development as a means of stimulating interest in, and improving access to, European audiovisual works, in particular through promotion, events, film literacy and festivals,
- promoting new distribution modes in order to allow the emergence of new business models.

This appropriation covers the prospective financial contribution of the European Commission to the LUX Prize through the promotion/communication of European films shortlisted by the LUX Prize.

It will also cover the Commission's prospective financial contribution to the LUX Prize, for subtitling and promoting participating European films.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.



**CHAPTER 09 05 — CREATIVE EUROPE** (*cont'd*)**09 05 01** (*cont'd*)

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

**09 05 05** **Multimedia actions***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 19 960 000          | 14 602 226 | 22 573 000          | 26 997 455 | 26 186 479,53 | 23 767 278,33 |

*Remarks*

This appropriation is intended to fund general information to citizens on operations concerning the Union, for the purpose of increasing the visibility of the work of the Union institutions, the decisions taken and the stages in the building of Europe, so as to allow citizens to make full use of their right to be informed about and involved in European policies. It concerns essentially the funding or co-funding of the production and/or dissemination of multimedia (radio, TV, internet, etc.), information products, including pan-European networks made up of local and national media delivering news on European affairs, as well as the tools necessary to develop such a policy. Part of this appropriation will be used to ensure continuity of existing actions.

This appropriation also covers support expenditure such as studies, meetings, *ex post* controls, expert technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, evaluation and audit on current or future activities, feasibility studies, publications, and the repayment of travel and related expenses of experts.

Where appropriate, the procurement and grant procedures may include the conclusion of framework partnerships, with a view to promoting a stable financing framework for the pan-European networks funded under this appropriation.

The Commission, when implementing this article, should take duly into account the outcome of the meetings of the Interinstitutional Group of Information (IGI).

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CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)09 05 05 (*cont'd*)*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 51 **Completion of former MEDIA programmes***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 395 416  | 0,—          | 2 989 916,81 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Decision 2000/821/EC of 20 December 2000 on the implementation of a programme to encourage the development, distribution and promotion of European audiovisual works (MEDIA Plus — Development, Distribution and Promotion) (2001-2005) (OJ L 336, 30.12.2000, p. 82).

Decision No 163/2001/EC of the European Parliament and of the Council of 19 January 2001 on the implementation of a training programme for professionals in the European audiovisual programme industry (MEDIA-Training) (2001-2005) (OJ L 26, 27.1.2001, p. 1).

## CHAPTER 09 05 — CREATIVE EUROPE (cont'd)

## 09 05 51 (cont'd)

Decision No 1718/2006/EC of the European Parliament and of the Council of 15 November 2006 concerning the implementation of a programme of support for the European audiovisual sector (MEDIA 2007) (OJ L 327, 24.11.2006, p. 12).

Decision No 1041/2009/EC of the European Parliament and of the Council of 21 October 2009 establishing an audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (OJ L 288, 4.11.2009, p. 10).

09 05 77 **Pilot projects and preparatory actions**

## 09 05 77 01 Preparatory action — Circulation of audiovisual works in a digital environment

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 169 071,— |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

## Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 09 05 77 02 Pilot project — Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 600 438  | p.m.                | 939 328  | 0,—          | 799 750,— |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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## CHAPTER 09 05 — CREATIVE EUROPE (cont'd)

09 05 77 (cont'd)

09 05 77 02 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 77 03 Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 225 000  | 1 000 000           | 1 000 000 | 450 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 77 04 Pilot project — Media literacy for all

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 450 000  | 500 000             | 450 000  | 250 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)09 05 77 (*cont'd*)09 05 77 04 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 77 05 Preparatory action — Subtitling European cultural television content throughout Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 1 750 000           | 1 325 000 | 1 500 000           | 750 000  | 1 500 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The existing pilot project under Item 09 05 77 02, 'Fostering European integration through culture by providing new subtitled versions of selected European TV programmes across all Europe', has been converted into a preparatory action under Item 09 05 77 05, 'Subtitling European cultural television content throughout Europe'.

This preparatory action will continue the pilot project financed in 2014 and 2015 and the preparatory action financed by the Union budget in 2016 and 2017, the aim of which was to ascertain whether there is a European audience for cultural programmes by providing a range of subtitled versions of selected TV programmes throughout Europe.

The pilot project is currently being developed by, among others, ARTE, the European cultural TV channel with its 'ARTE Europe' project. The first phase (November 2015-November 2016) provided 600 hours a year of TV programmes in four languages (French, German, English and Spanish). The second phase (November 2016-November 2017) renewed and expanded the project by adding Polish, allowing European citizens to access that content in five languages online, on mobile applications and on connected TV.

In the first year of the preparatory action (2016), ARTE was supposed to develop a third phase of 'ARTE Europe' (November 2017-November 2018), which will feature 400 hours of programming subtitled in five languages (French, German, English, Spanish and Polish) and also include a smaller selection (50 hours a year) of programmes in Italian and 360°/VR content in six languages.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 09 05 — CREATIVE EUROPE (cont'd)

## 09 05 77 (cont'd)

09 05 77 06 Preparatory action — Media literacy for all

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |

*Remarks*

The objective of the preparatory action is to continue the achievements of the previous pilot project, which, through specific actions, sought to increase critical thinking towards the media among citizens of all ages and to test the feasibility and usefulness of such actions. Critical thinking includes, among other skills, the ability to distinguish information from propaganda, to deconstruct media communication and information media policies and to interact with social media in a mindful way. Its implementation covered a wide range of Member States with the final goal of improving the technical, cognitive, social, civic and creative skills of citizens in order to stimulate their civic engagement and their awareness of the importance of taking action and directly participating in social and democratic life. Media is understood to mean all kinds of media outlets, such as radio, internet, broadcasting, newspapers and social media. The target group was composed of citizens of all ages, with a particular focus on minorities, low-skilled people and people at risk of being socially marginalised. The pilot project included social media campaigns, the creation of networks to share best practices, conferences, workshops and training programmes.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 77 07 Pilot project — Internship opportunities for minority language media

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |

*Remarks*

The pilot project will give minority language media representatives an opportunity to do an internship with leading European media.

The main target group of the initiative are journalists that work for European media providing content in minority or regional languages. The end beneficiaries of the project are European residents who speak minority or regional languages and the people of Europe as a whole. Special priority for access to the programme will be given to media outlets targeting marginalised groups (Roma, refugees and recent immigrants) and minority groups, which face extensive information and propagandistic pressure from outside the Union. Special emphasis will be placed on media working in Arabic, Turkish and Russian.

**CHAPTER 09 05 — CREATIVE EUROPE** (*cont'd*)**09 05 77** (*cont'd*)09 05 77 07 (*cont'd*)

Objective: Internships in leading European media companies will help make minority media more able to promote a pro-European agenda in minority languages. The project will provide minority media journalists with new professional skills and experience permitting them to offer a European minority language audience up-to-date, professional and competitive products. In other words, the project will facilitate competition with foreign and/or anti-European media.

Implementation: The one-year pilot project will be carried out on the basis of a call for proposals for civil society organisations, media companies and professional journalist organisations. Only companies in the group of media leaders in terms of size of audience or ratings in a particular Member State, several Member States or the Union as a whole will be eligible to host trainees. All participating media must meet the standards set out in the International Federation of Journalists Declaration of Principles on the Conduct of Journalists. The pilot project will cover travel and subsistence expenses for interns for a period of up to four months.

The project will complement activities under the MEDIA subprogramme as it goes beyond purely cultural and educational activities, deals with all sorts of media, both traditional and online, and touches upon the issues of national security and strategic communication.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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*TITLE 10*

**DIRECT RESEARCH**



## TITLE 10

## DIRECT RESEARCH

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                                                          | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|---------------|----------------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|               |                                                                                                                                  | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 10 01         | ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA                                                                  | 334 586 829         | 334 586 829        | 334 469 370         | 334 469 370        | 416 083 482,13        | 416 083 482,13        |
| 10 02         | HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES                                    | 28 183 960          | 27 300 000         | 27 183 960          | 26 500 000         | 31 955 815,77         | 32 277 178,62         |
| 10 03         | EURATOM PROGRAMME — DIRECT ACTIONS                                                                                               | 10 881 000          | 10 050 000         | 10 773 000          | 10 600 000         | 11 585 767,71         | 9 762 450,46          |
| 10 04         | OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE                                                                                    | p.m.                | p.m.               | p.m.                | p.m.               | 22 139 445,42         | 21 192 994,28         |
| 10 05         | HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY | 30 076 000          | 25 400 000         | 29 310 000          | 30 000 000         | 28 584 945,44         | 22 788 093,61         |
|               | <b>Title 10 — Total</b>                                                                                                          | <b>403 727 789</b>  | <b>397 336 829</b> | <b>401 736 330</b>  | <b>401 569 370</b> | <b>510 349 456,47</b> | <b>502 104 199,10</b> |

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## TITLE 10

### DIRECT RESEARCH

#### *Remarks*

These remarks apply to all the budget lines in the 'Direct research' policy area (with the exception of Chapter 10 05).

These appropriations are intended to cover expenditure relating to:

- staff occupying posts on the authorised establishment plan of the Joint Research Centre (JRC) and external personnel implementing the research and innovation programmes,
- staff-related costs such as missions, training, medical services and recruitment,
- operation and functioning of the JRC directorates, administrative support, safety and security of the sites, IT related expenditure, non-recurrent costs and major research infrastructures,
- research and support activities including exploratory research, scientific and technical equipment, subcontracting of services, etc.,
- research and scientific support tasks relating to activities entrusted to the JRC within the framework of its participation on a competitive basis in support of the Union's policies and on behalf of outside bodies.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations.

Miscellaneous revenue may give rise to the provision of additional appropriations to be used in Chapters 10 02, 10 03 or 10 04 or Article 10 01 05, depending on their purpose.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The possibility of third countries or organisations from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Item 6 0 1 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. The additional appropriations will be provided under Items 10 02 50 01 and 10 03 50 01.

## CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                  | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| 10 01                               | ADMINISTRATIVE EXPENDITURE OF THE<br>'DIRECT RESEARCH' POLICY AREA                                                       |     |                     |                     |                       |               |
| <b>10 01 05</b>                     | <b>Support expenditure for research and innovation programmes in the 'Direct research' policy area</b>                   |     |                     |                     |                       |               |
| 10 01 05 01                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020      | 1.1 | 139 854 849         | 139 760 000         | 147 190 064,97        | 105,24        |
| 10 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020                                        | 1.1 | 33 322 610          | 33 300 000          | 58 880 474,60         | 176,70        |
| 10 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020                                       | 1.1 | 58 163 970          | 58 163 970          | 68 474 604,61         | 117,73        |
| 10 01 05 04                         | Other expenditure for new major research infrastructures — Horizon 2020                                                  | 1.1 | 2 000 000           | 2 000 000           | 2 054 600,—           | 102,73        |
| 10 01 05 11                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom programme | 1.1 | 54 200 000          | 54 200 000          | 56 397 367,56         | 104,05        |
| 10 01 05 12                         | External personnel implementing research and innovation programmes — Euratom programme                                   | 1.1 | 10 000 000          | 10 000 000          | 18 041 457,82         | 180,41        |
| 10 01 05 13                         | Other management expenditure for research and innovation programmes — Euratom Programme                                  | 1.1 | 35 045 400          | 35 045 400          | 41 200 315,58         | 117,56        |
| 10 01 05 14                         | Other expenditure for new major research infrastructures — Euratom programme                                             | 1.1 | 2 000 000           | 2 000 000           | 23 844 596,99         | 1 192,23      |
|                                     | <i>Article 10 01 05 — Subtotal</i>                                                                                       |     | 334 586 829         | 334 469 370         | 416 083 482,13        | 124,36        |
|                                     | <b>Chapter 10 01 — Total</b>                                                                                             |     | <b>334 586 829</b>  | <b>334 469 370</b>  | <b>416 083 482,13</b> | <b>124,36</b> |

**10 01 05** **Support expenditure for research and innovation programmes in the 'Direct research' policy area***Remarks*

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 1, 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations. Such revenue will be used to cover, inter alia, expenditure incurred on staff and resources in respect of activities in support of the Union's policies and work for third parties carried out by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

This appropriation could be supplemented by appropriations that the Joint Research Centre will earn by participating on a competitive basis in indirect actions and in scientific and technical activities in support of Union policies. The activities of a competitive nature conducted by the JRC shall consist of:

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CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)

- activities carried out following grant or procurement procedures,
- activities on behalf of third parties,
- activities undertaken under an administrative agreement with other institutions or other Commission departments for the provision of technical-scientific services.

10 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 139 854 849         | 139 760 000         | 147 190 064,97 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff occupying posts on the authorised establishment plan of the Joint Research Centre implementing research and innovation programmes — Horizon 2020, and in particular:

- direct action, consisting of research activities, scientific and technical support activities, and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre's activities conducted on a competitive basis.

Staff costs comprise the basic salary, allowances, miscellaneous indemnities and contributions based on the statutory provisions, including expenses related to the entry into service, change in the place of employment and termination of service.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 10 02.

## CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (cont'd)

## 10 01 05 (cont'd)

## 10 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 33 322 610          | 33 300 000          | 58 880 474,60 |

Remarks

This appropriation is intended to cover the expenditure relating to external personnel occupying posts which are not on the establishment plan of the Joint Research Centre, i.e. contract staff, grant holders, seconded national experts and visiting scientists, including external personnel posted in Union delegations, implementing research and innovation programmes — Horizon 2020.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 10 02.

## 10 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 58 163 970          | 58 163 970          | 68 474 604,61 |

Remarks

This appropriation is intended to cover:

- staff-related expenditure not covered by Items 10 01 05 01 and 10 01 05 02 including missions, training, medical and social services, expenditure on organising competitions, interviewing candidates, representation costs, etc.,
- expenditure in respect of all resources used for the implementation of the Joint Research Centre (JRC) activities; this includes:
  - expenses related to the operation and functioning of JRC directorates: regular maintenance of buildings, technical infrastructure and scientific equipment; utilities and fluids; heating, cooling and ventilation; workshop materials and equipment; cleaning of sites, roads and buildings; waste management, etc.,

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CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)10 01 05 03 (*cont'd*)

- expenses related to the administrative support of JRC directorates: furniture; stationery; telecommunications; documentation and publications; transport; miscellaneous supplies; general insurance, etc.,
- expenses related to the safety and security of the sites: health and safety at work; radioprotection; fire brigade, etc.,
- IT-related expenditure: computer rooms; hardware and software; networking services; information systems; helpdesk and assistance to users, etc.,
- non-recurrent costs: this appropriation covers renovation, rehabilitation and construction works on the JRC sites. It addresses expenses such as exceptional maintenance costs, renovation works, adaptation to new standards, etc. It may also finance research infrastructures not covered by Item 10 01 05 04.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 10 02.

## 10 01 05 04 Other expenditure for new major research infrastructures — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 000 000           | 2 000 000           | 2 054 600,—  |

*Remarks*

This appropriation is intended to cover expenditure in respect of all resources for the financing of major research infrastructure projects, in particular the construction of new buildings, the complete refurbishment of existing buildings and the purchase of important equipment related to the technical infrastructure of the sites.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (cont'd)

10 01 05 (cont'd)

10 01 05 04 (cont'd)

*Legal basis*

See Chapter 10 02.

10 01 05 11 Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 54 200 000          | 54 200 000          | 56 397 367,56 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff occupying posts on the authorised establishment plan of the Joint Research Centre implementing research and innovation programmes — Euratom programme, and in particular:

- direct action, consisting of research activities, scientific and technical support activities, and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre's activities conducted on a competitive basis.

Staff costs comprise the basic salary, allowances, miscellaneous indemnities and contributions based on the statutory provisions, including expenses related to the entry into service, change in the place of employment and termination of service.

*Legal basis*

See Chapter 10 03.

10 01 05 12 External personnel implementing research and innovation programmes — Euratom programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 10 000 000          | 10 000 000          | 18 041 457,82 |

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CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)10 01 05 12 (*cont'd*)*Remarks*

This appropriation is intended to cover the expenditure relating to external personnel occupying posts which are not on the establishment plan of the Joint Research Centre, i.e. contract staff, grant holders, seconded national experts and visiting scientists, including external personnel posted in Union delegations, implementing the research and innovation programmes — Euratom programme.

*Legal basis*

See Chapter 10 03.

10 01 05 13 Other management expenditure for research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 35 045 400          | 35 045 400          | 41 200 315,58 |

*Remarks*

This appropriation is intended to cover:

- staff-related expenditure not covered by Items 10 01 05 11 and 10 01 05 12 including missions, training, medical and social services, expenditure on organising competitions, interviewing candidates, representation costs, etc.,
- expenditure in respect of all resources used for the implementation of the Joint Research Centre (JRC) activities; this includes:
  - expenses related to the operation and functioning of JRC directorates: regular maintenance of buildings, technical infrastructure and scientific equipment; utilities and fluids; heating, cooling and ventilation; workshop materials and equipment; cleaning of sites, roads and buildings; waste management, etc.,
  - expenses related to the administrative support of JRC directorates: furniture; stationery; telecommunications; documentation and publications; transport; miscellaneous supplies; general insurance, etc.,
  - expenses related to the safety and security of the sites: health and safety at work; radioprotection; fire brigade, etc.,
  - IT related expenditure: computer rooms; hardware and software; networking services; information systems; helpdesk and assistance to users, etc.,
  - non-recurrent costs: this appropriation covers the renovation, rehabilitation and construction works on the JRC sites. It addresses expenses such as exceptional maintenance costs, renovation works, adaptation to new standards, etc. It may also finance research infrastructures not covered by Item 10 01 05 14.



CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA *(cont'd)*10 01 05 *(cont'd)*10 01 05 13 *(cont'd)**Legal basis*

See Chapter 10 03.

10 01 05 14 Other expenditure for new major research infrastructures — Euratom programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 2 000 000           | 2 000 000           | 23 844 596,99 |

*Remarks*

This appropriation is intended to cover expenditure in respect of all resources for the financing of major research infrastructure projects, in particular the construction of new buildings, the complete refurbishment of existing buildings and the purchase of important equipment related to the technical infrastructure of the sites.

*Legal basis*

See Chapter 10 03.

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TITLE 10 — DIRECT RESEARCH

## CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                                      |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 10 02                               | HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES                                                        |     |                     |                   |                     |                   |                      |                      |                             |
| <b>10 02 01</b>                     | <b>Horizon 2020 — Customer-driven scientific and technical support to Union policies</b>                                                             |     |                     |                   |                     |                   |                      |                      |                             |
| <b>10 02 50</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>          | 1.1 | 27 183 960          | 26 500 000        | 27 183 960          | 25 500 000        | 26 888 293,80        | 23 692 436,99        | 89,41                       |
| 10 02 50 01                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)  | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 3 986 836,12         | 6 502 603,18         |                             |
| 10 02 50 02                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014) | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 67 074,26            | 354 535,62           |                             |
|                                     | <i>Article 10 02 50 — Subtotal</i>                                                                                                                   |     | p.m.                | p.m.              | p.m.                | p.m.              | 4 053 910,38         | 6 857 138,80         |                             |
| <b>10 02 51</b>                     | <b>Completion of the Seventh Framework Programme — Direct actions (2007 to 2013)</b>                                                                 | 1.1 | p.m.                | 250 000           | p.m.                | 600 000           | 502 433,25           | 1 620 495,15         | 648,20                      |
| <b>10 02 52</b>                     | <b>Completion of previous research framework programmes — Direct actions (prior to 2007)</b>                                                         | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 11 327,68            | 11 327,68            |                             |
| <b>10 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                        |     |                     |                   |                     |                   |                      |                      |                             |
| 10 02 77 01                         | Pilot project — Establishment of a European Commission public sector innovation lab                                                                  | 1.1 | p.m.                | 50 000            | p.m.                | 400 000           | 499 850,66           | 95 780,—             | 191,56                      |
| 10 02 77 02                         | Pilot project — Organisation of large-scale events — ‘Science meets Parliaments and Regions’                                                         | 1.1 | 1 000 000           | 500 000           |                     |                   |                      |                      |                             |
|                                     | <i>Article 10 02 77 — Subtotal</i>                                                                                                                   |     | 1 000 000           | 550 000           | p.m.                | 400 000           | 499 850,66           | 95 780,—             | 17,41                       |
|                                     | <b>Chapter 10 02 — Total</b>                                                                                                                         |     | <b>28 183 960</b>   | <b>27 300 000</b> | <b>27 183 960</b>   | <b>26 500 000</b> | <b>31 955 815,77</b> | <b>32 277 178,62</b> | <b>118,23</b>               |

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the framework programme for research and innovation which covers the 2014-2020 period.

Horizon 2020 shall play a central role in the delivery of the Europe 2020 strategy for smart, sustainable and inclusive growth ('Europe 2020 strategy') by providing a common strategic framework for the Union's funding of excellent research and innovation, thus acting as a vehicle for leveraging private and public investment, creating new job opportunities and ensuring Europe's long-term sustainability, growth, economic development, social inclusion and industrial competitiveness, as well as addressing societal challenges across the Union.

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision-making, of women in research and innovation.

This appropriation is intended to be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**10 02 01**      ***Horizon 2020 — Customer-driven scientific and technical support to Union policies****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 27 183 960          | 26 500 000 | 27 183 960          | 25 500 000 | 26 888 293,80 | 23 692 436,99 |

*Remarks*

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre in accordance with Part VI of the specific programme implementing Horizon 2020 'Non-nuclear direct actions of the Joint Research Centre (JRC)', in order to provide customer-driven scientific and technical support to Union policies. The JRC shall focus on:

- excellent science: the JRC will carry out research to enhance the scientific evidence base for policymaking and to examine emerging fields of science and technology, including through an exploratory research programme,

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**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 01** (cont'd)

- industrial leadership: the JRC will contribute to competitiveness in the Union through support to the standardisation process and standards with pre-normative research, development of reference materials and measurements, and harmonisation of methodologies in five focal areas (energy; transport; the flagship initiative 'Digital Agenda for Europe'; security and safety; consumer protection). It will carry out safety assessments of new technologies in areas such as energy and transport and health and consumer protection. It will contribute to facilitating the use, standardisation and validation of space technologies and data, in particular to tackle societal challenges,
- societal challenges: the JRC will carry out research on the following themes: health, demographic change and well-being; food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bio-economy; secure, clean and efficient energy; smart, green and integrated transport; climate action, environment, resource efficiency and raw materials; Europe in a changing world — inclusive, innovative and reflective societies; secure societies — protecting freedom and security of Europe and its citizens.

This appropriation covers specific expenditure relating to research and support activities, including the purchase of scientific and technical equipment, subcontracting of scientific and technical services, access to information, acquisition of consumables, etc. This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

It also covers expenditure of any type concerning research and scientific support tasks relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in support of the Union policies and on behalf of outside bodies.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(6) thereof.

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Article 5(4) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

10 02 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 3 986 836,12 | 6 502 603,18 |

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in the research and innovation programmes — Horizon 2020, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

10 02 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 67 074,26    | 354 535,62 |

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in projects in the field of non-nuclear research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

**10 02 51 Completion of the Seventh Framework Programme — Direct actions (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | 250 000  | p.m.                | 600 000  | 502 433,25   | 1 620 495,15 |

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**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 51** (cont'd)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/975/EC of 19 December 2006 concerning the Specific Programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

**10 02 52** **Completion of previous research framework programmes — Direct actions (prior to 2007)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 11 327,68    | 11 327,68 |

*Remarks*

This article is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 52** (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

**10 02 77** ***Pilot projects and preparatory actions***

10 02 77 01 Pilot project — Establishment of a European Commission public sector innovation lab

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 50 000   | p.m.                | 400 000  | 499 850,66   | 95 780,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES  
(cont'd)

10 02 77 (cont'd)

10 02 77 02 Pilot project — Organisation of large-scale events — 'Science meets Parliaments and Regions'

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

## Remarks

In order to promote a culture of evidence-informed policy-making, it is important that Union policy-makers have a regular exchange with scientists that allows them to better understand scientists' views on policy issues and vice versa. This is the aim of the initiative 'Science meets Parliaments and Regions', by means of which the European Parliament will organise large-scale events intended to bring together MEPs and national and regional parliamentarians and scientific experts from European scientific organisations. Under this pilot project, events will be organised in a majority of Member States to reach out to new stakeholders in order to establish an interface between policy-makers, scientists and citizens, involving businesses, innovators and the public. Science is now at the heart of political and societal debates in the Union. This initiative should be implemented in 2018 and 2019 in the Member States.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                          | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                     | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|---------------------|--------------------------------|
|                                     |                                                                                                                                                                                  |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments            |                                |
| 10 03                               | EURATOM<br>PROGRAMME —<br>DIRECT ACTIONS                                                                                                                                         |     |                     |                   |                     |                   |                      |                     |                                |
| <b>10 03 01</b>                     | <b><i>Euratom activities of<br/>direct research</i></b>                                                                                                                          | 1.1 | 10 881 000          | 10 000 000        | 10 773 000          | 10 500 000        | 10 978 497,32        | 9 122 110,75        | 91,22                          |
| <b>10 03 50</b>                     | <b><i>Appropriations<br/>accruing from<br/>contributions from<br/>(non-European<br/>Economic Area) third<br/>parties to research and<br/>technological devel-<br/>opment</i></b> |     |                     |                   |                     |                   |                      |                     |                                |
| 10 03 50 01                         | Appropriations accruing<br>from contributions from<br>(non-European<br>Economic Area) third<br>parties to research and<br>technological devel-<br>opment (2014 to 2020)          | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 384 864,99           | 263 009,76          |                                |
| 10 03 50 02                         | Appropriations accruing<br>from contributions from<br>(non-European<br>Economic Area) third<br>parties to research and<br>technological devel-<br>opment (prior to 2014)         | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                 |                                |
|                                     | <i>Article 10 03 50 —<br/>Subtotal</i>                                                                                                                                           |     | p.m.                | p.m.              | p.m.                | p.m.              | 384 864,99           | 263 009,76          |                                |
| <b>10 03 51</b>                     | <b><i>Completion of the<br/>Seventh Framework<br/>Programme — Euratom<br/>(2007 to 2013)</i></b>                                                                                 | 1.1 | p.m.                | 50 000            | p.m.                | 100 000           | 222 405,40           | 377 329,95          | 754,66                         |
| <b>10 03 52</b>                     | <b><i>Completion of previous<br/>Euratom framework<br/>programmes (prior to<br/>2007)</i></b>                                                                                    | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                 |                                |
|                                     | <b>Chapter 10 03 — Total</b>                                                                                                                                                     |     | <b>10 881 000</b>   | <b>10 050 000</b> | <b>10 773 000</b>   | <b>10 600 000</b> | <b>11 585 767,71</b> | <b>9 762 450,46</b> | <b>97,14</b>                   |

## Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the research and training programme on European Atomic Energy Community (2014-2018) (Euratom programme) which is an integral part of Horizon 2020, the framework programme for research and innovation. The Euratom programme will strengthen the research and innovation framework in the nuclear field and coordinate Member States' research efforts, thereby avoiding duplication, retaining critical mass in key areas, and ensuring public funding is used in an optimal way.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

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**CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS** (*cont'd*)

The general objective of the Euratom programme is to pursue nuclear research and training activities with an emphasis on continuous improvement of nuclear safety, security and radiation protection, in particular to potentially contribute to the long-term decarbonisation of the energy system in a safe, efficient and secure way. It will cover both RTD indirect actions on the fusion research and development, nuclear fission, safety and radiation protection research activities, as well as the JRC direct actions in nuclear security and safety. The JRC will provide independent customer-driven scientific and technological support for the implementation and monitoring of Community policies, in particular in the field of nuclear safety and security research and training. By achieving these objectives, the Euratom programme will reinforce outcomes under the three priorities of the Horizon 2020 framework programme: excellent science, industrial leadership and societal challenges. These objectives are clearly linked to those of the Europe 2020 and Energy 2020 strategies and the creation and operation of the European Research Area.

**10 03 01 Euratom activities of direct research**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 10 881 000          | 10 000 000 | 10 773 000          | 10 500 000 | 10 978 497,32 | 9 122 110,75 |

*Remarks*

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre to implement the research and training programme of the European Atomic Energy Community (2014-2018). Direct actions under the Euratom programme shall have the following specific objectives:

- to improve nuclear safety including: nuclear reactor and fuel safety, waste management, including final geological disposal as well as partitioning and transmutation; decommissioning, and emergency preparedness,
- to improve nuclear security including: nuclear safeguards, non-proliferation, combating illicit trafficking, and nuclear forensics,
- to increase excellence in the nuclear science base for standardisation,
- to foster knowledge management, education and training,
- to support the policy of the Union on nuclear safety and security.

This appropriation also addresses the activities necessary for implementing safeguards pursuant to Chapter 7 of Title II of the Euratom Treaty and the obligations arising from the Non-Proliferation Treaty and implementation of the Commission's programme to support the International Atomic Energy Agency (IAEA).

It covers specific expenditure relating to research and support activities, including the purchase of scientific and technical equipment, subcontracting of scientific and technical services, access to information, acquisition of consumables, etc. This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

This appropriation also covers expenditure of any type concerning research and scientific support tasks relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in support of Union policies and on behalf of outside bodies.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

**CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS** (cont'd)**10 03 01** (cont'd)*Legal basis*

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Article 5(4) thereof.

Council Regulation (Euratom) No 1314/2013 of 16 December 2013 on the Research and Training Programme of the European Atomic Energy Community (2014-2018) complementing the Horizon 2020 Framework Programme for Research and Innovation (OJ L 347, 20.12.2013, p. 948).

**10 03 50** ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

10 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 384 864,99   | 263 009,76 |

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in Euratom Programme, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

10 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS (*cont'd*)

10 03 50 (*cont'd*)

10 03 50 02 (*cont'd*)

Remarks

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in the Euratom Programme, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

10 03 51 **Completion of the Seventh Framework Programme — Euratom (2007 to 2013)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 50 000   | p.m.                | 100 000  | 222 405,40   | 377 329,95 |

Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the Specific Programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the Seventh Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 25).

**CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS** (*cont'd*)**10 03 51** (*cont'd*)

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012 to 2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 40).

**10 03 52** **Completion of previous Euratom framework programmes (prior to 2007)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

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## CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                        | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                |     | Commitments         | Payments    | Commitments         | Payments    | Commitments          | Payments             |                             |
| 10 04                               | OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE                                                  |     |                     |             |                     |             |                      |                      |                             |
| 10 04 02                            | <b>Provision of services and work on behalf of outside bodies</b>                              | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 3 032 086,90         | 2 853 442,87         |                             |
| 10 04 03                            | <b>Scientific and technical support for Union policies on a competitive basis</b>              | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 18 712 611,74        | 17 802 218,11        |                             |
| 10 04 04                            | <b>Operation of the high-flux reactor (HFR)</b>                                                |     |                     |             |                     |             |                      |                      |                             |
| 10 04 04 01                         | Operation of the high-flux reactor (HFR) — Supplementary HFR programmes                        | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—                  | 0,—                  |                             |
| 10 04 04 02                         | Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 394 746,78           | 537 333,30           |                             |
|                                     | Article 10 04 04 — Subtotal                                                                    |     | p.m.                | p.m.        | p.m.                | p.m.        | 394 746,78           | 537 333,30           |                             |
|                                     | <b>Chapter 10 04 — Total</b>                                                                   |     | <b>p.m.</b>         | <b>p.m.</b> | <b>p.m.</b>         | <b>p.m.</b> | <b>22 139 445,42</b> | <b>21 192 994,28</b> |                             |

**10 04 02 Provision of services and work on behalf of outside bodies**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 3 032 086,90 | 2 853 442,87 |

## Remarks

This article is intended to receive the appropriations required for expenditure specific to the various tasks performed on behalf of outside bodies. It includes research and supply of services under contract to third parties, such as industry, national or regional authorities, as well as contracts in the context of Member States' research programmes. This may cover the following:

- the provision of supplies, services and work carried out in general against payment, including certified reference materials,
- the operation of facilities for the benefit of Member States, including the irradiation for outside bodies in the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre,
- the performance of research activities and the provision of services additional to the specific research programmes, including the industrial clubs for which the partners from industry must pay an enrolment fee and annual subscriptions,

**CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE** (*cont'd*)**10 04 02** (*cont'd*)

— cooperation agreements with third parties.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

Additional appropriations will be provided for this article, in accordance with Article 21 and Article 183(2) of the Financial Regulation, to cover specific expenditure for each contract with an outside body to the amount of the revenue to be entered in Item 6 2 2 3 of the statement of revenue.

*Legal basis*

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council conclusions of 26 April 1994 on the role of the Joint Research Centre (JRC) (OJ C 126, 7.5.1994, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21 and 183 thereof.

**10 04 03** ***Scientific and technical support for Union policies on a competitive basis***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |               |
|---------------------|----------|---------------------|----------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 18 712 611,74 | 17 802 218,11 |

*Remarks*

This article is intended to receive the appropriations required for expenditure specific to the various scientific support tasks performed by the Joint Research Centre on a competitive basis in support of the Union policies, outside Horizon 2020. Additional appropriations will be provided for this article, in accordance with Article 21 and Article 183(2) of the Financial Regulation, to cover specific expenditure for each contract with services of the European institutions to the amount of the revenue to be entered in Item 6 2 2 6 of the statement of revenue.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Item 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council conclusions of 26 April 1994 on the role of the Joint Research Centre (JRC) (OJ C 126, 7.5.1994, p. 1).

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CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE (*cont'd*)

10 04 03 (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21 and 183 thereof.

10 04 04 **Operation of the high-flux reactor (HFR)**

10 04 04 01 Operation of the high-flux reactor (HFR) — Supplementary HFR programmes

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

Remarks

This appropriation is intended to cover part of expenses of any kind incurred during the implementation of the high-flux reactor (HFR) supplementary programme.

The scientific and technical objectives of the supplementary programme are the following:

- to provide a safe, steady and reliable neutron flux for experimental purposes,
- to perform research and development on: material and fuel science for the improvement of the safety of existing nuclear and future reactors (both fission and fusion); radioisotopes for medical applications, reactor ageing and life management, and on waste management,
- to act as a training facility hosting doctoral and post-doctoral fellows in performing their research activities through national or European programmes.

In accordance with Article 21 of the Financial Regulation, this item will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from the Member States concerned (currently the Netherlands and France) to be entered in Item 6 2 2 1 of the statement of revenue.

Legal basis

Council Decision (Euratom) 2017/956 of 29 May 2017 on the adoption of the 2016-2019 high flux reactor supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 144, 7.6.2017, p. 23).



## CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE (cont'd)

## 10 04 04 (cont'd)

## 10 04 04 02 Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 394 746,78   | 537 333,30 |

Remarks

This appropriation is intended to cover part of expenses of any kind incurred during the implementation of previous high-flux reactor (HFR) supplementary programmes and not covered by the payment appropriations available for previous financial years.

The scientific and technical objectives of the supplementary programme are the following:

- to provide a safe, steady and reliable neutron flux for experimental purposes,
- to perform research and development on material and fuel science for the improvement of the safety of existing nuclear and future reactors (both fission and fusion), radioisotopes for medical applications, reactor ageing and life management, and on waste management,
- to act as a training facility hosting doctoral and post-doctoral fellows in performing their research activities through national or European programmes.

In accordance with Article 21 of the Financial Regulation, this item will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from Member States concerned to be entered in Item 6 2 2 1 of the statement of revenue.

Legal basis

Council Decision 84/1/Euratom, EEC of 22 December 1983 adopting a research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community and for the European Economic Community (1984 to 1987) (OJ L 3, 5.1.1984, p. 21).

Council Decision 88/523/Euratom of 14 October 1988 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 286, 20.10.1988, p. 37).

Council Decision 92/275/Euratom of 29 April 1992 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1992-1995) (OJ L 141, 23.5.1992, p. 27).

Council Decision 96/419/Euratom of 27 June 1996 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1996-1999) (OJ L 172, 11.7.1996, p. 23).

Council Decision 2000/100/Euratom of 24 January 2000 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 29, 4.2.2000, p. 24).

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**CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE** (*cont'd*)

**10 04 04** (*cont'd*)

10 04 04 02 (*cont'd*)

Council Decision 2004/185/Euratom of 19 February 2004 concerning the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 57, 25.2.2004, p. 25).

Council Decision 2007/773/Euratom of 26 November 2007 on a one year extension of the supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 312, 30.11.2007, p. 29).

Council Decision 2009/410/Euratom of 25 May 2009 on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 132, 29.5.2009, p. 13).

Council Decision 2012/709/Euratom of 13 November 2012 on the adoption of the 2012-2015 High Flux Reactor supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 321, 20.11.2012, p. 59).

**CHAPTER 10 05 — HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                          | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                  |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 10 05                               | HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY |     |                     |                   |                     |                   |                      |                      |                             |
| <b>10 05 01</b>                     | <b><i>Decommissioning of Euratom obsolete nuclear facilities and final disposal of wastes</i></b>                                | 1.1 | 30 076 000          | 25 400 000        | 29 310 000          | 30 000 000        | 28 584 945,44        | 22 788 093,61        | 89,72                       |
|                                     | <b>Chapter 10 05 — Total</b>                                                                                                     |     | <b>30 076 000</b>   | <b>25 400 000</b> | <b>29 310 000</b>   | <b>30 000 000</b> | <b>28 584 945,44</b> | <b>22 788 093,61</b> | <b>89,72</b>                |

**10 05 01 Decommissioning of Euratom obsolete nuclear facilities and final disposal of wastes**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 30 076 000          | 25 400 000 | 29 310 000          | 30 000 000 | 28 584 945,44 | 22 788 093,61 |

*Remarks*

This appropriation is intended to finance an action programme to reduce and dispose of nuclear liabilities resulting from activities conducted by the Joint Research Centre since its establishment.

It is intended to cover the decommissioning of plants that have been shut down and waste from such plants.

This appropriation is also intended to finance operations undertaken by the Commission on the basis of the powers conferred on it by Article 8 of the Euratom Treaty in conformity with the provisions of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament and to the Council of 17 March 1999 on the historical liabilities resulting from nuclear activities carried out by the Joint Research Centre under the Euratom Treaty — Decommissioning of obsolete nuclear installations and waste management (COM(99) 114 final).

Communication from the Commission to the European Parliament and to the Council of 19 May 2004 concerning the decommissioning of nuclear installations and waste management — Nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (SEC(2004) 621 final).

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**CHAPTER 10 05 — HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY** (*cont'd*)

**10 05 01** (*cont'd*)

Communication from the Commission to the European Parliament and to the Council of 12 January 2009 concerning the decommissioning of nuclear installations and management of radioactive waste — Management of nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (COM(2008) 903 final).

Communication from the Commission to the Council and the European Parliament of 25 October 2013 concerning the decommissioning of nuclear installations and management of radioactive waste: management of nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (COM(2013) 734 final).

*TITLE 11*

**MARITIME AFFAIRS AND FISHERIES**

COMMISSION

TITLE 11 — MARITIME AFFAIRS AND FISHERIES

## TITLE 11

## MARITIME AFFAIRS AND FISHERIES

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                                                                            | Appropriations 2018  |                    | Appropriations 2017  |                    | Outturn 2016            |                       |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|--------------------|----------------------|--------------------|-------------------------|-----------------------|
|               |                                                                                                                                                    | Commitments          | Payments           | Commitments          | Payments           | Commitments             | Payments              |
| 11 01         | ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA                                                                     | 43 841 091           | 43 841 091         | 43 874 839           | 43 874 839         | 43 435 084,07           | 43 435 084,07         |
| 11 03         | COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS | 94 535 000           | 88 297 297         | 123 383 210          | 118 383 210        | 134 265 378,25          | 123 431 762,15        |
|               | <i>Reserves (40 02 41)</i>                                                                                                                         | 46 565 000           | 43 302 703         | 3 643 000            | 4 448 000          |                         |                       |
|               |                                                                                                                                                    | 141 100 000          | 131 600 000        | 127 026 210          | 122 831 210        | 134 265 378,25          | 123 431 762,15        |
| 11 06         | EUROPEAN MARITIME AND FISHERIES FUND (EMFF)                                                                                                        | 946 359 699          | 527 452 337        | 922 865 078          | 590 406 361        | 893 357 420,98          | 421 876 386,38        |
|               | <b>Title 11 — Total</b>                                                                                                                            | <b>1 084 735 790</b> | <b>659 590 725</b> | <b>1 090 123 127</b> | <b>752 664 410</b> | <b>1 071 057 883,30</b> | <b>588 743 232,60</b> |
|               | <i>Reserves (40 02 41)</i>                                                                                                                         | <b>46 565 000</b>    | <b>43 302 703</b>  | <b>3 643 000</b>     | <b>4 448 000</b>   |                         |                       |
|               |                                                                                                                                                    | <b>1 131 300 790</b> | <b>702 893 428</b> | <b>1 093 766 127</b> | <b>757 112 410</b> | <b>1 071 057 883,30</b> | <b>588 743 232,60</b> |

## TITLE 11

## MARITIME AFFAIRS AND FISHERIES

## CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                   | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 11 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA                                                                            |     |                     |                     |                      |              |
| <b>11 01 01</b>                     | <b><i>Expenditure relating to officials and temporary staff in the 'Maritime affairs and fisheries' policy area</i></b>                                   | 5.2 | 30 342 249          | 30 427 605          | 30 425 764,01        | 100,28       |
| <b>11 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Maritime affairs and fisheries' policy area</i></b>                          |     |                     |                     |                      |              |
| 11 01 02 01                         | External personnel                                                                                                                                        | 5.2 | 2 418 077           | 2 326 625           | 2 559 598,31         | 105,85       |
| 11 01 02 11                         | Other management expenditure                                                                                                                              | 5.2 | 2 572 342           | 2 572 607           | 2 407 903,—          | 93,61        |
|                                     | <i>Article 11 01 02 — Subtotal</i>                                                                                                                        |     | 4 990 419           | 4 899 232           | 4 967 501,31         | 99,54        |
| <b>11 01 03</b>                     | <b><i>Expenditure relating to information and communication technology equipment and services of the 'Maritime affairs and fisheries' policy area</i></b> | 5.2 | 1 961 423           | 1 900 002           | 2 339 633,12         | 119,28       |
| <b>11 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Maritime affairs and fisheries' policy area</i></b>                                       |     |                     |                     |                      |              |
| 11 01 04 01                         | Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance                                          | 2   | 3 500 000           | 3 700 000           | 3 128 987,63         | 89,40        |
|                                     | <i>Article 11 01 04 — Subtotal</i>                                                                                                                        |     | 3 500 000           | 3 700 000           | 3 128 987,63         | 89,40        |
| <b>11 01 06</b>                     | <b><i>Executive agencies</i></b>                                                                                                                          |     |                     |                     |                      |              |
| 11 01 06 01                         | Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)                                   | 2   | 3 047 000           | 2 948 000           | 2 573 198,—          | 84,45        |
|                                     | <i>Article 11 01 06 — Subtotal</i>                                                                                                                        |     | 3 047 000           | 2 948 000           | 2 573 198,—          | 84,45        |
|                                     | <b>Chapter 11 01 — Total</b>                                                                                                                              |     | <b>43 841 091</b>   | <b>43 874 839</b>   | <b>43 435 084,07</b> | <b>99,07</b> |

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

## CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA (cont'd)

**11 01 01 Expenditure relating to officials and temporary staff in the 'Maritime affairs and fisheries' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 30 342 249          | 30 427 605          | 30 425 764,01 |

**11 01 02 External personnel and other management expenditure in support of the 'Maritime affairs and fisheries' policy area**

## 11 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 418 077           | 2 326 625           | 2 559 598,31 |

## 11 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 572 342           | 2 572 607           | 2 407 903,—  |

**11 01 03 Expenditure relating to information and communication technology equipment and services of the 'Maritime affairs and fisheries' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 961 423           | 1 900 002           | 2 339 633,12 |

**11 01 04 Support expenditure for operations and programmes in the 'Maritime affairs and fisheries' policy area**

## 11 01 04 01 Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 500 000           | 3 700 000           | 3 128 987,63 |

Remarks

This appropriation is intended to cover the non-operational technical assistance for the European Maritime and Fisheries Fund (EMFF) provided for in Article 58 of Regulation (EU) No 1303/2013 and Article 92 of Regulation (EU) No 508/2014.



CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA (*cont'd*)11 01 04 (*cont'd*)11 01 04 01 (*cont'd*)

It may, in particular, be used to cover:

- expenditure incurred on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 850 000, including support expenditure (representation expenses, training, meetings, missions relating to the external personnel financed from this appropriation) required for the implementation of the EMFF and the completion of measures under its predecessor the European Fisheries Fund (EFF) relating to technical assistance,
- expenditure on external personnel (contract staff, local staff or seconded national experts) in Union delegations in third countries, as well as additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered in this item,
- expenditure on mission by third-country delegations attending meetings to negotiate fisheries agreements and joint committees,
- expenditure on studies, evaluation measures and audits, meetings of experts and the participation of stakeholders in ad hoc meetings, seminars and conferences pertaining to major topics, information and publications in the field of maritime affairs and fisheries,
- expenditure on information technology (IT) covering both equipment and services,
- participation of scientific experts in meetings of Regional Fisheries Management Organisations,
- any other expenditure on non-operational technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1379/2013 of the European Parliament and of the Council of 11 December 2013 on the common organisation of the markets in fishery and aquaculture products, amending Council Regulations (EC) No 1184/2006 and (EC) No 1224/2009 and repealing Council Regulation (EC) No 104/2000 (OJ L 354, 28.12.2013, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

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CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA (*cont'd*)**11 01 06** *Executive agencies*

11 01 06 01 Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 047 000           | 2 948 000           | 2 573 198,—  |

*Remarks*

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of the European Maritime and Fisheries Fund.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this Section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Decision C(2014) 4636 of 11 July 2014 amending Decision C(2013) 9414 of 23 December 2013 as regards the delegation of powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of the Union programme in the field of maritime policy and fisheries, comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

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**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                      | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                                                              |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 11 03                               | COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS                           |    |                     |                    |                     |                    |                       |                       |                             |
| 11 03 01                            | <i>Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters</i>                                               | 2  | 89 035 000          | 82 797 297         | 118 390 478         | 113 390 478        | 129 256 093,75        | 118 503 593,75        | 143,12                      |
|                                     | Reserves (40 02 41)                                                                                                                                                          |    | 46 565 000          | 43 302 703         | 3 643 000           | 4 448 000          |                       |                       |                             |
|                                     |                                                                                                                                                                              |    | 135 600 000         | 126 100 000        | 122 033 478         | 117 838 478        | 129 256 093,75        | 118 503 593,75        |                             |
| 11 03 02                            | <i>Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)</i> | 2  | 5 500 000           | 5 500 000          | 4 992 732           | 4 992 732          | 5 009 284,50          | 4 928 168,40          | 89,60                       |
|                                     | <b>Chapter 11 03 — Total</b>                                                                                                                                                 |    | <b>94 535 000</b>   | <b>88 297 297</b>  | <b>123 383 210</b>  | <b>118 383 210</b> | <b>134 265 378,25</b> | <b>123 431 762,15</b> | <b>139,79</b>               |
|                                     | <i>Reserves (40 02 41)</i>                                                                                                                                                   |    | <b>46 565 000</b>   | <b>43 302 703</b>  | <b>3 643 000</b>    | <b>4 448 000</b>   |                       |                       |                             |
|                                     |                                                                                                                                                                              |    | <b>141 100 000</b>  | <b>131 600 000</b> | <b>127 026 210</b>  | <b>122 831 210</b> | <b>134 265 378,25</b> | <b>123 431 762,15</b> |                             |

**11 03 01** *Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters*

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|---------------------|-------------|---------------------|-------------|----------------|----------------|
|                     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 11 03 01            | 89 035 000          | 82 797 297  | 118 390 478         | 113 390 478 | 129 256 093,75 | 118 503 593,75 |
| Reserves (40 02 41) | 46 565 000          | 43 302 703  | 3 643 000           | 4 448 000   |                |                |
| Total               | 135 600 000         | 126 100 000 | 122 033 478         | 117 838 478 | 129 256 093,75 | 118 503 593,75 |

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## CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS (cont'd)

11 03 01 (cont'd)

## Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this article.

## Legal basis

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Article 31 thereof.

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

| Status (as of October 2017)                                                                               | Country      | Legal basis             | Date             | Official Journal  | Duration                 |
|-----------------------------------------------------------------------------------------------------------|--------------|-------------------------|------------------|-------------------|--------------------------|
| Agreements and/or Protocols in force (and financial compensation due in 2018 entered in Article 11 03 01) | Cook Islands | Decision (EU) 2017/418  | 28 February 2017 | L 64, 10.3.2017   | 14.10.2016 to 13.10.2020 |
|                                                                                                           | Greenland    | Decision (EU) 2016/817  | 17 May 2016      | L 136, 25.5.2016  | 1.1.2016 to 31.12.2020   |
|                                                                                                           | Liberia      | Decision (EU) 2016/1062 | 24 May 2016      | L 177, 1.7.2016   | 9.12.2015 to 8.12.2020   |
|                                                                                                           | Madagascar   | Decision (EU) 2015/1893 | 5 October 2015   | L 277, 22.10.2015 | 1.1.2015 to 31.12.2018   |
|                                                                                                           | Mauritania   | Decision (EU) 2016/870  | 24 May 2016      | L 145, 02.06.2016 | 16.11.2015 to 15.11.2019 |
|                                                                                                           | Senegal      | Decision (EU) 2015/384  | 2 March 2015     | L 65, 10.3.2015   | 20.10.2014 to 19.10.2019 |
|                                                                                                           | Seychelles   | Decision 2014/306/EU    | 13 May 2014      | L 160, 29.5.2014  | 18.1.2014 to 17.1.2020   |

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**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS** (*cont'd*)

**11 03 01** (*cont'd*)

| Status (as of October 2017)                                                                                                                                            | Country               | Legal basis             | Date             | Official Journal  | Duration                                                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------|------------------|-------------------|-----------------------------------------------------------------------|
| Agreements and/or Protocols to be renegotiated, already under negotiation or with legislative procedure under way (financial compensation entered in Article 40 02 41) | Cape Verde            | Decision (EU) 2015/1894 | 5 October 2015   | L 277, 22.10.2015 | 23.12.2014 to 22.12.2018                                              |
|                                                                                                                                                                        | Côte d'Ivoire         | Decision 2014/102/EU    | 28 January 2014  | L 54, 22.2.2014   | 1.7.2013 to 30.6.2018                                                 |
|                                                                                                                                                                        | Gabon                 | Decision 2014/232/EU    | 14 April 2014    | L 125, 26.4.2014  | 24.7.2013 to 23.7.2016                                                |
|                                                                                                                                                                        | Guinea-Bissau         | Decision (EU) 2015/1987 | 5 October 2015   | L 290, 6.11.2015  | 24.11.2014 to 23.11.2017                                              |
|                                                                                                                                                                        | Mauritius             | Decision (EU) 2017/1960 | 23 October 2017  | L 279, 28.10.2017 | Provisional application 2017-2021 (exact date depending on signature) |
|                                                                                                                                                                        | Morocco               | Decision 2013/785/EU    | 16 December 2013 | L 349, 21.12.2013 | 15.7.2014 to 14.7.2018                                                |
|                                                                                                                                                                        | Mozambique            | Decision 2012/306/EU    | 12 June 2012     | L 153, 14.6.2012  | 1.2.2012 to 31.1.2015                                                 |
|                                                                                                                                                                        | São Tomé and Príncipe | Decision (EU) 2015/239  | 10 February 2015 | L 40, 16.2.2015   | 23.5.2014 to 22.5.2018                                                |

**11 03 02** *Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)*

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 5 500 000           | 5 500 000 | 4 992 732           | 4 992 732 | 5 009 284,50 | 4 928 168,40 |

*Remarks*

This appropriation is intended to cover the Union's active participation in international fisheries organisations responsible for the long-term conservation and sustainable exploitation of marine fisheries resources. It relates to compulsory contributions, amongst others, to the following regional fisheries management organisations and other international organisations:

- Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR),
- North Atlantic Salmon Conservation Organisation (NASCO),
- International Commission for the Conservation of Atlantic Tunas (ICCAT),
- North-East Atlantic Fisheries Commission (NEAFC),
- Northwest Atlantic Fisheries Organisation (NAFO),
- Indian Ocean Tuna Commission (IOTC),

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**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS** (*cont'd*)

**11 03 02** (*cont'd*)

- General Fisheries Commission for the Mediterranean (GFCM),
- South East Atlantic Fisheries Organisation (SEAFO),
- Southern Indian Ocean Fisheries Agreement (SIOFA),
- Western and Central Pacific Fisheries Commission (WCPFC, ex-MHLC),
- Agreement on the International Dolphin Conservation Programme (AIDCP),
- Inter-American Tropical Tuna Commission (IATTC),
- South Pacific Regional Fisheries Management Organisation (SPRFMO),
- Commission of the Convention for the Conservation of Southern Bluefin Tuna (CCSBT),
- Convention on the conservation of migratory species of wild animals (CMS).

This appropriation is also intended to cover the Union's financial contributions to the bodies set up by the United Nations Convention on the Law of the Sea, 1982, in particular the International Seabed Authority and the International Tribunal for the Law of the Sea.

*Legal basis*

Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1).

Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21).

Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26).

Council Decision 82/461/EEC of 24 June 1982 on the conclusion of the Convention on the conservation of migratory species of wild animals (OJ L 210, 19.7.1982, p. 10).

Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24).

Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33).

Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24).

**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS** (*cont'd*)**11 03 02** (*cont'd*)

Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

United Nations Convention on the Law of the Sea (OJ L 179, 23.6.1998, p. 3).

Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34).

Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39).

Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1).

Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26).

Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224, 16.8.2006, p. 22).

Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27).

Council Decision 2012/130/EU of 3 October 2011 on the approval, on behalf of the European Union, of the Convention on the Conservation and Management of High Seas Fishery Resources in the South Pacific Ocean (OJ L 67, 6.3.2012, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Articles 29 and 30 thereof.

Council Decision (EU) 2015/2437 of 14 December 2015 on the conclusion, on behalf of the European Union, of the Agreement in the form of an Exchange of Letters between the European Union and the Commission for the Conservation of Southern Bluefin Tuna (CCSBT) concerning the membership of the Union in the Extended Commission of the Convention for the Conservation of Southern Bluefin Tuna (OJ L 336, 23.12.2015, p. 27).

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## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                   | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|---------------|----------------|-------------------------|
|                                     |                                                                                                                                                                                                                                           |    | Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |                         |
| 11 06                               | EUROPEAN MARITIME<br>AND FISHERIES FUND<br>(EMFF)                                                                                                                                                                                         |    |                     |             |                     |             |               |                |                         |
| 11 06 09                            | <i>Specific measure aiming to<br/>promote the conversion of<br/>vessels and of fishermen<br/>that were, up to 1999,<br/>dependent on the fishing<br/>agreement with Morocco</i>                                                           | 2  | —                   | —           | —                   | —           | 0,—           | 0,—            |                         |
| 11 06 11                            | <i>Completion of European<br/>Fisheries Fund (EFF) —<br/>Operational technical<br/>assistance (2007 to 2013)</i>                                                                                                                          | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 0,—            |                         |
| 11 06 12                            | <i>Completion of European<br/>Fisheries Fund (EFF) —<br/>Convergence objective<br/>(2007 to 2013)</i>                                                                                                                                     | 2  | p.m.                | 10 000 000  | p.m.                | 5 000 000   | 0,—           | 127 420 098,85 | 1 274,20                |
| 11 06 13                            | <i>Completion of European<br/>Fisheries Fund (EFF) —<br/>Outside convergence<br/>objective (2007 to 2013)</i>                                                                                                                             | 2  | p.m.                | 5 000 000   | p.m.                | 5 000 000   | 0,—           | 33 406 429,87  | 668,13                  |
| 11 06 14                            | <i>Completion of intervention<br/>in fishery products (2007 to<br/>2013)</i>                                                                                                                                                              | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 0,—            |                         |
| 11 06 15                            | <i>Completion of the fisheries<br/>programme for the<br/>outermost regions (2007 to<br/>2013)</i>                                                                                                                                         | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 0,—            |                         |
| 11 06 51                            | <i>Completion of earlier<br/>programmes prior to 2000</i>                                                                                                                                                                                 | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 0,—            |                         |
| 11 06 52                            | <i>Completion of the Financial<br/>Instrument for Fisheries<br/>Guidance (FIFG) 2000 to<br/>2006</i>                                                                                                                                      | 2  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 0,—            |                         |
| 11 06 60                            | <i>Promoting sustainable and<br/>competitive fisheries and<br/>aquaculture, balanced and<br/>inclusive territorial devel-<br/>opment of fisheries areas<br/>and fostering the imple-<br/>mentation of the common<br/>fisheries policy</i> | 2  | 837 523 233         | 410 000 000 | 818 478 098         | 480 000 000 | 805 423 852,— | 186 988 426,87 | 45,61                   |
| 11 06 61                            | <i>Fostering the development<br/>and implementation of the<br/>Union's integrated maritime<br/>policy</i>                                                                                                                                 | 2  | 47 830 000          | 33 300 000  | 38 426 980          | 27 656 608  | 39 115 973,22 | 16 622 490,67  | 49,92                   |



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## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                  | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|---------------|---------------|-------------------------|
|                                     |                                                                                                                                                          |    | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                         |
| <b>11 06 62</b>                     | <b><i>Accompanying measures for the common fisheries policy and the integrated maritime policy</i></b>                                                   |    |                     |            |                     |            |               |               |                         |
| 11 06 62 01                         | Scientific advice and knowledge                                                                                                                          | 2  | 9 274 000           | 8 100 000  | 9 070 000           | 6 900 000  | 8 385 000,—   | 11 733 210,33 | 144,85                  |
| 11 06 62 02                         | Control and enforcement                                                                                                                                  | 2  | 5 500 000           | 16 900 000 | 15 510 000          | 25 000 000 | 5 203 247,25  | 14 665 308,75 | 86,78                   |
| 11 06 62 03                         | Voluntary contributions to international organisations                                                                                                   | 2  | 12 292 000          | 10 900 000 | 7 970 000           | 7 100 000  | 10 675 000,—  | 6 980 957,63  | 64,05                   |
| 11 06 62 04                         | Governance and communication                                                                                                                             | 2  | 5 600 000           | 5 800 000  | 7 419 000           | 5 430 000  | 5 077 458,87  | 3 798 028,96  | 65,48                   |
| 11 06 62 05                         | Market intelligence                                                                                                                                      | 2  | 4 815 000           | 4 000 000  | 4 370 000           | 4 568 000  | 4 570 030,08  | 4 045 242,08  | 101,13                  |
|                                     | <i>Article 11 06 62 — Subtotal</i>                                                                                                                       |    | 37 481 000          | 45 700 000 | 44 339 000          | 48 998 000 | 33 910 736,20 | 41 222 747,75 | 90,20                   |
| <b>11 06 63</b>                     | <b><i>European Maritime and Fisheries Fund (EMFF) — Technical assistance</i></b>                                                                         |    |                     |            |                     |            |               |               |                         |
| 11 06 63 01                         | European Maritime and Fisheries Fund (EMFF) — Operational technical assistance                                                                           | 2  | 3 980 000           | 4 000 000  | 3 850 000           | 4 081 954  | 4 149 373,66  | 4 113 525,40  | 102,84                  |
| 11 06 63 02                         | European Maritime and Fisheries Fund (EMFF) — Operational technical assistance managed by the Commission at the request of a Member State                | 2  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 0,—           |                         |
|                                     | <i>Article 11 06 63 — Subtotal</i>                                                                                                                       |    | 3 980 000           | 4 000 000  | 3 850 000           | 4 081 954  | 4 149 373,66  | 4 113 525,40  | 102,84                  |
| <b>11 06 64</b>                     | <b><i>European Fisheries Control Agency</i></b>                                                                                                          | 2  | 16 745 466          | 16 745 466 | 17 021 000          | 17 021 000 | 9 070 000,—   | 9 070 000,—   | 54,16                   |
| <b>11 06 77</b>                     | <b><i>Pilot projects and preparatory actions</i></b>                                                                                                     |    |                     |            |                     |            |               |               |                         |
| 11 06 77 02                         | Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders | 2  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 553 137,98    |                         |
| 11 06 77 06                         | Preparatory action — Guardians of the Sea                                                                                                                | 2  | p.m.                | p.m.       | p.m.                | 85 892     | 0,—           | 508 226,91    |                         |

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## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                                                                                                                                               | FF | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|----------|--------------|------------|-------------------------|
|                                     |                                                                                                                                                                                                                                                                                                                                                                       |    | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |                         |
| <b>11 06 77</b>                     | (cont'd)                                                                                                                                                                                                                                                                                                                                                              |    |                     |          |                     |          |              |            |                         |
| 11 06 77 07                         | Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management | 2  | p.m.                | 361 656  | p.m.                | 361 656  | 0,—          | 0,—        | 0                       |
| 11 06 77 08                         | Pilot project — Support measures for small-scale fishing                                                                                                                                                                                                                                                                                                              | 2  | p.m.                | 295 215  | p.m.                | 586 251  | 268 695,90   | 552 323,64 | 187,09                  |
| 11 06 77 09                         | Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials                                                                                                                                                                                | 2  | p.m.                | 350 000  | p.m.                | 500 000  | 0,—          | 248 978,44 | 71,14                   |
| 11 06 77 10                         | Pilot project — Assessment of voluntary claims relating to fisheries and aquaculture products in Europe                                                                                                                                                                                                                                                               | 2  | p.m.                | p.m.     | p.m.                | 125 000  | 248 790,—    | 0,—        |                         |
| 11 06 77 11                         | Pilot project — Modernising fisheries controls and optimising vessel monitoring through the use of innovative European systems                                                                                                                                                                                                                                        | 2  | p.m.                | p.m.     | p.m.                | 240 000  | 420 000,—    | 420 000,—  |                         |
| 11 06 77 12                         | Pilot project — Creation of a European coastguard function                                                                                                                                                                                                                                                                                                            | 2  | p.m.                | p.m.     | p.m.                | 375 000  | 750 000,—    | 750 000,—  |                         |
| 11 06 77 13                         | Preparatory action — Common curriculum for skippers of small commercial vessels                                                                                                                                                                                                                                                                                       | 2  | p.m.                | 300 000  | 750 000             | 375 000  |              |            |                         |
| 11 06 77 14                         | Pilot project — Ocean Literacy for All                                                                                                                                                                                                                                                                                                                                | 2  | 1 300 000           | 650 000  |                     |          |              |            |                         |
| 11 06 77 15                         | Pilot project — Manual of good practices for cruises                                                                                                                                                                                                                                                                                                                  | 2  | 700 000             | 350 000  |                     |          |              |            |                         |

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**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                           | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                   |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 11 06 77 16                         | Pilot project — Union platform for fishery and aquaculture producer organisations | 2  | 500 000             | 250 000            |                     |                    |                       |                       |                         |
| 11 06 77 17                         | Pilot project — Control scheme for recreational catches of sea bass               | 2  | 300 000             | 150 000            |                     |                    |                       |                       |                         |
|                                     | <i>Article 11 06 77 — Subtotal</i>                                                |    | 2 800 000           | 2 706 871          | 750 000             | 2 648 799          | 1 687 485,90          | 3 032 666,97          | 112,04                  |
|                                     | <b>Chapter 11 06 — Total</b>                                                      |    | <b>946 359 699</b>  | <b>527 452 337</b> | <b>922 865 078</b>  | <b>590 406 361</b> | <b>893 357 420,98</b> | <b>421 876 386,38</b> | <b>79,98</b>            |

*Remarks*

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Article 6 5 2 of the statement of revenue.

Articles 97, 98 and 99 of Regulation (EC) No 1198/2006 provide for financial corrections, any revenue from which is entered in Article 6 5 3 of the statement of revenue.

Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 provide for financial corrections, any revenue from which is entered in Article 6 5 4 of the statement of revenue.

That revenue may give rise to the provision of additional appropriations, in accordance with Article 21 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Article 21(3) and (4), Article 80 and Article 177 thereof.

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

11 06 09

***Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco***

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| —                   | —        | —                   | —        | 0,—          | 0,—      |

*Remarks*

This article is intended to cover the specific measure aimed at promoting the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco.

Following the sinking of the *Prestige*, EUR 30 000 000 was allocated to specific measures to compensate the fishermen and the shellfish culture and aquaculture industries affected by the oil spills.

*Legal basis*

Council Regulation (EC) No 2561/2001 of 17 December 2001 aiming to promote the conversion of fishing vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco (OJ L 344, 28.12.2001, p. 17).

Council Regulation (EC) No 2372/2002 of 20 December 2002 instituting specific measures to compensate the Spanish fisheries, shellfish industry and aquaculture, affected by the oil spills from the *Prestige* (OJ L 358, 31.12.2002, p. 81).

## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

11 06 11 **Completion of European Fisheries Fund (EFF) — Operational technical assistance (2007 to 2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled from earlier programming periods relating to EFF technical assistance measures as provided for in Article 46 of Regulation (EC) No 1198/2006. The technical assistance measures include studies, evaluations, measures aimed at the partners, measures to disseminate information, installation, operation and interconnection of computerised systems for the management, monitoring, audit, inspection and evaluation, improvements in evaluation methods and the exchange of information on practices in this field and the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EFF.

This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- support for networking and exchange of best practices.

*Legal basis*

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

11 06 12 **Completion of European Fisheries Fund (EFF) — Convergence objective (2007 to 2013)**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |           | Outturn 2016 |                |
|---------------------|------------|---------------------|-----------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments  | Commitments  | Payments       |
| p.m.                | 10 000 000 | p.m.                | 5 000 000 | 0,—          | 127 420 098,85 |

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 12 (*cont'd*)*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled relating to the operational programmes of the European Fisheries Fund (EFF) convergence objective for the 2007-2013 programming period.

Actions financed under this article should take into account the need to maintain a stable and enduring balance between the capacity of the fishing fleets and the resources available, and the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of the commitments remaining to be settled from earlier programming periods relating to the financing of actions to improve fishing gear selectivity.

*Legal basis*

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

11 06 13 **Completion of European Fisheries Fund (EFF) — Outside convergence objective (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 5 000 000 | p.m.                | 5 000 000 | 0,—          | 33 406 429,87 |

*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled relating to EFF measures without convergence objectives as regards commitments relating to the 2007-2013 programming period.

Actions financed under this article should take into account the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of the commitments remaining to be settled from previous programming periods relating to the financing of actions to improve fishing gear selectivity.

*Legal basis*

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

**11 06 14** *Completion of intervention in fishery products (2007 to 2013)**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 104/2000 of 17 December 1999 on the common organisation of the markets in fishery and aquaculture products (OJ L 17, 21.1.2000, p. 22).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

**11 06 15** *Completion of the fisheries programme for the outermost regions (2007 to 2013)**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled from earlier programming periods relating to the scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the Azores, Madeira, the Canary Islands and the French departments of Guiana and Réunion as a result of remoteness of those regions.

*Legal basis*

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 791/2007 of 21 May 2007 introducing a scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the outermost regions the Azores, Madeira, the Canary Islands, French Guiana and Réunion (OJ L 176, 6.7.2007, p. 1).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 51** *Completion of earlier programmes prior to 2000**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing, by the Financial Instrument for Fisheries Guidance (FIFG), of commitments remaining to be settled from programming periods prior to 2000.

This article is also intended to cover the settlement of commitments entered into, in earlier programming periods, by the FIFG in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the Regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other abovementioned regulations, which are not covered by the priority objectives of the Structural Funds. This appropriation will also be used if necessary to cover financing due under the FIFG for measures for which the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

*Legal basis*

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 4028/86 of 18 December 1986 on Community measures to improve and adapt structures in the fisheries and aquaculture sector (OJ L 376, 31.12.1986, p. 7).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 3760/92 of 20 December 1992 establishing a Community system for fisheries and aquaculture (OJ L 389, 31.12.1992, p. 1).

Council Regulation (EEC) No 2847/93 of 12 October 1993 establishing a control system applicable to the common fisheries policy (OJ L 261, 20.10.1993, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).



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**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)**

**11 06 51** (cont'd)

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

*Reference acts*

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (Pesca) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (Regis II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(97) 642 final).

**11 06 52** **Completion of the Financial Instrument for Fisheries Guidance (FIFG) 2000 to 2006**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from the 2000-2006 programming period.

It is also intended to cover the financing by the FIFG of commitments remaining to be settled in respect of the special programme for peace and reconciliation from the 2000-2006 programming period and former commitments remaining to be settled from the 2000-2006 programming period, in respect of areas falling outside the scope of Objective 1.

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 52 (*cont'd*)*Legal basis*

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 2(4) thereof.

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular point (b) of paragraph 44 thereof.

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

**11 06 60** *Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the common fisheries policy*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 837 523 233         | 410 000 000 | 818 478 098         | 480 000 000 | 805 423 852,— | 186 988 426,87 |

*Remarks*

This appropriation is intended to cover expenditure relating to the operational programmes of the EMFF with a view to increasing employment and economic, social and territorial cohesion, fostering innovative, competitive and knowledge-based fisheries and aquaculture, supporting small-scale fishing, taking account of the specific characteristics of each Member State, promoting sustainable and resource-efficient fisheries and aquaculture, as well as fostering the implementation of the common fisheries policy.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)****11 06 60** (cont'd)

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular points (a), (c) and (d) of Article 5 thereof.

**11 06 61** *Fostering the development and implementation of the Union's integrated maritime policy*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 47 830 000          | 33 300 000 | 38 426 980          | 27 656 608 | 39 115 973,22 | 16 622 490,67 |

*Remarks*

This appropriation is intended to cover expenditure arising from the programme to support the further development of the integrated maritime policy including inter alia:

- the European Marine Observation and Data Network,
- projects including test projects and cooperation projects,
- implementation of the road map for the Common Information Sharing Environment,
- pilot studies on cross-border maritime spatial planning,
- information technology applications such as the maritime forum and the European Atlas of the Seas,
- events and conferences,
- development and follow-up of sea-basin strategies,
- initiatives to co-finance, purchase and maintain marine observation systems and technical tools for designing, setting up and running of an operational European Marine Observation and Data Network system which aims to facilitate the collection, acquisition, assembling, processing, quality control, re-use and distribution of marine data and knowledge, through cooperation between the Member States and/or international institutions involved,
- secretarial or support services,
- studies, to be carried out at a European and sea-basin scale, in order to identify barriers to growth, assess new opportunities and determine human impact on the marine environment.

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 61 (*cont'd*)*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular point (b) of Article 5 thereof.

11 06 62 ***Accompanying measures for the common fisheries policy and the integrated maritime policy***

## 11 06 62 01 Scientific advice and knowledge

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| 9 274 000           | 8 100 000 | 9 070 000           | 6 900 000 | 8 385 000,—  | 11 733 210,33 |

*Remarks*

This appropriation is intended to cover expenditure relating to:

- the Union financial contribution consisting in payments related to the expenditure incurred by Member States for the collection, management and use of data in the framework of multiannual national programmes started in 2013 or earlier,
- the financing of studies and pilot projects carried out by the Commission, where appropriate in cooperation with the Member States, necessary for the implementation and development of the common fisheries policy, including on alternative types of sustainable fishing techniques,
- the preparation and provision of scientific opinions and advice by scientific bodies, including international advisory bodies in charge of stock assessments, by independent experts and by research institutions,
- the costs incurred by the Commission for services related to collection, management and use of data, to the organisation and management of fisheries expert meetings and the management of annual work programmes related to fisheries scientific and technical expertise, to the processing of data calls and datasets, to the preparatory work aiming at deliver scientific opinions and advice,
- the cooperation activities between the Member States in the field of data collection, including the setting-up and running of regionalised databases for the storage, management and use of data which will benefit regional cooperation and improve data collection and management activities as well as the scientific expertise in support of fisheries management,

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- administrative arrangements with the Joint Research Centre, or any other Union consultative body, to provide the secretariat for the Scientific, Technical and Economic Committee for Fisheries (STECF), to conduct the pre-analysis of the data and to prepare the data to evaluate the situation of the fisheries resources,
- indemnities paid to the members of the STECF, and/or the external experts invited by the STECF and request for services in accordance with Decision 2005/629/EC (or with the Decision which will succeed to and replace that Decision).

*Legal basis*

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

Council Regulation (EC) No 199/2008 of 25 February 2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 60, 5.3.2008, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular point (a) of Article 84 thereof.

*Reference acts*

Commission Decision 2005/629/EC of 26 August 2005 establishing a Scientific, Technical and Economic Committee for Fisheries (OJ L 225, 31.8.2005, p. 18).

Commission Regulation (EC) No 665/2008 of 14 July 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 199/2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 186, 15.7.2008, p. 3).

Commission Regulation (EC) No 1078/2008 of 3 November 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States for the collection and management of the basic fisheries data (OJ L 295, 4.11.2008, p. 24).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 62 (*cont'd*)

## 11 06 62 02 Control and enforcement

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 5 500 000           | 16 900 000 | 15 510 000          | 25 000 000 | 5 203 247,25 | 14 665 308,75 |

*Remarks*

This appropriation is intended to cover the payments for actions of the 2007 to 2013 period and relating to the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the common fisheries policy for:

- investments relating to control activities carried out by administrative bodies or by the private sector including implementation of new control technologies such as electronic recording systems (ERS), vessel monitoring systems (VMS), automatic identification systems (AIS) connected with vessels detection systems (VDS) and the purchase and modernisation of control means,
- training and exchange programmes for civil servants responsible for monitoring control and surveillance tasks in the fisheries area,
- implementation of pilot inspection and observer schemes,
- cost/benefit analysis, assessment of expenditure and audits incurred by competent authorities in carrying out monitoring, control and surveillance,
- initiatives, including seminars and media tools, aimed at enhancing awareness both among fishermen and other players such as inspectors, public prosecutors and judges, and among the general public of the need to fight irresponsible and illegal fishing and on the implementation of the rules of the common fisheries policy,
- implementation of systems and procedures to enable traceability and instruments to control fleet capacity through the monitoring of engine power,
- pilot projects such as CCTV (closed circuit television).

This appropriation is also intended to cover the control actions under direct management included in the EMFF:

- joint purchase and/or chartering by several Member States belonging to the same geographical area, of patrol vessels, aircrafts and helicopters, provided they are used at least 60 % of the time for fisheries control,
- assessment and development of new control technologies, as well as processes for the exchange of data,
- operational expenditure related to control and evaluation by the Commission of the implementation of the common fisheries policy, in particular verification, inspection and audit missions, equipment and training for Commission officials, the organisation of or participation to meetings, including the exchange between Member States of information and best practices, studies, IT services and suppliers, and the charter or purchase by the Commission of inspection means as specified in Title X of Regulation (EC) No 1224/2009,

**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)****11 06 62** (cont'd)

11 06 62 02 (cont'd)

- support to the implementation of transnational projects aimed at developing and testing the inter-State control, inspection and enforcement systems provided for under Article 36 of Regulation (EU) No 1380/2013 and laid down in Regulation (EC) No 1224/2009,
- international training programmes for personnel responsible for monitoring, control and surveillance of fisheries activities,
- initiatives, including seminars and media tools, for standardising the interpretation of regulations and associated controls in the Union.

*Legal basis*

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 86 thereof.

*Reference acts*

Commission Regulation (EC) No 391/2007 of 11 April 2007 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy (OJ L 97, 12.4.2007, p. 30).

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

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## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

## 11 06 62 (cont'd)

## 11 06 62 03 Voluntary contributions to international organisations

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|------------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments  | Commitments  | Payments     |
| 12 292 000          | 10 900 000 | 7 970 000           | 7 100 000 | 10 675 000,— | 6 980 957,63 |

## Remarks

This appropriation is intended to cover the Union's voluntary contributions to international organisations active in the field of fisheries and the law of the sea. It may in particular finance:

- preparatory work on new sustainable fisheries agreements,
- contributions and registration fees for meetings of international fisheries organisations in which the Union has observer status (Article 217 of the Treaty on the Functioning of the European Union), i.e. the International Whaling Commission (IWC), and the Organisation for Economic Cooperation and Development (OECD),
- support for the follow-up and implementation of some regional projects, in particular by contributing to specific joint international control and inspection activities. This appropriation should also cover surveillance programmes to be negotiated in West Africa and the Western Pacific,
- financial contributions to preparations for new international fisheries organisations which are of interest to the Union,
- financial contributions to scientific work carried out by international fisheries organisations which is of special interest to the Union,
- financial contributions to any activity (including working, informal or extraordinary meetings of contracting parties) which upholds the interests of the Union in international organisations and strengthens cooperation with its partners in these organisations. In this connection, when the presence of representatives of third countries in negotiations and meetings within international forums and organisations becomes necessary for the Union interests, the EMFF shall bear the costs of their participation,
- grants to regional bodies in which coastal states are involved in the subregion concerned.

## Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided in point (d) of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).



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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)**

**11 06 62** (cont'd)

11 06 62 03 (cont'd)

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 88 thereof.

11 06 62 04 Governance and communication

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 5 600 000           | 5 800 000 | 7 419 000           | 5 430 000 | 5 077 458,87 | 3 798 028,96 |

*Remarks*

This appropriation is intended to finance the following activities for closer dialogue with the fishing industry and those affected by the common fisheries policy and the integrated maritime policy:

- grants to Advisory Councils (following the adoption of Regulation (EU) No 1380/2013, the existing Regional Advisory Councils (RACs) were transformed into Advisory Councils (ACs) and new ones are being created) to cover operational costs as well as interpretation and translation costs of AC meetings,
- the implementation of measures to provide documentation about and explain the common fisheries policy aimed at the fishing industry and those affected by the common fisheries policy, as well as the integrated maritime policy.

The Commission will continue supporting the functioning of the ACs with a financial contribution. It will participate in meetings when appropriate and analyse the recommendations issued by the ACs which may provide an input when drafting legislation. Through the consultation of stakeholders in the ACs, the involvement of people working in fisheries and other interest groups in the common fisheries policy process will be strengthened, so that greater account of specific regional characteristics is taken.

Part of this appropriation is earmarked also for information and communication activities linked to the common fisheries policy and the integrated maritime policy as well as for communication activities addressed to the interested parties. Efforts will continue to be made to inform the stakeholders and the specialised media in the Member States as well as in the candidate countries about the common fisheries policy and the integrated maritime policy.

Any revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in point (d) of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 62 (*cont'd*)11 06 62 04 (*cont'd*)

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Articles 89 and 91 thereof.

Commission Delegated Regulation (EU) 2015/242 of 9 October 2014 laying down detailed rules on the functioning of the Advisory Councils under the Common Fisheries Policy (OJ L 41, 17.2.2015, p. 1).

11 06 62 05 Market intelligence

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 4 815 000           | 4 000 000 | 4 370 000           | 4 568 000 | 4 570 030,08 | 4 045 242,08 |

*Remarks*

This appropriation is intended to cover the costs for the development and dissemination of market intelligence for fishery and aquaculture products. Specific actions include, inter alia:

- the full operation of the Market Observatory,
- gathering, analysing and disseminating economic knowledge and understanding of the Union market for fishery and aquaculture products along the supply chain, taking into account the international context,
- regular price surveys along the Union supply chain for fishery and aquaculture products and the conduct of analyses on market trends,
- provision of ad-hoc market studies and a methodology for price formation surveys,
- facilitating access to available data on fishery and aquaculture products collected pursuant to the Union legislation,
- making market information available at adequate level to stakeholders.

*Legal basis*

Regulation (EU) No 1379/2013 of the European Parliament and of the Council of 11 December 2013 on the common organisation of the markets in fishery and aquaculture products, amending Council Regulations (EC) No 1184/2006 and (EC) No 1224/2009 and repealing Council Regulation (EC) No 104/2000 (OJ L 354, 28.12.2013, p. 1).

**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)****11 06 62** (cont'd)

11 06 62 05 (cont'd)

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulation (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

**11 06 63** *European Maritime and Fisheries Fund (EMFF) — Technical assistance*

11 06 63 01 European Maritime and Fisheries Fund (EMFF) — Operational technical assistance

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 3 980 000           | 4 000 000 | 3 850 000           | 4 081 954 | 4 149 373,66 | 4 113 525,40 |

*Remarks*

This appropriation is intended to cover EMFF technical assistance measures as provided for in Article 92 of Regulation (EU) No 508/2014.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EMFF.

It may, in particular, be used to cover:

- studies, evaluations and expert reports,
- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the installation, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- actions to improve evaluation methods and the exchange of information on evaluation practices,
- actions related to audit,
- the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 63 (*cont'd*)11 06 63 01 (*cont'd*)*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

11 06 63 02 European Maritime and Fisheries Fund (EMFF) — Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)****11 06 63** (cont'd)

11 06 63 02 (cont'd)

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

**11 06 64** **European Fisheries Control Agency***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |             |
|---------------------|------------|---------------------|------------|--------------|-------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments    |
| 16 745 466          | 16 745 466 | 17 021 000          | 17 021 000 | 9 070 000,—  | 9 070 000,— |

*Remarks*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue. The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 16 813 000. An amount of EUR 67 534, coming from the recovery of surplus, is added to the amount of EUR 16 745 466 entered in the budget.

*Legal basis*

Council Regulation (EC) No 768/2005 of 26 April 2005 establishing a Community Fisheries Control Agency and amending Regulation (EEC) No 2847/93 establishing a control system applicable to the common fisheries policy (OJ L 128, 21.5.2005, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 64 (*cont'd*)

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Regulation (EU) 2016/1626 of the European Parliament and of the Council of 14 September 2016 amending Council Regulation (EC) No 768/2005 establishing a Community Fisheries Control Agency (OJ L 251, 16.9.2016, p. 80).

*Reference acts*

Commission Decision 2009/988/EU of 18 December 2009 designating the Community Fisheries Control Agency as the body to carry out certain tasks under Council Regulation (EC) No 1005/2008 (OJ L 338, 19.12.2009, p. 104).

11 06 77 **Pilot projects and preparatory actions**

11 06 77 02 Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 553 137,98 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 06 Preparatory action — Guardians of the Sea

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 85 892   | 0,—          | 508 226,91 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

11 06 77 (cont'd)

11 06 77 06 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 07 Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 361 656  | p.m.                | 361 656  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 08 Pilot project — Support measures for small-scale fishing

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 295 215  | p.m.                | 586 251  | 268 695,90   | 552 323,64 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 77 (*cont'd*)11 06 77 08 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 09 Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 350 000  | p.m.                | 500 000  | 0,—          | 248 978,44 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 10 Pilot project — Assessment of voluntary claims relating to fisheries and aquaculture products in Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 125 000  | 248 790,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.



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## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

11 06 77 (cont'd)

11 06 77 10 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 11 Pilot project — Modernising fisheries controls and optimising vessel monitoring through the use of innovative European systems

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 240 000  | 420 000,—    | 420 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 12 Pilot project — Creation of a European coastguard function

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 375 000  | 750 000,—    | 750 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 77 (*cont'd*)11 06 77 12 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 13 Preparatory action — Common curriculum for skippers of small commercial vessels

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | 750 000             | 375 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will extend the overall conclusions of the TRECNET Core Curriculum for Skippers of Small Commercial Vessels (TCC-SCV) project by deploying a common curriculum in all Member States.

The initial project, which was concluded on 16 June 2016, involved only nine Member States and this preparatory action will include other interested Member States. Currently, professional qualifications of individual Member States within the small commercial vessels sector are not mutually recognised by Member States. This affects the work-related flexibility and mobility of skippers, in that they can work only in the country where they obtained their qualifications. The target group affected comprises charter boat skippers, marine service staff who move boats professionally in or between ports, delivery skippers and dive boat skippers who ferry their customers to and from diving spots.

Provision of a core curriculum for skippers of SCV and its implementation at Union level should be the aim of this action, with a view to being covered by the directive on mutual recognition of professional qualifications. This preparatory action will complement and be in line with the New Skills Agenda for Europe within one of the six sectors to be piloted in 2017 regarding the maritime sector.

Mutual recognition at Union level will have a direct and indirect impact on national economies. First of all, it will allow Member States that do not have a curriculum on this specific topic to design and implement new SCV qualifications. Secondly, the Member States that already have such a curriculum can adapt and redesign their SCV CV.

Moreover, this will attract new persons and create new jobs and work opportunities, as some of the restrictions on mobility are diminished. This nautical tourism area is going to create new opportunities also for coastal and insular regions. The impact of this will extend beyond the direct effect on the small commercial vessel sector, as this sector is also an entry point for the growing superyacht industry and for merchant seafarers who are in need of qualified staff.

## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

11 06 77 (cont'd)

11 06 77 13 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 14 Pilot project —Ocean Literacy for All

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 300 000           | 650 000  |                     |          |              |          |

*Remarks*

The Joint Communication of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy of 10 November 2016 on International Ocean Governance (JOIN(2016) 49) stresses that using the oceans wisely and tackling their problems is a task for everyone on earth. The basis for fulfilling that task is ocean literacy.

This pilot project will:

- (1) enhance civil engagement and raise awareness of maritime issues among European citizens;
- (2) transform citizens and economic actors into informed ocean advocates, able to understand scientific and technical marine and maritime information and become actors of change towards a more sustainable society;
- (3) promote the development of digital services and innovative communication tools to facilitate the dissemination of marine knowledge, data and materials to citizens and stakeholders;
- (4) establish a one-stop-shop for all actors interested in ocean literacy; and
- (5) coordinate the dissemination of knowledge and information.

The pilot project will create an ocean literacy hub to coordinate activities in Europe and neighbouring countries. It will facilitate access to the services and products available and help to coordinate the efforts of partners already active in ocean literacy in Europe.

The pilot project will encourage science and knowledge centres, maritime clusters and innovation hubs, museums and aquariums to work in a coordinated manner to improve citizens' abilities to participate in science initiatives, the maritime economy and education. It will also encourage young people to pursue blue-related careers. The pilot project will facilitate interaction between marine science institutions and decision-makers in order to enhance the science-policy interface.

COMMISSION

TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 77 (*cont'd*)

11 06 77 15 Pilot project — Manual of good practices for cruises

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 700 000             | 350 000  |                     |          |              |          |

*Remarks*

Europe is a key market for the global cruise industry. In 2015 the global cruise industry carried 25,3 million passengers, representing 956 597 jobs, with total output of USD 117 billion worldwide. The demand for cruises increased 62 % in the 10 years from 2005 to 2015.

All of this translates into economic value and jobs for Europe. However, the coastal and maritime regions need to create conditions to better integrate the advantages of cruise tourism. Accordingly, under this pilot project a manual of good practices for cruises will be produced as part of the ongoing pan-European dialogue between cruise operators, ports and coastal tourism stakeholders. The focus must be on environmental impact and on reception of cruises, but also on the social impact and on how reception facilities in ports and regions should be adapted. The manual will cover the following:

- environmental aspect and mitigation policies to reduce externalities,
- the social and economic dimension of cruises for regions,
- the need for coordination between cruise ports and surrounding cities,
- best practices already in place.

The manual will make it possible to reduce externalities from cruise operations and trigger more economic and social benefits for cities and citizens, e.g. exchange of best practices on managing peak-season congestion. The manual will also help improve mutual understanding between cruise ports and city authorities. It must also cover the possible environmental impact of cruise services. In addition, so as to avoid duplication, it will help raise awareness of best practices already in place in various cruise ports.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

## 11 06 77 (cont'd)

11 06 77 16 Pilot project — Union platform for fishery and aquaculture producer organisations

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |

*Remarks*

This pilot project will establish an online platform to allow producer organisations to find information about each another, exchange practices and discuss possible solutions to shared problems, including preparation and implementation of production and marketing plans. The platform will also offer specific services with a view to increasing transnational cooperation among producer organisations, including the possibility of organising reciprocal short-term study visits for producer organisations or seminars for producer organisations from different Member States on business management and other market-related subjects. The pilot project will be carried out under a service contract (open procurement procedure including, inter alia, consulting services, analysis and IT development, travel costs, and translations fees).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 17 Pilot project — Control scheme for recreational catches of sea bass

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 300 000             | 150 000  |                     |          |              |          |

*Remarks*

This pilot project will test a control scheme for sea bass catches by recreational fishermen in order to provide the Union legislative authority with more options for the management and control of recreational fisheries, having regard also to the future revision of Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1) (Control Regulation) and the drafting of multiannual management plans. This pilot project will develop innovative tools to ensure efficient and harmonised control by Member States of recreational catches of sea bass in the Atlantic. In particular, it will test electronic reporting tools that can be used via a smartphone application, for example, and examine them in the broader context of current fisheries management and control arrangements involving, for instance, fishing licenses, tracking devices, and monitoring and inspection activities.

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)**

**11 06 77** (cont'd)

11 06 77 17 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 12*

**FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION**

COMMISSION

TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

**TITLE 12****FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                                                                          | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      |
|------------------|----------------------------------------------------------------------------------------------------------------------------------|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|
|                  |                                                                                                                                  | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |
| 12 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE<br>'FINANCIAL STABILITY,<br>FINANCIAL SERVICES AND<br>CAPITAL MARKETS UNION'<br>POLICY AREA | 44 163 688          | 44 163 688        | 42 467 485          | 42 467 485        | 40 307 316,84        | 40 307 316,84        |
| 12 02            | FINANCIAL SERVICES AND<br>CAPITAL MARKETS                                                                                        | 50 399 766          | 50 204 016        | 43 445 802          | 45 957 802        | 46 529 181,72        | 44 248 795,47        |
|                  | <i>Reserves (40 02 41)</i>                                                                                                       |                     |                   | 4 856 000           | 3 267 000         |                      |                      |
|                  |                                                                                                                                  | 50 399 766          | 50 204 016        | 48 301 802          | 49 224 802        | 46 529 181,72        | 44 248 795,47        |
|                  | <b>Title 12 — Total</b>                                                                                                          | <b>94 563 454</b>   | <b>94 367 704</b> | <b>85 913 287</b>   | <b>88 425 287</b> | <b>86 836 498,56</b> | <b>84 556 112,31</b> |
|                  | <i>Reserves (40 02 41)</i>                                                                                                       |                     |                   | 4 856 000           | 3 267 000         |                      |                      |
|                  |                                                                                                                                  | <b>94 563 454</b>   | <b>94 367 704</b> | <b>90 769 287</b>   | <b>91 692 287</b> | <b>86 836 498,56</b> | <b>84 556 112,31</b> |



## TITLE 12

## FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 12 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA                                                                    |     |                     |                     |                      |              |
| 12 01 01                            | <i>Expenditure related to officials and temporary staff in the 'Financial stability, financial services and capital markets union' policy area</i>                                   | 5.2 | 36 199 825          | 34 431 236          | 32 196 042,02        | 88,94        |
| 12 01 02                            | <i>External personnel and other management expenditure in support of the 'Financial stability, financial services and capital markets union' policy area</i>                         |     |                     |                     |                      |              |
| 12 01 02 01                         | External personnel                                                                                                                                                                   | 5.2 | 3 293 006           | 3 501 192           | 3 172 373,68         | 96,34        |
| 12 01 02 11                         | Other management expenditure                                                                                                                                                         | 5.2 | 2 330 781           | 2 385 054           | 2 463 094,65         | 105,68       |
|                                     | <i>Article 12 01 02 — Subtotal</i>                                                                                                                                                   |     | 5 623 787           | 5 886 246           | 5 635 468,33         | 100,21       |
| 12 01 03                            | <i>Expenditure related to information and communication technology equipment and services of the 'Financial stability, financial services and capital markets union' policy area</i> | 5.2 | 2 340 076           | 2 150 003           | 2 475 806,49         | 105,80       |
|                                     | <b>Chapter 12 01 — Total</b>                                                                                                                                                         |     | <b>44 163 688</b>   | <b>42 467 485</b>   | <b>40 307 316,84</b> | <b>91,27</b> |

**12 01 01** *Expenditure related to officials and temporary staff in the 'Financial stability, financial services and capital markets union' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 36 199 825          | 34 431 236          | 32 196 042,02 |

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TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA (cont'd)

**12 01 02 External personnel and other management expenditure in support of the 'Financial stability, financial services and capital markets union' policy area**

12 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 293 006           | 3 501 192           | 3 172 373,68 |

12 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 330 781           | 2 385 054           | 2 463 094,65 |

**12 01 03 Expenditure related to information and communication technology equipment and services of the 'Financial stability, financial services and capital markets union' policy area***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 340 076           | 2 150 003           | 2 475 806,49 |

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TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                 | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|---------------|---------------|-----------------------------|
|                                     |                                                                                                                                                                                         |     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                             |
| 12 02                               | FINANCIAL SERVICES AND CAPITAL MARKETS                                                                                                                                                  |     |                     |            |                     |            |               |               |                             |
| 12 02 01                            | <b>Implementation and development of the single market for financial services</b>                                                                                                       | 1.1 | 3 700 000           | 4 000 000  | 3 700 000           | 5 094 000  | 4 003 580,80  | 3 004 435,62  | 75,11                       |
| 12 02 03                            | <b>Standards in the fields of financial reporting and auditing</b>                                                                                                                      | 1.1 | 8 446 000           | 8 400 250  | 4 925 000           | 5 718 000  | 8 118 000,—   | 7 267 500,02  | 86,52                       |
|                                     | Reserves (40 02 41)                                                                                                                                                                     |     |                     |            | 3 356 000           | 2 517 000  |               |               |                             |
|                                     |                                                                                                                                                                                         |     | 8 446 000           | 8 400 250  | 8 281 000           | 8 235 000  | 8 118 000,—   | 7 267 500,02  |                             |
| 12 02 04                            | <b>European Banking Authority (EBA)</b>                                                                                                                                                 | 1.1 | 14 459 404          | 14 459 404 | 14 390 504          | 14 390 504 | 14 243 211,92 | 14 243 211,92 | 98,50                       |
| 12 02 05                            | <b>European Insurance and Occupational Pensions Authority (EIOPA)</b>                                                                                                                   | 1.1 | 9 257 747           | 9 257 747  | 8 736 301           | 8 736 301  | 8 461 389,—   | 8 461 389,—   | 91,40                       |
| 12 02 06                            | <b>European Securities and Markets Authority (ESMA)</b>                                                                                                                                 | 1.1 | 11 636 615          | 11 636 615 | 10 843 997          | 10 843 997 | 10 203 000,—  | 10 203 000,—  | 87,68                       |
| 12 02 08                            | <b>Enhancing the involvement of consumers and other end-users in Union policy-making in financial services</b>                                                                          | 1.1 | 1 500 000           | 1 325 000  | p.m.                | p.m.       |               |               |                             |
|                                     | Reserves (40 02 41)                                                                                                                                                                     |     |                     |            | 1 500 000           | 750 000    |               |               |                             |
|                                     |                                                                                                                                                                                         |     | 1 500 000           | 1 325 000  | 1 500 000           | 750 000    |               |               |                             |
| 12 02 77                            | <b>Pilot projects and preparatory actions</b>                                                                                                                                           |     |                     |            |                     |            |               |               |                             |
| 12 02 77 05                         | Preparatory action — Capacity building for end-users and other non-industry stakeholders in connection with Union policy-making in the area of financial services                       | 1.1 | p.m.                | p.m.       | p.m.                | 750 000    | 1 500 000,—   | 1 069 258,91  |                             |
| 12 02 77 06                         | Pilot project — Horizontal Task Force on Distributed Ledger Technology and government use cases                                                                                         | 1.1 | 500 000             | 675 000    | 850 000             | 425 000    |               |               |                             |
| 12 02 77 07                         | Pilot project — Creating a true Banking Union — Research into differences in bank-related laws and regulations in euro area countries and the need to harmonise them in a Banking Union | 1.1 | 500 000             | 250 000    |                     |            |               |               |                             |

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                   | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|--------------------------------|
|                                     |                                                           |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                                |
| 12 02 77                            | (cont'd)                                                  |     |                     |                   |                     |                   |                      |                      |                                |
| 12 02 77 08                         | Pilot project — European fund for crowdfunded investments | 1.1 | 400 000             | 200 000           |                     |                   |                      |                      |                                |
|                                     | Article 12 02 77 — Subtotal                               |     | 1 400 000           | 1 125 000         | 850 000             | 1 175 000         | 1 500 000,—          | 1 069 258,91         | 95,05                          |
|                                     | <b>Chapter 12 02 — Total Reserves (40 02 41)</b>          |     | <b>50 399 766</b>   | <b>50 204 016</b> | <b>43 445 802</b>   | <b>45 957 802</b> | <b>46 529 181,72</b> | <b>44 248 795,47</b> | <b>88,14</b>                   |
|                                     |                                                           |     |                     |                   | <b>4 856 000</b>    | <b>3 267 000</b>  |                      |                      |                                |
|                                     |                                                           |     | <b>50 399 766</b>   | <b>50 204 016</b> | <b>48 301 802</b>   | <b>49 224 802</b> | <b>46 529 181,72</b> | <b>44 248 795,47</b> |                                |

12 02 01 **Implementation and development of the single market for financial services**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 3 700 000           | 4 000 000 | 3 700 000           | 5 094 000 | 4 003 580,80 | 3 004 435,62 |

## Remarks

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion of the internal market and its operation and development in the area of financial services, financial stability and the capital markets union, and measures contributing, in particular, to:

- greater proximity to citizens and businesses, including the development and strengthening of dialogue with citizens and businesses: via measures intended to make the operation of the internal market more effective, and to ensure that citizens and businesses are able to gain access to the most extensive rights and opportunities, resulting from the opening up and deepening of the internal market without borders, and are able to exercise those rights and opportunities in full; and via monitoring and evaluation measures relating to the practical exercise by citizens and businesses of their rights and opportunities with a view to identifying and facilitating the removal of any obstacles which may be preventing them from exercising those rights in full,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken with a view to the sound operation of the internal market for financial services and the evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering the functioning of the internal market,
- guaranteeing the completion and management of the internal market, especially in the fields of pensions, free movement of capital and financial services, and monitoring the implementation of regulation by Member States,
- broadening the strategy regarding the development of statistics on financial service sectors and statistical development projects in cooperation with Eurostat and the Organisation for Economic Cooperation and Development (OECD),

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 01 (*cont'd*)

- strengthening and developing financial and capital markets and financial services provided to businesses and private individuals; adapting the market framework especially as regards the monitoring and regulation of the activities of operators and of transactions, so as to take account of changes at Union and global levels, of the reality of the euro and of new financial instruments, by putting forward new initiatives intended to consolidate, and provide an analysis of cumulative impact of regulation,
- the improvement of payment systems and retail financial services in the internal market; reduction in the cost of, and time needed for, such transactions, taking into account the internal market dimension; developing and strengthening the external aspects of the directives applicable in respect of financial institutions, the mutual recognition of financial instruments vis-à-vis third countries, international negotiations and assistance for third countries in establishing a market economy,
- implementing the many measures put forward in the Action Plan: European company law and corporate governance which could lead to studies on a number of targeted subjects, with a view to drawing up the necessary legislative proposals,
- active participation in meetings held by international associations such as the International Association of Insurance Supervisors (IAIS/AICA) and the International Organisation of Securities Commission (IOSCO); this also comprises expenses related to the Commission's participation as a member of the group,
- the development of evaluations and impact studies on the various aspects of the policies covered by this chapter for the purpose of devising new measures or revising existing measures relating to them,
- the creation and maintenance of information systems directly linked to putting into place and monitoring policies launched within the framework of the internal market for financial services,
- supporting activities which seek to contribute to the achievement of the Union's policy objectives by enhancing supervisory convergence and cooperation, and in the field of financial reporting, both inside and outside the Union.

This appropriation is also intended to cover expenditure on consultation, studies, surveys, evaluations, meetings of experts, information activities, awareness-raising and training materials, and publications directly linked to the achievement of the objectives or measures coming under this article, as well as any other expenditure on technical and administrative assistance.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (cont'd)

12 02 03 **Standards in the fields of financial reporting and auditing**

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|---------------------|-----------|---------------------|-----------|--------------|--------------|
|                     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 12 02 03            | 8 446 000           | 8 400 250 | 4 925 000           | 5 718 000 | 8 118 000,—  | 7 267 500,02 |
| Reserves (40 02 41) |                     |           | 3 356 000           | 2 517 000 |              |              |
| Total               | 8 446 000           | 8 400 250 | 8 281 000           | 8 235 000 | 8 118 000,—  | 7 267 500,02 |

*Remarks*

This appropriation is intended to cover expenditure arising in connection with the programme to support specific activities in the field of financial services, financial reporting and auditing.

The general objective of this programme is to improve the conditions for the functioning of the internal market by supporting the operations, activities or actions of certain bodies in the fields of financial services, financial reporting and auditing.

Union funding is vital in order to ensure the efficient and effective supervision of the internal market in financial services, particularly in view of the recent financial crisis.

Activities such as developing or providing input to the development of standards, applying, assessing or monitoring standards or overseeing standard-setting processes in support of the implementation of Union policies in the field of financial reporting and auditing are covered by the programme.

This programme is the continuation of the Community programme to support specific activities in the field of financial services, financial reporting and auditing as established by Decision No 716/2009/EC of the European Parliament and of the Council of 16 September 2009 establishing a Community programme to support specific activities in the field of financial services, financial reporting and auditing (OJ L 253, 25.9.2009, p. 8).

*Legal basis*

Regulation (EU) No 258/2014 of the European Parliament and of the Council of 3 April 2014 establishing a Union programme to support specific activities in the field of financial reporting and auditing for the period of 2014-20 and repealing Decision No 716/2009/EC (OJ L 105, 8.4.2014, p. 1), and in particular Article 2 thereof.

12 02 04 **European Banking Authority (EBA)**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 14 459 404          | 14 459 404 | 14 390 504          | 14 390 504 | 14 243 211,92 | 14 243 211,92 |

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 04 (*cont'd*)*Remarks*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to Regulation (EU) No 1093/2010, the European Supervisory Authority is to form part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the European Banking Authority's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The European Banking Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013 on the framework financial regulation of the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42) constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Banking Authority is set out in the 'Staff' Annex to this section.

The Union contribution for 2018 amounts to a total of EUR 15 100 000. An amount of EUR 640 596, corresponding to the recovery of surplus which stems from the Union contribution in 2016, is added to the amount of EUR 14 459 404 entered in the budget.

*Legal basis*

Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331, 15.12.2010, p. 12).

12 02 05 **European Insurance and Occupational Pensions Authority (EIOPA)***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 9 257 747           | 9 257 747 | 8 736 301           | 8 736 301 | 8 461 389,—  | 8 461 389,— |

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 05 (*cont'd*)*Remarks*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to Regulation (EU) No 1094/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the European Insurance and Occupational Pensions Authority's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The European Insurance and Occupational Pensions Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013 on the framework financial regulation of the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42) constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Insurance and Occupational Pensions Authority is set out in the 'Staff' Annex to this section.

The Union contribution for 2018 amounts to a total of EUR 9 365 000. An amount of EUR 107 253, corresponding to the recovery of surplus which stems from the Union contribution in 2016, is added to the amount of EUR 9 257 747 entered in the budget.

*Legal basis*

Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/79/EC (OJ L 331, 15.12.2010, p. 48).

12 02 06 **European Securities and Markets Authority (ESMA)***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 11 636 615          | 11 636 615 | 10 843 997          | 10 843 997 | 10 203 000,— | 10 203 000,— |



CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 06 (*cont'd*)*Remarks*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to Regulation (EU) No 1095/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the European Securities and Markets Authority's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The European Securities and Markets Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013 on the framework financial regulation of the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42) constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Securities and Markets Authority is set out in the 'Staff' Annex to this section.

The Union contribution for 2018 amounts to a total of EUR 11 768 296. An amount of EUR 131 681, corresponding to the recovery of surplus which stems from the Union contribution in 2016, is added to the amount of EUR 11 636 615 entered in the budget.

*Legal basis*

Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/77/EC (OJ L 331, 15.12.2010, p. 84).

12 02 08 *Enhancing the involvement of consumers and other end-users in Union policy-making in financial services*

*Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|-----------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 12 02 08            | 1 500 000           | 1 325 000 | p.m.                | p.m.     |              |          |
| Reserves (40 02 41) |                     |           | 1 500 000           | 750 000  |              |          |
| Total               | 1 500 000           | 1 325 000 | 1 500 000           | 750 000  |              |          |

COMMISSION

TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)**12 02 08** (*cont'd*)*Remarks*

This appropriation is intended to cover and support specific activities enhancing the involvement of consumers and other end-users in Union policy-making in financial services under a specific programme.

The programme will provide for the possibility to co-finance activities carried out by two non-profit organisations, Finance Watch and Better Finance, to further enhance the involvement of consumers and other end-users in Union policy-making in financial services and to inform them about issues at stake in the regulation of the financial sector.

Research activities, awareness and communication activities, activities reinforcing the interactions between the members of each organisation and advocacy activities fostering the positions of these members will be covered by the programme.

Union funding is instrumental to ensure that the policy objectives achieved so far through the previous pilot project and preparatory action will be maintained during the period 2017-2020.

*Legal basis*

Regulation (EU) 2017/826 of the European Parliament and of the Council of 17 May 2017 on establishing a Union programme to support specific activities enhancing the involvement of consumers and other financial services end-users in Union policy-making in the area of financial services for the period of 2017-2020 (OJ L 129, 19.5.2017, p. 17).

**12 02 77** *Pilot projects and preparatory actions*

12 02 77 05 Preparatory action — Capacity building for end-users and other non-industry stakeholders in connection with Union policy-making in the area of financial services

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 750 000  | 1 500 000,—  | 1 069 258,91 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 77 (*cont'd*)

12 02 77 06 Pilot project — Horizontal Task Force on Distributed Ledger Technology and government use cases

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 675 000  | 850 000             | 425 000  |              |          |

*Remarks*

This pilot project continues the work of the Task Force on Distributed Ledger Technology to build up technical expertise and regulator capacity and develop use cases, especially for governmental applications, in the field of distributed ledger technology (DLT), as proposed in the European Parliament resolution of 26 May 2016 on virtual currencies (P8\_TA(2016)0228).

One specific use case that should be explored is the potential of DLT-based solutions in managing the situation of refugees. Many refugees, and people in analogous situations, are unable to prove their identity or access essential services. They do not have the necessary documentation to open a bank account, and without that documentation many refugees are unable to access healthcare, seek legal protection or enrol their children in schools. At the same time, governments of the Member States, in partnership with other countries and organisations (e.g. NGOs), need innovative solutions to manage increasing flows of migrants and their temporary stay in different countries. DLT applications could lend themselves to such applications on account of their distributed and resilient architecture.

Some organisations have already begun to look into DLT applications in this respect, for example as a way to independently authenticate and validate exchanges of information, from personal identification to money transfers.

The pilot project will assess and benchmark best approaches in using DLT-based solutions for control of borders and in managing the situation of refugees.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

12 02 77 07 Pilot project — Creating a true Banking Union — Research into differences in bank-related laws and regulations in euro area countries and the need to harmonise them in a Banking Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |

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TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (cont'd)

12 02 77 (cont'd)

12 02 77 07 (cont'd)

*Remarks*

Creating a true Banking Union will lead to a European banking market in the euro area and, as a consequence, a more stable Economic and Monetary Union. Generally, four elements are considered necessary in this regard: a single supervisor, a single resolution mechanism, common high standards with regard to insurance protection, and a single rulebook. Discussion on the single rulebook obviously focuses very much on the further harmonisation of the Capital Requirements Regulation (Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms (OJ L 176, 27.6.2013, p. 1)) and the Capital Requirements Directive IV (Directive 2013/36/EU of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (OJ L 176, 27.6.2013, p. 338)), which neglects the fact that other rules and regulations are also very important within the legal framework for financial institutions, e.g. corporate laws, insolvency laws, securities laws and, potentially, accounting rules. This pilot project will be used to conduct research into the differences in bank-related rules and regulations in the euro area and to investigate in which areas further harmonisation is needed in order to create a true Banking Union. In this regard, research will extend to all 19 euro area jurisdictions, with the aim of producing an overview of the most relevant material provisions governing banks and the need for harmonisation of those rules in a Banking Union. In addition, there will be research into the formal rules governing the responsibilities of national competent authorities within the Single Supervisory Mechanism (SSM) and national resolution authorities within the Single Resolution Board (SRB), with a view to establishing whether and, if so, where further harmonisation of rules would be justified so as to improve how the SSM and SRB work. Whether and, if so where, a different allocation of responsibilities between national and Union levels might be appropriate, will also be considered. Research will take into consideration the different traditions in European jurisdictions and the fact that, even in a Banking Union, maximum harmonisation is not always feasible and necessary.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

12 02 77 08 Pilot project — European fund for crowdfunded investments

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 400 000             | 200 000  |                     |          |              |          |

*Remarks*

The Investment Plan for Europe seeks to address the investment gap in the Union while addressing market failures and suboptimal investments. It is based on three pillars: operation of the European Fund for Strategic Investments, facilitating access to finance through the European Investment Advisory Hubs and the European Investment Project Portal, and improvement of the investment environment through better regulation.

**CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS** (*cont'd*)**12 02 77** (*cont'd*)12 02 77 08 (*cont'd*)

Crowdfunding is an innovative tool for providing an alternative source of financing for SMEs and is perceived as complementary to bank financing in the context of the Capital Markets Union. Although crowdfunding currently represents a small share of the total funding of European SMEs, it has been growing fast, with an estimated EUR 4 100 million raised in 2015 through financial-returns-orientated models.

This pilot project will examine the potential of financial-returns-orientated crowdfunding models as an alternative source of financing for SMEs in the context of the Investment Plan for Europe.

Provided that the Investment Plan for Europe is deployed to mobilise private investments in the Union, this pilot project will focus on studying how to complement the banking sector in cases where there is evidence of market failure and suboptimal investments.

To track cases where investments from SMEs are limited due to difficulties in accessing finance, account will be taken of both the European Central Bank's annual report on SMEs' access to finance and the European Investment Bank (EIB) Group Survey on Investment and Investment Finance. Furthermore, it should be taken into consideration that many start-ups and innovative SMEs are under-collateralised and fail because of short-term cash flow problems while having a sustainable business model in the long term.

The goal will be to assess in which Member State(s) the problem is more evident, to further explore the regulatory framework and to build an action plan on how to deploy a crowdfunding investment platform in the context of the Investment Plan for Europe, with a focus on market failures, and to facilitate the crowding-in of private finance. By way of follow-up to the EIB's guidelines for investment platforms, a market study should also be produced so as to identify market potential and needs. The scope of the platform could be thematically or regionally based and could include many Member States. Alternatively, the project could be used to identify best practices in supply chain finance (e.g. invoice trading).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 13*

**REGIONAL AND URBAN POLICY**

## TITLE 13

## REGIONAL AND URBAN POLICY

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                                 | Appropriations 2018   |                       | Appropriations 2017   |                       | Outturn 2016             |                          |
|---------------|---------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|--------------------------|--------------------------|
|               |                                                                                                         | Commitments           | Payments              | Commitments           | Payments              | Commitments              | Payments                 |
| 13 01         | ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA                               | 89 843 307            | 89 843 307            | 89 356 102            | 89 356 102            | 90 129 546,25            | 90 129 546,25            |
|               | <i>Reserves (40 01 40)</i>                                                                              |                       |                       | 1 125 000             | 1 125 000             |                          |                          |
|               |                                                                                                         | 89 843 307            | 89 843 307            | 90 481 102            | 90 481 102            | 90 129 546,25            | 90 129 546,25            |
| 13 03         | EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS                                        | 30 096 255 130        | 25 391 963 602        | 29 218 751 321        | 14 639 134 699        | 27 155 528 421,01        | 21 015 822 334,25        |
| 13 04         | COHESION FUND (CF)                                                                                      | 9 418 157 040         | 8 480 393 602         | 9 080 135 577         | 5 748 249 495         | 8 780 384 180,25         | 7 448 978 423,—          |
| 13 05         | INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION | 92 853 894            | 80 306 941            | 115 060 568           | 195 406 848           | 47 122 952,—             | 513 379 992,50           |
| 13 06         | SOLIDARITY FUND                                                                                         | 50 000 000            | 50 000 000            | 1 241 200 013         | 1 241 200 013         | 32 774 210,—             | 32 774 210,—             |
| 13 07         | AID REGULATION                                                                                          | 34 473 000            | 26 000 000            | 34 836 240            | 39 031 865            | 33 408 392,—             | 22 581 228,57            |
| 13 08         | STRUCTURAL REFORM SUPPORT PROGRAMME (SRSP) — OPERATIONAL TECHNICAL ASSISTANCE                           | 30 500 000            | 14 000 000            | p.m.                  | p.m.                  |                          |                          |
|               | <i>Reserves (40 02 41)</i>                                                                              |                       |                       | 22 500 000            | 11 250 000            |                          |                          |
|               |                                                                                                         | 30 500 000            | 14 000 000            | 22 500 000            | 11 250 000            |                          |                          |
|               | <b>Title 13 — Total</b>                                                                                 | <b>39 812 082 371</b> | <b>34 132 507 452</b> | <b>39 779 339 821</b> | <b>21 952 379 022</b> | <b>36 139 347 701,51</b> | <b>29 123 665 734,57</b> |
|               | <b>Reserves (40 01 40, 40 02 41)</b>                                                                    |                       |                       | <b>23 625 000</b>     | <b>12 375 000</b>     |                          |                          |
|               |                                                                                                         | <b>39 812 082 371</b> | <b>34 132 507 452</b> | <b>39 802 964 821</b> | <b>21 964 754 022</b> | <b>36 139 347 701,51</b> | <b>29 123 665 734,57</b> |

COMMISSION

TITLE 13 — REGIONAL AND URBAN POLICY

## TITLE 13

## REGIONAL AND URBAN POLICY

## CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                  | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|---------------|
| 13 01                               | ADMINISTRATIVE EXPENDITURE OF THE<br>'REGIONAL AND URBAN POLICY' POLICY<br>AREA                                                                          |     |                     |                     |                      |               |
| <b>13 01 01</b>                     | <b>Expenditure related to officials and temporary<br/>staff in the 'Regional and urban policy' policy<br/>area</b>                                       | 5.2 | 62 910 376          | 63 257 387          | 64 281 338,75        | 102,18        |
| <b>13 01 02</b>                     | <b>External personnel and other management<br/>expenditure in support of the 'Regional and<br/>urban policy' policy area</b>                             |     |                     |                     |                      |               |
| 13 01 02 01                         | External personnel                                                                                                                                       | 5.2 | 2 119 259           | 2 141 597           | 2 373 414,—          | 111,99        |
| 13 01 02 11                         | Other management expenditure                                                                                                                             | 5.2 | 2 547 285           | 2 555 212           | 2 609 804,66         | 102,45        |
|                                     | Article 13 01 02 — Subtotal                                                                                                                              |     | 4 666 544           | 4 696 809           | 4 983 218,66         | 106,79        |
| <b>13 01 03</b>                     | <b>Expenditure related to information and<br/>communication technology equipment and<br/>services of the 'Regional and urban policy'<br/>policy area</b> | 5.2 | 4 066 735           | 3 950 004           | 4 943 022,50         | 121,55        |
| <b>13 01 04</b>                     | <b>Support expenditure for operations and<br/>programmes in the 'Regional and urban<br/>policy' policy area</b>                                          |     |                     |                     |                      |               |
| 13 01 04 01                         | Support expenditure for European Regional<br>Development Fund (ERDF)                                                                                     | 1.2 | 11 160 000          | 11 300 000          | 10 307 869,64        | 92,36         |
| 13 01 04 02                         | Support expenditure for the Instrument for Pre-<br>Accession Assistance (IPA) — Regional devel-<br>opment component                                      | 4   | 1 951 902           | 1 951 902           | 1 873 475,—          | 95,98         |
| 13 01 04 03                         | Support expenditure for the Cohesion Fund                                                                                                                | 1.2 | 4 140 000           | 4 200 000           | 3 740 621,70         | 90,35         |
| 13 01 04 04                         | Support expenditure for Structural Reform<br>Support Programme (SRSP)                                                                                    | 1.2 | 947 750             | p.m.                |                      |               |
|                                     | Reserves (40 01 40)                                                                                                                                      |     |                     | 1 125 000           |                      |               |
|                                     |                                                                                                                                                          |     | 947 750             | 1 125 000           |                      |               |
|                                     | Article 13 01 04 — Subtotal                                                                                                                              |     | 18 199 652          | 17 451 902          | 15 921 966,34        | 87,49         |
|                                     | Reserves (40 01 40)                                                                                                                                      |     |                     | 1 125 000           |                      |               |
|                                     |                                                                                                                                                          |     | 18 199 652          | 18 576 902          | 15 921 966,34        |               |
|                                     | <b>Chapter 13 01 — Total</b>                                                                                                                             |     | <b>89 843 307</b>   | <b>89 356 102</b>   | <b>90 129 546,25</b> | <b>100,32</b> |
|                                     | Reserves (40 01 40)                                                                                                                                      |     |                     | 1 125 000           |                      |               |
|                                     |                                                                                                                                                          |     | <b>89 843 307</b>   | <b>90 481 102</b>   | <b>90 129 546,25</b> |               |

**13 01 01 Expenditure related to officials and temporary staff in the 'Regional and urban policy' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 62 910 376          | 63 257 387          | 64 281 338,75 |



## CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA (cont'd)

**13 01 02 External personnel and other management expenditure in support of the 'Regional and urban policy' policy area**

## 13 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 119 259           | 2 141 597           | 2 373 414,—  |

## 13 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 547 285           | 2 555 212           | 2 609 804,66 |

**13 01 03 Expenditure related to information and communication technology equipment and services of the 'Regional and urban policy' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 066 735           | 3 950 004           | 4 943 022,50 |

**13 01 04 Support expenditure for operations and programmes in the 'Regional and urban policy' policy area**

## 13 01 04 01 Support expenditure for European Regional Development Fund (ERDF)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 160 000          | 11 300 000          | 10 307 869,64 |

*Remarks*

This appropriation is intended to cover the ERDF-funded technical assistance provided for in Articles 58 and 118 of Regulation (EU) No 1303/2013. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations, information systems),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 3 060 000 including missions relating to the external personnel financed from this appropriation.

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA (*cont'd*)13 01 04 (*cont'd*)13 01 04 01 (*cont'd*)*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 01 04 02 Support expenditure for the Instrument for Pre-Accession Assistance (IPA) — Regional development component

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 951 902           | 1 951 902           | 1 873 475,—  |

*Remarks*

This appropriation is intended to cover technical assistance measures for the Instrument for Pre-Accession Assistance, the phasing out of pre-accession assistance and the support to the economic development of the Turkish Cypriot community, in particular:

- expenditure linked to the preparation, appraisal, approval, follow-up, control, audit and evaluation of multiannual programmes and/or individual operations and projects under the IPA regional development component. These actions may include technical assistance contracts, studies, short-term expertise, meetings, exchange of experience, networking, information and publicity and awareness-creation events, including the development of communication strategies and corporate communication of the political priorities of the Union, training activities and publications directly linked to the achievement of the objective of the programme and any other support measures carried out at the level of the Commission central services or the delegations in the beneficiary countries,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the benefit of beneficiary countries and the Commission,
- installation, operation and interconnection of computerised systems for management, inspection and evaluation,
- improvements in evaluation methods and the exchange of information on practices in this field,
- research activities on relevant issues and the dissemination of their results,
- expenditure on external personnel at headquarters, as well as the EUPSO in Nicosia (contract staff, seconded national experts or agency staff) up to EUR 1 873 475 including missions relating to the external personnel financed from this appropriation.

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**CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘REGIONAL AND URBAN POLICY’ POLICY AREA** *(cont'd)*

**13 01 04** *(cont'd)*

13 01 04 02 *(cont'd)*

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapters 13 05 and 13 07.

13 01 04 03 Support expenditure for the Cohesion Fund

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 140 000           | 4 200 000           | 3 740 621,70 |

*Remarks*

This appropriation is intended to cover the technical assistance measures in the Cohesion Fund provided for in Articles 58 and 118 of Regulation (EU) No 1303/2013. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations, information systems),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 1 340 000, including missions relating to the external personnel financed from this appropriation.

*Legal basis*

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA (*cont'd*)**13 01 04** (*cont'd*)

13 01 04 04 Support expenditure for Structural Reform Support Programme (SRSP)

*Figures (Non-differentiated appropriations)*

|                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|---------------------|--------------|
| 13 01 04 04         | 947 750             | p.m.                |              |
| Reserves (40 01 40) |                     | 1 125 000           |              |
| Total               | 947 750             | 1 125 000           |              |

*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the SRSP and the achievement of its objectives, in particular studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union, as far as they are related to the general objectives of the relevant Regulation, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the SRSP.

*Legal basis*

Regulation (EU) 2017/825 of the European Parliament and of the Council of 17 May 2017 on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 (OJ L 129, 19.5.2017, p. 1).

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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                       | FF  | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016   |                | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|----------|---------------------|----------|----------------|----------------|----------------------------------|
|                                     |                                                                                                                                               |     | Commitments         | Payments | Commitments         | Payments | Commitments    | Payments       |                                  |
| 13 03                               | EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS                                                                              |     |                     |          |                     |          |                |                |                                  |
| 13 03 01                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)</i>                                                   | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 47 302 553,19  |                                  |
| 13 03 02                            | <i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i> | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 0,—            |                                  |
| 13 03 03                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)</i>                                                  | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 145 491 832,28 | 145 491 832,28 |                                  |
| 13 03 04                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)</i>                                                   | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 1 644 177,72   |                                  |
| 13 03 05                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)</i>                                                  | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 2 110 756,61   | 2 110 756,61   |                                  |
| 13 03 06                            | <i>Completion of Urban (2000 to 2006)</i>                                                                                                     | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 0,—            |                                  |
| 13 03 07                            | <i>Completion of earlier programmes — Community initiatives (prior to 2000)</i>                                                               | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 0,—            |                                  |
| 13 03 08                            | <i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)</i>                  | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 0,—            |                                  |
| 13 03 09                            | <i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)</i>                 | 1.2 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 0,—            |                                  |
| 13 03 12                            | <i>Union contribution to the International Fund for Ireland</i>                                                                               | 1.1 | p.m.                | p.m.     | p.m.                | p.m.     | 0,—            | 3 000 000,—    |                                  |

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                         | FF  | Appropriations 2018 |               | Appropriations 2017 |             | Outturn 2016 |                  | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|-------------|--------------|------------------|----------------------------------|
|                                     |                                                                                                                                                                                                 |     | Commitments         | Payments      | Commitments         | Payments    | Commitments  | Payments         |                                  |
| 13 03 13                            | Completion of Interreg III Community initiative (2000 to 2006)                                                                                                                                  | 1.2 | p.m.                | p.m.          | p.m.                | p.m.        | 0,—          | 233 461,55       |                                  |
| 13 03 14                            | Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)                                                                                             | 1.2 | p.m.                | p.m.          | p.m.                | p.m.        | 0,—          | 0,—              |                                  |
| 13 03 16                            | Completion of European Regional Development Fund (ERDF) — Convergence                                                                                                                           | 1.2 | p.m.                | 2 752 090 200 | p.m.                | 452 134 177 | 0,—          | 9 710 133 506,56 | 352,83                           |
| 13 03 17                            | Completion of European Regional Development Fund (ERDF) — PEACE                                                                                                                                 | 1.2 | p.m.                | 9 176 800     | p.m.                | p.m.        | 0,—          | 0,—              | 0                                |
| 13 03 18                            | Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment                                                                                               | 1.2 | p.m.                | 895 000 000   | p.m.                | 106 686 990 | 0,—          | 1 375 734 521,62 | 153,71                           |
| 13 03 19                            | Completion of European Regional Development Fund (ERDF) — European territorial cooperation                                                                                                      | 1.2 | p.m.                | 167 500 000   | p.m.                | 25 585 650  | 0,—          | 283 231 860,63   | 169,09                           |
| 13 03 20                            | Completion of European Regional Development Fund (ERDF) — Operational technical assistance                                                                                                      | 1.2 | p.m.                | p.m.          | p.m.                | 1 610 747   | 0,—          | 921 671,41       |                                  |
| 13 03 31                            | Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea region and an improved knowledge of macro-regions strategy (2007 to 2013) | 1.2 | p.m.                | 288 980       | p.m.                | 154 965     | 0,—          | 17 899,70        | 6,19                             |
| 13 03 40                            | Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)                                                          | 1.2 | p.m.                | p.m.          | p.m.                | p.m.        | 0,—          | 0,—              |                                  |

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                            | FF  | Appropriations 2018 |                | Appropriations 2017 |               | Outturn 2016     |                  | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|----------------|---------------------|---------------|------------------|------------------|----------------------------------|
|                                     |                                                                                                                                                                    |     | Commitments         | Payments       | Commitments         | Payments      | Commitments      | Payments         |                                  |
| 13 03 41                            | Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013) | 1.2 | p.m.                | p.m.           | p.m.                | p.m.          | 0,—              | 0,—              |                                  |
| 13 03 60                            | European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal                                                           | 1.2 | 19 436 507 277      | 14 172 481 996 | 18 775 111 553      | 9 016 266 797 | 17 849 245 454,— | 6 488 501 103,75 | 45,78                            |
| 13 03 61                            | European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal                                                               | 1.2 | 3 794 007 606       | 2 735 463 362  | 3 719 489 334       | 1 712 642 289 | 3 394 556 710,—  | 1 041 573 147,93 | 38,08                            |
| 13 03 62                            | European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal                                                           | 1.2 | 4 726 229 339       | 3 442 060 077  | 4 622 273 189       | 2 532 798 533 | 4 425 550 656,—  | 1 404 644 603,90 | 40,81                            |
| 13 03 63                            | European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal               | 1.2 | 226 472 828         | 169 014 095    | 222 029 433         | 139 873 000   | 217 673 091,—    | 85 465 017,86    | 50,57                            |
| 13 03 64                            | European Regional Development Fund (ERDF) — European territorial cooperation                                                                                       |     |                     |                |                     |               |                  |                  |                                  |
| 13 03 64 01                         | European Regional Development Fund (ERDF) — European territorial cooperation                                                                                       | 1.2 | 1 766 233 626       | 914 201 248    | 1 731 601 443       | 525 930 699   | 970 006 232,—    | 301 358 833,83   | 32,96                            |
| 13 03 64 02                         | Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)                                                    | 4   | 9 584 130           | 4 140 836      | 9 396 205           | 1 312 500     | 5 171 292,—      | 1 500 000,—      | 36,22                            |
| 13 03 64 03                         | Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)                                                                  | 4   | 1 368 000           | 242 000        | 1 459 650           | 231 000       | 1 414 450,—      | 264 000,—        | 109,09                           |
|                                     | Article 13 03 64 — Subtotal                                                                                                                                        |     | 1 777 185 756       | 918 584 084    | 1 742 457 298       | 527 474 199   | 976 591 974,—    | 303 122 833,83   | 33,00                            |

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                 | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|---------------|---------------|----------------------------------|
|                                     |                                                                                                                                         |     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                                  |
| <b>13 03 65</b>                     | <b>European Regional Development Fund (ERDF) — Operational technical assistance</b>                                                     |     |                     |            |                     |            |               |               |                                  |
| 13 03 65 01                         | European Regional Development Fund (ERDF) — Operational technical assistance                                                            | 1.2 | 74 000 000          | 69 000 000 | 74 000 000          | 69 400 000 | 68 539 152,12 | 65 071 293,54 | 94,31                            |
| 13 03 65 02                         | European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State | 1.2 | p.m.                | 4 227 951  | p.m.                | 1 028 043  | 16 219 272,—  | 8 958 676,44  | 211,89                           |
|                                     | <i>Article 13 03 65 — Subtotal</i>                                                                                                      |     | 74 000 000          | 73 227 951 | 74 000 000          | 70 428 043 | 84 758 424,12 | 74 029 969,98 | 101,10                           |
| <b>13 03 66</b>                     | <b>European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development</b>                     | 1.2 | 54 152 324          | 41 821 859 | 53 090 514          | 42 472 411 | 52 049 523,—  | 41 639 618,40 | 99,56                            |
| <b>13 03 67</b>                     | <b>Macro-regional strategies 2014-2020 — European strategy for the Baltic Sea region — Technical assistance</b>                         | 1.2 | p.m.                | 248 943    | p.m.                | p.m.       | 0,—           | 44 575,85     | 17,91                            |
| <b>13 03 68</b>                     | <b>Macro-regional strategies 2014-2020 — European Union strategy for the Danube region — Technical assistance</b>                       | 1.2 | p.m.                | 214 828    | p.m.                | 500 000    | 0,—           | 535 171,62    | 249,12                           |
| <b>13 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                           |     |                     |            |                     |            |               |               |                                  |
| 13 03 77 03                         | Preparatory action — Promoting a more favourable environment for micro-credit in Europe                                                 | 1.2 | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 0,—           |                                  |
| 13 03 77 06                         | Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale          | 1.2 | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 40 880,—      |                                  |



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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                      | FF  | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-----------|---------------------|-----------|--------------|--------------|----------------------------------|
|                                     |                                                                                                                                                                                                              |     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |                                  |
| <b>13 03 77</b>                     | (cont'd)                                                                                                                                                                                                     |     |                     |           |                     |           |              |              |                                  |
| 13 03 77 07                         | Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination                                                                             | 1.2 | p.m.                | 773 558   | p.m.                | p.m.      | 0,—          | 115 073,68   | 14,88                            |
| 13 03 77 08                         | Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region      | 1.2 | p.m.                | p.m.      | p.m.                | 322 551   | 0,—          | 287 554,37   |                                  |
| 13 03 77 09                         | Preparatory action on an Atlantic Forum for the European Union Atlantic strategy                                                                                                                             | 1.2 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 334 000,—    |                                  |
| 13 03 77 12                         | Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region | 1.2 | p.m.                | 1 093 974 | p.m.                | 1 234 347 | 0,—          | 1 563 697,11 | 142,94                           |
| 13 03 77 13                         | Pilot project — Cohesion policy and the synergies with the research and development funds: the 'stairway to excellence'                                                                                      | 1.2 | p.m.                | p.m.      | p.m.                | 600 000   | 0,—          | 1 500 000,—  |                                  |
| 13 03 77 14                         | Preparatory action — A regional strategy for the North Sea region                                                                                                                                            | 1.2 | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 50 638,—     |                                  |
| 13 03 77 15                         | Preparatory action — World cities: EU-third countries cooperation on urban development                                                                                                                       | 1.2 | p.m.                | 1 124 221 | p.m.                | 750 000   | 1 500 000,—  | 700 880,42   | 62,34                            |

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                       | FF  | Appropriations 2018   |                       | Appropriations 2017   |                       | Outturn 2016             |                          | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----------------------|-----------------------|-----------------------|-----------------------|--------------------------|--------------------------|----------------------------------|
|                                     |                                                                                                                                                                                               |     | Commitments           | Payments              | Commitments           | Payments              | Commitments              | Payments                 |                                  |
| 13 03 77 16                         | Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens                                                                       | 1.2 | p.m.                  | p.m.                  | p.m.                  | p.m.                  | 0,—                      | 350 000,—                |                                  |
| 13 03 77 17                         | Preparatory action — EU-CELAC cooperation on territorial cohesion                                                                                                                             | 1.2 | p.m.                  | 1 798 674             | 2 000 000             | 1 700 000             | 2 000 000,—              | 1 326,28                 | 0,07                             |
| 13 03 77 18                         | Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward                                                      | 1.2 | 1 500 000             | 2 000 000             | 1 500 000             | 1 000 000             | 1 000 000,—              | 0,—                      | 0                                |
| 13 03 77 19                         | Preparatory action — Support for growth and governance in regions whose development is lagging behind                                                                                         | 1.2 | 2 000 000             | 2 000 000             | 1 000 000             | 1 000 000             | 1 000 000,—              | 500 000,—                | 25,00                            |
| 13 03 77 20                         | Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania                                                                  | 1.2 | p.m.                  | 1 000 000             | p.m.                  | 1 000 000             | 2 000 000,—              | 1 000 000,—              | 100,00                           |
| 13 03 77 21                         | Pilot project —European Union Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole | 1.2 | 1 300 000             | 1 300 000             | 1 300 000             | 650 000               |                          |                          |                                  |
| 13 03 77 22                         | Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region                                                                                                     | 1.2 | 2 000 000             | 2 000 000             | 2 000 000             | 1 000 000             |                          |                          |                                  |
| 13 03 77 23                         | Preparatory action — Urban agenda for the EU                                                                                                                                                  | 1.2 | p.m.                  | 1 250 000             | 2 500 000             | 1 250 000             |                          |                          |                                  |
| 13 03 77 24                         | Pilot project — Measuring what matters to EU citizens: social progress in European regions                                                                                                    | 1.2 | 900 000               | 450 000               |                       |                       |                          |                          |                                  |
|                                     | <i>Article 13 03 77 — Subtotal</i>                                                                                                                                                            |     | 7 700 000             | 14 790 427            | 10 300 000            | 10 506 898            | 7 500 000,—              | 6 444 049,86             | 43,57                            |
|                                     | <b>Chapter 13 03 — Total</b>                                                                                                                                                                  |     | <b>30 096 255 130</b> | <b>25 391 963 602</b> | <b>29 218 751 321</b> | <b>14 639 134 699</b> | <b>27 155 528 421,01</b> | <b>21 015 822 334,25</b> | <b>82,77</b>                     |

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)*Remarks*

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Regional Development Fund (ERDF). In accordance with Article 176, the ERDF is intended to help redress the main regional imbalances in the Union. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ERDF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of prefinancing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of prefinancing applicable to the ERDF.

Prefinancing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded from Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174, 175, 176 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1) and in particular Article 39 thereof.

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 83, 100 and 102 thereof.

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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

**13 03 01 Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |               |
|---------------------|----------|---------------------|----------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 47 302 553,19 |

*Remarks*

This appropriation is intended to cover ERDF Objective 1 commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 02 Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 02** (*cont'd*)*Remarks*

This appropriation is intended to cover the financing of commitments remaining to be settled from the 2000-2006 period for the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland. The special programme for peace and reconciliation was continued, in accordance with the decisions of the Berlin European Council, mentioned below, to provide EUR 500 000 000 (1999 prices) for the new period of validity of the programme (2000 to 2004). Following the request in the conclusions of the Brussels European Council of 17 and 18 June 2004, an additional EUR 105 000 000, to be allocated in 2005 and 2006, was added to align operations under the programme with the other programmes under the Structural Funds that came to an end in 2006.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Commission Decision C(2001) 638 on the approval of Community structural assistance for the EU operational programme for Peace and Reconciliation (PEACE II programme) concerned by Objective 1 in Northern Ireland (United Kingdom) and in the border region (Ireland).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular point (b) of paragraph 44 thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

**13 03 03** **Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016   |                |
|---------------------|----------|---------------------|----------|----------------|----------------|
| Commitments         | Payments | Commitments         | Payments | Commitments    | Payments       |
| p.m.                | p.m.     | p.m.                | p.m.     | 145 491 832,28 | 145 491 832,28 |

*Remarks*

This appropriation is intended to cover the financing, by the ERDF, of commitments remaining to be settled from the programming period prior to 2000 for former Objectives 1 and 6.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 03 (*cont'd*)*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

13 03 04 **Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 644 177,72 |

*Remarks*

This appropriation is intended to cover ERDF Objective 2 commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

13 03 05 **Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 2 110 756,61 | 2 110 756,61 |

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 05** (*cont'd*)*Remarks*

This appropriation is intended to cover the financing by the three Funds (ERDF, ESF and EAGGF Guidance Section) of commitments remaining to be settled from the programming periods prior to 2000 in respect of former Objectives 2 and 5(b).

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 06** **Completion of Urban (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the Community initiative Urban II commitments remaining to be settled from the 2000-2006 programming period. This Community initiative was focused on the economic and social regeneration of cities and urban neighbourhoods in crisis with a view to promoting sustainable urban development.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 06 (*cont'd*)*Reference acts*

Communication from the Commission to the Member States of 28 April 2000 laying down guidelines for a Community initiative concerning economic and social regeneration of cities and of neighbourhoods in crisis in order to promote sustainable urban development (URBAN II) (OJ C 141, 19.5.2000, p. 8).

13 03 07 **Completion of earlier programmes — Community initiatives (prior to 2000)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the funding of commitments remaining to be settled from ERDF commitments relating to Community initiatives prior to 2000.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

*Reference acts*

Commission notice to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).



**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 07** (*cont'd*)

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (Adapt), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 07 (*cont'd*)

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (Urban) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (Adapt) aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(97) 642 final).

**13 03 08** ***Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 08 (cont'd)

## Remarks

This appropriation is intended to cover the ERDF commitments for the innovative measures and technical assistance remaining to be settled from the 2000-2006 programming period as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative measures include studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ERDF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures taken by partners in preparation for the 2007-2013 programming period.

## Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EU) No 258/2014 of the European Parliament and of the Council of 3 April 2014 establishing a Union Programme to support specific activities in the field of financial reporting and auditing for the period of 2014-20 and repealing Decision No 716/2009/EC (OJ L 105, 8.4.2014, p. 1).

13 03 09 **Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)**

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 09** (*cont'd*)*Remarks*

This appropriation is intended to cover the settlement of commitments entered into, in earlier programming periods prior to 2000, by the ERDF in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other Regulations mentioned below, which are not covered by the priority objectives of the Funds. This appropriation will also be used if necessary to cover financing where the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

*Legal basis*

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 12** *Union contribution to the International Fund for Ireland**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 3 000 000,— |

*Remarks*

This appropriation is intended to cover the Union contribution to the financing of the International Fund for Ireland set up by the Anglo-Irish Agreement of 15 November 1985 with a view to promoting economic and social progress and encouraging contacts, dialogue and reconciliation between the peoples of Ireland.

The activities under the International Fund for Ireland may complement and support activities promoted by the initiative programme to aid the peace process in both parts of Ireland.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 12** (*cont'd*)*Legal basis*

Council Regulation (EC) No 177/2005 of 24 January 2005 concerning Community financial contributions to the International Fund for Ireland (2005-2006) (OJ L 30, 3.2.2005, p. 1).

Regulation (EU) No 1232/2010 of the European Parliament and of the Council of 15 December 2010 concerning European Union financial contributions to the International Fund for Ireland (2007 to 2010) (OJ L 346, 30.12.2010, p. 1).

**13 03 13** **Completion of Interreg III Community initiative (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 233 461,55 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled under the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation from the 2000-2006 programming period.

Special attention will be paid to cross-border activities, in particular with a view to better coordination with the Phare, Tacis, ISPA and MEDA programmes.

This appropriation is also intended to cover the costs of coordinating activities in the field of cross-border labour mobility and skills. Suitable attention will be paid to cooperation with the outermost regions.

This appropriation can be combined with Phare cross-border cooperation appropriations for common projects at the external borders of the Union.

This appropriation is intended to cover, inter alia, preparatory operations for local and regional cooperation between the old and new Member States and the applicant countries in the fields of democracy and social and regional development.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 13 (cont'd)

## Reference acts

Communication from the Commission to the Member States of 2 September 2004 laying down guidelines for a Community initiative concerning trans-European cooperation intended to encourage harmonious and balanced development of the European territory — Interreg III (OJ C 226, 10.9.2004, p. 2).

## 13 03 14 Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

This appropriation is intended to cover the commitments remaining to be settled for projects from the 2000-2006 programming period in the regions bordering the candidate countries in accordance with the rules of the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation. The measures take into account the communication from the Commission on the impact of enlargement on regions bordering candidate countries 'Community action for border regions' (COM(2001) 437 final).

## 13 03 16 Completion of European Regional Development Fund (ERDF) — Convergence

Figures (Differentiated appropriations)

| Appropriations 2018 |               | Appropriations 2017 |             | Outturn 2016 |                  |
|---------------------|---------------|---------------------|-------------|--------------|------------------|
| Commitments         | Payments      | Commitments         | Payments    | Commitments  | Payments         |
| p.m.                | 2 752 090 200 | p.m.                | 452 134 177 | 0,—          | 9 710 133 506,56 |

## Remarks

This appropriation is intended to cover commitments remaining to be settled for programmes under the ERDF objective of the convergence in the 2007-2013 programming period. This objective is aimed at speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment.

Part of this appropriation is intended to be used to address intra-regional disparities so as to ensure that the overall state of development of a given region does not hide poverty loops and disadvantaged territorial units.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 16** (*cont'd*)*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**13 03 17** **Completion of European Regional Development Fund (ERDF) — PEACE***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 9 176 800 | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the PEACE programme under the European territorial cooperation objective of the ERDF of the 2007-2013 programming period.

The PEACE programme shall be implemented as a cross-border cooperation programme within the meaning of point (c) of Article 3(2) of Regulation (EC) No 1083/2006.

The PEACE programme shall promote social and economic stability in the regions concerned, including notably actions to promote cohesion between communities. The eligible area shall be the whole of Northern Ireland and the border counties of Ireland. This programme will be implemented in full compliance with the principle of additionality of Structural Fund operations.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

*Reference acts*

Conclusions of the Brussels European Council of 15 and 16 December 2005.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

**13 03 18** *Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment*

Figures (*Differentiated appropriations*)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                  |
|---------------------|-------------|---------------------|-------------|--------------|------------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments         |
| p.m.                | 895 000 000 | p.m.                | 106 686 990 | 0,—          | 1 375 734 521,62 |

Remarks

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ERDF objective of the regional competitiveness and employment in the 2007-2013 programming period. This objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 strategy.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**13 03 19** *Completion of European Regional Development Fund (ERDF) — European territorial cooperation*

Figures (*Differentiated appropriations*)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016 |                |
|---------------------|-------------|---------------------|------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments  | Payments       |
| p.m.                | 167 500 000 | p.m.                | 25 585 650 | 0,—          | 283 231 860,63 |

Remarks

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ERDF objective of European territorial cooperation in the 2007-2013 programming period. This objective shall be aimed at strengthening territorial cooperation and macro-regional cooperation and exchange of experience at the appropriate level.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.



**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (cont'd)**13 03 19** (cont'd)*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**13 03 20** **Completion of European Regional Development Fund (ERDF) — Operational technical assistance***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 1 610 747 | 0,—          | 921 671,41 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the 2007-2013 programming period for the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the 2014-2020 programming period.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 31** ***Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea region and an improved knowledge of macro-regions strategy (2007 to 2013)****Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 288 980  | p.m.                | 154 965  | 0,—          | 17 899,70 |

*Remarks*

This appropriation is intended to cover commitments from the 2007-2013 programming period remaining to be settled to ensure:

- the proper circulation of information through newsletters (including online), reports and conferences, and specifically an annual forum,
- the organisation of on-site events to familiarise all interested European regions with the Baltic Sea approach and the principles of macro-regions,
- successful governance of the strategy through the decentralised system that has been established and, in particular, the operation of the system of priority area coordinators and flagship project leaders,
- technical and administrative support for planning and coordination of activities related to the European Union strategy for the Baltic Sea Region,
- availability of seed money for planning and preparing projects supporting the strategy,
- support for civil society participation,
- the continued helping of priority area coordinators in their coordination activity,
- participation in an implementation facility with the EIB, if requested by Baltic Member States,
- the development of a more ambitious communication strategy on the European Union strategy for the Baltic Sea region.

The continuation of the support to the technical assistance action should be used to:

- keep on helping priority area coordinators in their coordination activity,
- participate in an implementation facility with EIB, if requested by Baltic Member States,
- develop a more ambitious communication strategy on the European Union strategy for the Baltic Sea region.

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 31 (cont'd)

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

13 03 40 **Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to finance the risk-sharing instruments from the ERDF Convergence envelope for Member States which are experiencing or threatened with serious difficulties with respect to their financial stability.

Reflows and amounts left over after the completion of an operation covered by a risk-sharing instrument may be reused within the risk-sharing instrument if the Member State still meets the conditions set out in Article 77(2) of Regulation (EC) No 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left over shall be considered to be assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left over entered in Item 6 1 4 4 of the statement of revenue shall give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 36a thereof.

Regulation (EU) No 423/2012 of the European Parliament and of the Council of 22 May 2012, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk-sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (OJ L 133, 23.5.2012, p. 1).

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 41** **Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to finance the risk-sharing instruments from the ERDF Regional competitiveness and employment envelope for Member States which are experiencing, or threatened with, serious difficulties with respect to their financial stability.

Reflows and amounts left over after the completion of an operation covered by a risk-sharing instrument may be reused within the risk-sharing instrument if the Member State still meets the conditions set out in Article 77(2) of Regulation (EC) No 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left over shall be considered to be assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left over entered in Item 6 1 4 4 of the statement of revenue shall give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 36a thereof.

Regulation (EU) No 423/2012 of the European Parliament and of the Council of 22 May 2012, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk-sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (OJ L 133, 23.5.2012, p. 1).

**13 03 60** **European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal***Figures (Differentiated appropriations)*

| Appropriations 2018 |                | Appropriations 2017 |               | Outturn 2016     |                  |
|---------------------|----------------|---------------------|---------------|------------------|------------------|
| Commitments         | Payments       | Commitments         | Payments      | Commitments      | Payments         |
| 19 436 507 277      | 14 172 481 996 | 18 775 111 553      | 9 016 266 797 | 17 849 245 454,— | 6 488 501 103,75 |

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 60 (cont'd)

*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions includes regions with a GDP per capita less than 75 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 61 **European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal***Figures (Differentiated appropriations)*

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  |
|---------------------|---------------|---------------------|---------------|-----------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |
| 3 794 007 606       | 2 735 463 362 | 3 719 489 334       | 1 712 642 289 | 3 394 556 710,— | 1 041 573 147,93 |

*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in the 2014-2020 period in a new category of region — 'transition regions' — that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes regions with a GDP per capita between 75 % and 90 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 62** *European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal**Figures (Differentiated appropriations)*

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  |
|---------------------|---------------|---------------------|---------------|-----------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |
| 4 726 229 339       | 3 442 060 077 | 4 622 273 189       | 2 532 798 533 | 4 425 550 656,— | 1 404 644 603,90 |

*Remarks*

This appropriation is intended to cover ERDF support under the Investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions includes regions with a GDP per capita above 90 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 63** *European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal**Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 226 472 828         | 169 014 095 | 222 029 433         | 139 873 000 | 217 673 091,— | 85 465 017,86 |

*Remarks*

This appropriation is intended to cover the specific additional ERDF support under the Investment for growth and jobs goal to outermost and sparsely populated regions in the 2014-2020 period. This additional funding is intended to take into account the specific challenges faced by the outermost regions identified by Article 349 of the Treaty on the Functioning of the European Union and the northern sparsely populated regions fulfilling the criteria laid down in Article 2 of Protocol No 6 to the Treaty of Accession of Austria, Finland and Sweden.

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 63 (cont'd)

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 64 **European Regional Development Fund (ERDF) — European territorial cooperation***Remarks*

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation. It shall also include support for cooperation activities at the external borders of the Union, which is to be supported under the European Neighbourhood Instrument and the Instrument for Pre-Accession Assistance.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## 13 03 64 01 European Regional Development Fund (ERDF) — European territorial cooperation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 1 766 233 626       | 914 201 248 | 1 731 601 443       | 525 930 699 | 970 006 232,— | 301 358 833,83 |

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 64 (*cont'd*)13 03 64 01 (*cont'd*)*Remarks*

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 64 02 Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 9 584 130           | 4 140 836 | 9 396 205           | 1 312 500 | 5 171 292,—  | 1 500 000,— |

*Remarks*

This appropriation is intended to cover the contribution of the Instrument of Pre-accession Assistance (IPA II) to ERDF transnational and interregional cooperation programmes in which the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 participate.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.



CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 64 (*cont'd*)13 03 64 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

13 03 64 03 Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| 1 368 000           | 242 000  | 1 459 650           | 231 000  | 1 414 450,—  | 264 000,— |

*Remarks*

This appropriation is intended to cover support from the European Neighbourhood Instrument (ENI) under the European territorial cooperation goal in the 2014-2020 programming period for the Baltic Sea cross-border cooperation programme. Support under both the ENI and the European Regional Development Fund (ERDF) should be provided for the cross-border cooperation programmes between, on the one hand, Member States and, on the other hand, partner countries and/or the Russian Federation ('other cross-border cooperation participating countries') along the external borders of the Union, in order to promote integrated and sustainable regional development and cooperation between neighbouring border areas and harmonious territorial integration across the Union and with neighbouring countries.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

**13 03 65 European Regional Development Fund (ERDF) — Operational technical assistance**

13 03 65 01 European Regional Development Fund (ERDF) — Operational technical assistance

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 74 000 000          | 69 000 000 | 74 000 000          | 69 400 000 | 68 539 152,12 | 65 071 293,54 |

*Remarks*

This appropriation is intended to support the preparatory, monitoring, technical assistance, evaluation, audit and control measures, as well as the Corporate Communication programme, necessary for implementing Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 65 02 European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 4 227 951 | p.m.                | 1 028 043 | 16 219 272,— | 8 958 676,44 |

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in that Member State.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 65** (*cont'd*)13 03 65 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) 2017/825 of the European Parliament and of the Council of 17 May 2017 on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 (OJ L 129, 19.5.2017, p. 1).

**13 03 66** ***European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 54 152 324          | 41 821 859 | 53 090 514          | 42 472 411 | 52 049 523,— | 41 639 618,40 |

*Remarks*

This appropriation is intended to support ERDF innovative actions at the initiative of the Commission in the area of sustainable urban development according to Article 8 of Regulation (EU) No 1301/2013. The innovative actions shall include studies and pilot projects to identify or test new solutions to issues relating to sustainable urban development which are of relevance at Union level.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 67** **Macro-regional strategies 2014-2020 — European strategy for the Baltic Sea region — Technical assistance***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 248 943  | p.m.                | p.m.     | 0,—          | 44 575,85 |

*Remarks*

This appropriation is intended to implement the European Union strategy for the Baltic Sea region.

The continuation of the support to technical assistance action in 2014 should be used to:

- keep on helping priority area coordinators in their coordination activity,
- participate in an implementation facility with EIB, if requested by Baltic Member-States,
- develop a more ambitious communication strategy on the European Union strategy for the Baltic Sea region.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 68** **Macro-regional strategies 2014-2020 — European Union strategy for the Danube region — Technical assistance***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 214 828  | p.m.                | 500 000  | 0,—          | 535 171,62 |

*Remarks*

This appropriation is intended to implement the European Union strategy for the Danube region.

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 68 (cont'd)

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 77 **Pilot projects and preparatory actions**

13 03 77 03 Preparatory action — Promoting a more favourable environment for micro-credit in Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 06 Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 40 880,— |

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TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 06 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 07 Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 773 558  | p.m.                | p.m.     | 0,—          | 115 073,68 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 08 Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 322 551  | 0,—          | 287 554,37 |

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 08 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 09 Preparatory action on an Atlantic Forum for the European Union Atlantic strategy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 334 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 12 Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 1 093 974 | p.m.                | 1 234 347 | 0,—          | 1 563 697,11 |

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TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 12 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 13 Pilot project — Cohesion policy and the synergies with the research and development funds: the 'stairway to excellence'

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | p.m.     | p.m.                | 600 000  | 0,—          | 1 500 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 14 Preparatory action — A regional strategy for the North Sea region

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 50 638,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.



## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 14 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 15 Preparatory action — World cities: EU-third countries cooperation on urban development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|-----------|---------------------|----------|--------------|------------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 1 124 221 | p.m.                | 750 000  | 1 500 000,—  | 700 880,42 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 16 Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 350 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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## TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 16 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 17 Preparatory action — EU-CELAC cooperation on territorial cohesion

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 798 674 | 2 000 000           | 1 700 000 | 2 000 000,—  | 1 326,28 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will continue developing a dialogue on territorial cohesion and regional development policies, with a particular focus on cross-border cooperation and on territorial development strategies, as well as on the promotion of good governance by strengthening the administrative capacity of national, regional and local/urban authorities in the fields of economic development, innovation and cohesion. The core objectives of this preparatory action introduced in the 2016 budget are to develop multi-level governance systems through training and technical assistance programmes in the context of strategic planning and to encourage an integrated approach for territorial development. It is promoting cooperation between regional and local authorities and the private sector on both the Union and CELAC sides.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 18 Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| 1 500 000           | 2 000 000 | 1 500 000           | 1 000 000 | 1 000 000,—  | 0,—      |

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 18 (cont'd)

*Remarks*

This preparatory action will provide assistance also to regions substantially lagging behind in efforts to strengthen their 'stairway to excellence', and provide lessons for the future. This preparatory action, introduced in the 2016 budget, is organised along two main lines: (1) more detailed action on specific bottlenecks at national level; (2) more solid support to solve the most recurrent weaknesses in taking up corresponding policy recommendations. Specific measures under this preparatory action consist in setting up a review process on the basis of smart specialisation strategies agreed by all stakeholders, as well as in providing policymakers with evidence and experience relating to Horizon 2020 and ESI Fund 2014-2020 implementation, especially as regards R&D expenditure. The aim is also to identify ongoing positive/negative trends with regard to participation in cross-border consortia.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 19 Preparatory action — Support for growth and governance in regions whose development is lagging behind

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |           |
|---------------------|-----------|---------------------|-----------|--------------|-----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments  |
| 2 000 000           | 2 000 000 | 1 000 000           | 1 000 000 | 1 000 000,—  | 500 000,— |

*Remarks*

This preparatory action builds on the positive outcomes of a previous European Parliament initiative on refinement and implementation of an RIS3 strategy in the Greek region of Eastern Macedonia and Thrace (REMTh), which served as a test bed for the theory of smart specialisation. It provides appropriate and specific support for activities in selected regions that are lagging behind, together with a more horizontal approach to key issues regarding the growth and governance of these regions. This preparatory action targets two types of regions that are lagging behind: low growth regions (regions in Member States that had a GDP per capita in purchasing power standards (PPS) below the Union average in 2012 and did not converge with the Union average between 2002 and 2012 — regions in Greece, Italy, Spain and Portugal) and underdeveloped regions (regions with a GDP per capita in PPS below 50 % of the Union average in 2011 — several regions in Bulgaria, Hungary, Poland and Romania). This preparatory action introduced in the 2016 budget aims to support eight regions: four low-growth regions and four underdeveloped regions, focusing on governance, transnational cooperation and refinement of the RIS3 model. The specific activities under this preparatory action require back-to-back on-site events in each region, including stakeholder events and peer reviews, providing support for critical aspects for implementing the RIS3 in each region, as well as the deployment of cross-cutting activities such as evidence-based support for policy development and further development of practical support for regions across the Union whose development is lagging behind.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 19 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 20 Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| p.m.                | 1 000 000 | p.m.                | 1 000 000 | 2 000 000,—  | 1 000 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The preparatory action will seek to ensure a coherent, coordinated and sustainable approach, to secure, develop and enhance engagement of all relevant stakeholders (business, academia, research organisations and civil society) and to create linkage between regional research and innovation smart specialisation strategy/strategies (RIS3) and the existing national RIS3, given the difficulties of the Romanian innovation system. The action will cover those regions where no RIS3 has been devised or started, and those whose RIS3 needs to be improved. The preparatory action will also seek to identify, assess, and support exploitation of the economic potential in a selected region, and to explore its innovation potential and the scope for its expansion. It will clearly target identification of economic competitive advantages and the potential for specialisation at regional level based on an entrepreneurship discovery process, establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for preparation of the relevant policy documents. It may also look into how to enhance cooperation and coordination at all levels (including between national ministries).

It should also provide information on how best to channel cohesion policy funding towards implementation of national and regional RIS3 and thus support implementation and management of operational programmes. It will make use of existing mechanisms such as the platform in Seville. The preparatory action may lead to adjustments to the financial programming documents.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

## 13 03 77 (cont'd)

13 03 77 21 Pilot project —European Union Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 1 300 000           | 1 300 000 | 1 300 000           | 650 000  |              |          |

## Remarks

This pilot project will organise and develop:

- effective multi-level governance in pursuit of the objectives laid down in the EU Strategy for the Adriatic and Ionian region (EUSAIR),
- capacity building among EUSAIR key implementers as a prerequisite for effective implementation of the EUSAIR Action Plan,
- generation and preparation and actual implementation of initiatives and projects with a genuine macro-regional value,
- awareness-raising initiatives, cultural events, training programmes and/or seminars for citizens and especially for young people in the region, with the aim of creating ownership with regard to EUSAIR, of emphasising shared regional identity and of promoting partnership and networking across the region. These programmes should stress civic education, transnational entrepreneurship, opportunities for cultural events and good-neighbourly relations, and help promote effective integration of candidate and potential candidate countries for Union membership.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 22 Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| 2 000 000           | 2 000 000 | 2 000 000           | 1 000 000 |              |          |

## Remarks

The EU Strategy for the Alpine Region covers seven countries: Austria, France, Germany, Italy, Slovenia, Liechtenstein and Switzerland.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 22 (*cont'd*)

The general objective is to promote sustainable economic and social prosperity of the Alpine Region through growth and job creation, by improving its attractiveness, competitiveness and connectivity, while at the same time preserving the environment and ensuring a healthy and balanced ecosystem reducing the economic and social imbalance between the different areas of the macro-region because of the specific features of mountain areas. The Alpine Region contains Europe's largest mountain range, with low population density, high vulnerability to climate change and biodiversity loss, a high degree of seasonality, especially in some tourist areas, and ageing populations. Transport and energy infrastructure is also a critical factor because of its impact on the landscape.

During the second phase, the preparatory action will:

- identify, analyse and foster all best innovative practices and existing networks for green solutions in the Alpine region and in the pre-alpine areas, thus facilitating knowledge transfer and exchange of innovation in a circular economy, with a particular focus on strategic sectors such as tourism and agriculture,
- foster integration with a particular focus on the role of young people, by identifying solutions for job creation, such as better integration between education, vocational training and business,
- identify actions for the provision of e-services which could benefit the most vulnerable sections of the Alpine population, such as young people and the elderly,
- identify pilot actions for sustainable transport at local level.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 23 Preparatory action — Urban agenda for the EU

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 250 000 | 2 500 000           | 1 250 000 |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Under the urban agenda for the EU, a number of working groups (called 'Partnerships') will be set up to identify innovative solutions to the problems faced by cities and the potential they could harness. These innovative solutions will concern the main urban issues: urban mobility, air quality, affordable housing, urban poverty, etc. The aim of this preparatory action is to facilitate the participation of cities in this innovative approach and to experiment with a new way of involving cities in policymaking and delivery.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 77** (*cont'd*)13 03 77 23 (*cont'd*)

This preparatory action will be particularly important, in order to provide input to the (re)designing of various Union policies, including cohesion policy. Indeed, the Partnerships will all have to identify how to make better use of funds and knowledge (base and exchange) to support the work in cities and by cities, thereby providing useful inputs for policymakers.

The preparatory action will support work by the Commission, Member States and cities to come up with these innovative solutions. It will focus on the following activities:

- providing expertise and administrative support to the Partnerships by:
  - supporting the coordinators in managing their work (organising meetings, reporting, monitoring, etc.),
  - providing specific expertise/experts,
- preparing and organising meetings and workshops to:
  - communicate to a wider audience the different urban issues partnerships work on (including consultation on the Action Plans),
  - work on synergies between Partnerships on the different urban issues (such as urban poverty and housing),
  - gain specific knowledge from experts at expert meetings or subgroup meetings on specific issues organised within the Partnerships (for example on undocumented migrants),
  - implement a real multi-level governance approach within the working partners,
- monitoring and reporting on the progress of the work,
- providing an overview of concrete proposals (Action Plan) for changes in the different Union policy fields (with recommendations for better regulation, better funding and better knowledge) resulting from the Partnerships,
- developing and testing innovative solutions for the involvement of cities in policymaking and delivery of Union goals,
- developing urban one-stop shops — single point of information on the urban dimension of Union policies.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 24 Pilot project — Measuring what matters to EU citizens: social progress in European regions

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 900 000             | 450 000  |                     |          |              |          |

*Remarks*

The EU Regional Social Progress Index (RSPI) represents the first comprehensive framework for measuring social progress that is independent of traditional economic indicators. Sitting alongside economic indicators as a complementary benchmark for performance, the RSPI provides a systematic, empirical foundation that can inform public policies and bring the Union closer to its citizens.

This pilot project will deploy the EU RSPI in at least five Union regions, with a particular focus on Southern and Central European regions that are lagging behind. The project will have several stages: 1) training for local and regional authorities in the respective regions in the methodology and use of the RSPI; 2) conducting an in-depth analysis of EU RSPI results; and 3) facilitating cooperation between selected regions to address similar challenges and emulate best practices.

The findings and reports from the project will inform post-2020 cohesion policy programming and regional development strategies, with the possibility of scaling up the project to include more regions in the future.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER 13 04 — COHESION FUND (CF)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                     | FF  | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                        | % Payments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------|----------------------|-------------------------|------------------------|-----------------------------|
|                                     |                                                                                                             |     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments               |                             |
| 13 04                               | COHESION FUND (CF)                                                                                          |     |                      |                      |                      |                      |                         |                        |                             |
| <b>13 04 01</b>                     | <b>Completion of Cohesion Fund projects (prior to 2007)</b>                                                 | 1.2 | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 20 928 046,09           | 93 075 436,33          |                             |
| <b>13 04 02</b>                     | <b>Completion of Cohesion Fund (2007 to 2013)</b>                                                           | 1.2 | p.m.                 | 750 000 000          | p.m.                 | 73 285 963           | 0,—                     | 3 139 102 236,55       | 418,55                      |
| <b>13 04 03</b>                     | <b>Completion of risk-sharing instruments financed from the Cohesion Fund envelope (2007 to 2013)</b>       | 1.2 | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                    |                             |
| <b>13 04 60</b>                     | <b>Cohesion Fund — Investment for growth and jobs goal</b>                                                  | 1.2 | 9 393 849 254        | 7 706 279 222        | 9 055 827 791        | 5 651 952 000        | 8 732 034 574,—         | 4 191 055 956,11       | 54,38                       |
| <b>13 04 61</b>                     | <b>Cohesion Fund — Operational technical assistance</b>                                                     |     |                      |                      |                      |                      |                         |                        |                             |
| 13 04 61 01                         | Cohesion Fund — Operational technical assistance                                                            | 1.2 | 24 307 786           | 22 300 000           | 24 307 786           | 22 300 000           | 20 972 122,16           | 22 754 789,01          | 102,04                      |
| 13 04 61 02                         | Cohesion Fund — Operational technical assistance managed by the Commission at the request of a Member State | 1.2 | p.m.                 | 1 814 380            | p.m.                 | 711 532              | 6 449 438,—             | 2 990 005,—            | 164,79                      |
|                                     | Article 13 04 61 — Subtotal                                                                                 |     | 24 307 786           | 24 114 380           | 24 307 786           | 23 011 532           | 27 421 560,16           | 25 744 794,01          | 106,76                      |
|                                     | <b>Chapter 13 04 — Total</b>                                                                                |     | <b>9 418 157 040</b> | <b>8 480 393 602</b> | <b>9 080 135 577</b> | <b>5 748 249 495</b> | <b>8 780 384 180,25</b> | <b>7 448 978 423,—</b> | <b>87,84</b>                |

*Remarks*

Article 177, second paragraph, of the Treaty on the Functioning of the European Union provides that a Cohesion Fund is to be set up to support projects in the fields of the environment and trans-European networks in the area of transport infrastructure.

Article H of Annex II to Regulation (EC) No 1164/94, Articles 100 and 102 of Regulation (EC) No 1083/2006, and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the Cohesion Fund.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law. Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of prefinancing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of prefinancing applicable to the Cohesion Fund.

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**CHAPTER 13 04 — COHESION FUND (CF) (cont'd)**

Prefinancing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Anti-fraud operations will be financed under Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 177 thereof.

Council Regulation (EC) No 1164/94 of 16 May 1994 (OJ L 130, 25.5.1994, p. 1), establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 100 and 102 thereof.

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing a Cohesion Fund and repealing Regulation (EC) No 1164/94 (OJ L 210, 31.7.2006, p. 79).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

*Reference acts*

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

**13 04 01 Completion of Cohesion Fund projects (prior to 2007)**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |               |
|---------------------|----------|---------------------|----------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 20 928 046,09 | 93 075 436,33 |

**CHAPTER 13 04 — COHESION FUND (CF) (cont'd)****13 04 01** (cont'd)*Remarks*

This appropriation is intended to cover the commitments of the Cohesion Fund remaining to be settled before 2000 and completion of the 2000-2006 programming period.

*Legal basis*

Council Regulation (EEC) No 792/93 of 30 March 1993 establishing a cohesion financial instrument (OJ L 79, 1.4.1993, p. 74).

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

*Reference acts*

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

**13 04 02** **Completion of Cohesion Fund (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016 |                  |
|---------------------|-------------|---------------------|------------|--------------|------------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments  | Payments         |
| p.m.                | 750 000 000 | p.m.                | 73 285 963 | 0,—          | 3 139 102 236,55 |

*Remarks*

This appropriation is intended to cover commitments to be settled for the Cohesion Fund from the 2007-2013 programming period.

This appropriation is also intended to cover commitments remaining to be settled from the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

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## CHAPTER 13 04 — COHESION FUND (CF) (cont'd)

## 13 04 02 (cont'd)

This appropriation is also intended to cover commitments remaining to be settled for measures approved by the Commission in the context of the preparation of the 2014-2020 programming period.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing the Cohesion Fund (EC) (OJ L 210, 31.7.2006, p. 79).

*Reference acts*

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174 and 177 thereof.

13 04 03 **Completion of risk-sharing instruments financed from the Cohesion Fund envelope (2007 to 2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to finance the risk sharing instruments from the Cohesion Fund envelope for Member States which are experiencing, or threatened with, serious difficulties with respect to their financial stability.

Reflows and amounts left-over after the completion of an operation covered by a risk sharing instrument may be reused within the risk-sharing instrument if the Member State still meets the conditions set out in Article 77(2) of Regulation (EC) No 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered to be assigned revenue.

**CHAPTER 13 04 — COHESION FUND (CF)** (*cont'd*)**13 04 03** (*cont'd*)

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue shall give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 36a thereof.

Regulation (EU) No 423/2012 of the European Parliament and of the Council of 22 May 2012, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk-sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (OJ L 133, 23.5.2012, p. 1).

**13 04 60** ***Cohesion Fund — Investment for growth and jobs goal****Figures (Differentiated appropriations)*

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016    |                  |
|---------------------|---------------|---------------------|---------------|-----------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments     | Payments         |
| 9 393 849 254       | 7 706 279 222 | 9 055 827 791       | 5 651 952 000 | 8 732 034 574,— | 4 191 055 956,11 |

*Remarks*

This appropriation is intended to cover Cohesion Fund support under the Investment for growth and jobs goal in the 2014-2020 programming period. The Cohesion Fund will continue to support Member States with gross national income (GNI) per capita of less than 90 % of the Union average. The appropriation, while ensuring an appropriate balance and according to the investment and infrastructure needs specific to each Member State, is intended to support:

- investments in the environment, including areas related to sustainable development and energy which present environmental benefits,
- trans-European networks in the area of transport infrastructure, in compliance with the guidelines adopted by Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

*Legal basis*

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

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## CHAPTER 13 04 — COHESION FUND (CF) (cont'd)

## 13 04 60 (cont'd)

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 04 61 **Cohesion Fund — Operational technical assistance**

## 13 04 61 01 Cohesion Fund — Operational technical assistance

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 24 307 786          | 22 300 000 | 24 307 786          | 22 300 000 | 20 972 122,16 | 22 754 789,01 |

## Remarks

This appropriation is intended to support the preparatory, monitoring, technical assistance, evaluation, audit and control measures, as well as the Corporate Communication programme, necessary for implementing the Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation.

## Legal basis

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## 13 04 61 02 Cohesion Fund — Operational technical assistance managed by the Commission at the request of a Member State

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|-----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | 1 814 380 | p.m.                | 711 532  | 6 449 438,—  | 2 990 005,— |

**CHAPTER 13 04 — COHESION FUND (CF)** (*cont'd*)**13 04 61** (*cont'd*)13 04 61 02 (*cont'd*)*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in that Member State.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) 2017/825 of the European Parliament and of the Council of 17 May 2017 on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 (OJ L 129, 19.5.2017, p. 1).

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## CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                 | FF  | Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016 |                | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|-------------|--------------|----------------|-------------------------|
|                                     |                                                                                                                                                                                                                         |     | Commitments         | Payments   | Commitments         | Payments    | Commitments  | Payments       |                         |
| 13 05                               | INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION                                                                                                                 |     |                     |            |                     |             |              |                |                         |
| <b>13 05 01</b>                     | <b>Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)</b>                                                                                                     |     |                     |            |                     |             |              |                |                         |
| 13 05 01 01                         | Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)                                                                                                      | 4   | p.m.                | p.m.       | p.m.                | p.m.        | 0,—          | 1 509 369,74   |                         |
| 13 05 01 02                         | Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries                                                                                        | 4   | p.m.                | p.m.       | p.m.                | p.m.        | 0,—          | 0,—            |                         |
|                                     | <i>Article 13 05 01 — Subtotal</i>                                                                                                                                                                                      |     | p.m.                | p.m.       | p.m.                | p.m.        | 0,—          | 1 509 369,74   |                         |
| <b>13 05 02</b>                     | <b>Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)</b>                                                                                                      | 4   | p.m.                | 14 062 935 | p.m.                | 162 784 410 | 0,—          | 372 788 757,28 | 2 650,86                |
| <b>13 05 03</b>                     | <b>Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)</b>                                                                                            |     |                     |            |                     |             |              |                |                         |
| 13 05 03 01                         | Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b                                                                                                                                          | 1.2 | p.m.                | 1 677 862  | p.m.                | 5 565 882   | 0,—          | 51 207 091,30  | 3 051,93                |
| 13 05 03 02                         | Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4 | 4   | p.m.                | 1 925 080  | p.m.                | 6 569 630   | 0,—          | 40 751 822,18  | 2 116,89                |
|                                     | <i>Article 13 05 03 — Subtotal</i>                                                                                                                                                                                      |     | p.m.                | 3 602 942  | p.m.                | 12 135 512  | 0,—          | 91 958 913,48  | 2 552,33                |



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## CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                    | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|--------------|--------------|-------------------------|
|                                     |                                                                                                                                            |     | Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |                         |
| <b>13 05 60</b>                     | <b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b> |     |                     |            |                     |            |              |              |                         |
| 13 05 60 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4   | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| 13 05 60 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>                    | 4   | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
|                                     | <i>Article 13 05 60 — Subtotal</i>                                                                                                         |     | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| <b>13 05 61</b>                     | <b>Support to Iceland</b>                                                                                                                  |     |                     |            |                     |            |              |              |                         |
| 13 05 61 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4   | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| 13 05 61 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>                    | 4   | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
|                                     | <i>Article 13 05 61 — Subtotal</i>                                                                                                         |     | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| <b>13 05 62</b>                     | <b>Support to Turkey</b>                                                                                                                   |     |                     |            |                     |            |              |              |                         |
| 13 05 62 01                         | Support for political reforms and related progressive alignment with the Union <i>acquis</i>                                               | 4   | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| 13 05 62 02                         | Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>                    | 4   | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
|                                     | <i>Article 13 05 62 — Subtotal</i>                                                                                                         |     | p.m.                | p.m.       | p.m.                | p.m.       | 0,—          | 0,—          |                         |
| <b>13 05 63</b>                     | <b>Regional integration and territorial cooperation</b>                                                                                    |     |                     |            |                     |            |              |              |                         |
| 13 05 63 01                         | Cross-border cooperation (CBC) — Contribution from Heading 1b                                                                              | 1.2 | 46 426 947          | 31 320 532 | 57 530 284          | 10 243 463 | 23 561 476,— | 23 561 476,— | 75,23                   |

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)

| Title<br>Chapter<br>Article<br>Item                                                                                                                                                                                                                       | Heading                                                      | FF | Appropriations 2018 |                   | Appropriations 2017 |                    | Outturn 2016        |                       | % Payments<br>2016/2018 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|----|---------------------|-------------------|---------------------|--------------------|---------------------|-----------------------|-------------------------|
|                                                                                                                                                                                                                                                           |                                                              |    | Commitments         | Payments          | Commitments         | Payments           | Commitments         | Payments              |                         |
| <b>13 05 63</b>                                                                                                                                                                                                                                           | ( <i>cont'd</i> )                                            |    |                     |                   |                     |                    |                     |                       |                         |
| 13 05 63 02                                                                                                                                                                                                                                               | Cross-border cooperation (CBC) — Contribution from Heading 4 | 4  | 46 426 947          | 31 320 532        | 57 530 284          | 10 243 463         | 23 561 476,—        | 23 561 476,—          | 75,23                   |
|                                                                                                                                                                                                                                                           | Article 13 05 63 — Subtotal                                  |    | 92 853 894          | 62 641 064        | 115 060 568         | 20 486 926         | 47 122 952,—        | 47 122 952,—          | 75,23                   |
|                                                                                                                                                                                                                                                           | <b>Chapter 13 05 — Total</b>                                 |    | <b>92 853 894</b>   | <b>80 306 941</b> | <b>115 060 568</b>  | <b>195 406 848</b> | <b>47 122 952,—</b> | <b>513 379 992,50</b> | <b>639,27</b>           |
| <p>(<sup>1</sup>) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.</p> |                                                              |    |                     |                   |                     |                    |                     |                       |                         |

**13 05 01 Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)***Remarks*

Aid provided by the Instrument for Structural Policies for Pre-accession (ISPA) was intended to help the candidate countries of Central and Eastern Europe in their accession to the Union. ISPA was used to help the beneficiary countries to comply with the Union *acquis* in the environment and transport fields.

**13 05 01 01 Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 509 369,74 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (cont'd)**13 05 01** (cont'd)

13 05 01 02 Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

**13 05 02** ***Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 14 062 935 | p.m.                | 162 784 410 | 0,—          | 372 788 757,28 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (cont'd)**13 05 02** (cont'd)*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**13 05 03** **Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)**

13 05 03 01 Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 1 677 862 | p.m.                | 5 565 882 | 0,—          | 51 207 091,30 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the European Regional Development Fund 2007-2013 contribution for cross-border cooperation and the technical assistance provided outside the Commission which is required for the implementation in the Member States.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (cont'd)**13 05 03** (cont'd)

13 05 03 02 Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 1 925 080 | p.m.                | 6 569 630 | 0,—          | 40 751 822,18 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**13 05 60** **Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia**

13 05 60 01 Support for political reforms and related progressive alignment with the Union *acquis*

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

<sup>(1)</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 60** (*cont'd*)13 05 60 01 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Part of this appropriation will be used for measures to help the beneficiary countries meet the requirements stemming from visa-free travel to and from the Schengen countries, or, in the case of Kosovo, measures to speed up the visa liberalisation process.

Part of this appropriation will be used for measures with a view to reconciliation between the countries, peoples and ethnic groups throughout the Western Balkans, and to support efforts to promote an impartial view on historical and political events.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (b) of Article 2(1) thereof.

13 05 60 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 60** (*cont'd*)13 05 60 02 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**13 05 61** ***Support to Iceland***13 05 61 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 61** (*cont'd*)13 05 61 01 (*cont'd*)

- support for political reforms,
- strengthening the ability of beneficiary countries to fulfil the obligations stemming from membership by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

13 05 61 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.



**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (cont'd)**13 05 61** (cont'd)

## 13 05 61 02 (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**13 05 62** **Support to Turkey**13 05 62 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (cont'd)**13 05 62** (cont'd)

13 05 62 01 (cont'd)

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

13 05 62 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 63** *Regional integration and territorial cooperation*

13 05 63 01 Cross-border cooperation (CBC) — Contribution from Heading 1b

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 46 426 947          | 31 320 532 | 57 530 284          | 10 243 463 | 23 561 476,— | 23 561 476,— |

*Remarks*

This appropriation is intended to cover the ERDF support under the European territorial cooperation goal in the 2014-2020 programming period to cross-border cooperation under the Instrument for Pre-Accession Assistance (IPA II).

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259), and in particular Article 4 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

13 05 63 02 Cross-border cooperation (CBC) — Contribution from Heading 4

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 46 426 947          | 31 320 532 | 57 530 284          | 10 243 463 | 23 561 476,— | 23 561 476,— |

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 63** (*cont'd*)13 05 63 02 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I to Regulation (EU) No 231/2014, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014 of the European Parliament and of the Council establishing a European Neighbourhood Instrument.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular point (d) of Article 2(1) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

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## CHAPTER 13 06 — SOLIDARITY FUND

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                   | FF | Appropriations 2018 |            | Appropriations 2017 |               | Outturn 2016 |              | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|---------------|--------------|--------------|-------------------------|
|                                     |                                                                                                                                                                                           |    | Commitments         | Payments   | Commitments         | Payments      | Commitments  | Payments     |                         |
| 13 06                               | SOLIDARITY FUND                                                                                                                                                                           |    |                     |            |                     |               |              |              |                         |
| 13 06 01                            | <i>Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy</i>                       | 9  | 50 000 000          | 50 000 000 | 1 241 200 013       | 1 241 200 013 | 32 774 210,— | 32 774 210,— | 65,55                   |
| 13 06 02                            | <i>Assistance to countries negotiating for accession in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy</i> | 9  | p.m.                | p.m.       | p.m.                | p.m.          | 0,—          | 0,—          |                         |
| Chapter 13 06 — Total               |                                                                                                                                                                                           |    | 50 000 000          | 50 000 000 | 1 241 200 013       | 1 241 200 013 | 32 774 210,— | 32 774 210,— | 65,55                   |

**13 06 01** *Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |               | Outturn 2016 |              |
|---------------------|------------|---------------------|---------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments      | Commitments  | Payments     |
| 50 000 000          | 50 000 000 | 1 241 200 013       | 1 241 200 013 | 32 774 210,— | 32 774 210,— |

*Remarks*

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major or regional disasters in the Member States. Assistance should be provided in connection with natural disasters to the Member States concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

With the exception of advance payments, the allocation of the appropriations will be carried out by transfers of appropriations from the reserve or, in case of insufficient appropriations in the reserve, by an amending budget simultaneously to the mobilisation decision of the European Union Solidarity Fund.

*Legal basis*

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884), and in particular Article 10 thereof.

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CHAPTER 13 06 — SOLIDARITY FUND (*cont'd*)**13 06 02 Assistance to countries negotiating for accession in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major or regional disasters in countries involved in accession negotiations with the Union. Assistance may be provided in connection with natural disasters to the countries concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

With the exception of advance payments, the allocation of the appropriations will be carried out by transfers of appropriations from the reserve or, in case of insufficient appropriations in the reserve, by an amending budget simultaneously to the mobilisation decision of the European Union Solidarity Fund.

*Legal basis*

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884), and in particular Article 10 thereof.

## CHAPTER 13 07 — AID REGULATION

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                            | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                      | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|----------------------|-------------------------|
|                                     |                                                                                                    |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments             |                         |
| 13 07                               | AID REGULATION                                                                                     |    |                     |                   |                     |                   |                     |                      |                         |
| <b>13 07 01</b>                     | <b>Financial support for encouraging the economic development of the Turkish Cypriot community</b> | 4  | 34 473 000          | 26 000 000        | 34 836 240          | 39 031 865        | 33 408 392,—        | 22 581 228,57        | 86,85                   |
|                                     | <b>Chapter 13 07 — Total</b>                                                                       |    | <b>34 473 000</b>   | <b>26 000 000</b> | <b>34 836 240</b>   | <b>39 031 865</b> | <b>33 408 392,—</b> | <b>22 581 228,57</b> | <b>86,85</b>            |

**13 07 01** *Financial support for encouraging the economic development of the Turkish Cypriot community**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 34 473 000          | 26 000 000 | 34 836 240          | 39 031 865 | 33 408 392,— | 22 581 228,57 |

*Remarks*

This appropriation is intended to cover the continuation of aid under Regulation (EC) No 389/2006 to facilitate the reunification of Cyprus by encouraging the economic development of the Turkish Cypriot community with particular emphasis on economic integration of the island, on improving contacts between the two communities and with the Union, and on preparation for the *acquis*. Assistance is delivered in the areas specified in that Regulation, and includes notably the promotion of social and economic developments, the development and restructuring of infrastructure, reconciliation, confidence building measures and support to civil society, and bringing the Turkish Cypriot community closer to the Union, including scholarships for Turkish Cypriot students. Also, the TAIEX instrument is used for the preparation of legal texts for the purpose of these being applicable upon the entry into force of a comprehensive settlement of the Cyprus problem, as well as for the preparation of the Union *acquis* immediately following a political settlement for reunification.

The appropriations will, in particular, allow for the continuation of Union financial support to facilitate the intensification of the work of the Committee on Missing Persons in order to meet the goals of its strategic plan on the faster identification of missing persons, as well as the implementation of the decisions of the bi-communal Technical Committee on Cultural Heritage, which should include projects of minorities.

This appropriation is to be used, inter alia, to sustain the outcome of works, supplies and grants being funded under earlier allocations. In addition, grant schemes addressed to a large variety of economic and civil society beneficiaries (non-governmental organisations, students and teachers, schools, farmers, small villages, and the private sector) may continue. These activities aim at socio-economic development and are driven by the prospect of reunification. Priority should be given, where possible, to reconciliation projects that create bridges between the two communities and build confidence. These measures underline the strong desire and commitment of the Union to Cyprus settlement and reunification.

*Legal basis*

Council Regulation (EC) No 389/2006 of 27 February 2006 establishing an instrument of financial support for encouraging the economic development of the Turkish Cypriot community and amending Council Regulation (EC) No 2667/2000 on the European Agency for Reconstruction (OJ L 65, 7.3.2006, p. 5).

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## CHAPTER 13 08 — STRUCTURAL REFORM SUPPORT PROGRAMME (SRSP) – OPERATIONAL TECHNICAL ASSISTANCE

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                          | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016 |          | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|--------------|----------|-------------------------|
|                                     |                                                                                                                                                  |     | Commitments         | Payments          | Commitments         | Payments          | Commitments  | Payments |                         |
| 13 08                               | STRUCTURAL REFORM<br>SUPPORT PROGRAMME<br>(SRSP) – OPERATIONAL<br>TECHNICAL<br>ASSISTANCE                                                        |     |                     |                   |                     |                   |              |          |                         |
| <b>13 08 01</b>                     | <b>Structural Reform<br/>Support Programme<br/>(SRSP) – Operational<br/>technical assistance<br/>transferred from H1b<br/>(ESF, ERDF and CF)</b> |     |                     |                   |                     |                   |              |          |                         |
|                                     | Reserves (40 02 41)                                                                                                                              | 1.2 | 23 644 837          | 10 850 000        | p.m.                | p.m.              |              |          |                         |
|                                     |                                                                                                                                                  |     |                     |                   | 17 442 912          | 8 721 500         |              |          |                         |
|                                     |                                                                                                                                                  |     | 23 644 837          | 10 850 000        | 17 442 912          | 8 721 500         |              |          |                         |
| <b>13 08 02</b>                     | <b>Structural Reform<br/>Support Programme<br/>(SRSP) – Operational<br/>technical assistance<br/>transferred from H2<br/>(EAFRD)</b>             |     |                     |                   |                     |                   |              |          |                         |
|                                     | Reserves (40 02 41)                                                                                                                              | 2   | 6 855 163           | 3 150 000         | p.m.                | p.m.              |              |          |                         |
|                                     |                                                                                                                                                  |     |                     |                   | 5 057 088           | 2 528 500         |              |          |                         |
|                                     |                                                                                                                                                  |     | 6 855 163           | 3 150 000         | 5 057 088           | 2 528 500         |              |          |                         |
|                                     | <b>Chapter 13 08 — Total</b>                                                                                                                     |     | <b>30 500 000</b>   | <b>14 000 000</b> | <b>p.m.</b>         | <b>p.m.</b>       |              |          |                         |
|                                     | <b>Reserves (40 02 41)</b>                                                                                                                       |     |                     |                   | <b>22 500 000</b>   | <b>11 250 000</b> |              |          |                         |
|                                     |                                                                                                                                                  |     | <b>30 500 000</b>   | <b>14 000 000</b> | <b>22 500 000</b>   | <b>11 250 000</b> |              |          |                         |

**13 08 01 Structural Reform Support Programme (SRSP) – Operational technical assistance transferred from H1b (ESF, ERDF and CF)**

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |            | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|---------------------|------------|---------------------|-----------|--------------|----------|
|                     | Commitments         | Payments   | Commitments         | Payments  | Commitments  | Payments |
| 13 08 01            | 23 644 837          | 10 850 000 | p.m.                | p.m.      |              |          |
| Reserves (40 02 41) |                     |            | 17 442 912          | 8 721 500 |              |          |
| Total               | 23 644 837          | 10 850 000 | 17 442 912          | 8 721 500 |              |          |

Remarks

This appropriation is intended to cover expenses for the implementation of the Structural Reform Support Programme in order to contribute to institutional, administrative and structural reforms in the Member States by providing support to national authorities for measures aimed at reforming institutions, governance, administration, economic and social sectors in response to economic and social challenges, with a view to enhancing competitiveness, growth, jobs, and investment, in particular in the context of economic governance processes, including through assistance for the efficient and effective use of the Union funds.



**CHAPTER 13 08 — STRUCTURAL REFORM SUPPORT PROGRAMME (SRSP) – OPERATIONAL TECHNICAL ASSISTANCE** (cont'd)**13 08 01** (cont'd)

This support will aim in particular to:

- assist national authorities with their initiatives to design their reforms according to priorities, taking into account initial conditions and expected socio-economic impacts,
- support the national authorities by enhancing their capacity to formulate, develop and implement reform policies and strategies and to pursue an integrated approach ensuring consistency between goals and means across sectors,
- support the efforts of national authorities to define and implement appropriate processes and methodologies by taking into account good practices and lessons learned by other countries in addressing similar situations, and
- assist the national authorities to enhance the efficiency and effectiveness of human resources management, where appropriate, through definition of clear responsibilities and increase in professional knowledge and skills.

*Legal basis*

Regulation (EU) 2017/825 of the European Parliament and of the Council of 17 May 2017 on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 (OJ L 129, 19.5.2017, p. 1).

**13 08 02** **Structural Reform Support Programme (SRSP) – Operational technical assistance transferred from H2 (EAFRD)**

*Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|---------------------|-----------|---------------------|-----------|--------------|----------|
|                     | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| 13 08 02            | 6 855 163           | 3 150 000 | p.m.                | p.m.      |              |          |
| Reserves (40 02 41) |                     |           | 5 057 088           | 2 528 500 |              |          |
| Total               | 6 855 163           | 3 150 000 | 5 057 088           | 2 528 500 |              |          |

*Remarks*

This appropriation is intended to cover expenses for the implementation of the Structural Reform Support Programme in order to contribute to institutional, administrative and structural reforms in the Member States by providing support to national authorities for measures aimed at reforming institutions, governance, administration, economic and social sectors in response to economic and social challenges with a view to enhancing competitiveness, growth, jobs, and investment, in particular in the context of economic governance processes, including through assistance for the efficient and effective use of the Union funds.

This support will aim in particular to:

- assist national authorities with their initiatives to design their reforms according to priorities, taking into account initial conditions and expected socio-economic impacts,

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TITLE 13 — REGIONAL AND URBAN POLICY

**CHAPTER 13 08 — STRUCTURAL REFORM SUPPORT PROGRAMME (SRSP) – OPERATIONAL TECHNICAL ASSISTANCE** (*cont'd*)

**13 08 02** (*cont'd*)

- support the national authorities by enhancing their capacity to formulate, develop and implement reform policies and strategies and pursue an integrated approach ensuring consistency between goals and means across sectors,
- support the efforts of national authorities to define and implement appropriate processes and methodologies by taking into account good practices and lessons learned by other countries in addressing similar situations, and
- assist the national authorities to enhance the efficiency and effectiveness of human resources management, where appropriate, through definition of clear responsibilities and increase in professional knowledge and skills.

*Legal basis*

Regulation (EU) 2017/825 of the European Parliament and of the Council of 17 May 2017 on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013 (OJ L 129, 19.5.2017, p. 1).

*TITLE 14*

**TAXATION AND CUSTOMS UNION**

COMMISSION

TITLE 14 — TAXATION AND CUSTOMS UNION

**TITLE 14****TAXATION AND CUSTOMS UNION****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                             | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|-------------------------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                                     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 14 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE<br>'TAXATION AND CUSTOMS<br>UNION' POLICY AREA | 60 700 969          | 60 700 969         | 59 828 216          | 59 828 216         | 59 704 997,44         | 59 704 997,44         |
| 14 02            | CUSTOMS                                                                             | 80 012 930          | 73 152 375         | 83 024 779          | 66 129 779         | 74 777 875,54         | 67 369 842,73         |
| 14 03            | TAXATION                                                                            | 31 888 213          | 31 250 000         | 32 309 000          | 31 850 000         | 33 443 511,12         | 31 856 072,50         |
| 14 04            | POLICY STRATEGY AND<br>COORDINATION                                                 | 3 200 000           | 3 200 000          | 3 200 000           | 3 200 000          | 3 200 000,—           | 2 880 000,—           |
|                  | <b>Title 14 — Total</b>                                                             | <b>175 802 112</b>  | <b>168 303 344</b> | <b>178 361 995</b>  | <b>161 007 995</b> | <b>171 126 384,10</b> | <b>161 810 912,67</b> |

## TITLE 14

## TAXATION AND CUSTOMS UNION

## CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 14 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA                                                                           |     |                     |                     |                      |              |
| <b>14 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Taxation and customs union' policy area</i></b>                                   | 5.2 | 49 320 797          | 48 615 533          | 48 017 388,81        | 97,36        |
| <b>14 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Taxation and customs union' policy area</i></b>                         |     |                     |                     |                      |              |
| 14 01 02 01                         | External personnel                                                                                                                                   | 5.2 | 5 376 394           | 5 360 953           | 4 537 528,35         | 84,40        |
| 14 01 02 11                         | Other management expenditure                                                                                                                         | 5.2 | 2 615 519           | 2 616 013           | 3 257 708,69         | 124,55       |
|                                     | <i>Article 14 01 02 — Subtotal</i>                                                                                                                   |     | 7 991 913           | 7 976 966           | 7 795 237,04         | 97,54        |
| <b>14 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Taxation and customs union' policy area</i></b> | 5.2 | 3 188 259           | 3 035 717           | 3 692 391,09         | 115,81       |
| <b>14 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Taxation and customs union' policy area</i></b>                                      |     |                     |                     |                      |              |
| 14 01 04 01                         | Support expenditure for Customs                                                                                                                      | 1.1 | 100 000             | 100 000             | 99 980,50            | 99,98        |
| 14 01 04 02                         | Support expenditure for Fiscalis                                                                                                                     | 1.1 | 100 000             | 100 000             | 100 000,—            | 100,00       |
|                                     | <i>Article 14 01 04 — Subtotal</i>                                                                                                                   |     | 200 000             | 200 000             | 199 980,50           | 99,99        |
|                                     | <b>Chapter 14 01 — Total</b>                                                                                                                         |     | <b>60 700 969</b>   | <b>59 828 216</b>   | <b>59 704 997,44</b> | <b>98,36</b> |

**14 01 01** ***Expenditure related to officials and temporary staff in the 'Taxation and customs union' policy area***

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 49 320 797          | 48 615 533          | 48 017 388,81 |

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TITLE 14 — TAXATION AND CUSTOMS UNION

## CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA (cont'd)

**14 01 02 External personnel and other management expenditure in support of the 'Taxation and customs union' policy area**

## 14 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 376 394           | 5 360 953           | 4 537 528,35 |

## 14 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 615 519           | 2 616 013           | 3 257 708,69 |

**14 01 03 Expenditure related to information and communication technology equipment and services of the 'Taxation and customs union' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 188 259           | 3 035 717           | 3 692 391,09 |

**14 01 04 Support expenditure for operations and programmes in the 'Taxation and customs union' policy area**

## 14 01 04 01 Support expenditure for Customs

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 100 000             | 99 980,50    |

## Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as exists between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

## Legal basis

See Chapter 14 02.

## CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA (cont'd)

## 14 01 04 (cont'd)

## 14 01 04 02 Support expenditure for Fiscalis

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 100 000             | 100 000,—    |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as exists between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 14 03.

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TITLE 14 — TAXATION AND CUSTOMS UNION

## CHAPTER 14 02 — CUSTOMS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                  | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                          |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| 14 02                               | CUSTOMS                                                                  |     |                     |                   |                     |                   |                      |                      |                         |
| <b>14 02 01</b>                     | <b>Supporting the functioning and modernisation of the customs union</b> | 1.1 | 78 860 555          | 72 000 000        | 81 895 000          | 65 000 000        | 73 417 707,41        | 64 619 631,40        | 89,75                   |
| <b>14 02 02</b>                     | <b>Membership of international organisations in the field of customs</b> | 4   | 1 152 375           | 1 152 375         | 1 129 779           | 1 129 779         | 1 103 865,82         | 1 103 865,82         | 95,79                   |
| <b>14 02 51</b>                     | <b>Completion of former programmes in customs</b>                        | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 256 302,31           | 1 646 345,51         |                         |
|                                     | <b>Chapter 14 02 — Total</b>                                             |     | <b>80 012 930</b>   | <b>73 152 375</b> | <b>83 024 779</b>   | <b>66 129 779</b> | <b>74 777 875,54</b> | <b>67 369 842,73</b> | <b>92,10</b>            |

**14 02 01 Supporting the functioning and modernisation of the customs union**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 78 860 555          | 72 000 000 | 81 895 000          | 65 000 000 | 73 417 707,41 | 64 619 631,40 |

## Remarks

This appropriation is intended to cover expenditure on the implementation of the Customs 2020 programme, and in particular the financing of joint actions, IT capacity building and human competency building.

It covers in particular:

- the cost of the acquisition, development, maintenance, operation and quality control of Union components of European information systems. The Union components of the European information systems are: (1) IT assets such as the hardware, the software and the network connections of the systems including the associated data infrastructure; (2) IT services necessary to support the development, maintenance, improvement and operation of the systems; and (3) any other elements which, for reasons of efficiency, security and rationalisation, are identified by the Commission as common to participating countries,
- expenses related to seminars, workshops, project groups, working visits, monitoring activities, expert teams, administration capacity building and supporting actions, studies, and communication projects,
- the costs related to implementation of provisions for common training actions,
- expenses pertaining to preparatory, monitoring, control, audit and evaluation activities, required for the management of the programme and the achievement of its objectives,
- the costs of any other activity in support of the objectives and activity areas of the programme.



**CHAPTER 14 02 — CUSTOMS** (cont'd)**14 02 01** (cont'd)

Any revenue from the contributions from acceding countries, candidate countries, potential candidates benefiting from a pre-accession strategy and, partner countries under the European neighbourhood policy, provided they reached a sufficient level of approximation of the relevant legislation and administrative methods to those of the Union for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e) and (f) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with points (e) and (f) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209), and in particular Article 5 thereof.

**14 02 02** **Membership of international organisations in the field of customs***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 1 152 375           | 1 152 375 | 1 129 779           | 1 129 779 | 1 103 865,82 | 1 103 865,82 |

*Remarks*

This appropriation is intended to cover the contributions of the Union to the World Customs Organisation (WCO).

*Legal basis*

Council Decision 2007/668/EC of 25 June 2007 on the exercise of rights and obligations akin to membership ad interim by the European Community in the World Customs Organisation (OJ L 274, 18.10.2007, p. 11).

**14 02 51** **Completion of former programmes in customs***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 256 302,31   | 1 646 345,51 |

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TITLE 14 — TAXATION AND CUSTOMS UNION

CHAPTER 14 02 — CUSTOMS (*cont'd*)14 02 51 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Decision No 253/2003/EC of the European Parliament and of the Council of 11 February 2003 adopting an action programme for customs in the Community (Customs 2007) (OJ L 36, 12.2.2003, p. 1).

Decision No 624/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing an action programme for customs in the Community (Customs 2013) (OJ L 154, 14.6.2007, p. 25).

Decision No 70/2008/EC of the European Parliament and of the Council of 15 January 2008 on a paperless environment for customs and trade (OJ L 23, 26.1.2008, p. 21).

Regulation (EC) No 450/2008 of the European Parliament and of the Council of 23 April 2008 laying down the Community Customs Code (Modernised Customs Code) (OJ L 145, 4.6.2008, p. 1).

## CHAPTER 14 03 — TAXATION

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                    | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                                            |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 14 03                               | TAXATION                                                                                                                                                   |     |                     |                   |                     |                   |                      |                      |                             |
| <b>14 03 01</b>                     | <b>Improving the proper functioning of the taxation systems</b>                                                                                            | 1.1 | 31 888 213          | 31 000 000        | 31 809 000          | 31 000 000        | 32 443 511,12        | 31 746 249,25        | 102,41                      |
| <b>14 03 02</b>                     | <b>Membership of international organisations in the field of taxation</b>                                                                                  | 4   | p.m.                | p.m.              | p.m.                | 100 000           | 0,—                  | 12 338,—             |                             |
| <b>14 03 51</b>                     | <b>Completion of former programmes in taxation</b>                                                                                                         | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 51 412,75            |                             |
| <b>14 03 77</b>                     | <b>Pilot projects and preparatory acts</b>                                                                                                                 |     |                     |                   |                     |                   |                      |                      |                             |
| 14 03 77 01                         | Pilot project — Digital fiscal education system and tax payments                                                                                           | 1.1 | p.m.                | p.m.              | p.m.                | 250 000           | 500 000,—            | 0,—                  |                             |
| 14 03 77 02                         | Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud | 1.1 | p.m.                | 250 000           | 500 000             | 500 000           | 500 000,—            | 46 072,50            | 18,43                       |
|                                     | Article 14 03 77 — Subtotal                                                                                                                                |     | p.m.                | 250 000           | 500 000             | 750 000           | 1 000 000,—          | 46 072,50            | 18,43                       |
|                                     | <b>Chapter 14 03 — Total</b>                                                                                                                               |     | <b>31 888 213</b>   | <b>31 250 000</b> | <b>32 309 000</b>   | <b>31 850 000</b> | <b>33 443 511,12</b> | <b>31 856 072,50</b> | <b>101,94</b>               |

**14 03 01** **Improving the proper functioning of the taxation systems**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 31 888 213          | 31 000 000 | 31 809 000          | 31 000 000 | 32 443 511,12 | 31 746 249,25 |

## Remarks

This appropriation is intended to cover expenditure on the implementation of the Fiscalis 2020 programme, and in particular the financing of joint actions, IT capacity building and human competency building.

It covers in particular:

- the cost of the acquisition, development, maintenance, operation and quality control of Union components of European information systems. The Union components of the European information systems are: (1) IT assets such as the hardware, the software and the network connections of the systems including the associated data infrastructure; (2) IT services necessary to support the development, maintenance, improvement and operation of the systems; and (3) any other elements which, for reasons of efficiency, security and rationalisation, are identified by the Commission as common to participating countries,

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TITLE 14 — TAXATION AND CUSTOMS UNION

CHAPTER 14 03 — TAXATION (*cont'd*)14 03 01 (*cont'd*)

- expenses related to seminars, workshops, project groups, bilateral or multilateral controls, working visits, expert teams, public administration capacity building and supporting actions, studies, and communication projects,
- the costs related to implementation of provisions for common training actions,
- expenses pertaining to preparatory, monitoring, control, audit and evaluation activities required for the management of the programme and the achievement of its objectives,
- the costs of any other activity required in support of the objectives and priorities set out for the programme.

Any revenue from the contributions from acceding countries, candidate countries, potential candidates benefiting from a pre-accession strategy and, partner countries under the European Neighbourhood Policy, provided they reached a sufficient level of approximation of the relevant legislation and administrative methods to those of the Union for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 21(1) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1286/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme to improve the operation of taxation systems in the European Union for the period 2014-2020 (Fiscalis 2020) and repealing Decision No 1482/2007/EC (OJ L 347, 20.12.2013, p. 25), and in particular Article 5 thereof.

14 03 02 **Membership of international organisations in the field of taxation***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 100 000  | 0,—          | 12 338,— |

*Remarks*

This appropriation is intended to cover the contributions of the Union to the International Tax Dialogue (ITD).

*Reference acts*

Commission Decision of 4 June 2008 on the Community's participation in the work of the International Tax Dialogue.

## CHAPTER 14 03 — TAXATION (cont'd)

14 03 51 **Completion of former programmes in taxation**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 51 412,75 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Decision No 1152/2003/EC of the European Parliament and of the Council of 16 June 2003 on computerising the movement and surveillance of excisable products (OJ L 162, 1.7.2003, p. 5).

Council Regulation (EC) No 1798/2003 of 7 October 2003 on administrative cooperation in the field of value added tax and repealing Regulation (EEC) No 218/92 (OJ L 264, 15.10.2003, p. 1).

Decision No 1482/2007/EC of the European Parliament and of the Council of 11 December 2007 establishing a Community programme to improve the operation of taxation systems in the internal market (Fiscalis 2013) (OJ L 330, 15.12.2007, p. 1).

14 03 77 **Pilot projects and preparatory acts**

14 03 77 01 Pilot project — Digital fiscal education system and tax payments

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 250 000  | 500 000,—    | 0,—      |

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TITLE 14 — TAXATION AND CUSTOMS UNION

CHAPTER 14 03 — TAXATION (*cont'd*)14 03 77 (*cont'd*)14 03 77 01 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

14 03 77 02 Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 250 000  | 500 000             | 500 000  | 500 000,—    | 46 072,50 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 14 04 — POLICY STRATEGY AND COORDINATION

| Title<br>Chapter<br>Article<br>Item | Heading                                                              | FF  | Appropriations 2018 |                  | Appropriations 2017 |                  | Outturn 2016       |                    | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------|-----|---------------------|------------------|---------------------|------------------|--------------------|--------------------|-------------------------|
|                                     |                                                                      |     | Commitments         | Payments         | Commitments         | Payments         | Commitments        | Payments           |                         |
| 14 04                               | POLICY STRATEGY<br>AND COORDINATION                                  |     |                     |                  |                     |                  |                    |                    |                         |
| <b>14 04 01</b>                     | <b>Implementation and<br/>development of the<br/>internal market</b> | 1.1 | 3 200 000           | 3 200 000        | 3 200 000           | 3 200 000        | 3 200 000,—        | 2 880 000,—        | 90,00                   |
|                                     | <b>Chapter 14 04 — Total</b>                                         |     | <b>3 200 000</b>    | <b>3 200 000</b> | <b>3 200 000</b>    | <b>3 200 000</b> | <b>3 200 000,—</b> | <b>2 880 000,—</b> | <b>90,00</b>            |

**14 04 01** **Implementation and development of the internal market**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 3 200 000           | 3 200 000 | 3 200 000           | 3 200 000 | 3 200 000,—  | 2 880 000,— |

*Remarks*

This appropriation is intended to cover expenditure on studies, evaluations, meetings of experts, information, communication and publications directly linked to the achievement of the objectives of the programme or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion, operation and development of the internal market.

It supports Union customs policy and taxation policy and includes actions which cannot be financed by the Customs 2020 and Fiscalis 2020 programmes.

In the field of taxation and customs, this appropriation is intended primarily to cover:

- the cost of consultations, studies, analyses and impact assessments,
- activities in customs classification, and the acquisition of data,
- investment in software,
- the cost of translations,
- the production and development of publicity, awareness-raising and training materials.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 15*

**EDUCATION AND CULTURE**



**TITLE 15**  
**EDUCATION AND CULTURE**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                               | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|-----------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                       | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 15 01         | ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA | 119 720 794          | 119 720 794          | 118 438 201          | 118 438 201          | 126 161 188,21          | 126 161 188,21          |
|               | <i>Reserves (40 01 40)</i>                                            | 4 550 000            | 4 550 000            |                      |                      |                         |                         |
|               |                                                                       | 124 270 794          | 124 270 794          | 118 438 201          | 118 438 201          | 126 161 188,21          | 126 161 188,21          |
| 15 02         | ERASMUS+ PROGRAMME                                                    | 2 292 696 216        | 2 116 889 148        | 2 035 868 700        | 1 857 733 511        | 1 942 026 852,29        | 2 009 034 990,20        |
| 15 03         | HORIZON 2020                                                          | 1 281 904 894        | 1 195 166 464        | 1 120 668 383        | 1 104 848 420        | 1 096 953 007,73        | 1 147 747 452,43        |
| 15 04         | CREATIVE EUROPE PROGRAMME                                             | 111 784 000          | 70 974 985           | 89 382 000           | 63 509 222           | 81 836 707,28           | 73 328 060,47           |
| 15 05         | EUROPEAN SOLIDARITY CORPS                                             | p.m.                 | p.m.                 |                      |                      |                         |                         |
|               | <i>Reserves (40 02 41)</i>                                            | 38 235 652           | 28 676 000           |                      |                      |                         |                         |
|               |                                                                       | 38 235 652           | 28 676 000           |                      |                      |                         |                         |
|               | <b>Title 15 — Total</b>                                               | <b>3 806 105 904</b> | <b>3 502 751 391</b> | <b>3 364 357 284</b> | <b>3 144 529 354</b> | <b>3 246 977 755,51</b> | <b>3 356 271 691,31</b> |
|               | <b><i>Reserves (40 01 40, 40 02 41)</i></b>                           | <b>42 785 652</b>    | <b>33 226 000</b>    |                      |                      |                         |                         |
|               |                                                                       | <b>3 848 891 556</b> | <b>3 535 977 391</b> | <b>3 364 357 284</b> | <b>3 144 529 354</b> | <b>3 246 977 755,51</b> | <b>3 356 271 691,31</b> |

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TITLE 15 — EDUCATION AND CULTURE

## TITLE 15

## EDUCATION AND CULTURE

## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                          | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 15 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA                                                                            |     |                     |                     |               |             |
| <b>15 01 01</b>                     | <b><i>Expenditure relating to officials and temporary staff in the 'Education and culture' policy area</i></b>                                   | 5.2 | 47 212 071          | 46 785 301          | 46 800 369,97 | 99,13       |
| <b>15 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Education and culture' policy area</i></b>                          |     |                     |                     |               |             |
| 15 01 02 01                         | External personnel                                                                                                                               | 5.2 | 3 510 420           | 3 487 768           | 3 819 011,27  | 108,79      |
| 15 01 02 11                         | Other management expenditure                                                                                                                     | 5.2 | 1 803 819           | 1 866 415           | 2 282 399,03  | 126,53      |
|                                     | <i>Article 15 01 02 — Subtotal</i>                                                                                                               |     | 5 314 239           | 5 354 183           | 6 101 410,30  | 114,81      |
| <b>15 01 03</b>                     | <b><i>Expenditure relating to information and communication technology equipment and services of the 'Education and culture' policy area</i></b> | 5.2 | 3 051 944           | 2 921 432           | 3 598 783,70  | 117,92      |
| <b>15 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Education and culture' policy area</i></b>                                       |     |                     |                     |               |             |
| 15 01 04 01                         | Support expenditure for Erasmus+ programme                                                                                                       | 1.1 | 11 906 700          | 11 673 300          | 14 615 571,21 | 122,75      |
| 15 01 04 02                         | Support expenditure for Creative Europe Programme — Culture Sub-programme                                                                        | 3   | 899 100             | 864 320             | 1 098 238,36  | 122,15      |
| 15 01 04 03                         | Support expenditure for the European Solidarity Corps                                                                                            | 1.1 | p.m.                |                     |               |             |
|                                     | <i>Reserves (40 01 40)</i>                                                                                                                       |     | 4 550 000           |                     |               |             |
|                                     |                                                                                                                                                  |     | 4 550 000           |                     |               |             |
|                                     | <i>Article 15 01 04 — Subtotal</i>                                                                                                               |     | 12 805 800          | 12 537 620          | 15 713 809,57 | 122,71      |
|                                     | <i>Reserves (40 01 40)</i>                                                                                                                       |     | 4 550 000           |                     |               |             |
|                                     |                                                                                                                                                  |     | 17 355 800          | 12 537 620          | 15 713 809,57 |             |

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## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| <b>15 01 05</b>                     | <b>Support expenditure for research and innovation programmes in the 'Education and culture' policy area</b>         |     |                     |                     |                       |               |
| 15 01 05 01                         | Expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020 | 1.1 | 1 881 747           | 1 818 113           | 1 823 327,—           | 96,90         |
| 15 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020                                    | 1.1 | 894 886             | 877 339             | 877 794,—             | 98,09         |
| 15 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020                                   | 1.1 | 1 256 023           | 1 196 213           | 1 246 799,28          | 99,27         |
|                                     | <i>Article 15 01 05 — Subtotal</i>                                                                                   |     | 4 032 656           | 3 891 665           | 3 947 920,28          | 97,90         |
| <b>15 01 06</b>                     | <b>Executive agencies</b>                                                                                            |     |                     |                     |                       |               |
| 15 01 06 01                         | Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+ programme                           | 1.1 | 25 846 084          | 25 615 000          | 26 922 790,—          | 104,17        |
| 15 01 06 02                         | Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe Programme                    | 3   | 12 177 000          | 12 176 000          | 12 790 760,—          | 105,04        |
| 15 01 06 03                         | Education, Audiovisual and Culture Executive Agency — Contribution from European Solidarity Corps                    | 1.1 | p.m.                |                     |                       |               |
|                                     | <i>Article 15 01 06 — Subtotal</i>                                                                                   |     | 38 023 084          | 37 791 000          | 39 713 550,—          | 104,45        |
| <b>15 01 60</b>                     | <b>Library and e-resources</b>                                                                                       | 5.2 | 2 534 000           | 2 534 000           | 2 539 615,47          | 100,22        |
| <b>15 01 61</b>                     | <b>Cost of organising graduate traineeships with the institution</b>                                                 | 5.2 | 6 747 000           | 6 623 000           | 7 745 728,92          | 114,80        |
|                                     | <b>Chapter 15 01 — Total</b>                                                                                         |     | <b>119 720 794</b>  | <b>118 438 201</b>  | <b>126 161 188,21</b> | <b>105,38</b> |
|                                     | <b>Reserves (40 01 40)</b>                                                                                           |     | <b>4 550 000</b>    |                     |                       |               |
|                                     |                                                                                                                      |     | <b>124 270 794</b>  | <b>118 438 201</b>  | <b>126 161 188,21</b> |               |

**15 01 01** *Expenditure relating to officials and temporary staff in the 'Education and culture' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 47 212 071          | 46 785 301          | 46 800 369,97 |

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

**15 01 02 External personnel and other management expenditure in support of the 'Education and culture' policy area**

15 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 510 420           | 3 487 768           | 3 819 011,27 |

15 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 803 819           | 1 866 415           | 2 282 399,03 |

**15 01 03 Expenditure relating to information and communication technology equipment and services of the 'Education and culture' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 051 944           | 2 921 432           | 3 598 783,70 |

**15 01 04 Support expenditure for operations and programmes in the 'Education and culture' policy area**

15 01 04 01 Support expenditure for Erasmus+ programme

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 906 700          | 11 673 300          | 14 615 571,21 |

Remarks

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the Erasmus+ programme and the achievement of its objectives; in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of this item, expenses linked to IT focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

15 01 04 (cont'd)

15 01 04 01 (cont'd)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 15 02.

15 01 04 02 Support expenditure for Creative Europe Programme — Culture Sub-programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 899 100             | 864 320             | 1 098 238,36 |

*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the Creative Europe programme and the achievement of its objectives; in particular studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union in so far as they are related to the general objectives of this item, and expenses connected with IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission in managing the programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

## 15 01 04 (cont'd)

## 15 01 04 02 (cont'd)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 15 04.

## 15 01 04 03 Support expenditure for the European Solidarity Corps

*Figures (Non-differentiated appropriations)*

|                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|---------------------|--------------|
| 15 01 04 03         | p.m.                |                     |              |
| Reserves (40 01 40) | 4 550 000           |                     |              |
| Total               | 4 550 000           |                     |              |

*Remarks**New item*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the European Solidarity Corps and the achievement of its objectives; in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of this item, expenses linked to IT focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of this initiative.

*Reference acts*

See Article 15 05 01.

## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

15 01 05 **Support expenditure for research and innovation programmes in the 'Education and culture' policy area**

15 01 05 01 Expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 881 747           | 1 818 113           | 1 823 327,—  |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 15 03.

15 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 894 886             | 877 339             | 877 794,—    |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 15 03.

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## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

**15 01 05** (cont'd)

15 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 256 023           | 1 196 213           | 1 246 799,28 |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 15 03.

**15 01 06** *Executive agencies*

15 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+ programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 25 846 084          | 25 615 000          | 26 922 790,— |



CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)15 01 06 (*cont'd*)15 01 06 01 (*cont'd*)*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the Erasmus+ programme under Heading 1a as well as to cover costs of the Agency arising from the management of the completion of the cooperation programmes in higher education and vocational education and training, and the Youth in Action programme of the 2007 to 2013 multiannual financial framework.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

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TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)15 01 06 (*cont'd*)15 01 06 01 (*cont'd*)*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture, comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

15 01 06 02 Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe Programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 12 177 000          | 12 176 000          | 12 790 760,— |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the Creative Europe Programme under Heading 3b as well as to cover the operating costs arising from the management of the 2007 to 2013 multiannual financial framework.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

15 01 06 (cont'd)

15 01 06 02 (cont'd)

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture, comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

15 01 06 03 Education, Audiovisual and Culture Executive Agency — Contribution from European Solidarity Corps

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                |                     |              |

*Remarks**New item*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the European Solidarity Corps.

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)

**15 01 06** (*cont'd*)

15 01 06 03 (*cont'd*)

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

*Reference acts*

See Article 15 05 01.

**15 01 60** *Library and e-resources*

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 534 000           | 2 534 000           | 2 539 615,47 |

*Remarks*

This appropriation is intended to cover:

- the purchase of books, e-books and other publications, the updating of existing volumes,
- expenditure on subscriptions to newspapers, specialist periodicals, documentary databases,
- expenditure on subscriptions to cataloguing databases,
- expenditure on other specialised publications in print and online,
- the purchase of training and promotional material (maximum amount of EUR 15 000),
- the cost of binding and other expenditure necessary for the preservation of books and publications.

It does not cover expenditure incurred by:

- Joint Research Centre sites, for which expenditure is entered in Article 01 05 of each title concerned,
- Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03,
- similar expenditure incurred outside the Union entered in Item 01 03 02 of each title concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 95 000.

## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (cont'd)

**15 01 61** *Cost of organising graduate traineeships with the institution**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 747 000           | 6 623 000           | 7 745 728,92 |

*Remarks*

This appropriation is intended to cover the costs of in-service traineeships for graduates. This traineeship is designed to provide them with an overview of the objectives set and the challenges faced by the Union, an insight into how its institutions work and an opportunity to enhance their knowledge through work experience at the Commission.

This appropriation covers the payment of grants and other related costs (supplement for persons with disabilities, accident and sickness insurance, contribution to travelling costs linked to the traineeship, at the beginning and at the end of the traineeship, costs of events organised in the framework of the traineeship programme, e.g. visits, hosting and reception costs).

The selection of trainees is based on objective and transparent criteria.

The amount of related assigned revenue in accordance with Article 21(5) of the Financial Regulation is estimated at EUR 1 941 398.

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                              | FF  | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|---------------|------------------|------------------|-----------------------------|
|                                     |                                                                                                                                                                                                      |     | Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |                             |
| 15 02                               | ERASMUS+ PROGRAMME                                                                                                                                                                                   |     |                     |               |                     |               |                  |                  |                             |
| <b>15 02 01</b>                     | <b>Promoting excellence and cooperation in the European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life</b> |     |                     |               |                     |               |                  |                  |                             |
| 15 02 01 01                         | Promoting excellence and cooperation in the European education and training area and its relevance to the labour market                                                                              | 1.1 | 1 979 123 300       | 1 857 127 000 | 1 725 463 700       | 1 579 766 641 | 1 655 962 833,07 | 1 700 322 314,93 | 91,56                       |
| 15 02 01 02                         | Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life                                                                    | 1.1 | 212 672 916         | 175 000 000   | 227 900 000         | 198 855 087   | 210 481 931,19   | 215 102 371,89   | 122,92                      |
|                                     | <i>Article 15 02 01 — Subtotal</i>                                                                                                                                                                   |     | 2 191 796 216       | 2 032 127 000 | 1 953 363 700       | 1 778 621 728 | 1 866 444 764,26 | 1 915 424 686,82 | 94,26                       |
| <b>15 02 02</b>                     | <b>Promoting excellence in teaching and research activities in European integration through the Jean Monnet Activities worldwide</b>                                                                 |     |                     |               |                     |               |                  |                  |                             |
|                                     |                                                                                                                                                                                                      | 1.1 | 42 000 000          | 40 734 000    | 37 505 000          | 33 741 803    | 41 211 856,50    | 38 116 512,30    | 93,57                       |
| <b>15 02 03</b>                     | <b>Developing the European dimension in sport</b>                                                                                                                                                    |     |                     |               |                     |               |                  |                  |                             |
|                                     |                                                                                                                                                                                                      | 1.1 | 43 000 000          | 35 000 000    | 36 000 000          | 31 169 036    | 33 272 441,66    | 24 495 758,45    | 69,99                       |
| <b>15 02 10</b>                     | <b>Special annual events</b>                                                                                                                                                                         |     |                     |               |                     |               |                  |                  |                             |
|                                     |                                                                                                                                                                                                      | 1.1 | p.m.                | p.m.          | 6 000 000           | 6 000 000     |                  |                  |                             |
| <b>15 02 51</b>                     | <b>Completion line for lifelong learning, including multilingualism</b>                                                                                                                              |     |                     |               |                     |               |                  |                  |                             |
|                                     |                                                                                                                                                                                                      | 1.1 | p.m.                | p.m.          | p.m.                | 6 050 944     | 0,—              | 29 918 049,29    |                             |
| <b>15 02 53</b>                     | <b>Completion line for youth and sport</b>                                                                                                                                                           |     |                     |               |                     |               |                  |                  |                             |
|                                     |                                                                                                                                                                                                      | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—              | 419 470,24       |                             |
| <b>15 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                                                                        |     |                     |               |                     |               |                  |                  |                             |
| 15 02 77 07                         | Preparatory action in the field of sport                                                                                                                                                             | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—              | 0,—              |                             |
| 15 02 77 08                         | Preparatory action — European partnerships on sport                                                                                                                                                  | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—              | 334 631,99       |                             |

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                   | FF  | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|--------------------------------|
|                                     |                                                                                                                           |     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                                |
| <b>15 02 77</b>                     | (cont'd)                                                                                                                  |     |                      |                      |                      |                      |                         |                         |                                |
| 15 02 77 09                         | Preparatory action — E-Platform for Neighbourhood                                                                         | 1.1 | p.m.                 | p.m.                 | p.m.                 | 100 000              | 0,—                     | 325 881,11              |                                |
| 15 02 77 10                         | Pilot project — Promoting health-enhancing physical activity across Europe                                                | 1.1 | p.m.                 | 103 478              | p.m.                 | 300 000              | 598 614,87              | 0,—                     | 0                              |
| 15 02 77 11                         | Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring | 1.1 | p.m.                 | 199 670              | p.m.                 | 250 000              | 499 175,—               | 0,—                     | 0                              |
| 15 02 77 14                         | Preparatory action — EU-Russia child and youth exchange programme                                                         | 1.1 | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                     |                                |
| 15 02 77 16                         | Preparatory action — Evaluation of higher-education entrepreneurship programmes                                           | 1.1 | p.m.                 | 400 000              | 500 000              | 250 000              |                         |                         |                                |
| 15 02 77 17                         | Pilot project — Altiero Spinelli Prize for Outreach                                                                       | 1.1 | 300 000              | 525 000              | 750 000              | 375 000              |                         |                         |                                |
| 15 02 77 18                         | Pilot project — Sport as a tool for integration and social inclusion of refugees                                          | 3   | 1 400 000            | 700 000              | 1 000 000            | 500 000              |                         |                         |                                |
| 15 02 77 19                         | Pilot project — Monitoring and coaching, through sports, of youngsters at risk of radicalisation                          | 3   | p.m.                 | p.m.                 | 750 000              | 375 000              |                         |                         |                                |
| 15 02 77 20                         | Preparatory action — Free Interrail pass for Europeans turning 18                                                         | 1.1 | 12 000 000           | 6 000 000            |                      |                      |                         |                         |                                |
| 15 02 77 21                         | Preparatory action — Exchanges and mobility in sport                                                                      | 1.1 | 1 200 000            | 600 000              |                      |                      |                         |                         |                                |
| 15 02 77 22                         | Preparatory action — Sport — Promotion of European values through sport initiatives at municipal level                    | 1.1 | 1 000 000            | 500 000              |                      |                      |                         |                         |                                |
|                                     | <i>Article 15 02 77 — Subtotal</i>                                                                                        |     | 15 900 000           | 9 028 148            | 3 000 000            | 2 150 000            | 1 097 789,87            | 660 513,10              | 7,32                           |
|                                     | <b>Chapter 15 02 — Total</b>                                                                                              |     | <b>2 292 696 216</b> | <b>2 116 889 148</b> | <b>2 035 868 700</b> | <b>1 857 733 511</b> | <b>1 942 026 852,29</b> | <b>2 009 034 990,20</b> | <b>94,91</b>                   |

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

**15 02 01 Promoting excellence and cooperation in the European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life**

15 02 01 01 Promoting excellence and cooperation in the European education and training area and its relevance to the labour market

*Figures (Differentiated appropriations)*

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  |
|---------------------|---------------|---------------------|---------------|------------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |
| 1 979 123 300       | 1 857 127 000 | 1 725 463 700       | 1 579 766 641 | 1 655 962 833,07 | 1 700 322 314,93 |

*Remarks*

In line with the general objective of the Erasmus+ programme and in particular with the objectives of the Strategic framework for European cooperation in education and training (ET 2020), as well as in support of the sustainable development of third countries in the field of higher education, this appropriation is intended to pursue the following specific objectives in the field of education and training:

- to improve the level of key competences and skills with particular regard to their relevance for the labour market and contribution to a cohesive society, notably through increased opportunities for learning mobility and strengthened cooperation between the world of education and training and the world of work,
- to foster quality improvements, innovation excellence and internationalisation at the level of education and training institutions, notably through enhanced transnational cooperation between education and training providers and other stakeholders,
- to promote the emergence and raise awareness of a European lifelong learning area, to complement policy reforms at national level and to support the modernisation of education and training systems, notably through enhanced policy cooperation, better use of Union transparency and recognition tools and the dissemination of good practices,
- to enhance the international dimension of education and training, notably through cooperation between Union and third country institutions in the field of vocational education and training (VET) and in higher education, by increasing the attractiveness of European higher education institutions and supporting the Union's external action, including its development objectives, through the promotion of mobility and cooperation between the Union and third country higher education institutions and targeted capacity building in third countries,
- to improve the teaching and learning of languages and to promote the Union's broad linguistic diversity and intercultural awareness, including minority and endangered languages,
- to support free, high-quality public education in such a way as to guarantee that no pupil is denied access to or forced to break off any level of education on economic grounds, with particular attention being paid to the first years of schooling, with a view to preventing early school-leaving and ensuring that children from the least-favoured sections of society can be fully integrated.



**CHAPTER 15 02 — ERASMUS+ PROGRAMME** (*cont'd*)**15 02 01** (*cont'd*)15 02 01 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

This appropriation should also be used to implement initiatives under Erasmus+ to continue work on the integration of refugees and contribute to a proper strategy at Union level.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

## 15 02 01 (cont'd)

15 02 01 02 Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 212 672 916         | 175 000 000 | 227 900 000         | 198 855 087 | 210 481 931,19 | 215 102 371,89 |

*Remarks*

In line with the general objective, this appropriation is intended to pursue the following specific objectives in the area of youth:

- to improve the level of key competences and skills of young people, including those with fewer opportunities, as well as to promote participation in democratic life in Europe and the labour market, active citizenship, intercultural dialogue, social inclusion and solidarity, notably through increased learning mobility opportunities for young people, those active in youth work or youth organisations and youth leaders, and through strengthened links between the youth field and the labour market,
- to foster quality improvements in youth work, notably through enhanced cooperation between organisations in the youth field and/or other stakeholders,
- to complement policy reforms at local, regional and national level and to support the development of knowledge and evidence-based youth policy as well as the recognition of non-formal and informal learning, notably through enhanced policy cooperation, better use of Union transparency and recognition tools and the dissemination of good practices,
- to enhance the international dimension of youth activities and the role of youth workers and organisations as support structures for young people in complementarity with the Union's external action, notably through the promotion of mobility and cooperation between the Union and third country stakeholders and international organisations and through targeted capacity building in third countries.

This appropriation should also be used to implement initiatives under Erasmus+ programme to continue work on the integration of refugees and contribute a proper strategy at Union level.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**CHAPTER 15 02 — ERASMUS+ PROGRAMME** (cont'd)**15 02 01** (cont'd)

## 15 02 01 02 (cont'd)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

This appropriation is also intended to cover an assessment of how the 'New Narrative for Europe' project could be incorporated into the 'youth' strand of Erasmus+ programme. The New Narrative has proved to be successful, first as a pilot project and subsequently as a preparatory action, in fostering debate with young people at grassroots level and collecting fresh views about the Union's current challenges, ways forward and the future of the European project. The assessment should consider how best to include the New Narrative's chief aims into the programme proper.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

**15 02 02** **Promoting excellence in teaching and research activities in European integration through the Jean Monnet Activities worldwide***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 42 000 000          | 40 734 000 | 37 505 000          | 33 741 803 | 41 211 856,50 | 38 116 512,30 |

*Remarks*

In line with the general objective, this appropriation is intended to pursue the following specific objectives of the Jean Monnet Activities:

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CHAPTER 15 02 — ERASMUS+ PROGRAMME (*cont'd*)15 02 02 (*cont'd*)

- to promote teaching and research on European integration worldwide among specialist academics, learners and citizens, notably through the creation of Jean Monnet Chairs and other academic activities, as well as by providing aid for other knowledge-building activities at higher education institutions,
- to support the activities of academic institutions or associations active in the field of European integration studies and support a Jean Monnet label for excellence,
- to support the activities of academic institutions or associations active in the field of European integration studies,
- to support European academic institutions pursuing an aim of European interest,
- to promote policy debate and exchanges between the academic world and policymakers on Union policy priorities.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+'; the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

CHAPTER 15 02 — ERASMUS+ PROGRAMME (*cont'd*)15 02 03 **Developing the European dimension in sport***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 43 000 000          | 35 000 000 | 36 000 000          | 31 169 036 | 33 272 441,66 | 24 495 758,45 |

*Remarks*

In line with the general objective, this appropriation is intended to cover support of the implementation of Union policies in areas that correspond to the Chapter's specific objectives (Article 11) and activities (Article 12).

The Sport Chapter of the Erasmus+ programme pursues the following specific objectives in the area of sport:

- to tackle transnational threats to sport such as doping, match fixing, violence, racism and intolerance,
- to support good governance in sport and dual careers of athletes,
- to promote voluntary activities in sport, social inclusion, equal opportunities and health-enhancing physical activity through increased participation in sport.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

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CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 03 (cont'd)

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

15 02 10 **Special annual events**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | p.m.     | 6 000 000           | 6 000 000 |              |          |

*Remarks*

The amount of EUR 6 000 000 was allocated to co-finance the European Special Olympics Winter Games in Graz/Schladming, Austria (14-25 March 2017). This funding allowed participating athletes from all 28 Member States to train, to prepare and to attend the Games in Austria.

3 000 athletes and their delegations from 110 countries participated in this event and competed in eight sports over eight days. More than 3 000 volunteers helped to make this multi-sport event unique. Along with the sports programme, other scientific, educational, cultural and family programmes were set up. A host town programme and many special events were organised before, during, and after the Games so as to ensure a strong legacy of inclusion and acceptance for people with intellectual disabilities in Austria and across the Member States.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 51 **Completion line for lifelong learning, including multilingualism**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | 6 050 944 | 0,—          | 29 918 049,29 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

**CHAPTER 15 02 — ERASMUS+ PROGRAMME** (*cont'd*)**15 02 51** (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Decision 1999/382/EC of 26 April 1999 establishing the second phase of the Community vocational training action programme 'Leonardo da Vinci' (OJ L 146, 11.6.1999, p. 33).

Decision No 253/2000/EC of the European Parliament and of the Council of 24 January 2000 establishing the second phase of the Community action programme in the field of education 'Socrates' (OJ L 28, 3.2.2000, p. 1).

Decision No 2317/2003/EC of the European Parliament and of the Council of 5 December 2003 establishing a programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (Erasmus Mundus) (2004 to 2008) (OJ L 345, 31.12.2003, p. 1).

Decision No 2241/2004/EC of the European Parliament and of the Council of 15 December 2004 on a single Community framework for the transparency of qualifications and competences (Europass) (OJ L 390, 31.12.2004, p. 6).

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12.2006, p. 33).

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

## 15 02 51 (cont'd)

Decision No 1298/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing the Erasmus Mundus 2009-2013 action programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (OJ L 340, 19.12.2008, p. 83).

15 02 53 **Completion line for youth and sport**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 419 470,24 |

## Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

## Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Decision No 1031/2000/EC of the European Parliament and of the Council of 13 April 2000 establishing the 'Youth Community action programme (OJ L 117, 18.5.2000, p. 1).

Decision No 1719/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing the 'Youth in Action' programme for the period 2007 to 2013 (OJ L 327, 24.11.2006, p. 30).



## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 **Pilot projects and preparatory actions**

15 02 77 07 Preparatory action in the field of sport

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 08 Preparatory action — European partnerships on sport

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 334 631,99 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 09 Preparatory action — E-Platform for Neighbourhood

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 100 000  | 0,—          | 325 881,11 |

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CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 09 (cont'd)

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 10 Pilot project — Promoting health-enhancing physical activity across Europe

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 103 478  | p.m.                | 300 000  | 598 614,87   | 0,—      |

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 11 Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 199 670  | p.m.                | 250 000  | 499 175,—    | 0,—      |

## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 11 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 14 Preparatory action — EU-Russia child and youth exchange programme

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 16 Preparatory action — Evaluation of higher-education entrepreneurship programmes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | 500 000             | 250 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 16 (cont'd)

Over recent years there has been an increasing focus on entrepreneurial education and entrepreneurship programs in higher-education institutions. In particular, with HEInnovate, higher-education institutions seek to promote entrepreneurial skills and competences, as well as assessing their own entrepreneurial capabilities. However, the perspective of students on the effectiveness of entrepreneurship projects and programmes has not yet been taken into account. Similarly, companies and the private sector in general are rarely involved in the evaluation of these programmes. While maintaining a close link between private sector needs and academic curricula, it is necessary to also include all stakeholders (students, teachers and professionals) in the process of evaluating entrepreneurship programmes so as to guarantee that they effectively deliver to students the appropriate set of skills for their careers.

This action will build on the existing HEInnovate instrument and bring feedback from students, the private sector and academic staff as added value to the already tested and proven framework and procedures.

This action will also encourage sharing of best practices at international level, involving in the process also higher-education institutions/universities in order to facilitate the implementation of such tools in student curricula.

Ultimately, with the budget for student evaluation of higher-education entrepreneurship programmes, the aim is to foster the development and improve the quality of entrepreneurship programmes across Europe, thus contributing to turning Europe into an entrepreneurial society.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 17 Pilot project — Altiero Spinelli Prize for Outreach

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 300 000             | 525 000  | 750 000             | 375 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the first year of the pilot project and to continue to fund activities in 2018.

In recent years, the European project has been seemingly weakened, both from within and from without. Public trust needs to be revived, while knowledge of the European integration process and awareness of European culture and values need to be disseminated to the widest possible audience.

**CHAPTER 15 02 — ERASMUS+ PROGRAMME** (*cont'd*)**15 02 77** (*cont'd*)15 02 77 17 (*cont'd*)

Following the 30th anniversary of the death of Altiero Spinelli, one of the founding fathers of the Union, and on the 60th anniversary of the signing of the Treaty of Rome, concrete efforts should be made to address this 'disenchantment' with the Union.

The aim of the Altiero Spinelli Prize for Outreach will be to promote research into the Union integration process, including its history and the history of the European ideal. Current actions in the field of EU studies do not cater for young researchers/doctoral students.

It will encourage, reward and provide European level recognition and visibility to high-quality contributions which:

- (1) promote knowledge of the Union and a critical reflection on the past, present and future of the Union among non-specialist audiences and the general public. The role of citizens and civil society organisations in the Union integration process, the intellectual history of European integration and, of course, the life and works of Altiero Spinelli would be considered to fall under this category;
- (2) enhance citizens' understanding of the values, objectives and benefits of the European integration process and of the enormous accomplishments of the Union, but also of the failures, contradictions and dilemmas. Improving understanding among the general public of the theory of models of integration (e.g. trade agreements, international organisations, federations) and comparative studies of existing integration models (e.g. the Union, the African Union, Mercosur, the USA and Canada) would be considered to fall under this category;
- (3) propose, test and assess innovative approaches and materials that European and national policy-makers, practitioners, civil society organisations and institutions in various fields can use to better inform, educate, inspire and empower citizens to develop positive and positively critical European identities and a sense of belonging;
- (4) expose anti-Union populist myths on various aspects of the European integration process, including extremist rhetoric based on intolerance and misinformation about the legitimacy, competences and actual work of the Union.

The prizes are not intended to reward research as such. Rather, they will be awarded for outstanding work that draws largely upon and best exploits, popularises, effectively communicates and spreads to the wider society and to ordinary citizens the findings, knowledge and insights emerging from research into the processes of European integration, for example through publications, the media, newspapers, films, documentaries or other products or means, as well as through institutional initiatives.

Prizes will be awarded to attractive, appealing, user-friendly, evidence-based/informed, scientifically rigorous, myth-busting and inspiring work with a strong potential to achieve the objectives set out above and suitable for outreach to a variety of lay, non-academic and non-specialist audiences.

There should be cooperation with existing programmes, i.e. Jean Monnet Actions, and also existing institutions, e.g. the Historical Archives of the European Union at the European University Institute.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 18 Pilot project — Sport as a tool for integration and social inclusion of refugees

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 400 000           | 700 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

With the growing number of refugees, there is an increasing need for effective integration and social inclusion initiatives. Sport projects — especially involving football — have proved to be successful tools for the integration of refugees and the promotion of social inclusion in host communities. This pilot project will involve local sport clubs in the integration of refugees. It will leverage the local expertise of existing initiatives in Member States with the aim of:

- (1) launching a pilot programme supporting concrete community-based football initiatives (European centres of expertise). The focus will be on the integration of refugee communities across the Union and neighbouring regions through local sport projects;
- (2) identifying best practices in Europe and developing concepts which can be implemented in third countries, focusing on refugee integration through football. A method to assess impact in a systematic, replicable manner will be developed;
- (3) coordinating efforts through a networking platform.

The project will serve as a pilot for establishing and expanding football-based social integration programmes supporting refugees and host communities in Europe and beyond. The expected outcomes include:

- (1) promoting the direct engagement of refugees and host communities in strategic locations (within the Union, in transit countries, and in third States) and the establishment of European centres of expertise through capacity building;
- (2) developing a methodology of football-based refugee integration programmes which can be adapted for similar, future initiatives;
- (3) putting in place a platform to disseminate the practice developed;
- (4) promoting a Europe-wide approach, increasing the potential for European host communities to successfully engage and integrate refugees through sport.

## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 18 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 19 Pilot project — Monitoring and coaching, through sports, of youngsters at risk of radicalisation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 750 000             | 375 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The recent terrorist attacks in Europe highlighted an increased security threat posed by young, radicalised European citizens. Arguably, increased inequality, social exclusion and marginalisation are often root causes of this radicalisation trend.

This appropriation is intended to cover bottom-up sport-related projects organised by local actors and civil society, targeting young people from disadvantaged family backgrounds — especially those who are considered at risk of radicalisation — with the aim of preventing marginalisation and radicalisation, tackling inequalities and helping these youngsters to find an identity and a sense of belonging.

Sports activities are extremely well suited for community-building and strengthen social inclusion by respecting diversity and multicultural communities. Therefore, projects should offer sports, education and job coaching to teach essential skills such as social and communicative competences, critical thinking and problem solving.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 20 Preparatory action — Free Interrail pass for Europeans turning 18

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 12 000 000          | 6 000 000 |                     |          |              |          |

*Remarks*

This preparatory action will serve as a trial for the upcoming Commission legislative proposal setting up a fully fledged programme providing every European turning 18 with a free Interrail pass, not only giving them an opportunity to explore Europe, but also, more importantly, better connecting young people with European identity and raising awareness of the Union's core values.

Because of low purchasing power, cultural obstacles, and a lack of inclusive and targeted projects, very many young Europeans have never or rarely travelled within Europe. This is particularly true for particular regions of Europe and for low-income families. Although educational exchange programmes exist and have benefited many Europeans, the Union has still not managed to set up a straightforward and inclusive tool providing any Europeans — regardless of social or educational background — a travel experience that would foster a European identity, familiarise them with a sustainable and clean mode of transport, and connect them with other cultures.

The European Parliament has repeatedly welcomed the Interrail initiative and stressed that, if it were socially and geographically inclusive and at all times tied in with educational objectives, it could offer the younger generation a chance to take advantage of freedom of movement and travel in an environment-friendly way. Parliament has called for the initiative to be funded directly from a dedicated budget line unrelated to Heading 1a programmes for the transport sector and other sectors important for competitiveness and growth of the Union and independently from other successful Union youth programmes.

For the initial project to be successful, operational aspects could be explored through this preparatory action, on a limited scale and on the basis of a targeted approach, meeting the following key requirements and key objectives:

*Key requirements*

The Commission must build on experience gained from existing programmes, but the preparatory action must be viewed as new and separate, in particular with a view to targeting young people who are currently not covered by any European programme.

Accordingly, programmes such as Erasmus+ programme must not be affected by the preparatory action.

The preparatory action will cover young people from all Member States regardless of whether they are part of the Interrail network (the five Member States currently not covered being Estonia, Latvia, Lithuania, Malta and Cyprus).



**CHAPTER 15 02 — ERASMUS+ PROGRAMME** (*cont'd*)**15 02 77** (*cont'd*)15 02 77 20 (*cont'd*)*Action points:*

- identifying, contacting and involving the relevant stockholders, including European rail enterprises, in defining the product to be offered to young people turning 18, this to extend to negotiations on pricing so as to determine the final number of users that could benefit from the preparatory action,
- determining the number of young people who could be given the pass,
- purchasing passes at the price negotiated with EuRail,
- distributing passes to beneficiaries,
- defining precisely what the Interrail pass is to cover so as to match young people's travel patterns (duration, validity, seasonal requirements, time and budgetary constraints, load factors),
- setting up a user-friendly system for 18-year-olds to apply for the pass,
- setting up an impartial and objective process to select users who will benefit from the preparatory action, including defining criteria inter alia allowing all Member States to be covered, including the five that are currently not part of the Interrail network — i.e. Estonia, Latvia, Lithuania, Malta and Cyprus — and allowing young people to be targeted who do not already benefit from a European programme,
- producing a straightforward voucher solution, for distributing and personalising passes, in close cooperation with relevant stakeholders,
- investigating, together with the relevant stakeholders, mechanisms to encourage specific itineraries for young people so that they really experience Europe (which will include less 'popular' destinations),
- defining and making arrangements to publicise the programme, so as to ensure visibility, with a particular focus on linking the initiative to a campaign on European identity and values,
- looking into sponsorship and partnership arrangements for the operational aspects of the project, with a view to lowering costs and reach as many participants as possible,
- developing a creative and participative way for users to share and follow up their experience (e.g. a photo competition and social media contributions)

The preparatory action should be implemented in 2018, 2019 and 2020.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 21 Preparatory action — Exchanges and mobility in sport

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 200 000           | 600 000  |                     |          |              |          |

*Remarks*

This preparatory action will provide an opportunity for sport support team staff (sportsmen's and sportswomen's entourages) to improve their qualifications and acquire new skills through spending a period abroad (inside and outside the Union). Opportunities for learning mobility in this context will be provided for:

- coaching staff — volunteers — professional sport,
- coaching staff — volunteers in not-for-profit sports organisations.

In a second phase, the will support sportsmen and sportswomen in pursuing dual careers through exchanges (with EU as well as non-EU countries), with a focus on education without jeopardising their sporting careers. This will enhance their future employability as well as their personal development.

The initiative will be based on the following:

- exchanges,
- placements,
- periods of study,
- job-shadowing.

The action could have an impact in three major fields:

- improving the knowledge and know-how of sport support team staff,
- allowing students who play sport to have access to training sessions (including facilities) on the same conditions as nationals,
- developing international cooperation in the field of mobility and education in sport.

The expected outcomes include:

- (1) a regular exchange scheme for sport support team staff;

## CHAPTER 15 02 — ERASMUS+ PROGRAMME (cont'd)

15 02 77 (cont'd)

15 02 77 21 (cont'd)

- (2) establishment of European networks of coaches and sport support team staff and, during the second phase, of dual-career service providers.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 22 Preparatory action — Sportue — Promotion of European values through sport initiatives at municipal level

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

Organisations that encourage and support European cities to excel in sport have greatly contributed to the promotion of physical activities. Moreover, they have helped municipalities throughout Europe to score major achievements such as increasing the percentage of people who practice sport, integrating communities and social sectors, attracting investment to the community, generating new opportunities, increasing focus on sport policies involving other areas such as education, health, social affairs and tourism. All this has been done under the Union brand, with a common sense of belonging, and European pride at the same time. Increased support for organisations promoting sport and physical activity at municipal level, in particular in the context of the European Capital, City, Community and Town of Sport, will certainly have a great impact, and furthermore increase the associated benefits of sport and physical activity for all citizens. There is a clear need to support organisations that work all year round in this field in terms of capacity building, networking and ability to develop specific projects at local level.

This preparatory action will support organisations that promote sport initiatives at local level with the goal of disseminating the positive values of sport.

The main goals of this action are to:

- make it possible for the relevant organisations to develop a European approach to promoting sport at local level, helping them to become financially sustainable promoters of sport activities among the European public, on the basis of the principles of good governance, as promoted by international organisations and the Commission,
- create or develop a network of cities and towns so that they can exchange best practices in the field,

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**CHAPTER 15 02 — ERASMUS+ PROGRAMME** (*cont'd*)

**15 02 77** (*cont'd*)

15 02 77 22 (*cont'd*)

- raise awareness about strategies as to how local authorities, through their decisions and activities, can positively influence the well-being of the people who live there through sport and physical activity,
- work with European sports policymakers to achieve the same objectives in line with possible changes in sports policy.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 03 — HORIZON 2020

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------|----------------|----------------------------------|
|                                     |                                                                                                                                                      |     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                                  |
| 15 03                               | HORIZON 2020                                                                                                                                         |     |                     |             |                     |             |                |                |                                  |
| <b>15 03 01</b>                     | <b>Excellent science</b>                                                                                                                             |     |                     |             |                     |             |                |                |                                  |
| 15 03 01 01                         | Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation                                        | 1.1 | 885 710 765         | 773 448 568 | 820 241 594         | 700 365 833 | 781 300 976,51 | 561 509 836,31 | 72,60                            |
|                                     | Article 15 03 01 — Subtotal                                                                                                                          |     | 885 710 765         | 773 448 568 | 820 241 594         | 700 365 833 | 781 300 976,51 | 561 509 836,31 | 72,60                            |
| <b>15 03 05</b>                     | <b>European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation</b>       | 1.1 | 396 194 129         | 366 717 896 | 300 426 789         | 314 253 296 | 263 733 347,38 | 263 616 127,96 | 71,89                            |
| <b>15 03 50</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>          |     |                     |             |                     |             |                |                |                                  |
| 15 03 50 01                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)  | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 51 203 698,67  | 27 579 761,61  |                                  |
| 15 03 50 02                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014) | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 249 000,—      | 14 457 723,39  |                                  |
|                                     | Article 15 03 50 — Subtotal                                                                                                                          |     | p.m.                | p.m.        | p.m.                | p.m.        | 51 452 698,67  | 42 037 485,—   |                                  |

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## CHAPTER 15 03 — HORIZON 2020 (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                           | FF  | Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------|-----|---------------------|---------------|---------------------|---------------|------------------|------------------|-----------------------------|
|                                     |                                                                                                   |     | Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |                             |
| 15 03 51                            | Completion of previous research framework programme — the Seventh Framework Programme (2007-2013) | 1.1 | p.m.                | 55 000 000    | p.m.                | 90 229 291    | 465 985,17       | 280 584 003,16   | 510,15                      |
| 15 03 53                            | Completion line European Institute of Innovation and Technology                                   | 1.1 | p.m.                | p.m.          | p.m.                | p.m.          | 0,—              | 0,—              |                             |
| Chapter 15 03 — Total               |                                                                                                   |     | 1 281 904 894       | 1 195 166 464 | 1 120 668 383       | 1 104 848 420 | 1 096 953 007,73 | 1 147 747 452,43 | 96,03                       |

## Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) of the European Union, and for the completion of the previous research programmes (Seventh Framework Programme) and the completion of the European Institute of Innovation and Technology (EIT) from the previous financial period.

The Programme shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision-making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

**CHAPTER 15 03 — HORIZON 2020** (cont'd)

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Article 15 03 05 and Item 15 03 50 01.

Administrative appropriations of this chapter will be provided under Article 15 01 05.

**15 03 01*****Excellent science****Remarks*

This priority of Horizon 2020 aims to reinforce and extend the excellence in the Union's science base and ensure a steady stream of world-class research to secure Europe's long-term competitiveness. It will support the best ideas, develop talent within Europe, provide researchers with access to priority research infrastructure, and make Europe an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without predetermined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

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## CHAPTER 15 03 — HORIZON 2020 (cont'd)

## 15 03 01 (cont'd)

15 03 01 01 Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 885 710 765         | 773 448 568 | 820 241 594         | 700 365 833 | 781 300 976,51 | 561 509 836,31 |

*Remarks*

Europe needs a strong and creative human resource base, mobile across countries and sectors, and has to be attractive for the best researchers, European and non-European. This will be achieved by structuring and raising excellence in a substantial share of the high-quality initial training of early stage researchers and doctoral candidates and by supporting attractive career opportunities for experienced researchers in both public and private sectors worldwide. Researchers are encouraged to move between countries, sectors and disciplines to enhance their creative and innovative potential. In addition, initiatives will be supported to raise awareness of the importance of the research career and to disseminate the results of research and innovation to the wider public.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (c) of Article 3(1) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**15 03 05 *European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 396 194 129         | 366 717 896 | 300 426 789         | 314 253 296 | 263 733 347,38 | 263 616 127,96 |



## CHAPTER 15 03 — HORIZON 2020 (cont'd)

## 15 03 05 (cont'd)

## Remarks

This appropriation is intended to cover EIT's staff and administrative expenditure, and operational expenditure relating to the work programme, including the knowledge and innovation communities (KICs) designated by the EIT.

In the framework of the EIT's Strategic Innovation Agenda (SIA) and Regulation (EU) No 1292/2013, the EIT will contribute to the general objective and priorities of 'Horizon 2020 — The Framework Programme for Research and Innovation', with the specific objective of integrating the knowledge triangle of higher education, research and innovation. The EIT aims to give Europe's innovation capacity a much needed boost and its overall goal is to create a new European way of delivering essential economic growth and societal benefits through innovation by helping transform innovative ideas into products and services that create sustainable growth and jobs.

Knowledge and innovation communities are the operational base of the EIT. They are excellence-driven partnerships, which bring together the whole innovation web in order to offer new opportunities for innovation in Europe and generate real impact in terms of new business creation and societal benefits. They address focused innovation topics and integrate public and private research organisations, innovative industries, higher education institutions, investors, start-ups and spin-offs. The first three KICs were designated in December 2009 and address the following societal challenges: climate change mitigation and adaptation (through Climate-KIC), sustainable energy (through KIC InnoEnergy) and future information and communication society (through EIT ICT Labs). Two additional KICs were designated in 2014 in the themes of Raw Materials and Innovation for Healthy Living and Active Ageing. In the future, the EIT will expand its portfolio of KICs to up to three additional ones addressing the topics: Food for the Future, Added Value Manufacturing and Urban Mobility.

The EIT aims to generate tangible impact in the following areas:

- addressing key societal challenges: the KICs combine expertise from across disciplines to ensure innovative and global responses to complex societal challenges,
- setting a clear business-friendly framework: turning new ideas into tangible new products, services or business opportunities will be the main benchmark of the EIT's and the KICs' success,
- enhancing the free flow of knowledge through co-location: the KICs are organised around co-location centres — geographical locations where most or the whole innovation chain is in close proximity. The emphasis is on people from diverse backgrounds (industry, SMEs, academia, nationality, gender, discipline, etc.) working together with face-to-face contact, thus leading to great mobility of knowledge,
- creating a new generation of entrepreneurs: people with an entrepreneurial mind-set are the drivers of innovation, thereby keeping our economies and societies moving. The EIT promotes entrepreneurship education as a key feature of KICs' Masters and doctoral programmes by shifting the emphasis from 'learning about' to 'learning by doing'. The Master and PhD programmes will provide students with the entrepreneurial skills they need to succeed in the knowledge economy, focusing on learning outcomes and the use of innovative teaching methods.

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## CHAPTER 15 03 — HORIZON 2020 (cont'd)

## 15 03 05 (cont'd)

The EIT's strategic objectives for this period are to consolidate and synergise its operations and to prepare for achieving the priorities set out in the Strategic Innovation Agenda (2014-2020): firstly, by incentivising growth, impact and sustainability by continuing to strengthen its partnership with the three existing KICs whilst creating new KICs. By following an incremental development path in establishing new KICs, the EIT will ensure leading up to a total portfolio of nine KICs in the period 2014-2020 (equalling the set-up of 40-50 co-location centres across the Union); secondly, by enhancing the EIT's impact by fostering entrepreneurship-driven innovation across the Union through wide dissemination of novel innovation models attracting and developing talent from across Europe; and thirdly, by introducing new means of achieving impact, alongside results-oriented monitoring.

The establishment plan of the EIT is set out in Annex 'Staff' to this section.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Article 5(5) thereof.

Regulation (EU) No 1292/2013 of the European Parliament and of the Council of 11 December 2013 amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology (OJ L 347, 20.12.2013, p. 174).

Decision No 1312/2013/EU of the European Parliament and of the Council of 11 December 2013 on Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT): the contribution of the EIT to a more innovative Europe (OJ L 347, 20.12.2013, p. 892).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**15 03 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

15 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016  |               |
|---------------------|----------|---------------------|----------|---------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments   | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 51 203 698,67 | 27 579 761,61 |

## CHAPTER 15 03 — HORIZON 2020 (cont'd)

15 03 50 (cont'd)

15 03 50 01 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

15 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |               |
|---------------------|----------|---------------------|----------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments      |
| p.m.                | p.m.     | p.m.                | p.m.     | 249 000,—    | 14 457 723,39 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

15 03 51 **Completion of previous research framework programme — the Seventh Framework Programme (2007-2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |                |
|---------------------|------------|---------------------|------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments       |
| p.m.                | 55 000 000 | p.m.                | 90 229 291 | 465 985,17   | 280 584 003,16 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

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## CHAPTER 15 03 — HORIZON 2020 (cont'd)

## 15 03 51 (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/973/EC of 19 December 2006 concerning the Specific Programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

15 03 53 **Completion line European Institute of Innovation and Technology***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**CHAPTER 15 03 — HORIZON 2020** (*cont'd*)**15 03 53** (*cont'd*)

The establishment plan of the EIT is set out in Annex 'Staff' to this section.

*Legal basis*

Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology (OJ L 97, 9.4.2008, p. 1).

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## CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                            | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|---------------|---------------|-------------------------|
|                                     |                                                                                                                                                                                                    |    | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                         |
| 15 04                               | CREATIVE EUROPE PROGRAMME                                                                                                                                                                          |    |                     |            |                     |            |               |               |                         |
| <b>15 04 01</b>                     | <b>Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models</b> | 3  | 35 528 000          | 12 877 727 | 30 932 000          | 14 176 893 | 25 299 869,39 | 15 151 720,19 | 117,66                  |
| <b>15 04 02</b>                     | <b>Culture Sub-programme — Supporting cross-border actions and promoting trans-national circulation and mobility</b>                                                                               | 3  | 71 106 000          | 52 000 000 | 55 350 000          | 44 229 071 | 55 686 837,89 | 44 987 311,67 | 86,51                   |
| <b>15 04 51</b>                     | <b>Completion of programmes/actions in the field of culture and language</b>                                                                                                                       | 3  | p.m.                | 2 200 000  | p.m.                | 2 547 311  | 0,—           | 11 832 082,49 | 537,82                  |
| <b>15 04 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                                                                      |    |                     |            |                     |            |               |               |                         |
| 15 04 77 04                         | Pilot project — A European platform for festivals                                                                                                                                                  | 3  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 245 318,36    |                         |
| 15 04 77 05                         | Pilot project — New narrative on Europe                                                                                                                                                            | 3  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 0,—           |                         |
| 15 04 77 08                         | Pilot project — Kick-starting the cultural economy                                                                                                                                                 | 3  | p.m.                | p.m.       | p.m.                | 280 600    | 0,—           | 172 240,—     |                         |
| 15 04 77 09                         | Pilot project — Supporting networks of young creative entrepreneurs: Union and third countries                                                                                                     | 3  | p.m.                | 232 258    | p.m.                | 367 742    | 0,—           | 154 838,76    | 66,67                   |
| 15 04 77 11                         | Preparatory action — New narrative on Europe                                                                                                                                                       | 3  | p.m.                | 375 000    | 750 000             | 732 605    | 500 000,—     | 539 549,—     | 143,88                  |
| 15 04 77 12                         | Preparatory action — Europe for festivals, festivals for Europe (EFFE)                                                                                                                             | 3  | 350 000             | 280 000    | 350 000             | 175 000    | 350 000,—     | 245 000,—     | 87,50                   |

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CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                        | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                                |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| <b>15 04 77</b>                     | <i>(cont'd)</i>                                                                                                                                                |     |                     |                   |                     |                   |                      |                      |                         |
| 15 04 77 13                         | Pilot project — Fight against illicit trafficking in cultural objects                                                                                          | 3   | 750 000             | 635 000           | 500 000             | 250 000           |                      |                      |                         |
| 15 04 77 14                         | Preparatory action — Open micro-business models for innovation in European family-owned heritage houses                                                        | 3   | p.m.                | 350 000           | 500 000             | 250 000           |                      |                      |                         |
| 15 04 77 15                         | Pilot project — Promotion of the Union values through music                                                                                                    | 3   | p.m.                | p.m.              | 1 000 000           | 500 000           |                      |                      |                         |
| 15 04 77 16                         | Pilot project — Protecting the Jewish cemeteries of Europe: A full mapping process with research and monitoring and individual costed proposals for protection | 3   | 800 000             | 400 000           |                     |                   |                      |                      |                         |
| 15 04 77 17                         | Preparatory action — European Houses of Culture                                                                                                                | 3   | 750 000             | 375 000           |                     |                   |                      |                      |                         |
| 15 04 77 18                         | Preparatory action — Music Moves Europe: Boosting European music diversity and talent                                                                          | 1.1 | 1 500 000           | 750 000           |                     |                   |                      |                      |                         |
| 15 04 77 19                         | Pilot project — Finance, Learning, Innovation and Patenting for Cultural and Creative Industries (FLIP for CCIs)                                               | 3   | 1 000 000           | 500 000           |                     |                   |                      |                      |                         |
|                                     | <i>Article 15 04 77 — Subtotal</i>                                                                                                                             |     | 5 150 000           | 3 897 258         | 3 100 000           | 2 555 947         | 850 000,—            | 1 356 946,12         | 34,82                   |
|                                     | <b>Chapter 15 04 — Total</b>                                                                                                                                   |     | <b>111 784 000</b>  | <b>70 974 985</b> | <b>89 382 000</b>   | <b>63 509 222</b> | <b>81 836 707,28</b> | <b>73 328 060,47</b> | <b>103,32</b>           |

**15 04 01** **Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 35 528 000          | 12 877 727 | 30 932 000          | 14 176 893 | 25 299 869,39 | 15 151 720,19 |

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CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 01 (*cont'd*)*Remarks*

This appropriation is intended to cover the following measures relating to Cross-sectoral Strand of the Creative Europe Programme.

The cultural and creative sector facility will target the cultural and creative sectors and will have the following priorities: facilitate access to finance for small- and medium-sized enterprises and organisations in the European cultural and creative sectors; improve the capacity of financial institutions to assess cultural and creative projects, including technical assistance and networking measures.

This will be achieved through:

- providing guarantees to appropriate financial intermediaries from any country participating in the Creative Europe Programme,
- providing financial intermediaries with additional expertise and capacity to evaluate risks associated with operators in the cultural and creative sectors.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.



CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 01 (*cont'd*)

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

15 04 02 **Culture Sub-programme — Supporting cross-border actions and promoting transnational circulation and mobility**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 71 106 000          | 52 000 000 | 55 350 000          | 44 229 071 | 55 686 837,89 | 44 987 311,67 |

*Remarks*

This appropriation is intended to cover the following measures relating to the Culture Sub-programme of the Creative Europe Programme:

- supporting actions providing operators with skills and know-how encouraging the adaption to digital technologies, including testing new approaches to audience building and business models,
- supporting actions enabling operators to internationalise their careers in Europe and beyond,
- providing support to strengthen European operators and international cultural networks in order to facilitate access to professional opportunities.

The priorities in the field of promoting transnational circulation shall be the following:

- supporting international touring, events and exhibitions,
- supporting the circulation of European literature,
- supporting audience building as a means of stimulating interest in European cultural works.

*Support measures of the Culture Sub-programme*

The Culture Sub-programme shall provide support for the following measures:

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CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 02 (*cont'd*)

- transnational cooperation measures bringing together operators from different countries to undertake sectoral or cross-sectoral activities,
- activities by European networks of operators from different countries,
- activities by organisations providing a promotional European platform for the development of emerging talent and stimulating the circulation of artists and works, with a systemic and large scale effect,
- support for literary translation,
- special actions aiming to achieve greater visibility for the richness and diversity of European cultures, and stimulate intercultural dialogue and mutual understanding, including European cultural prizes, the European Heritage Label, and the European Capitals of Culture.

This appropriation will also finance the European Year for Cultural Heritage. In line with the joint statement of the Council and Parliament annexed to Decision (EU) 2017/864 of the European Parliament and of the Council of 17 May 2017 on a European Year of Cultural Heritage (2018), (OJ L 131, 20.5.2017, p. 1), EUR 7 000 000 of the appropriation against this article is specifically earmarked for this purpose.

This appropriation includes the organisation of a call for proposals for projects that support refugee integration. The experience gained in 2016 should be taken into account to deliver a wider call for proposals, involving more local organisations in Europe and beyond, and support the sharing of good practices.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework agreements providing for their participation in Union programmes as entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 02 (*cont'd*)

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

15 04 51 **Completion of programmes/actions in the field of culture and language***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 2 200 000 | p.m.                | 2 547 311 | 0,—          | 11 832 082,49 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 508/2000/EC of the European Parliament and of the Council of 14 February 2000 establishing the Culture 2000 programme (OJ L 63, 10.3.2000, p. 1).

Decision No 792/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level in the field of culture (OJ L 138, 30.4.2004, p. 40).

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Decision No 1855/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing the Culture Programme (2007 to 2013) (OJ L 372, 27.12.2006, p. 1).

Decision No 1194/2011/EU of the European Parliament and of the Council of 16 November 2011 establishing a European Union action for the European Heritage Label (OJ L 303, 22.11.2011, p. 1).

15 04 77 **Pilot projects and preparatory actions**

## 15 04 77 04 Pilot project — A European platform for festivals

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 245 318,36 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 15 04 77 05 Pilot project — New narrative on Europe

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (cont'd)

## 15 04 77 (cont'd)

## 15 04 77 08 Pilot project — Kick-starting the cultural economy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 280 600  | 0,—          | 172 240,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 15 04 77 09 Pilot project — Supporting networks of young creative entrepreneurs: Union and third countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 232 258  | p.m.                | 367 742  | 0,—          | 154 838,76 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 15 04 77 11 Preparatory action — New narrative on Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 375 000  | 750 000             | 732 605  | 500 000,—    | 539 549,— |

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CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 77 (*cont'd*)15 04 77 11 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 12 Preparatory action — Europe for festivals, festivals for Europe (EFFE)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| 350 000             | 280 000  | 350 000             | 175 000  | 350 000,—    | 245 000,— |

*Remarks*

Building on the results of the pilot project, the preparatory action will contribute to the objectives of the Union in the field of culture as stated in Article 167 of the Treaty on the Functioning of the European Union: to 'contribute to the flowering of the cultures of the Member States, while respecting their national and regional diversity' and encourage 'cooperation between Member States and, if necessary, supporting and supplementing their action' in the field of culture.

The preparatory action will cover wider objectives and policies of the Union. It will generate and deliver added value for the activities carried out by festivals in Europe which contribute to the Europe 2020 strategy of smart, sustainable and inclusive growth thanks to their spill-over effects in the cultural and creative sectors, in tourism, and in regional and urban development, etc.

It will also capitalise on the potential of festivals in order to maximise their contribution to various Union policies, including innovation, social inclusion, education, youth work and intercultural dialogue.

Lastly, by promoting the visibility of Europe's values through festivals, the preparatory action will reach a large number of citizens across Europe, in particular young people. It will prepare the ground for making the award and label a permanent feature. It is expected that the organisation selected will:

— develop a reliable and transparent mechanism for selecting European festivals to receive a label/award,

CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 77 (*cont'd*)15 04 77 12 (*cont'd*)

- develop a branding strategy, building on the pilot project, for a recognisable and valuable label/award,
- create momentum ensuring high visibility for the award/label, the winners and the values that labelled festivals promote, and involve different target groups for the label/awards,
- develop networking and partnership activities with a view to ensuring the sustainable impact of the label/awards.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 13 Pilot project — Fight against illicit trafficking in cultural objects

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 750 000             | 635 000  | 500 000             | 250 000  |              |          |

*Remarks*

This is an extension of the pilot project for 2018. The additional amount is intended to extend the pilot project for one more year.

*Project overview*

The project will comprise three stages:

- (1) research-based analysis of the volume of and routes for illicit trafficking of cultural objects;
- (2) awareness-raising and training activities modules for judges, police and customs officers, government administrations and art market players, as well as awareness-raising campaigns targeted at the general public;
- (3) reproduction and dissemination of lost cultural heritage and possible use of new techniques by museums and cultural or educational institutions.

*Outcome/possible evolution of the project*

A conference and a study for the first stage, training modules for the second stage and an inventory of new techniques for the third stage.

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CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 77 (*cont'd*)15 04 77 13 (*cont'd*)*Innovative/experimental nature of the project*

The concept of the project is not covered by any existing programme. The project is too complex for a cooperation project under the cultural strand of the Creative Europe Programme; in addition, some essential activities could not be covered (for instance the training modules for judges, police and customs officers) and the integrated character of the pilot project would therefore be lost. Co-financing requirements would be very difficult to meet for cultural organisations or even for international organisations.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 14 Preparatory action — Open micro-business models for innovation in European family-owned heritage houses

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 350 000  | 500 000             | 250 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

There is a clear momentum for cultural heritage as a strategic resource for a sustainable and peaceful Europe — 2018 European Year of Cultural Heritage, Council conclusions on a work plan for culture 2015-2018 — recalling the importance of activating spillover effects and synergies across different stakeholders to safeguard, develop and transmit cultural heritage to future generations.

The following preparatory action proposal is targeted to a cluster of specific stakeholders that are not directly benefiting from current policies and programmes and that are key to implement a locally rooted and people-centred approach to cultural heritage able to catalyse open innovation in the sector.

European family-owned heritage houses are a key component of the Union's cultural heritage and a contributor to the attractiveness of Europe's regions, cities, towns and rural areas. Current actions of the Union specifically dedicated to cultural heritage, such as the European Heritage Days, EU Prize for Cultural Heritage, and European Heritage Label, are initiatives that attract larger cultural actors and SMEs better than households and family-owned micro-enterprises managing heritage and historic houses. Lacking the capability to sustain the owned cultural heritage legacies, households and families strive to develop and adopt innovative business models, relying upon traditional solutions (i.e. visits, events, etc.) and models that aren't adapted to seize the opportunities created by the digital economy and CCI spillover effects on the economy and society.



CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 77 (*cont'd*)15 04 77 14 (*cont'd*)

Furthermore, a long-standing lack of maintenance and a weak culture of stewardship increase the risk of deterioration and neglect that should be prevented by targeting family-owned cultural heritage specific challenges.

The objective of the proposed preparatory actions is to map which micro-business models are being used by family-owned heritage houses in the Union, compare and share them between the houses to boost this ecosystem.

In order to quantify and qualify the economic potential of the sector in the Union a study should take place, setting the framework to qualify the peculiarities of the sector, and define the business ecosystem. As a result of those case studies, micro-business models for innovation in European family-owned heritage houses will be typified and made accessible to the concerned actors and stakeholders. Finally, recommendations to the Commission will be formulated based on the actions promoted during the pilot project.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 15 Pilot project — Promotion of the Union values through music

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

At a time when the Union is facing many challenges, this pilot project will bring together young talented musicians from all 28 Member States to bring visibility to Europe's core values and assets, building bridges among people through classical music. It will reach out to the younger generation in Europe, especially those most at risk of radicalisation by bringing together, through concerts and mentoring schemes, young classical musicians and young people from diverse backgrounds.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (cont'd)

## 15 04 77 (cont'd)

15 04 77 16 Pilot project — Protecting the Jewish cemeteries of Europe: A full mapping process with research and monitoring and individual costing proposals for protection

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 800 000             | 400 000  |                     |          |              |          |

Remarks

Before World War II, more than seven million Jews lived in central and eastern Europe. Jews had been living there for centuries. Records show thousands of towns and villages with Jewish populations, as is attested to by the establishment and use of independent burial sites owned by Jewish communities. Eighty years on, traces of many of these cemeteries have been lost, or they lie overgrown and unprotected, as a result of the annihilation of their communities in the Holocaust. Some sites were deliberately hidden from view or denied by a political system that refused to acknowledge the specifically Jewish nature of the Nazi genocide. The European Jewish Cemeteries Initiative has demonstrated that the destruction of Jewish cemeteries is continuing. Today, within most of the Union, any historical data previously catalogued is now very much out of date and does not reflect in any way the situation on the ground, while in large parts of Eastern Europe even the historical data is not comprehensive. The task is to create both a permanent and ongoing historical record but also to conduct a sort of holding operation, preserving this unique European historical heritage before it disappears. At the local level, the physical presence and protection of the sites also demonstrates in a very visible sense where racism, anti-Semitism and intolerance leads. The pilot project will conduct a broad sample survey of at least 1 500 Jewish cemeteries in Eastern European countries, each of which presents a unique challenge with regard to the current state of Jewish cemeteries. The target countries for the project will be the Member States Greece, Slovakia and Lithuania and the neighbourhood countries Ukraine and Moldova.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 17 Preparatory action — European Houses of Culture

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 750 000             | 375 000  |                     |          |              |          |

CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 77 (*cont'd*)15 04 77 17 (*cont'd*)*Remarks*

The concept of European Houses of Culture is referred to in Joint Communication to the European Parliament and the Council of 8 June 2016 entitled 'Towards an EU strategy for international cultural relations' (JOIN(2016) 29 final) as one of the tools for enhanced Union cooperation in the area of cultural external relations. They are described as institutions that 'would enable cultural institutes and other stakeholders to come together and provide services to the local population, engage in joint projects and offer scholarships, cultural and educational exchanges'. This also ties in with a recommendation made in the study 'European Cultural Institutes abroad' produced for the European Parliament's Committee on Culture and Education in 2016.

This preparatory action will test initial experience with European Houses of Culture in a limited number of priority countries/regions and examine their potential in a number of partner countries in different formats, including permanent structures, pop-up formats, festival pavilions or purely digital tools. It can be developed over two years in order to have the time needed to launch the various projects, deliver them and check outcomes with a view to further recommendations.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 18 Preparatory action — Music Moves Europe: Boosting European music diversity and talent

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 500 000           | 750 000  |                     |          |              |          |

*Remarks*

Europe is home to some of the leading music songwriters, performers, concert venues, festivals, record labels, publishers, distributors, start-ups and digital services in the world. In recent years, the creation, production, distribution and consumption of music have fundamentally changed: new distribution channels, powerful digital players, innovative start-ups, business models and consumption patterns have emerged. Digitalisation, for example in the case of music streaming, has brought opportunities, but also many challenges for the sector.

This preparatory action will address some of the sector's main challenges, taking into account the outcome of the recent Union-level dialogue with music stakeholders and focusing on the following fields:

(a) offline and online distribution (e.g. increasing citizens' access to music in all its diversity);

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- (b) artist and repertoire development (including boosting mobility of artists and cross-border circulation of European repertoire);
- (c) professionalisation and education (e.g. skills development and capacity-building for creators and SMEs to succeed in a highly competitive and global market);
- (d) exporting European music outside Europe.

The preparatory action will be implemented on the basis of calls for proposals ((a), (b) and(c)) and a platform dialogue/conference ((d)). It will be designed to ensure that a large variety of relevant music operators, organisations and stakeholders across the value chain in Europe can benefit from the activities.

The preparatory action will build on and develop further the existing, albeit very limited, support for music under the Creative Europe Programme (notably cooperation projects, platforms and prizes), which is necessary, but fails to fully meet the needs of the sector. It will test suitable action with a view to a possible future fully fledged funding scheme for music within the next generation of Union programmes, which could support European diversity and talent as well as the competitiveness of the sector in a more targeted way.

In this regard, the preparatory action will in particular include measures for surveying and mapping the funding needs of relevant branches of the music ecosystem in the Member States in order to serve as an additional element when defining relevant future fields of action with clear Union added value (post-2020).

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 19 Pilot project — Finance, Learning, Innovation and Patenting for Cultural and Creative Industries (FLIP for CCIs)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

Cultural diversity and identification of the proper cultural mix are of strategic importance for creativity and innovation. The cultural and creative industries (CCIs) in Europe employ more than 12 million workers, or 7,5 % of the European workforce, and create about EUR 509 000 000 000 in added value as a result, in particular, of the contribution made by small and micro enterprises. CCIs represent a driving force generating a competitive advantage for Europe, especially since they provide products and services that promote evolution of the production paradigms of Industry 4.0.

**CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME** (*cont'd*)**15 04 77** (*cont'd*)15 04 77 19 (*cont'd*)

This pilot project will define and test the policies and actions necessary to sustain and develop these enterprises, which, with adequate support, can generate cross-benefits and spill-overs in all the areas and sectors these companies interface with in achieving their business objectives.

The thrust of the pilot project involves four areas:

1. *A new model for analysing skills*

The model for recognising skills normally used in European training systems needs to be reviewed and updated to properly include the organisational model of those enterprises, which often have little hierarchy, a broader tolerance for risk, a different time-management approach and strong disciplinary interchange, and which are therefore not compatible with the traditional manufacturing paradigm. This new model for analysing and identifying skills compatible with the STEAM (Science, Technology, Engineering/Environment, Arts, Manufacturing) areas, seeks to create a privileged relationship among virtuous companies, the most significant best practices, and success experiences, in order to identify and define both the skills and the characteristics of the professional figures who work in these contexts. In other words, the genesis and evolution of those skills must be traced back, moving beyond the more widespread paradigm in which professional figures are codified within analytical-descriptive work processes (typical of manufacturing organisations), to obtain job descriptions consistent with the distinctive organisational characteristics of those companies.

More specifically, the project will be divided into the following phases:

- selecting 'best practice' CCIs to involve in the enquiry to formulate a skill classification model that recognises the special nature of the various sectors (historical and artistic heritage, industry of content, ICT industries, and materials culture, including the macro-sectors of fashion, design and the taste industry) and the regional dimensions of the Union,
- developing a model for recognising skills,
- testing the model with a broader panel of enterprises,
- releasing the model for codifying skills and associating them with professional figures in the light of the European framework on skills.

2. *Indications for the education system*

Nowadays, the development of creative and cultural skills is the result of a process that is neither fully structured, nor entirely aligned with the needs for medium/long-term management of CCIs. The output generated by the skill recognition model would pave the way for the identification of aspects and issues in the education system with respect to the characteristics of training programmes aimed at developing skills. In fact, the development of strategic skills for CCIs is often left to chance and individual initiative and inventiveness, or to informal processes, without a structural approach derived from a vision that fosters a precise training policy and targeted programmes.

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CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME (*cont'd*)15 04 77 (*cont'd*)15 04 77 19 (*cont'd*)

The key goal of this phase of the pilot project is to perpetuate these skills through the training system, so as to prepare more European citizens for operating effectively in the various business areas in which CCI's are involved.

The guidelines should be structured in such a way that observing the specific nature of the national and regional education systems will guide their training programmes, from primary training to tertiary education. Efforts should be made to improve the capability of the education system to dialogue with CCI's and promote innovative training models (learning laboratories, creative hubs, etc.). This should accompany the formulation of guidelines to empower teachers to promote skill-based learning, going beyond a rigid system of disciplines and favouring a holistic and multi-disciplinary approach. Centuries of craftsmen's traditions across Europe show the value of spending time gaining experience from different workshops as an important part of the cultural and practical training of an aspirant master in creative artisanship. While the German 'Wandergeselle' or the French 'compagnon' of the past were self-organised forms of learning, they highlight the need for a structured and common European approach to identify and transfer the elusive skills of CCI's.

### 3. A new financial classification for CCI's

Access to finance is a major obstacle to growth for many CCI's, which are generally small and often undercapitalised. The banking and financial system is slow to classify those enterprises within traditional systems, as most of them are founded on one prototype or single project and are heavily dependent on their products and services, on individual talent, and on assumption of risks. Unlike companies operating in the technological sectors, CCI's find it hard to obtain recognition of the value of their intangible assets on their balance sheets, and their investments in the development of new talent and creative ideas do not correspond to the usual concept of R&D.

The project will define guidelines to improve CCI's' capability to better communicate financial values associated with intangible assets to give them fair access to lending. This would make it easier for CCI's to access guarantee systems (e.g. Creative Europe Programme and the European Fund for Strategic Investments (EFSI)) and other financing mechanisms. The guidelines will be defined on the basis of a comparison of existing instruments in European Countries (e.g. Bancopass in Italy) that those enterprises are already using to dialogue proactively with banks.

### 4. Valuing and defending intellectual property produced by CCI's

Companies that protect their intellectual activities are 22 % more productive (for the same territory, sector and size), with revenue growth 2 % higher than the sample average. Specifically, companies that filed a patent in 2011-2013 have a 6,5 % higher percentage of exports to total revenue, for the same territory, size and sector.

Because of the often under-structured organisation of cultural and creative industries, the registration or patenting of innovations they are able to produce is not always a priority, thus diminishing the value of the results produced by that innovation. It is therefore essential to study how to make those industries — especially the small and medium size ones — more aware of the benefits in terms of stronger impact deriving from the registration or patenting of their innovative products and services, and foster access to those opportunities, as these enterprises are often under-capitalised. Through a close tie-in with outputs emerging from a comparison of best practices and instruments described in point 3, the project will take forward existing instruments in European countries, which enterprises use to dialogue with banks, financial bodies and institutions, involving specific items that can enhance the values of innovation registration or patenting.

**CHAPTER 15 04 — CREATIVE EUROPE PROGRAMME** (*cont'd*)**15 04 77** (*cont'd*)15 04 77 19 (*cont'd*)*Framework development of the project*

The initiative will be developed through the creation of European partnerships that enhance the qualified organisations' expertise in the various phases and activities that make up the pilot project. The organisations that carry out the pilot project should represent the main reference regions for CCIs and be equipped with the necessary resources to maximise the project's impact.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 15 — EDUCATION AND CULTURE

## CHAPTER 15 05 — EUROPEAN SOLIDARITY CORPS

| Title<br>Chapter<br>Article<br>Item | Heading                          | FF  | Appropriations 2018 |                   | Appropriations 2017 |          | Outturn 2016 |          | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------|-----|---------------------|-------------------|---------------------|----------|--------------|----------|-------------------------|
|                                     |                                  |     | Commitments         | Payments          | Commitments         | Payments | Commitments  | Payments |                         |
| 15 05                               | EUROPEAN SOLIDARITY CORPS        |     |                     |                   |                     |          |              |          |                         |
| <b>15 05 01</b>                     | <b>European Solidarity Corps</b> | 1.1 | p.m.                | p.m.              |                     |          |              |          |                         |
|                                     | Reserves (40 02 41)              |     | 38 235 652          | 28 676 000        |                     |          |              |          |                         |
|                                     |                                  |     | 38 235 652          | 28 676 000        |                     |          |              |          |                         |
|                                     | <b>Chapter 15 05 — Total</b>     |     | <b>p.m.</b>         | <b>p.m.</b>       |                     |          |              |          |                         |
|                                     | <b>Reserves (40 02 41)</b>       |     | <b>38 235 652</b>   | <b>28 676 000</b> |                     |          |              |          |                         |
|                                     |                                  |     | <b>38 235 652</b>   | <b>28 676 000</b> |                     |          |              |          |                         |

**15 05 01 European Solidarity Corps***Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |            | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|------------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments   | Commitments         | Payments | Commitments  | Payments |
| 15 05 01            | p.m.                | p.m.       |                     |          |              |          |
| Reserves (40 02 41) | 38 235 652          | 28 676 000 |                     |          |              |          |
| Total               | 38 235 652          | 28 676 000 |                     |          |              |          |

Remarks

New article

In line with the European Solidarity Corps' general objective, this appropriation is intended to pursue the following specific objectives of this initiative:

- with the support of organisations, to provide young people with easily accessible opportunities for engagement in solidarity activities while improving their skills and competences for personal, social, educational and professional development, as well as their employability,
- to ensure that the solidarity activities that are offered to the European Solidarity Corps participants contribute to addressing concrete societal challenges and to strengthening communities, are of high quality and are properly recognised.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 May 2017, laying down the legal framework of the European Solidarity Corps and amending Regulations (EU) No 1288/2013, (EU) No 1293/2013, (EU) No 1303/2013, (EU) No 1305/2013, (EU) No 1306/2013 and Decision No 1313/2013/EU (COM(2017) 262 final).



*TITLE 16*

**COMMUNICATION**

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**TITLE 16**  
**COMMUNICATION**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                               | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|-----------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                       | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 16 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'COM-<br>MUNICATION' POLICY AREA | 128 768 691         | 128 768 691        | 127 302 438         | 127 302 438        | 130 502 244,09        | 130 502 244,09        |
| 16 03            | COMMUNICATION ACTIONS                                                 | 84 253 000          | 83 201 000         | 84 269 000          | 82 757 000         | 80 185 507,07         | 71 394 028,75         |
|                  | <b>Title 16 — Total</b>                                               | <b>213 021 691</b>  | <b>211 969 691</b> | <b>211 571 438</b>  | <b>210 059 438</b> | <b>210 687 751,16</b> | <b>201 896 272,84</b> |

## TITLE 16

## COMMUNICATION

## CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                   | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| 16 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA                                                                                                             |     |                     |                     |                       |               |
| <b>16 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Communication' policy area</b>                                                                            | 5.2 | 68 416 499          | 68 404 916          | 67 157 967,13         | 98,16         |
| <b>16 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Communication' policy area</b>                                                                  |     |                     |                     |                       |               |
| 16 01 02 01                         | External personnel — Headquarters                                                                                                                                         | 5.2 | 5 605 608           | 5 861 231           | 6 041 070,93          | 107,77        |
| 16 01 02 03                         | External personnel — Commission Representations                                                                                                                           | 5.2 | 18 170 000          | 17 067 000          | 17 714 989,54         | 97,50         |
| 16 01 02 11                         | Other management expenditure                                                                                                                                              | 5.2 | 2 922 416           | 2 917 858           | 3 039 048,71          | 103,99        |
|                                     | <i>Article 16 01 02 — Subtotal</i>                                                                                                                                        |     | 26 698 024          | 25 846 089          | 26 795 109,18         | 100,36        |
| <b>16 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area</b> |     |                     |                     |                       |               |
| 16 01 03 01                         | Expenditure related to information and communication technology equipment and services                                                                                    | 5.2 | 4 422 668           | 4 271 433           | 5 164 068,97          | 116,76        |
| 16 01 03 03                         | Buildings and related expenditure — Commission Representations                                                                                                            | 5.2 | 26 765 500          | 26 370 000          | 29 140 264,49         | 108,87        |
|                                     | <i>Article 16 01 03 — Subtotal</i>                                                                                                                                        |     | 31 188 168          | 30 641 433          | 34 304 333,46         | 109,99        |
| <b>16 01 04</b>                     | <b>Support expenditure for operations and programmes in the 'Communication' policy area</b>                                                                               |     |                     |                     |                       |               |
| 16 01 04 02                         | Support expenditure for communication actions                                                                                                                             | 3   | 1 146 000           | 1 090 000           | 1 033 729,82          | 90,20         |
|                                     | <i>Article 16 01 04 — Subtotal</i>                                                                                                                                        |     | 1 146 000           | 1 090 000           | 1 033 729,82          | 90,20         |
| <b>16 01 60</b>                     | <b>Purchase of information</b>                                                                                                                                            | 5.2 | 1 320 000           | 1 320 000           | 1 211 104,50          | 91,75         |
|                                     | <b>Chapter 16 01 — Total</b>                                                                                                                                              |     | <b>128 768 691</b>  | <b>127 302 438</b>  | <b>130 502 244,09</b> | <b>101,35</b> |

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CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATION’ POLICY AREA (cont'd)

**16 01 01 Expenditure related to officials and temporary staff in the ‘Communication’ policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 68 416 499          | 68 404 916          | 67 157 967,13 |

**16 01 02 External personnel and other management expenditure in support of the ‘Communication’ policy area**

16 01 02 01 External personnel — Headquarters

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 605 608           | 5 861 231           | 6 041 070,93 |

16 01 02 03 External personnel — Commission Representations

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 18 170 000          | 17 067 000          | 17 714 989,54 |

Remarks

This appropriation is intended to cover remuneration, flat-rate overtime, and the institution’s social security contributions in respect of local staff, contract staff and agency staff employed in the Commission Representations in the Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 000.

Legal basis

Conditions of Employment of Other Servants of the European Union.

16 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 922 416           | 2 917 858           | 3 039 048,71 |

Remarks

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000.

## CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA (cont'd)

**16 01 03** *Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area*

16 01 03 01 Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 422 668           | 4 271 433           | 5 164 068,97 |

16 01 03 03 Buildings and related expenditure — Commission Representations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 26 765 500          | 26 370 000          | 29 140 264,49 |

*Remarks*

This appropriation is intended to cover:

- the payment of rents and ground rent relating to buildings or parts of occupied buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance and the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- the cost of maintenance work and maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance workshops,
- the fitting-out of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc.,
- the cost of the necessary equipment,
- expenses relating to the security of persons and buildings, both as regards the health and safety of individuals and the physical and material security of persons and property. These expenses include, for example contracts for guarding buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment, the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs as well as information sessions provided to staff on how to use the security equipment,
- expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,

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CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA (*cont'd*)

**16 01 03** (*cont'd*)

16 01 03 03 (*cont'd*)

- the cost of the purchase, hire, maintenance and repair of technical equipment and fittings, furniture and vehicles,
- the purchase of books, documents and other non-periodical publications, the updating of existing volumes, binding costs and the purchase of electronic identification equipment,
- expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media,
- the training and support required for accessing this information,
- the training relating to health and safety following Commission Decision of 10 April 2006 establishing a Harmonised Policy for Health and Safety at work for all Commission staff C(2006) 1623,
- copyright fees,
- the cost of stationery and office supplies,
- the cost of work materials,
- expenses relating to internal meetings,
- the cost of departmental removals,
- medical expenses arising as a result of the provisions of the Staff Regulations,
- the cost of the installation, upkeep and operation of catering areas,
- other operating expenditure,
- postal and delivery charges,
- telecommunications subscriptions and charges,
- the cost of purchasing and installing telecommunications equipment,
- information technology (IT) expenditure for offices within the Union, in particular expenditure on information and management systems, office automation infrastructure, personal computers, servers and related infrastructure, peripherals (printers, scanners, etc.), office equipment (photocopiers, fax machines, typewriters, dictaphones, smartphones, tablets etc.) and general expenditure on networks, support, assistance to users, IT training and removals,

**CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATION’ POLICY AREA** (cont'd)**16 01 03** (cont'd)

## 16 01 03 03 (cont'd)

— any expenditure to cover the cost of purchase or rental with purchase option of buildings.

This appropriation covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned. Similar expenditure incurred outside the Union is entered in Item 01 03 02 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 250 000.

**16 01 04 Support expenditure for operations and programmes in the ‘Communication’ policy area**

## 16 01 04 02 Support expenditure for communication actions

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 146 000           | 1 090 000           | 1 033 729,82 |

*Remarks*

This appropriation is intended to cover horizontal expenditure on, inter alia, studies, meetings, *ex post* controls, expert technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, evaluation on horizontal or cross-cutting activities and professionalisation activities, as well as the repayment of travel and related expenses of persons invited to follow the work of the Commission.

This appropriation is also intended to cover IT expenditure for relevant information and management systems development and maintenance.

*Legal basis*

See Items 16 03 01 02, 16 03 01 03, 16 03 02 03 and 16 03 02 05.

**16 01 60 Purchase of information**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 320 000           | 1 320 000           | 1 211 104,50 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union:

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**CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATION’ POLICY AREA** (*cont'd*)

**16 01 60** (*cont'd*)

- the cost of subscriptions and access to online information sources such as press agencies, online news, information providers and external databases,
- the training and support required for accessing this information.

It covers the expenditure incurred within the territory of the Union with the exception of Commission Representations in the Union.

This appropriation is also intended to cover IT expenditure for relevant information and management systems development and maintenance.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 16 03 — COMMUNICATION ACTIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                        | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|---------------|---------------|-----------------------------|
|                                     |                                                                                                                |     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                             |
| 16 03                               | COMMUNICATION<br>ACTIONS                                                                                       |     |                     |            |                     |            |               |               |                             |
| <b>16 03 01</b>                     | <b>Providing information<br/>to Union citizens</b>                                                             |     |                     |            |                     |            |               |               |                             |
| 16 03 01 02                         | Information for the<br>media and audiovisual<br>productions                                                    | 3   | 6 190 000           | 5 900 000  | 6 177 000           | 4 958 000  | 6 245 422,40  | 5 235 432,95  | 88,74                       |
| 16 03 01 03                         | Information outlets                                                                                            | 3   | 15 500 000          | 14 600 000 | 14 700 000          | 13 642 000 | 14 523 829,42 | 14 294 908,47 | 97,91                       |
| 16 03 01 04                         | Communication of the<br>Commission Represen-<br>tations, Citizens'<br>Dialogues and 'Part-<br>nership' actions | 3   | 18 357 000          | 17 800 000 | 17 036 000          | 14 600 000 | 15 022 375,37 | 12 350 538,68 | 69,39                       |
| 16 03 01 05                         | European Public Spaces                                                                                         | 5.2 | 1 246 000           | 1 246 000  | 1 246 000           | 1 246 000  | 1 179 561,49  | 1 171 000,—   | 93,98                       |
|                                     | <i>Article 16 03 01 —<br/>Subtotal</i>                                                                         |     | 41 293 000          | 39 546 000 | 39 159 000          | 34 446 000 | 36 971 188,68 | 33 051 880,10 | 83,58                       |
| <b>16 03 02</b>                     | <b>Providing institutional<br/>communication and<br/>information analysis</b>                                  |     |                     |            |                     |            |               |               |                             |
| 16 03 02 01                         | Visits to the Commission                                                                                       | 3   | 4 000 000           | 3 978 000  | 3 900 000           | 3 670 000  | 3 883 260,62  | 3 594 679,65  | 90,36                       |
| 16 03 02 02                         | Operation of radio and<br>television studios and<br>audiovisual equipment                                      | 5.2 | 5 600 000           | 5 600 000  | 5 700 000           | 5 700 000  | 5 623 958,68  | 5 634 000,—   | 100,61                      |
| 16 03 02 03                         | Online and written<br>information and<br>communication tools                                                   | 3   | 21 300 000          | 21 419 000 | 23 710 000          | 26 075 000 | 23 656 115,44 | 21 321 378,01 | 99,54                       |
| 16 03 02 04                         | General report and other<br>publications                                                                       | 5.2 | 2 160 000           | 2 160 000  | 2 160 000           | 2 160 000  | 2 110 993,41  | 1 731 090,99  | 80,14                       |
| 16 03 02 05                         | Public opinion analysis                                                                                        | 3   | 6 900 000           | 7 498 000  | 6 640 000           | 6 570 000  | 6 639 990,64  | 4 611 000,—   | 61,50                       |
|                                     | <i>Article 16 03 02 —<br/>Subtotal</i>                                                                         |     | 39 960 000          | 40 655 000 | 42 110 000          | 44 175 000 | 41 914 318,79 | 36 892 148,65 | 90,74                       |

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CHAPTER 16 03 — COMMUNICATION ACTIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                       | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                               |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 16 03 04                            | <b>House of European History</b>              | 3  | 3 000 000           | 3 000 000         | 3 000 000           | 3 200 000         | 800 000,—            | 800 000,—            | 26,67                       |
| 16 03 77                            | <b>Pilot projects and preparatory actions</b> |    |                     |                   |                     |                   |                      |                      |                             |
| 16 03 77 04                         | Completion of pilot project EuroGlobe         | 3  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |                             |
| 16 03 77 05                         | Preparatory action — Share Europe Online      | 3  | p.m.                | p.m.              | p.m.                | 936 000           | 499 999,60           | 650 000,—            |                             |
|                                     | Article 16 03 77 — Subtotal                   |    | p.m.                | p.m.              | p.m.                | 936 000           | 499 999,60           | 650 000,—            |                             |
|                                     | <b>Chapter 16 03 — Total</b>                  |    | <b>84 253 000</b>   | <b>83 201 000</b> | <b>84 269 000</b>   | <b>82 757 000</b> | <b>80 185 507,07</b> | <b>71 394 028,75</b> | <b>85,81</b>                |

**16 03 01 Providing information to Union citizens**

16 03 01 02 Information for the media and audiovisual productions

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 6 190 000           | 5 900 000 | 6 177 000           | 4 958 000 | 6 245 422,40 | 5 235 432,95 |

Remarks

This appropriation is intended to cover the funding of general information for citizens on Union activities, so as to increase the visibility of the work of the Union institutions, the decisions taken and the stages in the building of Europe, focusing mainly on the media. The tools developed for better understanding and reporting of topical issues cover mainly:

- multimedia (photo, video, etc.) information material to feed the media and other platforms, including their publication/broadcasting and long-term preservation/dissemination,
- seminars and support for journalists.

This appropriation is also intended to cover IT expenditure for relevant information and management systems development and maintenance.

This appropriation also covers evaluation and professionalisation expenditure.

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 01** (*cont'd*)16 03 01 02 (*cont'd*)*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 16 03 01 03 Information outlets

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 15 500 000          | 14 600 000 | 14 700 000          | 13 642 000 | 14 523 829,42 | 14 294 908,47 |

*Remarks*

This appropriation is intended to fund general information to citizens and covers:

- the financing of the Europe Direct network throughout Europe (Europe Direct Information Centres, European documentation centres, Team Europe speakers, etc.); this network supplements the work of the Commission Representations and the European Parliament Information Offices in the Member States,
- support, training, coordination and assistance for Europe Direct network,
- the financing of production, storage and distribution of information material and communication products by these outlets as well as for them.

This appropriation is also intended to cover IT expenditure for relevant information and management systems development and maintenance.

This appropriation also covers evaluation and professionalisation expenditure.

This appropriation is also intended to complement the specific actions that the Europe Direct Information Centres (EDICs) should organise in connection with the 2019 European elections in accordance with their annual work plans and in a manner fully consistent with the European Parliament institutional communication strategy. Fully complementing the actions of the Information Offices, this could involve outreach activities, support for social media animation, strategic engagement and other types of offline and online actions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

COMMISSION  
TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)

16 03 01 (*cont'd*)

16 03 01 03 (*cont'd*)

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Decision C(2016) 8443 of 19 December 2016 concerning the adoption of the 2017 work programme in the field of Communication, serving as a financing decision.

16 03 01 04 Communication of the Commission Representations, Citizens' Dialogues and 'Partnership' actions

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 18 357 000          | 17 800 000 | 17 036 000          | 14 600 000 | 15 022 375,37 | 12 350 538,68 |

*Remarks*

This appropriation is intended to fund general information to citizens and covers the expenditure on centralised and decentralised communication as well as the expenditure on Citizens' Dialogues. The aim of local communication activities is in particular to provide target groups with the tools to gain a better understanding of topical Union policy issues. The aim of Citizens' Dialogues is in particular to provide citizens with first-hand information on major Union policy initiatives and to promote an open dialogue between citizens and the Members of the Commission with regular attendance of representatives of other Union institutions and the Member States, in order to improve citizens' knowledge of Union issues and to allow citizens to make their voice heard to policymakers.

For budgetary years 2018 and 2019, this appropriation should cover awareness raising and information activities on electoral rights of citizens and the importance of European elections for the shaping of the future of Europe.

This appropriation should also promote dialogue with citizens on the future of Europe, based on the White Paper presented by the Commission in 2017.

These activities are implemented in the Member States through:

- communication operations linked to specific annual or multiannual communication priorities laid down in a joint declaration (in the context of implementing the Interinstitutional Agreement of 13 April 2016 between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making (OJ L 123, 12.5.2016, p. 1),
- ad hoc communication operations on a national or international scale that fit in with the communication priorities,

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 01** (*cont'd*)16 03 01 04 (*cont'd*)

- open door events for citizens from all walks of life,
- dialogues with citizens and civil society organisations online on the internet and in social media,
- seminars and conferences as well as workshops with more specific target groups, such as young people, and by applying participatory methods,
- organisation of or participation in European events, exhibitions and public-relations activities, organisation of individual visits, etc.,
- direct communication measures targeted at the general public (e.g. citizens' advice services),
- direct communication measures targeted at opinion multipliers, in particular stepped-up measures involving the regional daily press, which is a major information outlet for a large number of Union citizens,
- the management of information centres for the general public in the Commission Representations.

Communication operations can be organised in partnership with the European Parliament and/or the Member States in order to create synergies between the means of each partner and to coordinate their information and communication activities on the European Union.

This appropriation could also serve to fund awareness raising and information activities on European Citizens' Initiatives in cooperation with Commission Representations and local Europe Direct Centres in the Member States.

This appropriation is further intended to cover expenditure on studies, logistical services, technical assistance, in particular for IT including web maintenance and social media services, meetings of experts and expert technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts as well as the repayment of travel and related expenses of persons invited to follow the work of the Commission.

This appropriation is also intended to cover IT expenditure for relevant information and management systems development and maintenance.

This appropriation also covers evaluation and professionalisation expenditure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 55 000.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION  
TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (cont'd)

16 03 01 (cont'd)

16 03 01 05 European Public Spaces

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 1 246 000           | 1 246 000 | 1 246 000           | 1 246 000 | 1 179 561,49 | 1 171 000,— |

Remarks

This appropriation is intended to fund general information to citizens and cover specifically the opening and management of 'European Public Spaces' (EPS) in the Europe Houses that are formally hosting the EPS. The Commission manages the logistical arrangements for the EPS for the benefit of both institutions (the European Parliament and the Commission), including operational costs and the organisation of contracted services. The EPS must be run jointly by the two institutions on the basis of an annual assessment report on the management and the functioning of the EPS, as well as of a working programme for the year to come. Both these documents, which are drafted jointly by the two institutions and constitute the fundamental elements for allocation of funds for the following year, are to be presented to the European Parliament and the Council in time to be taken into account in the budgetary procedure.

European Public Spaces can serve as an important platform for communication with citizens. Activities of the European Public Spaces in 2018 should focus on informing citizens about their electoral rights and the importance of European elections for the shaping of the future of Europe. They should also encourage dialogue with citizens on the future of Europe, based on the White Paper presented by the Commission in 2017.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

16 03 02 **Providing institutional communication and information analysis**

16 03 02 01 Visits to the Commission

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 4 000 000           | 3 978 000 | 3 900 000           | 3 670 000 | 3 883 260,62 | 3 594 679,65 |

Remarks

This appropriation is intended to cover the cost of organising visits to the Commission, including administrative expenditure related to the visits.

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (cont'd)**16 03 02** (cont'd)

## 16 03 02 01 (cont'd)

This appropriation is also intended to cover IT expenditure for relevant information and management systems development and maintenance.

This appropriation may also cover evaluation and professionalisation expenditure.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 16 03 02 02 Operation of radio and television studios and audiovisual equipment

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 5 600 000           | 5 600 000 | 5 700 000           | 5 700 000 | 5 623 958,68 | 5 634 000,— |

*Remarks*

This appropriation is intended to cover all the costs of operating the Commission's studios and other audiovisual information facilities: staff and the purchase, hire, maintenance and repair of the necessary equipment and material.

It also covers satellite rental to provide information on the Union's activities to television channels. This appropriation must be managed in compliance with the principles of interinstitutional cooperation in order to ensure the dissemination of all information concerning the Union.

This appropriation may also cover evaluation and professionalisation expenditure.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION  
TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (cont'd)

16 03 02 (cont'd)

16 03 02 03 Online and written information and communication tools

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 21 300 000          | 21 419 000 | 23 710 000          | 26 075 000 | 23 656 115,44 | 21 321 378,01 |

Remarks

This appropriation is intended to cover online multimedia and written information and communication tools concerning the Union, for the purpose of providing all citizens with general information on the work of the Union institutions, the decisions taken and the stages in the building of Europe. Online tools make it possible to gather citizens' questions or reactions on European issues. This is a public service task. The information covers all the Union institutions. These tools must be made accessible to people with disabilities, in accordance with Web Accessibility Initiative guidelines.

The main types of tool concerned are:

- the Europa site, which must constitute the main point of access to the new political and information sites putting at the disposal of citizens information which they might need in their daily lives and which therefore must be better structured, made more user-friendly and optimised for mobile devices,
- the internet sites, multimedia and written products of the Commission Representations in the Member States,
- online press releases, databases and other online communication information systems (including Rapid),
- complementary online channels like social media, blogs and other web 2.0 technologies,
- the Europe Direct Contact Centre (multilingual service centre, phone 00800-67891011).

This appropriation is also intended to:

- fund the restructuring of the Europa site in a more coherent way, to optimise the site for mobile devices, to focus it on user needs and to professionalise the use of other online channels like social media, blogs and web 2.0. This includes all types of training, coaching and consultancy services for various groups of stakeholders,
- cover the expenditure relating to hosting and licences costs associated with the Europa site,
- support the exchange of best practices, knowledge transfer and professionalisation by financing visits of digital communication experts and practitioners,
- cover information campaigns for facilitating access to these sources of information, especially for the operation of the Europe Direct Contact Centre, the general multilingual information service about Union matters,
- cover the expenditure relating to the production for various target groups of written publications concerning the Union's activities that are often distributed through a decentralised network, mainly:



**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 02** (*cont'd*)16 03 02 03 (*cont'd*)

- the Representations' publications (paper newsletters and periodicals): each Representation produces one or more publications that are distributed among multipliers and cover various fields (social, economic and political),
- the dissemination (also through a decentralised network) of specific basic information on the European Union (in all the official Union languages) for the general public, coordinated from headquarters, and promotion of the publications.

Publishing costs cover, among other things, preparation and drafting (including authors' fees), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications.

This appropriation may also cover evaluation and professionalisation expenditure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 200 000.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 16 03 02 04 General report and other publications

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 2 160 000           | 2 160 000 | 2 160 000           | 2 160 000 | 2 110 993,41 | 1 731 090,99 |

*Remarks*

This appropriation is intended to cover expenditure on issuing, in whatever medium, publications on matters of topical importance relating to Commission activities and the work of the Union, as well as the publications provided for in the Treaties and other institutional or reference publications, such as the general report. The publications may be targeted at specific groups such as the teaching profession, young people, opinion leaders or the general public.

Publishing costs cover, among other things, preparation and drafting (including authors' contracts), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications, including in formats accessible to citizens with disabilities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

COMMISSION  
TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)

16 03 02 (*cont'd*)

16 03 02 04 (*cont'd*)

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Treaty on the Functioning of the European Union, and in particular Article 249(2) thereof.

16 03 02 05 Public opinion analysis

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 6 900 000           | 7 498 000 | 6 640 000           | 6 570 000 | 6 639 990,64 | 4 611 000,— |

*Remarks*

This appropriation is intended to cover the analysis of trends in public opinion, mainly by means of opinion polls (e.g. general-public 'Eurobarometer' surveys, 'flash' surveys, by telephone, of specific populations on particular subjects, at regional, national, or European level, or qualitative surveys), together with quality control of these surveys.

It also covers qualitative media monitoring and analysis, including monitoring and analysis of social media activities. This appropriation could also cover IT expenditure for possible relevant information and management systems development and maintenance.

This appropriation may also cover evaluation and professionalisation expenditure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)**16 03 04** *House of European History**Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |           |
|---------------------|-----------|---------------------|-----------|--------------|-----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments  |
| 3 000 000           | 3 000 000 | 3 000 000           | 3 200 000 | 800 000,—    | 800 000,— |

*Remarks*

As specified in the Service Level Agreement between the European Parliament and the Commission, this appropriation is intended to cover the financial contribution of the Commission to the House of European History for the operational costs incurred by the European Parliament in respect of exhibitions, events and workshops organised which will increase knowledge, awaken curiosity, and create opportunities to reflect on European history by means of a modern exhibition and documentation centre.

As the House of European History is in its first year of operation, communication is a priority in order to make the institution known to citizens. Furthermore, the House's cultural diplomacy role should be enhanced vis-à-vis interested citizens from outside the Union. Additionally, the Union should be based on historical, cultural and linguistic exchange between its diverse communities. This appropriation will enable this new institution to incorporate that diversity and promote Union heritage, especially for the 2018 European Year of Cultural Heritage.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (O) L 298, 26.10.2012, p. 1).

*Reference acts*

Article 167 of the Treaty on the Functioning of the European Union.

**16 03 77** *Pilot projects and preparatory actions*

## 16 03 77 04 Completion of pilot project EuroGlobe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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TITLE 16 — COMMUNICATION

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)

**16 03 77** (*cont'd*)

16 03 77 04 (*cont'd*)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

16 03 77 05 Preparatory action — Share Europe Online

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 936 000  | 499 999,60   | 650 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 17*

**HEALTH AND FOOD SAFETY**

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

**TITLE 17****HEALTH AND FOOD SAFETY****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                         | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|---------------------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                                 | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 17 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'HEALTH<br>AND FOOD SAFETY' POLICY<br>AREA | 104 304 115         | 104 304 115        | 104 399 603         | 104 399 603        | 103 375 740,90        | 103 375 740,90        |
| 17 03            | PUBLIC HEALTH                                                                   | 214 400 081         | 209 551 779        | 203 947 000         | 202 972 000        | 217 019 217,09        | 209 914 799,10        |
| 17 04            | FOOD AND FEED SAFETY,<br>ANIMAL HEALTH, ANIMAL<br>WELFARE AND PLANT HEALTH      | 280 400 068         | 249 070 068        | 255 848 000         | 233 840 000        | 253 030 161,33        | 237 856 367,60        |
|                  | <b>Title 17 — Total</b>                                                         | <b>599 104 264</b>  | <b>562 925 962</b> | <b>564 194 603</b>  | <b>541 211 603</b> | <b>573 425 119,32</b> | <b>551 146 907,60</b> |

## TITLE 17

## HEALTH AND FOOD SAFETY

## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                       | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 17 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA                                                                                                        |     |                     |                     |               |             |
| <b>17 01 01</b>                     | <b>Expenditure relating to officials and temporary staff in the 'Health and food safety' policy area</b>                                                                      | 5.2 | 72 282 499          | 72 294 157          | 71 472 907,52 | 98,88       |
| <b>17 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Health and food safety' policy area</b>                                                             |     |                     |                     |               |             |
| 17 01 02 01                         | External personnel                                                                                                                                                            | 5.2 | 6 344 619           | 6 439 851           | 6 923 691,18  | 109,13      |
| 17 01 02 11                         | Other management expenditure                                                                                                                                                  | 5.2 | 7 649 918           | 7 854 304           | 6 127 448,85  | 80,10       |
|                                     | <i>Article 17 01 02 — Subtotal</i>                                                                                                                                            |     | 13 994 537          | 14 294 155          | 13 051 140,03 | 93,26       |
| <b>17 01 03</b>                     | <b>Expenditure relating to information and communication technology equipment and services, buildings and related expenditure of the 'Health and food safety' policy area</b> |     |                     |                     |               |             |
| 17 01 03 01                         | Expenditure related to information and communication technology equipment and services                                                                                        | 5.2 | 4 672 579           | 4 514 291           | 5 496 076,13  | 117,62      |
| 17 01 03 03                         | Buildings and related expenditure — Grange                                                                                                                                    | 5.2 | 4 753 000           | 4 918 000           | 4 814 011,21  | 101,28      |
|                                     | <i>Article 17 01 03 — Subtotal</i>                                                                                                                                            |     | 9 425 579           | 9 432 291           | 10 310 087,34 | 109,38      |
| <b>17 01 04</b>                     | <b>Support expenditure for operations and programmes in the 'Health and food safety' policy area</b>                                                                          |     |                     |                     |               |             |
| 17 01 04 02                         | Support expenditure for the 'Third programme for the Union's action in the field of health (2014-2020)'                                                                       | 3   | 1 500 000           | 1 500 000           | 1 547 700,01  | 103,18      |

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TITLE 17 — HEALTH AND FOOD SAFETY

## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                      | FF | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|---------------------|-----------------------|--------------|
| <b>17 01 04</b>                     | (cont'd)                                                                                                                                                     |    |                     |                     |                       |              |
| 17 01 04 03                         | Support expenditure in the field of food and feed safety, animal health, animal welfare and plant health                                                     | 3  | 1 500 000           | 1 500 000           | 1 500 000,—           | 100,00       |
|                                     | <i>Article 17 01 04 — Subtotal</i>                                                                                                                           |    | 3 000 000           | 3 000 000           | 3 047 700,01          | 101,59       |
| <b>17 01 06</b>                     | <b>Executive agencies</b>                                                                                                                                    |    |                     |                     |                       |              |
| 17 01 06 02                         | Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)' | 3  | 4 406 500           | 4 209 000           | 4 323 906,—           | 98,13        |
| 17 01 06 03                         | Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health | 3  | 1 195 000           | 1 170 000           | 1 170 000,—           | 97,91        |
|                                     | <i>Article 17 01 06 — Subtotal</i>                                                                                                                           |    | 5 601 500           | 5 379 000           | 5 493 906,—           | 98,08        |
|                                     | <b>Chapter 17 01 — Total</b>                                                                                                                                 |    | <b>104 304 115</b>  | <b>104 399 603</b>  | <b>103 375 740,90</b> | <b>99,11</b> |

**17 01 01 Expenditure relating to officials and temporary staff in the 'Health and food safety' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 72 282 499          | 72 294 157          | 71 472 907,52 |

**17 01 02 External personnel and other management expenditure in support of the 'Health and food safety' policy area**

## 17 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 344 619           | 6 439 851           | 6 923 691,18 |

## 17 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 649 918           | 7 854 304           | 6 127 448,85 |



## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (cont'd)

**17 01 03** *Expenditure relating to information and communication technology equipment and services, buildings and related expenditure of the 'Health and food safety' policy area*

17 01 03 01 Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 672 579           | 4 514 291           | 5 496 076,13 |

17 01 03 03 Buildings and related expenditure — Grange

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 753 000           | 4 918 000           | 4 814 011,21 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of rent, ground rent and municipal charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment,
- expenses relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance and upgrade of security installations and the purchase of items of equipment,

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (*cont'd*)17 01 03 (*cont'd*)17 01 03 03 (*cont'd*)

- expenses relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major repair, fitting-out or remodelling operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,
  - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
  - fittings specific to canteens and restaurants,
  - the hire of furniture,
  - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

**CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA** *(cont'd)***17 01 03** *(cont'd)*17 01 03 03 *(cont'd)*

- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including all associated costs,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.), including annual national car tests,
  - various types of insurance (in particular third-party liability and insurance against theft) and national taxes where payable and insurance costs,
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals, reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- other operating expenditure, such as:
  - expenditure on equipping buildings with telecommunications, notably the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
  - the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
  - the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
  - the purchase, hire or leasing of typewriters, word processors or any other electronic office equipment,
  - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,

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CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (*cont'd*)17 01 03 (*cont'd*)17 01 03 03 (*cont'd*)

- expenditure on the operation of restaurants, cafeterias and canteens, in particular the costs of maintaining equipment and purchasing various supplies, expenditure on current conversions and the renewal of equipment, and substantial expenditure on conversion and renewal which should be clearly distinguished from current conversions, repairs and renewal of equipment and supplies,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs, etc.),
- the training and support required for accessing this information,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- licences, subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of inter-building telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and associated costs and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (cont'd)

17 01 04 **Support expenditure for operations and programmes in the 'Health and food safety' policy area**

17 01 04 02 Support expenditure for the 'Third programme for the Union's action in the field of health (2014-2020)'

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 500 000           | 1 500 000           | 1 547 700,01 |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to achievement of the objective of the programme or measures covered by this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 17 03.

17 01 04 03 Support expenditure in the field of food and feed safety, animal health, animal welfare and plant health

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 500 000           | 1 500 000           | 1 500 000,—  |

*Remarks*

This appropriation is intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, evaluating, auditing and control of programmes or projects in this field.

It is also intended to cover expenditure on technical and administrative assistance, studies, meetings of experts, information and communication measures and publications directly linked to the achievement of the objectives of the programme or measures covered by this appropriation.

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## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (cont'd)

17 01 04 (cont'd)

17 01 04 03 (cont'd)

This appropriation is also intended to cover expenditure on administrative assistance relating to the audit of claims presented by the Member States in accordance with the respective provisions provided for in the legal basis.

*Legal basis*

See Chapter 17 04.

17 01 06 **Executive agencies**

17 01 06 02 Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 406 500           | 4 209 000           | 4 323 906,—  |

*Remarks*

This appropriation is intended to cover the contribution for expenditure on the Agency's staff and administration incurred as a result of the Agency's role in the management of measures forming part of the third 'Health' programme 2014-2020.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA (cont'd)

17 01 06 (cont'd)

17 01 06 02 (cont'd)

Regulation (EU) No 282/2014 of the European Parliament and of the Council of 11 March 2014 on the establishment of a third Programme for the Union's action in the field of health (2014-2020) and repealing Decision No 1350/2007/EC (OJ L 86, 21.3.2014, p. 1).

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341, 18.12.2013, p. 69).

Commission Decision C(2013) 9505 of 20 December 2013 delegating powers to the Consumers, Health and Food Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of consumers, health and food comprising, in particular, implementation of appropriations entered in the general budget of the Union.

17 01 06 03 Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 195 000           | 1 170 000           | 1 170 000,—  |

*Remarks*

This appropriation is intended to cover the contribution from the Union training strategy in the areas of food law, feed law, animal health and animal welfare rules as well as plant rules for expenditure on the Agency's staff and administration incurred as a result of the Agency's role in the management of measures in the areas of food law, feed law, animal health and animal welfare rules as well as plant rules.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

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**CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA** (*cont’d*)

**17 01 06** (*cont’d*)

17 01 06 03 (*cont’d*)

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1), and in particular Article 51 thereof.

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

See Chapter 17 04.

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341, 18.12.2013, p. 69).

Commission Decision C(2013) 9505 of 20 December 2013 delegating powers to the Consumers, Health and Food Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of consumers, health and food comprising, in particular, implementation of appropriations entered in the general budget of the Union.



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**CHAPTER 17 03 — PUBLIC HEALTH**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                              | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|---------------|---------------|--------------------------------|
|                                     |                                                                                                                                      |    | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                                |
| 17 03                               | PUBLIC HEALTH                                                                                                                        |    |                     |            |                     |            |               |               |                                |
| <b>17 03 01</b>                     | <b>Third programme for the Union's action in the field of health (2014-2020)</b>                                                     | 3  | 60 467 000          | 47 389 000 | 58 820 000          | 46 000 000 | 58 174 063,99 | 39 197 581,95 | 82,71                          |
| <b>17 03 10</b>                     | <b>European Centre for Disease Prevention and Control</b>                                                                            | 3  | 54 127 178          | 54 127 178 | 51 687 000          | 51 687 000 | 58 248 576,95 | 58 247 650,—  | 107,61                         |
| <b>17 03 11</b>                     | <b>European Food Safety Authority</b>                                                                                                | 3  | 76 891 362          | 77 758 060 | 76 595 000          | 77 795 000 | 79 414 527,17 | 79 240 057,—  | 101,91                         |
| <b>17 03 12</b>                     | <b>European Medicines Agency</b>                                                                                                     |    |                     |            |                     |            |               |               |                                |
| 17 03 12 01                         | Union contribution to the European Medicines Agency                                                                                  | 3  | 8 779 541           | 8 779 541  | 2 438 000           | 2 438 000  | 4 044 049,98  | 4 044 049,18  | 46,06                          |
| 17 03 12 02                         | Special contribution for orphan medicinal products                                                                                   | 3  | 13 105 000          | 13 105 000 | 13 687 000          | 13 687 000 | 13 137 999,—  | 12 768 875,—  | 97,44                          |
|                                     | Article 17 03 12 — Subtotal                                                                                                          |    | 21 884 541          | 21 884 541 | 16 125 000          | 16 125 000 | 17 182 048,98 | 16 812 924,18 | 76,83                          |
| <b>17 03 13</b>                     | <b>International agreements and membership of international organisations in the field of public health and tobacco control</b>      | 4  | 230 000             | 230 000    | 220 000             | 220 000    | 200 000,—     | 131 721,79    | 57,27                          |
| <b>17 03 51</b>                     | <b>Completion of public health programmes</b>                                                                                        | 3  | p.m.                | 2 611 000  | p.m.                | 6 000 000  | 0,—           | 12 361 310,67 | 473,43                         |
| <b>17 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                        |    |                     |            |                     |            |               |               |                                |
| 17 03 77 03                         | Pilot project — Fruit and vegetable consumption                                                                                      | 2  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 336 262,80    |                                |
| 17 03 77 04                         | Pilot project — Healthy diet: early years and ageing population                                                                      | 2  | p.m.                | p.m.       | p.m.                | 350 000    | 0,—           | 595 719,17    |                                |
| 17 03 77 05                         | Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes                                     | 2  | p.m.                | 413 000    | p.m.                | 400 000    | 0,—           | 293 138,40    | 70,98                          |
| 17 03 77 06                         | Preparatory action — Anti-microbial resistance (AMR): research on the causes of high and improper antibiotic usage                   | 2  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 0,—           |                                |
| 17 03 77 07                         | Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems | 3  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 205 211,—     |                                |

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                 | FF | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|----------|--------------|------------|--------------------------------|
|                                     |                                                                                                                                                                         |    | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |                                |
| <b>17 03 77</b>                     | <i>(cont'd)</i>                                                                                                                                                         |    |                     |          |                     |          |              |            |                                |
| 17 03 77 08                         | Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe                                                               | 3  | p.m.                | 419 000  | p.m.                | 600 000  | 0,—          | 628 203,30 | 149,93                         |
| 17 03 77 09                         | Pilot project — Promotion of self-care systems in the Union                                                                                                             | 3  | p.m.                | 237 000  | p.m.                | 200 000  | 0,—          | 706 024,40 | 297,90                         |
| 17 03 77 10                         | Pilot project — Gender-specific mechanisms in coronary artery disease in Europe                                                                                         | 3  | p.m.                | 368 000  | p.m.                | 350 000  | 0,—          | 0,—        | 0                              |
| 17 03 77 11                         | Preparatory action — Fruit and vegetable consumption                                                                                                                    | 2  | p.m.                | 208 000  | p.m.                | 450 000  | 0,—          | 0,—        | 0                              |
| 17 03 77 12                         | Pilot project — Reducing health inequalities: building expertise and evaluation of actions.                                                                             | 2  | p.m.                | 294 000  | p.m.                | 290 000  | 0,—          | 440 273,—  | 149,75                         |
| 17 03 77 13                         | Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons                                                           | 2  | p.m.                | 505 000  | p.m.                | 200 000  | 0,—          | 208 462,50 | 41,28                          |
| 17 03 77 14                         | Preparatory action — Healthy diet: early years and ageing population                                                                                                    | 2  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 78 264,67  |                                |
| 17 03 77 15                         | Preparatory action — European study on the burden and care of epilepsy                                                                                                  | 3  | p.m.                | 615 000  | p.m.                | p.m.     | 0,—          | 0,—        | 0                              |
| 17 03 77 16                         | Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes | 3  | p.m.                | 300 000  | p.m.                | 300 000  | 0,—          | 299 994,27 | 100,00                         |
| 17 03 77 17                         | Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016                                             | 3  | p.m.                | 346 000  | p.m.                | 180 000  | 0,—          | 0,—        | 0                              |
| 17 03 77 18                         | Pilot project — Reducing health inequalities experienced by LGBTI people                                                                                                | 3  | p.m.                | 132 000  | p.m.                | 135 000  | 0,—          | 132 000,—  | 100,00                         |
| 17 03 77 19                         | Pilot project — Access to healthcare for people in rural areas                                                                                                          | 3  | p.m.                | p.m.     | p.m.                | 300 000  | 0,—          | 0,—        |                                |

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## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                              | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                                                                                                                                      |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 17 03 77 20                         | Pilot project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)                    | 3  | p.m.                | p.m.               | p.m.                | 30 000             | 100 000,—             | 0,—                   |                             |
| 17 03 77 21                         | Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy                                                                                                              | 3  | p.m.                | 105 000            | p.m.                | 105 000            | 350 000,—             | 0,—                   | 0                           |
| 17 03 77 22                         | Pilot project — MentALLY                                                                                                                                                                                                                             | 3  | p.m.                | p.m.               | p.m.                | 120 000            | 400 000,—             | 0,—                   |                             |
| 17 03 77 23                         | Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies                                                                                                                           | 3  | p.m.                | 360 000            | p.m.                | 360 000            | 1 200 000,—           | 0,—                   | 0                           |
| 17 03 77 24                         | Pilot project — Towards a fairer and more effective measurement of access to healthcare across the Union in order to enhance cooperation and know-how transfer                                                                                       | 3  | p.m.                | 150 000            | p.m.                | 75 000             | 250 000,—             | 0,—                   | 0                           |
| 17 03 77 25                         | Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage | 3  | p.m.                | 250 000            | p.m.                | 150 000            | 500 000,—             | 0,—                   | 0                           |
| 17 03 77 26                         | Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer                                                                                                                                             | 3  | p.m.                | 150 000            | p.m.                | 150 000            | 500 000,—             | 0,—                   | 0                           |
| 17 03 77 27                         | Pilot project — Food redistribution                                                                                                                                                                                                                  | 3  | p.m.                | 150 000            | p.m.                | 150 000            | 500 000,—             | 0,—                   | 0                           |
| 17 03 77 28                         | Pilot project — Rare 2030 — A participatory foresight study for policy-making on rare diseases                                                                                                                                                       | 3  | 800 000             | 550 000            | 500 000             | 250 000            |                       |                       |                             |
|                                     | <i>Article 17 03 77 — Subtotal</i>                                                                                                                                                                                                                   |    | 800 000             | 5 552 000          | 500 000             | 5 145 000          | 3 800 000,—           | 3 923 553,51          | 70,67                       |
|                                     | <b>Chapter 17 03 — Total</b>                                                                                                                                                                                                                         |    | <b>214 400 081</b>  | <b>209 551 779</b> | <b>203 947 000</b>  | <b>202 972 000</b> | <b>217 019 217,09</b> | <b>209 914 799,10</b> | <b>100,17</b>               |

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)**17 03 01** *Third programme for the Union's action in the field of health (2014-2020)**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 60 467 000          | 47 389 000 | 58 820 000          | 46 000 000 | 58 174 063,99 | 39 197 581,95 |

*Remarks*

This appropriation is intended to cover expenditure for achieving the objectives established through the multiannual 'Health' programme for the 2014-2020 period.

The general objectives of the programme shall be to complement, support and add value to the policies of the Member States to improve the health of Union citizens and reduce health inequalities by promoting health, encouraging innovation in health, increasing the sustainability of health systems and protecting Union citizens from serious cross-border health threats.

The 'Health' programme 2014-2020 focuses on four specific objectives:

- supporting public health capacity building and contributing to innovative, efficient and sustainable health systems: identify and develop tools and mechanisms at Union level to address shortages of resources, both human and financial, and facilitate the voluntary uptake of innovation in public health intervention and prevention strategies,
- facilitating access to better and safer healthcare for Union citizens: increase access to medical expertise and information for specific conditions also beyond national borders, facilitate the application of the results of research and develop tools for the improvement of healthcare quality and patient safety through, inter alia, actions contributing to improve health literacy,
- promoting health, including mental health, in particular among adolescents, preventing diseases and fostering supportive environments for healthy lifestyles: identify, disseminate and promote the uptake of evidence-based and good practices for cost-effective disease prevention and health promotion measures by addressing in particular the key lifestyle-related risk factors with a focus on the Union added value,
- protecting citizens from serious cross-border health threats: identify and develop coherent approaches and promote their implementation for better preparedness and coordination in health emergencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 01** (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 282/2014 of the European Parliament and of the Council of 11 March 2014 on the establishment of a third Programme for the Union's action in the field of health (2014-2020) and repealing Decision No 1350/2007/EC (O) L 86, 21.3.2014, p. 1).

**17 03 10** ***European Centre for Disease Prevention and Control****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 54 127 178          | 54 127 178 | 51 687 000          | 51 687 000 | 58 248 576,95 | 58 247 650,— |

*Remarks*

This appropriation is intended to cover the Centre's personnel and administrative expenditure. In particular, Title 1 covers the salaries of permanent staff and seconded experts, the costs relating to recruitment, interim services, staff training and mission expenses; Title 2 'Expenditure' relates to the renting of the Centre's office facilities, the fitting-out of premises, information and communication technology, technical installations, logistics and other administrative costs.

This appropriation is also intended to cover operational expenditure relating to the following target areas:

- improving surveillance of communicable diseases in the Member States,
- strengthening the scientific support provided by the Member States and the Commission,
- enhancing the preparedness of the Union against emerging threats from communicable diseases, especially hepatitis B, including threats related to intentional release of biological agents, and diseases of unknown origin, and coordinating the response,
- strengthening the relevant capacity in the Member States through training,
- communicating information and building partnerships.

This appropriation is also intended to cover the maintenance of the emergency facility (Emergency Operations Centre) linking the Centre online with national communicable disease centres and reference laboratories in Member States in the event of major outbreaks of communicable diseases or other illnesses of unknown origin.

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The Centre must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Centre's establishment plan is set out in Annex 'Staff' to this section.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2018 amounts to a total of EUR 56 766 000. An amount of EUR 2 638 822 coming from the recovery of surplus is added to the amount of EUR 54 127 178 entered in the budget.

*Legal basis*

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

17 03 11 **European Food Safety Authority**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 76 891 362          | 77 758 060 | 76 595 000          | 77 795 000 | 79 414 527,17 | 79 240 057,— |

*Remarks*

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

It covers in particular:

- costs associated with supporting and holding meetings of the scientific committee and the scientific groups, working groups, the advisory forum, the Management Board and meetings with the scientific partners or interested parties,

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 11** (*cont'd*)

- costs associated with the establishment of scientific opinions using external resources (contracts and subsidies),
- costs associated with the creation of data collection networks and the integration of the existing information systems,
- costs associated with the scientific and technical assistance to the Commission (Article 31),
- costs associated with the identification of logistical support measures,
- costs associated with technical and scientific cooperation,
- costs associated with the dissemination of scientific opinions,
- costs associated with communication activities.

The Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Authority's establishment plan is set out in Annex 'Staff' to this section.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2018 amounts to a total of EUR 77 333 000. An amount of EUR 441 638 coming from the recovery of surplus is added to the amount of EUR 76 891 362 entered in the budget.

*Legal basis*

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1).

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 12 **European Medicines Agency**

17 03 12 01 Union contribution to the European Medicines Agency

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 8 779 541           | 8 779 541 | 2 438 000           | 2 438 000 | 4 044 049,98 | 4 044 049,18 |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3), in order to carry out the tasks provided for in Article 57 of Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 18 895 000. An amount of EUR 10 115 459 coming from the recovery of surplus is added to the amount of EUR 8 779 541 entered in the budget.

*Legal basis*

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).



**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 12** (*cont'd*)17 03 12 01 (*cont'd*)

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1) (replacing Council Regulation (EEC) No 2309/93).

*Reference acts*

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Commission Regulation (EC) No 2049/2005 of 15 December 2005 laying down, pursuant to Regulation (EC) No 726/2004 of the European Parliament and of the Council, rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises (OJ L 329, 16.12.2005, p. 4).

Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use and amending Regulation (EEC) No 1768/92, Directive 2001/20/EC, Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 378, 27.12.2006, p. 1).

Regulation (EC) No 1394/2007 of the European Parliament and of the Council of 13 November 2007 on advanced therapy medicinal products and amending Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 324, 10.12.2007, p. 121).

Commission Regulation (EC) No 1234/2008 of 24 November 2008 concerning the examination of variations to the terms of marketing authorisations for medicinal products for human use and veterinary medicinal products (OJ L 334, 12.12.2008, p. 7).

Regulation (EC) No 470/2009 of the European Parliament and of the Council of 6 May 2009 laying down Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuffs of animal origin, repealing Council Regulation (EEC) No 2377/90 and amending Directive 2001/82/EC of the European Parliament and of the Council and Regulation (EC) No 726/2004 of the European Parliament and of the Council (OJ L 152, 16.6.2009, p. 11).

Regulation (EU) No 1235/2010 of the European Parliament and of the Council of 15 December 2010 amending, as regards pharmacovigilance of medicinal products for human use, Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency, and Regulation (EC) No 1394/2007 on advanced therapy medicinal products (OJ L 348, 31.12.2010, p. 1).

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 12 (*cont'd*)

## 17 03 12 02 Special contribution for orphan medicinal products

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 13 105 000          | 13 105 000 | 13 687 000          | 13 687 000 | 13 137 999,— | 12 768 875,— |

Remarks

This appropriation is intended to cover the special contribution provided for in Article 7 of Regulation (EC) No 141/2000, as opposed to the one provided for in Article 67 of Regulation (EC) No 726/2004. It is used by the Agency exclusively to compensate for the total or partial non-recovery of payments due for an orphan medicinal product.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

**17 03 13 *International agreements and membership of international organisations in the field of public health and tobacco control***

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 230 000             | 230 000  | 220 000             | 220 000  | 200 000,—    | 131 721,79 |

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 13** (*cont'd*)*Remarks*

This appropriation is intended to cover the Union contribution to the World Health Organisation Framework Convention on Tobacco Control (FCTC), which the Community ratified and to which the Union is a party.

*Legal basis*

Council Decision 2004/513/EC of 2 June 2004 concerning the conclusion of the WHO Framework Convention on Tobacco Control (OJ L 213, 15.6.2004, p. 8).

**17 03 51** **Completion of public health programmes***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 2 611 000 | p.m.                | 6 000 000 | 0,—          | 12 361 310,67 |

*Remarks*

This payment appropriation is intended to cover commitments relating to previous years under Decisions No 1786/2002/EC and No 1350/2007/EC.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1786/2002/EC of the European Parliament and of the Council of 23 September 2002 adopting a programme of Community action in the field of public health (2003-2008) (OJ L 271, 9.10.2002, p. 1).

Decision No 1350/2007/EC of the European Parliament and of the Council of 23 October 2007 establishing a second programme of Community action in the field of health (2008-2013) (OJ L 301, 20.11.2007, p. 3).

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)**17 03 77 Pilot projects and preparatory actions**

17 03 77 03 Pilot project — Fruit and vegetable consumption

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 336 262,80 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 04 Pilot project — Healthy diet: early years and ageing population

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 350 000  | 0,—          | 595 719,17 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 05 Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 413 000  | p.m.                | 400 000  | 0,—          | 293 138,40 |

## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 05 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 06 Preparatory action — Antimicrobial resistance (AMR): research on the causes of high and improper antibiotic usage

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 07 Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 205 211,— |

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TITLE 17 — HEALTH AND FOOD SAFETY

## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 07 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 08 Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 419 000  | p.m.                | 600 000  | 0,—          | 628 203,30 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 09 Pilot project — Promotion of self-care systems in the Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 237 000  | p.m.                | 200 000  | 0,—          | 706 024,40 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 09 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 10 Pilot project — Gender-specific mechanisms in coronary artery disease in Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 368 000  | p.m.                | 350 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 11 Preparatory action — Fruit and vegetable consumption

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 208 000  | p.m.                | 450 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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TITLE 17 — HEALTH AND FOOD SAFETY

## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 11 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 12 Pilot project — Reducing health inequalities: building expertise and evaluation of actions.

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 294 000  | p.m.                | 290 000  | 0,—          | 440 273,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 13 Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 505 000  | p.m.                | 200 000  | 0,—          | 208 462,50 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.



**CHAPTER 17 03 — PUBLIC HEALTH** (cont'd)**17 03 77** (cont'd)

17 03 77 13 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 14 Preparatory action — Healthy diet: early years and ageing population

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 78 264,67 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 15 Preparatory action — European study on the burden and care of epilepsy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 615 000  | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 15 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 16 Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 300 000  | p.m.                | 300 000  | 0,—          | 299 994,27 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 17 Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 346 000  | p.m.                | 180 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 17 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 18 Pilot project — Reducing health inequalities experienced by LGBTI people

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 132 000  | p.m.                | 135 000  | 0,—          | 132 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 19 Pilot project — Access to healthcare for people in rural areas

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 300 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

## CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 19 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 20 Pilot project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 30 000   | 100 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 21 Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 105 000  | p.m.                | 105 000  | 350 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

**CHAPTER 17 03 — PUBLIC HEALTH** (cont'd)

17 03 77 (cont'd)

17 03 77 21 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 22 Pilot project — MentALLY

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 120 000  | 400 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 23 Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 360 000  | p.m.                | 360 000  | 1 200 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 23 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 24 Pilot project — Towards a fairer and more effective measurement of access to healthcare across the Union in order to enhance cooperation and know-how transfer

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 150 000  | p.m.                | 75 000   | 250 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 25 Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 250 000  | p.m.                | 150 000  | 500 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 25 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 26 Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 150 000  | p.m.                | 150 000  | 500 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 27 Pilot project — Food redistribution

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 150 000  | p.m.                | 150 000  | 500 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 27 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 28 Pilot project — Rare 2030 — A participatory foresight study for policy-making on rare diseases

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 800 000             | 550 000  | 500 000             | 250 000  |              |          |

*Remarks*

## Comments on implementation:

- The participatory methods could be more usefully termed ‘consensus building methods’. It would be preferable not to list them (expert panels, gaming, Delphi methods) in order to leave the contractor more freedom to propose the most suitable methods on the basis of its experience and expertise.
- An extended consultation exercise involving patients should be added, in the form of a survey, to gather their opinions on scenarios once they have been identified.
- The ‘citizens’ conference’ refers to the French term ‘conférence de citoyens’, which in English translates as ‘consensus conference’; it is therefore proposed that that term be used instead (see [https://en.wikipedia.org/wiki/Consensus\\_conferences](https://en.wikipedia.org/wiki/Consensus_conferences) and [https://fr.wikipedia.org/wiki/Conférence\\_de\\_citoyens](https://fr.wikipedia.org/wiki/Conférence_de_citoyens)).
- Translating the final report into various Union languages is very costly and would not add much value. In order to keep to the budget — reduced by comparison with the original proposal — it is suggested instead that the executive summary be translated.
- It is crucial that the work be carried out with the Commission and its expert group on rare diseases. It is proposed that the Joint Action for Rare Diseases be added; it is co-funded by the Commission and supports the expert group with technical and policy work on rare diseases.

The comments proposed above would translate into changes to section 2. Implementation, page 67 of the Commission interim report on the implementation of the PP-PA in the 2017 budget, which would read as set out below:



**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 28 (*cont'd*)*Implementation*

The pilot project will be carried out on the basis of a call for tenders. Rare 2030 is a two-year project using inclusive methods to promote a continuous bottom-up approach so as to put more emphasis on interaction and to encourage a broad take-up by patients, stakeholders and society at large.

The methodological steps and timeline for Rare 2030 will include different work packages:

- base research, including literature reviews and exploratory interviews to identify drivers of change and current and future challenges;
- establishment of an expert / multi-stakeholder panel;
- consensus building methods will be used to define the main drivers (political, scientific, etc.) for scenario building and will be open to large groups of stakeholders on a European level;
- an interdisciplinary and forward-looking workshop for scenario building scenarios will be used as a decision-making tool so as to reveal available choices and their potential consequences;
- extended patient consultation (survey) on the identified scenarios;
- a European ‘consensus’ conference (‘conférence de citoyens’) to present, discuss and review decision-making results, engaging society to shape and take ownership of the outcomes;
- policy recommendations bringing together the results of the scenarios and conference;
- a final report, in English, outlining the recommendations and outcomes of the project and measuring its impact; the executive summary of the report will be translated into various Union languages;
- all project activities will be carried out in close collaboration with the relevant Commission department, the Commission expert group on rare diseases and relevant Union-funded activities in the area of rare diseases (e.g. the Joint Action on Rare Diseases).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

## CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                         | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|----------------|----------------|--------------------------------|
|                                     |                                                                                                                                                                                                 |    | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                                |
| 17 04                               | FOOD AND FEED SAFETY,<br>ANIMAL HEALTH, ANIMAL<br>WELFARE AND PLANT<br>HEALTH                                                                                                                   |    |                     |             |                     |             |                |                |                                |
| 17 04 01                            | <i>Ensuring a higher animal<br/>health status and high level of<br/>protection of animals in the<br/>Union</i>                                                                                  | 3  | 160 000 000         | 135 200 000 | 165 000 000         | 151 300 000 | 161 603 019,50 | 150 418 002,38 | 111,26                         |
| 17 04 02                            | <i>Ensuring timely detection of<br/>harmful organisms for plants<br/>and their eradication</i>                                                                                                  | 3  | 22 000 000          | 13 200 000  | 15 000 000          | 10 000 000  | 11 375 400,—   | 4 238 036,38   | 32,11                          |
| 17 04 03                            | <i>Ensuring effective, efficient and<br/>reliable controls</i>                                                                                                                                  | 3  | 55 483 000          | 53 280 000  | 53 558 000          | 49 500 000  | 50 021 102,—   | 43 119 791,97  | 80,93                          |
| 17 04 04                            | <i>Fund for emergency measures<br/>related to animal and plant<br/>health</i>                                                                                                                   | 3  | 40 000 000          | 40 000 000  | 20 000 000          | 16 000 000  | 28 876 298,14  | 29 898 966,34  | 74,75                          |
| 17 04 07                            | <i>European Chemicals Agency —<br/>Activities in the field of<br/>biocides legislation</i>                                                                                                      | 2  | 1 857 068           | 1 857 068   | 890 000             | 890 000     | 864 341,69     | 864 341,69     | 46,54                          |
| 17 04 10                            | <i>Contributions to international<br/>agreements and membership of<br/>international organisations in<br/>the fields of food safety, animal<br/>health, animal welfare and<br/>plant health</i> | 4  | 310 000             | 310 000     | 300 000             | 300 000     | 290 000,—      | 242 653,58     | 78,28                          |
| 17 04 51                            | <i>Completion of previous<br/>measures in food and feed<br/>safety, animal health, animal<br/>welfare and plant health</i>                                                                      | 3  | p.m.                | 4 000 000   | p.m.                | 5 000 000   | 0,—            | 8 642 429,27   | 216,06                         |
| 17 04 77                            | <i>Pilot projects and preparatory<br/>actions</i>                                                                                                                                               |    |                     |             |                     |             |                |                |                                |
| 17 04 77 03                         | Pilot project — Developing best<br>practices in animal transport                                                                                                                                | 2  | p.m.                | 415 000     | p.m.                | 300 000     | 0,—            | 285 379,23     | 68,77                          |
| 17 04 77 04                         | Pilot project — Farmhouse and<br>Artisan Cheesemakers' European<br>Network — Project involving<br>the production of European<br>guidelines for proper hygienic<br>practice                      | 2  | p.m.                | 103 000     | p.m.                | p.m.        | 0,—            | 146 766,76     | 142,49                         |
| 17 04 77 05                         | Pilot project — Establishment of<br>a harmonised internal market<br>for pigmeat obtained from pigs<br>that have not been surgically<br>castrated                                                | 2  | p.m.                | 180 000     | 600 000             | 300 000     |                |                |                                |

**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH** (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                     | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                             |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| <b>17 04 77</b>                     | ( <i>cont'd</i> )                                                           |    |                     |                    |                     |                    |                       |                       |                             |
| 17 04 77 06                         | Pilot project — Environmental monitoring of pesticide use through honeybees | 2  | 750 000             | 525 000            | 500 000             | 250 000            |                       |                       |                             |
|                                     | Article 17 04 77 — Subtotal                                                 |    | 750 000             | 1 223 000          | 1 100 000           | 850 000            | 0,—                   | 432 145,99            | 35,33                       |
|                                     | <b>Chapter 17 04 — Total</b>                                                |    | <b>280 400 068</b>  | <b>249 070 068</b> | <b>255 848 000</b>  | <b>233 840 000</b> | <b>253 030 161,33</b> | <b>237 856 367,60</b> | <b>95,50</b>                |

**17 04 01 Ensuring a higher animal health status and high level of protection of animals in the Union**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 160 000 000         | 135 200 000 | 165 000 000         | 151 300 000 | 161 603 019,50 | 150 418 002,38 |

*Remarks*

The Union's financial assistance helps accelerate the eradication or control of animal diseases by providing funds to supplement national financial resources and contributes to harmonising measures at Union level. The bulk of these diseases or infections are zoonoses communicable to man (BSE, brucellosis, avian influenza, salmonellosis, tuberculosis, etc.). Moreover, the persistence of the diseases in question hampers the smooth operation of the internal market; fighting them helps to improve the level of public health and to boost food safety in the Union.

This appropriation is also intended to cover the Union's contribution to measures for eliminating obstacles to the free movement of goods in these sectors, for veterinary support and as back-up action.

It consists in providing financial assistance:

- for the purchase, storage and formulation of foot-and-mouth antigens and of different vaccines,
- for the development of marker vaccines or tests that can distinguish between sick and vaccinated animals.

*Legal basis*

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1), and in particular Article 50 thereof.

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)**17 04 02** *Ensuring timely detection of harmful organisms for plants and their eradication**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 22 000 000          | 13 200 000 | 15 000 000          | 10 000 000 | 11 375 400,— | 4 238 036,38 |

*Remarks*

This appropriation is intended to cover preventive actions to combat pests and diseases (for example the pine wood nematode and the red palm weevil) threatening agricultural and horticultural crops, forest ecosystems, and landscapes. It covers also Union contributions towards the specific measures for agriculture in the outermost regions of the Union.

*Legal basis*

Regulation (EU) No 228/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council Regulation (EC) No 247/2006 (OJ L 78, 20.3.2013, p. 23).

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

**17 04 03** *Ensuring effective, efficient and reliable controls**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 55 483 000          | 53 280 000 | 53 558 000          | 49 500 000 | 50 021 102,— | 43 119 791,97 |

*Remarks*

This appropriation is intended to cover the implementation of the initial measures resulting from Regulation (EC) No 882/2004, in particular:

- the activities of the Union laboratories,
- training on feed and food control,

**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH** (*cont'd*)**17 04 03** (*cont'd*)

- travel and subsistence expenses for national experts participating in Food and Veterinary Office missions,
- IT tools, communication and information on feed and food control, development of Union strategy for safer food,
- for an information policy in the field of animal protection, including information campaigns and programmes to inform the public about the harmlessness of consumption of meat from vaccinated animals, as well as information campaigns and programmes emphasising the humane aspects of vaccination strategies in the fight against contagious animal diseases,
- for the monitoring of compliance with animal-protection provisions during the transport of animals for slaughter,
- for the establishment and maintenance of a rapid alert system, including a worldwide rapid alert system, for the notification of direct or indirect risks to human and animal health deriving from food or feed,
- for technical and scientific measures necessary to develop Union veterinary legislation and for the development of veterinary education or training,
- for information technology tools, including Traces and the Animal Disease Notification System,
- for measures to combat illegal imports of dog and cat furs.

This appropriation is also intended for measures to prevent the import of animal clones and their descendants and of products obtained from animal clones and their descendants.

This appropriation is also intended to cover the Union contribution to the implementation, by the Commission and/or the Member States, of the measures provided for in the legal basis below, in particular the provisions eliminating obstacles to the free movement of goods in these sectors.

*Legal basis*

Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed (OJ 125, 11.7.1966, p. 2298/66).

Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed (OJ 125, 11.7.1966, p. 2309/66).

Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine (OJ L 93, 17.4.1968, p. 15).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), and in particular Article 5, Assessment of Risk and Determination of the Appropriate Level of Sanitary or Phytosanitary Protection, of the chapter 'Agreement on the application of sanitary and phytosanitary measures' thereof.

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)**17 04 03** (*cont'd*)

Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material (OJ L 11, 15.1.2000, p. 17), and in particular Article 11(1) thereof.

Council Directive 2002/53/EC of 13 June 2002 on the common catalogue of varieties of agricultural plant species (OJ L 193, 20.7.2002, p. 1), and in particular Article 17 thereof.

Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed (OJ L 193, 20.7.2002, p. 12).

Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed (OJ L 193, 20.7.2002, p. 33).

Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes (OJ L 193, 20.7.2002, p. 60).

Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants (OJ L 193, 20.7.2002, p. 74).

Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed (OJ L 205, 1.8.2008, p. 28).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes and amending Council Directive 83/417/EEC, Council Regulation (EC) No 1493/1999, Directive 2000/13/EC, Council Directive 2001/112/EC and Regulation (EC) No 258/97 (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods and amending Council Regulation (EEC) No 1601/91, Regulation (EC) No 2232/96 and (EC) No 110/2008 and Directive 2000/13/EC (OJ L 354, 31.12.2008, p. 34).

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

**17 04 04** *Fund for emergency measures related to animal and plant health*

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 40 000 000          | 40 000 000 | 20 000 000          | 16 000 000 | 28 876 298,14 | 29 898 966,34 |

## CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (cont'd)

## 17 04 04 (cont'd)

## Remarks

Outbreaks of some animal diseases within the Union could significantly affect the operation of the internal market and the Union's trading relations with non-member countries. It is therefore essential for the Union to make a financial contribution to enable serious infectious diseases — should any outbreak occur in Member States — to be eradicated as quickly as possible by deploying Union resources for disease control.

This appropriation is intended to cover curative actions to combat pests and diseases threatening agricultural and horticultural crops, forests, and landscape, including the spread of invasive alien species and diseases (for example the pine wood nematode), which are becoming more frequent and are spreading, causing a severe and prolonged impact, especially on Member States most exposed to risk, and with less economic capacity, and on the EU's outermost regions.

## Legal basis

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

17 04 07 **European Chemicals Agency — Activities in the field of biocides legislation**

## Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|-----------|---------------------|----------|--------------|------------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments   |
| 1 857 068           | 1 857 068 | 890 000             | 890 000  | 864 341,69   | 864 341,69 |

## Remarks

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure for the activities related to the implementation of the biocides legislation.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 07 (*cont'd*)

The establishment plan of the European Chemicals Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 2 226 000. As the amount of the assigned revenue related to the 2016 budget outturn is EUR 368 932, a contribution of EUR 1 857 068 is entered in the budget.

*Legal basis*

Regulation (EU) No 528/2012 of the European Parliament and of the Council of 22 May 2012 concerning the making available on the market and use of biocidal products (OJ L 167, 27.6.2012, p. 1).

**17 04 10 Contributions to international agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 310 000             | 310 000  | 300 000             | 300 000  | 290 000,—    | 242 653,58 |

*Remarks*

This appropriation is intended to cover the Union contribution to the International Union for the Protection of New Varieties of Plants (UPOV), established by the International Convention for the Protection of New Varieties of Plants as last amended on 19 March 1991, which provides for an exclusive property right for breeders of new plant varieties.

*Legal basis*

Council Decision No 2005/523/EC of 30 May 2005 approving the accession of the European Community to the International Convention for the Protection of New Varieties of Plants, as revised at Geneva on 19 March 1991 (OJ L 192, 22.7.2005, p. 63).

**17 04 51 Completion of previous measures in food and feed safety, animal health, animal welfare and plant health**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 4 000 000 | p.m.                | 5 000 000 | 0,—          | 8 642 429,27 |



**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH** (*cont'd*)**17 04 51** (*cont'd*)*Remarks*

This payment appropriation is intended to cover expenditure previously committed in the domain of the food and feed safety, animal health, animal welfare and plant health.

*Legal basis*

Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed (OJ 125, 11.7.1966, p. 2298/66).

Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed (OJ 125, 11.7.1966, p. 2309/66).

Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine (OJ L 93, 17.4.1968, p. 15).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), and in particular Article 5 (Assessment of Risk and Determination of the Appropriate Level of Sanitary or Phytosanitary Protection), of the chapter 'Agreement on the application of sanitary and phytosanitary measures' thereof.

Council Directive 98/56/EC of 20 July 1998 on the marketing of propagating material of ornamental plants (OJ L 226, 13.8.1998, p. 16).

Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material (OJ L 11, 15.1.2000, p. 17), and in particular Article 11(1) thereof.

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1), and in particular Article 50 thereof.

Council Directive 2002/53/EC of 13 June 2002 on the common catalogue of varieties of agricultural plant species (OJ L 193, 20.7.2002, p. 1), and in particular Article 17 thereof.

Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed (OJ L 193, 20.7.2002, p. 12).

Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed (OJ L 193, 20.7.2002, p. 33).

Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes (OJ L 193, 20.7.2002, p. 60).

Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants (OJ L 193, 20.7.2002, p. 74).

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)**17 04 51** (*cont'd*)

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

Regulation (EC) No 396/2005 of the European Parliament and of the Council of 23 February 2005 on maximum residue levels of pesticides in or on food and feed of plant and animal origin (OJ L 70, 16.3.2005, p. 1).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed (OJ L 205, 1.8.2008, p. 28).

Council Directive 2008/90/EC of 29 September 2008 on the marketing of fruit plant propagating material and fruit plants intended for fruit production (OJ L 267, 8.10.2008, p. 8).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes and amending Council Directive 83/417/EEC, Council Regulation (EC) No 1493/1999, Directive 2000/13/EC, Council Directive 2001/112/EC and Regulation (EC) No 258/97 (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods and amending Council Regulation (EEC) No 1601/91, Regulation (EC) No 2232/96 and (EC) No 110/2008 and Directive 2000/13/EC (OJ L 354, 31.12.2008, p. 34).

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

**17 04 77** ***Pilot projects and preparatory actions***

17 04 77 03 Pilot project — Developing best practices in animal transport

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 415 000  | p.m.                | 300 000  | 0,—          | 285 379,23 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (cont'd)

17 04 77 (cont'd)

17 04 77 03 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 04 77 04 Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 103 000  | p.m.                | p.m.     | 0,—          | 146 766,76 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 04 77 05 Pilot project — Establishment of a harmonised internal market for pigmeat obtained from pigs that have not been surgically castrated

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 180 000  | 600 000             | 300 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 77 (*cont'd*)17 04 77 05 (*cont'd*)

As shown by the results of the latest special Eurobarometer on animal welfare, an overwhelming majority of European citizens care deeply for the welfare of farmed animals and believe that they should be better protected. The surgical castration of young piglets without pain relief is a common and increasingly controversial pig management practice, currently affecting 63 million animals every year. Yet practical experience from major pork supply chains within the Union shows that viable and profitable alternatives to surgical castration exist. The pilot project will bring major stakeholders together — slaughterhouses, food processors and retailers, and involving farmers as needed – and foster the sharing and dissemination of techniques and best practices that eliminate the need to castrate pigs surgically. Emphasis will be given to knowledge transfer activities concerning (a) reliable and viable boar taint detection methods, (b) utilisation of boar-tainted carcasses during processing, and (c) marketing and promotion of meat from pigs that are not surgically castrated. Trust building and exchange of information among all market participants in a peer-to-peer environment will be an important communication activity. The project will deliver a practice-based guidance document paving the way for a harmonised internal market for pigmeat obtained from animals that are not surgically castrated.

The European Declaration on Alternatives to Surgical Castration of Pigs is a voluntary and stakeholder-driven agreement to phase out surgical castration of pigs by 2018. The declaration was signed by 33 parties representing European farmers, the meat industry, retailers, scientists, veterinarians and animal welfare NGOs. While some Member States have taken concrete initiatives to this end, there is currently no harmonised internal market for pig meat obtained from pigs that have not been surgically castrated. This is hindering the progress of the Declaration. The pilot project will accelerate the process by providing and disseminating practical solutions to commonly perceived problems among key operators of the pork chain, while also showcasing the associated economic and societal advantages of ending the surgical castration of pigs.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 04 77 06 Pilot project — Environmental monitoring of pesticide use through honeybees

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 750 000             | 525 000  | 500 000             | 250 000  |              |          |

*Remarks**Former Item 07 02 77 38*

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 77 (*cont'd*)17 04 77 06 (*cont'd*)

The objective of this ongoing pilot project is to put in place a tool for evaluation of pesticide exposure in the environment at landscape level and understand the sources of contamination with the help of bees and bee products. This approach combines environmental contaminant monitoring with animal health and food safety and security.

Honey bees come into contact with diverse environmental matrices due to their biological needs and behaviour. As a result of their foraging behaviour bees cover large areas (up to 15 km radius). Numerous plants are visited every day to collect nectar, secretions from sap-feeding insects, pollen and water, while plant gums are collected for propolis production. While flying they also come into contact with airborne particles, which stick to their body hairs, or with substances diluted in the air. Scattered studies have been performed using honey bees and beekeeping products as biological 'monitoring instruments' to measure environmental quality. Various levels of environmental monitoring with honey bees have already been described, differing in their degree of complexity and sensitivity.

Concerned about honey bee colony losses, beekeepers, beekeeper technicians and scientists in specific areas of Europe started analysing the pesticide content of bees and bee products. The results are often the same: bees are exposed to a wide variety of contaminants simultaneously and consecutively. Surprisingly, on many occasions the pesticides detected were not authorised, while in others they were found in natural areas away from the sources of contamination.

This pilot project is a citizens' science project in which beekeepers from several (n=5-6) countries in Europe will collect biweekly pollen samples during two beekeeping seasons. The countries will be chosen on the basis of the following criteria: existence of regional/national activities and scientists/technicians able to do pollen sampling in the country, at least one representative of each authorisation zone (Annex I to Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC (OJ L 309, 24.11.2009, p. 1)). A protocol agreed between scientists and field practitioners will be proposed that follows official methodological recommendations (i.e. Health-B recommendations, Epilobee). In this way, the outcome of the project can be integrated into multiple stressor models linked with bee health and risk assessment. Practitioners' work will be coordinated by scientists/technicians. Pollen and pesticide analyses will serve to establish the botanical origin and contamination of samples respectively. Analytical data will be put in a geospatial context with a view to gaining an insight into the possible sources and variability of both bee food and contaminants. In specific cases, fresh honey samples may be collected in order to understand possible contamination of honeydew. Analysis will screen for both authorised and non-authorised pesticide and veterinary products. Additionally, the fate of the colonies used in the sampling will be examined so as to explore potential correlations with the parameters measured. The project will last about 30 months and include two years of sampling to cover data fluctuations.

This will allow evaluation of the implementation of European legislation in terms of pesticide application ('Sustainable Use Directive'), pesticide authorisation and efficiency of agro-environmental and greening measures under the common agricultural policy.

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 77 (*cont'd*)17 04 77 06 (*cont'd*)

The expected results are: (1) the development of a non-invasive environmental monitoring system, easy to use and reproducible across Europe; (2) an increase in knowledge on environmental pollution by using environmental samplers (honey bees); (3) the identification of areas at risk for bee health; (4) the verification of the efficacy of Union legislation in terms of prevention of environmental and food contamination from pesticides; (5) an indication of the plant biodiversity of the landscape throughout the year; (6) the verification of the quality of the CORINE database (or other more detailed land use dataset available in the participating countries) for landscape-level exposure modelling.

This ongoing pilot project, with a view to becoming a preparatory action, has been successfully reviewed, an increase in its total budget having been proposed: EUR 1 250 000 (2017-2018). The budget increase was requested by the Commission and stakeholders in order to ensure successful implementation. The increase comes in response to the budget cuts made during the budget negotiations concerning pilot projects and preparatory actions in 2016.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 18*

**MIGRATION AND HOME AFFAIRS**

COMMISSION

TITLE 18 — MIGRATION AND HOME AFFAIRS

**TITLE 18****MIGRATION AND HOME AFFAIRS****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                          | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|------------------|----------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|                  |                                                                                  | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 18 01            | ADMINISTRATIVE EXPENDITURE OF<br>THE 'MIGRATION AND HOME<br>AFFAIRS' POLICY AREA | 72 006 262           | 72 006 262           | 68 651 959           | 68 651 959           | 54 910 059,05           | 54 910 059,05           |
| 18 02            | INTERNAL SECURITY                                                                | 1 357 931 114        | 1 072 842 093        | 1 272 712 003        | 1 189 352 822        | 1 168 844 708,62        | 923 084 021,65          |
|                  | <i>Reserves (40 02 41)</i>                                                       |                      |                      | 40 000 000           |                      |                         |                         |
|                  |                                                                                  | 1 357 931 114        | 1 072 842 093        | 1 312 712 003        | 1 189 352 822        | 1 168 844 708,62        | 923 084 021,65          |
| 18 03            | ASYLUM AND MIGRATION                                                             | 808 791 689          | 683 709 839          | 1 687 565 120        | 818 134 892          | 1 849 125 711,93        | 1 035 557 620,53        |
| 18 04            | FOSTERING EUROPEAN CITI-<br>ZENSHIP                                              | 25 166 000           | 26 245 000           | 24 071 000           | 24 000 000           | 23 367 948,09           | 23 640 729,14           |
| 18 05            | HORIZON 2020 — RESEARCH<br>RELATED TO SECURITY                                   | 156 526 362          | 164 823 403          | 139 996 074          | 194 292 089          | 134 193 129,19          | 195 027 834,45          |
| 18 06            | ANTI-DRUGS POLICY                                                                | 17 979 412           | 18 751 561           | 18 213 600           | 18 270 320           | 17 306 000,—            | 17 427 767,76           |
| 18 07            | INSTRUMENT FOR EMERGENCY<br>SUPPORT WITHIN THE UNION                             | 199 000 000          | 219 583 000          | 198 000 000          | 217 000 000          | 247 500 000,—           | 138 630 000,—           |
|                  | <b>Title 18 — Total</b>                                                          | <b>2 637 400 839</b> | <b>2 257 961 158</b> | <b>3 409 209 756</b> | <b>2 529 702 082</b> | <b>3 495 247 556,88</b> | <b>2 388 278 032,58</b> |
|                  | <i>Reserves (40 02 41)</i>                                                       |                      |                      | 40 000 000           |                      |                         |                         |
|                  |                                                                                  | <b>2 637 400 839</b> | <b>2 257 961 158</b> | <b>3 449 209 756</b> | <b>2 529 702 082</b> | <b>3 495 247 556,88</b> | <b>2 388 278 032,58</b> |



## TITLE 18

## MIGRATION AND HOME AFFAIRS

## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                               | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 18 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA                                                                            |     |                     |                     |               |             |
| <b>18 01 01</b>                     | <b><i>Expenditure relating to officials and temporary staff in the 'Migration and Home affairs' policy area</i></b>                                   | 5.2 | 51 078 071          | 47 586 028          | 36 178 981,82 | 70,83       |
| <b>18 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Migration and home affairs' policy area</i></b>                          |     |                     |                     |               |             |
| 18 01 02 01                         | External personnel                                                                                                                                    | 5.2 | 3 282 600           | 2 762 068           | 2 441 493,96  | 74,38       |
| 18 01 02 11                         | Other management expenditure                                                                                                                          | 5.2 | 2 578 173           | 2 516 764           | 2 764 449,80  | 107,23      |
|                                     | <i>Article 18 01 02 — Subtotal</i>                                                                                                                    |     | 5 860 773           | 5 278 832           | 5 205 943,76  | 88,83       |
| <b>18 01 03</b>                     | <b><i>Expenditure relating to information and communication technology equipment and services of the 'Migration and home affairs' policy area</i></b> | 5.2 | 3 301 855           | 2 971 432           | 2 782 606,83  | 84,27       |
| <b>18 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Migration and home affairs' policy area</i></b>                                       |     |                     |                     |               |             |
| 18 01 04 01                         | Support expenditure for Internal Security Fund                                                                                                        | 3   | 2 500 000           | 2 500 000           | 2 144 140,63  | 85,77       |
| 18 01 04 02                         | Support expenditure for Asylum, Migration and Integration Fund                                                                                        | 3   | 2 500 000           | 2 500 000           | 2 321 015,07  | 92,84       |
| 18 01 04 03                         | Support expenditure for the programme 'Europe for citizens'                                                                                           | 3   | 174 000             | 167 000             | 218 150,—     | 125,37      |
| 18 01 04 04                         | Support expenditure for the Justice programme — Anti-drugs                                                                                            | 3   | 100 000             | 100 000             | 59 204,57     | 59,20       |
| 18 01 04 05                         | Support expenditure for emergency support within the Union                                                                                            | 3   | 1 000 000           | 2 000 000           | 458 053,22    | 45,81       |
|                                     | <i>Article 18 01 04 — Subtotal</i>                                                                                                                    |     | 6 274 000           | 7 267 000           | 5 200 563,49  | 82,89       |

COMMISSION

TITLE 18 — MIGRATION AND HOME AFFAIRS

## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                             | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| <b>18 01 05</b>                     | <b>Support expenditure for research and innovative programmes in the 'Migration and home affairs' policy area</b>   |     |                     |                     |                      |              |
| 18 01 05 01                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020 | 1.1 | 2 182 755           | 2 108 942           | 2 166 533,—          | 99,26        |
| 18 01 05 02                         | External personnel implementing research and innovation programmes — Horizon 2020                                   | 1.1 | 559 647             | 728 000             | 491 000,—            | 87,73        |
| 18 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020                                  | 1.1 | 534 161             | 508 725             | 721 430,15           | 135,06       |
|                                     | <i>Article 18 01 05 — Subtotal</i>                                                                                  |     | 3 276 563           | 3 345 667           | 3 378 963,15         | 103,13       |
| <b>18 01 06</b>                     | <b>Executive agencies</b>                                                                                           |     |                     |                     |                      |              |
| 18 01 06 01                         | Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for citizens'         | 3   | 2 215 000           | 2 203 000           | 2 163 000,—          | 97,65        |
|                                     | <i>Article 18 01 06 — Subtotal</i>                                                                                  |     | 2 215 000           | 2 203 000           | 2 163 000,—          | 97,65        |
|                                     | <b>Chapter 18 01 — Total</b>                                                                                        |     | <b>72 006 262</b>   | <b>68 651 959</b>   | <b>54 910 059,05</b> | <b>76,26</b> |

**18 01 01** *Expenditure relating to officials and temporary staff in the 'Migration and Home affairs' policy area**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 51 078 071          | 47 586 028          | 36 178 981,82 |

**18 01 02** *External personnel and other management expenditure in support of the 'Migration and home affairs' policy area*

18 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 282 600           | 2 762 068           | 2 441 493,96 |

**CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MIGRATION AND HOME AFFAIRS’ POLICY AREA** (cont'd)**18 01 02** (cont'd)

## 18 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 578 173           | 2 516 764           | 2 764 449,80 |

## Remarks

Part of this appropriation should be used to ensure adequate support for the Article 29 Working Party.

Part of this appropriation should be used for anti-discrimination training for staff.

**18 01 03** **Expenditure relating to information and communication technology equipment and services of the ‘Migration and home affairs’ policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 301 855           | 2 971 432           | 2 782 606,83 |

**18 01 04** **Support expenditure for operations and programmes in the ‘Migration and home affairs’ policy area**

## 18 01 04 01 Support expenditure for Internal Security Fund

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 500 000           | 2 500 000           | 2 144 140,63 |

## Remarks

This appropriation is intended to cover the Internal Security Fund technical assistance provided for in Article 9 of Regulation (EU) No 513/2014 and Article 16 of Regulation (EU) No 515/2014. It may cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It may, in particular, be used to cover:

- support expenditure (assistance for project preparation and appraisal, actions related to audit, actions related to fraud detection and prevention, conferences, seminars, workshops and other common information and training measures on the implementation of Regulation (EU) No 513/2014 and Regulation (EU) No 515/2014 as well as the related specific regulations for designated authorities and beneficiaries, translations),

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- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the corporate communication of the political priorities of the Union provided that these are related to the general objectives of Regulation (EU) No 513/2014 and Regulation (EU) No 515/2014 as well as the related specific regulations,
- the installation, updating, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- the design of a common framework for evaluation and monitoring, as well as a system of indicators, taking into account, where appropriate, national indicators,
- evaluations, including actions to improve evaluation methods and the exchange of information on evaluation practices, the design of a common framework for evaluation and monitoring, expert reports statistics and studies,
- support for institutional strengthening and administrative capacity building for the effective management of Regulations (EU) No 513/2014 and (EU) No 515/2014 as well as the related specific regulations,
- measures related to the analysis, management, monitoring, information exchange and implementation of Regulations (EU) No 513/2014 and (EU) No 515/2014 and the related specific regulations, as well as measures relating to the implementation of control systems and technical and administrative assistance.

In accordance with the Agreement to be concluded between the European Union and the Republic of Iceland, the Kingdom of Norway, the Swiss Confederation and the Principality of Liechtenstein on supplementary rules in relation to the Internal Security Fund — Borders — for the period 2014 to 2020, the Commission may use up to the amount to be set up in the Agreement of the payments made by the associated States each year to finance the administrative expenditure related to staff or external staff necessary for supporting the implementation by the associated States of the Fund and that Agreement.

*Legal basis*

See Article 18 02 01.

Regulation (EU) No 513/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management and repealing Council Decision 2007/125/JHA (OJ L 150, 20.5.2014, p. 93).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (cont'd)

18 01 04 (cont'd)

18 01 04 01 (cont'd)

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

18 01 04 02 Support expenditure for Asylum, Migration and Integration Fund

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 500 000           | 2 500 000           | 2 321 015,07 |

Remarks

This appropriation is intended to cover the Asylum, Migration and Integration Fund technical assistance provided for in Article 9 of Regulation (EU) No 514/2014. It may cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It may, in particular, be used to cover:

- support expenditure (assistance for project preparation and appraisal, actions related to audit, actions related to fraud detection and prevention, conferences, seminars, workshops and other common information and training measures on the implementation of Regulation (EU) No 514/2014 and the related specific regulations for designated authorities and beneficiaries, translations),
- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the corporate communication of the political priorities of the European Union provided that these are related to the general objectives of Regulation (EU) No 514/2014 and the related specific regulations,
- the installation, updating, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- the design of a common framework for evaluation and monitoring, as well as a system of indicators, taking into account, where appropriate, national indicators,

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- evaluations, including actions to improve evaluation methods and the exchange of information on evaluation practices, the design of a common framework for evaluation and monitoring, expert reports statistics and studies,
- support for institutional strengthening and administrative capacity building for the effective management of Regulation (EU) No 514/2014 and the related specific regulations,
- measures related to the analysis, management, monitoring, information exchange and implementation of Regulation (EU) No 514/2014 and the related specific regulations, as well as measures relating to the implementation of control systems and technical and administrative assistance.

*Legal basis*

See Article 18 03 01.

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

18 01 04 03 Support expenditure for the programme 'Europe for citizens'

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 174 000             | 167 000             | 218 150,—    |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

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Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Article 18 04 01 01.

18 01 04 04 Support expenditure for the Justice programme — Anti-drugs

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 100 000             | 59 204,57    |

*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the drugs-policy-related activities within the Justice programme (the 'Programme') and the achievement of its relevant objectives; in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union, as far as they are related to the general objectives of the Programme, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

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## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (cont'd)

**18 01 04** (cont'd)

18 01 04 04 (cont'd)

*Legal basis*

See Chapter 18 06.

18 01 04 05 Support expenditure for emergency support within the Union

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 000 000           | 2 000 000           | 458 053,22   |

*Remarks*

This appropriation is intended to cover support expenditure directly linked to the achievement of the objectives of the emergency support within the Union. This covers, inter alia:

- preparatory, monitoring, control, audit and evaluation activities,
- development, maintenance, operation and support of information systems, intended for internal use or to improve coordination between the Commission and other institutions, national administrations, agencies, non-governmental organisations, other partners in emergency support, and experts in the field,
- studies, meeting of experts, information and publications directly linked to the achievement of the objective of the emergency support,
- any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any income from financial contributions from public and private donors entered in Item 6 0 2 1 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

See Article 18 07 01.



## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (cont'd)

**18 01 05 Support expenditure for research and innovative programmes in the 'Migration and home affairs' policy area**

18 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 182 755           | 2 108 942           | 2 166 533,—  |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020 and occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 18 05.

18 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 559 647             | 728 000             | 491 000,—    |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

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The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 18 05.

18 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 534 161             | 508 725             | 721 430,15   |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems and purchase of IT equipment, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (cont'd)

18 01 05 (cont'd)

18 01 05 03 (cont'd)

*Legal basis*

See Chapter 18 05.

18 01 06 **Executive agencies**

18 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for citizens'

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 215 000           | 2 203 000           | 2 163 000,—  |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency, incurred because of the Agency's participation in the completion of management of the programme 'Europe for citizens' under Heading 3b of the 2007 to 2013 multiannual financial framework as well as its participation in the management of the 'Europe for citizens' programme under Heading 3 of the 2014 to 2020 multiannual financial framework.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

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**CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MIGRATION AND HOME AFFAIRS’ POLICY AREA** (*cont'd*)

**18 01 06** (*cont'd*)

18 01 06 01 (*cont'd*)

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

Council Regulation (EU) No 390/2014 of 14 April 2014 establishing the ‘Europe for Citizens’ programme for the period 2014-2020 (OJ L 115, 17.4.2014, p. 3), and in particular Article 2 thereof.

*Reference acts*

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

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## CHAPTER 18 02 — INTERNAL SECURITY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                           | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | % Payme-<br>nts<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|----------------|----------------|----------------------------------|
|                                     |                                                                                                                                   |    | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                                  |
| 18 02                               | INTERNAL SECURITY                                                                                                                 |    |                     |             |                     |             |                |                |                                  |
| <b>18 02 01</b>                     | <b>Internal Security Fund</b>                                                                                                     |    |                     |             |                     |             |                |                |                                  |
| 18 02 01 01                         | Support of border management and a common visa policy to facilitate legitimate travel                                             | 3  | 503 806 000         | 290 460 323 | 403 680 352         | 280 282 173 | 583 718 182,—  | 217 516 215,72 | 74,89                            |
| 18 02 01 02                         | Prevention and fight against cross-border organised crime and better management of security-related risks and crisis              | 3  | 153 679 988         | 143 473 644 | 292 374 838         | 154 172 986 | 155 540 837,98 | 81 894 619,20  | 57,08                            |
| 18 02 01 03                         | Establishing an Entry/Exit System (EES) and a European Travel Information and Authorisation System (ETIAS)                        | 3  | 60 000 000          | 44 800 000  | p.m.                | p.m.        | 0,—            | 0,—            | 0                                |
|                                     | Reserves (40 02 41)                                                                                                               |    |                     |             | 40 000 000          |             |                |                |                                  |
|                                     |                                                                                                                                   |    | 60 000 000          | 44 800 000  | 40 000 000          | p.m.        | 0,—            | 0,—            |                                  |
|                                     | Article 18 02 01 — Subtotal                                                                                                       |    | 717 485 988         | 478 733 967 | 696 055 190         | 434 455 159 | 739 259 019,98 | 299 410 834,92 | 62,54                            |
|                                     | Reserves (40 02 41)                                                                                                               |    |                     |             | 40 000 000          |             |                |                |                                  |
|                                     |                                                                                                                                   |    | 717 485 988         | 478 733 967 | 736 055 190         | 434 455 159 | 739 259 019,98 | 299 410 834,92 |                                  |
| 18 02 02                            | Schengen facility for Croatia                                                                                                     | 3  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 0,—            |                                  |
| 18 02 03                            | European Border and Coast Guard Agency (Frontex)                                                                                  | 3  | 292 320 808         | 292 320 808 | 281 267 000         | 281 267 000 | 218 686 000,—  | 218 686 000,—  | 74,81                            |
| 18 02 04                            | European Union Agency for Law Enforcement Cooperation (Europol)                                                                   | 3  | 120 377 271         | 120 377 271 | 113 726 613         | 113 726 613 | 102 242 000,—  | 102 242 000,—  | 84,93                            |
| 18 02 05                            | European Union Agency for Law Enforcement Training (CEPOL)                                                                        | 3  | 8 664 161           | 8 664 161   | 8 721 000           | 8 721 000   | 8 641 000,—    | 8 641 000,—    | 99,73                            |
| 18 02 07                            | European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') | 3  | 191 848 886         | 159 128 886 | 153 334 200         | 153 334 200 | 80 022 000,—   | 95 218 223,38  | 59,84                            |
| 18 02 08                            | Schengen information system (SIS II)                                                                                              | 3  | 16 234 000          | 8 117 000   | 9 804 000           | 7 544 300   | 10 002 366,87  | 9 955 196,18   | 122,65                           |
| 18 02 09                            | Visa information system (VIS)                                                                                                     | 3  | 10 000 000          | 5 000 000   | 9 804 000           | 9 262 550   | 9 950 434,48   | 8 919 310,99   | 178,39                           |
| 18 02 51                            | Completion of operations and programmes in the field of external borders, security and safeguarding liberties                     | 3  | p.m.                | p.m.        | p.m.                | 180 550 000 | 41 887,29      | 179 730 608,18 |                                  |

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                      | FF | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------|----------------------|-------------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                              |    | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments              |                             |
| <b>18 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                |    |                      |                      |                      |                      |                         |                       |                             |
| 18 02 77 01                         | Pilot project — Completion of the fight against terrorism                                                                    | 3  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                   |                             |
| 18 02 77 02                         | Pilot project — New integrated mechanisms for cooperation between public and private actors to identify sports betting risks | 3  | p.m.                 | p.m.                 | p.m.                 | 492 000              | 0,—                     | 280 848,—             |                             |
| 18 02 77 03                         | Pilot project — Terrorism victim response coordination centre                                                                | 3  | 1 000 000            | 500 000              |                      |                      |                         |                       |                             |
|                                     | <i>Article 18 02 77 — Subtotal</i>                                                                                           |    | 1 000 000            | 500 000              | p.m.                 | 492 000              | 0,—                     | 280 848,—             | 56,17                       |
|                                     | <b>Chapter 18 02 — Total</b>                                                                                                 |    | <b>1 357 931 114</b> | <b>1 072 842 093</b> | <b>1 272 712 003</b> | <b>1 189 352 822</b> | <b>1 168 844 708,62</b> | <b>923 084 021,65</b> | <b>86,04</b>                |
|                                     | <b>Reserves (40 02 41)</b>                                                                                                   |    |                      |                      | <b>40 000 000</b>    |                      |                         |                       |                             |
|                                     |                                                                                                                              |    | <b>1 357 931 114</b> | <b>1 072 842 093</b> | <b>1 312 712 003</b> | <b>1 189 352 822</b> | <b>1 168 844 708,62</b> | <b>923 084 021,65</b> |                             |

**18 02 01 Internal Security Fund**

18 02 01 01 Support of border management and a common visa policy to facilitate legitimate travel

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 503 806 000         | 290 460 323 | 403 680 352         | 280 282 173 | 583 718 182,— | 217 516 215,72 |

*Remarks*

The Internal Security Fund shall contribute to the following specific objectives:

- supporting a common visa policy to facilitate legitimate travel, provide a high quality of service to visa applicants, ensure equal treatment of third country nationals and tackle illegal immigration,
- supporting integrated borders management, including promoting further harmonisation of border management-related measures in accordance with common Union standards and through sharing of information between Member States and between Member States and Frontex, to ensure, on one hand, a uniform and high level of control and protection of the external borders, including by the tackling of illegal immigration, and, on the other hand, the smooth crossing of the external borders in conformity with the Schengen *acquis*, while guaranteeing access to international protection for those needing it, in accordance with the obligations contracted by the Member States in the field of human rights, including the principle of non-refoulement and with due regard for the specific characteristics of the people concerned and for the gender perspective.

**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 01** (*cont'd*)18 02 01 01 (*cont'd*)

This appropriation is intended to cover the expenditure related to actions in or by Member States and in particular the following:

- infrastructures, buildings and systems required at border crossing points and for surveillance between border crossing points to prevent and tackle unauthorised border crossings, illegal immigration and cross-border criminality as well as to guarantee smooth travel flows,
- operating equipment, means of transport and communication systems required for effective and secure border control and the detection of persons,
- IT and communication systems for efficient management of migration flows across borders, including investments in existing and future systems,
- infrastructures, buildings, communication and IT systems and operating equipment required for the processing of visa applications and consular cooperation, as well as other actions aimed at improving the quality of service for the visa applicants,
- training regarding the use of that equipment and those systems and the promotion of quality management standards and training of border guards, including where appropriate in third countries, regarding the execution of their surveillance, advisory and control tasks with respect to international human rights law, and taking into consideration a gender-sensitive approach, including the identification of victims of human trafficking and people smuggling,
- secondment of immigration liaison officers and document advisers in third countries and the exchange and secondment of border guards between Member States or between a Member State and a third country,
- studies, training, pilot projects and other actions gradually establishing an integrated management system for external borders as referred to in Article 3(3) of Regulation (EU) No 515/2014 including actions aiming to foster interagency cooperation either within Member States or between Member States and actions relating to the interoperability and harmonisation of border management systems,
- studies, pilot projects and actions aiming to implement the recommendations, operational standards and best practices resulting from the operational cooperation between Member States and Union agencies.

This appropriation is also intended to cover the expenditure related to actions in relation to and in third countries and in particular the following:

- information systems, tools or equipment for sharing information between Member States and third countries,
- actions relating to operational cooperation between Member States and third countries, including joint operations,

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)18 02 01 01 (*cont'd*)

- projects in third countries aimed at improving surveillance systems to ensure cooperation with the Eurosur,
- studies, seminars, workshops, conferences, training, equipment and pilot projects to provide ad hoc technical and operational expertise to third countries,
- studies, seminars, workshops, conferences, training, equipment and pilot projects implementing specific recommendations, operational standards and best practices, resulting from the operational cooperation between Member States and Union agencies in third countries.

This appropriation is also intended to cover foregone fees from visas issued for the purpose of transit and additional costs incurred in implementing the Facilitated Transit Document (FTD) and the Facilitated Rail Transit Document (FRTD) scheme in accordance with Council Regulation (EC) No 693/2003 of 14 April 2003 establishing a specific Facilitated Transit Document (FTD), a Facilitated Rail Transit Document (FRTD) and amending the Common Consular Instructions and the Common Manual (OJ L 99, 17.4.2003, p. 8) and Council Regulation (EC) No 694/2003 of 14 April 2003 on uniform formats for Facilitated Transit Documents (FTD) and Facilitated Rail Transit Documents (FRTD) provided for in Regulation (EC) No 693/2003 (OJ L 99, 17.4.2003, p. 15).

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union. To be eligible for funding, those actions shall in particular pursue the following objectives:

- to support preparatory, monitoring, administrative and technical activities, required to implement external borders and visa policies, including to strengthen the governance of the Schengen area by developing and implementing the evaluation mechanism as established by Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27), to verify the application of the Schengen *acquis* and the Schengen Borders Code in particular mission expenditure for experts of the Commission and the Member States participating in on site visits,
- to improve the knowledge and understanding of the situation prevailing in the Member States and third countries through analysis, evaluation and close monitoring of policies,
- to support the development of statistical tools, including common statistical tools, and methods and common indicators, with gender-disaggregated data,
- to support and monitor the implementation of Union law and Union policy objectives in the Member States, and assess their effectiveness and impact, including with regard to the respect of human rights and fundamental freedoms, as far as the scope of this instrument is concerned,
- to promote networking, mutual learning, identification and dissemination of best practices and innovative approaches amongst different stakeholders at European level,



**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 01** (*cont'd*)18 02 01 01 (*cont'd*)

- to promote projects aiming at harmonisation and interoperability of border management-related measures in accordance with common Union standards with a view to developing an integrated European border management system,
- to enhance awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- to boost the capacity of European networks to assess, promote, support and further develop Union policies and objectives,
- to support particularly innovative projects developing new methods and/or technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating research projects,
- to support actions in relation to and in third countries as referred to in Article 4(2) of Regulation (EU) No 1053/2013,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover financial assistance to address urgent and specific needs in the event of an emergency situation which means a situation of urgent and exceptional pressure where a large or disproportionate number of third-country nationals cross or are expected to cross the external border of one or more Member States.

This appropriation will provide for reimbursement of the costs incurred by the Commission and Member States experts for the on-the-spot evaluation visits (travel cost and accommodation) regarding the application of the Schengen *acquis*. The cost of supplies and equipment needed for the on-the-spot evaluation visits and for their preparation and follow-up must be added to these costs.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)18 02 01 01 (*cont'd*)

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

Regulation (EU) 2017/2226 of the European Parliament and of the Council of 30 November 2017 establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third-country nationals crossing the external borders of the Member States and determining the conditions for access to the EES for law enforcement purposes, and amending the Convention implementing the Schengen Agreement and Regulations (EC) No 767/2008 and (EU) No 1077/2011 (OJ L 327, 9.12.2017, p. 20).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 November 2016, establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 515/2014, (EU) 2016/399, (EU) 2016/794 and (EU) 2016/1624 (COM(2016) 731 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the establishment, operation and use of the Schengen Information System (SIS) in the field of border checks, amending Regulation (EU) No 515/2014 and repealing Regulation (EC) No 1987/2006 (COM(2016) 882 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the establishment, operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters, amending Regulation (EU) No 515/2014 and repealing Regulation (EC) No 1986/2006, Council Decision 2007/533/JHA and Commission Decision 2010/261/EU (COM(2016) 883 final).

18 02 01 02 Prevention and fight against cross-border organised crime and better management of security- related risks and crisis

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 153 679 988         | 143 473 644 | 292 374 838         | 154 172 986 | 155 540 837,98 | 81 894 619,20 |

*Remarks*

The Internal Security Fund shall contribute to the following specific objectives:

- crime prevention, combating cross-border, serious and organised crime including terrorism, and reinforcing coordination and cooperation between law enforcement authorities and other national authorities of Member States, including with Europol or other relevant Union bodies, and with relevant third-countries and international organisations,

**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 01** (*cont'd*)18 02 01 02 (*cont'd*)

- enhancing the capacity of Member States and the Union for managing effectively security-related risks and crisis, and preparing for and protecting people and critical infrastructure against terrorist attacks and other security related incidents.

This appropriation is intended to cover actions in Member States, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, including with and between relevant Union bodies, in particular Europol and Eurojust, joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- development of counter-terrorism initiatives with a view to ensuring appropriate responses to emerging threats, including threats related to home-grown radicalisation and foreign fighters who are either abroad or coming or returning to one or more Member States or candidate countries,
- projects promoting networking, public-private partnerships, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information-sharing, shared situation awareness and foresight, contingency planning and interoperability,
- analytical, monitoring and evaluation activities, including studies and threat, risk and impact assessments, which are evidence-based and consistent with priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council,
- awareness-raising, dissemination and communication activities,
- acquisition, maintenance of Union and national IT systems contributing to the achievement of the objectives of Regulation (EU) No 513/2014, and/or further upgrading of IT systems and technical equipment, including testing compatibility of systems, secure facilities, infrastructures, related buildings and systems, especially information and communication technology (ICT) systems and their components, including for the purpose of European cooperation on cyber security and cybercrime, notably with the European Cybercrime Centre,
- exchange, training and education of staff and experts of relevant authorities, including language training and joint exercises or programmes,
- measures deploying, transferring, testing and validating new methodology or technology, including pilot projects and follow-up measures to Union-funded security research projects.

This appropriation is also intended to cover actions in relation to and in third-countries, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, including joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- networking, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information-sharing, shared situation awareness and foresight, contingency planning and interoperability,

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)18 02 01 02 (*cont'd*)

- exchange, training and education of staff and experts of relevant authorities.

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union concerning the general, specific and operational objectives set out in Article 3 of Regulation (EU) No 513/2014. To be eligible for funding, Union actions shall be consistent with the priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council, in relevant Union strategies, policy cycles, programmes, threat and risk assessments, and support in particular:

- preparatory, monitoring, administrative and technical activities, and development of an evaluation mechanism required to implement the policies on police cooperation, preventing and combating crime, and crisis management,
- transnational projects involving two or more Member States or at least one Member State and one third-country,
- analytical, monitoring and evaluation activities, including threat, risk and impact assessments, which are evidence-based and consistent with priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council and projects monitoring the implementation of Union law and Union policy objectives in the Member States,
- projects promoting networking, public-private partnerships, mutual confidence, understanding and learning, identification and dissemination of good practices and innovative approaches at Union level, training and exchange programmes,
- projects supporting the development of methodological, notably statistical, tools and methods and common indicators,
- the acquisition, maintenance and/or further upgrading of technical equipment, expertise, secure facilities, infrastructures, related buildings and systems, especially ICT systems and their components at the Union level, including for the purpose of European cooperation on cyber security and cybercrime, notably a European Cybercrime Centre,
- projects enhancing awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- particularly innovative projects developing new methods and/or deploying new technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating the outcome of Union funded security research projects,
- studies and pilot projects,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also support actions in relation to and in third countries, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, and, where applicable, international organisations, including joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,

**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 01** (*cont'd*)18 02 01 02 (*cont'd*)

- networking, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information sharing, shared situation awareness and foresight, contingency planning and interoperability,
- acquisition, maintenance, and/or further upgrading of technical equipment, including ICT systems and their components,
- exchange, training and education of staff and experts of relevant authorities, including language training,
- awareness-raising, dissemination and communication activities,
- threat, risk and impact assessments,
- studies and pilot projects.

This appropriation shall be used to provide financial assistance to address urgent and specific needs in the event of an emergency situation which means any security-related incident or newly emerging threat which has or may have a significant adverse impact on the security of people in one or more Member States.

*Legal basis*

Regulation (EU) No 513/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management and repealing Council Decision 2007/125/JHA (OJ L 150, 20.5.2014, p. 93).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

## 18 02 01 03 Establishing an Entry/Exit System (EES) and a European Travel Information and Authorisation System (ETIAS)

*Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |            | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|------------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments   | Commitments         | Payments | Commitments  | Payments |
| 18 02 01 03         | 60 000 000          | 44 800 000 | p.m.                | p.m.     | 0,—          | 0,—      |
| Reserves (40 02 41) |                     |            | 40 000 000          |          |              |          |
| Total               | 60 000 000          | 44 800 000 | 40 000 000          | p.m.     | 0,—          | 0,—      |

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)18 02 01 03 (*cont'd*)*Remarks*

This appropriation is intended to cover the setting up and running of IT systems, their communication infrastructure and equipment supporting the management of migration flows across the external borders of the Union.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

Regulation (EU) 2017/2226 of the European Parliament and of the Council of 30 November 2017 establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third-country nationals crossing the external borders of the Member States and determining the conditions for access to the EES for law enforcement purposes, and amending the Convention implementing the Schengen Agreement and Regulations (EC) No 767/2008 and (EU) No 1077/2011 (OJ L 327, 9.12.2017, p. 20).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 November 2016, establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 515/2014, (EU) 2016/399, (EU) 2016/794 and (EU) 2016/1624 (COM(2016) 731 final).

**18 02 02** *Schengen facility for Croatia**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 02** (*cont'd*)*Remarks*

This appropriation is intended to cover the expenditure related to a temporary instrument to help Croatia between the date of accession and the end of 2014 to finance actions at the new external borders of the Union for the implementation of the Schengen *acquis* and external border control.

*Legal basis*

Tasks resulting from the specific powers assigned directly to the Commission by Article 31 of the Act of Accession of Croatia.

**18 02 03** **European Border and Coast Guard Agency (Frontex)***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 292 320 808         | 292 320 808 | 281 267 000         | 281 267 000 | 218 686 000,— | 218 686 000,— |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 298 286 000. An amount of EUR 5 965 192 coming from the recovery of surplus is added to the amount of EUR 292 320 808 entered in the budget.

*Legal basis*

Regulation (EU) No 1052/2013 of the European Parliament and of the Council of 22 October 2013 establishing the European Border Surveillance System (Eurosur) (OJ L 295, 6.11.2013, p. 11).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 03 (*cont'd*)

Regulation (EU) No 656/2014 of the European Parliament and of the Council of 15 May 2014 establishing rules for the surveillance of the external sea borders in the context of the operational cooperation coordinated by European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 189, 27.6.2014, p. 93).

Regulation (EU) 2016/1624 of the European Parliament and of the Council of 14 September 2016 on the European Border and Coast Guard and amending Regulation (EU) 2016/399 of the European Parliament and of the Council and repealing Regulation (EC) No 863/2007 of the European Parliament and of the Council, Council Regulation (EC) No 2007/2004 and Council Decision 2005/267/EC (OJ L 251, 16.9.2016, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 November 2016, establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 515/2014, (EU) 2016/399, (EU) 2016/794 and (EU) 2016/1624 (COM(2016) 731 final).

18 02 04 **European Union Agency for Law Enforcement Cooperation (Europol)***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 120 377 271         | 120 377 271 | 113 726 613         | 113 726 613 | 102 242 000,— | 102 242 000,— |

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 122 245 520. An amount of EUR 1 868 249 coming from the recovery of surplus is added to the amount of EUR 120 377 271 entered in the budget.

*Legal basis*

Regulation (EU) 2016/794 of the European Parliament and of the Council of 11 May 2016 on the European Union Agency for Law Enforcement Cooperation (Europol) and replacing and repealing Council Decisions 2009/371/JHA, 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA (OJ L 135, 24.5.2016, p. 53).



## CHAPTER 18 02 — INTERNAL SECURITY (cont'd)

18 02 05 **European Union Agency for Law Enforcement Training (CEPOL)**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 8 664 161           | 8 664 161 | 8 721 000           | 8 721 000 | 8 641 000,—  | 8 641 000,— |

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 9 216 720. An amount of EUR 552 559 coming from the recovery of surplus is added to the amount of EUR 8 664 161 entered in the budget.

Legal basis

Regulation (EU) 2015/2219 of the European Parliament and of the Council of 25 November 2015 on the European Union Agency for Law Enforcement Training (CEPOL) and replacing and repealing Council Decision 2005/681/JHA (OJ L 319, 4.12.2015, p. 1).

18 02 07 **European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |               |
|---------------------|-------------|---------------------|-------------|--------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments      |
| 191 848 886         | 159 128 886 | 153 334 200         | 153 334 200 | 80 022 000,— | 95 218 223,38 |

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 07 (*cont'd*)

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 200 666 000. An amount of EUR 8 817 114 coming from the recovery of surplus is added to the amount of EUR 191 848 886 entered in the budget.

*Legal basis*

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

Regulation (EU) 2017/2226 of the European Parliament and of the Council of 30 November 2017 establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third-country nationals crossing the external borders of the Member States and determining the conditions for access to the EES for law enforcement purposes, and amending the Convention implementing the Schengen Agreement and Regulations (EC) No 767/2008 and (EU) No 1077/2011 (OJ L 327, 9.12.2017, p. 20).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 4 May 2016, establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (recast) (COM(2016) 270 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2016, on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person], for identifying an illegally staying third-country national or stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes (COM(2016) 272 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 November 2016, establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 515/2014, (EU) 2016/399, (EU) 2016/794 and (EU) 2016/1624 (COM(2016) 731 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the use of the Schengen Information System for the return of illegally staying third-country nationals (COM(2016) 881 final).

CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 07 (*cont'd*)

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the establishment, operation and use of the Schengen Information System (SIS) in the field of border checks, amending Regulation (EU) No 515/2014 and repealing Regulation (EC) No 1987/2006 (COM(2016) 882 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the establishment, operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters, amending Regulation (EU) No 515/2014 and repealing Regulation (EC) No 1986/2006, Council Decision 2007/533/JHA and Commission Decision 2010/261/EU (COM(2016) 883 final).

18 02 08 **Schengen information system (SIS II)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016  |              |
|---------------------|-----------|---------------------|-----------|---------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments   | Payments     |
| 16 234 000          | 8 117 000 | 9 804 000           | 7 544 300 | 10 002 366,87 | 9 955 196,18 |

*Remarks*

This appropriation is intended to finance the operating expenditure of the Schengen information system (SIS), in particular the cost of the network infrastructure and the cost of studies related to the system.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Protocol No 19 on the Schengen *acquis* integrated into the framework of the European Union.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second-generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006, on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)**18 02 08** (*cont'd*)

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Regulation (EU) No 1272/2012 of 20 December 2012 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 359, 29.12.2012, p. 21).

Council Regulation (EU) No 1273/2012 of 20 December 2012 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 359, 29.12.2012, p. 32).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the use of the Schengen Information System for the return of illegally staying third-country nationals (COM(2016) 881 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the establishment, operation and use of the Schengen Information System (SIS) in the field of border checks, amending Regulation (EU) No 515/2014 and repealing Regulation (EC) No 1987/2006 (COM(2016) 882 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 21 December 2016, on the establishment, operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters, amending Regulation (EU) No 515/2014 and repealing Regulation (EC) No 1986/2006, Council Decision 2007/533/JHA and Commission Decision 2010/261/EU (COM(2016) 883 final).

**18 02 09** **Visa information system (VIS)***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 10 000 000          | 5 000 000 | 9 804 000           | 9 262 550 | 9 950 434,48 | 8 919 310,99 |

*Remarks*

This appropriation is intended to cover expenditure related to the analysis development, delivery and installation of a Europe-wide large-scale information system 'VIS' (Visa information system), in particular the cost of the network infrastructure and the cost of studies related to the system.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 09** (*cont'd*)*Legal basis*

Council Decision 2004/512/EC of 8 June 2004 establishing the Visa Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008 concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

**18 02 51** **Completion of operations and programmes in the field of external borders, security and safeguarding liberties***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|----------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | p.m.     | p.m.                | 180 550 000 | 41 887,29    | 179 730 608,18 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Joint Action 98/245/JHA of 19 March 1998 adopted by the Council, on the basis of Article K.3 of the Treaty on European Union, establishing a programme of exchanges, training and cooperation for persons responsible for action to combat organised crime (Falcone programme) (OJ L 99, 31.3.1998, p. 8).

Council Decision 2001/512/JHA of 28 June 2001 establishing a second phase of the programme of incentives and exchanges, training and cooperation for legal practitioners (Grotius II — Criminal) (OJ L 186, 7.7.2001, p. 1).

Council Decision 2001/513/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for law enforcement authorities (Oisin II) (OJ L 186, 7.7.2001, p. 4).

Council Decision 2001/514/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for persons responsible for combating trade in human beings and the sexual exploitation of children (Stop II) (OJ L 186, 7.7.2001, p. 7).

Council Decision 2001/515/JHA of 28 June 2001 establishing a programme of incentives and exchanges, training and cooperation for the prevention of crime (Hippokrates) (OJ L 186, 7.7.2001, p. 11).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)**18 02 51** (*cont'd*)

Council Decision 2002/630/JHA of 22 July 2002 establishing a framework programme on police and judicial cooperation in criminal matters (AGIS) (OJ L 203, 1.8.2002, p. 5).

Council Decision 2007/124/EC, Euratom of 12 February 2007 establishing for the period 2007-2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks' (OJ L 58, 24.2.2007, p. 1).

Council Decision 2007/125/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention of and Fight against Crime' (OJ L 58, 24.2.2007, p. 7).

Decision No 574/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 22).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Task resulting from the Commission's administrative autonomy, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on 'Security and Safeguarding Liberties' for the period 2007-2013 (COM(2005) 124 final).

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 123 final).

Commission Decision 2007/599/EC of 27 August 2007 implementing Decision No 574/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2007 to 2013 (OJ L 233, 5.9.2007, p. 3).

Commission Decision 2008/456/EC of 5 March 2008 laying down rules for the implementation of Decision No 574/2007/EC of the European Parliament and of the Council establishing the External Border Fund for the period 2007 to 2013 as part of the general programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 1).

CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 51 (*cont'd*)

Regulation (EC) No 810/2009 of the European Parliament and of the Council of 13 July 2009 establishing a Community Code on Visas (Visa Code) (OJ L 243, 15.9.2009, p. 1).

18 02 77 **Pilot projects and preparatory actions**

## 18 02 77 01 Pilot project — Completion of the fight against terrorism

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 18 02 77 02 Pilot project — New integrated mechanisms for cooperation between public and private actors to identify sports betting risks

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 492 000  | 0,—          | 280 848,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 77 (*cont'd*)

18 02 77 03 Pilot project — Terrorism victim response coordination centre

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

Recent terrorist attacks both in Europe and elsewhere in the world have had a devastating impact on the victims. Governments and support organisations alike are struggling to provide an effective response which ensures that the specific needs of victims of terrorism are met immediately after an attack and in the longer term. The situation is particularly severe for foreign victims returning to their home countries.

Difficulties in knowledge and skills development, co-ordination of responses and incorporation of victim-orientated approaches in emergency response infrastructures all contribute to weak support mechanisms.

This pilot project aims to overcome those barriers by bringing together key operational experts, victim advocates and organisations from around Europe to identify key priorities and issues for victims of terrorism and deliver co-ordinated support for victims of terrorism across borders.

The centre will:

- bring together research, knowledge and skills from around the world to develop modern support mechanisms and ensure that the Union's future policies have a sound evidence base taken from worldwide best practices (information, compensation and support),
- develop training courses for support personnel and ensure coordination on repatriation issues,
- develop deployment and co-ordination strategies for support in the aftermath of a terrorist attack and bring together specialists to ensure that a victim-orientated approach is taken in emergency response settings throughout Europe,
- develop information and information provision mechanisms for victims of terrorism,
- support the use of technologies to assist victims and provide psychosocial help.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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TITLE 18 — MIGRATION AND HOME AFFAIRS

**CHAPTER 18 03 — ASYLUM AND MIGRATION**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                          | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016     |                | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|------------------|----------------|--------------------------------|
|                                     |                                                                                                                                                                                                                  |    | Commitments         | Payments    | Commitments         | Payments    | Commitments      | Payments       |                                |
| 18 03                               | ASYLUM AND<br>MIGRATION                                                                                                                                                                                          |    |                     |             |                     |             |                  |                |                                |
| <b>18 03 01</b>                     | <b><i>Asylum, Migration and<br/>Integration Fund</i></b>                                                                                                                                                         |    |                     |             |                     |             |                  |                |                                |
| 18 03 01 01                         | Strengthening and<br>developing the common<br>European asylum system<br>and enhancing solidarity<br>and responsibility-sharing<br>between the Member States                                                      | 3  | 388 322 974         | 304 107 137 | 951 548 126         | 345 819 432 | 1 506 341 547,33 | 769 517 700,60 | 253,04                         |
| 18 03 01 02                         | Supporting legal migration<br>to the Union and<br>promoting the effective<br>integration of third-country<br>nationals and enhancing<br>fair and effective return<br>strategies                                  | 3  | 328 331 648         | 287 777 393 | 666 210 994         | 277 783 460 | 300 886 206,61   | 119 151 478,70 | 41,40                          |
|                                     | <i>Article 18 03 01 — Subtotal</i>                                                                                                                                                                               |    | 716 654 622         | 591 884 530 | 1 617 759 120       | 623 602 892 | 1 807 227 753,94 | 888 669 179,30 | 150,14                         |
| <b>18 03 02</b>                     | <b><i>European Asylum Support<br/>Office (EASO)</i></b>                                                                                                                                                          | 3  | 90 837 067          | 90 837 067  | 69 206 000          | 69 206 000  | 41 759 600,—     | 29 463 600,—   | 32,44                          |
| <b>18 03 03</b>                     | <b><i>European fingerprint<br/>database (Eurodac)</i></b>                                                                                                                                                        | 3  | 100 000             | 50 000      | 100 000             | 100 000     | 100 000,—        | 2 400,—        | 4,80                           |
| <b>18 03 51</b>                     | <b><i>Completion of operations<br/>and programmes in the<br/>field of return, refugees<br/>and migration flows</i></b>                                                                                           | 3  | p.m.                | p.m.        | p.m.                | 123 500 000 | 38 357,99        | 116 093 669,76 |                                |
| <b>18 03 77</b>                     | <b><i>Pilot projects and<br/>preparatory actions</i></b>                                                                                                                                                         |    |                     |             |                     |             |                  |                |                                |
| 18 03 77 03                         | Preparatory action —<br>Completion of integration<br>of third-country nationals                                                                                                                                  | 3  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—              | 0,—            |                                |
| 18 03 77 04                         | Pilot project — Network of<br>contacts and discussion<br>between targeted munic-<br>ipalities and local author-<br>ities on experiences and best<br>practices in the resettlement<br>and integration of refugees | 3  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—              | 0,—            |                                |
| 18 03 77 05                         | Pilot project — Funding for<br>victims of torture                                                                                                                                                                | 3  | p.m.                | p.m.        | p.m.                | 298 000     | 0,—              | 285 486,57     |                                |
| 18 03 77 06                         | Preparatory action —<br>Enable the resettlement of<br>refugees during emergency<br>situations                                                                                                                    | 3  | p.m.                | p.m.        | p.m.                | 111 000     | 0,—              | 0,—            |                                |

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

## CHAPTER 18 03 — ASYLUM AND MIGRATION (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                         | FF | Appropriations 2018 |                    | Appropriations 2017  |                    | Outturn 2016            |                         | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|----------------------|--------------------|-------------------------|-------------------------|--------------------------------|
|                                     |                                                                                                                                                                                                 |    | Commitments         | Payments           | Commitments          | Payments           | Commitments             | Payments                |                                |
| <b>18 03 77</b>                     | <i>(cont'd)</i>                                                                                                                                                                                 |    |                     |                    |                      |                    |                         |                         |                                |
| 18 03 77 07                         | Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union                                                                                | 3  | p.m.                | p.m.               | p.m.                 | 404 000            | 0,—                     | 134 526,62              |                                |
| 18 03 77 08                         | Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees | 3  | p.m.                | p.m.               | p.m.                 | p.m.               | 0,—                     | 36 422,29               |                                |
| 18 03 77 09                         | Preparatory action — Funding for the rehabilitation of victims of torture                                                                                                                       | 3  | p.m.                | 338 242            | p.m.                 | 663 000            | 0,—                     | 872 335,99              | 257,90                         |
| 18 03 77 11                         | Pilot project — Private sponsorships: enhancing refugee resettlement opportunities and ensuring the availability of safe and regular routes for refugees to reach the Union                     | 4  | p.m.                | p.m.               | 500 000              | 250 000            |                         |                         |                                |
| 18 03 77 12                         | Preparatory action — Care service to support unaccompanied refugee and migrant minors in Europe                                                                                                 | 3  | 1 200 000           | 600 000            |                      |                    |                         |                         |                                |
|                                     | <i>Article 18 03 77 — Subtotal</i>                                                                                                                                                              |    | 1 200 000           | 938 242            | 500 000              | 1 726 000          | 0,—                     | 1 328 771,47            | 141,62                         |
|                                     | <b>Chapter 18 03 — Total</b>                                                                                                                                                                    |    | <b>808 791 689</b>  | <b>683 709 839</b> | <b>1 687 565 120</b> | <b>818 134 892</b> | <b>1 849 125 711,93</b> | <b>1 035 557 620,53</b> | <b>151,46</b>                  |

**18 03 01 Asylum, Migration and Integration Fund**

18 03 01 01 Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016     |                |
|---------------------|-------------|---------------------|-------------|------------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments      | Payments       |
| 388 322 974         | 304 107 137 | 951 548 126         | 345 819 432 | 1 506 341 547,33 | 769 517 700,60 |

**Remarks**

This appropriation is intended to contribute to strengthen and develop all aspects of the common European asylum system, including its external dimension and to enhance the solidarity and responsibility sharing between the Member States, in particular towards those most affected by migration and asylum flows, including through practical cooperation.

**CHAPTER 18 03 — ASYLUM AND MIGRATION** (*cont'd*)**18 03 01** (*cont'd*)18 03 01 01 (*cont'd*)

In the case of the common European asylum system, this appropriation is intended to cover actions relating to reception and asylum systems and actions enhancing the capacity of Member States to develop, monitor and evaluate their asylum policies and procedures. Particular attention should be paid to the specific situation of vulnerable women, especially women with children, and unaccompanied young girls, and to the imperative of preventing gender based violence in reception and asylum centres.

This appropriation is also intended to cover actions related to the resettlement, transfer of applicants for and beneficiaries of international protection and other ad hoc humanitarian admission

At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of asylum, notably on gender-sensitive reception centres, resettlement and transfer of applicants for and/or beneficiaries of international protection from one Member State to another including through networking and exchanging information, including arrival support and coordination activities to promote resettlement with the local communities that are to welcome resettled refugees,
- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of asylum, and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of asylum policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of asylum, including gender- and age-disaggregated data,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on asylum,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation mobility partnerships, regional protection programmes,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover urgent and specific needs in the event of an emergency situation.

*Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

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CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 01 (*cont'd*)18 03 01 01 (*cont'd*)

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

Council Decision (EU) 2015/1523 of 14 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and of Greece (OJ L 239, 15.9.2015, p. 146).

Council Decision (EU) 2015/1601 of 22 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and Greece (OJ L 248, 24.9.2015, p. 80).

Council Decision (EU) 2016/1754 of 29 September 2016 amending Decision (EU) 2015/1601 establishing provisional measures in the area of international protection for the benefit of Italy and Greece (OJ L 268, 1.10.2016, p. 82).

*Reference acts*

Commission Recommendation of 11 January 2016 for a voluntary humanitarian admission scheme with Turkey (C(2015) 9490 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 4 May 2016, establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (COM(2016) 270 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2016, establishing a Union Resettlement Framework and amending Regulation (EU) No 516/2014 of the European Parliament and the Council (COM(2016) 468 final).

18 03 01 02 Supporting legal migration to the Union and promoting the effective integration of third-country nationals and enhancing fair and effective return strategies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 328 331 648         | 287 777 393 | 666 210 994         | 277 783 460 | 300 886 206,61 | 119 151 478,70 |

*Remarks*

This appropriation is intended to support legal migration to the Member States in line with their economic and social needs such as labour market needs, while safeguarding the integrity of the immigration systems of Member States, to promote the effective integration of third-country nationals, and to enhance fair and effective return strategies in the Member States, which contribute to combating illegal immigration, with an emphasis on sustainability of return and effective readmission in the countries of origin and transit.

**CHAPTER 18 03 — ASYLUM AND MIGRATION** (*cont'd*)**18 03 01** (*cont'd*)18 03 01 02 (*cont'd*)

As regards legal migration and the integration of third-country nationals, this appropriation is intended to cover immigration and pre-departure measures, integration measures, and practical cooperation and capacity building measures of Member States.

As regards fair and effective return strategies, this appropriation is intended to cover measures accompanying return procedures, return measures, practical cooperation and capacity building measures of Member States.

At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of legal migration, integration of third-country nationals, and return; good practices should include examples of successful integration of female third-country nationals,
- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of immigration, integration and return and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of immigration, integration and return policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of legal migration and integration and return, including gender- and age-disaggregated data and monitoring participation by third-country nationals in education and the labour market,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on immigration,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation of readmission agreements, mobility partnerships,
- information measures and campaigns in third countries aimed at raising awareness of appropriate legal channels for immigration and the risks of illegal immigration,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover the European Migration Network for its activities and its future development.

*Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

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**CHAPTER 18 03 — ASYLUM AND MIGRATION** (*cont'd*)**18 03 01** (*cont'd*)18 03 01 02 (*cont'd*)

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

**18 03 02** **European Asylum Support Office (EASO)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 90 837 067          | 90 837 067 | 69 206 000          | 69 206 000 | 41 759 600,— | 29 463 600,— |

*Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Office must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The Office's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 91 971 000. An amount of EUR 1 133 933 coming from the recovery of surplus is added to the amount of EUR 90 837 067 entered in the budget.

*Legal basis*

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 4 May 2016, on the European Union Agency for Asylum and repealing Regulation (EU) No 439/2010 (COM(2016) 271 final).

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 03 *European fingerprint database (Eurodac)**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 100 000             | 50 000   | 100 000             | 100 000  | 100 000,—    | 2 400,—  |

*Remarks*

This appropriation is intended to cover expenditure relating to the communication infrastructure of the Eurodac system referred to in Article 4(3) of Regulation (EU) No 603/2013. In addition, this appropriation is intended to cover the operation of DublinNet.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1) (applicable until 19 July 2015).

Regulation (EU) No 603/2013 of the European Parliament and of the Council of 26 June 2013 on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes, and amending Regulation (EU) No 1077/2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 180, 29.6.2013, p. 1).

Regulation (EU) No 604/2013 of the European Parliament and of the Council of 26 June 2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (OJ L 180, 29.6.2013, p. 31).

*Reference acts*

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Commission Implementing Regulation (EU) No 118/2014 of 30 January 2014 amending Regulation (EC) No 1560/2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 39, 8.2.2014, p. 1).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)**18 03 51** *Completion of operations and programmes in the field of return, refugees and migration flows**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|----------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | p.m.     | p.m.                | 123 500 000 | 38 357,99    | 116 093 669,76 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Directive 2001/55/EC of 20 July 2001 on minimum standards for giving temporary protection in the event of a mass influx of displaced persons and on measures promoting a balance of effort between Member States in receiving such persons and bearing the consequences thereof (OJ L 212, 7.8.2001, p. 12).

Council Decision 2002/463/EC of 13 June 2002 adopting an action programme for administrative cooperation in the fields of external borders, visas, asylum and immigration (ARGO programme) (OJ L 161, 19.6.2002, p. 11).

Decision No 573/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' and repealing Council Decision 2004/904/EC (OJ L 144, 6.6.2007, p. 1).

Decision No 575/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Return Fund for the period 2008 to 2013 as part of the General Programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 45).

Council Decision 2007/435/EC of 25 June 2007 establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 168, 28.6.2007, p. 18).

Council Decision 2008/381/EC of 14 May 2008 establishing a European Migration Network (OJ L 131, 21.5.2008, p. 7).

Directive 2008/115/EC of the European Parliament and of the Council of 16 December 2008 on common standards and procedures in Member States for returning illegally staying third-country nationals (OJ L 348, 24.12.2008, p. 98).

Decision No 458/2010/EU of the European Parliament and of the Council of 19 May 2010 amending Decision No 573/2007/EC establishing the European Refugee Fund for the period 2008 to 2013 by removing funding for certain Community actions and altering the limit for funding such actions (OJ L 129, 28.5.2010, p. 1).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 123 final).



CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 51 (*cont'd*)

Commission Decision 2007/815/EC of 29 November 2007 implementing Decision No 573/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines 2008 to 2013 (OJ L 326, 12.12.2007, p. 29).

Commission Decision 2007/837/EC of 30 November 2007 implementing Decision No 575/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2008 to 2013 (OJ L 330, 15.12.2007, p. 48).

Commission Decision 2008/22/EC of 19 December 2007 laying down rules for the implementation of Decision No 573/2007/EC of the European Parliament and of the Council establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 7, 10.1.2008, p. 1).

Commission Decision 2008/457/EC of 5 March 2008 laying down rules for the implementation of Council Decision 2007/435/EC establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 69).

Commission Decision 2008/458/EC of 5 March 2008 laying down rules for the implementation of Decision No 575/2007/EC of the European Parliament and of the Council establishing the European Return Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 135).

18 03 77 **Pilot projects and preparatory actions**

18 03 77 03 Preparatory action — Completion of integration of third-country nationals

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)

18 03 77 04 Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 05 Pilot project — Funding for victims of torture

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 298 000  | 0,—          | 285 486,57 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)

18 03 77 06 Preparatory action — Enable the resettlement of refugees during emergency situations

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 111 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 07 Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 404 000  | 0,—          | 134 526,62 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)

18 03 77 08 Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 36 422,29 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 09 Preparatory action — Funding for the rehabilitation of victims of torture

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 338 242  | p.m.                | 663 000  | 0,—          | 872 335,99 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)

18 03 77 11 Pilot project — Private sponsorships: enhancing refugee resettlement opportunities and ensuring the availability of safe and regular routes for refugees to reach the Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 500 000             | 250 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Refugee resettlement, typically thought of as a government-led activity, can also be sponsored by private individuals, groups and other entities. Over the past four decades, only a handful of private sponsorship arrangements have been put in place. This pilot project will focus on exploring and implementing private sponsorship programmes as a safe, regular and orderly means for refugees to reach protection in the Union.

Transparent private sponsorship arrangements developed in cooperation with national, local and regional authorities could significantly enhance refugee resettlement opportunities and ensure the availability of safe and regular routes for refugees to reach the Union.

The pilot project will investigate the possible benefits, costs and practical implementation of private sponsorship arrangements, with a view to making private sponsorship part of the refugee protection landscape in Europe.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 12 Preparatory action — Care service to support unaccompanied refugee and migrant minors in Europe

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 200 000           | 600 000  |                     |          |              |          |

*Remarks*

This preparatory action will focus on increasing and strengthening the provision of foster families and assisted flats for unaccompanied refugee minors, with special attention being paid to 16-18-year-olds, whilst improving coordination of related supporting stakeholders so as to put an end to their highly vulnerable position in the Union. In view of the limited budget available, it will be implemented in a selection of Member States where the number of unaccompanied refugee minors is the most challenging, namely Germany, Italy, Sweden and Hungary.

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CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)18 03 77 12 (*cont'd*)

The action will be based on three complementary focal points:

(1) The action will implement a support programme to match unaccompanied refugee minors with foster families or place them in assisted flats with the support of a highly specialised diagnosis and monitoring team. It will be based on targeted dissemination campaigns, an efficient procedure for looking for and selecting families and well situated community flats, and continuous monitoring of all processes. Foster families will make available one room in their homes or provide full second housing.

Foster families will be the legal representative of unaccompanied minors; they will become the go-to persons for newcomers, offering opportunities for integrating into the community, support in managing their legal context, support with looking for training and employment opportunities, emotional support and help with language and health issues. Families will receive funding and have access to a round-the-clock interpreter service, emotional and personalised support from the welfare organisations in charge of the action that have been selected on the basis of a call for tenders or proposals. For minors aged 16-18 years old, the action will also provide care services in assisted flats to promote independent living; that will also be managed by a specialised support team.

(2) In addition, the action will design and develop person-centred and comprehensive software assisting children, families and organisations involved in the above support programme. It will help to increase access for welfare organisations, foster families and refugee minors to available resources and opportunities to improve support such as key documentation, task monitoring, key contacts and round-the-clock personalised assistance that will be fully adaptable to each national context. Building on existing well functioning software, the action will in particular improve the process of personalising the software on the basis of an advanced and integrated diagnosis and assessment of the person concerned and his/her environment and develop a sectoral observatory that is based on integrated and updated big-data analysis. The software will be based on data collection, monitoring and evaluation of users' needs and circumstances and will promote the involvement of and coordination among relevant supporting public and private services and stakeholders, thus increasing the efficiency and quality of the care system.

(3) Action will also focus on the development of complementary activities to support authorities and welfare organisations in implementing specific foster-family and assisted-flats programmes for accommodating unaccompanied refugee and migrant minors, whilst improving coordination of related supporting stakeholders, so as to put an end to their highly vulnerable position in Europe. Examples of such complementary activities include: an analysis of current context and legislation to implement foster-family programmes and/or develop assisted-flats programmes specifically intended for unaccompanied refugee and migrant minors; a review of good practices; proposals for improvements; the development of a specific dissemination strategy to look for and find candidate foster families that is more successful than current strategies; the development of a specific training programme to ensure that candidates willing to be foster families are well trained; and, for refugee minors aged 16-18 years old, the development of a comprehensive programme promoting independent living in assisted flats, etc.

Expected outcomes of this ambitious and comprehensive preparatory action are: (1) a significant increase in high-quality foster care support services; (2) better quality of life, greater independence and increased integration for children; and (3) increased coordination of and more efficient support for all stakeholders. In this regard, the action will clearly demonstrate an increase in community-based care capacity and reduce the number of refugee children in institutions, thus demonstrating efficient resource allocation to quality services.

**CHAPTER 18 03 — ASYLUM AND MIGRATION** (*cont'd*)**18 03 77** (*cont'd*)18 03 77 12 (*cont'd*)

The preparatory action will be implemented on the basis of a call or calls for tenders and/or proposals on the basis of partnership arrangements between authorities, welfare organisations, NGOs supporting refugee and migrant children, and IT companies from various European regions, thus ensuring wide reach and impact at European level.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

## CHAPTER 18 04 — FOSTERING EUROPEAN CITIZENSHIP

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                  | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                          |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 18 04                               | FOSTERING EUROPEAN CITIZENSHIP                                                                                           |    |                     |                   |                     |                   |                      |                      |                             |
| <b>18 04 01</b>                     | <b>Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level</b> |    |                     |                   |                     |                   |                      |                      |                             |
| 18 04 01 01                         | Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level        | 3  | 24 426 000          | 25 205 000        | 23 231 000          | 22 760 000        | 22 728 860,04        | 22 101 862,18        | 87,69                       |
| 18 04 01 02                         | European citizens' initiative                                                                                            | 3  | 740 000             | 840 000           | 840 000             | 740 000           | 639 088,05           | 0,—                  | 0                           |
|                                     | <i>Article 18 04 01 — Subtotal</i>                                                                                       |    | 25 166 000          | 26 045 000        | 24 071 000          | 23 500 000        | 23 367 948,09        | 22 101 862,18        | 84,86                       |
| <b>18 04 51</b>                     | <b>Completion of Europe for citizens programme (2007 to 2013)</b>                                                        | 3  | p.m.                | 200 000           | p.m.                | 500 000           | 0,—                  | 1 538 866,96         | 769,43                      |
|                                     | <b>Chapter 18 04 — Total</b>                                                                                             |    | <b>25 166 000</b>   | <b>26 245 000</b> | <b>24 071 000</b>   | <b>24 000 000</b> | <b>23 367 948,09</b> | <b>23 640 729,14</b> | <b>90,08</b>                |

**18 04 01** **Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level**

18 04 01 01 Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 24 426 000          | 25 205 000 | 23 231 000          | 22 760 000 | 22 728 860,04 | 22 101 862,18 |

#### Remarks

Under the overall aim of bringing Europe closer to its citizens, the general objectives of the 'Europe for citizens' programme are to contribute to citizens' understanding of the Union and to foster European citizenship and to improve conditions for civic and democratic participation at Union level.

This appropriation is intended to cover actions such as partnerships, structural support, remembrance projects, Union history and its identity, citizens' meetings, networks of twinned towns, citizens' and civil societies projects, peer reviews, studies and communication services, support measures, events and support structures in the Member States, including projects carried out by civil society organisations promoting integration, linguistic diversity, cohesion, and non-discrimination, with particular focus on European minorities.

This appropriation is also intended to promote European citizenship by informing people, in all the official Union languages, about their rights as Union citizens, the opportunities for civic participation at Union level, and the impact of the Union on their daily lives.



**CHAPTER 18 04 — FOSTERING EUROPEAN CITIZENSHIP** (*cont'd*)**18 04 01** (*cont'd*)18 04 01 01 (*cont'd*)

For 2018, the priorities of the programme should encompass informing citizens about their electoral rights and the importance of European elections for shaping the future of Europe. Dialogue with citizens and with European civil society on the future of Europe, based on the white paper presented by the Commission in 2017, should also be encouraged.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EU) No 390/2014 of 14 April 2014 establishing the 'Europe for Citizens' programme for the period 2014-2020 (OJ L 115, 17.4.2014, p. 3), and in particular Article 2 thereof.

## 18 04 01 02 European citizens' initiative

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 740 000             | 840 000  | 840 000             | 740 000  | 639 088,05   | 0,—      |

*Remarks*

The general aim of this item is to reinforce the democratic functioning of the Union by providing European citizens with the right to participate in the democratic life of the Union by way of a European citizens' initiative (ECI).

This appropriation is intended also to fund communication campaigns to raise public awareness of ECIs.

It is also intended to fund the practical and organisational arrangements at the preparation and collection stages for successful ECIs that have reached the one million signatures threshold, in particular costs relating to translation, registration, legal expertise, website development, and issuing regular newsletters. Funding will be provided to reimburse costs already incurred by ECI organisers and citizen committees previously entered in the ECI register.

This appropriation is intended for the development and improvement of the ECI IT systems, including in particular the ECI register and the online collection software used by organisers of citizens' initiatives and allowing Union citizens to provide for support to ECIs online.

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 04 — FOSTERING EUROPEAN CITIZENSHIP (*cont'd*)18 04 01 (*cont'd*)18 04 01 02 (*cont'd*)

This appropriation also covers the communication, administration and support of the ECI. This appropriation shall also cover activities aiming to eliminate existing hurdles faced by citizens in using the ECI and harmonise further the procedure and requirements for the submission of ECIs across the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 211/2011 of the European Parliament and of the Council of 16 February 2011 on the citizens' initiative (OJ L 65, 11.3.2011, p. 1).

Council Regulation (EU) No 390/2014 of 14 April 2014 establishing the 'Europe for citizens' programme for the period 2014-2020 (OJ L 115, 17.4.2014, p. 3), and in particular Article 2 thereof.

18 04 51 **Completion of Europe for citizens programme (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | 200 000  | p.m.                | 500 000  | 0,—          | 1 538 866,96 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

**CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                             | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                     |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 18 05                               | HORIZON 2020 —<br>RESEARCH RELATED TO<br>SECURITY                                                                                                                   |     |                     |                    |                     |                    |                       |                       |                         |
| <b>18 05 03</b>                     | <b>Societal challenges</b>                                                                                                                                          |     |                     |                    |                     |                    |                       |                       |                         |
| 18 05 03 01                         | Fostering secure European<br>societies                                                                                                                              | 1.1 | 156 526 362         | 145 303 970        | 139 996 074         | 138 824 023        | 130 575 011,95        | 115 277 603,50        | 79,34                   |
|                                     | <i>Article 18 05 03 — Subtotal</i>                                                                                                                                  |     | 156 526 362         | 145 303 970        | 139 996 074         | 138 824 023        | 130 575 011,95        | 115 277 603,50        | 79,34                   |
| <b>18 05 50</b>                     | <b>Appropriations accruing<br/>from contributions from<br/>(non-European Economic<br/>Area) third parties to<br/>research and technological<br/>development</b>     |     |                     |                    |                     |                    |                       |                       |                         |
| 18 05 50 01                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (2014 to 2020)  | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 3 381 896,01          | 1 759 099,54          |                         |
| 18 05 50 02                         | Appropriations accruing<br>from contributions from<br>(non-European Economic<br>Area) third parties to<br>research and technological<br>development (prior to 2014) | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 1 007 374,46          |                         |
|                                     | <i>Article 18 05 50 — Subtotal</i>                                                                                                                                  |     | p.m.                | p.m.               | p.m.                | p.m.               | 3 381 896,01          | 2 766 474,—           |                         |
| <b>18 05 51</b>                     | <b>Completion of previous<br/>research framework<br/>programmes — Seventh<br/>framework programme —<br/>EC (2007 to 2013)</b>                                       |     |                     |                    |                     |                    |                       |                       |                         |
|                                     |                                                                                                                                                                     | 1.1 | p.m.                | 19 519 433         | p.m.                | 55 468 066         | 236 221,23            | 76 983 756,95         | 394,40                  |
|                                     | <b>Chapter 18 05 — Total</b>                                                                                                                                        |     | <b>156 526 362</b>  | <b>164 823 403</b> | <b>139 996 074</b>  | <b>194 292 089</b> | <b>134 193 129,19</b> | <b>195 027 834,45</b> | <b>118,33</b>           |

*Remarks*

These remarks are applicable to all the budget lines in this chapter.

These appropriations will be used for the Horizon 2020 — the framework programme for research and innovation which covers the 2014 to 2020 period, and for the completion of the previous research programmes (Seventh Framework Programme).

Horizon 2020 will play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European research area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

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TITLE 18 — MIGRATION AND HOME AFFAIRS

**CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY** (*cont'd*)

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European research area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the framework programme for research and innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 18 05 50 01.

Administrative appropriations of this chapter will be provided under Article 18 01 05.

## CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (cont'd)

## 18 05 03 Societal challenges

18 05 03 01 Fostering secure European societies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 156 526 362         | 145 303 970 | 139 996 074         | 138 824 023 | 130 575 011,95 | 115 277 603,50 |

*Remarks**Former Item 18 05 03 10 (in part)*

This appropriation is intended to cover:

- support for Union policies for internal and external security, whilst at the same time improving the competitiveness and technology base of the Union's security industry and to stimulate cooperation between providers and users of security solutions. Activities will target the development of innovative technologies and solutions that address security gaps and lead to the prevention of security threats. The focus of activities shall be to fight crime and terrorism; critical infrastructure protection; strengthen security through border management; increase Europe's resilience to crises and disasters whilst protecting personal data and fundamental human rights,
- support for the strengthening of the evidence base and support for the Innovation Union and European Research Area which are needed to foster the development of innovative societies and policies in Europe through the engagement of citizens, enterprises and users in research and innovation and the promotion of coordinated research and innovation policies in the context of globalisation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (g) of Article 3(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

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CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (*cont'd*)**18 05 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

18 05 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 3 381 896,01 | 1 759 099,54 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

18 05 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 007 374,46 |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**18 05 51 Completion of previous research framework programmes — Seventh framework programme — EC (2007 to 2013)***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 19 519 433 | p.m.                | 55 468 066 | 236 221,23   | 76 983 756,95 |

CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (*cont'd*)18 05 51 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

This appropriation is also intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

## CHAPTER 18 06 — ANTI-DRUGS POLICY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                       | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                      | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|----------------------|-------------------------|
|                                     |                                                                               |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments             |                         |
| 18 06                               | ANTI-DRUGS POLICY                                                             |    |                     |                   |                     |                   |                     |                      |                         |
| 18 06 01                            | <i>Supporting initiatives in the field of drugs policy</i>                    | 3  | 2 749 000           | 3 400 000         | 3 132 000           | 2 756 200         | 2 512 000,—         | 2 246 993,07         | 66,09                   |
| 18 06 02                            | <i>European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)</i>       | 3  | 15 230 412          | 15 230 412        | 15 081 600          | 15 081 600        | 14 794 000,—        | 14 794 000,—         | 97,13                   |
| 18 06 51                            | <i>Completion of actions in the field of drugs prevention and information</i> | 3  | p.m.                | 121 149           | p.m.                | 432 520           | 0,—                 | 386 774,69           | 319,26                  |
| <b>Chapter 18 06 — Total</b>        |                                                                               |    | <b>17 979 412</b>   | <b>18 751 561</b> | <b>18 213 600</b>   | <b>18 270 320</b> | <b>17 306 000,—</b> | <b>17 427 767,76</b> | <b>92,94</b>            |

**18 06 01** *Supporting initiatives in the field of drugs policy**Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 2 749 000           | 3 400 000 | 3 132 000           | 2 756 200 | 2 512 000,—  | 2 246 993,07 |

*Remarks*

This appropriation is intended to support initiatives in the field of drugs policy as regards judicial cooperation and crime prevention aspects closely linked to the general objective of the justice programme (the 'Programme'), in so far as they are not covered by the internal security fund for financial support for police cooperation, preventing and combating crime, and crisis management or by the 'Health for growth' programme.

In particular, this appropriation is intended to cover the following types of action:

- analytical activities, such as the collection of data and statistics; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts meetings and conferences,
- training activities, such as staff exchanges, workshops, seminars, train-the-trainer events, including language training on legal terminology, and the development of online training tools or other training modules for members of the judiciary and judicial staff,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, information campaigns, including institutional communication on the political priorities of the Union as far as they relate to the objectives of the Programme; the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools, using information and communication technologies, including the further development of the European e-Justice portal as a tool to improve citizens' access to justice,



**CHAPTER 18 06 — ANTI-DRUGS POLICY** (*cont'd*)**18 06 01** (*cont'd*)

- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for Member States in the implementation of Union law and policies, support for key European actors and European-level networks, including in the field of judicial training; and support for networking activities at European level among specialised bodies and entities as well as national, regional and local authorities and non-governmental organisations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1382/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Justice Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 73), and in particular point (d) of Article 4(1) and Article 6(1) thereof.

**18 06 02** ***European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 15 230 412          | 15 230 412 | 15 081 600          | 15 081 600 | 14 794 000,— | 14 794 000,— |

*Remarks*

This appropriation is intended to cover the Centre's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Centre must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

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**CHAPTER 18 06 — ANTI-DRUGS POLICY** (*cont'd*)**18 06 02** (*cont'd*)

The Centre's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 15 445 600. An amount of EUR 215 188 coming from the recovery of surplus is added to the amount of EUR 15 230 412 entered in the budget.

*Legal basis*

Regulation (EC) No 1920/2006 of the European Parliament and of the Council of 12 December 2006 on the European Monitoring Centre for Drugs and Drug Addiction (OJ L 376, 27.12.2006, p. 1).

**18 06 51** ***Completion of actions in the field of drugs prevention and information***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 121 149  | p.m.                | 432 520  | 0,—          | 386 774,69 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1150/2007/EC of the European Parliament and of the Council of 25 September 2007 establishing for the period 2007-2013 the Specific Programme 'Drugs prevention and information' as part of the General Programme 'Fundamental Rights and Justice' (OJ L 257, 3.10.2007, p. 23).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005)0122).

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**CHAPTER 18 07 — INSTRUMENT FOR EMERGENCY SUPPORT WITHIN THE UNION**

| Title<br>Chapter<br>Article<br>Item | Heading                                           | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016         |                      | % Payments 2016/2018 |
|-------------------------------------|---------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|----------------------|----------------------|----------------------|
|                                     |                                                   |    | Commitments         | Payments           | Commitments         | Payments           | Commitments          | Payments             |                      |
| 18 07                               | INSTRUMENT FOR EMERGENCY SUPPORT WITHIN THE UNION |    |                     |                    |                     |                    |                      |                      |                      |
| <b>18 07 01</b>                     | <b>Emergency support within the Union</b>         | 3  | 199 000 000         | 219 583 000        | 198 000 000         | 217 000 000        | 247 500 000,—        | 138 630 000,—        | 63,13                |
|                                     | <b>Chapter 18 07 — Total</b>                      |    | <b>199 000 000</b>  | <b>219 583 000</b> | <b>198 000 000</b>  | <b>217 000 000</b> | <b>247 500 000,—</b> | <b>138 630 000,—</b> | <b>63,13</b>         |

**18 07 01**      **Emergency support within the Union**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 199 000 000         | 219 583 000 | 198 000 000         | 217 000 000 | 247 500 000,— | 138 630 000,— |

*Remarks*

This appropriation is intended to cover the financing of emergency support operations to respond to the urgent and exceptional needs in Member States as a result of a natural or man-made disaster, such as the sudden and massive influx of third-country nationals (refugees and migrants) into their territory.

Emergency support will provide a needs-based emergency response, complementing the response of the affected Member States, aimed at preserving life, preventing and alleviating human suffering and maintaining human dignity. Emergency response may encompass assistance, relief and, where need be, protection operations to save and preserve life in disasters or their immediate aftermath. This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of emergency support operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of facilities storage, shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may be used to finance the purchase and delivery of food or any product or equipment needed for the implementation of the emergency support operations.

This appropriation may also cover any other costs directly linked to the implementation of emergency support operations and the cost of the measures that are essential for it within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

Any income from financial contributions from public and private donors entered in Item 6 0 2 1 of the statement of revenue, may give rise to the provision of additional appropriations.

*Legal basis*

Council Regulation (EU) 2016/369 of 15 March 2016 on the provision of emergency support within the Union (OJ L 70, 16.3.2016, p. 1).

COMMISSION

*TITLE 19*

**FOREIGN POLICY INSTRUMENTS**

## TITLE 19

## FOREIGN POLICY INSTRUMENTS

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                                                                              | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|               |                                                                                                                                      | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 19 01         | ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA                                                           | 27 401 502          | 27 401 502         | 26 355 353          | 26 355 353         | 24 943 127,93         | 24 943 127,93         |
|               | <i>Reserves (40 01 40)</i>                                                                                                           | 673 000             | 673 000            |                     |                    |                       |                       |
|               |                                                                                                                                      | 28 074 502          | 28 074 502         | 26 355 353          | 26 355 353         | 24 943 127,93         | 24 943 127,93         |
| 19 02         | INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS | 264 718 177         | 243 000 000        | 199 200 000         | 224 000 000        | 271 520 339,12        | 264 008 350,22        |
|               | <i>Reserves (40 02 41)</i>                                                                                                           | 23 077 000          | 8 000 000          |                     |                    |                       |                       |
|               |                                                                                                                                      | 287 795 177         | 251 000 000        | 199 200 000         | 224 000 000        | 271 520 339,12        | 264 008 350,22        |
| 19 03         | COMMON FOREIGN AND SECURITY POLICY (CFSP)                                                                                            | 327 610 000         | 291 620 770        | 326 770 000         | 293 551 000        | 224 654 218,50        | 233 006 762,07        |
| 19 04         | ELECTION OBSERVATION MISSIONS (EU EOMS)                                                                                              | 46 304 783          | 38 302 500         | 45 363 394          | 37 522 500         | 30 484 924,04         | 20 680 955,46         |
| 19 05         | COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI)                                                               | 134 783 000         | 89 256 383         | 128 499 000         | 105 864 006        | 124 768 565,08        | 61 539 326,19         |
| 19 06         | INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS                                                                               | 16 100 000          | 15 750 000         | 12 000 000          | 12 000 000         | 12 472 671,91         | 13 651 239,50         |
|               | <b>Title 19 — Total</b>                                                                                                              | <b>816 917 462</b>  | <b>705 331 155</b> | <b>738 187 747</b>  | <b>699 292 859</b> | <b>688 843 846,58</b> | <b>617 829 761,37</b> |
|               | <b>Reserves (40 01 40, 40 02 41)</b>                                                                                                 | <b>23 750 000</b>   | <b>8 673 000</b>   |                     |                    |                       |                       |
|               |                                                                                                                                      | <b>840 667 462</b>  | <b>714 004 155</b> | <b>738 187 747</b>  | <b>699 292 859</b> | <b>688 843 846,58</b> | <b>617 829 761,37</b> |

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

## TITLE 19

## FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                          | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|--------------|-------------|
| 19 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA                                                                                                       |     |                     |                     |              |             |
| <b>19 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Foreign policy instruments' policy area</b>                                                                      |     |                     |                     |              |             |
| 19 01 01 01                         | Expenditure related to officials and temporary staff — Headquarters                                                                                                              | 5.2 | 8 083 456           | 7 435 317           | 8 519 214,32 | 105,39      |
| 19 01 01 02                         | Expenditure related to officials and temporary staff — Union delegations                                                                                                         | 5.2 | 1 875 396           | 1 829 787           | 1 095,10     | 0,06        |
|                                     | <i>Article 19 01 01 — Subtotal</i>                                                                                                                                               |     | 9 958 852           | 9 265 104           | 8 520 309,42 | 85,56       |
| <b>19 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Foreign policy instruments' policy area</b>                                                            |     |                     |                     |              |             |
| 19 01 02 01                         | External personnel — Headquarters                                                                                                                                                | 5.2 | 2 223 847           | 2 127 246           | 1 996 200,02 | 89,76       |
| 19 01 02 02                         | External personnel — Union delegations                                                                                                                                           | 5.2 | 67 701              | 61 685              | 240 551,45   | 355,31      |
| 19 01 02 11                         | Other management expenditure — Headquarters                                                                                                                                      | 5.2 | 554 844             | 557 372             | 499 779,—    | 90,08       |
| 19 01 02 12                         | Other management expenditure — Union delegations                                                                                                                                 | 5.2 | 82 367              | 80 365              | 32 154,17    | 39,04       |
|                                     | <i>Article 19 01 02 — Subtotal</i>                                                                                                                                               |     | 2 928 759           | 2 826 668           | 2 768 684,64 | 94,53       |
| <b>19 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Foreign policy instruments' policy area</b> |     |                     |                     |              |             |

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**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘FOREIGN POLICY INSTRUMENTS’ POLICY AREA** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| <b>19 01 03</b>                     | (cont'd)                                                                                                                                             |     |                     |                     |                      |              |
| 19 01 03 01                         | Expenditure related to information and communication technology equipment and services                                                               | 5.2 | 522 541             | 464 286             | 655 103,68           | 125,37       |
| 19 01 03 02                         | Buildings and related expenditure — Union delegations                                                                                                | 5.2 | 388 623             | 384 084             | 129 453,21           | 33,31        |
|                                     | <i>Article 19 01 03 — Subtotal</i>                                                                                                                   |     | 911 164             | 848 370             | 784 556,89           | 86,10        |
| <b>19 01 04</b>                     | <b>Support expenditure for operations and programmes in the ‘Foreign policy instruments’ policy area</b>                                             |     |                     |                     |                      |              |
| 19 01 04 01                         | Support expenditure for Instrument contributing to Stability and Peace                                                                               | 4   | 7 092 000           | 6 980 000           | 6 704 000,—          | 94,53        |
|                                     | <i>Reserves (40 01 40)</i>                                                                                                                           |     | 673 000             |                     |                      |              |
|                                     |                                                                                                                                                      |     | 7 765 000           | 6 980 000           | 6 704 000,—          |              |
| 19 01 04 02                         | Support expenditure for the common foreign and security policy (CFSP)                                                                                | 4   | 400 000             | 500 000             | 415 245,—            | 103,81       |
| 19 01 04 03                         | Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR) — Expenditure related to election observation missions (EOMs) | 4   | 706 727             | 721 211             | 679 480,50           | 96,14        |
| 19 01 04 04                         | Support expenditure for the Partnership Instrument                                                                                                   | 4   | 5 298 000           | 5 020 000           | 4 840 851,48         | 91,37        |
|                                     | <i>Article 19 01 04 — Subtotal</i>                                                                                                                   |     | 13 496 727          | 13 221 211          | 12 639 576,98        | 93,65        |
|                                     | <i>Reserves (40 01 40)</i>                                                                                                                           |     | 673 000             |                     |                      |              |
|                                     |                                                                                                                                                      |     | 14 169 727          | 13 221 211          | 12 639 576,98        |              |
| <b>19 01 06</b>                     | <b>Executive agencies</b>                                                                                                                            |     |                     |                     |                      |              |
| 19 01 06 01                         | Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument                                                   | 4   | 106 000             | 194 000             | 230 000,—            | 216,98       |
|                                     | <i>Article 19 01 06 — Subtotal</i>                                                                                                                   |     | 106 000             | 194 000             | 230 000,—            | 216,98       |
|                                     | <b>Chapter 19 01 — Total</b>                                                                                                                         |     | <b>27 401 502</b>   | <b>26 355 353</b>   | <b>24 943 127,93</b> | <b>91,03</b> |
|                                     | <b>Reserves (40 01 40)</b>                                                                                                                           |     | <b>673 000</b>      |                     |                      |              |
|                                     |                                                                                                                                                      |     | <b>28 074 502</b>   | <b>26 355 353</b>   | <b>24 943 127,93</b> |              |

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## CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (cont'd)

**19 01 01 Expenditure related to officials and temporary staff in the 'Foreign policy instruments' policy area**

19 01 01 01 Expenditure related to officials and temporary staff — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 083 456           | 7 435 317           | 8 519 214,32 |

*Remarks*

More Commission personnel will be assigned to crisis response management in order to allow for enough capacity for the follow-up of civil society organisation proposals for crisis response.

19 01 01 02 Expenditure related to officials and temporary staff — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 875 396           | 1 829 787           | 1 095,10     |

*Remarks*

The Commission will recruit highly qualified, stable and specialised personnel for human rights questions.

Sufficient staff will be assigned to crisis response management for the follow-up of civil society organisation proposals for crisis response.

**19 01 02 External personnel and other management expenditure in support of the 'Foreign policy instruments' policy area**

19 01 02 01 External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 223 847           | 2 127 246           | 1 996 200,02 |

19 01 02 02 External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 67 701              | 61 685              | 240 551,45   |



**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘FOREIGN POLICY INSTRUMENTS’ POLICY AREA** (cont'd)**19 01 02** (cont'd)

19 01 02 11 Other management expenditure — Headquarters

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 554 844             | 557 372             | 499 779,—    |

19 01 02 12 Other management expenditure — Union delegations

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 82 367              | 80 365              | 32 154,17    |

**19 01 03** **Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Foreign policy instruments’ policy area**

19 01 03 01 Expenditure related to information and communication technology equipment and services

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 522 541             | 464 286             | 655 103,68   |

19 01 03 02 Buildings and related expenditure — Union delegations

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 388 623             | 384 084             | 129 453,21   |

**19 01 04** **Support expenditure for operations and programmes in the ‘Foreign policy instruments’ policy area**

19 01 04 01 Support expenditure for Instrument contributing to Stability and Peace

Figures (Non-differentiated appropriations)

|                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|---------------------|--------------|
| 19 01 04 01         | 7 092 000           | 6 980 000           | 6 704 000,—  |
| Reserves (40 01 40) | 673 000             |                     |              |
| Total               | 7 765 000           | 6 980 000           | 6 704 000,—  |

Remarks

This appropriation is intended to cover:

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CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)19 01 04 (*cont'd*)19 01 04 01 (*cont'd*)

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 19 02.

## 19 01 04 02 Support expenditure for the common foreign and security policy (CFSP)

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 400 000             | 500 000             | 415 245,—    |

## Remarks

This appropriation is intended to cover support for implementation of CFSP measures for which the Commission lacks the required experience or needs additional support. It is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,

**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA** (*cont'd*)**19 01 04** (*cont'd*)19 01 04 02 (*cont'd*)

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries. This includes the costs associated with the update and maintenance of the 'Electronic consolidated targeted financial sanctions list (e-CTFSL)' necessary for the application of financial sanctions applied in pursuit of the specific CFSP objectives set out in the Treaty on European Union,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Without prejudice to the final decision on the establishing of a mission support platform for the CSDP missions, this appropriation is intended to cover administrative support expenditure for the mission support platform.

Any revenue from the CSDP missions contributing to the cost of centralised support services entered in Article 5 5 0 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21(3) of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 91 000.

This appropriation covers support expenditure under Chapter 19 03.

## 19 01 04 03 Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR) — Expenditure related to election observation missions (EOMs)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 706 727             | 721 211             | 679 480,50   |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,

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CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)19 01 04 (*cont'd*)19 01 04 03 (*cont'd*)

- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 336 727. This estimate is based on a provisional annual unit cost per man-year, of which 95 % is accounted for by remuneration for the personnel concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed from this appropriation,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 19 04.

19 01 04 04 Support expenditure for the Partnership Instrument

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 298 000           | 5 020 000           | 4 840 851,48 |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,

**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA** (*cont'd*)**19 01 04** (*cont'd*)19 01 04 04 (*cont'd*)

- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 19 05.

**19 01 06** *Executive agencies*

## 19 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 106 000             | 194 000             | 230 000,—    |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the management of projects funded out of the appropriations under Chapter 19 05 and entrusted to the Agency.

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CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)**19 01 06** (*cont'd*)19 01 06 01 (*cont'd*)*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+' the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77).

*Reference acts*

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

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**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                        |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 19 02                               | INSTRUMENT<br>CONTRIBUTING TO<br>STABILITY AND PEACE<br>(ICSP) — CRISIS RESPONSE,<br>CONFLICT PREVENTION,<br>PEACE-BUILDING AND<br>CRISIS PREPAREDNESS |    |                     |                    |                     |                    |                       |                       |                         |
| <b>19 02 01</b>                     | <b>Response to crisis and<br/>emerging crisis</b>                                                                                                      | 4  | 233 718 177         | 202 000 000        | 170 200 000         | 181 000 000        | 244 513 040,88        | 208 586 716,66        | 103,26                  |
|                                     | Reserves (40 02 41)                                                                                                                                    |    | 20 400 000          | 8 000 000          |                     |                    |                       |                       |                         |
|                                     |                                                                                                                                                        |    | 254 118 177         | 210 000 000        | 170 200 000         | 181 000 000        | 244 513 040,88        | 208 586 716,66        |                         |
| <b>19 02 02</b>                     | <b>Support to conflict<br/>prevention, peace-building<br/>and crisis preparedness</b>                                                                  | 4  | 31 000 000          | 20 000 000         | 29 000 000          | 17 000 000         | 27 000 000,—          | 12 531 498,81         | 62,66                   |
|                                     | Reserves (40 02 41)                                                                                                                                    |    | 2 677 000           |                    |                     |                    |                       |                       |                         |
|                                     |                                                                                                                                                        |    | 33 677 000          | 20 000 000         | 29 000 000          | 17 000 000         | 27 000 000,—          | 12 531 498,81         |                         |
| <b>19 02 51</b>                     | <b>Completion of actions in the<br/>field of crisis response and<br/>preparedness (2007 to<br/>2013)</b>                                               | 4  | p.m.                | 21 000 000         | p.m.                | 26 000 000         | 7 298,24              | 42 831 465,14         | 203,96                  |
| <b>19 02 77</b>                     | <b>Pilot projects and<br/>preparatory actions</b>                                                                                                      |    |                     |                    |                     |                    |                       |                       |                         |
| 19 02 77 01                         | Pilot project — Programme<br>for NGO-led peace-building<br>activities                                                                                  | 4  | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 58 669,61             |                         |
|                                     | Article 19 02 77 — Subtotal                                                                                                                            |    | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 58 669,61             |                         |
|                                     | <b>Chapter 19 02 — Total</b>                                                                                                                           |    | <b>264 718 177</b>  | <b>243 000 000</b> | <b>199 200 000</b>  | <b>224 000 000</b> | <b>271 520 339,12</b> | <b>264 008 350,22</b> | <b>108,65</b>           |
|                                     | <b>Reserves (40 02 41)</b>                                                                                                                             |    | <b>23 077 000</b>   | <b>8 000 000</b>   |                     |                    |                       |                       |                         |
|                                     |                                                                                                                                                        |    | <b>287 795 177</b>  | <b>251 000 000</b> | <b>199 200 000</b>  | <b>224 000 000</b> | <b>271 520 339,12</b> | <b>264 008 350,22</b> |                         |

**19 02 01 Response to crisis and emerging crisis**

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|---------------------|-------------|---------------------|-------------|----------------|----------------|
|                     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 19 02 01            | 233 718 177         | 202 000 000 | 170 200 000         | 181 000 000 | 244 513 040,88 | 208 586 716,66 |
| Reserves (40 02 41) | 20 400 000          | 8 000 000   |                     |             |                |                |
| Total               | 254 118 177         | 210 000 000 | 170 200 000         | 181 000 000 | 244 513 040,88 | 208 586 716,66 |

Remarks

This appropriation is intended to swiftly contribute to stability by providing an effective response to help preserve, establish or re-establish the conditions essential to the proper implementation of the Union's external policies and actions in accordance with Article 21 of the Treaty on European Union. The technical and financial assistance may be undertaken in response to a situation of urgency, crisis or emerging crisis, a situation posing a threat to democracy, law and order, the protection of human rights and fundamental freedoms, or the security and safety of individuals, in particular those exposed to gender-based violence in situations of instability, or a situation threatening to escalate into armed conflict or severely destabilise the third country or countries concerned.

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**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS** (cont'd)**19 02 01** (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1).

**19 02 02** **Support to conflict prevention, peace-building and crisis preparedness**

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|---------------------|------------|---------------------|------------|--------------|---------------|
|                     | Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 19 02 02            | 31 000 000          | 20 000 000 | 29 000 000          | 17 000 000 | 27 000 000,— | 12 531 498,81 |
| Reserves (40 02 41) | 2 677 000           |            |                     |            |              |               |
| Total               | 33 677 000          | 20 000 000 | 29 000 000          | 17 000 000 | 27 000 000,— | 12 531 498,81 |

*Remarks*

This appropriation is intended to contribute to the prevention of conflicts and to ensuring capacity and preparedness to address pre- and post-crisis situations and build peace. Such technical and financial assistance shall cover support for measures aimed at building and strengthening the capacity of the Union and its partners to prevent conflict, build peace and address pre- and post-crisis needs, with due regard for the importance of women's empowerment and gender mainstreaming and in close coordination with the United Nations and other international, regional and sub-regional organisations, and State and civil society actors.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and para-state agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.



**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS (cont'd)****19 02 02** (cont'd)*Legal basis*

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1).

**19 02 51** ***Completion of actions in the field of crisis response and preparedness (2007 to 2013)****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 21 000 000 | p.m.                | 26 000 000 | 7 298,24     | 42 831 465,14 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and para-state agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

**19 02 77** ***Pilot projects and preparatory actions***

## 19 02 77 01 Pilot project — Programme for NGO-led peace-building activities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 58 669,61 |

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**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS** (*cont'd*)

**19 02 77** (*cont'd*)

19 02 77 01 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                           | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                   |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 19 03                               | COMMON FOREIGN AND SECURITY POLICY (CFSP)                                                                                                         |    |                     |                    |                     |                    |                       |                       |                         |
| <b>19 03 01</b>                     | <b>Support to preservation of stability through common foreign and security policy (CFSP) missions and European Union Special Representatives</b> |    |                     |                    |                     |                    |                       |                       |                         |
| 19 03 01 01                         | Monitoring mission in Georgia                                                                                                                     | 4  | 18 000 000          | 17 500 000         | 18 000 000          | 17 500 000         | 18 000 000,—          | 16 793 866,03         | 95,96                   |
| 19 03 01 02                         | EULEX Kosovo                                                                                                                                      | 4  | 92 379 000          | 77 179 000         | 70 000 000          | 79 000 000         | 86 850 000,—          | 63 661 079,70         | 82,48                   |
| 19 03 01 03                         | EUPOL Afghanistan                                                                                                                                 | 4  | p.m.                | p.m.               | 40 000 000          | 35 000 000         | 11 600 000,—          | 50 607 432,11         |                         |
| 19 03 01 04                         | Other crisis management measures and operations                                                                                                   | 4  | 149 130 000         | 146 156 250        | 83 900 000          | 78 595 750         | 91 927 000,—          | 78 599 697,93         | 53,78                   |
| 19 03 01 05                         | Emergency measures                                                                                                                                | 4  | 29 101 000          | 14 001 000         | 62 850 000          | 30 043 812         | 0,—                   | 0,—                   | 0                       |
| 19 03 01 06                         | Preparatory and follow-up measures                                                                                                                | 4  | 5 500 000           | 2 050 000          | 8 500 000           | 1 050 000          | 191 882,50            | 149 537,79            | 7,29                    |
| 19 03 01 07                         | European Union Special Representatives                                                                                                            | 4  | 13 500 000          | 14 334 520         | 24 020 000          | 26 861 438         | 2 875 000,—           | 11 875 065,77         | 82,84                   |
|                                     | <i>Article 19 03 01 — Subtotal</i>                                                                                                                |    | 307 610 000         | 271 220 770        | 307 270 000         | 268 051 000        | 211 443 882,50        | 221 686 679,33        | 81,74                   |
| <b>19 03 02</b>                     | <b>Support to non-proliferation and disarmament</b>                                                                                               | 4  | 20 000 000          | 20 400 000         | 19 500 000          | 25 500 000         | 13 210 336,—          | 11 320 082,74         | 55,49                   |
|                                     | <b>Chapter 19 03 — Total</b>                                                                                                                      |    | <b>327 610 000</b>  | <b>291 620 770</b> | <b>326 770 000</b>  | <b>293 551 000</b> | <b>224 654 218,50</b> | <b>233 006 762,07</b> | <b>79,90</b>            |

*Remarks*

The High Representative of the Union for Foreign Affairs and Security Policy must ensure that the European Parliament is closely involved in all stages of the decision-making process. The joint consultation meetings provided for under point 25 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1), which are to be further intensified on the basis of the Declaration by the High Representative on political accountability (OJ C 210, 3.8.2010, p. 1), must enhance a continuous dialogue between the High Representative and the European Parliament on the main choices for and aspects of the Union's CFSP, including consultations prior to the adoption of mandates and strategies. In order to enhance interinstitutional CFSP coherence and provide up-to-date expert advice to all institutions as a means of developing a more coherent and effective CFSP, expert policy-relevant research will be provided, when appropriate, by the European Union Institute for Security Studies and others.

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

**19 03 01 Support to preservation of stability through common foreign and security policy (CFSP) missions and European Union Special Representatives***Remarks*

Common foreign and security policy (CFSP) crisis management measures and operations in the area of monitoring and verification of peace processes, conflict resolution and other stabilisation activities, the rule of law and police missions fall under this article. Operations may be established to monitor border crossings, peace or cease-fire agreements or more generally political and security developments. As with all actions financed under the budget chapter, relevant measures must be civilian in nature.

## 19 03 01 01 Monitoring mission in Georgia

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 18 000 000          | 17 500 000 | 18 000 000          | 17 500 000 | 18 000 000,— | 16 793 866,03 |

*Remarks*

This appropriation is intended to cover the costs of the European Union Monitoring Mission in Georgia, in line with the relevant legal basis adopted by the Council.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision 2010/452/CFSP of 12 August 2010 on the European Union Monitoring Mission in Georgia, EUMM Georgia (OJ L 213, 13.8.2010, p. 43).

## 19 03 01 02 EULEX Kosovo

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 92 379 000          | 77 179 000 | 70 000 000          | 79 000 000 | 86 850 000,— | 63 661 079,70 |

**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)****19 03 01** (cont'd)

19 03 01 02 (cont'd)

*Remarks*

This appropriation is intended to cover the costs of the European Union Rule of Law Mission in Kosovo, in line with the relevant legal basis adopted by the Council. It is also intended to provide for the costs of the Kosovo Specialist Chambers.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Joint Action 2008/124/CFSP of 4 February 2008 on the European Union Rule of Law Mission in Kosovo, EULEX KOSOVO (OJ L 42, 16.2.2008, p. 92).

Council Decision (CFSP) 2016/947 of 14 June 2016 amending Joint Action 2008/124/CFSP on the European Union Rule of Law Mission in Kosovo (EULEX Kosovo) (OJ L 157, 15.6.2016, p. 26).

19 03 01 03 EUPOL Afghanistan

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | p.m.     | 40 000 000          | 35 000 000 | 11 600 000,— | 50 607 432,11 |

*Remarks*

This appropriation is intended to cover the closing costs of the European Union Police Mission in Afghanistan in line with Decision 2010/279/CFSP.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

19 03 01 (cont'd)

19 03 01 03 (cont'd)

*Legal basis*

Council Decision 2010/279/CFSP of 18 May 2010 on the European Union Police Mission in Afghanistan (EUPOL AFGHANISTAN) (OJ L 123, 19.5.2010, p. 4).

19 03 01 04 Other crisis management measures and operations

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments  | Payments      |
| 149 130 000         | 146 156 250 | 83 900 000          | 78 595 750 | 91 927 000,— | 78 599 697,93 |

*Remarks*

This appropriation is intended to cover other crisis management measures and operations other than EULEX Kosovo, the Kosovo Specialist Chambers, EUMM Georgia and EUPOL Afghanistan. It is also intended to provide for the running of the European Security and Defence College Secretariat and its Internet-based advanced distance learning system, as well as the costs of the warehouse for civilian CSDP missions.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Council Joint Action 2005/889/CFSP of 12 December 2005 on establishing a European Union Border Assistance Mission for the Rafah Crossing Point (EU BAM Rafah) (OJ L 327, 14.12.2005, p. 28).

Council Decision 2012/389/CFSP of 16 July 2012 on the European Union Mission on Regional Maritime Capacity Building in the Horn of Africa (EUCAP NESTOR) (OJ L 187, 17.7.2012, p. 40).

Council Decision 2012/392/CFSP of 16 July 2012 on the European Union CSDP mission in Niger (EUCAP Sahel Niger) (OJ L 187, 17.7.2012, p. 48).

Council Decision 2012/698/CFSP of 13 November 2012 on the establishment of a warehouse for civilian crisis management missions (OJ L 314, 14.11.2012, p. 25).

Council Decision 2013/233/CFSP of 22 May 2013 on the European Union Integrated Border Management Assistance Mission in Libya (EUBAM Libya) (OJ L 138, 24.5.2013, p. 15).

**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)****19 03 01** (cont'd)

## 19 03 01 04 (cont'd)

Council Decision 2013/354/CFSP of 3 July 2013 on the European Union Police Mission for the Palestinian Territories (EUPOL COPPS) (OJ L 185, 4.7.2013, p. 12).

Council Decision 2014/219/CFSP of 15 April 2014 on the European Union CSDP mission in Mali (EUCAP Sahel Mali) (OJ L 113, 16.4.2014, p. 21).

Council Decision 2014/486/CFSP of 22 July 2014 on the European Union Advisory Mission for Civilian Security Sector Reform Ukraine (EUAM Ukraine). (OJ L 217, 23.7.2014, p. 42).

Council Decision (CFSP) 2016/2382 of 21 December 2016 establishing a European Security and Defence College (ESDC) and repealing Decision 2013/189/CFSP (OJ L 352, 23.12.2016, p. 60).

Council Decision (CFSP) 2017/1425 of 4 August 2017 on a European Union stabilisation action in Mopti and Segou (OJ L 204, 5.8.2017, p. 90).

Council Decision (CFSP) 2017/1869 of 16 October 2017 on the European Union Advisory Mission in support of Security Sector Reform in Iraq (EUAM Iraq) (OJ L 266, 17.10.2017, p. 12).

## 19 03 01 05 Emergency measures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|------------|---------------------|------------|--------------|----------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments |
| 29 101 000          | 14 001 000 | 62 850 000          | 30 043 812 | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the financing of any unforeseen measures under Article 19 03 01 decided on in the course of the financial year, which have to be implemented urgently.

This item is also intended as an element of flexibility in the CFSP budget, as described in the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

## 19 03 01 (cont'd)

19 03 01 06 Preparatory and follow-up measures

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |            |
|---------------------|-----------|---------------------|-----------|--------------|------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments   |
| 5 500 000           | 2 050 000 | 8 500 000           | 1 050 000 | 191 882,50   | 149 537,79 |

## Remarks

This appropriation is intended to cover the financing of preparatory measures to establish the conditions for Union actions in the field of the CFSP and the adoption of the necessary legal instruments. It may cover evaluation and analysis measures (*ex ante* evaluation of means, specific studies, organisation of meetings, fact-finding on the ground). In the field of Union crisis management operations and for European Union Special Representatives (EUSRs), in particular, preparatory measures may, inter alia, serve to assess the operational requirements for an envisaged action, to provide for a rapid initial deployment of personnel and resources (e.g. mission expenses, purchase of equipment, pre-financing of running and insurance costs in the start-up phase), or to take the necessary measures on the ground to prepare for the launching of the operation. It may also cover experts supporting Union crisis management operations on specific technical issues (e.g. identification and assessment of procurement needs) or security training for staff to be deployed to a CFSP mission/EUSR team.

It also covers follow-up measures and audits of common foreign and security policy measures and the financing of any expenditure on the regularisation of previously closed measures.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the measures coming under Items 19 03 01 01, 19 03 01 02, 19 03 01 03, 19 03 01 04 and 19 03 01 07.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## Legal basis

Preparatory measures within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

## 19 03 01 (cont'd)

## 19 03 01 07 European Union Special Representatives

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 13 500 000          | 14 334 520 | 24 020 000          | 26 861 438 | 2 875 000,—  | 11 875 065,77 |

*Remarks*

This appropriation is intended to cover all the expenditure connected with the appointment of European Union Special Representatives (EUSRs) in accordance with Article 33 of the Treaty on European Union.

EUSRs should be appointed with due regard for gender equality and gender mainstreaming policies, and the appointment of women EUSRs should therefore be promoted.

This appropriation covers expenditure on the salaries of EUSRs and on setting up their teams and/or support structures, including staff costs other than those relating to staff seconded by Member States or Union institutions. It also covers the costs of any projects implemented under the direct responsibility of an EUSR.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision (CFSP) 2017/289 of 17 February 2017 amending Decision (CFSP) 2015/2005 extending the mandate of the European Union Special Representative in Afghanistan (OJ L 42, 18.2.2017, p. 13).

Council Decision (CFSP) 2017/298 of 17 February 2017 extending the mandate of the European Union Special Representative for Central Asia (OJ L 43, 21.2.2017, p. 209).

Council Decision (CFSP) 2017/299 of 17 February 2017 extending the mandate of the European Union Special Representative for the South Caucasus and the crisis in Georgia (OJ L 43, 21.2.2017, p. 214).

Council Decision (CFSP) 2017/300 of 17 February 2017 extending the mandate of the European Union Special Representative for the Horn of Africa (OJ L 43, 21.2.2017, p. 219).

Council Decision (CFSP) 2017/301 of 17 February 2017 extending the mandate of the European Union Special Representative for the Sahel (OJ L 43, 21.2.2017, p. 225).

Council Decision (CFSP) 2017/346 of 27 February 2017 extending the mandate of the European Union Special Representative for Human Rights (OJ L 50, 28.2.2017, p. 66).

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## TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

## 19 03 01 (cont'd)

## 19 03 01 07 (cont'd)

Council Decision (CFSP) 2017/347 of 27 February 2017 extending the mandate of the European Union Special Representative in Bosnia and Herzegovina (OJ L 50, 28.2.2017, p. 70).

Council Decision (CFSP) 2017/348 of 27 February 2017 extending the mandate of the European Union Special Representative in Kosovo (OJ L 50, 28.2.2017, p. 75).

Council Decision (CFSP) 2017/380 of 3 March 2017 extending the mandate of the European Union Special Representative for the Middle East Peace Process (OJ L 58, 4.3.2017, p. 29).

19 03 02 **Support to non-proliferation and disarmament**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 20 000 000          | 20 400 000 | 19 500 000          | 25 500 000 | 13 210 336,— | 11 320 082,74 |

## Remarks

This appropriation is intended to finance measures which contribute to the non-proliferation of weapons of mass destruction (nuclear, chemical and biological), primarily in the framework of the EU Strategy against the Proliferation of Weapons of Mass Destruction of December 2003. This includes support for measures implemented by international organisations in this field.

This appropriation is intended to finance measures which contribute to the non-proliferation of conventional weapons and operations to combat the destabilising accumulation and trafficking of small arms and light weapons. This includes support for measures implemented by international organisations in this field.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## Legal basis

Council Decision 2012/662/CFSP of 25 October 2012 in support of activities to reduce the risk of illicit trade in, and excessive accumulation of, Small Arms and Light Weapons in the region covered by the Organisation for Security and Cooperation in Europe (OSCE) (OJ L 297, 26.10.2012, p. 29).

**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)****19 03 02** (cont'd)

Council Decision 2012/699/CFSP of 13 November 2012 on the Union support for the activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 314, 14.11.2012, p. 27).

Council Decision 2013/391/CFSP of 22 July 2013 in support of the practical implementation of United Nations Security Council Resolution 1540 (2004) on non-proliferation of weapons of mass destruction and their means of delivery (OJ L 198, 23.7.2013, p. 40).

Council Decision 2013/517/CFSP of 21 October 2013 on the Union support for the activities of the International Atomic Energy Agency in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 281, 23.10.2013, p. 6).

Council Decision 2013/668/CFSP of 18 November 2013 in support of World Health Organisation activities in the area of biosafety and biosecurity in the framework of the European Union Strategy against the proliferation of Weapons of Mass Destruction (OJ L 310, 20.11.2013, p. 13).

Council Decision 2013/730/CFSP of 9 December 2013 in support of SEESAC disarmament and arms control activities in South East Europe in the framework of the EU Strategy to Combat the Illicit Accumulation and Trafficking of SALW and their Ammunition (OJ L 332, 11.12.2013, p. 19).

Council Decision 2013/768/CFSP of 16 December 2013 on EU activities in support of the implementation of the Arms Trade Treaty, in the framework of the European Security Strategy (OJ L 341, 18.12.2013, p. 56).

Council Decision 2014/129/CFSP of 10 March 2014 promoting the European network of independent non-proliferation think tanks in support of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 71, 12.3.2014, p. 3).

Council Decision 2014/912/CFSP of 15 December 2014 in support of physical security and stockpile management (PSSM) activities to reduce the risk of illicit trade in small arms and light weapons (SALW) and their ammunition in the Sahel region (OJ L 360, 17.12.2014, p. 30).

Council Decision 2014/913/CFSP of 15 December 2014 in support of the Hague Code of Conduct and ballistic missile non-proliferation in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 360, 17.12.2014, p. 44).

Council Decision (CFSP) 2015/203 of 9 February 2015 in support of the Union proposal for an international Code of Conduct for outer-space activities as a contribution to transparency and confidence-building measures in outer-space activities (OJ L 33, 10.2.2015, p. 38).

Council Decision (CFSP) 2015/259 of 17 February 2015 in support of activities of the Organisation for the Prohibition of Chemical Weapons (OPCW) in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 43, 18.2.2015, p. 14).

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## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (*cont'd*)19 03 02 (*cont'd*)

Council Decision (CFSP) 2015/1837 of 12 October 2015 on Union support for the activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 266, 13.10.2015, p. 83).

Council Decision (CFSP) 2015/1908 of 22 October 2015 in support of a global reporting mechanism on illicit small arms and light weapons and other illicit conventional weapons and ammunition to reduce the risk of their illicit trade ('iTrace II') (OJ L 278, 23.10.2015, p. 15).

Council Decision (CFSP) 2015/2215 of 30 November 2015 in support of UNSCR 2235 (2015), establishing an OPCW-UN joint investigative mechanism to identify the perpetrators of chemical attacks in the Syrian Arab Republic (OJ L 314, 1.12.2015, p. 51)

Council Decision (CFSP) 2015/2309 of 10 December 2015 on the promotion of effective arms export controls (OJ L 326, 11.12.2015, p. 56).

Council Decision (CFSP) 2016/51 of 18 January 2016 in support of the Biological and Toxin Weapons Convention (BTWC) in the framework of the EU strategy against Proliferation of Weapons of Mass Destruction (OJ L 12, 19.1.2016, p. 50).

Council Decision (CFSP) 2016/2001 of 15 November 2016 on a Union contribution to the establishment and the secure management of a Low Enriched Uranium (LEU) Bank under the control of the International Atomic Energy Agency (IAEA) in the framework of the EU Strategy against the Proliferation of Weapons of Mass Destruction (OJ L 308, 16.11.2016, p. 22).

Council Decision (CFSP) 2016/2356 of 19 December 2016 in support of SEESAC disarmament and arms control activities in South-East Europe in the framework of the EU Strategy to combat illicit accumulation and trafficking of SALW and their ammunition (OJ L 348, 21.12.2016, p. 60).

Council Decision (CFSP) 2016/2383 of 21 December 2016 on the Union support for the International Atomic Energy Agency activities in the areas of nuclear security and in the framework of the implementation of the EU Strategy against the Proliferation of Weapons of Mass Destruction (OJ L 352, 23.12.2016, p. 74).

Council Decision (CFSP) 2017/633 of 3 April 2017 in support of the United Nations Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects (OJ L 90, 4.4.2017, p. 12).

Council Decision (CFSP) 2017/809 of 11 May 2017 in support of the implementation of United Nations Security Council Resolution 1540 (2004) on the non-proliferation of weapons of mass destruction and their means of delivery (OJ L 121, 12.5.2017, p. 39).

Council Decision (CFSP) 2017/915 of 29 May 2017 on Union outreach activities in support of the implementation of the Arms Trade Treaty (OJ L 139, 30.5.2017, p. 38).

Council Decision (CFSP) 2017/1252 of 11 July 2017 in support of the strengthening of chemical safety and security in Ukraine in line with the implementation of United Nations Security Council Resolution 1540 (2004) on the non-proliferation of weapons of mass destruction and their means of delivery (OJ L 179, 12.7.2017, p. 8).

**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)****19 03 02** (cont'd)

Council Decision (CFSP) 2017/1424 of 4 August 2017 in support of OSCE activities to reduce the risk of illicit trafficking and excessive accumulation of small arms and light weapons and conventional ammunition in the former Yugoslav Republic of Macedonia and Georgia (OJ L 204, 5.8.2017, p. 82).

Council Decision (CFSP) 2017/1428 of 4 August 2017 in support of the implementation of the Maputo Action Plan for the implementation of the 1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction (OJ L 204, 5.8.2017, p. 101).

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 04 — ELECTION OBSERVATION MISSIONS (EU EOMS)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                          | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                                                  |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| 19 04                               | ELECTION OBSERVATION MISSIONS (EU EOMS)                                                                          |    |                     |                   |                     |                   |                      |                      |                         |
| 19 04 01                            | <i>Improving the reliability of electoral processes, in particular by means of election observation missions</i> | 4  | 46 304 783          | 38 302 500        | 45 363 394          | 37 522 500        | 30 484 924,04        | 19 591 559,29        | 51,15                   |
| 19 04 51                            | <i>Completion of actions in the field of election observation missions (prior to 2014)</i>                       | 4  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 1 089 396,17         |                         |
| <b>Chapter 19 04 — Total</b>        |                                                                                                                  |    | <b>46 304 783</b>   | <b>38 302 500</b> | <b>45 363 394</b>   | <b>37 522 500</b> | <b>30 484 924,04</b> | <b>20 680 955,46</b> | <b>53,99</b>            |

**19 04 01** *Improving the reliability of electoral processes, in particular by means of election observation missions**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 46 304 783          | 38 302 500 | 45 363 394          | 37 522 500 | 30 484 924,04 | 19 591 559,29 |

*Remarks*

This appropriation covers financial support for building confidence in and enhancing the reliability and transparency of democratic electoral processes and institutions through deployment of European Union Election Observation Missions other measures of monitoring electoral processes as well as through support for observation capacity at regional and national level.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85).

## CHAPTER 19 04 — ELECTION OBSERVATION MISSIONS (EU EOMS) (cont'd)

19 04 51 **Completion of actions in the field of election observation missions (prior to 2014)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 089 396,17 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 05 — COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                     | FF | Appropriations 2018 |                   | Appropriations 2017 |                    | Outturn 2016          |                      | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|--------------------|-----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                             |    | Commitments         | Payments          | Commitments         | Payments           | Commitments           | Payments             |                         |
| 19 05                               | COOPERATION WITH<br>THIRD COUNTRIES UNDER<br>THE PARTNERSHIP<br>INSTRUMENT (PI)                                                             |    |                     |                   |                     |                    |                       |                      |                         |
| <b>19 05 01</b>                     | <b>Cooperation with third<br/>countries to advance and<br/>promote Union and mutual<br/>interests</b>                                       | 4  | 123 263 000         | 70 610 000        | 112 899 000         | 84 191 000         | 108 653 217,51        | 36 891 953,83        | 52,25                   |
| <b>19 05 20</b>                     | <b>Erasmus+ — Contribution<br/>from the Partnership<br/>Instrument</b>                                                                      | 4  | 11 520 000          | 14 646 383        | 15 600 000          | 14 628 006         | 16 115 347,57         | 15 821 776,57        | 108,03                  |
| <b>19 05 51</b>                     | <b>Completion of actions in the<br/>field of relations and<br/>cooperation with indus-<br/>trialised third countries<br/>(2007 to 2013)</b> | 4  | p.m.                | 4 000 000         | p.m.                | 7 045 000          | 0,—                   | 8 825 595,79         | 220,64                  |
|                                     | <b>Chapter 19 05 — Total</b>                                                                                                                |    | <b>134 783 000</b>  | <b>89 256 383</b> | <b>128 499 000</b>  | <b>105 864 006</b> | <b>124 768 565,08</b> | <b>61 539 326,19</b> | <b>68,95</b>            |

**19 05 01 Cooperation with third countries to advance and promote Union and mutual interests**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016   |               |
|---------------------|------------|---------------------|------------|----------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments    | Payments      |
| 123 263 000         | 70 610 000 | 112 899 000         | 84 191 000 | 108 653 217,51 | 36 891 953,83 |

## Remarks

This appropriation is intended to cover cooperation with third countries to advance and promote the Union and mutual interests under the Partnership Instrument, in particular with developed and developing countries which play an increasingly prominent role in the world affairs, including foreign policy, international economy and trade, multilateral forums, global governance and in addressing challenges of global concern or where the Union has significant interests. This cooperation includes measures supporting the Union's bilateral, regional or multilateral relationships in addressing challenges of global concern, the implementation of the international dimension of the 'Europe 2020' strategy, trade and investment opportunities and public diplomacy and outreach activities.

Part of these appropriations will also be used to implement the project 'Cooperation with northern and southern transatlantic dimension', which aims at increasing a broader transatlantic dialogue and cooperation involving northern and southern Atlantic countries in order to address common global challenges. The objective is to look into the feasibility of implementing common short- and longer term goals in fields such as economic cooperation, global governance, development cooperation, climate change, security and energy. This preparatory action should serve to strengthen a triangular dialogue, or even an extended Atlantic dialogue, as well as to promote the idea of a wider Atlantic community.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.



## CHAPTER 19 05 — COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI) (cont'd)

## 19 05 01 (cont'd)

*Legal basis*

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77).

19 05 20 **Erasmus+ — Contribution from the Partnership Instrument***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 11 520 000          | 14 646 383 | 15 600 000          | 14 628 006 | 16 115 347,57 | 15 821 776,57 |

*Remarks*

This appropriation is intended to cover the technical and financial assistance provided under this external instrument in order to implement the international dimension of higher education of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77).

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CHAPTER 19 05 — COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI) (*cont'd*)**19 05 51** *Completion of actions in the field of relations and cooperation with industrialised third countries (2007 to 2013)**Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 4 000 000 | p.m.                | 7 045 000 | 0,—          | 8 825 595,79 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and para-state agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

## CHAPTER 19 06 — INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                               | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|--------------------------------|
|                                     |                                                                       |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                                |
| 19 06                               | INFORMATION<br>OUTREACH ON THE<br>UNION'S EXTERNAL<br>RELATIONS       |    |                     |                   |                     |                   |                      |                      |                                |
| <b>19 06 01</b>                     | <b>Information outreach on<br/>the Union's external<br/>relations</b> | 4  | 15 000 000          | 15 200 000        | 12 000 000          | 12 000 000        | 12 472 671,91        | 13 651 239,50        | 89,81                          |
| <b>19 06 77</b>                     | <b>Pilot projects and<br/>preparatory actions</b>                     |    |                     |                   |                     |                   |                      |                      |                                |
| 19 06 77 01                         | Preparatory action —<br>StratCom Plus                                 | 4  | 1 100 000           | 550 000           |                     |                   |                      |                      |                                |
|                                     | Article 19 06 77 —<br>Subtotal                                        |    | 1 100 000           | 550 000           |                     |                   |                      |                      |                                |
|                                     | <b>Chapter 19 06 — Total</b>                                          |    | <b>16 100 000</b>   | <b>15 750 000</b> | <b>12 000 000</b>   | <b>12 000 000</b> | <b>12 472 671,91</b> | <b>13 651 239,50</b> | <b>86,67</b>                   |

**19 06 01 Information outreach on the Union's external relations**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 15 000 000          | 15 200 000 | 12 000 000          | 12 000 000 | 12 472 671,91 | 13 651 239,50 |

*Remarks*

This appropriation is intended to cover expenditure on information outreach on the Union's external relations. The information activities to be carried out under this article fall into two broad categories: horizontal activities and logistical support from headquarters, and activities carried out by Union delegations in third countries and vis-à-vis international organisations.

Measures carried out from headquarters:

- the European Union visitors programme (EUVP), carried out jointly by the European Parliament and the Commission, provides some 170 participants a year, chosen by the Union delegations, with the opportunity of experiencing contact with the Union by visiting the European Parliament and the Commission as part of a made-to-measure individual programme of visits based on a theme,
- the production and distribution of publications on priority themes as part of an annual programme,
- the production and dissemination of audiovisual material,
- the development of information delivered via electronic media (the internet and electronic message systems),
- the organisation of visits for groups of journalists,
- the support for the information activities of opinion leaders that are consistent with the Union's priorities,
- strategic communications, in particular in the Union neighbourhood and the Western Balkans.

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CHAPTER 19 06 — INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS (*cont'd*)**19 06 01** (*cont'd*)

The Commission will continue to fund news broadcasts in Farsi.

*Decentralised measures carried out by Union delegations in third countries and vis-à-vis international organisations*

In accordance with the communication goals laid down for each region and each country, the Union delegations propose an annual communication plan, which, once approved by headquarters, is assigned a budgetary allocation covering the following activities:

- websites,
- relations with the media (press conferences, seminars, radio programmes, etc.),
- information products (other publications, graphic material, etc.),
- organisation of events, including cultural activities,
- newsletters,
- information campaigns,
- strategic communications, in particular in the Union neighbourhood and the Western Balkans.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**19 06 77** *Pilot projects and preparatory actions*

19 06 77 01 Preparatory action — StratCom Plus

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 100 000           | 550 000  |                     |          |              |          |

*Remarks*

This preparatory action will test how to counter disinformation more systematically, effectively and efficiently. An important objective is to build Union capacity on fact-checking disinformation by upgrading the skills base of staff through training and coaching by appointed strategic communication experts, who will then report on their monitoring activities to headquarters, including the East StratCom task force.

**CHAPTER 19 06 — INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS** (*cont'd*)**19 06 77** (*cont'd*)19 06 77 01 (*cont'd*)

Therefore, the preparatory action will finance: (a) training to raise awareness of disinformation campaigns among Union staff; (b) monitoring of disinformation campaigns both within and outside the Union; (c) data-based analysis of the challenge and ways forward throughout Europe; (d) better output for the product of that analysis, i.e. translation and dissemination in local languages. As disinformation campaigns target the Union and its partner countries alike, these activities can benefit staff, according to priorities to be set, in: (1) Commission Permanent Representations in Member States; (2) Union Delegations in Eastern Partnership countries; and (3) Union Delegations in Western Balkans countries.

The preparatory action will be jointly implemented by the Commission (Service for Foreign Policy Instruments) and the European External Action Service.

This action will allow the Union to raise awareness, to increase capacity to anticipate, analyse and respond, to be more efficient, to better reach out to Member States and to promote its policy objectives vis-à-vis its citizens as well as the Eastern Neighbourhood and the Western Balkans, including in their languages.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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*TITLE 20*

**TRADE**

**TITLE 20****TRADE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                     | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|-------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                             | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 20 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'TRADE'<br>POLICY AREA | 98 024 575          | 98 024 575         | 95 701 323          | 95 701 323         | 92 631 020,14         | 92 631 020,14         |
| 20 02            | TRADE POLICY                                                | 17 900 000          | 16 500 000         | 17 500 000          | 16 000 000         | 16 800 000,—          | 15 149 541,97         |
|                  | <b>Title 20 — Total</b>                                     | <b>115 924 575</b>  | <b>114 524 575</b> | <b>113 201 323</b>  | <b>111 701 323</b> | <b>109 431 020,14</b> | <b>107 780 562,11</b> |

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## TITLE 20

### TRADE

#### CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                            | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 20 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA                                                                                                              |     |                     |                     |                      |              |
| <b>20 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Trade' policy area</i></b>                                                                      |     |                     |                     |                      |              |
| 20 01 01 01                         | Expenditure related to officials and temporary staff — Headquarters                                                                                                | 5.2 | 54 709 769          | 54 792 565          | 52 000 394,03        | 95,05        |
| 20 01 01 02                         | Expenditure related to officials and temporary staff — Union delegations                                                                                           | 5.2 | 15 378 247          | 14 455 314          | 13 636 488,33        | 88,67        |
|                                     | <i>Article 20 01 01 — Subtotal</i>                                                                                                                                 |     | 70 088 016          | 69 247 879          | 65 636 882,36        | 93,65        |
| <b>20 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Trade' policy area</i></b>                                                            |     |                     |                     |                      |              |
| 20 01 02 01                         | External personnel — Headquarters                                                                                                                                  | 5.2 | 2 947 833           | 2 986 234           | 3 143 369,72         | 106,63       |
| 20 01 02 02                         | External personnel — Union delegations                                                                                                                             | 5.2 | 8 327 169           | 7 340 489           | 7 201 674,38         | 86,48        |
| 20 01 02 11                         | Other management expenditure — Headquarters                                                                                                                        | 5.2 | 4 347 413           | 4 345 210           | 4 668 278,98         | 107,38       |
| 20 01 02 12                         | Other management expenditure — Union delegations                                                                                                                   | 5.2 | 1 535 018           | 1 446 578           | 1 574 050,21         | 102,54       |
|                                     | <i>Article 20 01 02 — Subtotal</i>                                                                                                                                 |     | 17 157 433          | 16 118 511          | 16 587 373,29        | 96,68        |
| <b>20 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Trade' policy area</i></b> |     |                     |                     |                      |              |
| 20 01 03 01                         | Expenditure related to information and communication technology equipment and services                                                                             | 5.2 | 3 536 620           | 3 421 433           | 3 998 805,46         | 113,07       |
| 20 01 03 02                         | Buildings and related expenditure — Union delegations                                                                                                              | 5.2 | 7 242 506           | 6 913 500           | 6 407 959,03         | 88,48        |
|                                     | <i>Article 20 01 03 — Subtotal</i>                                                                                                                                 |     | 10 779 126          | 10 334 933          | 10 406 764,49        | 96,55        |
|                                     | <b>Chapter 20 01 — Total</b>                                                                                                                                       |     | <b>98 024 575</b>   | <b>95 701 323</b>   | <b>92 631 020,14</b> | <b>94,50</b> |



**CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘TRADE’ POLICY AREA** (cont'd)**20 01 01 Expenditure related to officials and temporary staff in the ‘Trade’ policy area**

20 01 01 01 Expenditure related to officials and temporary staff — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 54 709 769          | 54 792 565          | 52 000 394,03 |

20 01 01 02 Expenditure related to officials and temporary staff — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 15 378 247          | 14 455 314          | 13 636 488,33 |

**20 01 02 External personnel and other management expenditure in support of the ‘Trade’ policy area**

20 01 02 01 External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 947 833           | 2 986 234           | 3 143 369,72 |

20 01 02 02 External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 327 169           | 7 340 489           | 7 201 674,38 |

20 01 02 11 Other management expenditure — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 347 413           | 4 345 210           | 4 668 278,98 |

20 01 02 12 Other management expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 535 018           | 1 446 578           | 1 574 050,21 |

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CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA (*cont'd*)

**20 01 03** *Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Trade' policy area*

20 01 03 01 Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 536 620           | 3 421 433           | 3 998 805,46 |

20 01 03 02 Buildings and related expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 242 506           | 6 913 500           | 6 407 959,03 |

## CHAPTER 20 02 — TRADE POLICY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                    | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                      | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                                                            |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments             |                         |
| 20 02                               | TRADE POLICY                                                                                                                                                                               |    |                     |                   |                     |                   |                     |                      |                         |
| 20 02 01                            | <b>External trade relations, including access to the markets of third countries</b>                                                                                                        | 4  | 13 000 000          | 11 800 000        | 13 000 000          | 11 500 000        | 12 300 000,—        | 10 083 473,84        | 85,45                   |
| 20 02 03                            | <b>Aid for trade — Multi-lateral initiatives</b>                                                                                                                                           | 4  | 4 500 000           | 4 500 000         | 4 500 000           | 4 500 000         | 4 500 000,—         | 5 066 068,13         | 112,58                  |
| 20 02 77                            | <b>Pilot projects and preparatory actions</b>                                                                                                                                              |    |                     |                   |                     |                   |                     |                      |                         |
| 20 02 77 02                         | Pilot project — Women and trade: creating a model chapter on gender in free trade agreements based on data on women participating in trade and women participating in the domestic economy | 4  | 400 000             | 200 000           |                     |                   |                     |                      |                         |
|                                     | <i>Article 20 02 77 — Subtotal</i>                                                                                                                                                         |    | 400 000             | 200 000           |                     |                   |                     |                      |                         |
|                                     | <b>Chapter 20 02 — Total</b>                                                                                                                                                               |    | <b>17 900 000</b>   | <b>16 500 000</b> | <b>17 500 000</b>   | <b>16 000 000</b> | <b>16 800 000,—</b> | <b>15 149 541,97</b> | <b>91,82</b>            |

20 02 01 **External trade relations, including access to the markets of third countries**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 13 000 000          | 11 800 000 | 13 000 000          | 11 500 000 | 12 300 000,— | 10 083 473,84 |

## Remarks

This appropriation is intended to cover the following actions:

*Activities supporting the conduct of ongoing and new multi and bilateral trade negotiations*

Actions aim to strengthen the Union's negotiating position in ongoing multilateral trade negotiations (in the context of the Doha Development Agenda) as well as ongoing and new bilateral and regional trade negotiations, to ensure that Union policy conception is based on the highest economic, social and environmental standards, as well as comprehensive and up-to-date expert information and to build coalitions for their successful completion, including:

- meetings, conferences and seminars in connection with the preparation of policy and negotiating positions and with the conduct of ongoing as well as new trade negotiations,
- development and implementation of a consistent and comprehensive communication and information strategy, promoting the Union's trade policy and raising awareness of the detail and objectives of the Union's trade policy and ongoing negotiation positions, both within and outside the Union,
- information activities and seminars for state and non-state actors (including civil society and business actors) to explain the state of play of ongoing negotiations and of the implementation of existing agreements.

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CHAPTER 20 02 — TRADE POLICY (*cont'd*)

20 02 01 (*cont'd*)

*Studies, evaluations and impact assessments in relation to trade agreements and policies*

Actions to ensure that the Union's trade policy is underpinned by, and takes proper account of, *ex ante* and *ex post* evaluation results, including:

- impact assessments carried out in view of possible new legislative proposals and sustainable impact assessments carried out in support of ongoing negotiations, in order to analyse the potential economic, social and environmental benefits of trade agreements and, where necessary, to propose flanking measures to combat any negative outcomes for specific countries or sectors,
- evaluations of the policies and practices of the Directorate-General for Trade, to be carried out following its multiannual evaluation plan,
- expert, legal and economic studies related to ongoing negotiations and existing agreements, policy developments and trade disputes.

*Trade-related technical assistance, training and other capacity-building actions towards third countries*

Actions aiming to strengthen the capacity of third countries to participate in international, bilateral or bi-regional trade negotiations, to implement international trade agreements and to participate in the world trading system, including:

- projects involving training and capacity-building actions aimed at developing country officials and operators, mainly in the field of sanitary and phytosanitary measures, and with regard to equivalent environmental, animal welfare and social standards, thus enabling developing countries to export quality goods to the Union, which also contributes to a more level playing field,
- reimbursement of the expenses of participants in forums and conferences designed to build awareness and expertise in trade affairs among developing country nationals,
- management, operating, further development and promotion of the Export Helpdesk that provides business in partner countries with information on access to Union markets and facilitates efforts by business to take advantage of market access opportunities offered by the international trading system,
- trade-related technical assistance programmes arranged in the forum of the World Trade Organisation (WTO) and other multilateral organisations, in particular WTO Trust Funds, in the framework of the Doha Development Agenda.

*Market access activities supporting the implementation of the Union's market access strategy*

Actions in support of the Union's market access strategy, which aims at removing or lowering barriers to trade, identifying trade restrictions in third countries and, where appropriate, removing obstacles to trade. These actions may include:

- maintenance, operating and further development of the market access database available to economic operators via the Internet, listing trade barriers and other information affecting Union exports and Union exporters; purchase of the necessary information, data and documentation for this database,
- specific analysis of the various obstacles to trade in key markets, including analysis of the implementation by third countries of their obligations under international trade agreements, in connection with the preparation of negotiations,

**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)**20 02 01** (*cont'd*)

- conferences, seminars and other information activities (e.g. production and distribution of studies, information packs, publications and leaflets) to inform businesses, Member States officials and other actors about trade barriers and trade policy instruments aimed at protecting the Union against unfair trading practices such as dumping or export subsidies,
- support to the European industry for the organisation of activities specifically geared towards market access issues.

*Activities supporting the implementation of existing rules and monitoring of trade obligations*

Actions to support the implementation of existing trade agreements and the enforcement of related systems that enable effective implementation of these agreements as well as the conduct of investigations and inspection visits to ensure third countries are respecting the rules, including:

- exchange of information, training, seminars and communication activities to support the implementation of existing Union legislation in the area of dual-use export controls,
- activities to facilitate investigations carried out in the context of the trade defence investigations with the aim of defending Union producers against unfair trade practices by third countries (anti-dumping, anti-subsidy and safeguards instruments) that can be harmful to the economy of the Union. In particular, activities will focus on the development, maintenance, operations and security of information technology systems supporting trade defence activities, the production of communications tools, the purchase of legal services in third countries and the conducting of expert studies,
- activities supporting the advisory groups monitoring the implementation of trade agreements entered into force. This includes financing of the members' and experts' travel expenses and accommodation,
- activities to ensure timely preparation and implementation of Domestic Advisory Group and Joint Consultative Committee tasks,
- activities supporting the acquisition of relevant data to form a basis for Domestic Advisory Group and Joint Consultative Committee discussions,
- activities with a view to promoting the Union's external trade policy through a process of structured dialogue with key opinion makers of civil society and stakeholders, including small and medium-sized enterprises, on external trade issues,
- activities related to the promotion and communication on trade agreements, both within the Union and in partner countries. This will primarily be implemented by means of production and dissemination of audio-visual, electronic and graphical support and printed publications, subscriptions to trade relevant media and databases, translation of communication materials into non-Union languages, media-oriented actions, including new media products,
- development, maintenance and operating of information systems in support of the operational activities in the 'Trade' policy area such as: Integrated Statistical Database (ISDB), Dual-Use e-system, Market Access Database, Export Helpdesk, Export Credit Database, SIGL and SIGL Wood systems, Civil Society platform and tools for monitoring and supporting trade agreements.

*Legal and other expert assistance*

Actions to ensure that the Union's trading partners effectively adhere to and comply with obligations arising under the WTO and other multilateral and bilateral agreements, including:

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**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)

**20 02 01** (*cont'd*)

- expert studies, including inspection visits, as well as specific investigations and seminars on implementation by third countries of their obligations under international trade agreements,
- legal expertise, especially on questions of foreign law, required to facilitate the prosecution by the Union of its positions in WTO dispute-settlement cases, other expert studies necessary for the preparation, management and follow-up to WTO dispute settlement cases,
- arbitration costs, legal expertise and fees incurred by the Union as party to the disputes arising from the implementation of international agreements concluded under Article 207 of the Treaty on the Functioning of the European Union.

*Investment dispute resolution systems as established by international agreements*

The following expenditure is to support:

- standing costs for the operation of Investment Court Systems (First Tribunal and Appeal Instance) arising from the implementation of international agreements concluded under Article 207 of the Treaty on the Functioning of the European Union,
- arbitration costs, legal expertise and fees incurred by the Union as party to disputes brought by investors under such international agreements,
- payment of a final award or of an award settlement paid to an investor in the context of such international agreements.

*Activities supporting trade policy*

This appropriation is also intended to cover general expenditure on translations, press events, information and publications directly linked to the achievement of the objective of the programme or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, such as the maintenance of the Directorate-General for Trade and Trade Commissioner's Internet site or the development and implementation of supporting information systems and tools.

Any revenue in the context of the management by the Union of the financial responsibilities linked to investor-state dispute settlement may give rise to the provision of additional appropriations in accordance with Article 21(4) of the Financial Regulation.

Part of this appropriation is intended to cover a comprehensive approach to communications to engage the general public and all stakeholders and maximise transparency with regard to the activities of the Directorate-General for Trade, especially with regard to the Transatlantic Trade and Investment Partnership (TTIP). This will include engagement and dialogue events, such as press or stakeholder events, publishing of information, translations, consultations and follow-up and publications directly linked to the achievement of the objective of the activities coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, such as maintenance of DG TRADE's and the Trade Commissioner's websites. Activities to engage the public and all stakeholders are crucial to a transparent, successful and comprehensive trade policy.

**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)**20 02 01** (*cont'd*)*Legal basis*

Council and Commission Decision 98/181/EC, ECSC, Euratom of 23 September 1997 on the conclusion, by the European Communities, of the Energy Charter Treaty and the Energy Charter Protocol on energy efficiency and related environmental aspects (OJ L 69, 9.3.1998, p. 1).

Council Decision 98/552/EC of 24 September 1998 on the implementation by the Commission of activities relating to the Community market access strategy (OJ L 265, 30.9.1998, p. 31).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**20 02 03** ***Aid for trade — Multilateral initiatives****Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 4 500 000           | 4 500 000 | 4 500 000           | 4 500 000 | 4 500 000,—  | 5 066 068,13 |

*Remarks*

This appropriation is intended to support multilateral programmes and initiatives in the field of trade-related assistance to strengthen the capacity of developing countries to participate effectively in the multilateral trading system and regional trading arrangements and to improve their trade performance.

Initiatives and programmes to be funded under this appropriation will support the following actions:

*Assistance for trade policy, participation in negotiations and implementation of trade and investment agreements and other trade-related initiatives*

Actions to strengthen the capacity of developing countries to formulate their trade policy and to strengthen the institutions involved in trade policy, including comprehensive and updated trade reviews and assistance in integrating trade into their respective policies for economic growth and development.

Actions to strengthen the capacity of developing countries to participate effectively in trade and investment negotiations, to implement trade and investment agreements and other trade-related initiatives, also to undertake follow-up actions, including on aspects of trade and sustainable development in its labour and environmental perspectives.

Research to advise policymakers how best to ensure that the specific interests of small producers and workers in developing countries are reflected across all policy areas and to promote an enabling environment for producers' access to world markets.

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**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)

**20 02 03** (*cont'd*)

This assistance is primarily targeted at the public sector, but could also include initiatives in support of responsible business conduct and fair and ethical trade.

*Trade development*

Actions to reduce supply-side constraints which impact directly on the ability of developing countries to exploit their international trading potential, including, in particular, private-sector development.

This appropriation complements the Union's geographical programmes and should only cover multilateral initiatives and programmes that offer real added value to the Union's geographical programmes.

The Commission will provide an annual report on implementation and results obtained and on the main outcomes and effects of aid for trade assistance. The Commission will provide information on a total figure for all aid for trade finance coming from within the general budget of the Union, and a total figure for aid for trade coming from within all 'trade-related assistance' provided.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**20 02 77** *Pilot projects and preparatory actions*

20 02 77 02 Pilot project — Women and trade: creating a model chapter on gender in free trade agreements based on data on women participating in trade and women participating in the domestic economy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 400 000             | 200 000  |                     |          |              |          |

*Remarks*

The project entails the following activities:

(1) reviewing existing gender chapters in free trade agreements and their effectiveness; (2) designing a questionnaire to capture information on the impact of trade agreements and the barriers for women-owned/led firms to trade; (3) training interviewers in the selected Member States and partner countries; (4) conducting interviews and related data quality control; (5) compiling a database; (6) drafting a report summarising the main findings; (7) drafting a model chapter on gender in free trade agreements.



**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)**20 02 77** (*cont'd*)20 02 77 02 (*cont'd*)

The survey will capture variables on women ownership, women in management and female employment in exporting firms. It will be representative at Union level by firm size and export sector, with interviews across all Member States and in selected third countries (to be defined in consultation with DG Trade).

The survey will capture trade barriers with all current trading partners of firms with additional questions on specific trade agreements that have recently been concluded or are in the process of negotiation or renegotiation. The list of such agreements for which more detailed information will be captured will be defined in consultation with DG Trade.

The survey will cover regulatory and procedural trade barriers, existing information gaps as well as the firms' recommendations for improvements. These will be captured by product and partner country and can as such be attributed to specific free trade agreements and, depending on the type of issue reported, to specific provisions therein.

The data collected will be novel and unique. This pilot project reflects strong demand from trade negotiators (including at the World Trade Organisation), who would like to better include the gender dimension in trade policy-making but lack the data and evidence base to do so.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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*TITLE 21*

**INTERNATIONAL COOPERATION AND DEVELOPMENT**

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**TITLE 21**

**INTERNATIONAL COOPERATION AND DEVELOPMENT**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                                                        | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|----------------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                                                                | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 21 01         | ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA                      | 286 222 682          | 286 222 682          | 285 334 676          | 285 334 676          | 396 595 056,11          | 396 595 056,11          |
| 21 02         | DEVELOPMENT COOPERATION INSTRUMENT (DCI)                                                                       | 2 893 577 248        | 2 660 399 473        | 3 090 914 840        | 2 693 220 616        | 2 620 200 320,73        | 2 707 845 652,14        |
| 21 04         | EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS                                                             | 135 400 860          | 120 000 000          | 132 804 486          | 120 000 000          | 131 141 178,08          | 125 218 199,03          |
| 21 05         | INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL THREATS AND EMERGING THREATS | 65 900 000           | 64 200 000           | 64 900 000           | 61 000 000           | 64 393 076,—            | 71 017 553,36           |
|               | <i>Reserves (40 02 41)</i>                                                                                     | 6 250 000            |                      |                      |                      |                         |                         |
|               |                                                                                                                | 72 150 000           | 64 200 000           | 64 900 000           | 61 000 000           | 64 393 076,—            | 71 017 553,36           |
| 21 06         | INSTRUMENT FOR NUCLEAR SAFETY COOPERATION                                                                      | 31 505 663           | 44 000 000           | 60 884 131           | 80 000 000           | 70 369 456,—            | 111 851 482,08          |
| 21 07         | THE EUROPEAN UNION-GREENLAND PARTNERSHIP                                                                       | 32 110 000           | 32 038 000           | 31 630 000           | 35 956 797           | 31 130 000,—            | 37 087 557,—            |
| 21 08         | DEVELOPMENT AND COOPERATION WORLDWIDE                                                                          | 41 712 000           | 35 915 013           | 36 374 796           | 39 130 241           | 34 774 402,54           | 34 852 394,53           |
| 21 09         | COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME                   | p.m.                 | 6 054 387            | p.m.                 | 24 793 208           | 0,—                     | 27 500 969,65           |
|               | <b>Title 21 — Total</b>                                                                                        | <b>3 486 428 453</b> | <b>3 248 829 555</b> | <b>3 702 842 929</b> | <b>3 339 435 538</b> | <b>3 348 603 489,46</b> | <b>3 511 968 863,90</b> |
|               | <i>Reserves (40 02 41)</i>                                                                                     | 6 250 000            |                      |                      |                      |                         |                         |
|               |                                                                                                                | <b>3 492 678 453</b> | <b>3 248 829 555</b> | <b>3 702 842 929</b> | <b>3 339 435 538</b> | <b>3 348 603 489,46</b> | <b>3 511 968 863,90</b> |

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## TITLE 21

## INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                         | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|-------------|
| 21 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA                                                                                                       |     |                     |                     |                |             |
| <b>21 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'International cooperation and development' policy area</b>                                                                      |     |                     |                     |                |             |
| 21 01 01 01                         | Expenditure related to officials and temporary staff — Headquarters                                                                                                                             | 5.2 | 67 947 892          | 68 176 136          | 66 826 073,14  | 98,35       |
| 21 01 01 02                         | Expenditure related to officials and temporary staff — Union delegations                                                                                                                        | 5.2 | 81 017 107          | 81 242 523          | 78 896 112,14  | 97,38       |
|                                     | <i>Article 21 01 01 — Subtotal</i>                                                                                                                                                              |     | 148 964 999         | 149 418 659         | 145 722 185,28 | 97,82       |
| <b>21 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'International cooperation and development' policy area</b>                                                            |     |                     |                     |                |             |
| 21 01 02 01                         | External personnel — Headquarters                                                                                                                                                               | 5.2 | 2 585 400           | 2 747 448           | 2 674 122,38   | 103,43      |
| 21 01 02 02                         | External personnel — Union delegations                                                                                                                                                          | 5.2 | 2 775 723           | 2 467 391           | 3 140 763,03   | 113,15      |
| 21 01 02 11                         | Other management expenditure — Headquarters                                                                                                                                                     | 5.2 | 4 330 495           | 4 355 427           | 4 523 279,96   | 104,45      |
| 21 01 02 12                         | Other management expenditure — Union delegations                                                                                                                                                | 5.2 | 3 541 773           | 3 536 082           | 3 949 122,87   | 111,50      |
|                                     | <i>Article 21 01 02 — Subtotal</i>                                                                                                                                                              |     | 13 233 391          | 13 106 348          | 14 287 288,24  | 107,96      |
| <b>21 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'International cooperation and development' policy area</b> |     |                     |                     |                |             |
| 21 01 03 01                         | Expenditure related to information and communication technology equipment and services                                                                                                          | 5.2 | 4 392 376           | 4 257 147           | 5 138 767,15   | 116,99      |

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**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                 | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| <b>21 01 03</b>                     | (cont'd)                                                                                                                |     |                     |                     |                       |               |
| 21 01 03 02                         | Buildings and related expenditure<br>— Union delegations                                                                | 5.2 | 16 710 758          | 16 899 666          | 16 311 170,55         | 97,61         |
|                                     | <i>Article 21 01 03 — Subtotal</i>                                                                                      |     | 21 103 134          | 21 156 813          | 21 449 937,70         | 101,64        |
| <b>21 01 04</b>                     | <b>Support expenditure for operations and programmes in the ‘International cooperation and development’ policy area</b> |     |                     |                     |                       |               |
| 21 01 04 01                         | Support expenditure for the Development Cooperation Instrument (DCI)                                                    | 4   | 86 064 960          | 84 993 151          | 84 533 774,07         | 98,22         |
| 21 01 04 03                         | Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR)                                  | 4   | 10 337 861          | 10 108 836          | 9 899 022,56          | 95,76         |
| 21 01 04 04                         | Support expenditure for the Instrument contributing to Stability and Peace (IcSP)                                       | 4   | 2 300 000           | 2 200 000           | 2 206 696,58          | 95,94         |
| 21 01 04 05                         | Support expenditure for the Instrument for Nuclear Safety Cooperation (INSC)                                            | 4   | 1 461 337           | 1 446 869           | 1 431 889,—           | 97,98         |
| 21 01 04 06                         | Support expenditure for the European Union-Greenland partnership                                                        | 4   | 285 000             | 254 000             | 236 746,47            | 83,07         |
| 21 01 04 07                         | Support expenditure for the European Development Fund (EDF)                                                             | 4   | p.m.                | p.m.                | 110 322 763,37        |               |
| 21 01 04 08                         | Support expenditure for trust funds managed by the Commission                                                           | 4   | p.m.                | p.m.                | 4 190 672,84          |               |
|                                     | <i>Article 21 01 04 — Subtotal</i>                                                                                      |     | 100 449 158         | 99 002 856          | 212 821 564,89        | 211,87        |
| <b>21 01 06</b>                     | <b>Executive agencies</b>                                                                                               |     |                     |                     |                       |               |
| 21 01 06 01                         | Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)      | 4   | 2 472 000           | 2 650 000           | 2 314 080,—           | 93,61         |
|                                     | <i>Article 21 01 06 — Subtotal</i>                                                                                      |     | 2 472 000           | 2 650 000           | 2 314 080,—           | 93,61         |
|                                     | <b>Chapter 21 01 — Total</b>                                                                                            |     | <b>286 222 682</b>  | <b>285 334 676</b>  | <b>396 595 056,11</b> | <b>138,56</b> |

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)**21 01 01 Expenditure related to officials and temporary staff in the 'International cooperation and development' policy area**

21 01 01 01 Expenditure related to officials and temporary staff — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 67 947 892          | 68 176 136          | 66 826 073,14 |

21 01 01 02 Expenditure related to officials and temporary staff — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 81 017 107          | 81 242 523          | 78 896 112,14 |

**21 01 02 External personnel and other management expenditure in support of the 'International cooperation and development' policy area**

21 01 02 01 External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 585 400           | 2 747 448           | 2 674 122,38 |

21 01 02 02 External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 775 723           | 2 467 391           | 3 140 763,03 |

21 01 02 11 Other management expenditure — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 330 495           | 4 355 427           | 4 523 279,96 |

21 01 02 12 Other management expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 541 773           | 3 536 082           | 3 949 122,87 |

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**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(cont'd)

**21 01 03 Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘International cooperation and development’ policy area**

21 01 03 01 Expenditure related to information and communication technology equipment and services

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 392 376           | 4 257 147           | 5 138 767,15 |

21 01 03 02 Buildings and related expenditure — Union delegations

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 16 710 758          | 16 899 666          | 16 311 170,55 |

**21 01 04 Support expenditure for operations and programmes in the ‘International cooperation and development’ policy area**

21 01 04 01 Support expenditure for the Development Cooperation Instrument (DCI)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 86 064 960          | 84 993 151          | 84 533 774,07 |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external personnel at headquarters is limited to EUR 7 549 714. This estimate is based on a provisional annual unit cost per man-year of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed under this item,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,

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CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)

## 21 01 04 (cont'd)

## 21 01 04 01 (cont'd)

- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 02.

## 21 01 04 03 Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 337 861          | 10 108 836          | 9 899 022,56 |

## Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 1 613 273. This estimate is based on a provisional annual unit cost per man-year, of which 95 % is accounted for by remuneration for the staff concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed under this item,



**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(cont'd)

**21 01 04** (cont'd)

21 01 04 03 (cont'd)

- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 04.

21 01 04 04 Support expenditure for the Instrument contributing to Stability and Peace (IcSP)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 300 000           | 2 200 000           | 2 206 696,58 |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,

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CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)

## 21 01 04 (cont'd)

## 21 01 04 04 (cont'd)

- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased out technical assistance offices, as well as the additional logistical and infrastructure cost, such as the cost of training, meetings, missions, information technology and telecommunications, and renting of accommodation directly resulting from the presence in delegations of the external personnel remunerated from the appropriations against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 05.

## 21 01 04 05 Support expenditure for the Instrument for Nuclear Safety Cooperation (INSC)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 461 337           | 1 446 869           | 1 431 889,—  |

## Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,

**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA**  
(cont'd)

**21 01 04** (cont'd)

21 01 04 05 (cont'd)

- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 968 300. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology and telecommunications relating to the external personnel financed under this item,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 06.

21 01 04 06 Support expenditure for the European Union-Greenland partnership

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 285 000             | 254 000             | 236 746,47   |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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## 21 01 04 (cont'd)

## 21 01 04 06 (cont'd)

This appropriation is intended to cover support expenditure under Article 21 07 01.

## 21 01 04 07 Support expenditure for the European Development Fund (EDF)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| p.m.                | p.m.                | 110 322 763,37 |

## Remarks

This appropriation is intended to cover administrative support expenditure as decided under the European Development Funds.

Any revenue from the European Development Fund contributing to the cost of support measures entered in Article 6 3 2 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 95 000 000.

## Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(2) thereof.

## 21 01 04 08 Support expenditure for trust funds managed by the Commission

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 4 190 672,84 |

## Remarks

This appropriation is intended to cover the management costs of the Commission, for a maximum of 5 % of the amounts pooled in the trust funds, from the years in which the contributions to each trust fund have started to be used as decided under the Article 187(7) of the Financial Regulation.

Any revenue from the Trust Funds contributing to the cost of support measures entered in Article 6 3 4 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21 of the Financial Regulation.

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**21 01 04** (cont'd)

21 01 04 08 (cont'd)

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(2) and 187(7) thereof.

**21 01 06** *Executive agencies*

21 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 472 000           | 2 650 000           | 2 314 080,—  |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the implementation of the international dimension of higher education of the 'Erasmus+' programme (Heading 4) entrusted to the Agency, under Chapter 21 02. The mandate of the Agency includes managing the outstanding actions from the 2007-2013 programming period for the Youth, Tempus and Erasmus Mundus programmes in which DCI beneficiaries are involved.

The Agency will also receive an annual contribution to be financed from Article 21 02 09 appropriations. This will allow the continuation of the programme Intra-ACP Mobility aiming to facilitate the student mobility in Africa, which was financed until 2013 through the 10th European Development Fund.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The establishment plan of the Agency is set out in Annex 'Staff' to this Section.

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(*cont'd*)

**21 01 06** (*cont'd*)

21 01 06 01 (*cont'd*)

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture, comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the European Development Fund allocations.

The Africa-EU Strategic Partnership: A Joint Africa-EU Strategy, adopted at the Lisbon Summit, 8-9 December 2007.

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                               | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|----------------|----------------|--------------------------------|
|                                     |                                                                                                                                       |    | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                                |
| 21 02                               | DEVELOPMENT<br>COOPERATION<br>INSTRUMENT (DCI)                                                                                        |    |                     |             |                     |             |                |                |                                |
| <b>21 02 01</b>                     | <b>Cooperation with<br/>Latin America</b>                                                                                             | 4  | 348 496 260         | 233 097 181 | 333 350 242         | 245 571 576 | 348 535 583,—  | 131 567 107,95 | 56,44                          |
| <b>21 02 02</b>                     | <b>Cooperation with Asia</b>                                                                                                          | 4  | 809 848 013         | 441 268 119 | 771 719 915         | 310 000 000 | 674 729 559,40 | 218 296 298,57 | 49,47                          |
| <b>21 02 03</b>                     | <b>Cooperation with<br/>Central Asia</b>                                                                                              | 4  | 151 513 771         | 72 970 655  | 128 810 250         | 56 000 000  | 85 030 000,—   | 53 612 816,32  | 73,47                          |
| <b>21 02 04</b>                     | <b>Cooperation with the<br/>Middle East</b>                                                                                           | 4  | 83 196 862          | 39 512 058  | 66 497 174          | 25 000 000  | 66 504 914,—   | 28 277 103,—   | 71,57                          |
| <b>21 02 05</b>                     | <b>Cooperation with<br/>Afghanistan</b>                                                                                               | 4  | 199 417 199         | 143 024 026 | 238 677 685         | 90 000 000  | 234 915 513,82 | 81 827 074,28  | 57,21                          |
| <b>21 02 06</b>                     | <b>Cooperation with<br/>South Africa</b>                                                                                              | 4  | 20 000 000          | 26 686 637  | 59 770 001          | 16 446 000  | 64 245 800,—   | 7 549 794,70   | 28,29                          |
| <b>21 02 07</b>                     | <b>Global public goods<br/>and challenges and<br/>poverty reduction,<br/>sustainable devel-<br/>opment and democracy</b>              |    |                     |             |                     |             |                |                |                                |
| 21 02 07 01                         | Environment and<br>climate change                                                                                                     | 4  | 202 400 645         | 138 520 000 | 178 927 294         | 95 000 000  | 175 668 413,67 | 86 950 620,83  | 62,77                          |
| 21 02 07 02                         | Sustainable energy                                                                                                                    | 4  | 89 955 842          | 65 000 000  | 78 523 242          | 63 100 000  | 86 191 411,64  | 37 000 000,—   | 56,92                          |
| 21 02 07 03                         | Human development                                                                                                                     | 4  | 205 874 058         | 179 400 000 | 153 741 313         | 138 000 000 | 163 633 821,—  | 135 466 549,78 | 75,51                          |
| 21 02 07 04                         | Food and nutrition<br>security and<br>sustainable agriculture                                                                         | 4  | 217 393 286         | 140 000 000 | 192 181 168         | 110 000 000 | 205 268 717,29 | 81 640 188,46  | 58,31                          |
| 21 02 07 05                         | Migration and asylum                                                                                                                  | 4  | 51 531 564          | 130 000 000 | 404 973 912         | 115 722 000 | 58 269 112,—   | 27 029 810,30  | 20,79                          |
|                                     | Article 21 02 07 —<br>Subtotal                                                                                                        |    | 767 155 395         | 652 920 000 | 1 008 346 929       | 521 822 000 | 689 031 475,60 | 368 087 169,37 | 56,38                          |
| <b>21 02 08</b>                     | <b>Financing initiatives<br/>in the area of devel-<br/>opment by or for civil<br/>society organisations<br/>and local authorities</b> |    |                     |             |                     |             |                |                |                                |
| 21 02 08 01                         | Civil society in devel-<br>opment                                                                                                     | 4  | 205 954 810         | 150 800 000 | 191 932 988         | 130 000 000 | 186 393 445,46 | 103 632 446,91 | 68,72                          |
| 21 02 08 02                         | Local authorities in<br>development                                                                                                   | 4  | 68 651 603          | 39 900 000  | 63 310 996          | 25 000 000  | 60 291 733,—   | 20 365 468,19  | 51,04                          |
|                                     | Article 21 02 08 —<br>Subtotal                                                                                                        |    | 274 606 413         | 190 700 000 | 255 243 984         | 155 000 000 | 246 685 178,46 | 123 997 915,10 | 65,02                          |

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| Title<br>Chapter<br>Article<br>Item | Heading                                                                                           | FF | Appropriations 2018 |             | Appropriations 2017 |               | Outturn 2016  |                 | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|---------------|---------------|-----------------|--------------------------------|
|                                     |                                                                                                   |    | Commitments         | Payments    | Commitments         | Payments      | Commitments   | Payments        |                                |
| 21 02 09                            | <b>Pan-African programme to support the Joint Africa-European Union Strategy</b>                  | 4  | 130 820 662         | 105 041 165 | 109 203 732         | 124 670 461   | 93 468 112,—  | 51 074 376,20   | 48,62                          |
| 21 02 20                            | <b>Erasmus+ — Contribution from the development cooperation instrument (DCI)</b>                  | 4  | 102 428 673         | 103 495 100 | 108 922 928         | 93 812 842    | 115 352 483,— | 86 867 447,24   | 83,93                          |
| 21 02 30                            | <b>Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies</b> | 4  | 344 000             | 340 000     | 372 000             | 372 000       | 0,—           | 0,—             | 0                              |
| 21 02 40                            | <b>Commodities agreements</b>                                                                     | 4  | 2 500 000           | 2 500 000   | 2 500 000           | 2 500 000     | 0,—           | 2 517 338,78    | 100,69                         |
| 21 02 51                            | <b>Completion of actions in the area of development cooperation (prior to 2014)</b>               |    |                     |             |                     |               |               |                 |                                |
| 21 02 51 01                         | Cooperation with third countries in the areas of migration and asylum                             | 4  | p.m.                | 10 000 000  | p.m.                | 16 000 000    | 0,—           | 17 740 974,26   | 177,41                         |
| 21 02 51 02                         | Cooperation with developing countries in Latin America                                            | 4  | p.m.                | 50 000 000  | p.m.                | 110 000 000   | 0,—           | 242 738 904,61  | 485,48                         |
| 21 02 51 03                         | Cooperation with developing countries in Asia, including Central Asia and the Middle East         | 4  | p.m.                | 337 765 334 | p.m.                | 392 352 245   | 432,45        | 619 044 704,91  | 183,28                         |
| 21 02 51 04                         | Food security                                                                                     | 4  | p.m.                | 55 000 000  | p.m.                | 80 000 000    | 617,67        | 122 716 489,37  | 223,12                         |
| 21 02 51 05                         | Non-state actors in development                                                                   | 4  | p.m.                | 35 000 000  | p.m.                | 65 000 000    | 0,—           | 115 629 698,23  | 330,37                         |
| 21 02 51 06                         | Environment and sustainable management of natural resources, including energy                     | 4  | p.m.                | 50 000 000  | p.m.                | 85 000 000    | 0,—           | 126 274 072,91  | 252,55                         |
| 21 02 51 07                         | Human and social development                                                                      | 4  | p.m.                | 14 350 250  | p.m.                | 34 000 000    | 651,33        | 56 427 832,86   | 393,22                         |
| 21 02 51 08                         | Geographical cooperation with Africa, Caribbean and Pacific states                                | 4  | p.m.                | 85 163 000  | p.m.                | 260 218 059   | 0,—           | 242 767 373,85  | 285,06                         |
|                                     | <i>Article 21 02 51 — Subtotal</i>                                                                |    | p.m.                | 637 278 584 | p.m.                | 1 042 570 304 | 1 701,45      | 1 543 340 051,— | 242,18                         |



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| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                      | FF | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------|----|---------------------|----------|---------------------|----------|--------------|-------------|-----------------------------|
|                                     |                                                                                                              |    | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |                             |
| <b>21 02 77</b>                     | <b><i>Pilot projects and preparatory actions</i></b>                                                         |    |                     |          |                     |          |              |             |                             |
| 21 02 77 01                         | Preparatory action — Cooperation with middle-income group countries in Latin America                         | 4  | p.m.                | p.m.     | p.m.                | 313 751  | 0,—          | 67 004,40   |                             |
| 21 02 77 02                         | Preparatory action — Business and scientific exchanges with India                                            | 4  | p.m.                | 892 157  | p.m.                | 700 161  | 0,—          | 0,—         | 0                           |
| 21 02 77 03                         | Preparatory action — Business and scientific exchanges with China                                            | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—         |                             |
| 21 02 77 04                         | Preparatory action — Cooperation with middle income group countries in Asia                                  | 4  | p.m.                | 150 000  | p.m.                | p.m.     | 0,—          | 63 154,87   | 42,10                       |
| 21 02 77 05                         | Preparatory action — European Union-Asia — Integration of policy and practice                                | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 94 879,44   |                             |
| 21 02 77 07                         | Preparatory action — Regional African CSO Network for Millennium Development Goal 5                          | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 299 174,12  |                             |
| 21 02 77 08                         | Preparatory action — Water management in developing countries                                                | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 748 147,49  |                             |
| 21 02 77 10                         | Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries         | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 2 625 334,— |                             |
| 21 02 77 11                         | Preparatory action — Research and development on poverty-related, tropical and neglected diseases            | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 156 721,—   |                             |
| 21 02 77 12                         | Pilot project — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC) | 4  | p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—         |                             |

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| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                             | FF | Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-----------|---------------------|-----------|--------------|--------------|--------------------------------|
|                                     |                                                                                                                                                     |    | Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |                                |
| 21 02 77                            | (cont'd)                                                                                                                                            |    |                     |           |                     |           |              |              |                                |
| 21 02 77 13                         | Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)                                   | 4  | p.m.                | 1 650 066 | p.m.                | p.m.      | 0,—          | 0,—          | 0                              |
| 21 02 77 14                         | Global Energy Efficiency and Renewable Energy Fund (GEEREF)                                                                                         | 4  | p.m.                | 500 000   | p.m.                | p.m.      | 0,—          | 0,—          | 0                              |
| 21 02 77 15                         | Pilot project — Strategic investment in sustainable peace and democratisation in the Horn of Africa                                                 | 4  | p.m.                | p.m.      | p.m.                | 1 081 125 | 0,—          | 1 026 471,81 |                                |
| 21 02 77 16                         | Pilot project — Strengthening veterinary services in developing countries                                                                           | 4  | p.m.                | 350 000   | p.m.                | 500 000   | 0,—          | 2 102 918,—  | 600,83                         |
| 21 02 77 17                         | Pilot project — Corporate social responsibility and access to voluntary family planning for factory workers in developing countries                 | 4  | p.m.                | p.m.      | p.m.                | p.m.      | 0,—          | 0,—          |                                |
| 21 02 77 18                         | Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia                                                | 4  | p.m.                | 950 000   | p.m.                | 995 658   | 0,—          | 0,—          | 0                              |
| 21 02 77 19                         | Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region                     | 4  | p.m.                | 387 828   | p.m.                | 749 738   | 100 000,—    | 2 411 434,—  | 621,78                         |
| 21 02 77 20                         | Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC | 4  | p.m.                | 372 604   | p.m.                | 490 000   | 150 000,—    | 914 790,50   | 245,51                         |
| 21 02 77 21                         | Preparatory action — Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa  | 4  | p.m.                | 494 423   | p.m.                | p.m.      | 0,—          | 0,—          | 0                              |

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| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                              | FF | Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |           | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-----------|---------------------|----------|--------------|-----------|--------------------------------|
|                                     |                                                                                                                                                                                                      |    | Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments  |                                |
| 21 02 77 22                         | Pilot project — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas                                                            | 4  | p.m.                | p.m.      | p.m.                | 500 000  | 0,—          | 0,—       |                                |
| 21 02 77 23                         | Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)                                                          | 4  | p.m.                | 268 870   | p.m.                | 200 000  | 0,—          | 321 130,— | 119,44                         |
| 21 02 77 24                         | Pilot project — Mapping the global threat posed by anti-microbial resistance                                                                                                                         | 4  | p.m.                | 1 275 000 | 750 000             | 675 000  | 750 000,—    | 0,—       | 0                              |
| 21 02 77 25                         | Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador                                          | 4  | p.m.                | 400 000   | 750 000             | 500 000  | 700 000,—    | 0,—       | 0                              |
| 21 02 77 26                         | Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan                                      | 4  | p.m.                | 500 000   | 1 000 000           | 500 000  | 0,—          | 0,—       | 0                              |
| 21 02 77 27                         | Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania                                                                                                   | 4  | p.m.                | 500 000   | 1 000 000           | 500 000  | 0,—          | 0,—       | 0                              |
| 21 02 77 28                         | Pilot project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development | 4  | p.m.                | 500 000   | 1 000 000           | 500 000  |              |           |                                |

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                        | FF | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|--------------------------------|
|                                     |                                                                                                                                                |    | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                                |
| 21 02 77 29                         | Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries                                     | 4  | p.m.                 | 500 000              | 1 000 000            | 500 000              |                         |                         |                                |
| 21 02 77 30                         | Preparatory action — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas | 4  | p.m.                 | p.m.                 | 1 500 000            | 500 000              |                         |                         |                                |
| 21 02 77 31                         | Pilot project — Santé pour tous — Health for All — A joint project carried out by Aimes-Afrique (Togo) and Aktion PiT-Togohilfe e.V.           | 4  | 1 200 000            | 850 000              | 500 000              | 250 000              |                         |                         |                                |
| 21 02 77 32                         | Preparatory action — Young European volunteers for development                                                                                 | 4  | 1 000 000            | 500 000              |                      |                      |                         |                         |                                |
| 21 02 77 33                         | Pilot project — Fostering transparency and impact assessment for local authorities in Guatemala                                                | 4  | 450 000              | 225 000              |                      |                      |                         |                         |                                |
| 21 02 77 34                         | Pilot project — Trees for Africa                                                                                                               | 4  | 600 000              | 300 000              |                      |                      |                         |                         |                                |
|                                     | <i>Article 21 02 77 — Subtotal</i>                                                                                                             |    | 3 250 000            | 11 565 948           | 7 500 000            | 9 455 433            | 1 700 000,—             | 10 831 159,63           | 93,65                          |
|                                     | <b>Chapter 21 02 — Total</b>                                                                                                                   |    | <b>2 893 577 248</b> | <b>2 660 399 473</b> | <b>3 090 914 840</b> | <b>2 693 220 616</b> | <b>2 620 200 320,73</b> | <b>2 707 845 652,14</b> | <b>101,78</b>                  |

*Remarks*

The primary objective of the Union's development policy is poverty reduction, as laid down in the Treaty. The European Consensus on Development provides the general policy framework, guidance and the focus to direct implementation of Regulation (EU) No 233/2014.

These appropriations are intended to cover activities concerning the pursuit of poverty reduction, sustainable economic, social and environmental development and consolidating and supporting democracy, the rule of law, good governance, human rights and the relevant principles of international law, including those reflected in the Millennium Development Goals and in the emerging post-2015 international development framework. Synergies with other Union external instruments will be sought where appropriate, without any loss of focus on the above basic objectives.

In principle, 100 % of expenditure in the geographic programmes and at least 95 % of expenditure in the thematic programmes and 90 % of expenditure in the pan-African programme must conform to the OECD/DAC criteria for Official Development Assistance.

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As a general rule, at least 20 % of appropriations should be used for basic social services and secondary education. Moreover, the DCI should contribute to the objective of addressing at least 20 % of the Union budget to a low carbon and climate-resilient society.

**21 02 01 Cooperation with Latin America**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 348 496 260         | 233 097 181 | 333 350 242         | 245 571 576 | 348 535 583,— | 131 567 107,95 |

*Remarks*

The purpose of development cooperation under this article is primarily its contribution to support the promotion of democracy, good governance, equality, respect for human rights and for the rule of law, and fostering sustainable development and economic integration, as well as to achieving the 2030 Agenda for Sustainable Development.

The Commission must continue to report annually on the benchmark used in the past for the assistance to developing countries that is to be allocated to social infrastructure and services, recognising that the Union contribution must be seen as part of overall donor support for the social sectors and that a degree of flexibility must be the norm. Moreover, the Commission will endeavour to ensure that a benchmark of 20 % of its assistance under the DCI will be dedicated to basic social services, with a focus on health and education, and to secondary education, this being an average across all geographical areas, and recognising that here too a degree of flexibility must be the norm, for example where exceptional assistance is involved. This appropriation is intended to cover cooperation schemes in developing countries, territories and regions in Latin America in order to:

- contribute to the achievement of the 2030 Agenda for Sustainable Development in the region,
- support trade union, non-governmental organisations and local initiatives to monitor the impact to the investments on the national economy, particularly respect for labour, environmental, social and human rights standards,
- support gender equality, through supporting actions to combat harmful traditional practices such as child marriage, and women empowerment,
- foster the development of civil society,
- combat poverty and social exclusion and promote social cohesion,
- contribute to improve social standards with focus on education, including education and vocational training for employment, and health, and to the improvement of social protection schemes,
- promote a more favourable climate for economic expansion and enhanced productive sector, encourage the transfer of know-how, promote contact and collaboration between business players bi-regionally,
- promote private sector development, incl. a SME-friendly business climate via i.e. legal property rights, reducing unnecessary administrative burden, improving access to credit, improving associations of small and medium-sized enterprises,

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- support efforts towards food security and combat malnutrition,
- support regional integration; in Central America, foster the region's development via enhanced benefits derived from the EU-Central America association agreement,
- promote the sustainable use of natural resources, including water, and the combating of climate change (mitigation and adaptation),
- support efforts for improving good governance and help consolidate democracy, human rights and the rule of law,
- promote policy reform particularly in the area of justice and security and support related actions to enhance development of countries and regions.

Where assistance is delivered through budget support, the Commission will support efforts of partner countries to develop parliamentary oversight and audit capacities and transparency.

Appropriations under this article are subject to evaluations that will include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations will be used in the formulation of subsequent measures financed with these appropriations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 02 **Cooperation with Asia**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 809 848 013         | 441 268 119 | 771 719 915         | 310 000 000 | 674 729 559,40 | 218 296 298,57 |

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This appropriation is intended to cover the financing of development schemes in Asian developing countries, in particular in countries where the poorest and most fragile live, aimed at improving human and social development as well as tackling macroeconomic and sectoral problems. Emphasis is placed on irregular migration, economic and social governance and development, improving the human rights situation, democratisation, gender equality, youth, education, vocational training, lifelong learning, academic and cultural exchange, scientific and technological exchange, the environment, regional cooperation, trade, disaster prevention and reconstruction measures, as well as the promotion of sustainable energy and information and communications technology.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, ethnic minorities and people with disabilities.

Utilisation of this appropriation is dependent on observance of the principles underpinning Union action. Appropriate consideration will be given to the areas described below, reflecting jointly-agreed strategies, partnerships, cooperation and trade agreements. Priorities will be established in accordance with President Juncker's policy priorities, the Sustainable Development Goals, building on the European Consensus on Development, the Global Strategy for the European Union's Foreign and Security Policy and the subsequent conclusions of the Council.

This appropriation is intended to include actions related to inclusive and sustainable growth for human development. Sectors that may be addressed include:

- migration,
- gender equality and youth,
- social protection and jobs, and universal access to health and education,
- business environment, regional integration and world markets,
- sustainable agriculture, nutrition and energy,
- climate change and environment,
- encouraging social cohesion, in particular social inclusion, fair income distribution, decent work and equity,
- establishing inclusive partnerships around trade, investment, aid, migration, civil registration, research, innovation and technology,
- supporting an active and organised civil society for development and fostering public private partnerships,

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21 02 02 (*cont'd*)

- supporting climate change mitigation and adaptation, the promotion of sustainable consumption and production as well as investments in clean technologies, sustainable energies, transport, sustainable agriculture and fisheries, the protection of and enhancement of biodiversity and ecosystem services, including water, sanitation and forests, and decent job including creation for young people and women, in the green economy,
- encouraging greater regional integration and cooperation in a result-oriented way through support to different processes of regional integration and dialogue,
- contributing to preventing and responding to health risks, including those originating at the interface between animals, humans and their various environments,
- supporting disaster preparedness and post-disaster long-term recovery, including in the field of food and nutrition security and assistance to uprooted people,
- strengthening the capacity to provide universal access to basic social services particularly in health and education.

This appropriation will address actions related to:

- democracy, human rights including children's and women's rights and the rule of law,
- gender equality and the empowerment of women and girls,
- public sector management,
- tax policy and administration,
- corruption and transparency,
- civil society and local authorities,
- building and strengthening legitimate, effective and accountable public institutions and bodies, through promotion of institutional reforms (including on good governance and anti-corruption, public financial management, taxation and public administration reform) and legislative, administrative and regulatory reforms in line with international standards, in particular in fragile States and countries in conflict and post-conflict situations,
- in the context of the security and development nexus, fighting against corruption and organised crime, production, consumption and trafficking of drugs and against other forms of trafficking, and supporting efficient border management and cross-border cooperation and improving civil registration.

The purpose of development cooperation under this heading is its contribution to achieving the Sustainable Development Goals without losing sight of the unfinished Millennium Development Goals as well as the promotion of democracy, good governance, respect for human rights and for the rule of law, fostering sustainable development and economic integration and promoting conflict prevention, conflict resolution and reconciliation.



**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 02** (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 03** ***Cooperation with Central Asia****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 151 513 771         | 72 970 655 | 128 810 250         | 56 000 000 | 85 030 000,— | 53 612 816,32 |

*Remarks*

This appropriation is intended to cover help to eradicate poverty and contribute to the creation of conditions for sustainable and inclusive economic and social development, social cohesion, democratisation and the improvement of people's lives. Appropriate consideration will be given to the areas described below, reflecting jointly-agreed strategies, partnerships, cooperation and trade agreements. Priorities will be established in accordance with President Juncker's policy priorities, the Sustainable Development Goals, building on the European Consensus on Development, the Global Strategy for the European Union's Foreign and Security Policy and the subsequent conclusions of the Council.

The bilateral programmes will focus on promoting sustainable growth, integrated rural development, income-generating opportunities and jobs creation in rural areas, and fostering food security; reforms in the area of rule of law, promoting democratisation and human rights, transparency and anti-corruption measures, and supporting public finance management; the establishment of an effective system of education focused on quality secondary and vocational education matching job market needs; support to the health sector through improved access to equitable and quality health services and support to economic investments.

Regional programmes aim at supporting a broad-based process of dialogue and collaboration between Central Asian countries, notably in areas sensitive for overall security and stability.

The programmes will be implemented with a steady focus on those most in need. Cross-cutting issues, such as environment and climate change, disaster risk reduction, local governance, anti-corruption, human rights, gender equality, and youth will be mainstreamed in all Union programmes.

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When and where governance reform efforts of a meaningful nature and genuine democratisation processes exist, providing support for them will be a priority. Similarly, allocations to border management and anti-drugs programmes will depend on the outlook for achieving significant results. Cooperation with civil society will be a major element of cooperation. Increasingly, collaboration with Member States' agencies to implement these programmes will be considered as well as blending with resources from international financing institutions.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 04 **Cooperation with the Middle East***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 83 196 862          | 39 512 058 | 66 497 174          | 25 000 000 | 66 504 914,— | 28 277 103,— |

*Remarks*

Appropriate consideration will be given to the areas described below, reflecting jointly-agreed strategies, partnerships, cooperation and trade agreements. Priorities will be established in accordance with President Juncker's policy priorities, the Sustainable Development Goals, building on the European Consensus on Development, the Global Strategy for the European Union's Foreign and Security Policy and the subsequent conclusions of the Council.

Emphasis must be placed on operations influencing economic organisation and institutional development, improving the human rights situation, including freedom of expression, freedom of assembly, freedom of the press and media, freedom of religion and belief, including the right to relinquish beliefs, and promoting and protecting digital freedoms, the strengthening of civil society, including operations concerning democratisation, universal access for children of both sexes and women as well as children with disabilities to primary and secondary education, strengthening health systems, in particular with a view to eradicating polio following the latest outbreaks in Syria, the environment, and the sustainable management of natural resources, including tropical forests, regional cooperation, disaster prevention and risk reduction, including climate-change-related hazards, and reconstruction measures, as well as the promotion of sustainable energy, the fight against climate change and the promotion of digital freedoms in connection with internet and information and communication technology use.

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 04 (*cont'd*)

This appropriation is also intended to cover measures promoting conflict prevention, conflict resolution and reconciliation.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, LGBTI people, ethnic and religious minorities, atheists and people with disabilities.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

This appropriation may include actions related to:

- democracy, human rights and the rule of law,
- strengthening the capacity to provide universal access to basic social services particularly in health and education,
- encouraging greater regional integration and cooperation in a result-oriented way through support to different processes of regional integration and dialogue,
- gender equality and the empowerment of women and girls,
- public sector management,
- tax policy and administration,
- corruption and transparency,
- civil society and local authorities,
- security and development nexus,
- support for microcredit schemes,
- capacity building to assist agricultural producers in developing countries to meet Union hygiene and phytosanitary standards necessary for access to the Union market,
- support for refugees and displaced populations,

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 04 (cont'd)

— promoting social development, social cohesion and fair income distribution.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 05 **Cooperation with Afghanistan**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016   |               |
|---------------------|-------------|---------------------|------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments    | Payments      |
| 199 417 199         | 143 024 026 | 238 677 685         | 90 000 000 | 234 915 513,82 | 81 827 074,28 |

*Remarks*

This appropriation is intended to cover Union operations in the framework of the Afghanistan reconstruction process.

Appropriate consideration will be given to the areas described below, reflecting jointly-agreed strategies, partnerships, cooperation and trade agreements. Priorities will be established in accordance with President Juncker's policy priorities, the Sustainable Development Goals, building on the European Consensus on Development, the Global Strategy for the European Union's Foreign and Security Policy and the subsequent conclusions of the Council.

This appropriation is intended to support basic social services and economic development in Afghanistan.

This appropriation is also intended to support the Afghanistan national drug control strategy, including halting the production of opium in Afghanistan, and the disruption and destruction of the opium networks and illegal export routes to European countries.

This appropriation is also intended to support the Union contribution to the processes for the return of Afghan refugees and displaced persons to their country and regions of origin in accordance with the commitments entered into by the European Community at the Tokyo Conference in January 2002.

This appropriation is also intended to finance activities of women's organisations which have worked for a long time for Afghan women's rights.

The Union should increase its financial assistance in Afghanistan for areas such as health (construction and renovation of hospitals, prevention of child mortality, strengthening of health systems, eradicating polio in what is one of the last 'endemic' countries) and small and medium-sized infrastructure projects (repairing of road network, embankments, etc.), as well as effectively implementing job security and food security schemes.

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 05** (cont'd)

Part of this appropriation will be used for the protection of human rights, including freedom of religion and belief.

Part of this appropriation is intended to be used for mainstreaming of disaster risk reduction, based on ownership and national strategies of disaster-prone countries.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making.

Particular attention will also be paid to the situation of women and girls in all other actions and projects supported by this appropriation.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 06** *Cooperation with South Africa*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 20 000 000          | 26 686 637 | 59 770 001          | 16 446 000 | 64 245 800,— | 7 549 794,70 |

*Remarks*

This appropriation is intended to cover assistance to the Government of South Africa in reducing unemployment, in transforming the education, training and innovation system so that it can contribute to improved economic performance of the country (60 % of learners drop out before taking their end of school exam, and only 12 % of learners receive a qualification for entry to university), and to assist South Africa in fulfilling its developmental and transformative role, including improving service delivery.

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South Africa's growth trajectory has not absorbed labour at the required scale, and the lack of access to the labour market and to wage income has hampered efforts to reduce poverty, unemployment and inequality. The unemployment rate stands at approximately 27 % of the overall labour force, and at more than 50 % for the youth (15-24 years old). There were also 14 million people not in education employment or training (NEETs), which represents 39 % of the working age population. South Africa's mismatch between the demand for semi-skilled or skilled labour and the oversupply of unskilled or low-skilled workers is a key factor in the high unemployment. Moreover, lack of coordination in the planning and implementation of the South African National System of Innovation among various state authorities and the insufficient level of linkage between academia and research on the one hand and industry on the other are main impediments that prevent South Africa from addressing poverty reduction and inclusive growth. Inclusive growth is also hampered by dysfunctionality at local government level and municipalities' inability to assure stable and quality basic services, which are not a conducive environment for local economic development and job creation.

Part of this appropriation is expected to contribute to: (a) promoting employability in South Africa through improving relevant education opportunities, as well as employment and matching opportunities through enhancing skills development and placement assistance; (b) increasing access to technical and vocational education and training as well as its quality and relevance for the labour market; and (c) strengthening work integrated learning.

Part of this appropriation will be used to build on lessons learnt from previous experiences to extract greater social and economic benefits from science, technology and innovation for all South Africans.

Part of this appropriation is also expected to contribute to improving local authorities' capacity to provide access to essential services and thereby to address poverty and inequality through enhanced public finance management, governance, public participation and innovation. The support could focus on the use of innovation as a tool to enhance capacity to deliver basic services.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 07 ***Global public goods and challenges and poverty reduction, sustainable development and democracy****Remarks*

This programme is intended to benefit primarily the poorest and least developed countries and the most disadvantaged sections of the population in countries covered by Regulation (EU) No 233/2014.

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 07 (cont'd)

This appropriation is intended to cover poverty reduction and fostering sustainable development as a component under the 'Global Public Goods and Challenges' programme. The objective of the programme is to support inclusive sustainable development by addressing the main global public goods and challenges in a flexible and cross-cutting manner. The main areas of action include environment and climate change, sustainable energy, human development (including health, education, gender, equality, identity, employment, skills, social protection, social inclusion, and economic-development-related aspects such as growth, jobs, trade and private-sector engagement), food and nutrition security, sustainable agriculture, and migration and asylum. This thematic programme will also enable a swift response to be made to unforeseen events and global crises affecting the poorest populations. By promoting synergies across the various sectors, the 'Global Public Goods and Challenges' programme will reduce fragmentation of Union development cooperation and increase coherence and complementarity with other Union programmes and instruments. At least 50 % of the funds, prior to the use of the markers based on OECD methodology (Rio markers), should serve for climate action and environment-related objectives.

## 21 02 07 01 Environment and climate change

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016   |               |
|---------------------|-------------|---------------------|------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments    | Payments      |
| 202 400 645         | 138 520 000 | 178 927 294         | 95 000 000 | 175 668 413,67 | 86 950 620,83 |

## Remarks

This appropriation is intended to provide financial support to actions under the 'Environment and climate change subtheme', of the 'Global Public Goods and Challenges' programme.

It will be used in particular to finance initiatives in the following areas: climate change adaptation and mitigation and transition to climate resilient low-carbon societies in particular by supporting the implementation of the nationally determined contributions to climate action prepared by all Parties to the United Nations Framework Convention on Climate Change in view of the Paris Agreement; protection, enhancement and sustainable management of natural capital (e.g. biodiversity, ecosystem services, forests, land, water resources); transformation towards an inclusive green economy; integration of environment, climate change and disaster risk reduction in the Union's development cooperation programmes; international governance of environment and climate. Attention will be paid to relevant governance issues and support be given to the pursuit of relevant global targets, such as sustainability goals set within a post-2015 development framework. This appropriation will also be used to encourage public-private partnerships to fight climate change and support green energy projects in the infrastructure, telecommunications and other sectors.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

## Legal basis

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 07 (cont'd)

## 21 02 07 02 Sustainable energy

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 89 955 842          | 65 000 000 | 78 523 242          | 63 100 000 | 86 191 411,64 | 37 000 000,— |

## Remarks

This appropriation is intended to cover provision of financial support to actions under the 'Sustainable energy subtheme' of the 'Global Public Goods and Challenges' programme.

It will be used for promoting access to reliable, secure, affordable, climate-friendly and sustainable energy services as a key driver for poverty eradication and inclusive growth and development with a special emphasis on the use of local and regional renewable energy sources and on ensuring access for poor people in remote regions. Investments and public-private partnerships to improve energy efficiency in generation, transmission, distribution and smart use of energy including through supporting implementation of innovative projects in poor urban and semi-urban communities will be also supported. Likewise, actions for building strategic alliances to achieve sustainable energy goals through facilitating dialogue and coordination with key players and other donors are envisaged.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

## Legal basis

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

## 21 02 07 03 Human development

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 205 874 058         | 179 400 000 | 153 741 313         | 138 000 000 | 163 633 821,— | 135 466 549,78 |



**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 07** (cont'd)

21 02 07 03 (cont'd)

*Remarks*

This appropriation is intended to provide financial support to actions in developing countries under the 'Human development subtheme', covering health, education, culture, gender issues and other aspects of human development, of the 'Global Public Goods and Challenges' programme. It should primarily benefit the poorest sections of the populations in the countries it covers.

The health component will support the implementation of Sustainable Development Goal 3 (Ensure healthy lives and promote well-being for all at all ages), namely universal access to good quality essential health services, child and maternal health, sexual and reproductive health and rights, access to family planning, eradicating polio, protection against and treatment of HIV/AIDS, tuberculosis, malaria and other poverty-related and neglected diseases, and access to psychological support for victims of violence.

Equal access and quality of education will be supported as part of the implementation of Sustainable Development Goal 4 (Ensure inclusive and equitable quality education and promote lifelong learning opportunity for all), including for migrants, women and girls, and people from countries suffering from protracted crises, with an emphasis on countries furthest from achieving global targets.

Following the Commission's Joint Communication to the European Parliament and the Council 'Towards an EU strategy on international cultural relations' (JOIN(2016) 29 final), culture will be supported as engine for development and catalyst for social inclusion, societal resilience and pluralism, peaceful coexistence and mutual respect.

Gender equality issues will be supported to back achievement of Sustainable Development Goal 5 (Achieve gender equality and empower all women and girls).

Measures aimed to help governments to enhance mobilisation and effective use of domestic revenue for sustainable human development will also be supported.

In relation to gender equality, programmes to promote women's and girls' economic and social empowerment will be supported. Addressing sexual and gender-based violence and supporting victims will also be priorities. Helping to eradicate gender-biased sex selection practices will also be among the objectives.

The appropriation can also be used for activities in support of children and youth, especially those to ensure full enjoyment of rights and to empower youth more generally, focusing on young girls in particular; health and education; non-discrimination; employment, skills, social protection and social inclusion; growth, jobs and private sector engagement and culture.

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 07 (cont'd)

21 02 07 03 (cont'd)

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

Where assistance is delivered via budget support, the Commission will support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 07 04 Food and nutrition security and sustainable agriculture

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 217 393 286         | 140 000 000 | 192 181 168         | 110 000 000 | 205 268 717,29 | 81 640 188,46 |

*Remarks*

This appropriation is intended to provide financial support to actions under the 'Food and nutrition security and sustainable agriculture subtheme', of the 'Global Public Goods and Challenges' programme.

In the area of food and nutrition security and sustainable agriculture, the overall objective is to improve food security for the poorest and more vulnerable, to help eradicate poverty and hunger for current and future generations and to better address under-nutrition thereby reducing child mortality. This objective will be pursued in line with the Union policy will focus on enhancing the incomes of smallholder farmers, the resilience of vulnerable communities and on helping partner countries reducing the number of stunted children by 7 million by 2025. As food security is a global challenge, the 'Global Public Goods and Challenges' programme will focus on activities and approaches to address global public goods and challenges that provide strong multiplier to the agricultural, livestock and fisheries sector, the food and nutrition security situation of households, the rural economy and food systems, and the resilience of the most vulnerable households to shocks and stresses. This will complement and add value to the support provided through geographical programmes.

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 07 (cont'd)

21 02 07 04 (cont'd)

The following three components are included in the theme:

- *Component 1: Generating and exchanging knowledge and fostering innovation* that will generate and apply new knowledge to the challenges of food and nutrition security mainly at international and continental levels. This component will work with existing global and regional initiatives, but also envisages new partnerships that are needed to ensure that knowledge generated by research is used by beneficiaries to improve their income and livelihoods,
- *Component 2: Strengthening and promoting governance and capacity at the global, continental, regional and national levels, for all relevant stakeholders.* This component will support international initiatives addressing food and nutrition security, including land, sustainable fisheries, and improve the effectiveness of dialogue on food and nutrition security issues. It will also foster a coordinated international effort to generate reliable, accessible and timely information and analytical capacity to support evidence-based policymaking and to strengthen and/or set up sustainable regional and national information systems for food security (ISFS) institutions. This component may also support capacity development initiatives of stakeholders such as civil society organisations, farmers' organisations and other inter-professional groups along the value chain,
- *Component 3: Supporting the poor and nutrition-insecure to react to crises and strengthen resilience.* This component will provide support to countries where there will not be bilateral programmes under geographical programming, to countries which suffer from the consequences from a major unforeseen crisis and/or shock, including natural and man-made disasters, epidemics and major food and nutrition crises in fragile and food crisis prone countries, this component will also support innovative approaches to strengthen prevention and to build resilience. Where relevant, interventions will be also designed in order to enhance synergies and complementarities between humanitarian and development interventions. Joint analysis of the situation performed by humanitarian and development stakeholders will be encouraged.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 07 05 Migration and asylum

*Figures (Differentiated appropriations)*

| Appropriations 2018 |              | Appropriations 2017 |             | Outturn 2016 |               |
|---------------------|--------------|---------------------|-------------|--------------|---------------|
| Commitments         | Payments     | Commitments         | Payments    | Commitments  | Payments      |
| 51 531 564          | 1 30 000 000 | 404 973 912         | 115 722 000 | 58 269 112,— | 27 029 810,30 |

*Remarks*

This appropriation is intended to cover implementation of initiatives under the 'migration and asylum' thematic area of the 'Global Public Goods and Challenges' programme with the aim of enhancing the governance and maximising the development impact of migration and mobility.

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 07 (cont'd)

21 02 07 05 (cont'd)

In particular, the 'Global Public Goods and Challenges' programme in the area of migration and asylum aims to enhance the governance of migration in and by developing countries, placing particular attention on maximising the positive impact and minimising the negative impact of migration and mobility on development in low- and middle-income countries of origin and destination. In this sense, the programme will support the implementation of the migration related Sustainable Development Goals, including in particular target 10.7 on facilitating orderly, safe regular and responsible migration and mobility. Initiatives launched will contribute to the implementation of Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 13 May 2015 entitled 'A European Agenda on Migration' (COM(2015) 240 final), including notably the priorities set out in Communication from the Commission to the European Parliament, the European Council, the Council and the European Investment Bank of 7 June 2016 on establishing a new Partnership Framework with third countries under the European Agenda on Migration (COM(2016) 385 final). Protection and promotion of the human rights of migrants, including access to services such as health, and support for the Union commitments to ensure policy coherence for development on migration will be pursued as cross-cutting objectives. Activities funded under this budget line must be in line with the primary objective of the Union's development policy, which is poverty reduction.

The programme will focus on initiatives at global level as well as multi-regional level (e.g. to support cooperation along south-south or south-north migratory routes). A limited number of national projects to support new cooperation activities with priority countries for the Union's external migration policy may also be launched.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 08 Financing initiatives in the area of development by or for civil society organisations and local authorities***Remarks*

This appropriation is intended to support development initiatives in developing countries carried out by or for civil society organisations and local authorities originating in the Union and partner countries, as well as to strengthen their capacity to contribute to the policymaking process and to ensure accountability and transparency.

Particular attention will be paid to supporting civil society and local authorities and to promoting dialogue, an enabling environment for citizens' participation, with due regard for the gender perspective and women's empowerment, reconciliation and institution-building, including at local and regional level.

21 02 08 01 Civil society in development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 205 954 810         | 150 800 000 | 191 932 988         | 130 000 000 | 186 393 445,46 | 103 632 446,91 |

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 08** (cont'd)

21 02 08 01 (cont'd)

*Remarks*

This appropriation is intended to cover support for initiatives to strengthen civil society organisations in partner countries and in the Union and beneficiaries eligible under Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11) when referring to development education and awareness raising (DEAR) activities. The initiatives to be financed will be primarily carried out by civil society organisations. Where appropriate, in order to ensure their effectiveness, initiatives may be carried out by other actors for the benefit of the civil society organisations, so as to contribute to:

- an inclusive and empowered society, including from a gender equality perspective, in partner countries through strengthened civil society organisations,
- an increased capacity of European and southern civil society networks, platforms and alliances to ensure a substantive and continued policy dialogue in the field of development and to promote democratic governance and empowerment of women,
- an increased level of awareness of Union citizens regarding development issues and mobilising active public support for poverty reduction and sustainable development strategies in partner countries.

Possible activities to be supported by this programme:

- interventions in partner countries which support vulnerable and marginalised groups by providing basic services delivered through civil society organisations,
- capacity development of the targeted actors complementary to support granted in the framework of the national programme, actions aiming at:
  - creating enabling environment for citizen participation and civil society action and the capacity of civil society organisations to participate effectively in policy formulation and in the monitoring of policy implementation processes,
  - facilitating an improved dialogue and better interaction between civil society organisations, local authorities, the State and other development actors in the context of development,
- coordination, capacity development and institutional strengthening of civil society networks, within their organisations and between different types of stakeholders active in the European public debate on development as well as coordination, capacity development and institutional strengthening of southern networks of civil society organisations and umbrella organisations,
- raising public awareness of development issues, empowering people to become active and responsible citizens and promoting formal and informal education for development in the Union and in candidate countries and potential candidates, to anchor development policy in European societies, to mobilise greater public support for action against poverty and for more equitable relations between developed and developing countries, to raise awareness of the issues and difficulties facing developing countries and their peoples, and to promote the right to a process of development in which all human rights and fundamental freedoms can be fully realised and the social dimension of globalisation.

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 08 (cont'd)

21 02 08 01 (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 08 02 Local authorities in development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 68 651 603          | 39 900 000 | 63 310 996          | 25 000 000 | 60 291 733,— | 20 365 468,19 |

*Remarks*

This appropriation is intended to cover support to initiatives to strengthen local authorities in partner countries and in the Union and beneficiaries eligible under Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11) when referring to development education and awareness raising (DEAR) activities. The initiatives to be financed will be primarily carried out by local authorities or their associations. Where appropriate, in order to ensure their effectiveness, initiatives may be carried out by other actors for the benefit of the local authorities, so as to contribute to:

- an inclusive and empowered society in partner countries through strengthened local authorities,
- an increased capacity of European and Southern local authority networks, regional and global associations, platforms and alliances to ensure a substantive and continued policy dialogue in the field of development and to promote democratic governance,
- an increased level of awareness of Union citizens regarding development issues and mobilising active public support in the Union, candidate countries and potential candidates for poverty reduction and sustainable development strategies in partner countries.

Possible activities to be supported by this programme:

- interventions in partner countries which support vulnerable and marginalised groups by providing basic services delivered through local authorities,

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 08** (cont'd)

21 02 08 02 (cont'd)

- capacity development of the targeted actors complementary to support granted in the framework of the national programme, actions aiming at:
  - creating enabling environment for citizen participation and action and the capacity of local authorities to participate effectively in policy formulation and in the monitoring of policy implementation processes,
  - facilitating an improved dialogue and better interaction between local authorities, civil society organisations, the State and other development actors in the context of development,
  - strengthening the capacity of local authorities to participate effectively in the development process acknowledging their particular role and specificities,
- coordination, capacity development and institutional strengthening of local authority networks, within their organisations and between different types of stakeholders active in the European public debate on development as well as coordination, capacity development and institutional strengthening of southern networks of local authorities and umbrella organisations,
- raising public awareness of development issues, empowering people to become active and responsible citizens and promoting formal and informal education for development in the Union, in candidate countries and potential candidates, to anchor development policy in European societies, to mobilise greater public support for action against poverty and for more equitable relations between developed and developing countries, to raise awareness of the issues and difficulties facing developing countries and their peoples, and to promote the right to a process of development in which all human rights and fundamental freedoms can be fully realised and the social dimension of globalisation.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 09 Pan-African programme to support the Joint Africa-European Union Strategy***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |               |
|---------------------|-------------|---------------------|-------------|--------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments      |
| 130 820 662         | 105 041 165 | 109 203 732         | 124 670 461 | 93 468 112,— | 51 074 376,20 |

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 09 (*cont'd*)*Remarks*

This appropriation is intended to cover support to the implementation of the Joint Africa-EU Strategy (JAES). In particular, the Pan-African programme will be used to provide specific support to development cooperation activities of cross-regional, continental and trans-continental nature, as well as relevant JAES initiatives in the global arena. The Pan-African programme will work in close cooperation with other instruments, notably the European Neighbourhood Instrument established by Regulation (EU) No 232/2014, the European Development Fund and the thematic programmes under the Development Cooperation Instrument established by Regulation (EU) No 233/2014, and will concentrate on specific initiatives agreed in the framework of JAES, ensuring the necessary coherence and synergy and preventing duplications and overlaps.

This will be used in the following main priority development areas, subject to their possible update in the multiannual indicative programme 2018-2020 following the outcomes of the 5th Africa-EU summit held in Abidjan in November 2017:

- development of peace, security, democratic governance and human rights, with a support to the African governance architecture through cooperation with the Commission of the African Union and other related institutions such as the Pan-African Parliament, the African Court for People and Human Rights; civil society organisations,
- support to regional integration at the continental level, including harmonisation of policies, standards and regulations, and capacity building to promote regional integration, trade and investments,
- on migration, mobility and employment, targeting improvements in the areas of remittances, mobility and labour migration, fight against trafficking in human beings, irregular migration and international protection,
- good stewardship of natural resources (this includes areas such environment and climate change, raw materials and agriculture) and development-oriented use of the wealth they can bring,
- development of a knowledge-based and skilled-based society, in order to develop competitiveness and sustain growth, through support to higher education and research at continental level, by providing support to the African Union flagship initiatives in these areas, and supporting the improvement and availability of accurate statistical data.

Support will also be provided in order to develop the Africa-EU partnership, to tackle global issues in the worldwide arena, and to reinforce civil society for specific action at continental level.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

*Reference acts*

The Africa-EU Strategic Partnership: A Joint Africa-EU Strategy, adopted at the Lisbon Summit, 8-9 December 2007.



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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 20 Erasmus+ — Contribution from the development cooperation instrument (DCI)**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|-------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments      |
| 102 428 673         | 103 495 100 | 108 922 928         | 93 812 842 | 115 352 483,— | 86 867 447,24 |

Remarks

This appropriation is intended to cover the technical and the financial assistance provided under this external instrument in order to implement the international dimension of higher education of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Legal basis

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 30 Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies**

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 344 000             | 340 000  | 372 000             | 372 000  | 0,—          | 0,—      |

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 30 (*cont'd*)*Remarks*

This appropriation is intended to cover the contribution due by the Union to cover the administrative budget arising out of its membership in the FAO, as well as to the International Treaty on Plant Generic Resources for Food and Agriculture, following its ratification.

*Legal basis*

Council Decision of 25 November 1991 on the accession of the Community to the United Nations Food and Agriculture Organisation (FAO) (OJ C 326, 16.12.1991, p. 238).

Council Decision 2004/869/EC of 24 February 2004 concerning the conclusion on behalf of the European Community, of the International Treaty on Plant Genetic Resources for Food and Agriculture (OJ L 378, 23.12.2004, p. 1).

21 02 40 **Commodities agreements***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 2 500 000           | 2 500 000 | 2 500 000           | 2 500 000 | 0,—          | 2 517 338,78 |

*Remarks*

This appropriation is intended to cover the annual membership fees which the Union must pay for its participation to international commodities agreements on the grounds of its exclusive competence in the field.

This appropriation currently covers the payment of:

- annual fee for membership of the International Coffee Organisation,
- annual fee for membership of the International Cocoa Organisation,
- annual fee for membership of the International Cotton Advisory Committee when approved.

Agreements on other commodities are likely in the future depending on political and legal expediency.

*Legal basis*

Council Decision 2002/970/EC of 18 November 2002 concerning the conclusion on behalf of the European Community of the International Cocoa Agreement 2001 (OJ L 342, 17.12.2002, p. 1).

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Council Decision 2008/76/EC of 21 January 2008 regarding the position to be taken by the Community within the International Cocoa Council on the extension of the International Cocoa Agreement, 2001 (OJ L 23, 26.1.2008, p. 27).

Council Decision 2008/579/EC of 16 June 2008 on the signing and conclusion on behalf of the European Community of the International Coffee Agreement 2007 (OJ L 186, 15.7.2008, p. 12).

Council Decision 2011/634/EU of 17 May 2011 on the signing, on behalf of the European Union, and provisional application of the International Cocoa Agreement 2010 (OJ L 259, 4.10.2011, p. 7).

Council Decision 2012/189/EU of 26 March 2012 on the conclusion of the International Cocoa Agreement 2010 (OJ L 102, 12.4.2012, p. 1).

Council Decision (EU) 2017/876 of 18 May 2017 on the accession of the European Union to the International Cotton Advisory Committee (ICAC) (OJ L 134, 23.5.2017, p. 23).

*Reference acts*

Treaty establishing the European Community, and in particular Article 133 thereof.

Treaty on the Functioning of the European Union, and in particular Article 207 thereof.

International Coffee Agreement, renegotiated in 2007 and 2008, which entered into force on 2 February 2011 for an initial period of 10 years until 1 February 2021, with the possibility of extension for one or more successive periods not to exceed 8 years in total.

International Cocoa Agreement, renegotiated in 2001 and lastly in 2010, which entered into force on 1 October 2012 for an initial period of 10 years until 30 September 2022, with a review after 5 years and the possibility of extension for two additional periods not exceeding 2 years each.

Council Conclusions of 29 April 2004 (8972/04), Council Conclusions of 27 May 2008 (9986/08) and Council Conclusions of 30 April 2010 (8674/10) concerning International Cotton Advisory Committee.

Rules and Regulations of the International Cotton Advisory Committee, as adopted by the 31st Plenary Meeting — 16 June 1972 (with amendments through 11 December 2015 at the 74th Plenary Meeting).

21 02 51 ***Completion of actions in the area of development cooperation (prior to 2014)****Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EEC) No 443/92 of 25 February 1992 on financial and technical assistance to, and economic cooperation with, the developing countries in Asia and Latin America (OJ L 52, 27.2.1992, p. 1).

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)

Council Regulation (EC) No 1292/96 of 27 June 1996 on food aid policy and food aid management and special operations in support of food security (OJ L 166, 5.7.1996, p. 1).

Council Regulation (EC) No 856/1999 of 22 April 1999 establishing a special framework of assistance for traditional ACP suppliers of bananas (OJ L 108, 27.4.1999, p. 2).

Regulation (EC) No 955/2002 of the European Parliament and of the Council of 13 May 2002 extending and amending Council Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 148, 6.6.2002, p. 1).

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (AENEAS) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 625/2004 of the European Parliament and of the Council of 31 March 2004 extending and amending Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 99, 3.4.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EC) No 1337/2008 of the European Parliament and of the Council of 16 December 2008 establishing a facility for rapid response to soaring food prices in developing countries (OJ L 354, 31.12.2008, p. 62).

*Reference acts*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Pilot projects within the meaning of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

European Parliament resolution of 12 April 2005 on the role of the European Union in the achievement of the Millennium Development Goals (OJ C 33 E, 9.2.2006, p. 311).

Communication from the Commission to the Council, the European Parliament and the European Economic and Social Committee of 12 April 2005: 'Policy Coherence for Development — Accelerating progress towards achieving the Millennium Development Goals' (COM(2005) 134 final).

Conclusions of the General Affairs and External Relations Council meeting of 23 and 24 May 2005 on the Millennium Goals.

Presidency conclusions of the European Council meeting of 16 and 17 June 2005 in Brussels.

Conclusions of the General Affairs and External Relations Council meeting of 18 July 2005 on the UN summit.

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 51 (cont'd)**

Communication from the Commission to the Council and the European Parliament of 3 August 2005: 'External Actions through Thematic Programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006: 'Investing in people — Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013' (COM(2006) 18 final).

Communication from the Commission to the Council and the European Parliament, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 25 January 2006: 'The thematic programme "Non-state Actors and Local Authorities in Development"' (COM(2006) 19 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006: 'External Action: Thematic Programme For Environment and Sustainable Management of Natural Resources including Energy'(COM(2006) 20 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006: 'External Actions: A thematic strategy for food security — Advancing the food security agenda to achieve the MDGs' (COM(2006) 21 final).

Communication from the Commission to the European Parliament and the Council of 25 January 2006: 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

European Parliament resolution of 1 June 2006 on small and medium-sized enterprises in developing countries (OJ C 298 E, 8.12.2006, p. 171).

Communication from the Commission to the Council, the European Parliament, and the European Economic and Social Committee and the Committee of the Regions of 8 October 2008: 'Local authorities: actors for development' (COM(2008) 626 final).

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 9 March 2010: 'International climate policy post-Copenhagen: Acting now to reinvigorate global action on climate change' (COM(2010) 86 final).

Communication from the Commission to the Council and the European Parliament of 31 March 2010: 'An EU policy framework to assist developing countries in addressing food security challenges' (COM(2010) 127 final).

European Parliament Resolution of 10 March 2011 on the European Union's approach towards Iran (2010/2050(INI)).

**21 02 51 01 Cooperation with third countries in the areas of migration and asylum**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 10 000 000 | p.m.                | 16 000 000 | 0,—          | 17 740 974,26 |

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)21 02 51 01 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (AENEAS) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 3 August 2005: 'External Actions through Thematic Programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the European Parliament and the Council of 25 January 2006: 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

Communication from the Commission to the European Parliament and the Council, the European Economic and Social Committee and the Committee of the Regions of 13 October 2011: 'Increasing the Impact of EU Development Policy: an Agenda for Change' (COM(2011) 637 final).

Communication from the Commission to the European Parliament and the Council, the European Economic and Social Committee and the Committee of the Regions of 18 November 2011: 'The Global Approach to Migration and Mobility' (COM(2011) 743 final).

21 02 51 02 Cooperation with developing countries in Latin America

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 50 000 000 | p.m.                | 110 000 000 | 0,—          | 242 738 904,61 |

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 51** (cont'd)

21 02 51 02 (cont'd)

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

21 02 51 03 Cooperation with developing countries in Asia, including Central Asia and the Middle East

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 337 765 334 | p.m.                | 392 352 245 | 432,45       | 619 044 704,91 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

21 02 51 04 Food security

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |                |
|---------------------|------------|---------------------|------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments       |
| p.m.                | 55 000 000 | p.m.                | 80 000 000 | 617,67       | 122 716 489,37 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 51 (cont'd)

21 02 51 04 (cont'd)

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

21 02 51 05 Non-state actors in development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |                |
|---------------------|------------|---------------------|------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments       |
| p.m.                | 35 000 000 | p.m.                | 65 000 000 | 0,—          | 115 629 698,23 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

21 02 51 06 Environment and sustainable management of natural resources, including energy

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |                |
|---------------------|------------|---------------------|------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments       |
| p.m.                | 50 000 000 | p.m.                | 85 000 000 | 0,—          | 126 274 072,91 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).



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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 51** (cont'd)

21 02 51 07 Human and social development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 14 350 250 | p.m.                | 34 000 000 | 651,33       | 56 427 832,86 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

21 02 51 08 Geographical cooperation with Africa, Caribbean and Pacific states

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments   | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 85 163 000 | p.m.                | 260 218 059 | 0,—          | 242 767 373,85 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 856/1999 of 22 April 1999 establishing a special framework of assistance for traditional ACP suppliers of bananas (OJ L 108, 27.4.1999, p. 2).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)21 02 51 08 (*cont'd*)

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

21 02 77 **Pilot projects and preparatory actions**

21 02 77 01 Preparatory action — Cooperation with middle-income group countries in Latin America

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | 313 751  | 0,—          | 67 004,40 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 02 Preparatory action — Business and scientific exchanges with India

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 892 157  | p.m.                | 700 161  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77 (cont'd)**

21 02 77 03 Preparatory action — Business and scientific exchanges with China

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 04 Preparatory action — Cooperation with middle income group countries in Asia

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 150 000  | p.m.                | p.m.     | 0,—          | 63 154,87 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)

21 02 77 05 Preparatory action — European Union-Asia — Integration of policy and practice

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 94 879,44 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 07 Preparatory action — Regional African CSO Network for Millennium Development Goal 5

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 299 174,12 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 08 Preparatory action — Water management in developing countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 748 147,49 |

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77** (cont'd)

21 02 77 08 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 12 March 2002: 'Water management in developing countries policy and priorities for EU development cooperation' (COM(2002) 132 final).

Council resolution of 30 May 2002 on Water management in developing countries: Policy and priorities for EU Development Cooperation (document DEVGEN 83 ENV 309, 9696/02).

21 02 77 10 Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 2 625 334,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 77 (cont'd)

21 02 77 10 (cont'd)

*Reference acts*

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

21 02 77 11 Preparatory action — Research and development on poverty-related, tropical and neglected diseases

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 156 721,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

21 02 77 12 Pilot project — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77** (cont'd)

21 02 77 12 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 13 Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 1 650 066 | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 14 Global Energy Efficiency and Renewable Energy Fund (GEEREF)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 77 (cont'd)

21 02 77 15 Pilot project — Strategic investment in sustainable peace and democratisation in the Horn of Africa

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 1 081 125 | 0,—          | 1 026 471,81 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 16 Pilot project — Strengthening veterinary services in developing countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | 350 000  | p.m.                | 500 000  | 0,—          | 2 102 918,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77** (cont'd)

21 02 77 17 Pilot project — Corporate social responsibility and access to voluntary family planning for factory workers in developing countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 18 Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 950 000  | p.m.                | 995 658  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)

21 02 77 19 Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | 387 828  | p.m.                | 749 738  | 100 000,—    | 2 411 434,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 20 Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 372 604  | p.m.                | 490 000  | 150 000,—    | 914 790,50 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77** (cont'd)

21 02 77 21 Preparatory action — Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 494 423  | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 22 Pilot project — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | 500 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 77 (cont'd)

21 02 77 23 Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 268 870  | p.m.                | 200 000  | 0,—          | 321 130,— |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 24 Pilot project — Mapping the global threat posed by antimicrobial resistance

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|-----------|---------------------|----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 1 275 000 | 750 000             | 675 000  | 750 000,—    | 0,—      |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77 (cont'd)**

21 02 77 25 Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | 750 000             | 500 000  | 700 000,—    | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 26 Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | 1 000 000           | 500 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 77 (cont'd)

21 02 77 27 Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | 1 000 000           | 500 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 28 Pilot project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

2015 was a strategic milestone for global governance, poverty eradication and sustainable development. It saw a series of landmark international summits and conferences over the course of the year (the Sendai Framework for Disaster Risk Reduction 2015-2030, the Addis Ababa Action Agenda, the 2030 Agenda for Sustainable Development and the COP 21 Paris Agreement under the United Nations Framework Convention on Climate Change) which have collectively recast the way the international community, including the Union, will work to achieve sustainable development and poverty eradication for many years.

The Third United Nations Conference on Housing and Sustainable Urban Development (known as Habitat III, held in Quito, Ecuador, in October 2016) was among the first UN Conferences to take place following adoption of the 2030 Agenda.

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 77** (cont'd)

21 02 77 28 (cont'd)

It adopted a global 'New Urban Agenda', intended to guide sustainable urban development for the next 20 years, and thus gave considerable impetus to the implementation of the 2030 Agenda, in particular Sustainable Development Goal (SDG) 11 but also a number of other goals and associated targets with a preminent urban dimension, and the COP 21 decisions.

The New Urban Agenda makes concrete suggestions on how to address the challenges raised by urbanisation and urban demography and turn them into opportunities that will support sustainable development in its economic, social and environmental dimensions.

The aim is to focus on a major challenge encountered by cities in developing countries: urban governance. More specifically the pilot project will make it possible to use expertise of the cities in the Union in related fields for capacity building purposes in order to address financial, legal and urban planning components in an integrated manner.

It will seek to:

- provide concrete examples of financial, legal and urban planning shortcomings in selected developing countries and cities and identify gaps and needs,
- facilitate cooperation between cities in developing countries and cities in the Union with sound experience in urban development and provide capacity building activities in different areas, such as urban mobility, public spaces and housing, with an emphasis on establishing an adequate framework to use innovative means of funding urban development (such as Union blending),
- be based on these experiences, deliver recommendations on how implementation of the urban dimension of the SDGs and the New Urban Agenda can be operationalised in developing countries and their cities while using Union experience.

The project will build on the lessons of twinning cities in the Union and in developing countries. It will use the expertise of city platforms and networks in the Union that develop pragmatic solutions for integrated and sustainable urban development.

The pilot project will provide the Commission with the necessary information for the next programming period.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 77 (cont'd)

21 02 77 29 Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will fund, and work with, both private and non-governmental organisations which develop sustainable programmes to support micro, small and medium-sized enterprises (MSMEs) in developing countries in the following ways:

- national and regional entrepreneurship competitions,
- access to capital and appropriate financial services in different ways, ranging from capacity strengthening of financial intermediaries to the provision of capital,
- use of information and communication technologies (ICTs) as a tool for achieving financial inclusion of the poor,
- legal and financial support for businesses moving from informality into the formal private sector,
- programmes for supporting youth start-ups,
- promoting female entrepreneurship,
- access to equity loans, credits and micro-credits,
- business training for potential entrepreneurs,
- financing for social businesses.

MSMEs, which form the backbone of all market economies, face much heavier regulatory burdens in developing countries than within the Union, and most of them resort to operating in the informal economy, which is wracked with volatility and where they are denied legal protection, labour rights and access to finance.

Concerted action in this area has the potential to create hundreds of thousands of new jobs for local communities in developing countries, creating a vibrant climate where private initiatives can further flourish, expand and generate wealth.



## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 77 (cont'd)

21 02 77 29 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 30 Preparatory action — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 1 500 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Existing research and development (R&D) funding mechanisms dedicated to neglected tropical diseases (NTDs) tend to take a siloed approach: gaps persist between the different phases of the R&D cycle and linkages with fringe issues such as Water, Sanitation and Hygiene (WASH) and education programmes are not addressed as part of a multi-sector approach. Likewise, the need for equally important incremental research, which can help to significantly improve the acceptability of treatments and diagnoses to populations affected by NTDs, tends to be overlooked.

With the objective of addressing some of the R&D gaps for NTDs, this preparatory action will contribute to or cofund an alternative model that relies on an innovative and coordinated approach to address persistent R&D gaps stemming from market failures. The model will identify a specific part of the gap in R&D for NTDs that disproportionately affect developing countries, and will provide crucial elements for the development of high-quality, accessible, affordable and suitable health solutions.

This action will build on the work carried out under previous preparatory actions and pilot projects on global health research and innovation and support efforts to address identified and recognised gaps in line with the WHO process in connection with the report available at [http://www.who.int/phi/cewg\\_report/en/](http://www.who.int/phi/cewg_report/en/) and with the list of demonstration projects identified and preselected by the Global Technical Consultative Meeting on Health R & D Demonstration Projects.

In doing so, while seeking to improve acceptability, this action will contribute to meeting at least one of the following objectives:

- recommending effective and efficient mechanisms for coordination with other current initiatives,
- proposing innovative ways of decoupling the price of final products from R&D costs,

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 30 (*cont'd*)

- maximising public-public and public-private partnerships in knowledge sharing, including open knowledge innovation approaches, strengthening research, development and production capacity, including through technology transfer, in developing countries.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 31 Pilot project — Santé pour tous — Health for All — A joint project carried out by Aimes-Afrique (Togo) and Aktion PiT-Togohilfe e.V.

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 200 000           | 850 000  | 500 000             | 250 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

In view of the lack of doctors in Togo, people in rural communities are left to their fate and, for almost as long as they live, will never have an opportunity to see a doctor. Aimes-Afrique seeks to eliminate what are real shortcomings in Togo's health system. Accordingly, Aimes-Afrique members who are doctors have decided to do what they have done in the past, in so far as has been possible with the means at their disposal, and provide free medical care in the countryside in all five regions of Togo (Maritime, Plateaux, Centrale, Kara and Savannes).

Humanitarian operations are to be carried out in all five regions of the country, but are organised on a region-specific basis. Each region contains health districts in which healthcare personnel from the various rural clinics will be given initial and continuing training. Examinations will be carried out locally in villages. Operations will be performed in suitable facilities as near as possible to the communities concerned.

The objective is to make hospital care accessible to poor and vulnerable rural communities by providing free medical and surgical treatment and ensuring that local healthcare personnel are well trained.

Free medical and surgical treatment is intended to benefit all people in all regions of Togo, regardless of age, gender or religion.

The amount specified breaks down as follows:

- investment in medical equipment,

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77** (cont'd)

21 02 77 31 (cont'd)

- purchase of ambulances, including transport,
- purchase of all-wheel-drive buses and a lorry, including transport,
- purchase of medical tents and their contents, including transport,
- missions involving doctors: operating and consumption costs.

*Geographical aspect*

Doctors will be on mission in all five regions of Togo (Maritime, Plateaux, Centrale, Kara and Savannes), i.e. across the entire country, and will be working with local healthcare personnel in rural villages. That is why medical tents are also needed. Unless other arrangements are possible, operations will be performed in regional hospitals in provincial capitals. The project will therefore complement the Union's work in provincial capitals across the country.

This involves sending physicians on assignment in rural regions of Togo, making it possible for people in communities that currently have no access to any qualified health care to be treated by specialists. Aimes-Afrique sets up teams comprising at least seven highly qualified Togolese physicians with different specialisms who, each year, carry out a 14-day assignment in each of Togo's five regions, examining and, as far as possible, immediately treating some 5 000 people free of charge during each mission and operating on about 500 patients. In total, accordingly, this benefits at least 25 000 people in each region. Action PiT-Togohilfe e.V. is doing everything it can to back the project with funding and donations in kind.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 32 Preparatory action — Young European volunteers for development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 000 000           | 500 000  |                     |          |              |          |

*Remarks*

The Union, faced with mass migration, must commit to stopping such population movements at source in addition to strengthening control of its external borders.

This development is likely to be accentuated: demographers estimate that Africa could have 800 million more inhabitants within 30 years.

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## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 77 (cont'd)

21 02 77 32 (cont'd)

The European Fund for Sustainable Development established by Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1) is one of the financial instruments for a pro-active development aid policy. It remains essential to make support manpower available to provide services in priority areas, in particular schooling, health care and technical assistance.

Accordingly, the Union could encourage young Europeans to become volunteers for periods of 6 months to a year; they would be hosted by public-sector development aid bodies, NGOs and approved civic-service structures. The Union budget contribution would take the form of grants to cover travel and accommodation expenses. The EU Aid Volunteers scheme can serve as a model, the two differences being that assignments will focus on development and the target audience age range is 18 to 26.

To best cater for funding needs for this preparatory action, the focus should be on Heading 4, which is the heading most suited to the Development Cooperation Instrument reaching its objectives.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 33 Pilot project — Fostering transparency and impact assessment for local authorities in Guatemala

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 450 000             | 225 000  |                     |          |              |          |

*Remarks*

This pilot project will create both innovative methodologies and technological tools to facilitate monitoring of the social impact of public services and international cooperation projects carried out by local authorities in Guatemala. It aims to:

- promote citizen participation, institutional transparency and gender mainstreaming as key elements for the identification and formulation of local initiatives,
- provide access for local authorities to the most suitable set of indicators and mechanisms for accessing data in order to measure the impact of the actions implemented in their territory,
- develop a reference framework with regard to the level of efficiency of development politics at local level,
- harmonise local initiatives and national strategic plans in accordance with the Commission's Multiannual Indicative Programme for Guatemala by standardising impact indicators.

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**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)**

**21 02 77** (cont'd)

21 02 77 33 (cont'd)

This pilot project will foster cooperation between local authorities willing to work together towards the development of a methodology based on open data and big data. This will provide current data on the impact of initiatives at local level in Guatemala in line with national public strategies. Moreover, it will provide international donors, especially the Commission, with a reference framework on the efficiency of development cooperation policies at local level. Both indicators and mechanisms must take into account the specific characteristics of the country: multiculturalism, multiethnicity and multilingualism.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 34 Pilot project — Trees for Africa

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 600 000             | 300 000  |                     |          |              |          |

*Remarks*

This pilot project will make funding available for the network of partner NGOs in the EverGreen Agriculture Partnership that is implementing the action called 'Reversing Land Degradation in Africa by Scaling-up EverGreen Agriculture' financed through the Global Public Goods and Challenges programme under the Development and Cooperation Instrument (DCI). Integrating this pilot project into the activities of the DCI action above will have the advantage of avoiding the creation of a separate ad-hoc project structure and other related costs. The aim is to provide technical training, planning and tree seedlings to local groups in one or more of the eight targeted African countries, particularly to those communities with severely degraded land, empowering inhabitants to improve their living conditions. There should be transparent evaluation of success and the results should be monetarily measurable.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                    | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                            |    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 21 04                               | EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS                                                                         |    |                     |                    |                     |                    |                       |                       |                             |
| 21 04 01                            | <i>Enhancing the respect for and observance of human rights and fundamental freedoms and supporting democratic reforms</i> | 4  | 135 400 860         | 110 000 000        | 132 804 486         | 100 000 000        | 131 138 878,83        | 83 201 149,26         | 75,64                       |
| 21 04 51                            | <i>Completion of the European Instrument for Democracy and Human Rights (prior to 2014)</i>                                | 4  | p.m.                | 10 000 000         | p.m.                | 20 000 000         | 2 299,25              | 41 980 499,27         | 419,80                      |
| 21 04 77                            | <i>Pilot projects and preparatory actions</i>                                                                              |    |                     |                    |                     |                    |                       |                       |                             |
| 21 04 77 02                         | Pilot project — Civil Society Forum EU-Russia                                                                              | 4  | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 36 550,50             |                             |
|                                     | Article 21 04 77 — Subtotal                                                                                                |    | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 36 550,50             |                             |
|                                     | <b>Chapter 21 04 — Total</b>                                                                                               |    | <b>135 400 860</b>  | <b>120 000 000</b> | <b>132 804 486</b>  | <b>120 000 000</b> | <b>131 141 178,08</b> | <b>125 218 199,03</b> | <b>104,35</b>               |

**21 04 01** *Enhancing the respect for and observance of human rights and fundamental freedoms and supporting democratic reforms**Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 135 400 860         | 110 000 000 | 132 804 486         | 100 000 000 | 131 138 878,83 | 83 201 149,26 |

*Remarks*

The general objective will be to contribute to the development and consolidation of democracy and respect for human rights, in accordance with Union policies and guidelines and in close cooperation with civil society.

Key areas of activity will include:

- enhancing respect for and observance of human rights and fundamental freedoms, including women's rights, as proclaimed in the Universal Declaration of Human Rights and other international and regional human rights instruments, with a focus on freedom of expression, freedom of assembly and digital freedoms, and strengthening their protection, promotion and monitoring, mainly through support to relevant civil society organisations, human rights defenders, and victims of repression and abuse,

**CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS** (cont'd)**21 04 01** (cont'd)

- supporting and consolidating democratic reforms in third countries except European Union Electoral Observation Missions, by enhancing participatory and representative democracy, empowerment of women, strengthening the overall democratic cycle, and improving the reliability of electoral processes.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85).

**21 04 51** ***Completion of the European Instrument for Democracy and Human Rights (prior to 2014)****Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 10 000 000 | p.m.                | 20 000 000 | 2 299,25     | 41 980 499,27 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled under the European Instrument for Democracy and Human Rights in the 2007-2013 period.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

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CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (*cont'd*)**21 04 77** *Pilot projects and preparatory actions*

21 04 77 02 Pilot project — Civil Society Forum EU-Russia

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 36 550,50 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER 21 05 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL THREATS AND EMERGING THREATS**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                        | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|----------------------|-----------------------------|
|                                     |                                                                                                                |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments             |                             |
| 21 05                               | INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL THREATS AND EMERGING THREATS |    |                     |                   |                     |                   |                     |                      |                             |
| 21 05 01                            | <b>Global and trans-regional threats and emerging threats</b>                                                  | 4  | 65 900 000          | 54 200 000        | 64 900 000          | 44 000 000        | 64 393 076,—        | 40 900 285,01        | 75,46                       |
|                                     | Reserves (40 02 41)                                                                                            |    | 6 250 000           |                   |                     |                   |                     |                      |                             |
|                                     |                                                                                                                |    | 72 150 000          | 54 200 000        | 64 900 000          | 44 000 000        | 64 393 076,—        | 40 900 285,01        |                             |
| 21 05 51                            | <b>Completion of actions in the area of global threats to security (prior to 2014)</b>                         | 4  | p.m.                | 10 000 000        | p.m.                | 17 000 000        | 0,—                 | 30 117 268,35        | 301,17                      |
|                                     | <b>Chapter 21 05 — Total</b>                                                                                   |    | <b>65 900 000</b>   | <b>64 200 000</b> | <b>64 900 000</b>   | <b>61 000 000</b> | <b>64 393 076,—</b> | <b>71 017 553,36</b> | <b>110,62</b>               |
|                                     | Reserves (40 02 41)                                                                                            |    | 6 250 000           |                   |                     |                   |                     |                      |                             |
|                                     |                                                                                                                |    | 72 150 000          | 64 200 000        | 64 900 000          | 61 000 000        | 64 393 076,—        | 71 017 553,36        |                             |

**21 05 01 Global and trans-regional threats and emerging threats**

Figures (Differentiated appropriations)

|                     | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|---------------------|------------|---------------------|------------|--------------|---------------|
|                     | Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 21 05 01            | 65 900 000          | 54 200 000 | 64 900 000          | 44 000 000 | 64 393 076,— | 40 900 285,01 |
| Reserves (40 02 41) | 6 250 000           |            |                     |            |              |               |
| Total               | 72 150 000          | 54 200 000 | 64 900 000          | 44 000 000 | 64 393 076,— | 40 900 285,01 |

Remarks

This appropriation is intended to cover the assistance in addressing global and trans-regional threats and emerging threats, defined in Article 5 of Regulation (EU) No 230/2014 establishing an instrument contributing to stability and peace.

It is intended to cover actions aiming to help safeguard countries and populations against risks either of an intentional, accidental or natural origin. This may, inter alia, include:

- strengthening the capacity of the competent civilian authorities involved in the development and enforcement of effective control of the trafficking in chemical, biological, radiological and nuclear materials or agents (including the equipment for their production, or delivery or effective border controls), including through the installation of modern logistical evaluation and control equipment. The actions relate to natural and industrial disasters as well as criminal activities,

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- the development of the legal framework and institutional capacities for the establishment and enforcement of effective export controls on dual-use goods, including measures of regional cooperation,
- the development of effective civilian disaster preparedness, emergency planning, crisis response, and capabilities for clean-up measures in relation to possible major environmental incidents in this field,
- the promotion of civilian research activities as an alternative to defence-related research, and support for the retraining and alternative employment of scientists and engineers having formerly been employed in weapons-related areas,
- support for measures to enhance safety practice related to civilian facilities where sensitive chemical, biological, radiological or nuclear materials or agents are stored, or are handled in the context of civilian research programmes,
- support, within the framework of Union cooperation policies and their objectives, for the establishment of civil infrastructure and relevant civilian studies necessary for the dismantlement, remediation or conversion of weapons-related facilities and sites where these are declared as no longer belonging to a defence programme,

Other measures in the field of global and trans-regional threats will cover:

- strengthening the capacity of law enforcement and judicial and civil authorities involved in the fight against terrorism,
- countering radicalisation, violent extremism and organised crime, including illicit trafficking in human beings, drugs, firearms and explosive materials, cybercrime, falsified medicine and in the effective control of illegal trade and transit; exchanging expertise and best practices on countering radicalisation and violent extremism with partner countries in regions where extremism is on the rise, such as South Asia; also addressing global and trans-regional effects of climate change with a potentially destabilising impact, and including the promotion of biosafety and biosecurity of facilities handling dangerous microbes,
- support for measures to address threats to international transport, and critical infrastructure, including passenger and freight traffic, energy operations and energy distribution, electronic information and communication networks,
- ensuring an adequate response to major threats to public health, such as pandemics with a potential transnational impact.

Such measures may be adopted under this instrument in the context of stable conditions, where they aim to address specific global and trans-regional threats having a destabilising effect, and only to the extent that an adequate and effective response cannot be provided under related Union instruments for external assistance.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

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**CHAPTER 21 05 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL THREATS AND EMERGING THREATS** (*cont'd*)

**21 05 01** (*cont'd*)

*Legal basis*

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1).

**21 05 51** **Completion of actions in the area of global threats to security (prior to 2014)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 10 000 000 | p.m.                | 17 000 000 | 0,—          | 30 117 268,35 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1724/2001 of the European Parliament and of the Council of 23 July 2001 concerning action against anti-personnel landmines in developing countries (OJ L 234, 1.9.2001, p. 1).

Council Regulation (EC) No 1725/2001 of 23 July 2001 concerning action against anti-personnel landmines in third countries other than developing countries (OJ L 234, 1.9.2001, p. 6).

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

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## CHAPTER 21 06 — INSTRUMENT FOR NUCLEAR SAFETY COOPERATION

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                            | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                       | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                                    |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments              |                         |
| 21 06                               | INSTRUMENT FOR<br>NUCLEAR SAFETY<br>COOPERATION                                                                                                                                    |    |                     |                   |                     |                   |                     |                       |                         |
| 21 06 01                            | <i>Promotion of a high level of nuclear safety, radiation protection and the application of efficient and effective safeguards relating to nuclear material in third countries</i> | 4  | 31 505 663          | 24 000 000        | 30 884 131          | 15 000 000        | 30 369 456,—        | 11 598 580,21         | 48,33                   |
| 21 06 02                            | <i>Additional contribution of the European Union to the European Bank for Reconstruction and Development (EBRD) for the Chernobyl accident related projects</i>                    | 4  | p.m.                | p.m.              | 30 000 000          | 40 000 000        | 40 000 000,—        | 61 205 092,30         |                         |
| 21 06 51                            | <i>Completion of former actions (prior to 2014)</i>                                                                                                                                | 4  | p.m.                | 20 000 000        | p.m.                | 25 000 000        | 0,—                 | 39 047 809,57         | 195,24                  |
| Chapter 21 06 — Total               |                                                                                                                                                                                    |    | <b>31 505 663</b>   | <b>44 000 000</b> | <b>60 884 131</b>   | <b>80 000 000</b> | <b>70 369 456,—</b> | <b>111 851 482,08</b> | <b>254,21</b>           |

**21 06 01** *Promotion of a high level of nuclear safety, radiation protection and the application of efficient and effective safeguards relating to nuclear material in third countries*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 31 505 663          | 24 000 000 | 30 884 131          | 15 000 000 | 30 369 456,— | 11 598 580,21 |

Remarks

This appropriation is intended to cover the financing of the promotion of an effective nuclear safety and nuclear security culture at all levels, in particular through:

- continuous support for regulatory bodies, technical support organisations, and the reinforcement of the regulatory framework, notably concerning licensing activities in order to establish strong independent regulatory oversight,
- support for the safe transport, treatment and disposal of spent nuclear fuel and radioactive waste both from nuclear power plant and from other (orphan) sources, (medical applications, uranium mining),
- the development and implementation of strategies for decommissioning existing installations and the remediation of former nuclear sites,
- the promotion of effective regulatory frameworks, procedures and systems to ensure adequate protection against ionising radiations from radioactive materials, in particular from high activity radioactive sources, and their safe disposal,

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**CHAPTER 21 06 — INSTRUMENT FOR NUCLEAR SAFETY COOPERATION** (*cont'd*)

**21 06 01** (*cont'd*)

- the financing of stress tests based on the *acquis*,
- the establishment of the necessary regulatory framework and methodologies for the implementation of nuclear safeguards, including for the proper accounting and control of fissile materials at State and operators level,
- the establishment of effective arrangements for the prevention of accidents with radiological consequences as well as the mitigation of such consequences should they occur, and for emergency planning, preparedness and response, civil protection and rehabilitation measures,
- measures to promote international cooperation (including in the framework of relevant international organisations, notably IAEA) in the abovementioned fields, including the implementation and monitoring of international conventions and treaties, exchange of information and training and research,
- the enhancement of emergency preparedness in case of nuclear accidents, as well as training and tutoring *inter alia* to increase the capacities of the regulators.

This appropriation is intended also to fund health and environmental projects as regards the consequences of the Chernobyl accident, which affect human health and the environment, especially in Ukraine and Belarus.

Priority will be given to address the needs in the countries being part of the European Neighbourhood Policy.

Relevant work previously carried out under the Instrument for Pre-accession Assistance (IPA) was taken over by the Instrument for Nuclear Safety Cooperation in order to ensure a comprehensive approach.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

**21 06 02** ***Additional contribution of the European Union to the European Bank for Reconstruction and Development (EBRD) for the Chernobyl accident related projects***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | p.m.     | 30 000 000          | 40 000 000 | 40 000 000,— | 61 205 092,30 |

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CHAPTER 21 06 — INSTRUMENT FOR NUCLEAR SAFETY COOPERATION (*cont'd*)21 06 02 (*cont'd*)*Remarks*

This appropriation is intended to cover the Union's contribution to the completion of the Chernobyl accident related projects after the accident of 1986.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

21 06 51 **Completion of former actions (prior to 2014)***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 20 000 000 | p.m.                | 25 000 000 | 0,—          | 39 047 809,57 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Decision 2006/908/EC, Euratom of 4 December 2006 on the first instalment of the third Community contribution to the European Bank for Reconstruction and Development for the Chernobyl Shelter Fund (OJ L 346, 9.12.2006, p. 28).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

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**CHAPTER 21 07 — THE EUROPEAN UNION-GREENLAND PARTNERSHIP**

| Title<br>Chapter<br>Article<br>Item | Heading                                             | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                     | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|---------------------|-------------------------|
|                                     |                                                     |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments            |                         |
| 21 07                               | THE EUROPEAN UNION-GREENLAND PARTNERSHIP            |    |                     |                   |                     |                   |                     |                     |                         |
| <b>21 07 01</b>                     | <b>Cooperation with Greenland</b>                   | 4  | 32 110 000          | 32 038 000        | 31 630 000          | 35 956 797        | 31 130 000,—        | 37 087 557,—        | 115,76                  |
| <b>21 07 51</b>                     | <b>Completion of former actions (prior to 2014)</b> | 4  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                 | 0,—                 |                         |
|                                     | <b>Chapter 21 07 — Total</b>                        |    | <b>32 110 000</b>   | <b>32 038 000</b> | <b>31 630 000</b>   | <b>35 956 797</b> | <b>31 130 000,—</b> | <b>37 087 557,—</b> | <b>115,76</b>           |

**21 07 01 Cooperation with Greenland**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 32 110 000          | 32 038 000 | 31 630 000          | 35 956 797 | 31 130 000,— | 37 087 557,— |

Remarks

This appropriation is intended to cover:

- assistance to Greenland in addressing its major challenges in particular the sustainable diversification of the economy, the need to increase the skills of its labour force, including scientists, and the need to improve the Greenlandic information systems in the field of information and communication technologies,
- reinforcement of the capacity of the Greenlandic administration to better formulate and implement national policies in particular in new areas of mutual interest.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Council Decision 2014/137/EU of 14 March 2014 on relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other (OJ L 76, 15.3.2014, p. 1).

Reference acts

Council Decision 2013/755/EU of 25 November 2013 on the association of the overseas countries and territories with the European Union ('Overseas Association Decision') (OJ L 344, 19.12.2013, p. 1).

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CHAPTER 21 07 — THE EUROPEAN UNION-GREENLAND PARTNERSHIP (*cont'd*)**21 07 51**      **Completion of former actions (prior to 2014)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

*Legal basis*

Council Decision 2006/526/EC of 17 July 2006 on relations between the European Community on the one hand, and Greenland and the Kingdom of Denmark on the other (OJ L 208, 29.7.2006, p. 28).

*Reference acts*

Joint Declaration by the European Community, on the one hand, and the Home Rule Government of Greenland and the Government of Denmark, on the other, on partnership between the European Community and Greenland, signed in Luxembourg on 27 June 2006 (OJ L 208, 29.7.2006, p. 32).



## CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                 | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                         |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| 21 08                               | DEVELOPMENT AND<br>COOPERATION<br>WORLDWIDE                                             |    |                     |                   |                     |                   |                      |                      |                         |
| 21 08 01                            | <i>Evaluation of the results<br/>of Union aid and follow-<br/>up and audit measures</i> | 4  | 29 176 000          | 25 665 000        | 26 044 796          | 25 521 281        | 24 620 000,—         | 21 961 329,42        | 85,57                   |
| 21 08 02                            | <i>Coordination and<br/>promotion of awareness<br/>on development issues</i>            | 4  | 12 536 000          | 10 250 013        | 10 330 000          | 13 608 960        | 10 154 402,54        | 12 891 065,11        | 125,77                  |
| <b>Chapter 21 08 — Total</b>        |                                                                                         |    | <b>41 712 000</b>   | <b>35 915 013</b> | <b>36 374 796</b>   | <b>39 130 241</b> | <b>34 774 402,54</b> | <b>34 852 394,53</b> | <b>97,04</b>            |

**21 08 01** *Evaluation of the results of Union aid and follow-up and audit measures*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 29 176 000          | 25 665 000 | 26 044 796          | 25 521 281 | 24 620 000,— | 21 961 329,42 |

*Remarks*

This appropriation is intended to cover the financing of various monitoring and evaluation needs and related support measures with respect of operations funded by Union external assistance in the areas of international cooperation and development, designed to improve the quality of the projects and programmes throughout their life-cycle (from identification and ex-ante evaluation to monitoring and final and ex-post evaluation), focusing on their relevance, efficiency, effectiveness, sustainability and impact, including the following:

- ex-ante evaluation systems and methodologies and related support measures for projects and programmes during the identification and design stages,
- systems and methodologies for internal monitoring and external review of project and programme implementation as well as systems and methodologies to ensure appropriate identification, collection and reporting on results of projects and programmes financed by Union external assistance,
- systems and methodologies for mid-term, final or ex post evaluation of projects and programmes, including support measures concerning the implementation and supervision of these evaluations, and for the implementation of complex evaluations, as well as systems and measures relating to the dissemination of evaluation results,
- approaches and methodologies concerning the project and programme management cycle and the sectoral and thematic approaches essential to the proper performance of ex-ante evaluation, monitoring and evaluation activities (including approaches and methodologies for monitoring and capacity-building, and approaches, methodologies and systems for the identification, definition and use of performance indicators),
- training and knowledge-sharing systems and other horizontal actions to support dissemination of expertise and knowledge on the approaches, methodologies and systems referred to above with a view to enhancing the skills and knowledge of staff involved in programme and project management,

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- systems for managing operational information concerning projects and programmes at the different stages of the project cycle being essential in relation to the performance of the activities referred to above, to the proper use of their results and to the reporting, including at aggregated level.

This appropriation also covers the financing of audit activities concerning the management of programmes and projects implemented by the Commission in the external aid field, including audit systems and methodologies and systems audits, and the financing of related training activities, based on the special rules governing Union external assistance and organised for external auditors.

This appropriation is also intended to cover expenditure for studies, meetings of experts, information and publications directly linked to the measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis.*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 08 02 **Coordination and promotion of awareness on development issues**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 12 536 000          | 10 250 013 | 10 330 000          | 13 608 960 | 10 154 402,54 | 12 891 065,11 |

*Remarks*

Budget financing provides the Commission with the means of support it needs to prepare, formulate and follow up coordination measures under its development policy. Policy coordination is crucial to consistency, complementarity and aid and development effectiveness.

Coordination measures are essential in defining and shaping the Union development policy at a strategic and programming level. The specific nature of the Union development policy is directly enshrined in the Treaties (Articles 208 and 210 of the Treaty on the Functioning of the European Union). Union aid and the national policies of the Member States in the sphere of development cooperation need to complement and reinforce each other and this cannot work without coordination. Article 210 of the Treaty on the Functioning of the European Union calls on the Commission to act as coordinator of the Member States' and the Union's development policies and of action in development cooperation.

Coordination is not only a major factor in the Commission's value added vis-à-vis the policies of the Member States but also a priority in the work schedule at the point where the agendas of the Union and the international community intersect. This appropriation covers various forms of action:

**CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE** (*cont'd*)**21 08 02** (*cont'd*)*Action A — Coordination at European and international levels:*

- effectiveness, efficiency, relevance, impact and viability studies in the area of coordination,
- support, analyses and coordination activities in the priority areas of development policy (including budget support, public finance management, domestic revenue mobilisation), aid and development effectiveness (including joint programming/joint implementation) and financing for development,
- meetings of experts and exchanges between the Commission, Member States, and other international actors (United States of America, emerging donors, etc.) and preparation of and participation to international fora such as the Global Partnership for Effective Development Cooperation or those under the policy stream financing for development, means of implementation, Agenda 2030, new Consensus,
- research, communication, consultation, and evaluation services, including for technical assistance,
- monitoring of policies and operations in the course of implementation,
- support measures to improve the quality of the monitoring of ongoing operations and the preparation of future operations, including capacity-building,
- measures to support external initiatives in the sphere of coordination,
- the preparation of common positions, statements and initiatives,
- the organisation of events relating to coordination,
- the Commission's membership fees to the international organisations and networks,
- the dissemination of information through the production of publications and the development of information systems.

*Action B — Raising awareness*

This appropriation covers the financing of activities designed to draw attention to action by the Union and its Member States in the international cooperation and development field and to raise public awareness of related issues. Every activity financed pursuant to this action must include the following two components, which are complementary in the Commission's view:

- an 'information' component, designed to promote the various activities which the Union undertakes the international cooperation and development aid fields and the activities which it conducts in partnership with its Member States and other international institutions,
- an 'awareness-raising' component, covering public opinion in the Union and in partner countries.

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21 08 02 (*cont'd*)

These activities consist mainly, though not exclusively, of financial support for audio-visual and online publications, communication via social media, seminars, trainings and events as applied to development, the production of information material, the development of information systems, networks of Member States and prizes for journalism in the field of development.

These activities are directed at the general public and are implemented through public and private sector partners and stakeholders and through a network of Member States, the Commission Representations in the Member States and Union delegations in partner countries.

This appropriation is moreover intended to cover the funding of priority information and communication activities directed towards the citizens of the Union and dealing with the Union's external policies as a whole.

The areas which will be covered by information activities include those below, but may include other aspects of the Union's external relations, particularly in relation to the future of the Union's external policies:

- addressing the public perception of external assistance, building on the results and the evaluation of the activities deployed by the Union institutions and Member States in the context of European Year for Development 2015, the Joint Declaration of the European Parliament, the Council and the Commission on the Legacy of the European Year for Development 2015, and on the adoption of the Agenda 2030 by the United Nations in September 2015. The objective is to make clear that external assistance is an integral part of what the Union does for the benefit both of the population of partner countries and of European taxpayers and to raise awareness of the fact that the Union is delivering tangible results on behalf of Union citizens to fight poverty and support sustainable growth worldwide,
- organising key events highlighting the Union's leading role in international development, most notably the annual edition of the European Development Days (EDD). This major event has become one of the main events in the Commission agenda in terms of external relations. It brings together development advocates, decision-makers and practitioners. Each year, EDD serves as a platform for policy brainstorming and forward-looking recommendations ahead of key international summits. It highlights the importance of the Union's role not only as the world's largest development assistance provider, but also as a leader in the international development policy debates,
- the organisation of visits for groups of journalists and other target groups.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of Union information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

This appropriation is also intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on printing, translation, studies, meetings of experts, information and the purchase of information material directly linked to the achievement of the objective of the programme.

**CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE** (*cont'd*)**21 08 02** (*cont'd*)

It also covers the costs of publication, production, storage, distribution and dissemination of information material, in particular via the Publications Office of the European Union, and other administrative costs related to coordination.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Tasks resulting from specific powers directly conferred on the Commission pursuant to Article 210 of the Treaty on the Functioning of the European Union.

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43).

Decision No 472/2014/EU of the European Parliament and of the Council of 16 April 2014 on the European Year for Development (2015) (OJ L 136, 9.5.2014, p. 1).

COMMISSION

TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 09 — COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                      | FF | Appropriations 2018 |                  | Appropriations 2017 |                   | Outturn 2016 |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------|----|---------------------|------------------|---------------------|-------------------|--------------|----------------------|-----------------------------|
|                                     |                                                                                              |    | Commitments         | Payments         | Commitments         | Payments          | Commitments  | Payments             |                             |
| 21 09                               | COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME |    |                     |                  |                     |                   |              |                      |                             |
| <b>21 09 51</b>                     | <b>Completion of former actions (prior to 2014)</b>                                          |    |                     |                  |                     |                   |              |                      |                             |
| 21 09 51 01                         | Asia                                                                                         | 4  | p.m.                | 5 161 244        | p.m.                | 10 468 000        | 0,—          | 16 402 723,49        | 317,81                      |
| 21 09 51 02                         | Latin America                                                                                | 4  | p.m.                | 893 143          | p.m.                | 13 042 515        | 0,—          | 9 666 857,95         | 1 082,34                    |
| 21 09 51 03                         | Africa                                                                                       | 4  | p.m.                | p.m.             | p.m.                | 1 282 693         | 0,—          | 1 431 388,21         |                             |
|                                     | Article 21 09 51 — Subtotal                                                                  |    | p.m.                | 6 054 387        | p.m.                | 24 793 208        | 0,—          | 27 500 969,65        | 454,23                      |
|                                     | <b>Chapter 21 09 — Total</b>                                                                 |    | <b>p.m.</b>         | <b>6 054 387</b> | <b>p.m.</b>         | <b>24 793 208</b> | <b>0,—</b>   | <b>27 500 969,65</b> | <b>454,23</b>               |

**21 09 51 Completion of former actions (prior to 2014)**

21 09 51 01 Asia

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 5 161 244 | p.m.                | 10 468 000 | 0,—          | 16 402 723,49 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

21 09 51 02 Latin America

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|----------|---------------------|------------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments   | Commitments  | Payments     |
| p.m.                | 893 143  | p.m.                | 13 042 515 | 0,—          | 9 666 857,95 |

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

**CHAPTER 21 09 — COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME** (cont'd)

**21 09 51** (cont'd)

21 09 51 02 (cont'd)

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

21 09 51 03 Africa

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 1 282 693 | 0,—          | 1 431 388,21 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

COMMISSION

*TITLE 22*

**NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS**



COMMISSION  
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**TITLE 22**

**NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                                    | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|------------------|--------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|                  |                                                                                            | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 22 01            | ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA | 167 697 810          | 167 697 810          | 164 498 708          | 164 498 708          | 156 802 853,21          | 156 802 853,21          |
| 22 02            | ENLARGEMENT PROCESS AND STRATEGY                                                           | 1 287 877 832        | 1 176 132 420        | 1 803 453 832        | 1 082 227 752        | 2 379 866 412,45        | 1 033 398 173,77        |
|                  | <i>Reserves (40 02 41)</i>                                                                 | 70 000 000           | 35 000 000           |                      |                      |                         |                         |
|                  |                                                                                            | 1 357 877 832        | 1 211 132 420        | 1 803 453 832        | 1 082 227 752        | 2 379 866 412,45        | 1 033 398 173,77        |
| 22 04            | EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)                                                    | 2 435 530 106        | 2 336 883 728        | 2 540 127 860        | 1 936 238 875        | 2 367 575 598,73        | 2 144 533 490,74        |
|                  | <b>Title 22 — Total</b>                                                                    | <b>3 891 105 748</b> | <b>3 680 713 958</b> | <b>4 508 080 400</b> | <b>3 182 965 335</b> | <b>4 904 244 864,39</b> | <b>3 334 734 517,72</b> |
|                  | <b>Reserves (40 02 41)</b>                                                                 | <b>70 000 000</b>    | <b>35 000 000</b>    |                      |                      |                         |                         |
|                  |                                                                                            | <b>3 961 105 748</b> | <b>3 715 713 958</b> | <b>4 508 080 400</b> | <b>3 182 965 335</b> | <b>4 904 244 864,39</b> | <b>3 334 734 517,72</b> |

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## TITLE 22

## NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                          | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 22 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA                                                                                                       |     |                     |                     |               |             |
| <b>22 01 01</b>                     | <b>Expenditure related to officials and temporary staff in the 'Neighbourhood and enlargement negotiations' policy area</b>                                                                      |     |                     |                     |               |             |
| 22 01 01 01                         | Expenditure related to officials and temporary staff — Headquarters                                                                                                                              | 5.2 | 35 848 371          | 35 346 352          | 33 634 274,43 | 93,82       |
| 22 01 01 02                         | Expenditure related to officials and temporary staff — Union delegations                                                                                                                         | 5.2 | 23 442 450          | 22 506 376          | 20 972 762,35 | 89,46       |
|                                     | Article 22 01 01 — Subtotal                                                                                                                                                                      |     | 59 290 821          | 57 852 728          | 54 607 036,78 | 92,10       |
| <b>22 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Neighbourhood and enlargement negotiations' policy area</b>                                                            |     |                     |                     |               |             |
| 22 01 02 01                         | External personnel — Headquarters                                                                                                                                                                | 5.2 | 1 642 364           | 1 728 526           | 2 064 626,03  | 125,71      |
| 22 01 02 02                         | External personnel — Union delegations                                                                                                                                                           | 5.2 | 812 407             | 1 480 435           | 1 136 560,07  | 139,90      |
| 22 01 02 11                         | Other management expenditure — Headquarters                                                                                                                                                      | 5.2 | 1 791 764           | 1 861 855           | 2 207 062,13  | 123,18      |
| 22 01 02 12                         | Other management expenditure — Union delegations                                                                                                                                                 | 5.2 | 1 025 842           | 1 073 975           | 1 090 165,32  | 106,27      |
|                                     | Article 22 01 02 — Subtotal                                                                                                                                                                      |     | 5 272 377           | 6 144 791           | 6 498 413,55  | 123,25      |
| <b>22 01 03</b>                     | <b>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Neighbourhood and enlargement negotiations' policy area</b> |     |                     |                     |               |             |
| 22 01 03 01                         | Expenditure related to information and communication technology equipment and services                                                                                                           | 5.2 | 2 317 357           | 2 207 145           | 2 586 293,85  | 111,61      |
| 22 01 03 02                         | Buildings and related expenditure — Union delegations                                                                                                                                            | 5.2 | 4 840 113           | 5 132 750           | 4 498 517,21  | 92,94       |
|                                     | Article 22 01 03 — Subtotal                                                                                                                                                                      |     | 7 157 470           | 7 339 895           | 7 084 811,06  | 98,98       |

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                  | FF | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------|----|---------------------|---------------------|-----------------------|--------------|
| <b>22 01 04</b>                     | <b>Support expenditure for operations and programmes in the ‘Neighbourhood and enlargement negotiations’ policy area</b> |    |                     |                     |                       |              |
| 22 01 04 01                         | Support expenditure for the Instrument for Pre-accession Assistance (IPA)                                                | 4  | 43 251 419          | 42 161 419          | 39 585 901,73         | 91,53        |
| 22 01 04 02                         | Support expenditure for the European Neighbourhood Instrument (ENI)                                                      | 4  | 49 709 723          | 47 795 875          | 45 253 277,75         | 91,04        |
| 22 01 04 03                         | Support expenditure for trust funds managed by the Commission                                                            | 4  | p.m.                | p.m.                | 492 872,34            |              |
|                                     | <i>Article 22 01 04 — Subtotal</i>                                                                                       |    | 92 961 142          | 89 957 294          | 85 332 051,82         | 91,79        |
| <b>22 01 06</b>                     | <b>Executive agencies</b>                                                                                                |    |                     |                     |                       |              |
| 22 01 06 01                         | Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance      | 4  | 729 000             | 789 000             | 798 460,—             | 109,53       |
| 22 01 06 02                         | Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)          | 4  | 2 287 000           | 2 415 000           | 2 482 080,—           | 108,53       |
|                                     | <i>Article 22 01 06 — Subtotal</i>                                                                                       |    | 3 016 000           | 3 204 000           | 3 280 540,—           | 108,77       |
|                                     | <b>Chapter 22 01 — Total</b>                                                                                             |    | <b>167 697 810</b>  | <b>164 498 708</b>  | <b>156 802 853,21</b> | <b>93,50</b> |

**22 01 01** *Expenditure related to officials and temporary staff in the ‘Neighbourhood and enlargement negotiations’ policy area*

22 01 01 01 Expenditure related to officials and temporary staff — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 35 848 371          | 35 346 352          | 33 634 274,43 |

22 01 01 02 Expenditure related to officials and temporary staff — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 23 442 450          | 22 506 376          | 20 972 762,35 |

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA (cont'd)

**22 01 02 External personnel and other management expenditure in support of the 'Neighbourhood and enlargement negotiations' policy area**

22 01 02 01 External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 642 364           | 1 728 526           | 2 064 626,03 |

22 01 02 02 External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 812 407             | 1 480 435           | 1 136 560,07 |

22 01 02 11 Other management expenditure — Headquarters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 791 764           | 1 861 855           | 2 207 062,13 |

22 01 02 12 Other management expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 025 842           | 1 073 975           | 1 090 165,32 |

**22 01 03 Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Neighbourhood and enlargement negotiations' policy area**

22 01 03 01 Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 317 357           | 2 207 145           | 2 586 293,85 |

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**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (cont'd)

**22 01 03** (cont'd)

22 01 03 02 Buildings and related expenditure — Union delegations

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 840 113           | 5 132 750           | 4 498 517,21 |

**22 01 04** **Support expenditure for operations and programmes in the ‘Neighbourhood and enlargement negotiations’ policy area**

22 01 04 01 Support expenditure for the Instrument for Pre-accession Assistance (IPA)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 43 251 419          | 42 161 419          | 39 585 901,73 |

Remarks

This appropriation is intended to cover administrative costs directly linked to the implementation of the Instrument for Pre-Accession Assistance (IPA), the phasing out of pre-accession assistance and TAIEX, in particular:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff), limited to EUR 5 146 149. This estimate is based on a provisional annual unit cost per man-year, of which an estimated 95 % is accounted for by the remuneration of the personnel concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed from this appropriation,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as in Commission Post-Accession Transitions Teams remaining in new Member States during the phasing-out period (contract staff, agency staff) working on tasks directly related to completion of accession programmes. In both cases, it also covers additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in Union delegation of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA** (*cont'd*)**22 01 04** (*cont'd*)22 01 04 01 (*cont'd*)

- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers expenditure on administrative management under Chapter 22 02.

22 01 04 02 Support expenditure for the European Neighbourhood Instrument (ENI)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 49 709 723          | 47 795 875          | 45 253 277,75 |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 4 846 907. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,

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**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)

**22 01 04** (*cont’d*)

22 01 04 02 (*cont’d*)

— research activities on relevant issues and the dissemination thereof,

— expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 03.

22 01 04 03 Support expenditure for trust funds managed by the Commission

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 492 872,34   |

*Remarks*

This appropriation is intended to cover the management costs of the Commission, for a maximum of 5 % of the amounts pooled in the trust funds, from the years in which the contributions to each Trust Fund have started to be used as decided under the Article 187(7) of the Financial Regulation.

Any revenue from the trust funds contributing to the cost of support measures entered in Article 6 3 4 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(2) and 187(7) thereof.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA** (*cont'd*)**22 01 06** *Executive agencies*

22 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 729 000             | 789 000             | 798 460,—    |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency relating to the management of programmes in the 'Neighbourhood and enlargement negotiations' policy area. The mandate of the agency includes managing the outstanding actions from the 2007-2013 programming period for the Youth, Tempus and Erasmus Mundus programmes in which IPA beneficiaries are involved. This appropriation is also intended to cover, under the 'Erasmus+' programme, the operating costs of certain actions of that programme in order to promote the international dimension of higher education and other actions.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus +': the Union Programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).



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**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)

**22 01 06** (*cont’d*)

22 01 06 01 (*cont’d*)

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

22 01 06 02 Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 287 000           | 2 415 000           | 2 482 080,—  |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the implementation of the international dimension of higher education of the ‘Erasmus+’ programme (Heading 4) entrusted to the Agency, under Chapter 22 04. The mandate of the agency includes managing the outstanding actions from the 2007-2013 programming period for the Youth, Tempus and Erasmus Mundus programmes in which ENI beneficiaries are involved.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this Section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing ‘Erasmus+’: the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont'd*)

**22 01 06** (*cont'd*)

22 01 06 02 (*cont'd*)

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

COMMISSION  
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                          | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016    |                | % Payme-<br>nts<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|-----------------|----------------|----------------------------------|
|                                     |                                                                                                                                                                  |    | Commitments         | Payments    | Commitments         | Payments    | Commitments     | Payments       |                                  |
| 22 02                               | ENLARGEMENT<br>PROCESS AND<br>STRATEGY                                                                                                                           |    |                     |             |                     |             |                 |                |                                  |
| <b>22 02 01</b>                     | <b>Support to Albania,<br/>Bosnia and Herze-<br/>govina, Kosovo <sup>(1)</sup>,<br/>Montenegro, Serbia and<br/>the former Yugoslav<br/>Republic of Macedonia</b> |    |                     |             |                     |             |                 |                |                                  |
| 22 02 01 01                         | Support for political<br>reforms and related<br>progressive alignment<br>with the Union <i>acquis</i>                                                            | 4  | 199 267 000         | 221 500 000 | 276 700 000         | 57 046 828  | 230 619 905,80  | 74 441 744,36  | 33,61                            |
| 22 02 01 02                         | Support for economic,<br>social and territorial<br>development and related<br>progressive alignment<br>with the Union <i>acquis</i>                              | 4  | 307 100 000         | 166 000 000 | 280 658 000         | 120 210 046 | 228 023 465,82  | 41 322 249,08  | 24,89                            |
|                                     | <i>Article 22 02 01 —<br/>Subtotal</i>                                                                                                                           |    | 506 367 000         | 387 500 000 | 557 358 000         | 177 256 874 | 458 643 371,62  | 115 763 993,44 | 29,87                            |
| <b>22 02 02</b>                     | <b>Support to Iceland</b>                                                                                                                                        |    |                     |             |                     |             |                 |                |                                  |
| 22 02 02 01                         | Support for political<br>reforms and related<br>progressive alignment<br>with the Union <i>acquis</i>                                                            | 4  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—             | 0,—            |                                  |
| 22 02 02 02                         | Support for economic,<br>social and territorial<br>development and related<br>progressive alignment<br>with the Union <i>acquis</i>                              | 4  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—             | 0,—            |                                  |
|                                     | <i>Article 22 02 02 —<br/>Subtotal</i>                                                                                                                           |    | p.m.                | p.m.        | p.m.                | p.m.        | 0,—             | 0,—            |                                  |
| <b>22 02 03</b>                     | <b>Support to Turkey</b>                                                                                                                                         |    |                     |             |                     |             |                 |                |                                  |
| 22 02 03 01                         | Support for political<br>reforms and related<br>progressive alignment<br>with the Union <i>acquis</i>                                                            | 4  | 97 400 000          | 13 500 000  | 137 200 000         | 12 964 128  | 233 900 000,—   | 117 806 235,25 | 872,64                           |
|                                     | <i>Reserves (40 02 41)</i>                                                                                                                                       |    | 70 000 000          | 35 000 000  |                     |             |                 |                |                                  |
|                                     |                                                                                                                                                                  |    | 167 400 000         | 48 500 000  | 137 200 000         | 12 964 128  | 233 900 000,—   | 117 806 235,25 |                                  |
| 22 02 03 02                         | Support for economic,<br>social and territorial<br>development and related<br>progressive alignment<br>with the Union <i>acquis</i>                              | 4  | 236 384 000         | 262 500 000 | 751 187 000         | 375 400 000 | 1 255 340 000,— | 290 000 000,—  | 110,48                           |
|                                     | <i>Article 22 02 03 —<br/>Subtotal</i>                                                                                                                           |    | 333 784 000         | 276 000 000 | 888 387 000         | 388 364 128 | 1 489 240 000,— | 407 806 235,25 | 147,76                           |
|                                     | <i>Reserves (40 02 41)</i>                                                                                                                                       |    | 70 000 000          | 35 000 000  |                     |             |                 |                |                                  |
|                                     |                                                                                                                                                                  |    | 403 784 000         | 311 000 000 | 888 387 000         | 388 364 128 | 1 489 240 000,— | 407 806 235,25 |                                  |

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                              | FF | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | % Pay-<br>ments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|----------------------------------|
|                                     |                                                                                                                                      |    | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                                  |
| <b>22 02 04</b>                     | <b>Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)</b>                   |    |                      |                      |                      |                      |                         |                         |                                  |
| 22 02 04 01                         | Multi-country programmes, regional integration and territorial cooperation                                                           | 4  | 411 426 000          | 283 000 000          | 320 292 285          | 130 103 278          | 393 680 460,08          | 98 917 104,29           | 34,95                            |
| 22 02 04 02                         | Erasmus+ — Contribution from the Instrument for Pre-accession Assistance (IPA)                                                       | 4  | 30 271 000           | 34 352 588           | 33 061 715           | 33 087 700           | 32 963 697,—            | 30 812 535,—            | 89,69                            |
| 22 02 04 03                         | Contribution to the Energy Community for South-East Europe                                                                           | 4  | 4 529 832            | 4 529 832            | 4 354 832            | 4 354 832            | 4 343 030,—             | 4 343 030,—             | 95,88                            |
|                                     | Article 22 02 04 — Subtotal                                                                                                          |    | 446 226 832          | 321 882 420          | 357 708 832          | 167 545 810          | 430 987 187,08          | 134 072 669,29          | 41,65                            |
| <b>22 02 51</b>                     | <b>Completion of former pre-accession assistance (prior to 2014)</b>                                                                 | 4  | p.m.                 | 190 000 000          | p.m.                 | 348 658 940          | 995 291,25              | 374 875 226,10          | 197,30                           |
| <b>22 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                        |    |                      |                      |                      |                      |                         |                         |                                  |
| 22 02 77 01                         | Pilot project — Preserving and restoring cultural heritage in conflict areas                                                         | 4  | p.m.                 | p.m.                 | p.m.                 | p.m.                 | 0,—                     | 0,—                     |                                  |
| 22 02 77 02                         | Preparatory action — Preserving and restoring cultural heritage in conflict areas                                                    | 4  | p.m.                 | p.m.                 | p.m.                 | 402 000              | 562,50                  | 880 049,69              |                                  |
| 22 02 77 03                         | Preparatory action — Enhancing regional cooperation on the issue of missing persons following the conflicts in the former Yugoslavia | 4  | 1 500 000            | 750 000              |                      |                      |                         |                         |                                  |
|                                     | Article 22 02 77 — Subtotal                                                                                                          |    | 1 500 000            | 750 000              | p.m.                 | 402 000              | 562,50                  | 880 049,69              | 117,34                           |
|                                     | <b>Chapter 22 02 — Total</b>                                                                                                         |    | <b>1 287 877 832</b> | <b>1 176 132 420</b> | <b>1 803 453 832</b> | <b>1 082 227 752</b> | <b>2 379 866 412,45</b> | <b>1 033 398 173,77</b> | <b>87,86</b>                     |
|                                     | <b>Reserves (40 02 41)</b>                                                                                                           |    | <b>70 000 000</b>    | <b>35 000 000</b>    |                      |                      |                         |                         |                                  |
|                                     |                                                                                                                                      |    | <b>1 357 877 832</b> | <b>1 211 132 420</b> | <b>1 803 453 832</b> | <b>1 082 227 752</b> | <b>2 379 866 412,45</b> | <b>1 033 398 173,77</b> |                                  |

(<sup>1</sup>) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (cont'd)

**22 02 01**      **Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia**

22 02 01 01      Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016   |               |
|---------------------|-------------|---------------------|------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments    | Payments      |
| 199 267 000         | 221 500 000 | 276 700 000         | 57 046 828 | 230 619 905,80 | 74 441 744,36 |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

22 02 01 02      Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 307 100 000         | 166 000 000 | 280 658 000         | 120 210 046 | 228 023 465,82 | 41 322 249,08 |

<sup>(1)</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 01 (*cont'd*)22 02 01 02 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

22 02 02 **Support to Iceland**22 02 02 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY** (*cont'd*)

**22 02 02** (*cont'd*)

22 02 02 01 (*cont'd*)

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

22 02 02 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (cont'd)

22 02 02 (cont'd)

22 02 02 02 (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

22 02 03 **Support to Turkey**22 02 03 01 Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |                |
|---------------------|---------------------|------------|---------------------|------------|---------------|----------------|
|                     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments       |
| 22 02 03 01         | 97 400 000          | 13 500 000 | 137 200 000         | 12 964 128 | 233 900 000,— | 117 806 235,25 |
| Reserves (40 02 41) | 70 000 000          | 35 000 000 |                     |            |               |                |
| Total               | 167 400 000         | 48 500 000 | 137 200 000         | 12 964 128 | 233 900 000,— | 117 806 235,25 |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.



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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY** (*cont'd*)

**22 02 03** (*cont'd*)

22 02 03 01 (*cont'd*)

*Conditions for releasing the reserve*

Amount to be released when Turkey makes measurable sufficient improvements in the fields of rule of law, democracy, human rights and press freedom, according to the annual report of the Commission.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

22 02 03 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016    |               |
|---------------------|-------------|---------------------|-------------|-----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments     | Payments      |
| 236 384 000         | 262 500 000 | 751 187 000         | 375 400 000 | 1 255 340 000,— | 290 000 000,— |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Any appropriations used in the context of support to refugees and host communities, need to directly benefit refugees and/or the activities of civil society organisations working in this field.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 03 (*cont'd*)22 02 03 02 (*cont'd*)*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

22 02 04 **Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)**

22 02 04 01 Multi-country programmes, regional integration and territorial cooperation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |               |
|---------------------|-------------|---------------------|-------------|----------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments      |
| 411 426 000         | 283 000 000 | 320 292 285         | 130 103 278 | 393 680 460,08 | 98 917 104,29 |

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I to Regulation (EU) No 231/2014, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014.

This appropriation is intended to cover the financing of pre-accession regional and multi-beneficiary programmes for the beneficiaries.

This appropriation is also intended to cover technical assistance for the beneficiaries in the field of approximation of legislation for the entire *acquis* of the Union, helping all bodies involved in the implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

In accordance with Article 3 of Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95), this appropriation also covers expenditure directly necessary for the implementation of IPA II related to the preparation, follow-up, monitoring, audit and evaluation activities as well as to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY** (*cont'd*)

**22 02 04** (*cont'd*)

22 02 04 01 (*cont'd*)

Part of this allocation should be used for cultural projects aiming at reconciliation between the countries and the peoples of Western Balkans, based on the values upon which the European Union is founded.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular point (d) of Article 2(1) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 02 04 02 Erasmus+ — Contribution from the Instrument for Pre-accession Assistance (IPA)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 30 271 000          | 34 352 588 | 33 061 715          | 33 087 700 | 32 963 697,— | 30 812 535,— |

*Remarks*

This appropriation is intended to cover the technical and financial assistance provided under this external instrument in order to promote the international dimension of higher education for the implementation of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus +': the Union Programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

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CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 04 (*cont'd*)22 02 04 02 (*cont'd*)

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 15(3) thereof.

22 02 04 03 Contribution to the Energy Community for South-East Europe

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 4 529 832           | 4 529 832 | 4 354 832           | 4 354 832 | 4 343 030,—  | 4 343 030,— |

Remarks

This appropriation is intended to cover the contribution of the Union to the Energy Community budget. This budget relates to administrative and operational expenditure.

Legal basis

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

22 02 51 **Completion of former pre-accession assistance (prior to 2014)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 190 000 000 | p.m.                | 348 658 940 | 995 291,25   | 374 875 226,10 |

Remarks

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April 2005 (part of the Treaty concerning the accession of the Republic of Bulgaria and Romania to the European Union).

Tasks resulting from the specific powers assigned directly to the Commission by Article 30 of the Act of Accession of Croatia.

Council Regulation (EEC) No 3906/89 of 18 December 1989 on economic aid to the Republic of Hungary and the Polish People's Republic (OJ L 375, 23.12.1989, p. 11).

Council Regulation (EC) No 1488/96 of 23 July 1996 on financial and technical measures to accompany (MEDA) the reform of economic and social structures in the framework of the Euro-Mediterranean partnership (OJ L 189, 30.7.1996, p. 1).

Council Regulation (EC) No 555/2000 of 13 March 2000 on the implementation of operations in the framework of the pre-accession strategy for the Republic of Cyprus and the Republic of Malta (OJ L 68, 16.3.2000, p. 3).

Council Regulation (EC) No 764/2000 of 10 April 2000 regarding the implementation of measures to intensify the EC-Turkey customs union (OJ L 94, 14.4.2000, p. 6).

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the Former Yugoslav Republic of Macedonia, repealing Regulation (EC) No 1628/96 and amending Regulations (EEC) No 3906/89 and (EEC) No 1360/90 and Decisions 97/256/EC and 1999/311/EC (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 2500/2001 of 17 December 2001 concerning pre-accession financial assistance for Turkey and amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 555/2000 (OJ L 342, 27.12.2001, p. 1).

Council Decision 2006/500/EC of 29 May 2006 on the conclusion by the European Community of the Energy Community Treaty (OJ L 198, 20.7.2006, p. 15).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

22 02 77 **Pilot projects and preparatory actions**

22 02 77 01 Pilot project — Preserving and restoring cultural heritage in conflict areas

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (cont'd)

22 02 77 (cont'd)

22 02 77 01 (cont'd)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 02 77 02 Preparatory action — Preserving and restoring cultural heritage in conflict areas

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 402 000  | 562,50       | 880 049,69 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 02 77 03 Preparatory action — Enhancing regional cooperation on the issue of missing persons following the conflicts in the former Yugoslavia

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 500 000           | 750 000  |                     |          |              |          |

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This preparatory action will support the International Commission on Missing Persons (ICMP) Western Balkans Programme for 2018 and 2019 in assisting governments in the search for and identification of missing persons from the conflicts in the former Yugoslavia through enhanced regional cooperation.

Despite the progress achieved so far and the significant efforts and active commitment of the Union, resolving the fate of the missing from the conflicts in the former Yugoslavia remains a burning issue. Cooperation at regional level between the countries concerned has not been sufficiently explored.

This action will help authorities address the large number of NN (unidentified bodies) cases in countries in the region and the interrelated issue of misidentification, which occurred prior to the ICMP's introduction of DNA identification in 2001.

Following the signing of cooperation agreements between the ICMP and Bosnia and Herzegovina, Kosovo and Montenegro respectively in 2016, and the conclusion of an agreement with Serbia in 2017, the action will establish a database of active missing person cases from the conflicts in the former Yugoslavia and facilitate regular meetings with regional authorities to discuss them. This will enable the transparent management of data on missing persons and a cooperative approach at regional level in order to reduce the number of unresolved missing person cases. Collection of additional genetic references from families of missing persons in the region, supported by outreach and campaigning efforts, will help the authorities to identify NN cases and take corrective action where misidentifications are demonstrated to have occurred. The action will also strengthen regional cooperation among associations of families of the missing so they can be involved in the process and jointly ensure government accountability on this matter.

The main activities to be undertaken under this regional action are:

- (1) establishing a database of active missing person cases from conflicts in the former Yugoslavia, and facilitating regular meetings with regional authorities to discuss cases;
- (2) providing and managing a small grant to the body responsible for regional coordination of associations of families of missing persons from the former Yugoslavia for the purposes of lobbying to encourage regional governments and authorities to search for and identify the missing;
- (3) providing assistance to national authorities in affected countries in the Western Balkans to excavate and examine mortal remains from clandestine graves;
- (4) providing continued access to the ICMP's DNA laboratory standing capacity (sampling and extraction of DNA profiles from excavated cases, and DNA matching of genetic reference profiles and post mortem profiles);
- (5) engaging the national authorities in Bosnia and Herzegovina, Croatia, Kosovo and Serbia that are responsible for searching for missing persons (government commissions on missing persons) in a regional policy dialogue that will develop sustainable and effective initiatives for resolving the related issues of NN cases and misidentifications resulting from the use of traditional visual recognition methods.

The added value of this action will be in ensuring that the issue of missing persons remains a rule-of-law priority in the Western Balkan region. It is indeed important to remember the regional dimension of the wars in the former Yugoslavia, with tens of thousands of missing persons now living in neighbouring countries and with many of those who died during the conflicts having been buried in neighbouring countries.

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ICMP activities financed at national level, at this point in time mainly in Bosnia and Herzegovina, include: technical support for locating and excavating clandestine graves; access to DNA testing and matching; support for local stakeholders to complete the review of unidentified remains in mortuaries through a systematic process involving additional blood collection from relatives of the missing in order to identify NN cases in mortuary facilities in Bosnia and Herzegovina, increase the identification rate and significantly reduce the number of unidentified remains in storage.

Activities carried out under this regional action are separate from and complementary to the activities that are currently taking place at national level. It is essential to note that, while separate from each other, there are important synergies between the regional and national projects that will help ensure that goals are achieved under both actions.

In this process it will also be important that investigations are done in a manner that respects the needs of families and the long-term societal need to provide a historically accurate accounting of atrocities that can counterbalance unsubstantiated and politically motivated narratives. To this end, the regional dimension will be very important in strengthening cooperation among associations of families in the countries concerned.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                             | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016     |                | % Payments<br>2016/<br>2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|------------------|----------------|-----------------------------|
|                                     |                                                                                                                                                     |    | Commitments         | Payments    | Commitments         | Payments    | Commitments      | Payments       |                             |
| 22 04                               | EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)                                                                                                             |    |                     |             |                     |             |                  |                |                             |
| <b>22 04 01</b>                     | <b>Supporting cooperation with Mediterranean countries</b>                                                                                          |    |                     |             |                     |             |                  |                |                             |
| 22 04 01 01                         | Mediterranean countries — Human rights, good governance and mobility                                                                                | 4  | 119 435 744         | 65 000 000  | 173 000 000         | 61 799 487  | 123 190 000,—    | 52 919 964,28  | 81,42                       |
| 22 04 01 02                         | Mediterranean countries — Poverty reduction and sustainable development                                                                             | 4  | 596 250 682         | 460 000 000 | 613 835 212         | 247 340 416 | 577 000 000,—    | 369 274 836,01 | 80,28                       |
| 22 04 01 03                         | Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts                                              | 4  | 296 072 675         | 133 500 000 | 332 480 439         | 134 805 000 | 355 730 000,—    | 61 930 974,53  | 46,39                       |
| 22 04 01 04                         | Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) | 4  | 299 379 163         | 261 500 000 | 310 100 000         | 251 632 326 | 313 319 528,72   | 281 931 801,33 | 107,81                      |
|                                     | <i>Article 22 04 01 — Subtotal</i>                                                                                                                  |    | 1 311 138 264       | 920 000 000 | 1 429 415 651       | 695 577 229 | 1 369 239 528,72 | 766 057 576,15 | 83,27                       |
| <b>22 04 02</b>                     | <b>Supporting cooperation with Eastern Partnership countries</b>                                                                                    |    |                     |             |                     |             |                  |                |                             |
| 22 04 02 01                         | Eastern Partnership — Human rights, good governance and mobility                                                                                    | 4  | 229 520 067         | 110 000 000 | 214 000 000         | 71 004 890  | 137 450 000,—    | 111 395 306,54 | 101,27                      |
| 22 04 02 02                         | Eastern Partnership — Poverty reduction and sustainable development                                                                                 | 4  | 361 556 726         | 322 500 000 | 322 125 583         | 69 044 246  | 384 541 827,—    | 115 140 870,49 | 35,70                       |
| 22 04 02 03                         | Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts                                                  | 4  | 11 603 569          | 2 500 000   | 8 000 000           | 5 000 000   | 12 000 000,—     | 4 764 966,80   | 190,60                      |
|                                     | <i>Article 22 04 02 — Subtotal</i>                                                                                                                  |    | 602 680 362         | 435 000 000 | 544 125 583         | 145 049 136 | 533 991 827,—    | 231 301 143,83 | 53,17                       |

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## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                             | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------|----------------|--------------------------------|
|                                     |                                                                                                                     |     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                                |
| <b>22 04 03</b>                     | <b>Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation</b>             |     |                     |             |                     |             |                |                |                                |
| 22 04 03 01                         | Cross-border cooperation (CBC) — Contribution from Heading 4                                                        | 4   | 89 211 797          | 60 000 000  | 86 119 807          | 35 000 000  | 83 485 550,—   | 19 261 878,12  | 32,10                          |
| 22 04 03 02                         | Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)                                     | 1.2 | 121 608 308         | 103 795 278 | 150 691 819         | 35 000 000  | 55 271 008,—   | 15 713 424,—   | 15,14                          |
| 22 04 03 03                         | Support to other multi-country cooperation in the neighbourhood — Umbrella programme                                | 4   | 204 300 000         | 125 000 000 | 196 500 000         | 41 660 434  | 193 500 000,—  | 52 193 737,42  | 41,75                          |
| 22 04 03 04                         | Other multi-country cooperation in the neighbourhood — Supporting measures                                          | 4   | 26 208 375          | 6 500 000   | 30 110 000          | 6 760 524   | 24 852 738,—   | 2 520 000,—    | 38,77                          |
|                                     | <i>Article 22 04 03 — Subtotal</i>                                                                                  |     | 441 328 480         | 295 295 278 | 463 421 626         | 118 420 958 | 357 109 296,—  | 89 689 039,54  | 30,37                          |
| <b>22 04 20</b>                     | <b>Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)</b>                                     | 4   | 79 733 000          | 99 263 450  | 102 415 000         | 96 647 388  | 105 264 380,47 | 100 790 975,20 | 101,54                         |
| <b>22 04 51</b>                     | <b>Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)</b> | 4   | p.m.                | 580 000 000 | p.m.                | 852 717 008 | 1 970 566,54   | 918 846 531,64 | 158,42                         |
| <b>22 04 52</b>                     | <b>Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)</b>                              | 1.2 | p.m.                | 7 000 000   | p.m.                | 26 775 105  | 0,—            | 36 115 337,55  | 515,93                         |
| <b>22 04 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                       |     |                     |             |                     |             |                |                |                                |
| 22 04 77 03                         | Preparatory action — New Euro-Mediterranean strategy for youth employment promotion                                 | 4   | p.m.                | p.m.        | p.m.                | 335 789     | 0,—            | 193 635,91     |                                |
| 22 04 77 04                         | Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs                                               | 4   | p.m.                | p.m.        | p.m.                | p.m.        | 0,—            | 170 510,92     |                                |
| 22 04 77 05                         | Preparatory action — Asset recovery to Arab Spring countries                                                        | 4   | p.m.                | p.m.        | p.m.                | 341 262     | 0,—            | 1 368 740,—    |                                |

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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                  | FF | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|-----------------------------|
|                                     |                                                                                                                                                                                          |    | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |                             |
| <b>22 04 77</b>                     | <i>(cont'd)</i>                                                                                                                                                                          |    |                      |                      |                      |                      |                         |                         |                             |
| 22 04 77 06                         | Pilot project — Developing knowledge-based European journalism relating to Europe's neighbours, through educational activities delivered by the Natolin Campus of the College of Europe. | 4  | p.m.                 | p.m.                 | 750 000              | 375 000              |                         |                         |                             |
| 22 04 77 07                         | Preparatory action — Support for Union neighbours to implement asset recovery                                                                                                            | 4  | 650 000              | 325 000              |                      |                      |                         |                         |                             |
|                                     | <i>Article 22 04 77 — Subtotal</i>                                                                                                                                                       |    | 650 000              | 325 000              | 750 000              | 1 052 051            | 0,—                     | 1 732 886,83            | 533,20                      |
|                                     | <b>Chapter 22 04 — Total</b>                                                                                                                                                             |    | <b>2 435 530 106</b> | <b>2 336 883 728</b> | <b>2 540 127 860</b> | <b>1 936 238 875</b> | <b>2 367 575 598,73</b> | <b>2 144 533 490,74</b> | <b>91,77</b>                |

**22 04 01 Supporting cooperation with Mediterranean countries**

22 04 01 01 Mediterranean countries — Human rights, good governance and mobility

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 119 435 744         | 65 000 000 | 173 000 000         | 61 799 487 | 123 190 000,— | 52 919 964,28 |

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- human rights and fundamental freedoms,
- the rule of law,
- principles of equality,
- establishing deep and sustainable democracy,
- good governance,
- developing a thriving civil society including social partners,
- creating conditions for well managed mobility of people,
- promotion of people-to-people contacts.

An adequate level of appropriations should be reserved for the support of civil society organisations.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

22 04 01 (cont'd)

22 04 01 01 (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 01 02 Mediterranean countries — Poverty reduction and sustainable development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                |
|---------------------|-------------|---------------------|-------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |
| 596 250 682         | 460 000 000 | 613 835 212         | 247 340 416 | 577 000 000,— | 369 274 836,01 |

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation including through:
  - legislative approximation and regulatory convergence towards Union and other relevant international standards,
  - institution building,
  - investments,
- sustainable and inclusive development in all aspects,
- poverty reduction, including through private-sector development,
- promotion of internal economic, social and territorial cohesion,
- rural development,

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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

**22 04 01** (cont'd)

22 04 01 02 (cont'd)

- climate action,
- disaster resilience.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 01 03 Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |               |
|---------------------|-------------|---------------------|-------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments      |
| 296 072 675         | 133 500 000 | 332 480 439         | 134 805 000 | 355 730 000,— | 61 930 974,53 |

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- confidence and peace building including amongst children,
- security and the prevention and settlement of conflicts,
- support to refugees and displaced population including children.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

22 04 01 (cont'd)

22 04 01 03 (cont'd)

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 01 04 Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 299 379 163         | 261 500 000 | 310 100 000         | 251 632 326 | 313 319 528,72 | 281 931 801,33 |

*Remarks*

This appropriation is intended to cover operations for the benefit of the Palestinian people and the occupied Palestinian territories of the West Bank and the Gaza Strip, in the context of the Middle East peace process.

The operations are primarily aimed at:

- supporting state-building and institutional development,
- promoting social and economic development,
- mitigating the effects on the Palestinian population of the deteriorating economic, fiscal and humanitarian conditions through the provision of essential services and other support,
- contributing to reconstruction efforts in Gaza,
- contributing to the financing of the operation of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) and in particular its health, education and social services programmes,
- financing preparatory operations aimed at promoting cooperation between Israel and its neighbours in the context of the peace process, notably with regard to institutions, economic matters, water, the environment and energy,
- financing activities designed to create a public opinion favourable to the peace process,
- financing information, including in Arabic and Hebrew, and disseminating information on Israeli-Palestinian cooperation,
- promoting respect for human rights and fundamental freedoms, promoting enhanced respect for minority rights, combating anti-Semitism and promoting gender equality and non-discrimination,

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 01** (cont'd)

## 22 04 01 04 (cont'd)

— fostering the development of civil society, inter alia, to promote social inclusion.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 02** ***Supporting cooperation with Eastern Partnership countries***

## 22 04 02 01 Eastern Partnership — Human rights, good governance and mobility

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |                |
|---------------------|-------------|---------------------|------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments       |
| 229 520 067         | 110 000 000 | 214 000 000         | 71 004 890 | 137 450 000,— | 111 395 306,54 |

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- human rights and fundamental freedoms,
- the rule of law,
- principles of equality,
- establishing deep and sustainable democracy,
- good governance,
- developing a thriving civil society including social partners,

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## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

22 04 02 (cont'd)

22 04 02 01 (cont'd)

- creating conditions for well managed mobility of people,
- promotion of people-to-people contacts.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 02 02 Eastern Partnership — Poverty reduction and sustainable development

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |                |
|---------------------|-------------|---------------------|------------|---------------|----------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments       |
| 361 556 726         | 322 500 000 | 322 125 583         | 69 044 246 | 384 541 827,— | 115 140 870,49 |

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation including through:
  - legislative approximation and regulatory convergence towards Union and other relevant international standards,
  - institution building,
  - investments,
- sustainable and inclusive development in all aspects,
- poverty reduction, including through private-sector development,



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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

**22 04 02** (cont'd)

22 04 02 02 (cont'd)

- promotion of internal economic, social and territorial cohesion,
- rural development,
- climate action,
- disaster resilience.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 02 03 Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 11 603 569          | 2 500 000 | 8 000 000           | 5 000 000 | 12 000 000,— | 4 764 966,80 |

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- confidence and peace building,
- security and the prevention and settlement of conflicts,
- support for refugees and displaced persons, including children.

An adequate level of appropriations should be reserved for the support of civil society organisations.

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

22 04 02 (cont'd)

22 04 02 03 (cont'd)

Part of this appropriation will be used for measures focusing on the many frozen conflicts in the Eastern Neighbourhood and to support the search for political solutions to those conflicts.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

The action taken should be delivered in such a way as to ensure the highest possible visibility for the European Union as donor and financier.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 03 **Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation**

22 04 03 01 Cross-border cooperation (CBC) — Contribution from Heading 4

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 89 211 797          | 60 000 000 | 86 119 807          | 35 000 000 | 83 485 550,— | 19 261 878,12 |

*Remarks*

This appropriation is intended to cover cross-border cooperation programmes between, on the one hand, Member States and, on the other hand, partner countries and/or the Russian Federation along the external borders of the Union, in order to promote integrated and sustainable regional development and cooperation between neighbouring border areas and harmonious territorial integration across the Union and with neighbouring countries.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article point (b) of 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 03** (cont'd)

22 04 03 01 (cont'd)

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

*Reference acts*

Commission Implementing Regulation (EU) No 897/2014 of 18 August 2014 laying down specific provisions for the implementation of cross-border cooperation programmes financed under Regulation (EU) No 232/2014 of the European Parliament and the Council establishing a European Neighbourhood Instrument (OJ L 244, 19.8.2014, p. 12).

22 04 03 02 Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|-------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments  | Payments     |
| 121 608 308         | 103 795 278 | 150 691 819         | 35 000 000 | 55 271 008,— | 15 713 424,— |

*Remarks*

This appropriation is intended to cover the ERDF support under the European territorial cooperation goal in the 2014-2020 programming period to cross-border and sea-basin cooperation programmes under the European Neighbourhood Instrument (ENI).

It is intended in particular to finance cross-border cooperation programmes along the external borders of the Union between partner countries and Member States to promote integrated and sustainable regional development between neighbouring border regions, including the Baltic Sea and the Black Sea, and harmonious territorial integration across the Union and with neighbouring countries.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

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## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

22 04 03 (cont'd)

22 04 03 02 (cont'd)

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 03 03 Support to other multi-country cooperation in the neighbourhood — Umbrella programme

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|-------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments   | Payments      |
| 204 300 000         | 125 000 000 | 196 500 000         | 41 660 434 | 193 500 000,— | 52 193 737,42 |

*Remarks*

This appropriation is intended mainly to finance the multi-country umbrella programmes that will supplement the country financial allocations. The purpose of the multi-country umbrella programmes — as stipulated in Regulation (EU) No 232/2014 — is to facilitate the implementation of the incentive-based approach.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 03 04 Other multi-country cooperation in the neighbourhood — Supporting measures

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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

**22 04 03** (cont'd)

22 04 03 04 (cont'd)

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 26 208 375          | 6 500 000 | 30 110 000          | 6 760 524 | 24 852 738,— | 2 520 000,— |

*Remarks*

This appropriation is intended to cover actions which aim to:

- provide general support to the functioning of the Union for the Mediterranean,
- provide general support to the functioning of the Eastern Partnership initiative,
- provide general support to the functioning of the other regional cooperation frameworks, such as the Northern Dimension and Black Sea Synergy.

It is also intended to cover actions improving the level and capacity of implementation of Union assistance as well as for actions aiming to inform the general public and the potential beneficiaries of assistance and to increase visibility.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 20** *Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016   |                |
|---------------------|------------|---------------------|------------|----------------|----------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments    | Payments       |
| 79 733 000          | 99 263 450 | 102 415 000         | 96 647 388 | 105 264 380,47 | 100 790 975,20 |

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## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

## 22 04 20 (cont'd)

## Remarks

This appropriation is intended to cover the technical and financial assistance provided under this external instrument in order to promote the international dimension of higher education for the implementation of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

## Legal basis

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 51 **Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 580 000 000 | p.m.                | 852 717 008 | 1 970 566,54 | 918 846 531,64 |

## Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

It is also intended to cover the completion of the financial protocols with Mediterranean countries, including, inter alia, support for the Euro-Mediterranean Investment Facility within the European Investment Bank and covers the execution of non-EIB financial aid provided for in the third and fourth generation of financial protocols with the southern Mediterranean countries. The protocols cover the period 1 November 1986 to 31 October 1991 for the third generation of financial protocols and the period 1 November 1991 to 31 October 1996 for the fourth generation of financial protocols.

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The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EEC) No 2210/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 263, 27.9.1978, p. 1).

Council Regulation (EEC) No 2211/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Kingdom of Morocco (OJ L 264, 27.9.1978, p. 1).

Council Regulation (EEC) No 2212/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Republic of Tunisia (OJ L 265, 27.9.1978, p. 1).

Council Regulation (EEC) No 2213/78 of 26 September 1978 on the conclusion of the Cooperation Agreement between the European Economic Community and the Arab Republic of Egypt (OJ L 266, 27.9.1978, p. 1).

Council Regulation (EEC) No 2214/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Lebanese Republic (OJ L 267, 27.9.1978, p. 1).

Council Regulation (EEC) No 2215/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 268, 27.9.1978, p. 1).

Council Regulation (EEC) No 2216/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Syrian Arab Republic (OJ L 269, 27.9.1978, p. 1).

Council Regulation (EEC) No 3177/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 337, 29.11.1982, p. 1).

Council Regulation (EEC) No 3178/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 337, 29.11.1982, p. 8).

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CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) *(cont'd)***22 04 51** *(cont'd)*

Council Regulation (EEC) No 3179/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 337, 29.11.1982, p. 15).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3181/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 337, 29.11.1982, p. 29).

Council Regulation (EEC) No 3182/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 337, 29.11.1982, p. 36).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision 88/30/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 22, 27.1.1988, p. 1).

Council Decision 88/31/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 22, 27.1.1988, p. 9).

Council Decision 88/32/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 22, 27.1.1988, p. 17).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/206/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 94, 8.4.1992, p. 13).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).



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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

**22 04 51** (cont'd)

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Regulation (EEC) No 1762/92 of 29 June 1992 on the implementation of the Protocols on financial and technical cooperation concluded by the Community with Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 1).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Regulation (EC) No 1734/94 of 11 July 1994 on financial and technical cooperation with the West Bank and the Gaza Strip (OJ L 182, 16.7.1994, p. 4).

Council Regulation (EC) No 213/96 of 29 January 1996 on the implementation of the European Communities investment partners financial instrument for the countries of Latin America, Asia, the Mediterranean region and South Africa (OJ L 28, 6.2.1996, p. 2).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**22 04 52** **Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|-----------|---------------------|------------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 7 000 000 | p.m.                | 26 775 105 | 0,—          | 36 115 337,55 |

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## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

## 22 04 52 (cont'd)

## Remarks

This appropriation is intended to cover commitments remaining to be settled from the European Regional Development Fund 2007-2013 contribution to cross-border cooperation under the European Neighbourhood and Partnership Instrument.

## Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

22 04 77 **Pilot projects and preparatory actions**

22 04 77 03 Preparatory action — New Euro-Mediterranean strategy for youth employment promotion

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 335 789  | 0,—          | 193 635,91 |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

## Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 04 77 04 Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs

## Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 170 510,92 |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

**22 04 77** (cont'd)

22 04 77 04 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 04 77 05 Preparatory action — Asset recovery to Arab Spring countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |             |
|---------------------|----------|---------------------|----------|--------------|-------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments    |
| p.m.                | p.m.     | p.m.                | 341 262  | 0,—          | 1 368 740,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 04 77 06 Pilot project — Developing knowledge-based European journalism relating to Europe's neighbours, through educational activities delivered by the Natolin Campus of the College of Europe.

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 750 000             | 375 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

At present, there is a dearth of factual knowledge among journalists in the Union and in European Neighbourhood Policy (ENP) countries regarding relations between the Union and its neighbours, which leads to risks of untrustworthy or irrelevant reporting by those working on or in the European Neighbourhood. Often, the reporting tools used were developed over a century ago, but are not suited to today's demands. Building capacities among relevant journalists is all the more pressing, given the frequently fragile situations in the European Union's neighbourhood.

Consequently, Union and ENP countries' publics and decision-makers need knowledge-based, trustworthy and coherent reporting on the Union's neighbouring countries and regions. Poor-quality journalism can hinder the success of the ENP, while — conversely — knowledge-based and coherent journalism can contribute to its impact and positive visibility.

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)22 04 77 (*cont'd*)22 04 77 06 (*cont'd*)

This pilot project will cover a knowledge-building programme at the College of Europe Natolin Campus for European and ENP countries' journalists working on the European Union's neighbourhood, encompassing a classroom educational component, study visits in ENP countries and training in the field. As part of the classroom educational component, the pilot project will develop and provide a modular academic education programme for Union and ENP countries' journalists, targeting media workers at the beginning of their career and mid-career journalists. It will consist of a series of short (two to three days) and intensive in situ academic classes (for which an appropriate certificate or diploma will be provided) while providing participants with digital tools and communication channels to remain in contact between classes. The programme will also encompass on-site study visits in ENP countries and field training so as to ensure comprehensive and practical skill development and liaison with key societal actors on the ground. Such a methodology will ensure that professionally active journalists will be permitted by their media outlets to take part in this modular education programme (while allowing their managements to benefit from the journalists' enhanced knowledge acquired through the project). In addition, such an approach will establish and strengthen professional networks of Union and ENP South and East journalists specialising in in-depth sectoral and cooperation policies, thus building a shared network of information sources and broadening the spectrum of reliable information available in the Union and in ENP countries. Geographical balance with regard to participating journalists (between and within Union and the ENP countries) will be ensured during the pilot project. In this geographically balanced group, three best-performing participants (based on objective test and exam scores) from the EU, ENP South and ENP East, respectively, will be granted one-year scholarships to study at Natolin Campus on its advanced master's programme in order to specialise in ENP-related issues.

Such academic classes can only be delivered by a highly acclaimed higher-education institution already specialising in the ENP and the Union's wider neighbourhoods, and an institution that already successfully serves as the link between the Union and its neighbourhoods, which is the Natolin Campus of the College of Europe. Therefore, this pilot project will be implemented by the College of Europe Natolin Campus, which has grown to specialise both in Union studies and in ENP issues, thanks to its specialisation, location (e.g. proximity to the Frontex Agency), organised field visits in many countries in the Union's neighbourhood, including ENP-South and ENP-East, its cutting-edge academic activities carried out by its own European Neighbourhood Policy Chair and the European Civilisation Chair, the 'E-Platform for Neighbourhood' project currently being implemented, and its long-standing vast network of professional and academic links in the Union, ENP-East and ENP-South.

The College of Europe Natolin Campus is a unique hub of accumulated expertise with experience and access to a wide network of the highest-level academics and practitioners (including in the Union's neighbouring countries thanks to long-standing academic and educational cooperation) specialising in Union institutions and policies, European integration, the Union's relations with external actors, including all ENP aspects, and Europe's neighbouring countries themselves.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 04 77 07 Preparatory action — Support for Union neighbours to implement asset recovery

COMMISSION  
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)**

**22 04 77** (cont'd)

22 04 77 07 (cont'd)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 650 000             | 325 000  |                     |          |              |          |

*Remarks*

This preparatory action will build on successful practices under the Union-funded preparatory action to support Arab Spring countries to implement asset recovery. That action focused on supporting Egypt, Libya and Tunisia in furthering asset recovery cases resulting from the 2011 revolutions that toppled their former leaders, in particular with respect to bilateral judicial cooperation with the Member States and other countries. This has resulted in, among other achievements, strengthened coordination between Arab Spring countries and the Member States on asset recovery, and in facilitating the recovery and return of over USD 300 million. In the light of the above, officials from the Member States and high-level officials of the original beneficiary states (including the Prime Minister of Libya, the Prosecutor-General of Egypt and the Minister for State Property for Tunisia) have indicated a strong interest in seeing a broader, more permanent Union programme to implement asset recovery which includes the activities referred to above:

- (1) strengthening regional platforms for dialogue, exchange of best practices and operational cooperation (particularly with Union counterparts) on asset recovery;
- (2) strengthening legislation on seizure and confiscation of assets linked to corruption;
- (3) specialised case mentoring for asset recovery practitioners so as to produce better operational practices in tracing and recovering stolen assets;
- (4) technical advice to ensure that recovered stolen assets are directed to high-priority development needs in national health and education sectors so as to help further stabilise Union neighbours;
- (5) technical advice to ensure greater operational harmonisation with both the Union and Financial Action Task Force standards;
- (6) dissemination of evidence-based research to enhance widespread knowledge of how assets are corruptly diverted and stolen, and to educate policymakers on how development is impeded by delays in the recovery of assets; and
- (7) strengthening civil society's role in prioritising the final destination of recovered assets.

It should be noted that the planned DG DEVCO Global Project to Combat Money Laundering and the Financing of Terrorism (CRIS number: 038875) focuses principally on anti-money-laundering and combating the financing of terrorism, whereas this action focuses principally on asset recovery and on continuing the work of assisting beneficiary countries in actual high-level cases linked to acts of corruption and other forms of organised criminal activity. Accordingly, this action is distinct in nature, but will serve as a useful complement to the DG DEVCO project and strengthen its impact.

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)22 04 77 (*cont'd*)22 04 77 07 (*cont'd*)

Given the value of asset recovery work in contributing to stabilisation among Union neighbours, the ownership taken up by national counterparts and the results achieved thus far through the expertise provided through the preliminary preparatory action, this action will be highly relevant to Tunisia and Libya, as well as to other European Neighbourhood Policy South partner countries (Jordan and Lebanon). Egypt will be involved in region-wide activities. The action, while distinct in scope from other pending or planned projects, will also serve to reinforce and complement such efforts to assist these countries in combating corruption, money laundering, the financing of terrorism and other forms of organised crime. This action will also directly support existing Union and United Nations sanctions regimes affecting countries in the Middle East and the North Africa region.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 23*

**HUMANITARIAN AID AND CIVIL PROTECTION**

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## TITLE 23

## HUMANITARIAN AID AND CIVIL PROTECTION

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title Chapter | Heading                                                                               | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|---------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                                       | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 23 01         | ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA | 44 369 855           | 44 369 855           | 43 743 277           | 43 743 277           | 39 505 740,92           | 39 505 740,92           |
| 23 02         | HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS                           | 1 076 528 642        | 1 085 871 178        | 936 200 000          | 1 136 580 853        | 2 143 637 681,30        | 1 924 475 054,55        |
| 23 03         | THE UNION CIVIL PROTECTION MECHANISM                                                  | 48 867 000           | 49 486 754           | 51 736 000           | 51 752 707           | 39 241 806,29           | 32 232 977,76           |
|               | <i>Reserves (40 02 41)</i>                                                            | 2 000 000            | 1 500 000            |                      |                      |                         |                         |
|               |                                                                                       | 50 867 000           | 50 986 754           | 51 736 000           | 51 752 707           | 39 241 806,29           | 32 232 977,76           |
| 23 04         | EU AID VOLUNTEERS INITIATIVE                                                          | 19 235 000           | 15 780 963           | 20 972 000           | 22 678 550           | 8 119 639,47            | 6 348 977,58            |
|               | <b>Title 23 — Total</b>                                                               | <b>1 189 000 497</b> | <b>1 195 508 750</b> | <b>1 052 651 277</b> | <b>1 254 755 387</b> | <b>2 230 504 867,98</b> | <b>2 002 562 750,81</b> |
|               | <i>Reserves (40 02 41)</i>                                                            | 2 000 000            | 1 500 000            |                      |                      |                         |                         |
|               |                                                                                       | <b>1 191 000 497</b> | <b>1 197 008 750</b> | <b>1 052 651 277</b> | <b>1 254 755 387</b> | <b>2 230 504 867,98</b> | <b>2 002 562 750,81</b> |



COMMISSION  
TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

**TITLE 23**

**HUMANITARIAN AID AND CIVIL PROTECTION**

**CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                         | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 23 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA                                                                           |     |                     |                     |                      |              |
| <b>23 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Humanitarian aid and civil protection' policy area</i></b>                                   | 5.2 | 27 764 915          | 27 224 698          | 22 902 301,32        | 82,49        |
| <b>23 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Humanitarian aid and civil protection' policy area</i></b>                         |     |                     |                     |                      |              |
| 23 01 02 01                         | External personnel                                                                                                                                              | 5.2 | 2 648 439           | 2 767 204           | 2 630 246,—          | 99,31        |
| 23 01 02 11                         | Other management expenditure                                                                                                                                    | 5.2 | 1 703 327           | 1 783 373           | 1 817 262,69         | 106,69       |
|                                     | <i>Article 23 01 02 — Subtotal</i>                                                                                                                              |     | 4 351 766           | 4 550 577           | 4 447 508,69         | 102,20       |
| <b>23 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Humanitarian aid and civil protection' policy area</i></b> | 5.2 | 1 794 816           | 1 700 002           | 1 761 155,30         | 98,12        |
| <b>23 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Humanitarian aid and civil protection' policy area</i></b>                                      |     |                     |                     |                      |              |
| 23 01 04 01                         | Support expenditure for humanitarian aid, food assistance and disaster preparedness                                                                             | 4   | 9 365 358           | 9 229 000           | 9 405 775,61         | 100,43       |
|                                     | <i>Article 23 01 04 — Subtotal</i>                                                                                                                              |     | 9 365 358           | 9 229 000           | 9 405 775,61         | 100,43       |
| <b>23 01 06</b>                     | <b><i>Executive agencies</i></b>                                                                                                                                |     |                     |                     |                      |              |
| 23 01 06 01                         | Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative                                                            | 4   | 1 093 000           | 1 039 000           | 989 000,—            | 90,48        |
|                                     | <i>Article 23 01 06 — Subtotal</i>                                                                                                                              |     | 1 093 000           | 1 039 000           | 989 000,—            | 90,48        |
|                                     | <b>Chapter 23 01 — Total</b>                                                                                                                                    |     | <b>44 369 855</b>   | <b>43 743 277</b>   | <b>39 505 740,92</b> | <b>89,04</b> |

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA (cont'd)

**23 01 01 Expenditure related to officials and temporary staff in the 'Humanitarian aid and civil protection' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 27 764 915          | 27 224 698          | 22 902 301,32 |

**23 01 02 External personnel and other management expenditure in support of the 'Humanitarian aid and civil protection' policy area**

## 23 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 648 439           | 2 767 204           | 2 630 246,—  |

## 23 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 703 327           | 1 783 373           | 1 817 262,69 |

**23 01 03 Expenditure related to information and communication technology equipment and services of the 'Humanitarian aid and civil protection' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 794 816           | 1 700 002           | 1 761 155,30 |

**23 01 04 Support expenditure for operations and programmes in the 'Humanitarian aid and civil protection' policy area**

## 23 01 04 01 Support expenditure for humanitarian aid, food assistance and disaster preparedness

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 365 358           | 9 229 000           | 9 405 775,61 |

**CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HUMANITARIAN AID AND CIVIL PROTECTION’ POLICY AREA** (cont'd)**23 01 04** (cont'd)

23 01 04 01 (cont'd)

*Remarks*

This appropriation is intended to cover support expenditure directly linked to the achievement of the objectives of the humanitarian aid policy. This covers, inter alia:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- fees and reimbursable expenses incurred through service contracts to undertake audits and evaluations of partners and actions of the Directorate-General for European Civil Protection and Humanitarian Aid Operations (ECHO),
- expenditure on studies, information systems and publications, on public awareness and information campaigns, and on any other measure highlighting the Union character of the aid,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) limited to EUR 1 800 000. This personnel is intended to take over the tasks that were entrusted to external contractors handling the administration of individual experts and to manage programmes in third countries. That amount, based on an estimated annual cost per person/year, is intended to cover the remuneration of the external personnel in question and training, meetings, missions, information technology (IT) expenditure and telecommunications expenditure related to their tasks,
- expenditure related to the purchase and maintenance of security, specialised IT and communication tools and technical services necessary for the establishment and functioning of the Emergency Response Centre. This centre ('crisis room') will be operational on a 24-hour basis and responsible for the coordination of the Union's civilian disaster response, in particular to ensure full consistency and efficient cooperation between humanitarian aid and civil protection,
- expenditure on the development, maintenance, operation and support of information systems, intended for internal use or to improve coordination between the Commission and other institutions, national administrations, agencies, non-governmental organisations, other partners in humanitarian aid, and Directorate-General for European Civil Protection and Humanitarian Aid Operations (ECHO) experts in the field.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Articles 23 02 01 and 23 02 02.

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TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA (cont'd)

**23 01 06** *Executive agencies*

23 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 093 000           | 1 039 000           | 989 000,—    |

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the management of the EU Aid Volunteers initiative under Chapter 23 04 entrusted to the Agency.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 122, 24.4.2014, p. 1).

*Reference acts*

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture, comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

COMMISSION  
TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

**CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                | FF | Appropriations 2018  |                      | Appropriations 2017 |                      | Outturn 2016            |                         | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|---------------------|----------------------|-------------------------|-------------------------|-----------------------------|
|                                     |                                                                                                                                        |    | Commitments          | Payments             | Commitments         | Payments             | Commitments             | Payments                |                             |
| 23 02                               | HUMANITARIAN AID,<br>FOOD ASSISTANCE AND<br>DISASTER<br>PREPAREDNESS                                                                   |    |                      |                      |                     |                      |                         |                         |                             |
| 23 02 01                            | <i>Delivery of rapid, effective<br/>and needs-based humani-<br/>tarian aid and food<br/>assistance</i>                                 | 4  | 1 026 028 642        | 1 040 825 501        | 893 100 000         | 1 089 706 885        | 2 105 719 216,40        | 1 884 170 834,90        | 181,03                      |
| 23 02 02                            | <i>Disaster prevention,<br/>disaster risk reduction<br/>and preparedness</i>                                                           | 4  | 50 000 000           | 44 795 677           | 43 100 000          | 46 873 968           | 37 918 464,90           | 40 304 219,65           | 89,97                       |
| 23 02 77                            | <i>Pilot projects and<br/>preparatory actions</i>                                                                                      |    |                      |                      |                     |                      |                         |                         |                             |
| 23 02 77 01                         | Pilot project — Ensuring<br>effective delivery of aid to<br>victims of sexual and<br>gender-based violence in<br>humanitarian settings | 4  | 500 000              | 250 000              |                     |                      |                         |                         |                             |
|                                     | Article 23 02 77 —<br>Subtotal                                                                                                         |    | 500 000              | 250 000              |                     |                      |                         |                         |                             |
|                                     | <b>Chapter 23 02 — Total</b>                                                                                                           |    | <b>1 076 528 642</b> | <b>1 085 871 178</b> | <b>936 200 000</b>  | <b>1 136 580 853</b> | <b>2 143 637 681,30</b> | <b>1 924 475 054,55</b> | <b>177,23</b>               |

**23 02 01** *Delivery of rapid, effective and needs-based humanitarian aid and food assistance*

Figures (Differentiated appropriations)

| Appropriations 2018 |               | Appropriations 2017 |               | Outturn 2016     |                  |
|---------------------|---------------|---------------------|---------------|------------------|------------------|
| Commitments         | Payments      | Commitments         | Payments      | Commitments      | Payments         |
| 1 026 028 642       | 1 040 825 501 | 893 100 000         | 1 089 706 885 | 2 105 719 216,40 | 1 884 170 834,90 |

*Remarks*

This appropriation is intended to cover the financing of humanitarian and food assistance operations of a humanitarian nature to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to. It will be carried out in accordance with the rules on humanitarian aid under Regulation (EC) No 1257/96.

The aid and assistance is granted to victims without discrimination or adverse distinction on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation. That aid and assistance is provided in accordance with international humanitarian law and should not be subject to restrictions imposed by other partner donors, as long as it is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS (*cont'd*)23 02 01 (*cont'd*)

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian aid and food assistance operations.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations and the cost of the measures that are essential for implementing food assistance operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

It covers, *inter alia*:

- measures to provide an enabling environment and access to quality education in humanitarian emergencies, including the basic rehabilitation and reconstruction of school buildings and facilities, psycho-social support, training of teachers and any product or equipment needed for the implementation of humanitarian aid operations related to access to education,
- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, visibility operations and information campaigns linked to humanitarian operations,
- the monitoring of humanitarian aid projects and plans and the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,
- the supervision and coordination of the implementation of aid operations forming part of the humanitarian aid and food assistance concerned activities, in particular the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,
- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries,
- studies and training linked to the achievement of the objectives of the humanitarian aid and food assistance policy,
- action grants and running cost grants in favour of humanitarian networks,
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,
- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a 1-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities,
- the transport and distribution of aid or assistance, including any related operations such as insurance, loading, unloading, coordination, etc.,

**CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS** (*cont'd*)**23 02 01** (*cont'd*)

- back-up measures that are essential for the programming, coordination and optimum implementation of the aid or assistance, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid or assistance (tools, utensils, fuel, etc.),
- pilot schemes concerning new forms of transport, packaging or storage, studies of food assistance operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,
- the storage of food (including administrative costs, futures operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.),
- the technical assistance necessary for the preparation and implementation of humanitarian aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for European Civil Protection and Humanitarian Aid Operations (ECHO) units deployed throughout the world.

In order to ensure full financial transparency under Articles 58 to 61 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**23 02 02** ***Disaster prevention, disaster risk reduction and preparedness***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 50 000 000          | 44 795 677 | 43 100 000          | 46 873 968 | 37 918 464,90 | 40 304 219,65 |

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TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS (*cont'd*)23 02 02 (*cont'd*)*Remarks*

This appropriation is intended to cover the financing of operations to prepare for or prevent disasters or comparable emergencies and ensure the development of early warning systems for all types of natural disaster (floods, cyclones, volcanic eruptions, etc.), including the purchase and transport of any equipment required for that purpose.

This appropriation may also cover any other costs directly linked to the implementation of disaster preparedness operations, such as:

- the financing of scientific studies on the prevention of disasters,
- the constitution of emergency stocks of goods and equipment for use in connection with humanitarian aid operations,
- the technical assistance necessary for the preparation and implementation of disaster preparedness projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for Humanitarian Aid and Civil Protection units deployed throughout the world.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

23 02 77 **Pilot projects and preparatory actions**

23 02 77 01 Pilot project — Ensuring effective delivery of aid to victims of sexual and gender-based violence in humanitarian settings

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 250 000  |                     |          |              |          |



**CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS** (*cont'd*)23 02 77 (*cont'd*)23 02 77 01 (*cont'd*)*Remarks*

Sexual and gender-based violence (SGBV) threatens lives in humanitarian contexts: in conflict, where rape is routinely used as a weapon of war; and in situations of natural disaster, which studies suggest increased risks of SGBV.

The Union and its Member States together are the world's leading humanitarian aid donor. In 2014, the Commission's Directorate-General for European Civil Protection and Humanitarian Aid Operations developed and implemented a Gender-Age Marker, showing that in 2015 89 % of Union-funded humanitarian action integrated gender and age strongly or to some extent. Yet the efficient delivery of Union aid and capacity-building for the Union's humanitarian partners face growing challenges, such as restrictions on reproductive care funding by third-party donors and an increase in vulnerable populations due to ongoing and new conflicts. Significant information gaps remain on what constitutes good evidence-based practices and compliance with applicable legal frameworks.

This pilot project will study how sexual violence in humanitarian settings affects women, girls, boys and men; how many persons are affected; what forms of medical and psychosocial assistance are offered to victims/survivors in five conflict areas and two situations of natural disaster; the compliance of this assistance with international law; and what best practices exist and should be reproduced.

It will (a) determine the forms of sexual violence most prevalent in humanitarian settings, including armed conflict and natural disasters, affecting women, girls, boys and men; (b) estimate its extent in terms of occurrences and/or numbers of victims/survivors; (c) assess the specific medical and psychosocial services offered to victims/survivors, and any gaps in protection, in particular with regard to gender; (d) investigate whether WHO protocols are adhered to; (e) study whether international humanitarian law (in armed conflict settings) and international human rights law are adhered to; and (f) identify and disseminate best practice in delivering effective aid to women, girls, boys and men who are victims/survivors of sexual violence in humanitarian settings.

This pilot project will be carried out by a consortium of relevant institutions, humanitarian actors and relevant civil society organisations. It will produce recommendations and contribute to increasing the capacity of humanitarian actors to adequately respond to sexual violence in humanitarian settings, and improve the effectiveness and efficiency of Union aid.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                 | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|---------------|---------------|--------------------------------|
|                                     |                                                                                                         |    | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                                |
| 23 03                               | THE UNION CIVIL PROTECTION MECHANISM                                                                    |    |                     |            |                     |            |               |               |                                |
| <b>23 03 01</b>                     | <b>Disaster prevention and preparedness</b>                                                             |    |                     |            |                     |            |               |               |                                |
| 23 03 01 01                         | Disaster prevention and preparedness within the Union                                                   | 3  | 29 746 000          | 31 370 000 | 29 525 000          | 29 525 000 | 27 929 134,92 | 18 345 270,90 | 58,48                          |
| 23 03 01 02                         | Disaster prevention and preparedness in third countries                                                 | 4  | 5 729 000           | 5 466 903  | 5 621 000           | 5 567 707  | 5 626 501,37  | 3 898 006,02  | 71,30                          |
| 23 03 01 03                         | European Solidarity Corps — Contribution from Union Civil Protection Mechanism (UCPM)                   | 3  | p.m.                | p.m.       |                     |            |               |               |                                |
|                                     | Reserves (40 02 41)                                                                                     |    | 2 000 000           | 1 500 000  |                     |            |               |               |                                |
|                                     |                                                                                                         |    | 2 000 000           | 1 500 000  |                     |            |               |               |                                |
|                                     | Article 23 03 01 — Subtotal                                                                             |    | 35 475 000          | 36 836 903 | 35 146 000          | 35 092 707 | 33 555 636,29 | 22 243 276,92 | 60,38                          |
|                                     | Reserves (40 02 41)                                                                                     |    | 2 000 000           | 1 500 000  |                     |            |               |               |                                |
|                                     |                                                                                                         |    | 37 475 000          | 38 336 903 | 35 146 000          | 35 092 707 | 33 555 636,29 | 22 243 276,92 |                                |
| <b>23 03 02</b>                     | <b>Rapid and efficient emergency response interventions in the event of major disasters</b>             |    |                     |            |                     |            |               |               |                                |
| 23 03 02 01                         | Rapid and efficient emergency response interventions in the event of major disasters within the Union   | 3  | 1 500 000           | 1 400 000  | 1 500 000           | 1 400 000  | 2 494 670,—   | 1 379 808,27  | 98,56                          |
| 23 03 02 02                         | Rapid and efficient emergency response interventions in the event of major disasters in third countries | 4  | 10 392 000          | 10 000 000 | 15 090 000          | 14 010 000 | 3 190 000,—   | 5 375 216,68  | 53,75                          |
|                                     | Article 23 03 02 — Subtotal                                                                             |    | 11 892 000          | 11 400 000 | 16 590 000          | 15 410 000 | 5 684 670,—   | 6 755 024,95  | 59,25                          |

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**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (cont'd)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                       | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                               |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| <b>23 03 51</b>                     | <b>Completion of programmes and actions in the field of civil protection within the Union (prior to 2014)</b> | 3  | p.m.                | p.m.              | p.m.                | p.m.              | 1 500,—              | 3 234 675,89         |                             |
| <b>23 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                 |    |                     |                   |                     |                   |                      |                      |                             |
| 23 03 77 03                         | Pilot project — Early-warning system for natural disasters                                                    | 3  | p.m.                | 499 851           | p.m.                | 1 250 000         | 0,—                  | 0,—                  | 0                           |
| 23 03 77 04                         | Preparatory action — Network of European hubs for civil protection and crisis management                      | 4  | 1 500 000           | 750 000           |                     |                   |                      |                      |                             |
|                                     | Article 23 03 77 — Subtotal                                                                                   |    | 1 500 000           | 1 249 851         | p.m.                | 1 250 000         | 0,—                  | 0,—                  | 0                           |
|                                     | <b>Chapter 23 03 — Total</b>                                                                                  |    | <b>48 867 000</b>   | <b>49 486 754</b> | <b>51 736 000</b>   | <b>51 752 707</b> | <b>39 241 806,29</b> | <b>32 232 977,76</b> | <b>65,13</b>                |
|                                     | <b>Reserves (40 02 41)</b>                                                                                    |    | <b>2 000 000</b>    | <b>1 500 000</b>  |                     |                   |                      |                      |                             |
|                                     |                                                                                                               |    | <b>50 867 000</b>   | <b>50 986 754</b> | <b>51 736 000</b>   | <b>51 752 707</b> | <b>39 241 806,29</b> | <b>32 232 977,76</b> |                             |

**23 03 01 Disaster prevention and preparedness**

23 03 01 01 Disaster prevention and preparedness within the Union

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 29 746 000          | 31 370 000 | 29 525 000          | 29 525 000 | 27 929 134,92 | 18 345 270,90 |

*Remarks*

This appropriation is intended to cover expenditure on actions in the field of civil protection. It aims at supporting, coordinating and supplementing the actions of the Member States, EFTA States and candidate countries having signed an appropriate agreement with the Union in the field of preparedness and prevention with regard to natural and man-made disasters, including acts of terrorism and technological, radiological or environmental accidents, marine pollution and acute health emergencies, occurring inside the Union. It also aims to facilitate closer cooperation between the Member States in the field of civil protection.

It covers, in particular:

- actions in the field of prevention aiming at supporting and promoting Member States' risk assessment and mapping activity, such as the sharing of good practice, the compilation and dissemination of information from Member States on risk management activity including peer reviews,

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CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 01 (*cont'd*)23 03 01 01 (*cont'd*)

- the creation of a 'European emergency response capacity', a 'stand-by' capability for resources and equipment to be made available to a Member State in the event of an emergency,
- the development and management of a certification and registration process for the 'European emergency response capacity'. This includes also the development of capacity goals and quality requirements,
- the identification of significant response capacity gaps in the 'European emergency response capacity' and support to the development of required capacities,
- the identification of intervention experts, modules and other support available in Member States for assistance interventions in case of emergencies,
- the development and maintenance of a network of trained experts of Member States to assist at headquarters level in the monitoring, information and coordination tasks of the Emergency Response Coordination Centre (ERCC),
- a programme of lessons learnt from civil protection interventions and exercises in the framework of the Union Civil Protection Mechanism,
- a training programme for intervention teams, external personnel and experts, to provide the knowledge and tools needed to participate effectively in Union interventions and to develop a common European intervention culture,
- the management of a training network open to training centres for civil protection and emergency management personnel and other relevant actors to provide guidance on Union and international civil protection training,
- the management of an exercises programme including command post exercises, full-scale exercises and exercises for civil protection modules to test interoperability, train civil protection officials and create a common intervention culture,
- exchanges of experts to enhance understanding of Union civil protection and to share information and experience,
- information and communication (ICT) systems, in particular CECIS (common emergency communication and information system), facilitating the exchange of information with Member States during emergencies, to improve efficiency and to enable the exchange of 'EU classified' information. This covers the costs of development, maintenance, operation and support (hardware, software and services) of the systems. It also covers the cost of project management, quality control, security, documentation and training linked to the implementation of such systems,
- the study and development of civil protection modules within the meaning of Article 4 of Decision No 1313/2013/EU including support to improve their interoperability,
- the study and development of disaster detection and early warning systems,
- the study and development of scenario-building, asset mapping and plans for the deployment of response capacities,

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**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM** (*cont'd*)

**23 03 01** (*cont'd*)

23 03 01 01 (*cont'd*)

- workshops, seminars, projects, studies, surveys, modelling, scenario-building and contingency planning, capacity-building assistance, demonstration projects, technology transfer, awareness-raising, information, communication and monitoring, assessment and evaluation,
- other supporting and complementary actions necessary in the framework of the Union Civil Protection Mechanism to achieve a high level of protection against disasters and enhance the Union's state of preparedness to respond to disasters,
- expenditure on audits and evaluation as enshrined in the Union Civil Protection Mechanism.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

23 03 01 02 Disaster prevention and preparedness in third countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 5 729 000           | 5 466 903 | 5 621 000           | 5 567 707 | 5 626 501,37 | 3 898 006,02 |

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CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 01 (*cont'd*)23 03 01 02 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on actions in the field of civil protection. It aims at supporting, coordinating and supplementing the actions of the Member States, EFTA States, acceding countries, candidate countries and potential candidates having signed an appropriate agreement with the Union in the field of preparedness and prevention with regard to natural and man-made disasters, including acts of terrorism and technological, radiological or environmental accidents, marine pollution and acute health emergencies, occurring in third countries. It covers in particular the mobilisation of experts to assess prevention and preparedness needs in third countries in the event of disasters, and the basic logistical support for such experts.

It also aims at providing financial support for certain actions covered under Articles 21 and 22 of Decision No 1313/2013/EU to candidate countries not participating in the Union Civil Protection Mechanism and countries under the European Neighbourhood Policy to the extent that they complement funding available from the Instrument for Pre-Accession Assistance and the European Neighbourhood Instrument.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

23 03 01 03 European Solidarity Corps — Contribution from Union Civil Protection Mechanism (UCPM)

*Figures (Differentiated appropriations)*

|                     | Appropriations 2018 |           | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|-----------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments  | Commitments         | Payments | Commitments  | Payments |
| 23 03 01 03         | p.m.                | p.m.      |                     |          |              |          |
| Reserves (40 02 41) | 2 000 000           | 1 500 000 |                     |          |              |          |
| Total               | 2 000 000           | 1 500 000 |                     |          |              |          |

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**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM** (*cont'd*)

**23 03 01** (*cont'd*)

23 03 01 03 (*cont'd*)

*Remarks*

*New item*

This appropriation is intended to cover the financial contribution provided by the Union Civil Protection Mechanism to the European Solidarity Corps in line with its general and specific objectives.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 May 2017, laying down the legal framework of the European Solidarity Corps and amending Regulations (EU) No 1288/2013, (EU) No 1293/2013, (EU) No 1303/2013, (EU) No 1305/2013, (EU) No 1306/2013 and Decision No 1313/2013/EU (COM(2017) 262 final).

**23 03 02** ***Rapid and efficient emergency response interventions in the event of major disasters***

23 03 02 01 Rapid and efficient emergency response interventions in the event of major disasters within the Union

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 1 500 000           | 1 400 000 | 1 500 000           | 1 400 000 | 2 494 670,—  | 1 379 808,27 |

*Remarks*

This appropriation is intended to cover expenditure related to civil protection intervention within the Union under the Union Civil Protection Mechanism:

- the provision of support to Member States in obtaining access to equipment and transport resources,
- the provision of additional transport resources and associated logistics, necessary for ensuring a rapid response to major emergencies and complementing the transport provided by the Member States,

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CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 02 (*cont'd*)23 03 02 01 (*cont'd*)

- the mobilisation of experts to assess assistance needs and facilitate Union assistance in Member States in the event of disasters, and the basic logistical support for such experts,
- the deployment of trained experts of Member States to the Emergency Response Coordination Centre (ERCC) in order to assist in the monitoring, information and coordination tasks of the ERCC,
- any supporting and complementary action in order to facilitate the coordination of response in the most effective way.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

## 23 03 02 02 Rapid and efficient emergency response interventions in the event of major disasters in third countries

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 10 392 000          | 10 000 000 | 15 090 000          | 14 010 000 | 3 190 000,—  | 5 375 216,68 |

*Remarks*

This appropriation is intended to cover expenditure related to civil protection intervention in third countries under the Union Civil Protection Mechanism:

- mobilisation of experts to assess assistance needs and facilitate European assistance in third countries in case of disasters,



**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM** (*cont'd*)**23 03 02** (*cont'd*)23 03 02 02 (*cont'd*)

- the provision of support to Member States in obtaining access to equipment and transport resources,
- the provision of European civil protection assistance, including the provision of relevant information on means of transport as well as associated logistics, in the event of disaster,
- support for consular assistance to the citizens of the Union in major emergencies in third countries regarding civil protection activities, upon request from the consular authorities of the Member States,
- any supporting and complementary action in order to facilitate the coordination of response in the most effective way.

Implementing partners may include authorities of the Member States or of beneficiary countries and their agencies, regional and international organisations and their agencies, non-governmental organisations, public and private operators and individual organisations or operators (including staff seconded from Member State administrations) with appropriate specialised expertise and experience.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

**23 03 51** ***Completion of programmes and actions in the field of civil protection within the Union (prior to 2014)***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 1 500,—      | 3 234 675,89 |

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CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 51 (*cont'd*)*Remarks*

This appropriation is intended to cover the clearance of commitments made for programmes and actions in the field of civil protection. It is also intended to cover payments in respect of commitments resulting from actions in the field of civil protection and from activities undertaken within the framework for the protection of the marine environment, coastlines and human health against the risks of accidental or deliberate marine pollution at sea.

This appropriation is also intended to cover part of the expenditure related to civil protection intervention in third countries under the Civil Protection Financial Instrument and the Community Civil Protection Mechanism.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Decision 1999/847/EC of 9 December 1999 establishing a Community action programme in the field of civil protection (OJ L 327, 21.12.1999, p. 53).

Decision No 2850/2000/EC of the European Parliament and of the Council of 20 December 2000 setting up a Community framework for cooperation in the field of accidental or deliberate marine pollution (OJ L 332, 28.12.2000, p. 1).

Council Decision 2001/792/EC, Euratom of 23 October 2001 establishing a Community mechanism to facilitate reinforced cooperation in civil protection assistance interventions (OJ L 297, 15.11.2001, p. 7).

Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument (OJ L 71, 10.3.2007, p. 9).

Council Decision 2007/779/EC, Euratom of 8 November 2007 establishing a Community Civil Protection Mechanism (OJ L 314, 1.12.2007, p. 9).

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**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (cont'd)**

**23 03 77 Pilot projects and preparatory actions**

23 03 77 03 Pilot project — Early-warning system for natural disasters

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 499 851  | p.m.                | 1 250 000 | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

23 03 77 04 Preparatory action — Network of European hubs for civil protection and crisis management

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 500 000           | 750 000  |                     |          |              |          |

*Remarks*

The creation of a network of European hubs, with existing infrastructures specialised in different components of civil protection and crisis management interventions, could help to prepare the Union, its Member States and various stakeholders (including DG ECHO) for tackling new challenges in the field of civil protection and crisis management.

Emerging new risks can lead to global crises (for instance, population displacement or new types of terrorist attacks). Hence there is a need for:

- (1) identifying existing gaps for which new scenarios should be designed to react to global crisis situations more quickly, more effectively and with deeper coordination between European actors. Experience sharing and promotion of best practices at European level will also make risk prevention more effective;
- (2) existing infrastructure and projects to be used for enhanced capacity building and pooling for interventions in and outside Europe. This could involve geographical and technical specialisation of the hubs, depending on the type of risk, e.g. forest fires, health assistance, shelters and containers for humanitarian aid;

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**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM** (*cont'd*)

**23 03 77** (*cont'd*)

23 03 77 04 (*cont'd*)

- (3) designing new European standards for capacities (i.e. new types of crisis equipment) in order to strengthen European knowledge and make actions in the field more visible.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION  
TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

**CHAPTER 23 04 — EU AID VOLUNTEERS INITIATIVE**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                    | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016        |                     | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|---------------------|---------------------|--------------------------------|
|                                     |                                                                                                            |    | Commitments         | Payments          | Commitments         | Payments          | Commitments         | Payments            |                                |
| 23 04                               | EU AID VOLUNTEERS INITIATIVE                                                                               |    |                     |                   |                     |                   |                     |                     |                                |
| <b>23 04 01</b>                     | <b>EU Aid Volunteers initiative — Strengthening the Union's capacity to respond to humanitarian crises</b> | 4  | 19 235 000          | 15 780 963        | 20 972 000          | 22 678 550        | 8 119 639,47        | 6 348 977,58        | 40,23                          |
| <b>23 04 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                              |    |                     |                   |                     |                   |                     |                     |                                |
| 23 04 77 01                         | Preparatory action — European Voluntary Humanitarian Aid Corps                                             | 4  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                 | 0,—                 |                                |
|                                     | Article 23 04 77 — Subtotal                                                                                |    | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                 | 0,—                 |                                |
|                                     | <b>Chapter 23 04 — Total</b>                                                                               |    | <b>19 235 000</b>   | <b>15 780 963</b> | <b>20 972 000</b>   | <b>22 678 550</b> | <b>8 119 639,47</b> | <b>6 348 977,58</b> | <b>40,23</b>                   |

**23 04 01** *EU Aid Volunteers initiative — Strengthening the Union's capacity to respond to humanitarian crises*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 19 235 000          | 15 780 963 | 20 972 000          | 22 678 550 | 8 119 639,47 | 6 348 977,58 |

Remarks

This appropriation is intended to cover the implementation of the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative').

The objective of the EU Aid Volunteers initiative shall be to contribute to strengthening the Union's capacity to provide needs-based humanitarian aid aimed at preserving life, preventing and alleviating human suffering, and maintaining human dignity, and to strengthening the capacity and resilience of vulnerable or disaster-affected communities in third countries, particularly by means of disaster preparedness, disaster risk reduction and by enhancing the link between relief, rehabilitation and development. That objective shall be attained through the added value of joint contributions of EU Aid Volunteers, expressing the Union's values and solidarity with people in need and visibly promoting a sense of Union citizenship.

This appropriation is intended to cover the following measures and items of expenditure:

- the certification of sending and hosting organisations,
- the identification and selection of candidate volunteers,
- the establishment of a training programme and support for training of candidate volunteers and apprenticeship placements,

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 04 — EU AID VOLUNTEERS INITIATIVE (*cont'd*)23 04 01 (*cont'd*)

- the establishment, maintenance and updating of a database of EU Aid Volunteers,
- the deployment of EU Aid Volunteers in order to support and complement humanitarian aid in third countries,
- capacity-building of hosting organisations,
- technical assistance for sending organisations,
- the establishment and management of a network for the EU Aid Volunteers initiative,
- communication and awareness-raising,
- ancillary activity that furthers the accountability, transparency and effectiveness of the EU Aid Volunteers initiative.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 122, 24.4.2014, p. 1).

Commission Implementing Regulation (EU) No 1244/2014 of 20 November 2014 laying down rules for the implementation of Regulation (EU) No 375/2014 of the European Parliament and of the Council establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 334, 21.11.2014, p. 52).

Commission Delegated Regulation (EU) No 1398/2014 of 24 October 2014 laying down standards regarding candidate volunteers and EU Aid Volunteers (OJ L 373, 31.12.2014, p. 8).

23 04 77 *Pilot projects and preparatory actions*

23 04 77 01 Preparatory action — European Voluntary Humanitarian Aid Corps

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTIONCHAPTER 23 04 — EU AID VOLUNTEERS INITIATIVE (*cont'd*)23 04 77 (*cont'd*)23 04 77 01 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 24*

**FIGHT AGAINST FRAUD**



**TITLE 24**  
**FIGHT AGAINST FRAUD**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                                                                      | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      |
|------------------|------------------------------------------------------------------------------------------------------------------------------|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|
|                  |                                                                                                                              | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |
| 24 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'FIGHT<br>AGAINST FRAUD' POLICY<br>AREA                                                 | 59 282 800          | 59 282 800        | 60 145 500          | 60 145 500        | 57 099 594,97        | 57 099 594,97        |
| 24 02            | PROMOTING ACTIVITIES IN<br>THE FIELD OF THE<br>PROTECTION OF THE<br>EUROPEAN UNION'S<br>FINANCIAL INTERESTS<br>(HERCULE III) | 15 347 500          | 13 255 186        | 14 950 000          | 13 244 989        | 14 535 805,07        | 15 399 408,30        |
| 24 04            | ANTI-FRAUD INFORMATION<br>SYSTEM (AFIS)                                                                                      | 7 664 200           | 7 346 055         | 7 151 200           | 6 801 592         | 6 573 450,21         | 6 037 464,83         |
|                  | <b>Title 24 — Total</b>                                                                                                      | <b>82 294 500</b>   | <b>79 884 041</b> | <b>82 246 700</b>   | <b>80 192 081</b> | <b>78 208 850,25</b> | <b>78 536 468,10</b> |

COMMISSION

TITLE 24 — FIGHT AGAINST FRAUD

## TITLE 24

## FIGHT AGAINST FRAUD

## CHAPTER 24 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                   | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|-------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 24 01                               | ADMINISTRATIVE EXPENDITURE<br>OF THE 'FIGHT AGAINST FRAUD'<br>POLICY AREA                 |     |                     |                     |                      |              |
| 24 01 07                            | <i>European Anti-Fraud Office<br/>(OLAF)</i>                                              | 5.2 | 59 082 800          | 59 945 500          | 57 099 594,97        | 96,64        |
| 24 01 08                            | <i>Expenditure resulting from the<br/>mandate of the OLAF Super-<br/>visory Committee</i> | 5.2 | 200 000             | 200 000             |                      |              |
|                                     | <b>Chapter 24 01 — Total</b>                                                              |     | <b>59 282 800</b>   | <b>60 145 500</b>   | <b>57 099 594,97</b> | <b>96,32</b> |

**24 01 07** *European Anti-Fraud Office (OLAF)**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 59 082 800          | 59 945 500          | 57 099 594,97 |

*Remarks*

This appropriation is intended to cover expenditure relating to the European Anti-Fraud Office (OLAF), including for OLAF staff posted in Union delegations, the objective of which is to combat fraud within an interinstitutional framework.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), and in particular Article 4 and Article 6(3) thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

CHAPTER 24 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA (*cont'd*)24 01 08 **Expenditure resulting from the mandate of the OLAF Supervisory Committee***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 200 000             | 200 000             |              |

*Remarks*

This appropriation is intended to cover all expenditure resulting from the mandate of the members of the OLAF Supervisory Committee, namely:

- allowances granted to the members of the Supervisory Committee for the time dedicated to the fulfilment of their functions, as well as their travel expenses and ancillary costs,
- costs incurred by members of the Supervisory Committee officially representing the Supervisory Committee,
- all operating expenditure, such as the cost of purchase of equipment, stationery and office supplies and expenditure arising from communications and telecommunications (postal charges, telephone, fax and telegraph charges), documentation and library expenditure, the purchase of books and subscriptions to information media,
- travel, subsistence and incidental expenses of experts, invited by the members of the Supervisory Committee to participate in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices),
- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) where the members of the Supervisory Committee are unable to entrust such studies to suitable staff of the Office.

Moreover, for the sake of transparency, the resources made available to the secretariat of the Supervisory Committee in PMO's budget (Article 26 01 21) can be identified. Based on a secretariat of seven permanent posts and an allocation for one member of contract staff, the appropriations for the operation of the secretariat of the Supervisory Committee would come to around EUR 1 000 000. This amount covers expenditure on staff costs, training, missions, internal meetings, buildings and IT.

*Legal basis*

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), and in particular Article 4 and Article 6(3) thereof.

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

COMMISSION

TITLE 24 — FIGHT AGAINST FRAUD

## CHAPTER 24 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA (cont'd)

## 24 01 08 (cont'd)

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## CHAPTER 24 02 — PROMOTING ACTIVITIES IN THE FIELD OF THE PROTECTION OF THE EUROPEAN UNION'S FINANCIAL INTERESTS (HERCULE III)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                          | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                  |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| 24 02                               | PROMOTING<br>ACTIVITIES IN THE<br>FIELD OF THE<br>PROTECTION OF THE<br>EUROPEAN UNION'S<br>FINANCIAL INTERESTS<br>(HERCULE III)                  |     |                     |                   |                     |                   |                      |                      |                         |
| 24 02 01                            | <i>Preventing and<br/>combating fraud,<br/>corruption and any<br/>other illegal activities<br/>affecting the Union's<br/>financial interests</i> | 1.1 | 15 347 500          | 13 255 186        | 14 950 000          | 13 244 989        | 14 535 805,07        | 14 486 717,07        | 109,29                  |
| 24 02 51                            | <i>Completion of actions in<br/>the field of fight against<br/>fraud</i>                                                                         | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 912 691,23           |                         |
| <b>Chapter 24 02 — Total</b>        |                                                                                                                                                  |     | <b>15 347 500</b>   | <b>13 255 186</b> | <b>14 950 000</b>   | <b>13 244 989</b> | <b>14 535 805,07</b> | <b>15 399 408,30</b> | <b>116,18</b>           |

24 02 01 *Preventing and combating fraud, corruption and any other illegal activities affecting the Union's financial interests*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 15 347 500          | 13 255 186 | 14 950 000          | 13 244 989 | 14 535 805,07 | 14 486 717,07 |

## Remarks

This appropriation is intended to cover the actions listed in Article 8 of Regulation (EU) No 250/2014.

Any revenue from the contributions from participating countries as listed in Article 7(2) of Regulation (EU) No 250/2014 for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (d) of Article 18(1) of the Financial Regulation.

**CHAPTER 24 02 — PROMOTING ACTIVITIES IN THE FIELD OF THE PROTECTION OF THE EUROPEAN UNION'S FINANCIAL INTERESTS (HERCULE III)** (*cont'd*)**24 02 01** (*cont'd*)*Legal basis*

Regulation (EU) No 250/2014 of the European Parliament and of the Council of 26 February 2014 establishing a programme to promote activities in the field of the protection of the financial interests of the European Union (Hercule III programme) and repealing Decision No 804/2004/EC (OJ L 84, 20.3.2014, p. 6), and in particular Article 4 thereof.

**24 02 51** ***Completion of actions in the field of fight against fraud****Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 912 691,23 |

*Remarks*

This appropriation is intended to cover the completion costs for actions or activities organised in the framework of the Hercule II programme in the field of the protection of the Union's financial interests, including in the field of the prevention of and the fight against cigarette smuggling and counterfeiting.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

Decision No 804/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote activities in the field of the protection of the Community's financial interests (Hercule programme) (OJ L 143, 30.4.2004, p. 9).

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TITLE 24 — FIGHT AGAINST FRAUD

## CHAPTER 24 04 — ANTI-FRAUD INFORMATION SYSTEM (AFIS)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                          | FF  | Appropriations 2018 |                  | Appropriations 2017 |                  | Outturn 2016        |                     | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------------|---------------------|------------------|---------------------|---------------------|-------------------------|
|                                     |                                                                                                                                                                                  |     | Commitments         | Payments         | Commitments         | Payments         | Commitments         | Payments            |                         |
| 24 04                               | ANTI-FRAUD<br>INFORMATION SYSTEM<br>(AFIS)                                                                                                                                       |     |                     |                  |                     |                  |                     |                     |                         |
| 24 04 01                            | <i>Supporting mutual<br/>assistance in customs<br/>matters and facilitating<br/>secure electronic<br/>communication tools for<br/>Member States to report<br/>irregularities</i> | 1.1 | 7 664 200           | 7 346 055        | 7 151 200           | 6 801 592        | 6 573 450,21        | 6 037 464,83        | 82,19                   |
|                                     | <b>Chapter 24 04 — Total</b>                                                                                                                                                     |     | <b>7 664 200</b>    | <b>7 346 055</b> | <b>7 151 200</b>    | <b>6 801 592</b> | <b>6 573 450,21</b> | <b>6 037 464,83</b> | <b>82,19</b>            |

**24 04 01**      *Supporting mutual assistance in customs matters and facilitating secure electronic communication tools for Member States to report irregularities*

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 7 664 200           | 7 346 055 | 7 151 200           | 6 801 592 | 6 573 450,21 | 6 037 464,83 |

Legal basis

Council Regulation (EC) No 515/97 of 13 March 1997 on mutual assistance between the administrative authorities of the Member States and cooperation between the latter and the Commission to ensure the correct application of the law on customs and agricultural matters (OJ L 82, 22.3.1997, p. 1).

*TITLE 25*

**COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE**

COMMISSION

TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

**TITLE 25****COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                                                           | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|-------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                                                                   | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 25 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'COM-<br>MISSION'S POLICY COORD-<br>INATION AND LEGAL ADVICE'<br>POLICY AREA | 244 565 633         | 244 015 633        | 232 305 442         | 232 055 442        | 216 031 931,16        | 216 031 931,16        |
|                  | <b>Title 25 — Total</b>                                                                                           | <b>244 565 633</b>  | <b>244 015 633</b> | <b>232 305 442</b>  | <b>232 055 442</b> | <b>216 031 931,16</b> | <b>216 031 931,16</b> |



COMMISSION  
TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

**TITLE 25**

**COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE**

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                     | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|----------------|----------------|-------------------------|
|                                     |                                                                                                                                                                             |     | Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |                         |
| 25 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA                                                                           |     |                     |             |                     |             |                |                |                         |
| <b>25 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Commission's policy coordination and legal advice' policy area</i></b>                                   |     |                     |             |                     |             |                |                |                         |
| 25 01 01 01                         | Expenditure related to officials and temporary staff                                                                                                                        | 5.2 | 183 576 462         | 183 576 462 | 174 901 529         | 174 901 529 | 158 213 949,54 | 158 213 949,54 | 86,18                   |
| 25 01 01 03                         | Salaries, allowances and payments of Members of the institution                                                                                                             | 5.2 | 10 273 600          | 10 273 600  | 10 190 000          | 10 190 000  | 9 631 292,40   | 9 631 292,40   | 93,75                   |
|                                     | <i>Article 25 01 01 — Subtotal</i>                                                                                                                                          |     | 193 850 062         | 193 850 062 | 185 091 529         | 185 091 529 | 167 845 241,94 | 167 845 241,94 | 86,59                   |
| <b>25 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Commission's policy coordination and legal advice' policy area</i></b>                         |     |                     |             |                     |             |                |                |                         |
| 25 01 02 01                         | External personnel                                                                                                                                                          | 5.2 | 9 248 718           | 9 248 718   | 8 619 055           | 8 619 055   | 7 553 343,18   | 7 553 343,18   | 81,67                   |
| 25 01 02 03                         | Special advisers                                                                                                                                                            | 5.2 | 980 000             | 980 000     | 960 000             | 960 000     | 869 000,—      | 869 000,—      | 88,67                   |
| 25 01 02 11                         | Other management expenditure                                                                                                                                                | 5.2 | 15 834 865          | 15 834 865  | 14 848 417          | 14 848 417  | 16 303 406,97  | 16 303 406,97  | 102,96                  |
| 25 01 02 13                         | Other management expenditure of Members of the institution                                                                                                                  | 5.2 | 4 050 000           | 4 050 000   | 4 050 000           | 4 050 000   | 4 392 014,54   | 4 392 014,54   | 108,44                  |
|                                     | <i>Article 25 01 02 — Subtotal</i>                                                                                                                                          |     | 30 113 583          | 30 113 583  | 28 477 472          | 28 477 472  | 29 117 764,69  | 29 117 764,69  | 96,69                   |
| <b>25 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Commission's policy coordination and legal advice' policy area</i></b> |     |                     |             |                     |             |                |                |                         |
|                                     |                                                                                                                                                                             | 5.2 | 11 866 988          | 11 866 988  | 10 921 441          | 10 921 441  | 12 167 223,98  | 12 167 223,98  | 102,53                  |
| <b>25 01 07</b>                     | <b><i>Quality of legislation — Codification of Union law</i></b>                                                                                                            |     |                     |             |                     |             |                |                |                         |
|                                     |                                                                                                                                                                             | 5.2 | p.m.                | p.m.        | 150 000             | 150 000     | 0,—            | 0,—            |                         |

## COMMISSION

## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

## CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                     | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-------------------------|
|                                     |                                                                                                                                                                             |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                         |
| 25 01 08                            | <b>Legal advice, litigation and infringements — Legal expenses</b>                                                                                                          | 5.2 | 3 700 000           | 3 700 000          | 3 700 000           | 3 700 000          | 2 591 552,61          | 2 591 552,61          | 70,04                   |
| 25 01 10                            | <b>Union contribution for operation of the historical archives of the Union</b>                                                                                             | 5.2 | 1 450 000           | 1 450 000          | 1 430 000           | 1 430 000          | 2 300 075,—           | 2 300 075,—           | 158,63                  |
| 25 01 11                            | <b>Registries and publications</b>                                                                                                                                          | 5.2 | 1 985 000           | 1 985 000          | 2 035 000           | 2 035 000          | 2 010 072,94          | 2 010 072,94          | 101,26                  |
| 25 01 77                            | <b>Pilot projects and preparatory actions</b>                                                                                                                               |     |                     |                    |                     |                    |                       |                       |                         |
| 25 01 77 04                         | Pilot project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI) | 5.2 | 500 000             | 500 000            | 500 000             | 250 000            |                       |                       |                         |
| 25 01 77 05                         | Preparatory action — Linked open data in European public administration                                                                                                     | 5.2 | 1 100 000           | 550 000            |                     |                    |                       |                       |                         |
|                                     | <i>Article 25 01 77 — Subtotal</i>                                                                                                                                          |     | 1 600 000           | 1 050 000          | 500 000             | 250 000            |                       |                       |                         |
|                                     | <b>Chapter 25 01 — Total</b>                                                                                                                                                |     | <b>244 565 633</b>  | <b>244 015 633</b> | <b>232 305 442</b>  | <b>232 055 442</b> | <b>216 031 931,16</b> | <b>216 031 931,16</b> | <b>88,53</b>            |

**25 01 01 Expenditure related to officials and temporary staff in the 'Commission's policy coordination and legal advice' policy area**

25 01 01 01 Expenditure related to officials and temporary staff

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 183 576 462         | 174 901 529         | 158 213 949,54 |

25 01 01 03 Salaries, allowances and payments of Members of the institution

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 273 600          | 10 190 000          | 9 631 292,40 |

*Remarks*

This appropriation is intended to cover:

- the basic salaries of Members of the Commission,
- the residence allowances of Members of the Commission,

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- the family allowances of Members of the Commission, comprising:
  - household allowance,
  - dependent child allowance,
  - education allowance,
- the representation allowances of Members of the Commission,
- the employer's contribution towards insurance against occupational diseases and accidents for Members of the Commission,
- the employer's contribution towards sickness insurance for former Members of the Commission,
- birth grants,
- in the event of the death of a Member of the Commission:
  - the deceased's full remuneration until the end of the third month following that in which the death occurred,
  - the costs of transporting the body to the deceased's place of origin,
- the cost of weightings applied to the emoluments,
- the cost of the weighting applied to the part of emoluments transferred to a country other than the country of employment,
- the cost of any updates to remuneration during the financial year.

This appropriation is also intended to take into account any appropriation which may be required to cover:

- travel expenses due to Members of the Commission (including their families) on taking up duty or leaving the institution,
- installation and resettlement allowances due to Members of the Commission on taking up duty or leaving the institution,
- removal expenses due to Members of the Commission on taking up duty or leaving the institution.

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## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (cont'd)

25 01 01 (cont'd)

25 01 01 03 (cont'd)

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 2, 3, 4, 4a, 4b, 5, 11 and 14 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EU) No 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**25 01 02** *External personnel and other management expenditure in support of the 'Commission's policy coordination and legal advice' policy area*

25 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 248 718           | 8 619 055           | 7 553 343,18 |

25 01 02 03 Special advisers

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 980 000             | 960 000             | 869 000,—    |

*Remarks*

This appropriation is intended to cover the remuneration of special advisers, their mission expenses and the institution's accident insurance contributions.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (cont'd)**25 01 02** (cont'd)

## 25 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 15 834 865          | 14 848 417          | 16 303 406,97 |

## 25 01 02 13 Other management expenditure of Members of the institution

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 050 000           | 4 050 000           | 4 392 014,54 |

*Remarks*

This appropriation is intended to cover:

- travel expenses incurred, daily subsistence allowances and additional or exceptional expenditure incurred on mission,
- expenditure on the Commission's obligations in respect of entertainment and representation (this expenditure may be incurred individually by the Members of the Commission in the fulfilment of their duties and as part of the institution's activities).

Refunds of mission expenses paid on behalf of other Union institutions or bodies and for third parties will be available as assigned revenue.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

Communication of the President of the Commission concerning the Code of conduct for Commissioners (SEC(2004) 1487).

Commission Decision C(2007) 3494 of 18 July 2007 concerning the Regulation on representation and entertainment expenses made by the Commission, the President or the Commissioners.

Council Regulation (EU) No 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

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**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 03** *Expenditure related to information and communication technology equipment and services of the 'Commission's policy coordination and legal advice' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 866 988          | 10 921 441          | 12 167 223,98 |

**25 01 07** *Quality of legislation — Codification of Union law*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 150 000             | 0,—          |

Remarks

This appropriation is intended to cover expenditure relating to the codification and recasting of Union instruments.

**25 01 08** *Legal advice, litigation and infringements — Legal expenses*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 700 000           | 3 700 000           | 2 591 552,61 |

Remarks

This appropriation is intended to cover pre-litigation and mediation costs, and the services of lawyers or other experts called in to advise the Commission.

It also covers costs awarded against the Commission by the Court of Justice of the European Union, or other courts.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 350 000.

**25 01 10** *Union contribution for operation of the historical archives of the Union*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 450 000           | 1 430 000           | 2 300 075,—  |

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 10** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure of the European University Institute relating to the management (staff and operating costs) of the historical archives of the Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 897 000.

*Legal basis*

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Commission Decision No 359/83/ECSC of 8 February 1983 concerning the opening to the public of the historical archives of the European Coal and Steel Community (OJ L 43, 15.2.1983, p. 14).

*Reference acts*

Contract between the Commission and the European University Institute, Florence, signed on 17 December 1984.

**25 01 11** **Registries and publications***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 985 000           | 2 035 000           | 2 010 072,94 |

*Remarks*

This appropriation is intended to cover expenditure relating to the Commission's registries and documentary databases on procedures and institutional, reference and other official documents, in particular expenditure relating to:

- collecting, analysing and preparing of documents, including authors' contracts, and freelance work,
- developing, maintaining and operating information systems in support of such an activity,
- collecting, including the purchase of data, documentation and rights to use,
- editing, including data entry and data management, reproduction and translation,
- disseminating through any medium, including printing, posting on internet distribution, and storage,

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## CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA (cont'd)

## 25 01 11 (cont'd)

— promoting those texts and documents.

25 01 77 **Pilot projects and preparatory actions**

25 01 77 04 Pilot project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 500 000             | 500 000  | 500 000             | 250 000  |              |          |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will specifically simplify and promote ECIs through the use of IT platforms and other electronic tools, such as applications that are compatible with mobile devices, in order to simplify what is a very important agenda-setting instrument. In this regard, the reliable collection of signatures and safe access to and use of IT platforms and/or electronic tools should be possible via electronic identification and authentication services (e-IDAS). The use of these digital tools should enable citizens to receive and exchange information about existing or potential ECIs, to actively participate in discussions and to launch and/or support initiatives, in particular including the possibility of signing an ECI. These tools will also make it possible for the Commission to interact efficiently with ECI promoters, exchanging information and providing them with technical guidance in order to contribute to the success of the initiatives, while allowing substantial economic and administrative savings.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

25 01 77 05 Preparatory action — Linked open data in European public administration

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 100 000           | 550 000  |                     |          |              |          |



**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 77** (*cont'd*)25 01 77 05 (*cont'd*)*Remarks*

This preparatory action will develop the potential and enhance the active and passive use of open data by linking data (linked open data) for European public administrations. The concept of open data is becoming a reference for the dissemination of data produced by public administrations. 'Knowledge is open if anyone is free to access, use, modify and share it — subject, at most, to measures that preserve provenance and openness.' Open data refers to data that is legally open (i.e. data published under an open licence with conditions for re-use limited to attribution) and technically open (i.e. machine-readable and non-proprietary where possible). In practice, this means that the data is free to access for everybody, and the file format and its content are not restricted to a particular non-open source software tool. In recent years, several initiatives to disseminate open data have been launched at national and European level, including the Open Data Portal of the Publications Office of the European Union.

Linked open data is a method of publishing structured open data so that it can be interlinked and become more useful through semantic queries. It builds upon standard web technologies, but, rather than using them to serve web pages for human readers, it extends them to share information in a way that can be read automatically by computers. This enables data from different sources and different policy areas to be connected and queried.

This preparatory action will boost linked open data in European public administrations by developing the implementation of linked open data techniques and infrastructure. The aim is to identify, assess and support the exploitation of the potential of linked open data for European public administrations and, consequently, facilitate the generation of new data, information and knowledge.

The action will target open data produced and released by European public administrations (notably the European data portal) and focus on:

- enabling a core set of open data to be identified for linking according to ISA semantic guidelines. e.g. migration data,
- providing the technical solutions to generate targeted queries to be used by European public administrations, e.g. a search engine for linked open data on migration,
- providing the capability to define indicators and contextualise them, e.g. performance indicators for migration policies v statistics on migration,
- promoting the culture and potential of linked open data.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 26*

**COMMISSION'S ADMINISTRATION**

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**TITLE 26**

**COMMISSION'S ADMINISTRATION**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                     | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|---------------|-----------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|               |                                                                             | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 26 01         | ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA | 1 091 045 771        | 1 091 045 771        | 1 027 047 732        | 1 027 047 732        | 1 067 906 744,88        | 1 067 906 744,88        |
|               | <i>Reserves (40 01 40)</i>                                                  | <i>5 915 694</i>     | <i>5 915 694</i>     | <i>4 644 253</i>     | <i>4 644 253</i>     |                         |                         |
|               |                                                                             | 1 096 961 465        | 1 096 961 465        | 1 031 691 985        | 1 031 691 985        | 1 067 906 744,88        | 1 067 906 744,88        |
| 26 02         | MULTIMEDIA PRODUCTION                                                       | 7 500 000            | 7 300 000            | 9 200 000            | 9 000 000            | 7 547 512,07            | 7 603 151,82            |
| 26 03         | SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS                 | 27 700 000           | 29 888 000           | 29 265 000           | 27 086 000           | 29 156 591,70           | 32 947 512,33           |
|               | <b>Title 26 — Total</b>                                                     | <b>1 126 245 771</b> | <b>1 128 233 771</b> | <b>1 065 512 732</b> | <b>1 063 133 732</b> | <b>1 104 610 848,65</b> | <b>1 108 457 409,03</b> |
|               | <b>Reserves (40 01 40)</b>                                                  | <b>5 915 694</b>     | <b>5 915 694</b>     | <b>4 644 253</b>     | <b>4 644 253</b>     |                         |                         |
|               |                                                                             | <b>1 132 161 465</b> | <b>1 134 149 465</b> | <b>1 070 156 985</b> | <b>1 067 777 985</b> | <b>1 104 610 848,65</b> | <b>1 108 457 409,03</b> |

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## TITLE 26

## COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                               | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|-------------|
| 26 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA                                                                           |     |                     |                     |                |             |
| <b>26 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Commission's administration' policy area</i></b>                                   | 5.2 | 166 355 185         | 121 024 080         | 115 728 542,70 | 69,57       |
| <b>26 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Commission's administration' policy area</i></b>                         |     |                     |                     |                |             |
| 26 01 02 01                         | External personnel                                                                                                                                    | 5.2 | 8 900 499           | 6 942 717           | 10 076 810,10  | 113,22      |
| 26 01 02 11                         | Other management expenditure                                                                                                                          | 5.2 | 21 081 532          | 21 793 180          | 27 284 233,52  | 129,42      |
|                                     | <i>Article 26 01 02 — Subtotal</i>                                                                                                                    |     | 29 982 031          | 28 735 897          | 37 361 043,62  | 124,61      |
| <b>26 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Commission's administration' policy area</i></b> | 5.2 | 10 753 748          | 7 557 152           | 7 461 689,32   | 69,39       |
| <b>26 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Commission's administration' policy area</i></b>                                      |     |                     |                     |                |             |
| 26 01 04 01                         | Support expenditure for interoperability solutions for European public administrations, businesses and citizens (ISA <sup>2</sup> )                   | 1.1 | 400 000             | 400 000             | 410 800,—      | 102,70      |
|                                     | <i>Article 26 01 04 — Subtotal</i>                                                                                                                    |     | 400 000             | 400 000             | 410 800,—      | 102,70      |
| <b>26 01 09</b>                     | <b><i>Publications Office</i></b>                                                                                                                     | 5.2 | 94 536 400          | 82 761 200          | 84 638 617,44  | 89,53       |
| <b>26 01 10</b>                     | <b><i>Consolidation of Union law</i></b>                                                                                                              | 5.2 | 1 400 000           | 1 400 000           | 1 400 920,53   | 100,07      |
| <b>26 01 11</b>                     | <b><i>Official Journal of the European Union (L and C series)</i></b>                                                                                 | 5.2 | 1 573 000           | 6 430 000           | 12 701 737,69  | 807,48      |
| <b>26 01 12</b>                     | <b><i>Summaries of Union legislation</i></b>                                                                                                          | 5.2 | 280 000             | 280 000             | 1 008 203,03   | 360,07      |

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**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                     | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|-------------------------------------|-----------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|-------------|
| <b>26 01 20</b>                     | <b>European Personnel Selection Office</b>                                  | 5.2 | 26 175 800          | 26 667 000          | 26 278 598,94  | 100,39      |
| <b>26 01 21</b>                     | <b>Office for the Administration and Payment of Individual Entitlements</b> | 5.2 | 38 698 600          | 38 698 500          | 44 318 823,21  | 114,52      |
| <b>26 01 22</b>                     | <b>Infrastructure and logistics (Brussels)</b>                              |     |                     |                     |                |             |
| 26 01 22 01                         | Office for Infrastructure and Logistics in Brussels                         | 5.2 | 78 345 000          | 68 153 000          | 77 384 914,42  | 98,77       |
| 26 01 22 02                         | Acquisition and renting of buildings in Brussels                            | 5.2 | 206 785 501         | 207 273 000         | 187 044 760,89 | 90,45       |
| 26 01 22 03                         | Expenditure related to buildings in Brussels                                | 5.2 | 76 715 000          | 78 488 000          | 81 233 009,17  | 105,89      |
| 26 01 22 04                         | Expenditure for equipment and furniture in Brussels                         | 5.2 | 7 568 000           | 7 524 000           | 12 743 774,97  | 168,39      |
| 26 01 22 05                         | Services, supplies and other operating expenditure in Brussels              | 5.2 | 7 430 000           | 7 453 000           | 9 689 018,98   | 130,40      |
| 26 01 22 06                         | Guarding of buildings in Brussels                                           | 5.2 | 33 397 000          | 33 391 000          | 38 330 865,71  | 114,77      |
|                                     | <i>Article 26 01 22 — Subtotal</i>                                          |     | 410 240 501         | 402 282 000         | 406 426 344,14 | 99,07       |
| <b>26 01 23</b>                     | <b>Infrastructure and logistics (Luxembourg)</b>                            |     |                     |                     |                |             |
| 26 01 23 01                         | Office for Infrastructure and Logistics in Luxembourg                       | 5.2 | 24 763 600          | 24 369 000          | 23 988 893,57  | 96,87       |
| 26 01 23 02                         | Acquisition and renting of buildings in Luxembourg                          | 5.2 | 42 520 000          | 43 573 000          | 39 609 933,20  | 93,16       |
| 26 01 23 03                         | Expenditure related to buildings in Luxembourg                              | 5.2 | 17 810 000          | 19 785 000          | 17 337 184,35  | 97,35       |
| 26 01 23 04                         | Expenditure for equipment and furniture in Luxembourg                       | 5.2 | 1 063 000           | 1 063 000           | 1 686 527,18   | 158,66      |
| 26 01 23 05                         | Services, supplies and other operating expenditure in Luxembourg            | 5.2 | 909 000             | 927 000             | 680 895,59     | 74,91       |
| 26 01 23 06                         | Guarding of buildings in Luxembourg                                         | 5.2 | 8 320 000           | 8 926 000           | 8 807 333,23   | 105,86      |
|                                     | <i>Article 26 01 23 — Subtotal</i>                                          |     | 95 385 600          | 98 643 000          | 92 110 767,12  | 96,57       |

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                    | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| <b>26 01 40</b>                     | <b>Security and monitoring</b>                                                                                                                             | 5.2 | 12 750 000          | 14 841 000          | 14 389 795,38 | 112,86      |
| <b>26 01 60</b>                     | <b>Personnel policy and management</b>                                                                                                                     |     |                     |                     |               |             |
| 26 01 60 01                         | Medical service                                                                                                                                            | 5.2 | 4 700 000           | 4 800 000           | 6 116 395,61  | 130,14      |
| 26 01 60 02                         | Competitions, selection and recruitment expenditure                                                                                                        | 5.2 | 1 565 000           | 1 570 000           | 1 531 169,32  | 97,84       |
| 26 01 60 04                         | Interinstitutional cooperation in the social sphere                                                                                                        | 5.2 | 7 108 000           | 7 113 000           | 23 814 391,06 | 335,04      |
| 26 01 60 06                         | Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings | 5.2 | 230 000             | 250 000             | 202 558,74    | 88,07       |
| 26 01 60 07                         | Damages                                                                                                                                                    | 5.2 | 150 000             | 150 000             | 4 025 350,—   | 2 683,57    |
| 26 01 60 08                         | Miscellaneous insurances                                                                                                                                   | 5.2 | 61 000              | 60 000              | 60 000,—      | 98,36       |
| 26 01 60 09                         | Language courses                                                                                                                                           | 5.2 | 2 545 000           | 2 845 000           | 4 123 365,57  | 162,02      |
|                                     | <i>Article 26 01 60 — Subtotal</i>                                                                                                                         |     | 16 359 000          | 16 788 000          | 39 873 230,30 | 243,74      |
| <b>26 01 70</b>                     | <b>European Schools</b>                                                                                                                                    |     |                     |                     |               |             |
| 26 01 70 01                         | Office of the Secretary-General of the European Schools (Brussels)                                                                                         | 5.1 | 12 362 906          | 10 655 428          | 9 183 562,—   | 74,28       |
| 26 01 70 02                         | Brussels I (Uccle)                                                                                                                                         | 5.1 | 31 797 038          | 28 884 533          | 28 141 727,85 | 88,50       |
| 26 01 70 03                         | Brussels II (Woluwe)                                                                                                                                       | 5.1 | 26 136 107          | 24 019 463          | 23 928 063,—  | 91,55       |
| 26 01 70 04                         | Brussels III (Ixelles)                                                                                                                                     | 5.1 | 25 566 613          | 23 920 457          | 23 096 302,—  | 90,34       |
| 26 01 70 05                         | Brussels IV (Laeken)                                                                                                                                       | 5.1 | 22 087 003          | 17 289 831          | 17 265 358,14 | 78,17       |
| 26 01 70 11                         | Luxembourg I                                                                                                                                               | 5.1 | 19 132 820          | 18 742 931          | 16 954 796,—  | 88,62       |
| 26 01 70 12                         | Luxembourg II                                                                                                                                              | 5.1 | 14 525 772          | 14 930 268          | 13 136 383,—  | 90,44       |
| 26 01 70 21                         | Mol (BE)                                                                                                                                                   | 5.1 | 6 458 931           | 6 184 162           | 5 422 252,—   | 83,95       |
| 26 01 70 22                         | Frankfurt am Main (DE)                                                                                                                                     | 5.1 | 6 048 402           | 4 761 194           | 10 046 529,—  | 166,10      |
|                                     | <i>Reserves (40 01 40)</i>                                                                                                                                 |     | 5 915 694           | 4 644 253           |               |             |
|                                     |                                                                                                                                                            |     | 11 964 096          | 9 405 447           | 10 046 529,—  |             |
| 26 01 70 23                         | Karlsruhe (DE)                                                                                                                                             | 5.1 | 4 004 200           | 3 821 600           | 3 925 035,—   | 98,02       |
| 26 01 70 24                         | Munich (DE)                                                                                                                                                | 5.1 | 316 380             | 364 588             | 552 765,—     | 174,72      |

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                              | FF  | Appropriations 2018  | Appropriations 2017  | Outturn 2016            | % 2016/2018  |
|-------------------------------------|------------------------------------------------------|-----|----------------------|----------------------|-------------------------|--------------|
| <b>26 01 70</b>                     | (cont'd)                                             |     |                      |                      |                         |              |
| 26 01 70 25                         | Alicante (ES)                                        | 5.1 | 486 020              | 3 590 065            | 7 130 706,—             | 1 467,16     |
| 26 01 70 26                         | Varese (IT)                                          | 5.1 | 11 215 248           | 10 532 900           | 10 133 513,—            | 90,35        |
| 26 01 70 27                         | Bergen (NL)                                          | 5.1 | 5 251 210            | 5 167 512            | 4 464 060,—             | 85,01        |
| 26 01 70 28                         | Culham (UK)                                          | 5.1 | p.m.                 | 6 856 498            | 4 476 325,—             |              |
| 26 01 70 31                         | Union contribution to the Type 2<br>European Schools | 5.1 | 767 256              | 818 473              | 5 940 254,47            | 774,22       |
|                                     | <i>Article 26 01 70 — Subtotal</i>                   |     | 186 155 906          | 180 539 903          | 183 797 631,46          | 98,73        |
|                                     | <i>Reserves (40 01 40)</i>                           |     | 5 915 694            | 4 644 253            |                         |              |
|                                     |                                                      |     | 192 071 600          | 185 184 156          | 183 797 631,46          |              |
|                                     | <b>Chapter 26 01 — Total</b>                         |     | <b>1 091 045 771</b> | <b>1 027 047 732</b> | <b>1 067 906 744,88</b> | <b>97,88</b> |
|                                     | <b>Reserves (40 01 40)</b>                           |     | <b>5 915 694</b>     | <b>4 644 253</b>     |                         |              |
|                                     |                                                      |     | <b>1 096 961 465</b> | <b>1 031 691 985</b> | <b>1 067 906 744,88</b> |              |

**26 01 01** *Expenditure related to officials and temporary staff in the 'Commission's administration' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 166 355 185         | 121 024 080         | 115 728 542,70 |

**26 01 02** *External personnel and other management expenditure in support of the 'Commission's administration' policy area*

26 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 8 900 499           | 6 942 717           | 10 076 810,10 |

26 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 21 081 532          | 21 793 180          | 27 284 233,52 |

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

**26 01 03 Expenditure related to information and communication technology equipment and services of the 'Commission's administration' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 753 748          | 7 557 152           | 7 461 689,32 |

**26 01 04 Support expenditure for operations and programmes in the 'Commission's administration' policy area**26 01 04 01 Support expenditure for interoperability solutions for European public administrations, businesses and citizens (ISA<sup>2</sup>)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 400 000             | 400 000             | 410 800,—    |

## Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, as well as any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as the contracts of technical assistance offices expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

## Legal basis

See Chapter 26 03.

**26 01 09 Publications Office**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 94 536 400          | 82 761 200          | 84 638 617,44 |



COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** *(cont'd)*

**26 01 09** *(cont'd)*

*Remarks*

The amount entered corresponds to the appropriations for the Publications Office set out in detail in the specific annex to this section.

On the basis of the Office's cost-accounting forecasts, the cost of the services it will perform for each institution is estimated as follows:

|                                        |                   |                 |
|----------------------------------------|-------------------|-----------------|
| European Parliament                    | 11 240 378        | 11,89 %         |
| Council                                | 8 177 399         | 8,65 %          |
| European Commission                    | 52 874 209        | 55,93 %         |
| Court of Justice of the European Union | 4 452 664         | 4,71 %          |
| Court of Auditors                      | 3 034 618         | 3,21 %          |
| European Economic and Social Committee | 869 735           | 0,92 %          |
| Committee of the Regions               | 217 434           | 0,23 %          |
| Agencies                               | 6 589 187         | 6,97 %          |
| Other                                  | 7 080 776         | 7,49 %          |
| <b>Total</b>                           | <b>94 536 400</b> | <b>100,00 %</b> |

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 884 000.

*Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

**26 01 10** ***Consolidation of Union law***

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 400 000           | 1 400 000           | 1 400 920,53 |

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 10 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on the consolidation of Union legal instruments and on making available to the public, in all forms and on all publishing media, consolidated legal acts of the Union in all the official languages of the Union.

*Legal basis*

Conclusions of the Edinburgh European Council, December 1992 (SN/456/92, Annex 3 to Part A, p. 5).

Declaration on the quality of the drafting of Community legislation, annexed to the Final Act of the Treaty of Amsterdam.

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

*Reference acts*

Communications from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions concerning the Smart Regulation initiative, of which the consolidation is an integral part:

- Smart Regulation in the European Union (COM(2010) 543 final),
- EU Regulatory Fitness (COM(2012) 746 final),
- Regulatory Fitness and Performance (REFIT): Results and Next Steps (COM(2013) 685 final).

Conclusions of the European Council Summit of 14-15 March 2013, where Heads of State and Governments underlined that consolidation of Union law is one of the priorities in the context of the Union legislation simplification efforts.

26 01 11 *Official Journal of the European Union (L and C series)**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 1 573 000           | 6 430 000           | 12 701 737,69 |

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

## 26 01 11 (cont'd)

## Remarks

This appropriation is intended to cover expenditure on the production (direct costs) of the *Official Journal of the European Union* L and C series.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 141 000.

## Legal basis

Treaty on the Functioning of the European Union, and in particular Article 297 thereof.

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385/58).

Council Decision of 15 September 1958 creating the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 419/58).

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Council Regulation (EU) No 216/2013 of 7 March 2013 on the electronic publication of the *Official Journal of the European Union* (OJ L 69, 13.3.2013, p. 1).

26 01 12 **Summaries of Union legislation**

## Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 280 000             | 280 000             | 1 008 203,03 |

## Remarks

This appropriation is intended to cover the production of online summaries of Union legislation, presenting the main aspects of Union legislation in a concise, easy-to-read way, and the development of related products.

Summaries of Union legislation being an interinstitutional project, both the European Parliament and the Council are expected to contribute from their respective sections of the general budget of the Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 560 000.

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TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 12 (*cont'd*)*Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

*Reference acts*

Council Resolution of 20 June 1994 on the electronic dissemination of Community law and national implementing laws and on improved access conditions (OJ C 179, 1.7.1994, p. 3).

Communication to the Commission of 21 December 2007, Communicating about Europe via the Internet — Engaging the citizens (SEC(2007) 1742).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

26 01 20 **European Personnel Selection Office***Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 26 175 800          | 26 667 000          | 26 278 598,94 |

*Remarks*

The amount entered corresponds to the appropriations for the European Personnel Selection Office set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 206 000.

*Legal basis*

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

26 01 21 **Office for the Administration and Payment of Individual Entitlements**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 38 698 600          | 38 698 500          | 44 318 823,21 |

Remarks

The amount entered corresponds to the appropriations for the Office for the Administration and Payment of Individual Entitlements set out in detail in the specific annex to this section.

In accordance with Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1), the appropriations for, and staff of, the Supervisory Committee and its secretariat are entered in the budget and establishment plan of PMO.

For the sake of transparency, the resources made available to the secretariat of OLAF's Supervisory Committee in PMO's budget can be identified. Based on a secretariat of seven permanent posts and an allocation for one member of contract staff, the appropriations for the operation of the secretariat of OLAF's Supervisory Committee would amount to approximately EUR 1 000 000. This amount covers expenditure on staff costs, training, missions, internal meetings, buildings and IT.

The expenditure resulting from the mandate of the members of the Supervisory Committee is covered by appropriations of EUR 200 000 in Article 24 01 08.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 903 000.

Legal basis

Commission Decision 2003/522/EC of 6 November 2002 establishing an Office for the administration and payment of individual entitlements (OJ L 183, 22.7.2003, p. 30).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

26 01 22 **Infrastructure and logistics (Brussels)**

26 01 22 01 Office for Infrastructure and Logistics in Brussels

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 78 345 000          | 68 153 000          | 77 384 914,42 |

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 22 (*cont'd*)26 01 22 01 (*cont'd*)*Remarks*

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Brussels set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 470 000.

*Legal basis*

Commission Decision 2003/523/EC of 6 November 2002 establishing the Office for infrastructure and logistics in Brussels (OJ L 183, 22.7.2003, p. 35).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

26 01 22 02 Acquisition and renting of buildings in Brussels

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 206 785 501         | 207 273 000         | 187 044 760,89 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of direct research are entered under various items in Article 10 01 05.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 22 (*cont'd*)26 01 22 02 (*cont'd*)

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 418 434.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 17 089 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 22 03 Expenditure related to buildings in Brussels

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 76 715 000          | 78 488 000          | 81 233 009,17 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (*cont'd*)

**26 01 22** (*cont'd*)

26 01 22 03 (*cont'd*)

- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of direct research are entered under various items in Article 10 01 05.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 152 187.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 973 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Decision of the European Ombudsman of 4 July 2007 on own-initiative inquiry OI/3/2003/JMA concerning the European Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

## 26 01 22 (cont'd)

## 26 01 22 04 Expenditure for equipment and furniture in Brussels

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 7 568 000           | 7 524 000           | 12 743 774,97 |

## Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpretation facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
  - various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 84 of the Financial Regulation,

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CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 22 (*cont'd*)26 01 22 04 (*cont'd*)

- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,
  - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
  - the hire of furniture,
  - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
  - purchase of tickets (one-way ticket and business pass), free access to public transport routes to facilitate mobility between Commission buildings or between Commission buildings and public buildings (e.g. airport), service bicycles and any other means encouraging the use of public transporting and Commission staff mobility, with the exception of service vehicles,
- expenditure on inputs for protocol restaurant services.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 499 000.

The creation of a specific appropriation for reimbursing public transport season tickets is a modest but crucial measure to confirm the commitment of the institutions of the Union to reducing their CO<sub>2</sub> emissions in line with their Eco-Management and Audit Scheme (EMAS) policy and the agreed climate change objectives.

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

26 01 22 (cont'd)

26 01 22 04 (cont'd)

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 22 05 Services, supplies and other operating expenditure in Brussels

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 430 000           | 7 453 000           | 9 689 018,98 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- expenditure relating to the provision of protocol restaurant services,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 22 (*cont'd*)26 01 22 05 (*cont'd*)

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 265 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 22 06 Guarding of buildings in Brussels

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 33 397 000          | 33 391 000          | 38 330 865,71 |

*Remarks*

This appropriation is intended to cover expenditure for guarding, surveillance, access control and other related services for buildings occupied by the Commission (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 590 000.

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (cont'd)**26 01 22** (cont'd)

26 01 22 06 (cont'd)

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**26 01 23** **Infrastructure and logistics (Luxembourg)**

26 01 23 01 Office for Infrastructure and Logistics in Luxembourg

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 24 763 600          | 24 369 000          | 23 988 893,57 |

*Remarks*

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Luxembourg set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 320 000.

*Legal basis*

Commission Decision 2003/524/EC of 6 November 2002 establishing the Office for infrastructure and logistics in Luxembourg (OJ L 183, 22.7.2003, p. 40).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

## 26 01 23 (cont'd)

## 26 01 23 02 Acquisition and renting of buildings in Luxembourg

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 42 520 000          | 43 573 000          | 39 609 933,20 |

## Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 84 552.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 464 000.

## Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 23 03 Expenditure related to buildings in Luxembourg

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 17 810 000          | 19 785 000          | 17 337 184,35 |

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 23 (*cont'd*)26 01 23 03 (*cont'd*)*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 23 (*cont'd*)26 01 23 03 (*cont'd*)

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 34 827.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 282 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Decision of the European Ombudsman of 4 July 2007 on own-initiative inquiry OI/3/2003/JMA concerning the European Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 23 04 Expenditure for equipment and furniture in Luxembourg

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 063 000           | 1 063 000           | 1 686 527,18 |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),



CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA *(cont'd)*26 01 23 *(cont'd)*26 01 23 04 *(cont'd)*

- audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpretation facilities, etc.),
- kitchen fittings and restaurant equipment,
- various tools for building-maintenance shops,
- facilities required for officials with disabilities,
- studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
  - various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 84 of the Financial Regulation,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,
  - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
  - the hire of furniture,
  - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 23 (*cont'd*)26 01 23 04 (*cont'd*)

— expenditure on working equipment, and in particular:

— purchase of uniforms for floor messengers and drivers,

— purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,

— purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 132 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 23 05 Services, supplies and other operating expenditure in Luxembourg

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 909 000             | 927 000             | 680 895,59   |

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** *(cont'd)***26 01 23** *(cont'd)*26 01 23 05 *(cont'd)**Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 66 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 23 06 Guarding of buildings in Luxembourg

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 320 000           | 8 926 000           | 8 807 333,23 |

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (*cont'd*)

**26 01 23** (*cont'd*)

26 01 23 06 (*cont'd*)

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training courses and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 120 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 40 **Security and monitoring**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 12 750 000          | 14 841 000          | 14 389 795,38 |

Remarks

This appropriation is intended to cover expenses concerned with:

- the physical and material security of persons and property, in particular the purchase, hiring or leasing, maintenance, repair, installation and replacement of technical security equipment,
- the health and safety of individuals at work, in particular statutory inspection costs (inspection of technical installations in buildings, safety coordinator and health and hygiene inspections of foodstuffs), the purchase, hire and maintenance of firefighting equipment and expenditure on training and equipment for leading fire fighters (ECI) and fire pickets (EPI), whose presence in the buildings is required by law,
- periodic evaluation of the functioning of the environmental management system within the institution,
- the design, production and personalisation of the *laissez-passer* issued by the Union.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 087 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EC) No 1221/2009 of the European Parliament and of the Council of 25 November 2009 on the voluntary participation by organisations in a Community eco-management and audit scheme (EMAS), repealing Regulation (EC) No 761/2001 and Commission Decisions 2001/681/EC and 2006/193/EC (OJ L 342, 22.12.2009, p. 1).

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 40 (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EU) No 1417/2013 of 17 December 2013 laying down the form of the *laissez-passer* issued by the European Union (OJ L 353, 28.12.2013, p. 26).

26 01 60 **Personnel policy and management**

## 26 01 60 01 Medical service

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 700 000           | 4 800 000           | 6 116 395,61 |

*Remarks*

This appropriation is intended to cover:

- the cost of annual check-ups and pre-recruitment medical examinations, equipment and pharmaceutical products, working tools and special furniture required on medical grounds and the administrative costs of the Invalidation Committee,
- the cost of medical, paramedical and psycho-social personnel employed under local law contracts or as occasional replacements, and the cost of external services by medical specialists considered necessary by the medical officers,
- the cost of pre-recruitment medical examinations for assistants at the childminding centres,
- the cost of health checks for staff exposed to radiation,
- the purchase or reimbursement of equipment in connection with the application of Directives 89/391/EEC and 90/270/EEC,
- medical expenditure in connection with high-level political meetings organized by the Commission.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 024 625.

*Legal basis*

Staff Regulations of Officials of the European Union.

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA *(cont'd)*26 01 60 *(cont'd)*26 01 60 01 *(cont'd)*

Treaty establishing the European Atomic Energy Community, in particular Chapter III thereof.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

National legislation on basic standards.

26 01 60 02 Competitions, selection and recruitment expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 565 000           | 1 570 000           | 1 531 169,32 |

*Remarks*

This appropriation is intended to cover:

- costs linked to recruitment and selection for management posts,
- expenditure on inviting successful candidates to employment interviews,
- expenditure on inviting officials and other staff in delegations to take part in competitions and selection procedures,
- the cost of organising the competitions and selection procedures provided for in Article 3 of Decision 2002/620/EC.

In cases duly substantiated on grounds of functional requirements and after the European Personnel Selection Office has been consulted, this appropriation can be used for competitions organised by the institution itself.

This appropriation does not cover expenditure on the personnel for whom appropriations are entered under Articles 01 04 and 01 05 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 183 565.

*Legal basis*

Staff Regulations of Officials of the European Union.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 60 (*cont'd*)26 01 60 02 (*cont'd*)

Conditions of Employment of Other Servants of the European Union.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

## 26 01 60 04 Interinstitutional cooperation in the social sphere

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 7 108 000           | 7 113 000           | 23 814 391,06 |

## Remarks

This appropriation is intended to cover:

- expenditure on producing and developing the Commission's intranet site (*My IntraComm*) and the in-house monthly, *Commission en direct*,
- other expenditure on internal information and communication, including promotion campaigns,
- temporary staff working in the after-school childminding centres, holiday centres and open-air centres run by Commission departments,
- document reproduction work which cannot be handled in-house and has to be sent out,
- expenditure on private-law contracts with persons replacing the regular nurses and children's nurses at the crèches,
- some of the costs of the recreation centre, cultural activities, subsidies to staff clubs, and the management of, and extra equipment for, sports centres,
- projects to promote social contact between staff of different nationalities and the integration of staff and their families and preventative projects to meet the needs of staff in service and their families,
- a contribution towards the expenses incurred by members of staff for activities such as home help, legal advice, open-air centres for children, and courses in languages and the arts,



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TITLE 26 — COMMISSION'S ADMINISTRATIONCHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA *(cont'd)*26 01 60 *(cont'd)*26 01 60 04 *(cont'd)*

- the cost of reception facilities for new officials and other staff and their families and assistance in accommodation matters for staff,
- expenditure on assistance in kind which may be provided to an official, a former official or survivors of a deceased official who are in particularly difficult circumstances,
- expenditure on limited measures of social nature concerning the purchasing power of some staff, at the lowest grades, working in Luxembourg,
- certain expenditure on the early childhood centres and other crèches and childcare facilities; the revenue from the parental contribution will be available for reuse,
- expenditure on recognition events for officials, and in particular the cost of medals for 20 years' service and retirement gifts,
- specific payments to persons in receipt of Union pensions and those entitled under them and to any surviving dependents who are in particularly difficult circumstances,
- financing preventative projects to meet the specific needs of former staff in the various Member States and contributions to associations of former staff.

In respect of the policy to assist disabled persons in the following categories:

- officials and other staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union,

within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, this appropriation covers any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from their disability.

This appropriation is intended to cover some of the expenditure on schooling children who for unavoidable educational reasons are not or are no longer admitted to European Schools, or cannot attend a European School because of the place of work of either parent (external offices).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 379 200.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

26 01 60 (cont'd)

26 01 60 04 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union.

26 01 60 06 Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 230 000             | 250 000             | 202 558,74   |

*Remarks*

This appropriation is intended to cover supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them.

It is also intended to cover costs relating to special training schemes with authorities and bodies in Member States and third countries.

*Legal basis*

Staff Regulations of Officials of the European Union.

26 01 60 07 Damages

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | 150 000             | 4 025 350,—  |

*Remarks*

This appropriation is intended to cover:

- damages and the cost of settling claims against the Commission (third-party liability) in connection with the institution's own staff and administrative processes,
- expenditure relating to certain cases where, for reasons of equity, compensation has to be paid.

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

## 26 01 60 (cont'd)

## 26 01 60 08 Miscellaneous insurances

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 61 000              | 60 000              | 60 000,—     |

## Remarks

This appropriation is intended to cover expenditure on civil liability for operations and other contracts managed by the Office for the Administration and Payment of Individual Entitlements on behalf of the Commission, agencies, the Joint Research Committee, Union delegations and Commission Representations, and indirect research.

## Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 60 09 Language courses

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 545 000           | 2 845 000           | 4 123 365,57 |

## Remarks

This appropriation is intended to cover:

- the cost of organising language courses for officials and other staff,
- the cost of organising language courses for the spouses of officials and other staff, with due regard for integration policy,
- the purchase of material and documentation,
- the consultation of experts.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 950 000.

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 60 (*cont'd*)26 01 60 09 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

**26 01 70** **European Schools**

26 01 70 01 Office of the Secretary-General of the European Schools (Brussels)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 12 362 906          | 10 655 428          | 9 183 562,—  |

*Remarks*

This appropriation is intended to contribute to the financing of the Office of the Secretary-General of the European Schools (Brussels).

European Schools must comply with the principles of non-discrimination and equal opportunities.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 02 Brussels I (Uccle)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 31 797 038          | 28 884 533          | 28 141 727,85 |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Uccle (Brussels I).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

## 26 01 70 (cont'd)

## 26 01 70 03 Brussels II (Woluwe)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 26 136 107          | 24 019 463          | 23 928 063,— |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Woluwe (Brussels II).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 04 Brussels III (Ixelles)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 25 566 613          | 23 920 457          | 23 096 302,— |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Ixelles (Brussels III).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 05 Brussels IV (Laeken)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 22 087 003          | 17 289 831          | 17 265 358,14 |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Laeken (Brussels IV).

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

26 01 70 (cont'd)

26 01 70 05 (cont'd)

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 11 Luxembourg I

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 19 132 820          | 18 742 931          | 16 954 796,— |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Luxembourg I.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 12 Luxembourg II

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 14 525 772          | 14 930 268          | 13 136 383,— |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Luxembourg II.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 21 Mol (BE)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 458 931           | 6 184 162           | 5 422 252,—  |

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

26 01 70 (cont'd)

26 01 70 21 (cont'd)

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Mol.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 22 Frankfurt am Main (DE)

*Figures (Non-differentiated appropriations)*

|                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|---------------------|--------------|
| 26 01 70 22         | 6 048 402           | 4 761 194           | 10 046 529,— |
| Reserves (40 01 40) | 5 915 694           | 4 644 253           |              |
| Total               | 11 964 096          | 9 405 447           | 10 046 529,— |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Frankfurt am Main.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 23 Karlsruhe (DE)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 004 200           | 3 821 600           | 3 925 035,—  |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Karlsruhe.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

## 26 01 70 (cont'd)

## 26 01 70 24 Munich (DE)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 316 380             | 364 588             | 552 765,—    |

## Remarks

This appropriation is intended to contribute to the budget of the European School in Munich.

## Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 25 Alicante (ES)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 486 020             | 3 590 065           | 7 130 706,—  |

## Remarks

This appropriation is intended to contribute to the budget of the European School in Alicante.

## Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 26 Varese (IT)

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 11 215 248          | 10 532 900          | 10 133 513,— |

## Remarks

This appropriation is intended to contribute to the budget of the European School in Varese.



## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

26 01 70 (cont'd)

26 01 70 26 (cont'd)

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 27 Bergen (NL)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 251 210           | 5 167 512           | 4 464 060,—  |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Bergen.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 28 Culham (UK)

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 6 856 498           | 4 476 325,—  |

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Culham.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 31 Union contribution to the Type 2 European Schools

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 767 256             | 818 473             | 5 940 254,47 |

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** *(cont'd)*

**26 01 70** *(cont'd)*

26 01 70 31 *(cont'd)*

*Remarks*

This appropriation is intended to cover the Commission contribution to the Type 2 European Schools accredited by the Board of Governors of the European Schools and who have signed the financial agreement with the Commission.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 150 000.

*Reference acts*

Commission Decision C(2013) 4886 of 1 August 2013.

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 02 — MULTIMEDIA PRODUCTION**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                               | FF  | Appropriations 2018 |                  | Appropriations 2017 |                  | Outturn 2016        |                     | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------|-----|---------------------|------------------|---------------------|------------------|---------------------|---------------------|-------------------------|
|                                     |                                                                                                       |     | Commitments         | Payments         | Commitments         | Payments         | Commitments         | Payments            |                         |
| 26 02                               | MULTIMEDIA<br>PRODUCTION                                                                              |     |                     |                  |                     |                  |                     |                     |                         |
| 26 02 01                            | <i>Procedures for awarding<br/>and advertising public<br/>supply, works and<br/>service contracts</i> | 1.1 | 7 500 000           | 7 300 000        | 9 200 000           | 9 000 000        | 7 547 512,07        | 7 603 151,82        | 104,15                  |
|                                     | <b>Chapter 26 02 — Total</b>                                                                          |     | <b>7 500 000</b>    | <b>7 300 000</b> | <b>9 200 000</b>    | <b>9 000 000</b> | <b>7 547 512,07</b> | <b>7 603 151,82</b> | <b>104,15</b>           |

**26 02 01**      *Procedures for awarding and advertising public supply, works and service contracts*

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 7 500 000           | 7 300 000 | 9 200 000           | 9 000 000 | 7 547 512,07 | 7 603 151,82 |

*Remarks*

This appropriation is intended to cover the costs of:

- collecting, processing, publishing and disseminating notices of public contracts put up for tender in the Union and third countries on various media, and of incorporating them in the eProcurement services offered by the institutions to firms and contracting authorities. This includes the cost of translating the notices of public contracts published by Union institutions,
- promoting the use of new techniques for collecting and disseminating notices of public contracts by electronic means,
- developing and exploiting eProcurement services for the various contract award stages.

*Legal basis*

Council Regulation (EEC) No 2137/85 of 25 July 1985 on the European Economic Interest Grouping (EEIG) (OJ L 199, 31.7.1985, p. 1).

Council Directive 92/13/EEC of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (OJ L 76, 23.3.1992, p. 14).

Decision 94/1/EC, ECSC of the Council and of the Commission of 13 December 1993 on the conclusion of the Agreement on the European Economic Area between the European Communities, their Member States and the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation (OJ L 1, 3.1.1994, p. 1).

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 02 — MULTIMEDIA PRODUCTION (*cont'd*)26 02 01 (*cont'd*)

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), in particular as concerns the Agreement on Government Procurement.

Council Regulation (EC) No 2157/2001 of 8 October 2001 on the Statute for a European company (SE) (OJ L 294, 10.11.2001, p. 1).

Decision 2002/309/EC, Euratom of the Council and of the Commission as regards the Agreement on Scientific and Technological Cooperation, of 4 April 2002 on the conclusion of seven Agreements with the Swiss Confederation (OJ L 114, 30.4.2002, p. 1), in particular as concerns the Agreement between the European Community and the Swiss Confederation on Certain Aspects of Government Procurement.

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Commission Regulation (EC) No 718/2007 of 12 June 2007 implementing Council Regulation (EC) No 1085/2006 establishing an instrument for pre-accession assistance (IPA) (OJ L 170, 29.6.2007, p. 1).

Decision 2007/497/EC of the European Central Bank of 3 July 2007 laying down the Rules on Procurement (ECB/2007/5) (OJ L 184, 14.7.2007, p. 34).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road and repealing Council Regulations (EEC) Nos 1191/69 and 1107/70 (OJ L 315, 3.12.2007, p. 1).

Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation of air services in the Community (OJ L 293, 31.10.2008, p. 3).

Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security, and amending Directives 2004/17/EC and 2004/18/EC (OJ L 216, 20.8.2009, p. 76).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (OJ L 94, 28.3.2014, p. 1).

Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ L 94, 28.3.2014, p. 65).

**CHAPTER 26 02 — MULTIMEDIA PRODUCTION** (*cont'd*)**26 02 01** (*cont'd*)

Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ L 94, 28.3.2014, p. 243).

Association Agreement between the European Union and its Member States, of the one part, and Ukraine, of the other part (OJ L 161, 29.5.2014, p. 3).

Council Decision 2014/668/EU of 23 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 278, 20.9.2014, p. 1).

Council Regulation (EU) 2015/323 of 2 March 2015 on the financial regulation applicable to the 11th European Development Fund (OJ L 58, 3.3.2015, p. 17).

*Reference acts*

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385).

Council Decision of 15 September 1958 creating the Official Journal of the European Communities (OJ 17, 6.10.1958, p. 390).

Regulation (EC) No 2195/2002 of the European Parliament and of the Council of 5 November 2002 on the Common Procurement Vocabulary (CPV) (OJ L 340, 16.12.2002, p. 1).

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Commission Regulation (EU) No 1251/2011 of 30 November 2011 amending Directives 2004/17/EC, 2004/18/EC and 2009/81/EC of the European Parliament and of the Council in respect of their application thresholds for the procedures for the award of contract (OJ L 319, 2.12.2011, p. 43).

Commission Implementing Regulation (EU) 2015/1986 of 11 November 2015 establishing standard forms for the publication of notices in the field of public procurement and repealing Implementing Regulation (EU) No 842/2011 (OJ L 296, 12.11.2015, p. 1).

Note of DG GROW of 7 September 2016 concerning the publication of announcements of preliminary market consultations in Prior Information Notices.

Notes of 12 September 2016 and 21 September 2016 concerning the publication of European Stability Mechanism's (ESM) procurement notices in OJ S.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                                                  | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|---------------|---------------|-----------------------------|
|                                     |                                                                                                                                                                                                                                                                          |     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                             |
| 26 03                               | SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS                                                                                                                                                                                                              |     |                     |            |                     |            |               |               |                             |
| <b>26 03 01</b>                     | <b>Interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup>)</b>                                                                                                                                   |     |                     |            |                     |            |               |               |                             |
|                                     |                                                                                                                                                                                                                                                                          | 1.1 | 25 800 000          | 24 468 000 | 25 115 000          | 18 000 000 | 27 241 601,72 | 4 613 495,26  | 18,86                       |
| <b>26 03 51</b>                     | <b>Completion of ISA programme</b>                                                                                                                                                                                                                                       |     |                     |            |                     |            |               |               |                             |
|                                     |                                                                                                                                                                                                                                                                          | 1.1 | p.m.                | 2 165 000  | p.m.                | 4 600 000  | 165 069,01    | 26 084 715,73 | 1 204,84                    |
| <b>26 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                                                                                                                                            |     |                     |            |                     |            |               |               |                             |
| 26 03 77 02                         | Pilot project — Governance and quality of software code — Auditing of free and open-source software                                                                                                                                                                      | 5.2 | p.m.                | p.m.       | p.m.                | 471 000    | 0,—           | 971 754,10    |                             |
| 26 03 77 03                         | Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents                                                                                                                                             | 5.2 | p.m.                | 180 000    | p.m.                | 813 000    | 500 000,—     | 512 000,—     | 284,44                      |
| 26 03 77 04                         | Pilot project — Union institutions' encrypted electronic communications                                                                                                                                                                                                  | 5.2 | p.m.                | 250 000    | p.m.                | 750 000    | 1 000 000,—   | 266 130,—     | 106,45                      |
| 26 03 77 05                         | Pilot project — Promoting linked open data, free software and civil society participation in law-making throughout the Union (Authoring Tool for Amendments (AT4AM)/ Legislation Editing Open Software (LEOS) Linked Open Data (LOD) and Free Software (FS) integration) | 5.2 | p.m.                | p.m.       | p.m.                | 377 000    | 249 920,97    | 499 417,24    |                             |
| 26 03 77 06                         | Preparatory action — Governance and quality of software code — Auditing of free and open-source software                                                                                                                                                                 | 5.2 | p.m.                | 1 100 000  | 2 600 000           | 1 300 000  |               |               |                             |

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                              | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                                                                      |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| <b>26 03 77</b>                     | (cont'd)                                                                                                                                                                             |     |                     |                   |                     |                   |                      |                      |                             |
| 26 03 77 07                         | Pilot project —<br>Deployment of online<br>eIDs and digital<br>signatures by way of<br>implementation of the<br>eIDAS Regulation by the<br>European Parliament and<br>the Commission | 5.2 | p.m.                | 275 000           | 550 000             | 275 000           |                      |                      |                             |
| 26 03 77 08                         | Preparatory action —<br>Encrypted electronic<br>communications of<br>Union institutions                                                                                              | 5.2 | 600 000             | 800 000           | 1 000 000           | 500 000           |                      |                      |                             |
| 26 03 77 09                         | Preparatory action —<br>Data analytics solutions<br>for policymaking                                                                                                                 | 5.2 | 1 300 000           | 650 000           |                     |                   |                      |                      |                             |
|                                     | <i>Article 26 03 77 —<br/>Subtotal</i>                                                                                                                                               |     | 1 900 000           | 3 255 000         | 4 150 000           | 4 486 000         | 1 749 920,97         | 2 249 301,34         | 69,10                       |
|                                     | <b>Chapter 26 03 — Total</b>                                                                                                                                                         |     | <b>27 700 000</b>   | <b>29 888 000</b> | <b>29 265 000</b>   | <b>27 086 000</b> | <b>29 156 591,70</b> | <b>32 947 512,33</b> | <b>110,24</b>               |

**26 03 01** *Interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup>)*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 25 800 000          | 24 468 000 | 25 115 000          | 18 000 000 | 27 241 601,72 | 4 613 495,26 |

*Remarks*

The programme on interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup> Programme) is the successor of the ISA programme (established by Decision No 922/2009/EC) that ended in December 2015.

ISA<sup>2</sup> Programme aims to implement a holistic approach on interoperability in the Union and to facilitate the efficient and effective electronic cross-border or cross-sector interaction between European public administrations as well as between them and citizens and businesses. It shall identify, develop and operate interoperability solutions (frameworks, common services and generic tools) implementing the Union's policies.

The programme will be implemented in close cooperation and coordination with Member States and concerned Commission services via projects and accompanying measures (awareness raising, promotion, community building, etc.).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 01 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision (EU) 2015/2240 of the European Parliament and of the Council of 25 November 2015 establishing a programme on interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup> programme) as a means for modernising the public sector (OJ L 318, 4.12.2015, p. 1).

26 03 51 **Completion of ISA programme**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 2 165 000 | p.m.                | 4 600 000 | 165 069,01   | 26 084 715,73 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years under Decision No 922/2009/EC of the European Parliament and of the Council.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 922/2009/EC of the European Parliament and of the Council of 16 September 2009 on interoperability solutions for European public administrations (ISA) (OJ L 260, 3.10.2009, p. 20).



## CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (cont'd)

26 03 77 **Pilot projects and preparatory actions**

26 03 77 02 Pilot project — Governance and quality of software code — Auditing of free and open-source software

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 471 000  | 0,—          | 971 754,10 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 03 Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 180 000  | p.m.                | 813 000  | 500 000,—    | 512 000,— |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (cont'd)

## 26 03 77 (cont'd)

## 26 03 77 04 Pilot project — Union institutions' encrypted electronic communications

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | 250 000  | p.m.                | 750 000  | 1 000 000,—  | 266 130,— |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 05 Pilot project — Promoting linked open data, free software and civil society participation in law-making throughout the Union (Authoring Tool for Amendments (AT4AM)/ Legislation Editing Open Software (LEOS) Linked Open Data (LOD) and Free Software (FS) integration)

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 377 000  | 249 920,97   | 499 417,24 |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)

26 03 77 06 Preparatory action — Governance and quality of software code — Auditing of free and open-source software

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 100 000 | 2 600 000           | 1 300 000 |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The discovery of a severe vulnerability in the OpenSSL cryptography software library in April 2014 drew broader attention to the need for understanding how governance of code relates to the quality of code and code review. Both the general public and the Union institutions regularly use and depend on free and open-source software — from end-user devices up to server systems. Therefore the need for coordinated efforts to maintain a reasonable level of security and protection of users is a recognised and repeated demand from both citizens and the European Parliament itself, regardless of how the software is licensed and whether it is maintained by software companies or by volunteers.

Vulnerabilities in software libraries that are by design meant to be used in many locations are especially important. The pilot project proposed going beyond the commendable efforts of CERT-EU to not only warn the Union institutions, bodies and agencies and the general public about upcoming threats, but also to pro-actively work together with software developer communities to aid and contribute to the discovery of security issues in software which carries essential information infrastructure functions. This work should be conducted by using the Debian Social Contract as a reference in terms of effectiveness and trust and in accordance with established procedures on reasonable disclosure.

The preparatory action will build on and extend what the pilot project has produced as regards:

- creating an inventory of free software and open standards in use within Union institutions,
- developing a set of reliable criteria for a software and projects auditing framework,
- establishing an infrastructure to encourage developer communities to aid and contribute to the discovery of security-relevant bugs,
- developing and enhancing best practices with regard to mitigation of security threats by conducting and promoting code reviews,
- exploring further incentives to improve IT security such as 'bug bounty' approaches,
- conducting code reviews of critical open-source software.

As pointed out in the Commission's assessment, during the pilot project preceding this preparatory action, the idea of creating a 'bug bounty' approach — incentivising the discovery of security-relevant issues in software used by the institutions through financial rewards — had already been considered, but was disregarded in the end because of insufficient provisions. However, such approaches are very common and successful in the industry and would allow broader involvement of the security community in the common objective of ensuring a more secure IT infrastructure.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)26 03 77 06 (*cont'd*)*References*

<https://joinup.ec.europa.eu/community/eu-fossa/home>

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<http://googleonlinesecurity.blogspot.de/2013/10/going-beyond-vulnerability-rewards.html>

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[http://ec.europa.eu/dgs/informatics/oss\\_tech/index\\_en.htm](http://ec.europa.eu/dgs/informatics/oss_tech/index_en.htm)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 07 Pilot project — Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 275 000  | 550 000             | 275 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will provide the European Parliament and the Commission with an online means of authentication to access online services in a secure manner, as well as digital signatures to electronically sign documents required in the daily work of Union institutions. The eIDAS Regulation will be implemented, too, thus enabling mutual recognition of digital signatures and national eID schemes within Union institutions.

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)26 03 77 07 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 08 Preparatory action — Encrypted electronic communications of Union institutions

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 600 000             | 800 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will continue support for the implementation of secure electronic communications within the Union institutions.

A way of attaining significantly more secure electronic communications would be to apply state-of-the-art encryption technology to the institutions' email services. The project will involve developing Union encryption standards which are guaranteed not to be compromised or weakened by third-country governments.

The current project has reached the recommendations stage. Recommendations have been presented both at working group level and to DG DIGIT management.

With reference to existing remarks in the 2017 budget, the current project has reached the recommendations stage.

The goal of the preparatory action is to continue to support the IT services of the Council, Council Presidency, Commission and Parliament in implementing the systems needed for secure communications by Commissioners, Members of Parliament, officials, administrators and staff members of all institutions involved in Union decision-making.

The preparatory action will allow continuation of the implementation phase of the preceding pilot project. In the longer term, the action could encompass both written (email and text messages) and vocal (fixed and mobile) electronic communications.

The way forward will be to follow up on the recommendations made on the basis of the pilot project. There will also be a move towards a more operational / service delivery mode of piloting. It may need to be handed over to an operational team. The first step under the preparatory action will therefore have to be the designation of that team, which will require coordination between the Union institutions. The second step will be the further, and broader, implementation of piloting at a more operational level.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)26 03 77 08 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 09 Preparatory action — Data analytics solutions for policymaking

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 300 000           | 650 000  |                     |          |              |          |

*Remarks*

This preparatory action will identify, develop, implement and promote the use of data analytics techniques in the European and national policymaking process.

The use of data analytics (big data, data and text mining, business intelligence, data analytics) is becoming more and more instrumental in the European decision-making process. Initiatives launched in recent years by the Commission, such as its Regulatory Fitness and Performance (REFIT) programme — which ensures that Union legislation delivers results for citizens and businesses effectively, efficiently and at minimum cost — and the better regulation agenda — on designing and evaluating Union policies and laws transparently, with evidence, and backed up by the views of citizens and stakeholders — openly promote interaction with citizens and call for the use of evidence in policymaking.

This action will cover the development, implementation and promotion of data analytics solutions for evidence-based policymaking, with potential application at national and European level. In particular:

- developing and implementing selected text mining solutions for analysing feedback from citizens on policies and initiatives (e.g. the Doris tool developed by the Commission),
- developing and implementing selected data mining solutions for assessing data in specific policy areas and adding intelligence,
- integrating those solutions in consultation processes (e.g. Doris for analysing the Better Regulation feedback; text mining solutions for Union Survey, the open-source survey solution developed by the Commission),
- developing and implementing data analytics tools for monitoring the performance of specific policies and contextualising them (e.g. key performance indicators for Union-funded programmes contextualised against the key social/economic indicators in that specific area).

The development of such data analytics (in the broad sense) solutions is in its early stage and requires dedicated actions to be embedded in the regular European decision-making process. The potential benefits at European level and the re-usability for Member States are expected to be relevant.

**CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS** (*cont'd*)**26 03 77** (*cont'd*)26 03 77 09 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 27*

**BUDGET**



## TITLE 27

## BUDGET

## General summary of appropriations (2018 and 2017) and outturn (2016)

| Title<br>Chapter | Heading                                                      | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      |
|------------------|--------------------------------------------------------------|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|
|                  |                                                              | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |
| 27 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE<br>'BUDGET' POLICY AREA | 78 630 924          | 78 630 924        | 76 142 758          | 76 142 758        | 64 040 410,06        | 64 040 410,06        |
| 27 02            | BUDGET IMPLEMENTATION,<br>CONTROL AND DISCHARGE              | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |
|                  | <b>Title 27 — Total</b>                                      | <b>78 630 924</b>   | <b>78 630 924</b> | <b>76 142 758</b>   | <b>76 142 758</b> | <b>64 040 410,06</b> | <b>64 040 410,06</b> |

COMMISSION  
TITLE 27 — BUDGET

## TITLE 27

### BUDGET

#### CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                    | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 27 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA                                                                                     |     |                     |                     |                      |              |
| 27 01 01                            | <i>Expenditure related to officials and temporary staff in the 'Budget' policy area</i>                                                    | 5.2 | 47 329 221          | 45 984 575          | 44 808 850,12        | 94,67        |
| 27 01 02                            | <i>External personnel and other management expenditure in support of the 'Budget' policy area</i>                                          |     |                     |                     |                      |              |
| 27 01 02 01                         | External personnel                                                                                                                         | 5.2 | 4 436 506           | 4 380 204           | 6 182 472,18         | 139,35       |
| 27 01 02 09                         | External personnel — Non-decentralised management                                                                                          | 5.2 | 5 321 077           | 5 290 729           | 0,—                  | 0            |
| 27 01 02 11                         | Other management expenditure                                                                                                               | 5.2 | 7 326 353           | 7 506 918           | 9 032 443,74         | 123,29       |
| 27 01 02 19                         | Other management expenditure — Non-decentralised management                                                                                | 5.2 | 10 558 250          | 9 558 900           | 0,—                  | 0            |
|                                     | <i>Article 27 01 02 — Subtotal</i>                                                                                                         |     | 27 642 186          | 26 736 751          | 15 214 915,92        | 55,04        |
| 27 01 03                            | <i>Expenditure related to information and communication technology equipment and services of the 'Budget' policy area</i>                  | 5.2 | 3 059 517           | 2 871 432           | 3 445 798,61         | 112,63       |
| 27 01 07                            | <i>Support expenditure for operations in the 'Budget' policy area</i>                                                                      | 5.2 | 200 000             | 150 000             | 150 845,41           | 75,42        |
| 27 01 11                            | <i>Exceptional crisis expenditure</i>                                                                                                      | 5.2 | p.m.                | p.m.                | 0,—                  |              |
| 27 01 12                            | <i>Accountancy</i>                                                                                                                         |     |                     |                     |                      |              |
| 27 01 12 01                         | Financial charges                                                                                                                          | 5.2 | 300 000             | 280 000             | 300 000,—            | 100,00       |
| 27 01 12 02                         | Coverage of expenditure incurred in connection with treasury management and financial assets                                               | 5.2 | p.m.                | p.m.                | 0,—                  |              |
| 27 01 12 03                         | Purchase of financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors | 5.2 | 100 000             | 120 000             | 120 000,—            | 120,00       |
|                                     | <i>Article 27 01 12 — Subtotal</i>                                                                                                         |     | 400 000             | 400 000             | 420 000,—            | 105,00       |
|                                     | <b>Chapter 27 01 — Total</b>                                                                                                               |     | <b>78 630 924</b>   | <b>76 142 758</b>   | <b>64 040 410,06</b> | <b>81,44</b> |

## CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA (cont'd)

## 27 01 01 Expenditure related to officials and temporary staff in the 'Budget' policy area

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 47 329 221          | 45 984 575          | 44 808 850,12 |

## 27 01 02 External personnel and other management expenditure in support of the 'Budget' policy area

## 27 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 436 506           | 4 380 204           | 6 182 472,18 |

## 27 01 02 09 External personnel — Non-decentralised management

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 321 077           | 5 290 729           | 0,—          |

## Remarks

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will be transferred in the course of the year, in accordance with the Financial Regulation, to the corresponding budget lines of the policy areas for which it will be implemented.

## 27 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 326 353           | 7 506 918           | 9 032 443,74 |

## 27 01 02 19 Other management expenditure — Non-decentralised management

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 558 250          | 9 558 900           | 0,—          |

COMMISSION  
TITLE 27 — BUDGET

CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA (cont'd)

27 01 02 (cont'd)

27 01 02 19 (cont'd)

Remarks

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will not be used from this item but will be transferred in the course of the year, in accordance with the Financial Regulation, to the corresponding budget line of the policy areas for which it will be implemented.

27 01 03 **Expenditure related to information and communication technology equipment and services of the 'Budget' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 059 517           | 2 871 432           | 3 445 798,61 |

27 01 07 **Support expenditure for operations in the 'Budget' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 200 000             | 150 000             | 150 845,41   |

Remarks

This appropriation is intended to cover expenditure related to the publication of information in whatever form and on whatever medium on the financial programming and the general budget of the Union. It covers, in particular: preparation and drafting, use of documentation, design and graphics, reproduction of documents, data purchase or management, editing, translation, revision (including checking the consistency between texts), printing, posting on the internet, distribution, storage and dissemination.

27 01 11 **Exceptional crisis expenditure**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

Remarks

This appropriation is intended to cover any expenditure incurred during a declared crisis which has activated one or more business continuity plans, and the nature and/or the amount of which has not made it possible to post it on the other administrative budget lines of the Commission.

The European Parliament and the Council will be informed of the incurred expenditure at the latest three weeks after the crisis has ended.

## CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA (cont'd)

27 01 12 *Accountancy*

## 27 01 12 01 Financial charges

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 300 000             | 280 000             | 300 000,—    |

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous expenditure) and the cost of connection to the Society for Worldwide Interbank Financial Telecommunication (SWIFT) network.

## 27 01 12 02 Coverage of expenditure incurred in connection with treasury management and financial assets

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover budgetary adjustments:

- in situations where a claim is cancelled in full or in part after it has been booked to the accounts as revenue (in particular in the event of offsetting against a debt),
- in cases where VAT has not been recovered and where it is no longer possible to book the amount to the line that covered the principal expenditure,
- for any interest relating to the above cases, where it cannot be booked to another specific budget line.

This item is also intended to accommodate, if necessary, an appropriation to cover any losses resulting either from the liquidation or cessation of activities of financial institutions with which the Commission has accounts or from the management of financial assets.

## 27 01 12 03 Purchase of financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 120 000             | 120 000,—    |

COMMISSION  
TITLE 27 — BUDGET

**CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘BUDGET’ POLICY AREA** *(cont'd)*

**27 01 12** *(cont'd)*

27 01 12 03 *(cont'd)*

*Remarks*

This appropriation is intended to cover the cost of subscriptions and access to electronic information services and external databases providing financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors, in order to protect the Commission's financial interests at various levels of financial and accounting procedures.

It is also intended to ascertain information on the group structure, ownership and the management of beneficiaries of funds from the general budget of the Union and of Commission debtors.

## CHAPTER 27 02 — BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE

| Title<br>Chapter<br>Article<br>Item | Heading                                                              | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |            | % Payments<br>2016/2018 |
|-------------------------------------|----------------------------------------------------------------------|----|---------------------|-------------|---------------------|-------------|--------------|------------|-------------------------|
|                                     |                                                                      |    | Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments   |                         |
| 27 02                               | BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE                         |    |                     |             |                     |             |              |            |                         |
| 27 02 01                            | <i>Deficit carried over from the previous financial year</i>         | 8  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—          | 0,—        |                         |
| 27 02 02                            | <i>Temporary and lump-sum compensation for the new Member States</i> | 6  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—          | 0,—        |                         |
|                                     | <b>Chapter 27 02 — Total</b>                                         |    | <b>p.m.</b>         | <b>p.m.</b> | <b>p.m.</b>         | <b>p.m.</b> | <b>0,—</b>   | <b>0,—</b> |                         |

27 02 01 *Deficit carried over from the previous financial year*

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

## Remarks

In accordance with Article 18 of the Financial Regulation, the balance from each financial year is to be entered in the budget for the following financial year as revenue in the case of a surplus or as a payment appropriation in the case of a deficit.

The estimates of such revenue or payment appropriations are entered in the budget during the budgetary procedure and in a letter of amendment submitted pursuant to Article 39 of the Financial Regulation. They are drawn up in accordance with Regulation (EC, Euratom) No 1150/2000.

After the close of accounts for each financial year, any discrepancy with the estimates is entered in the budget for the following financial year through an amending budget.

A surplus is entered in Article 3 0 0 of the statement of revenue.

## Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION  
TITLE 27 — BUDGET

CHAPTER 27 02 — BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE (*cont'd*)

**27 02 02**      ***Temporary and lump-sum compensation for the new Member States***

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover compensation for the new Member States as from the date of entry into force of any Act of Accession that foresees it in the provisions of the act.

*Legal basis*

Act concerning the conditions of accession of the Republic of Croatia and the adjustments to the Treaty on European Union, the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community (OJ L 112, 24.4.2012, p. 21), and in particular Article 32 thereof.



*TITLE 28*

**AUDIT**

COMMISSION  
TITLE 28 — AUDIT

**TITLE 28****AUDIT****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         |
|------------------|----------------------------------------------------------|---------------------|---------------------|----------------------|
| 28 01            | ADMINISTRATIVE EXPENDITURE OF THE 'AUDIT' POLICY<br>AREA | 19 359 668          | 19 227 094          | 19 136 476,54        |
|                  | <b>Title 28 — Total</b>                                  | <b>19 359 668</b>   | <b>19 227 094</b>   | <b>19 136 476,54</b> |

## TITLE 28

## AUDIT

## CHAPTER 28 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AUDIT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                         | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 28 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'AUDIT' POLICY AREA                                                                           |     |                     |                     |                      |              |
| <b>28 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Audit' policy area</i></b>                                   | 5.2 | 16 986 973          | 16 929 644          | 16 706 496,66        | 98,35        |
| <b>28 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Audit' policy area</i></b>                         |     |                     |                     |                      |              |
| 28 01 02 01                         | External personnel                                                                                                              | 5.2 | 775 946             | 689 663             | 477 454,82           | 61,53        |
| 28 01 02 11                         | Other management expenditure                                                                                                    | 5.2 | 498 655             | 550 643             | 667 258,82           | 133,81       |
|                                     | <i>Article 28 01 02 — Subtotal</i>                                                                                              |     | 1 274 601           | 1 240 306           | 1 144 713,64         | 89,81        |
| <b>28 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Audit' policy area</i></b> | 5.2 | 1 098 094           | 1 057 144           | 1 285 266,24         | 117,05       |
|                                     | <b>Chapter 28 01 — Total</b>                                                                                                    |     | <b>19 359 668</b>   | <b>19 227 094</b>   | <b>19 136 476,54</b> | <b>98,85</b> |

**28 01 01** *Expenditure related to officials and temporary staff in the 'Audit' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 16 986 973          | 16 929 644          | 16 706 496,66 |

**28 01 02** *External personnel and other management expenditure in support of the 'Audit' policy area*

## 28 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 775 946             | 689 663             | 477 454,82   |

COMMISSION  
TITLE 28 — AUDIT

**CHAPTER 28 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AUDIT’ POLICY AREA** *(cont'd)*

**28 01 02** *(cont'd)*

28 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 498 655             | 550 643             | 667 258,82   |

**28 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Audit’ policy area*

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 098 094           | 1 057 144           | 1 285 266,24 |

*TITLE 29*

**STATISTICS**

COMMISSION  
TITLE 29 — STATISTICS

**TITLE 29**  
**STATISTICS**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                            | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|--------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                    | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 29 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'STAT-<br>ISTICS' POLICY AREA | 85 593 650          | 85 593 650         | 85 573 663          | 85 573 663         | 85 853 815,64         | 85 853 815,64         |
| 29 02            | THE EUROPEAN STATISTICAL<br>PROGRAMME                              | 58 475 000          | 45 000 000         | 57 960 000          | 42 000 000         | 59 410 833,19         | 48 344 394,77         |
|                  | <b>Title 29 — Total</b>                                            | <b>144 068 650</b>  | <b>130 593 650</b> | <b>143 533 663</b>  | <b>127 573 663</b> | <b>145 264 648,83</b> | <b>134 198 210,41</b> |

## TITLE 29

## STATISTICS

## CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE 'STATISTICS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|---------------|
| 29 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'STATISTICS' POLICY AREA                                                                           |     |                     |                     |                      |               |
| <b>29 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Statistics' policy area</i></b>                                   | 5.2 | 69 236 559          | 69 434 420          | 68 596 264,87        | 99,08         |
| <b>29 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Statistics' policy area</i></b>                         |     |                     |                     |                      |               |
| 29 01 02 01                         | External personnel                                                                                                                   | 5.2 | 5 471 380           | 5 424 272           | 5 549 591,—          | 101,43        |
| 29 01 02 11                         | Other management expenditure                                                                                                         | 5.2 | 3 180 031           | 3 231 251           | 3 436 439,19         | 108,06        |
|                                     | <i>Article 29 01 02 — Subtotal</i>                                                                                                   |     | 8 651 411           | 8 655 523           | 8 986 030,19         | 103,87        |
| <b>29 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Statistics' policy area</i></b> | 5.2 | 4 475 680           | 4 335 720           | 5 275 010,44         | 117,86        |
| <b>29 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Statistics' policy area</i></b>                                      |     |                     |                     |                      |               |
| 29 01 04 01                         | Support expenditure for the European statistical programme                                                                           | 1.1 | 3 230 000           | 3 148 000           | 2 996 510,14         | 92,77         |
|                                     | <i>Article 29 01 04 — Subtotal</i>                                                                                                   |     | 3 230 000           | 3 148 000           | 2 996 510,14         | 92,77         |
|                                     | <b>Chapter 29 01 — Total</b>                                                                                                         |     | <b>85 593 650</b>   | <b>85 573 663</b>   | <b>85 853 815,64</b> | <b>100,30</b> |

**29 01 01** ***Expenditure related to officials and temporary staff in the 'Statistics' policy area***

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 69 236 559          | 69 434 420          | 68 596 264,87 |

COMMISSION  
TITLE 29 — STATISTICS

CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘STATISTICS’ POLICY AREA (cont'd)

**29 01 02 External personnel and other management expenditure in support of the ‘Statistics’ policy area**

29 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 471 380           | 5 424 272           | 5 549 591,—  |

29 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 180 031           | 3 231 251           | 3 436 439,19 |

**29 01 03 Expenditure related to information and communication technology equipment and services of the ‘Statistics’ policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 475 680           | 4 335 720           | 5 275 010,44 |

**29 01 04 Support expenditure for operations and programmes in the ‘Statistics’ policy area**

29 01 04 01 Support expenditure for the European statistical programme

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 230 000           | 3 148 000           | 2 996 510,14 |

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff), up to EUR 2 300 000. This amount is calculated on the basis of a unit annual cost per person per year, 97 % of the total comprising the remuneration for the staff in question and 3 % the costs of training, meetings, missions, information technology and telecommunications in connection with such staff,



**CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘STATISTICS’ POLICY AREA** *(cont'd)***29 01 04** *(cont'd)*29 01 04 01 *(cont'd)*

— expenditure on studies, meetings of experts, missions, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, such amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation. They give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 29 02.

COMMISSION  
TITLE 29 — STATISTICS

CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                  | FF  | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                                                          |     | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| 29 02                               | THE EUROPEAN STATISTICAL PROGRAMME                                                                                                                                                       |     |                     |                   |                     |                   |                      |                      |                         |
| 29 02 01                            | <i>Providing quality statistical information, implementing new methods of production of European statistics and strengthening the partnership within the European Statistical System</i> | 1.1 | 58 475 000          | 45 000 000        | 57 960 000          | 42 000 000        | 59 410 833,19        | 45 227 065,47        | 100,50                  |
| 29 02 51                            | <i>Completion of statistical programmes (prior to 2013)</i>                                                                                                                              | 1.1 | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 3 117 329,30         |                         |
| <b>Chapter 29 02 — Total</b>        |                                                                                                                                                                                          |     | <b>58 475 000</b>   | <b>45 000 000</b> | <b>57 960 000</b>   | <b>42 000 000</b> | <b>59 410 833,19</b> | <b>48 344 394,77</b> | <b>107,43</b>           |

**29 02 01** *Providing quality statistical information, implementing new methods of production of European statistics and strengthening the partnership within the European Statistical System*

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 58 475 000          | 45 000 000 | 57 960 000          | 42 000 000 | 59 410 833,19 | 45 227 065,47 |

Remarks

This appropriation is intended to cover the following:

- statistical data collection and surveys, studies and development of indicators and benchmarks,
- quality studies and activities designed to improve the quality of statistics,
- the processing, dissemination, promotion and marketing of statistical information,
- the development, maintenance and reengineering of IT systems and infrastructure linked to putting into place and monitoring measures coming under this article,
- risk-based control work at the sites of entities involved in the production of statistical information in Member States, especially to support the economic governance of the Union,
- support to collaborative networks and support to organisations having as their primary objectives and activities the promotion and support for the implementation of the European Statistics Code of Practice and the implementation of new methods of production of European statistics,

**CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME** (*cont'd*)**29 02 01** (*cont'd*)

- services rendered by external experts,
- statistical training courses for statisticians,
- the cost of purchasing documentation,
- subsidies and subscriptions to international statistical associations.

It is also intended to ensure the gathering of the information necessary to draw up an annual summary report on the economic and social state of the Union on the basis of economic data and structural indicators and benchmarks.

This appropriation is also intended to cover costs incurred in connection with the training of national statisticians and the policy of cooperation in the field of statistics with third countries; expenditure relating to exchanges of officials, the costs of information meetings, and expenditure on payment for services rendered in connection with the adjustment of the remuneration of officials and other staff.

Also charged to this article is expenditure on the purchase of data and access for Commission departments to outside databases.

In addition, funding should be used to develop new, modular techniques.

This appropriation is also intended to cover the provision of the necessary statistical information, at the request of the Commission or of the other institutions of the Union, for the assessment, monitoring and evaluation of the Union's expenditure. This will improve the implementation of financial and budgetary policy (drawing-up of the budget and periodic review of the multiannual financial framework) and make it possible to compile medium and long-term data for the financing of the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, such amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation. They give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 99/2013 of the European Parliament and of the Council of 15 January 2013 on the European statistical programme 2013-17 (OJ L 39, 9.2.2013, p. 12).

COMMISSION  
TITLE 29 — STATISTICS

CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME (*cont'd*)

**29 02 51** *Completion of statistical programmes (prior to 2013)*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 3 117 329,30 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, such amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation. They give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 322/97 of 17 February 1997 on Community Statistics (OJ L 52, 22.2.1997, p. 1).

Decision No 507/2001/EC of the European Parliament and of the Council of 12 March 2001 concerning a set of actions relating to the trans-European network for the collection, production and dissemination of statistics on the trading of goods within the Community and between the Community and non-member countries (Edicom) (OJ L 76, 16.3.2001, p. 1).

Decision No 2367/2002/EC of the European Parliament and of the Council of 16 December 2002 on the Community statistical programme 2003 to 2007 (OJ L 358, 31.12.2002, p. 1).

Decision No 1578/2007/EC of the European Parliament and of the Council of 11 December 2007 on the Community Statistical Programme 2008 to 2012 (OJ L 344, 28.12.2007, p. 15).

Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics and repealing Regulation (EC, Euratom) No 1101/2008 of the European Parliament and of the Council on the transmission of data subject to statistical confidentiality to the Statistical Office of the European Communities, Council Regulation (EC) No 322/97 on Community Statistics, and Council Decision 89/382/EEC, Euratom establishing a Committee on the Statistical Programmes of the European Communities (OJ L 87, 31.3.2009, p. 164).

*TITLE 30*

**PENSIONS AND RELATED EXPENDITURE**

COMMISSION

TITLE 30 — PENSIONS AND RELATED EXPENDITURE

**TITLE 30****PENSIONS AND RELATED EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                          | Appropriations 2018  | Appropriations 2017  | Outturn 2016            |
|------------------|----------------------------------------------------------------------------------|----------------------|----------------------|-------------------------|
| 30 01            | ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA | 1 899 409 800        | 1 796 802 000        | 1 684 492 659,39        |
|                  | <b>Title 30 — Total</b>                                                          | <b>1 899 409 800</b> | <b>1 796 802 000</b> | <b>1 684 492 659,39</b> |

COMMISSION  
TITLE 30 — PENSIONS AND RELATED EXPENDITURE

**TITLE 30**

**PENSIONS AND RELATED EXPENDITURE**

**CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                      | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016     | % 2016/2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|------------------|-------------|
| 30 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA                             |     |                     |                     |                  |             |
| <b>30 01 13</b>                     | <b>Allowances of former Members</b>                                                                          |     |                     |                     |                  |             |
| 30 01 13 01                         | Temporary allowances                                                                                         | 5.2 | 141 000             | 2 552 000           | 2 381 512,49     | 1 689,02    |
| 30 01 13 03                         | Weightings and adjustments to temporary allowances                                                           | 5.2 | 21 000              | 303 000             | 341 981,55       | 1 628,48    |
|                                     | <i>Article 30 01 13 — Subtotal</i>                                                                           |     | 162 000             | 2 855 000           | 2 723 494,04     | 1 681,17    |
| <b>30 01 14</b>                     | <b>Allowances for staff assigned non-active status, retired in the interests of the service or dismissed</b> |     |                     |                     |                  |             |
| 30 01 14 01                         | Allowances for staff assigned non-active status, retired in the interests of the service or dismissed        | 5.2 | 6 168 000           | 3 900 000           | 226 579,61       | 3,67        |
| 30 01 14 02                         | Insurance against sickness                                                                                   | 5.2 | 210 000             | 133 000             | 5 171,01         | 2,46        |
| 30 01 14 03                         | Weightings and adjustments to allowances                                                                     | 5.2 | 64 000              | 58 000              | 9 942,58         | 15,54       |
|                                     | <i>Article 30 01 14 — Subtotal</i>                                                                           |     | 6 442 000           | 4 091 000           | 241 693,20       | 3,75        |
| <b>30 01 15</b>                     | <b>Pensions and allowances</b>                                                                               |     |                     |                     |                  |             |
| 30 01 15 01                         | Pensions, invalidity allowances and severance grants                                                         | 5.1 | 1 743 689 400       | 1 650 993 000       | 1 567 310 596,32 | 89,88       |
| 30 01 15 02                         | Insurance against sickness                                                                                   | 5.1 | 57 644 600          | 54 274 000          | 50 780 203,54    | 88,09       |
| 30 01 15 03                         | Weightings and adjustments to pensions and allowances                                                        | 5.1 | 65 817 600          | 59 502 000          | 42 451 179,08    | 64,50       |
|                                     | <i>Article 30 01 15 — Subtotal</i>                                                                           |     | 1 867 151 600       | 1 764 769 000       | 1 660 541 978,94 | 88,93       |
| <b>30 01 16</b>                     | <b>Pensions of former Members — Institutions</b>                                                             |     |                     |                     |                  |             |
| 30 01 16 01                         | Pensions of former Members of the European Parliament                                                        | 5.1 | 3 852 600           | 3 719 000           | 2 694 482,88     | 69,94       |

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

## CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                  | FF  | Appropriations 2018  | Appropriations 2017  | Outturn 2016            | % 2016/2018  |
|-------------------------------------|--------------------------------------------------------------------------|-----|----------------------|----------------------|-------------------------|--------------|
| <b>30 01 16</b>                     | (cont'd)                                                                 |     |                      |                      |                         |              |
| 30 01 16 02                         | Pensions of former Presidents of the European Council                    | 5.1 | 77 000               | 7 000                | 0,—                     | 0            |
| 30 01 16 03                         | Pensions of former Members of the Commission                             | 5.1 | 7 377 800            | 6 705 000            | 5 759 184,65            | 78,06        |
| 30 01 16 04                         | Pensions of former Members of the Court of Justice of the European Union | 5.1 | 9 312 800            | 9 613 000            | 8 198 734,39            | 88,04        |
| 30 01 16 05                         | Pensions of former Members of the Court of Auditors                      | 5.1 | 4 706 600            | 4 790 000            | 4 155 814,75            | 88,30        |
| 30 01 16 06                         | Pensions of former European Ombudsmen                                    | 5.1 | 244 400              | 212 000              | 137 854,08              | 56,41        |
| 30 01 16 07                         | Pensions of former European Data Protection Supervisors                  | 5.1 | 83 000               | 41 000               | 39 422,46               | 47,50        |
|                                     | <i>Article 30 01 16 — Subtotal</i>                                       |     | 25 654 200           | 25 087 000           | 20 985 493,21           | 81,80        |
|                                     | <b>Chapter 30 01 — Total</b>                                             |     | <b>1 899 409 800</b> | <b>1 796 802 000</b> | <b>1 684 492 659,39</b> | <b>88,69</b> |

**30 01 13 Allowances of former Members**

30 01 13 01 Temporary allowances

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 141 000             | 2 552 000           | 2 381 512,49 |

*Remarks*

This appropriation is intended to cover:

- transitional allowances,
- family allowances,

of Members of the Commission after termination of service.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, and of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).



## CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (cont'd)

## 30 01 13 (cont'd)

## 30 01 13 03 Weightings and adjustments to temporary allowances

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 21 000              | 303 000             | 341 981,55   |

*Remarks*

This appropriation is intended to cover the cost of weightings applied to the temporary allowances for former Members of the Commission and other persons entitled to such payments.

Part of this appropriation is intended to cover the cost of any adjustments to temporary allowances during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EU) No 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

30 01 14 **Allowances for staff assigned non-active status, retired in the interests of the service or dismissed**

## 30 01 14 01 Allowances for staff assigned non-active status, retired in the interests of the service or dismissed

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 168 000           | 3 900 000           | 226 579,61   |

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 14 (*cont'd*)30 01 14 01 (*cont'd*)*Remarks*

This appropriation is intended to cover allowances for officials:

- assigned non-active status following a reduction in the number of posts in the institution,
- holding an AD 16, AD 15 or AD 14 grade post who are retired in the interests of the service.

It also covers expenditure arising from the application of the Council Regulations on special and/or temporary measures to terminate the service of officials and/or temporary staff.

*Legal basis*

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1746/2002 of 30 September 2002 introducing, in the context of the reform of the Commission, special measures to terminate the service of officials of the European Communities appointed to an established post in the Commission of the European Communities (OJ L 264, 2.10.2002, p. 1).

30 01 14 02 Insurance against sickness

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 210 000             | 133 000             | 5 171,01     |

*Remarks*

This appropriation is intended to cover the employer's contribution towards sickness insurance for persons in receipt of allowances for assignment of non-active status, retirement in the interest of the service or dismissal.

*Legal basis*

Staff Regulations of Officials of the European Union.

30 01 14 03 Weightings and adjustments to allowances

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 64 000              | 58 000              | 9 942,58     |

## CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (cont'd)

30 01 14 (cont'd)

30 01 14 03 (cont'd)

*Remarks*

This appropriation is intended to cover the cost of any adjustments to allowances during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**30 01 15 Pensions and allowances**

30 01 15 01 Pensions, invalidity allowances and severance grants

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016     |
|---------------------|---------------------|------------------|
| 1 743 689 400       | 1 650 993 000       | 1 567 310 596,32 |

*Remarks*

This appropriation is intended to cover:

- retirement pensions of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- invalidity pensions of officials and temporary staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- invalidity allowances of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 15 (*cont'd*)30 01 15 01 (*cont'd*)

- survivors' pensions for surviving spouses and/or orphans of former officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- severance grants of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- payments of the actuarial equivalent of retirement pensions,
- payments (pension bonus) to former members of the Resistance (or to their surviving spouses and/or orphans) who were deported or interned,
- payments of financial aid to a surviving spouse who has a serious or protracted illness or who is disabled, for the duration of the illness or disability, on the basis of an examination of the social and medical circumstances of the person concerned.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 30 01 15 02 Insurance against sickness

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 57 644 600          | 54 274 000          | 50 780 203,54 |

*Remarks*

This appropriation is intended to cover the employer's contribution towards sickness insurance for pensioners.

It also covers supplementary payments for the reimbursement of medical expenses for former members of the Resistance who were deported or interned.

*Legal basis*

Staff Regulations of Officials of the European Union.

**CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA** (cont'd)**30 01 15** (cont'd)

## 30 01 15 03 Weightings and adjustments to pensions and allowances

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 65 817 600          | 59 502 000          | 42 451 179,08 |

*Remarks*

This appropriation is intended to cover the effect of weightings applicable to pensions.

Part of this appropriation is intended to cover the cost of any adjustments to pensions during the financial year. It is purely provisional and may be used only after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**30 01 16 Pensions of former Members — Institutions**

## 30 01 16 01 Pensions of former Members of the European Parliament

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 852 600           | 3 719 000           | 2 694 482,88 |

*Remarks*

This appropriation is intended to cover the retirement pensions, invalidity pensions and survivor's pensions of former Members of the European Parliament.

*Legal basis*

Statute for Members of the European Parliament, and in particular Articles 14, 15, 17 and 28 thereof.

Implementing measures for the Statute for Members of the European Parliament (including Articles 49 to 60 thereof and relevant provisions adopted by the Bureau of the European Parliament).

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 16 (*cont'd*)

## 30 01 16 02 Pensions of former Presidents of the European Council

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 77 000              | 7 000               | 0,—          |

Remarks

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Presidents of the European Council, together with the weightings applicable to their country of residence, and the survivor's pensions of surviving spouses and orphans of former Presidents of the European Council, together with the weightings applicable to their country of residence.

Legal basis

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35)

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## 30 01 16 03 Pensions of former Members of the Commission

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 377 800           | 6 705 000           | 5 759 184,65 |

Remarks

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Commission, together with the weightings applicable to their country of residence, and the survivors' pensions of surviving spouses and orphans of former Members of the Commission, together with the weightings applicable to their country of residence.

Legal basis

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

## CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (cont'd)

30 01 16 (cont'd)

30 01 16 03 (cont'd)

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

30 01 16 04 Pensions of former Members of the Court of Justice of the European Union

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 312 800           | 9 613 000           | 8 198 734,39 |

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Court of Justice of the European Union, together with the weightings applicable to their country of residence, and the survivors' pensions of surviving spouses and orphans of former Members of the Court of Justice of the European Union, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

30 01 16 05 Pensions of former Members of the Court of Auditors

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 706 600           | 4 790 000           | 4 155 814,75 |

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Court of Auditors, together with the weightings applicable to their country of residence, and the survivors' pensions for their surviving spouses and orphans of former Members of the Court of Auditors, together with the weightings applicable to their country of residence.

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 16 (*cont'd*)30 01 16 05 (*cont'd*)*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Articles 9, 10, 11 and 16 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

30 01 16 06 Pensions of former European Ombudsmen

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 244 400             | 212 000             | 137 854,08   |

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former European Ombudsmen, together with the weightings applicable to their country of residence, and the survivors' pensions of surviving spouses and orphans, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

30 01 16 07 Pensions of former European Data Protection Supervisors

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 83 000              | 41 000              | 39 422,46    |



CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA *(cont'd)*30 01 16 *(cont'd)*30 01 16 07 *(cont'd)**Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former European Data Protection Supervisors, together with the weightings applicable to their country of residence, as well as the survivors' pensions of surviving spouses and orphans of former European Data Protection Supervisors, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

COMMISSION

*TITLE 31*

**LANGUAGE SERVICES**

**TITLE 31****LANGUAGE SERVICES****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016          |
|------------------|-------------------------------------------------------------------|---------------------|---------------------|-----------------------|
| 31 01            | ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA | 398 802 462         | 407 877 123         | 437 381 657,05        |
|                  | <b>Title 31 — Total</b>                                           | <b>398 802 462</b>  | <b>407 877 123</b>  | <b>437 381 657,05</b> |

COMMISSION

TITLE 31 — LANGUAGE SERVICES

## TITLE 31

## LANGUAGE SERVICES

## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                              | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------|-------------|
| 31 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA                                                                                                    |     |                     |                     |                |             |
| <b>31 01 01</b>                     | <b>Expenditure relating to officials and temporary staff in the 'Language services' policy area</b>                                                                  | 5.2 | 325 446 971         | 333 902 920         | 332 138 700,21 | 102,06      |
| <b>31 01 02</b>                     | <b>External personnel and other management expenditure in support of the 'Language services' policy area</b>                                                         |     |                     |                     |                |             |
| 31 01 02 01                         | External personnel                                                                                                                                                   | 5.2 | 10 812 924          | 10 636 511          | 9 418 589,23   | 87,10       |
| 31 01 02 11                         | Other management expenditure                                                                                                                                         | 5.2 | 4 184 600           | 4 430 672           | 6 421 241,34   | 153,45      |
|                                     | Article 31 01 02 — Subtotal                                                                                                                                          |     | 14 997 524          | 15 067 183          | 15 839 830,57  | 105,62      |
| <b>31 01 03</b>                     | <b>Expenditure relating to information and communication technology equipment and services, and other working expenditure of the 'Language services' policy area</b> |     |                     |                     |                |             |
| 31 01 03 01                         | Expenditure relating to information and communication technology equipment and services                                                                              | 5.2 | 21 037 967          | 20 850 020          | 25 540 659,59  | 121,40      |
| 31 01 03 04                         | Technical equipment and services for the Commission conference rooms                                                                                                 | 5.2 | 2 300 000           | 2 300 000           | 3 429 138,66   | 149,09      |
| 31 01 03 05                         | Expenditure for conference organisation                                                                                                                              | 5.2 | p.m.                |                     |                |             |
|                                     | Article 31 01 03 — Subtotal                                                                                                                                          |     | 23 337 967          | 23 150 020          | 28 969 798,25  | 124,13      |
| <b>31 01 07</b>                     | <b>Interpretation expenditure</b>                                                                                                                                    |     |                     |                     |                |             |
| 31 01 07 01                         | Interpretation expenditure                                                                                                                                           | 5.2 | 16 240 000          | 17 375 000          | 39 709 291,29  | 244,52      |
| 31 01 07 02                         | Training and further training of conference interpreters                                                                                                             | 5.2 | 374 000             | 363 000             | 973 322,06     | 260,25      |
| 31 01 07 03                         | Information technology expenditure of the Directorate-General for Interpretation                                                                                     | 5.2 | 1 299 000           | 1 270 000           | 3 492 492,22   | 268,86      |
|                                     | Article 31 01 07 — Subtotal                                                                                                                                          |     | 17 913 000          | 19 008 000          | 44 175 105,57  | 246,61      |

COMMISSION  
TITLE 31 — LANGUAGE SERVICES

## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                       | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018   |
|-------------------------------------|-------------------------------------------------------------------------------|-----|---------------------|---------------------|-----------------------|---------------|
| <b>31 01 08</b>                     | <b>Translation expenditure</b>                                                |     |                     |                     |                       |               |
| 31 01 08 01                         | Translation expenditure                                                       | 5.2 | 15 000 000          | 14 530 000          | 12 707 063,03         | 84,71         |
| 31 01 08 02                         | Support expenditure for operations of the Directorate-General for Translation | 5.2 | 1 507 000           | 1 579 000           | 2 240 737,88          | 148,69        |
|                                     | <i>Article 31 01 08 — Subtotal</i>                                            |     | 16 507 000          | 16 109 000          | 14 947 800,91         | 90,55         |
| <b>31 01 09</b>                     | <b>Interinstitutional cooperation activities in the language field</b>        | 5.2 | 600 000             | 640 000             | 1 310 421,54          | 218,40        |
| <b>31 01 10</b>                     | <b>Translation Centre for the Bodies of the European Union</b>                | 5.2 | p.m.                | p.m.                | 0,—                   |               |
|                                     | <b>Chapter 31 01 — Total</b>                                                  |     | <b>398 802 462</b>  | <b>407 877 123</b>  | <b>437 381 657,05</b> | <b>109,67</b> |

**31 01 01** **Expenditure relating to officials and temporary staff in the 'Language services' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 325 446 971         | 333 902 920         | 332 138 700,21 |

**31 01 02** **External personnel and other management expenditure in support of the 'Language services' policy area**

## 31 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 812 924          | 10 636 511          | 9 418 589,23 |

## 31 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 184 600           | 4 430 672           | 6 421 241,34 |

**31 01 03** **Expenditure relating to information and communication technology equipment and services, and other working expenditure of the 'Language services' policy area**

## 31 01 03 01 Expenditure relating to information and communication technology equipment and services

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 21 037 967          | 20 850 020          | 25 540 659,59 |

COMMISSION  
TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)

**31 01 03** (*cont'd*)

31 01 03 04 Technical equipment and services for the Commission conference rooms

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 300 000           | 2 300 000           | 3 429 138,66 |

*Remarks*

This appropriation is intended to cover expenditure for:

- equipment needed for the operation of the Commission's conference rooms,
- technical services related to the operation of the Commission's meetings and conferences in Brussels.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Articles 01 and 05 of the titles concerned.

This appropriation is intended to cover expenditure incurred within the territory of the Union.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

31 01 03 05 Expenditure for conference organisation

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                |                     |              |

*Remarks*

*New item*

This appropriation is intended to cover expenditure (including equipment, services and other charges) needed for the centralised organisation of conferences and events organised by Directorate-General for Interpretation for other Commission departments, Union institutions, bodies, offices and agencies. As a rule, the costs incurred are to be recovered as assigned revenues from these entities according to applicable rules and to specific agreements.

## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (cont'd)

31 01 03 (cont'd)

31 01 03 05 (cont'd)

This appropriation is also intended to cover costs directly linked to the development and maintenance of corporate tools and services for the organisation of conferences and assimilated events.

This appropriation is intended to cover expenditure incurred within and outside the territory of the Union.

Assigned revenue in accordance with Article 21(3) of the Financial Regulation can be recorded under this item.

31 01 07 **Interpretation expenditure**

31 01 07 01 Interpretation expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 16 240 000          | 17 375 000          | 39 709 291,29 |

*Remarks*

This appropriation is intended to cover:

- remuneration of freelance interpreters (auxiliary conference interpreters — ACIs) employed by the Directorate-General for Interpretation under Article 90 of the Conditions of Employment of Other Servants of the European Union, to allow the Directorate-General for Interpretation to make a sufficient number of qualified conference interpreters available to the institutions for which it provides interpreting services,
- remuneration comprising, in addition to fees, contributions to an old-age and life provident scheme, and to sickness and accident insurance, together with, for interpreters whose place of professional domicile is not the place of assignment, the reimbursement of travel and accommodation expenses and the payment of subsistence allowances,
- the expenses related to the accreditation tests of the ACIs, notably the reimbursement of travel and accommodation expenses, and the payment of subsistence allowances,
- services to the Commission provided by European Parliament interpreters (officials, temporary staff and ACIs),
- costs relating to services provided by interpreters in respect of the preparation of meetings,
- contracts for interpreting services concluded by the Directorate-General for Interpretation through Union delegations in respect of meetings organised by the Commission in third countries.

The amount of assigned revenue pursuant to Article 21(3) of the Financial Regulation is estimated at EUR 30 080 000.

COMMISSION  
TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)

31 01 07 (*cont'd*)

31 01 07 01 (*cont'd*)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

*Reference acts*

Commission internal directive; Conclusion 252/08 of 15 February 2008 — Agreement on working conditions and the pecuniary regime for conference interpreting agents (ACIs) recruited by the institutions of the European Union.

31 01 07 02 Training and further training of conference interpreters

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 374 000             | 363 000             | 973 322,06   |

*Remarks*

This appropriation is intended to cover expenditure relating to activities enabling the recruitment of a sufficient number of qualified conference interpreters, particularly for certain language combinations, and to finance specific training for conference interpreters.

On the external side, this includes, in particular, grants to universities, training for trainers and educational support programmes, together with student scholarships. It also includes very specific training actions for staff interpreters, such as thematic training, linguistic stays, refresher or intensive courses.

Under the agreement on working conditions for ACIs (conference interpreting agents), this category of interpreters has access to limited support for language training (i.e. language stay bursaries and training vouchers).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 726 000.

*Legal basis*

Staff Regulations of Officials of the European Union.



## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (cont'd)

31 01 07 (cont'd)

31 01 07 02 (cont'd)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission internal directive; Conclusion 252/08 of 15 February 2008 — Agreement on working conditions and the pecuniary regime for conference interpreting agents (ACIs) recruited by the institutions of the European Union.

31 01 07 03 Information technology expenditure of the Directorate-General for Interpretation

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 299 000           | 1 270 000           | 3 492 492,22 |

*Remarks*

This appropriation is intended to cover all information technology expenditure of the Directorate-General for Interpretation, including:

- the purchase or rental of personal computers, servers and microcomputers, the cost of back-up facilities, terminals, peripherals, connection devices, photocopiers, printers and scanners, all electronic equipment in use in the Directorate-General for Interpretation offices or in meeting rooms, the software needed for the operation of such equipment, installation, configuration, maintenance, studies, documentation and associated supplies,
- the development and maintenance of information and message distribution systems for the Directorate-General for Interpretation, including documentation, specific training for these systems, studies and the acquisition of knowledge and expertise in the field of information technology: quality, security, technology, the Internet, development methodology, data management,
- technical and logistical support, external personnel for operating and administering databases, office services and subscriptions,
- the purchase or rental, maintenance of and support for transmission and communication equipment and software as well as the associated training and charges.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 901 000.

COMMISSION  
TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)

**31 01 08 Translation expenditure**

31 01 08 01 Translation expenditure

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 15 000 000          | 14 530 000          | 12 707 063,03 |

Remarks

This appropriation is intended to cover expenditure for external translation and other related linguistic and technical services entrusted to external contractors.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

31 01 08 02 Support expenditure for operations of the Directorate-General for Translation

Figures (*Non-differentiated appropriations*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 507 000           | 1 579 000           | 2 240 737,88 |

Remarks

In respect of terminology and linguistic databases, translation tools and documentary and library expenditure for the Directorate-General for Translation, this appropriation is intended to cover:

- expenditure on the acquisition, development and adaptation of software, translation tools and other multilingual tools or aids to translation and the acquisition, consolidation and extension of the contents of linguistic and terminological databases, translation memories and automatic translation dictionaries, particularly with a view to the more efficient treatment of multilingualism and enhanced interinstitutional cooperation,
- documentation and library expenditure to meet the needs of translators, in particular:
  - to supply the libraries with monolingual books and subscriptions to selected newspapers and periodicals,
  - to allocate individual endowments for the acquisition of a stock of dictionaries and language guides for new translators,
  - to acquire dictionaries, encyclopaedias and vocabularies in electronic form or via web access to documentary databases,
  - to constitute and keep up the basic stock of multilingual libraries by purchasing reference books.

**CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA** (cont'd)**31 01 08** (cont'd)

31 01 08 02 (cont'd)

This appropriation covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 333 000.

**31 01 09** *Interinstitutional cooperation activities in the language field**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 600 000             | 640 000             | 1 310 421,54 |

*Remarks*

This appropriation is intended to cover expenditure on cooperation activities organised by the Interinstitutional Committee for Translation and Interpretation to promote interinstitutional cooperation in the field of languages.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 610 000.

**31 01 10** *Translation Centre for the Bodies of the European Union**Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover staff and administrative expenditure (Titles 1 and 2), and operational expenditure (Title 3) of the Translation Centre for the Bodies of the European Union (the Translation Centre).

The budgetary resources of the Translation Centre consist of financial contributions from the agencies for which it works and the institutions and bodies with which it cooperates, without prejudice to other revenue.

The amounts repaid in accordance with Article 23 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

COMMISSION  
TITLE 31 — LANGUAGE SERVICES

**CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA** (*cont'd*)

**31 01 10** (*cont'd*)

The establishment plan of the Translation Centre is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 2965/94 of 28 November 1994 setting up a Translation Centre for bodies of the European Union (OJ L 314, 7.12.1994, p. 1).

*Reference acts*

Declaration of the representatives of the Governments of the Member States meeting in Brussels on 29 October 1993 at Head of State or Government level.

*TITLE 32*

**ENERGY**

COMMISSION  
TITLE 32 — ENERGY

## TITLE 32

### ENERGY

#### General summary of appropriations (2018 and 2017) and outturn (2016)

| Title<br>Chapter | Heading                                                             | Appropriations 2018  |                      | Appropriations 2017  |                      | Outturn 2016            |                         |
|------------------|---------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|-------------------------|-------------------------|
|                  |                                                                     | Commitments          | Payments             | Commitments          | Payments             | Commitments             | Payments                |
| 32 01            | ADMINISTRATIVE<br>EXPENDITURE IN THE<br>'ENERGY' POLICY AREA        | 85 668 317           | 85 668 317           | 85 741 916           | 85 741 916           | 81 204 933,14           | 81 204 933,14           |
| 32 02            | CONVENTIONAL AND<br>RENEWABLE ENERGY                                | 700 238 452          | 447 019 117          | 718 575 941          | 249 870 058          | 517 942 938,28          | 351 832 092,73          |
| 32 03            | NUCLEAR ENERGY                                                      | 164 224 000          | 172 102 000          | 165 207 000          | 175 291 776          | 159 466 149,60          | 167 986 136,96          |
| 32 04            | HORIZON 2020 —<br>RESEARCH AND INNO-<br>VATION RELATED TO<br>ENERGY | 320 757 111          | 380 937 908          | 335 329 919          | 364 678 785          | 312 863 273,59          | 405 847 091,39          |
| 32 05            | ITER                                                                | 369 124 999          | 493 318 660          | 314 060 437          | 417 687 440          | 351 558 633,88          | 595 329 903,81          |
|                  | <b>Title 32 — Total</b>                                             | <b>1 640 012 879</b> | <b>1 579 046 002</b> | <b>1 618 915 213</b> | <b>1 293 269 975</b> | <b>1 423 035 928,49</b> | <b>1 602 200 158,03</b> |

## TITLE 32

## ENERGY

## CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                               | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|---------------|-------------|
| 32 01                               | ADMINISTRATIVE EXPENDITURE<br>IN THE 'ENERGY' POLICY AREA                                                                             |     |                     |                     |               |             |
| 32 01 01                            | <i>Expenditure related to officials<br/>and temporary staff in the<br/>'Energy' policy area</i>                                       | 5.2 | 63 613 285          | 62 685 440          | 60 298 315,24 | 94,79       |
| 32 01 02                            | <i>External personnel and other<br/>management expenditure in<br/>support of the 'Energy' policy area</i>                             |     |                     |                     |               |             |
| 32 01 02 01                         | External personnel                                                                                                                    | 5.2 | 3 067 688           | 2 929 038           | 2 738 009,94  | 89,25       |
| 32 01 02 11                         | Other management expenditure                                                                                                          | 5.2 | 1 638 164           | 1 616 651           | 1 602 173,—   | 97,80       |
|                                     | <i>Article 32 01 02 — Subtotal</i>                                                                                                    |     | 4 705 852           | 4 545 689           | 4 340 182,94  | 92,23       |
| 32 01 03                            | <i>Expenditure related to<br/>information and communication<br/>technology equipment and<br/>services of the 'Energy' policy area</i> | 5.2 | 4 112 172           | 3 914 290           | 4 637 538,63  | 112,78      |
| 32 01 04                            | <i>Support expenditure for oper-<br/>ations and programmes in the<br/>'Energy' policy area</i>                                        |     |                     |                     |               |             |
| 32 01 04 01                         | Support expenditure for<br>Connecting Europe Facility —<br>Energy                                                                     | 1.1 | 1 978 000           | 1 978 000           | 1 821 960,50  | 92,11       |
| 32 01 04 02                         | Support expenditure for nuclear<br>decommissioning assistance<br>programme                                                            | 1.1 | p.m.                | p.m.                | 0,—           |             |
|                                     | <i>Article 32 01 04 — Subtotal</i>                                                                                                    |     | 1 978 000           | 1 978 000           | 1 821 960,50  | 92,11       |
| 32 01 05                            | <i>Support expenditure for research<br/>and innovation programmes in<br/>the 'Energy' policy area</i>                                 |     |                     |                     |               |             |
| 32 01 05 01                         | Expenditure related to officials and<br>temporary staff implementing<br>research and innovation<br>programmes — Horizon 2020          | 1.1 | 2 022 348           | 1 982 934           | 1 816 206,19  | 89,81       |
| 32 01 05 02                         | External personnel implementing<br>research and innovation<br>programmes — Horizon 2020                                               | 1.1 | 745 660             | 728 000             | 979 087,23    | 131,30      |

COMMISSION  
TITLE 32 — ENERGY

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                     | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| <b>32 01 05</b>                     | (cont'd)                                                                                                    |     |                     |                     |                      |              |
| 32 01 05 03                         | Other management expenditure for research and innovation programmes — Horizon 2020                          | 1.1 | 1 132 000           | 1 132 000           | 1 068 322,08         | 94,37        |
| 32 01 05 21                         | Expenditure related to officials and temporary staff implementing research and innovation programmes — ITER | 1.1 | 5 888 000           | 7 109 563           | 5 604 814,05         | 95,19        |
| 32 01 05 22                         | External personnel implementing research and innovation programmes — ITER                                   | 1.1 | 238 000             | 233 000             | 195 156,28           | 82,00        |
| 32 01 05 23                         | Other management expenditure for research and innovation programmes — ITER                                  | 1.1 | 1 110 000           | 1 310 000           | 324 350,—            | 29,22        |
|                                     | <i>Article 32 01 05 — Subtotal</i>                                                                          |     | 11 136 008          | 12 495 497          | 9 987 935,83         | 89,69        |
| <b>32 01 07</b>                     | <b>Euratom contribution for operation of the Supply Agency</b>                                              | 5.2 | 123 000             | 123 000             | 119 000,—            | 96,75        |
|                                     | <b>Chapter 32 01 — Total</b>                                                                                |     | <b>85 668 317</b>   | <b>85 741 916</b>   | <b>81 204 933,14</b> | <b>94,79</b> |

**32 01 01** *Expenditure related to officials and temporary staff in the 'Energy' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 63 613 285          | 62 685 440          | 60 298 315,24 |

**32 01 02** *External personnel and other management expenditure in support of the 'Energy' policy area*

32 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 067 688           | 2 929 038           | 2 738 009,94 |

32 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 638 164           | 1 616 651           | 1 602 173,—  |



## CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA (cont'd)

**32 01 03** *Expenditure related to information and communication technology equipment and services of the 'Energy' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 112 172           | 3 914 290           | 4 637 538,63 |

**32 01 04** *Support expenditure for operations and programmes in the 'Energy' policy area*

## 32 01 04 01 Support expenditure for Connecting Europe Facility — Energy

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 978 000           | 1 978 000           | 1 821 960,50 |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Chapter 32 02.

## 32 01 04 02 Support expenditure for nuclear decommissioning assistance programme

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Chapter 32 03.

COMMISSION  
TITLE 32 — ENERGY

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA (cont'd)

**32 01 05 Support expenditure for research and innovation programmes in the 'Energy' policy area**

32 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 022 348           | 1 982 934           | 1 816 206,19 |

Remarks

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 32 04.

32 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 745 660             | 728 000             | 979 087,23   |

Remarks

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 32 04.

**CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA** (cont'd)**32 01 05** (cont'd)

## 32 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 132 000           | 1 132 000           | 1 068 322,08 |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 32 04.

## 32 01 05 21 Expenditure related to officials and temporary staff implementing research and innovation programmes — ITER

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 888 000           | 7 109 563           | 5 604 814,05 |

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — the programme for the ITER project, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear and non-nuclear programmes, including officials and temporary staff posted in Union delegations.

COMMISSION  
TITLE 32 — ENERGY

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA (cont'd)

32 01 05 (cont'd)

32 01 05 21 (cont'd)

*Legal basis*

See Chapter 32 05.

32 01 05 22 External personnel implementing research and innovation programmes — ITER

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 238 000             | 233 000             | 195 156,28   |

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — the programme for the ITER project, in the form of indirect action under the nuclear programmes, including external personnel posted in Union delegations.

*Legal basis*

See Chapter 32 05.

32 01 05 23 Other management expenditure for research and innovation programmes — ITER

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 110 000           | 1 310 000           | 324 350,—    |

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — the programme for the ITER project, in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

**CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA** *(cont'd)***32 01 05** *(cont'd)*32 01 05 23 *(cont'd)**Legal basis*

See Chapter 32 05.

**32 01 07** ***Euratom contribution for operation of the Supply Agency****Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 123 000             | 123 000             | 119 000,—    |

*Remarks*

Since expenditure on staff and buildings is included in the appropriations entered in Items XX 01 01 01 and XX 01 03 01 and Article 26 01 23, the Commission contribution, together with the Agency's own revenue, is intended to cover expenditure incurred by the Agency in its activities.

At its 23rd session on 1 and 2 February 1960, the Euratom Council proposed unanimously that the Commission postpone not only the levying of the charge (intended to cover the operating expenditure of the Euratom Supply Agency) but also the actual introduction of that charge. Since then a subsidy has been included in the budget to balance the revenue side against the Agency expenditure figures shown in the statement of estimates.

*Legal basis*

Treaty establishing the European Atomic Energy Community, and in particular Articles 52, 53 and 54 thereof.

*Reference acts*

Council Decision 2008/114/EC, Euratom of 12 February 2008 establishing Statutes for the Euratom Supply Agency (OJ L 41, 15.2.2008, p. 15), and in particular Articles 4, 6 and 7 of the Annex thereto.

COMMISSION  
TITLE 32 — ENERGY

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                   | FF  | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016  |                | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----|---------------------|-------------|---------------------|-------------|---------------|----------------|-----------------------------|
|                                     |                                                                                                                           |     | Commitments         | Payments    | Commitments         | Payments    | Commitments   | Payments       |                             |
| 32 02                               | CONVENTIONAL AND RENEWABLE ENERGY                                                                                         |     |                     |             |                     |             |               |                |                             |
| <b>32 02 01</b>                     | <b>Connecting Europe Facility</b>                                                                                         |     |                     |             |                     |             |               |                |                             |
| 32 02 01 01                         | Further integration of the internal energy market and the interoperability of electricity and gas networks across borders | 1.1 | 226 402 267         | 50 951 000  | 206 508 927         | 33 023 600  | 165 593 584,— | 42 977 485,89  | 84,35                       |
| 32 02 01 02                         | Enhancing Union security of energy supply                                                                                 | 1.1 | 226 040 000         | 50 217 000  | 207 441 809         | 24 839 000  | 165 593 584,— | 43 189 209,19  | 86,01                       |
| 32 02 01 03                         | Contributing to sustainable development and protection of the environment                                                 | 1.1 | 226 039 068         | 50 067 000  | 206 509 070         | 25 201 000  | 165 594 400,— | 43 143 250,—   | 86,17                       |
| 32 02 01 04                         | Creating an environment more conducive to private investment for energy projects                                          | 1.1 | p.m.                | 60 000 000  | 77 291 975          | 28 295 000  | 0,—           | 0,—            | 0                           |
|                                     | <i>Article 32 02 01 — Subtotal</i>                                                                                        |     | 678 481 335         | 211 235 000 | 697 751 781         | 111 358 600 | 496 781 568,— | 129 309 945,08 | 61,22                       |
| <b>32 02 02</b>                     | <b>Support activities for the European energy policy and internal energy market</b>                                       | 1.1 | 5 000 000           | 4 600 000   | 4 998 000           | 3 889 079   | 4 956 129,28  | 4 770 995,17   | 103,72                      |
| <b>32 02 03</b>                     | <b>Security of energy installations and infrastructure</b>                                                                | 1.1 | 324 000             | 300 000     | 306 000             | 294 900     | 311 659,—     | 624 634,99     | 208,21                      |
| <b>32 02 10</b>                     | <b>Agency for the Cooperation of Energy Regulators (ACER)</b>                                                             | 1.1 | 13 033 117          | 13 033 117  | 12 520 160          | 12 520 160  | 15 872 582,—  | 15 872 582,—   | 121,79                      |
| <b>32 02 51</b>                     | <b>Completion of financial support for projects of common interest in the trans-European energy network</b>               | 1.1 | p.m.                | 4 448 000   | p.m.                | 9 907 319   | 21 000,—      | 11 947 434,08  | 268,60                      |
| <b>32 02 52</b>                     | <b>Completion of energy projects to aid economic recovery</b>                                                             | 1.1 | p.m.                | 210 000 000 | p.m.                | 110 000 000 | 0,—           | 189 078 498,34 | 90,04                       |
| <b>32 02 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                             |     |                     |             |                     |             |               |                |                             |
| 32 02 77 07                         | Pilot project — Feasibility study on the financing of low-cost energy efficiency measures in low-income households        | 1.1 | p.m.                | p.m.        | p.m.                | p.m.        | 0,—           | 59 987,50      |                             |

## CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                    | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|--------------------------------|
|                                     |                                                                                                                                                                                            |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                                |
| 32 02 77                            | (cont'd)                                                                                                                                                                                   |     |                     |                    |                     |                    |                       |                       |                                |
| 32 02 77 08                         | Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States                                         | 1.1 | p.m.                | 203 000            | p.m.                | 400 000            | 0,—                   | 168 015,57            | 82,77                          |
| 32 02 77 09                         | Preparatory action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors | 1.1 | p.m.                | 1 000 000          | 2 000 000           | 1 000 000          |                       |                       |                                |
| 32 02 77 10                         | Pilot project — Fighting energy poverty in the Ionian-Adriatic macro-region                                                                                                                | 1.1 | p.m.                | 500 000            | 1 000 000           | 500 000            |                       |                       |                                |
| 32 02 77 11                         | Pilot project — Feasibility study for distributed ledger technology applied to the European energy market                                                                                  | 1.1 | 400 000             | 200 000            |                     |                    |                       |                       |                                |
| 32 02 77 12                         | Preparatory action — Establishing comprehensive support for coal and carbon-intensive regions in transition                                                                                | 1.1 | 1 700 000           | 850 000            |                     |                    |                       |                       |                                |
| 32 02 77 13                         | Preparatory action — Toolkits for coal platform dialogue participants to develop and support local transition strategies                                                                   | 1.1 | 1 300 000           | 650 000            |                     |                    |                       |                       |                                |
| Article 32 02 77 — Subtotal         |                                                                                                                                                                                            |     | 3 400 000           | 3 403 000          | 3 000 000           | 1 900 000          | 0,—                   | 228 003,07            | 6,70                           |
| <b>Chapter 32 02 — Total</b>        |                                                                                                                                                                                            |     | <b>700 238 452</b>  | <b>447 019 117</b> | <b>718 575 941</b>  | <b>249 870 058</b> | <b>517 942 938,28</b> | <b>351 832 092,73</b> | <b>78,71</b>                   |

32 02 01 **Connecting Europe Facility**

32 02 01 01 Further integration of the internal energy market and the interoperability of electricity and gas networks across borders

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 226 402 267         | 50 951 000 | 206 508 927         | 33 023 600 | 165 593 584,— | 42 977 485,89 |

COMMISSION  
TITLE 32 — ENERGY

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)

32 02 01 (*cont'd*)

32 02 01 01 (*cont'd*)

Remarks

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interest which contribute primarily to the integration of the internal energy market and the interoperability of gas and electricity networks across borders. This appropriation is also intended to cover the cost of programme support actions related to the same objective.

Legal basis

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (a) of Article 4(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

32 02 01 02 Enhancing Union security of energy supply

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 226 040 000         | 50 217 000 | 207 441 809         | 24 839 000 | 165 593 584,— | 43 189 209,19 |

Remarks

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interest which contribute primarily to enhancing Union security of energy supply, system resilience and security of system operations. This appropriation is also intended to cover the cost of programme support actions related to the same objective.

Legal basis

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (b) of Article 4(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).



CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)32 02 01 (*cont'd*)

## 32 02 01 03 Contributing to sustainable development and protection of the environment

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|------------|---------------------|------------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments     |
| 226 039 068         | 50 067 000 | 206 509 070         | 25 201 000 | 165 594 400,— | 43 143 250,— |

*Remarks*

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interest which contribute primarily to sustainable development and protection of the environment, including through the integration of energy from renewable sources into the transmission network, and through the development of smart energy networks and carbon dioxide networks. This appropriation is also intended to cover the cost of programme support actions related to the same objective.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (c) of Article 4(3) thereof.

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

## 32 02 01 04 Creating an environment more conducive to private investment for energy projects

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|------------|---------------------|------------|--------------|----------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments |
| p.m.                | 60 000 000 | 77 291 975          | 28 295 000 | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover the cost of the Union contribution to financial instruments established under the Connecting Europe Facility to enable or facilitate access to long-term financing or private investors' resources and thus to accelerate or to make possible the financing of projects of common interest eligible under Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39). The set up of the financial instruments as 'debt' or 'equity' frameworks follows an *ex ante* assessment required by Article 224 of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1). They are intended to be implemented under direct management mode by the entrusted entities, within the meaning of the Financial Regulation, or jointly with the entrusted entities.

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**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)

**32 02 01** (*cont'd*)

32 02 01 04 (*cont'd*)

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**32 02 02** ***Support activities for the European energy policy and internal energy market***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 5 000 000           | 4 600 000 | 4 998 000           | 3 889 079 | 4 956 129,28 | 4 770 995,17 |

*Remarks*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, financing, evaluation and implementation of a competitive, safe and sustainable European energy policy, of the internal energy market and its extension to third countries, of all aspects of energy supply security in a European and global perspective as well as the strengthening of the rights and protection of energy users by supplying quality services at transparent and comparable prices.

The principal endorsed objectives are to implement a progressive common European policy in line with the Energy Union strategy (COM(2015) 80 final) ensuring continuous energy supply security, good functioning of the internal energy market and access to transport networks of energy, observation of energy market, integrated governance and monitoring, analysis of modelling including scenarios on the impact of considered policies and the strengthening of the rights and protection of energy users, based on general and particular knowledge of global and European energy markets for all energy types.

This appropriation is also intended to cover experts' expenditure directly linked to the collection, validation and analysis of necessary information on energy market observation, as well as expenditure on information and communication, digital processing and visualisation of data, conferences and events promoting activities in the energy sector, electronic and paper publications, audiovisual products as well as the development of different web-based and social media activities directly linked to the achievement of the objective of the energy policy. This appropriation will also support an enhanced energy dialogue with the Union's key energy partners and international agencies in the field of energy.

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 02** (*cont'd*)*Legal basis*

Council Regulation (EC) No 2964/95 of 20 December 1995 introducing registration for crude oil imports and deliveries in the Community (OJ L 310, 22.12.1995, p. 5).

The Energy Charter Treaty, approved by Council and Commission Decision 98/181/EC, ECSC, Euratom of 23 September 1997 on the conclusion, by the European Communities, of the Energy Charter Treaty and the Energy Charter protocol on energy efficiency and related environmental aspects (OJ L 69, 9.3.1998, p. 1).

Council Decision 1999/280/EC of 22 April 1999 regarding a Community procedure for information and consultation on crude oil supply costs and the consumer prices of petroleum products (OJ L 110, 28.4.1999, p. 8).

Directive 2005/89/EC of the European Parliament and of the Council of 18 January 2006 concerning measures to safeguard security of electricity supply and infrastructure investment (OJ L 33, 4.2.2006, p. 22).

Decision No 1673/2006/EC of the European Parliament and of the Council of 24 October 2006 on the financing of European standardisation (OJ L 315, 15.11.2006, p. 9).

Directive 2009/28/EC of the European Parliament and of the Council of 23 April 2009 on the promotion of the use of energy from renewable sources and amending and subsequently repealing Directives 2001/77/EC and 2003/30/EC (OJ L 140, 5.6.2009, p. 16).

Regulation (EC) No 714/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the network for cross-border exchanges in electricity and repealing Regulation (EC) No 1228/2003 (OJ L 211, 14.8.2009, p. 15).

Regulation (EC) No 715/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the natural gas transmission networks and repealing Regulation (EC) No 1775/2005 (OJ L 211, 14.8.2009, p. 36).

Directive 2009/72/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in electricity and repealing Directive 2003/54/EC (OJ L 211, 14.8.2009, p. 55).

Directive 2009/73/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC (OJ L 211, 14.8.2009, p. 94).

Council Directive 2009/119/EC of 14 September 2009 imposing an obligation on Member States to maintain minimum stocks of crude oil and/or petroleum products (OJ L 265, 9.10.2009, p. 9).

Council Regulation (EU, Euratom) No 617/2010 of 24 June 2010 concerning the notification to the Commission of investment projects in energy infrastructure within the European Union and repealing Regulation (EC) No 736/96 (OJ L 180, 15.7.2010, p. 7).

Commission Regulation (EU, Euratom) No 833/2010 of 21 September 2010 implementing Council Regulation (EU, Euratom) No 617/2010 concerning the notification to the Commission of investment projects in energy infrastructure within the European Union (OJ L 248, 22.9.2010, p. 36).

Regulation (EU) No 994/2010 of the European Parliament and of the Council of 20 October 2010 concerning measures to safeguard security of gas supply and repealing Council Directive 2004/67/EC (OJ L 295, 12.11.2010, p. 1).

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**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)

**32 02 02** (*cont'd*)

Regulation (EU) No 1227/2011 of the European Parliament and of the Council of 25 October 2011 on wholesale energy market integrity and transparency (OJ L 326, 8.12.2011, p. 1).

Decision No 994/2012/EU of the European Parliament and of the Council of 25 October 2012 establishing an information exchange mechanism with regard to intergovernmental agreements between Member States and third countries in the field of energy (OJ L 299, 27.10.2012, p. 13).

Directive 2012/27/EU of the European Parliament and of the Council of 25 October 2012 on energy efficiency, amending Directives 2009/125/EC and 2010/30/EU and repealing Directives 2004/8/EC and 2006/32/EC (OJ L 315, 14.11.2012, p. 1).

Directive 2013/30/EU of the European Parliament and of the Council of 12 June 2013 on safety of offshore oil and gas operations and amending Directive 2004/35/EC (OJ L 178, 28.6.2013, p. 66).

Association Agreement between the European Union and its Member States, of the one part, and Ukraine, of the other part (OJ L 161, 29.5.2014, p. 3).

Council Decision 2014/668/EU of 23 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 278, 20.9.2014, p. 1).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Decision of 19 January 2012 on setting up of the European Union Offshore Oil and Gas Authorities Group (OJ C 18, 21.1.2012, p. 8).

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 25 February 2015 on a framework strategy for a resilient Energy Union with a forward-looking climate change policy (COM(2015) 80 final).

**32 02 03** ***Security of energy installations and infrastructure***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 324 000             | 300 000  | 306 000             | 294 900  | 311 659,—    | 624 634,99 |

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 03** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, communication, monitoring, evaluation and implementation of the rules and measures required to improve the security of the energy sector, technical assistance and specific training.

The main objectives are to develop and apply security rules in the field of energy and in particular:

- measures to prevent malicious acts in the energy sector, with particular reference to the installations and infrastructure of the European system of energy generation and transmission,
- approximation of legislation, technical standards and administrative monitoring practices relating to energy security,
- definition of common indicators, methods and security objectives for the energy sector and collection of the data necessary for such definition,
- monitoring of measures taken on energy security by national authorities, operators and other key actors in the field,
- international coordination on energy security, including neighbouring supplier and transit countries and other world partners,
- promoting technology development in energy security.

This appropriation may also cover expenditure on information and communication, as well as electronic and paper publications directly linked to the achievement of the objective of this article.

*Legal basis*

Council Directive 2008/114/EC of 8 December 2008 on the identification and designation of European critical infrastructures and the assessment of the need to improve their protection (OJ L 345, 23.12.2008, p. 75).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**32 02 10** **Agency for the Cooperation of Energy Regulators (ACER)***Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 13 033 117          | 13 033 117 | 12 520 160          | 12 520 160 | 15 872 582,— | 15 872 582,— |

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**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)

**32 02 10** (*cont'd*)

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency for the Cooperation of Energy Regulators is set out in the Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 13 562 000. An amount of EUR 528 883 coming from the recovery of surplus, is added to the amount of EUR 13 033 117 entered in the budget.

*Legal basis*

Regulation (EC) No 713/2009 of the European Parliament and of the Council of 13 July 2009 establishing an Agency for the Cooperation of Energy Regulators (OJ L 211, 14.8.2009, p. 1).

Regulation (EU) No 1227/2011 of the European Parliament and of the Council of 25 October 2011 on wholesale energy market integrity and transparency (OJ L 326, 8.12.2011, p. 1).

Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39).

**32 02 51** **Completion of financial support for projects of common interest in the trans-European energy network**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |               |
|---------------------|-----------|---------------------|-----------|--------------|---------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments      |
| p.m.                | 4 448 000 | p.m.                | 9 907 319 | 21 000,—     | 11 947 434,08 |

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)32 02 51 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1364/2006/EC of the European Parliament and of the Council of 6 September 2006 laying down guidelines for trans-European energy networks and repealing Decision 96/391/EC and Decision No 1229/2003/EC (OJ L 262, 22.9.2006, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39).

32 02 52 **Completion of energy projects to aid economic recovery***Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 210 000 000 | p.m.                | 110 000 000 | 0,—          | 189 078 498,34 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

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CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)

**32 02 77 Pilot projects and preparatory actions**

32 02 77 07 Pilot project — Feasibility study on the financing of low-cost energy efficiency measures in low-income households

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 59 987,50 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 08 Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 203 000  | p.m.                | 400 000  | 0,—          | 168 015,57 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (cont'd)

## 32 02 77 (cont'd)

32 02 77 09 Preparatory action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| p.m.                | 1 000 000 | 2 000 000           | 1 000 000 |              |          |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The action is unique in placing islands at the forefront of climate change action through the new framework of the Global Covenant of Mayors. Union islands and island authorities around the world, having a closer understanding of the climate change challenges for island territories and having pushed for an ambitious global climate agreement, will join forces, through this action, to lead efforts to achieve the 1,5 °C climate target. Union islands will work closely and in solidarity with island authorities beyond the Union with a view to overall capacity building and, more concretely, to sharing experiences, to transferring knowledge and know-how on sustainable energy and climate planning, to sharing best examples of climate change mitigation and adaptation and to sharing their knowledge of innovative Union financial instruments in support of sustainable energy investments. The action will involve analysis of existing good practices for sustainable energy and climate planning implemented on islands and the development of innovative integrated island strategies that would properly address energy access and energy poverty, climate change mitigation and greenhouse gas emission reduction, as well as adaptation of island territories to climate change. These strategies will be in line with the 2030 climate and energy framework and with the United Nations Sustainable Development Goals and the 'Sustainable Energy for All' objectives. Finally, provision will be made for transparent monitoring, reporting and verification of greenhouse gas emissions in line with UNFCCC requirements.

## Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 10 Pilot project — Fighting energy poverty in the Ionian-Adriatic macro-region

Figures (Differentiated appropriations)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 500 000  | 1 000 000           | 500 000  |              |          |

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)

32 02 77 (*cont'd*)

32 02 77 10 (*cont'd*)

This pilot project will promote energy efficiency in the Ionian-Adriatic macro-region through the funding of regional/local initiatives supporting energy renovation of public or private residential buildings. Based on the premise that the Ionian-Adriatic macro-region faces a high risk of energy poverty (well over 50%), the pilot project will seek to address the problem by providing pilot home retrofitting schemes for representative private residential buildings in selected parts of the macro-region. Given the possibility of using renewable energy, of which the macro-region has a huge potential supply, as well as state-of-the-art renovation techniques, the project will reduce energy consumption, tackle energy poverty and reduce greenhouse gas emissions. The project will provide a blueprint for making building renovations easier and for increasing renewable energy share in the macro-region. The project outcomes will be assessed at regional level in order to secure efficient use of structural funds and additional funding in order to promote larger-scale energy efficiency programmes rolled out by national or regional authorities.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 11 Pilot project — Feasibility study for distributed ledger technology applied to the European energy market

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 400 000             | 200 000  |                     |          |              |          |

*Remarks*

The pilot project will set up a platform of best practices and technical advice, based on the experience and needs of the new actors in the energy market, thus helping the Commission to stimulate the development of technical standards for distributed ledgers.

To do this, the following activities will be carried out during the project:

- analysis of the existing distributed ledger based on blockchain technology, the aim being to understand the problems and the solutions found during setup and use of a distributed ledger in real cases,
- analysis of the needs of all energy market stakeholders (institutionalised energy suppliers, prosumer organisations, energy community organisations, etc.); a survey in 2016 by the German Energy Agency (Dena) found that 52 % of German energy actors were already implementing or planning blockchain activities; the analysis will focus on those activities so as to understand the needs of the actors concerned; a focus group involving prosumer associations will also be set up,
- organising information events on distributed ledgers based on blockchain technology,
- setting up a network for stakeholders involved in the new energy market; it will be a tool for disseminating and sharing best practices and technical advice.

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)32 02 77 (*cont'd*)32 02 77 11 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 12 Preparatory action — Establishing comprehensive support for coal and carbon-intensive regions in transition

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 700 000           | 850 000  |                     |          |              |          |

*Remarks*

The recovery of the Union from the economic crisis and the effective implementation of its key goals of strong growth and global competitiveness, including through sustainable reindustrialisation and modernisation of the Union economy, must be founded on long-term environmental, economic and social sustainability. In the context of the ambitious climate commitments of the Union and its transition to a low-emission economy based on the circular model, its coal and carbon-intensive regions with a high proportion of workers in carbon-dependent sectors need targeted support to effectively contribute to this strategic transformation.

According to Eurostat figures, coal and lignite mining alone currently account for over 300 000 direct jobs in the Union. These are concentrated in a limited number of regions, where their impact on the local economy and social cohesion is the principal driver. In the face of the challenges of technological progress, global competition and demanding environmental and climate policies, the ability of those regions to contribute to and benefit from the Union's transition to a low-emission circular economy has a crucial bearing on the overall success of the Union. This has been acknowledged by the Commission, which in its communication on 'Clean Energy for All Europeans' committed to 'examine how to better support the transition in coal and carbon-intensive regions'.

This preparatory action will ensure the effectiveness of these support efforts, their long-term sustainability, and ultimately the success of the transformation of the Union as a whole and its global leadership, by establishing a platform which should enable the Commission to:

- identify the Union's coal and carbon-intensive regions in transition and their smart specialisations,
- build a dedicated practical toolkit with (a) best practices, (b) existing support instruments identifying best synergies, and (c) information exchange with and between regions,
- establish stakeholder fora and provide tools for intra-regional exchanges, including on comprehensive roadmaps for low-emission reindustrialisation and reskilling needs,
- identify on-the-ground bottlenecks and streamline support to new technologies and clean-coal innovation development and uptake, including carbon capture and storage, carbon capture and utilisation and coal gasification,

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32 02 77 (*cont'd*)

32 02 77 12 (*cont'd*)

- establish a compendium of best practices and operational guidelines, and eventually a practical toolkit for third country coal and carbon-intensive regions in transition, as part of the capacity-building measures under the Paris Agreement,
- set up a cross-DG team to (a) identify areas of possible Union policy/programme synergies with a view to ensuring the most effective financial and policy support post-2020; (b) assist regions (central and local authorities) in developing sustainable transition strategies.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 13 Preparatory action — Toolkits for coal platform dialogue participants to develop and support local transition strategies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 1 300 000           | 650 000  |                     |          |              |          |

*Remarks*

With its 2011 Low Carbon Economy Roadmap, the Commission developed a vision to largely decarbonise the European economy and set out specific steps to achieve that goal. The Union has confirmed that commitment, most prominently with the adoption of the Paris Agreement. While recognising that Member States have the sole prerogative to determine their national energy mix, this inevitably puts the focus on coal-mining regions, which have to deal with multiple challenges in connection with this transition, brought about by political decisions, economic realities and public aspirations. The Commission is therefore launching a dedicated dialogue in the form of a coal platform for regions facing this transition.

Activities: Produce a series of toolkits to integrate the results of coal platform meetings in order to support participants in developing local transition strategies in the following fields:

- (a) establishing a governance process at local level: How to start an inclusive dialogue with a view to developing a common transition vision with local and social partners, civil society, industrial and economic actors and academia, including information on best practices and lessons learnt;
- (b) access to funding: Guidance on existing Union funds and their possible combination and technical assistance for project aggregation to support the local transition strategies developed;
- (c) employment and growth perspectives: How to support the labour market transition process (including reskilling and retraining as well as targeted support for vulnerable groups) with a regional concept for economic development to attract future-proof, local jobs;

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 77** (*cont'd*)**32 02 77 13** (*cont'd*)

- (d) environmental rehabilitation: Guidance on converting former sites, including best practices on decommissioning, recultivation, remediation and re-establishment of ground water levels.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 32 03 — NUCLEAR ENERGY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                        |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 32 03                               | NUCLEAR ENERGY                                                         |     |                     |                    |                     |                    |                       |                       |                             |
| 32 03 01                            | <b>Nuclear safeguards</b>                                              | 1.1 | 20 000 000          | 18 000 000         | 23 750 000          | 21 900 000         | 20 418 183,72         | 15 723 074,46         | 87,35                       |
| 32 03 02                            | <b>Nuclear safety and protection against radiation</b>                 | 1.1 | 3 100 000           | 1 750 000          | 3 100 000           | 3 300 000          | 3 403 965,88          | 1 677 021,39          | 95,83                       |
| 32 03 03                            | <b>Nuclear decommissioning assistance programme in Lithuania</b>       | 1.1 | 65 639 000          | 1 264 000          | 64 352 000          | 1 238 776          | 63 090 000,—          | 0,—                   | 0                           |
| 32 03 04                            | <b>Nuclear decommissioning assistance programme</b>                    |     |                     |                    |                     |                    |                       |                       |                             |
| 32 03 04 01                         | Kozloduy programme                                                     | 1.1 | 42 666 000          | p.m.               | 41 829 000          | p.m.               | 41 009 000,—          | 0,—                   |                             |
| 32 03 04 02                         | Bohunice programme                                                     | 1.1 | 32 819 000          | 43 088 000         | 32 176 000          | 34 853 000         | 31 545 000,—          | 417 501,—             | 0,97                        |
|                                     | Article 32 03 04 — Subtotal                                            |     | 75 485 000          | 43 088 000         | 74 005 000          | 34 853 000         | 72 554 000,—          | 417 501,—             | 0,97                        |
| 32 03 51                            | <b>Completion of nuclear decommissioning assistance (2007 to 2013)</b> | 1.1 | p.m.                | 108 000 000        | p.m.                | 114 000 000        | 0,—                   | 150 168 540,11        | 139,04                      |
|                                     | <b>Chapter 32 03 — Total</b>                                           |     | <b>164 224 000</b>  | <b>172 102 000</b> | <b>165 207 000</b>  | <b>175 291 776</b> | <b>159 466 149,60</b> | <b>167 986 136,96</b> | <b>97,61</b>                |

32 03 01 **Nuclear safeguards**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 20 000 000          | 18 000 000 | 23 750 000          | 21 900 000 | 20 418 183,72 | 15 723 074,46 |

Remarks

This appropriation is intended to cover, in particular:

- expenses for missions by inspectors carried out in accordance with pre-established six-monthly programmes, short-notice inspections (daily subsistence allowances and travel expenses),
- training of inspectors and meetings with Member States, international organisations, nuclear operators and other stakeholders,
- purchases of equipment for use in inspections, particularly purchases of monitoring equipment such as digital video systems, gamma, neutron and infrared measurement devices, electronic seals and seal-reader systems,
- procurement and renewal of information technology hardware for inspections,
- specific information technology projects for inspections (development and maintenance),

**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 01** (*cont'd*)

- replacement of monitoring and measurement devices which have reached the end of their service life,
- maintenance of equipment, including insurance of specific equipment at the Canberra, Ametek, Fork and GBNS sites,
- technical infrastructure measures, including waste management and sample transport,
- on-site analyses (labour costs and mission expenses for analysts),
- agreements on working premises on site (laboratories, offices, etc.),
- day-to-day management of on-site installations and central laboratories (repairs, maintenance, information technology hardware, purchases of supplies and consumables, etc.),
- information technology support and tests for applications for inspections.

The following will also give rise to the provision of additional appropriations in accordance with Article 21(3) of the Financial Regulation:

- paid insurance claims,
- repayment of sums unduly paid by the Commission for goods, work or services.

This appropriation may also cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter 7 of Title II and Article 174.

Commission Regulation (Euratom) No 302/2005 of 8 February 2005 on the application of Euratom safeguards (OJ L 54, 28.2.2005, p. 1).

*Reference acts*

Multipartite Agreement between the Community, the non-nuclear-weapon Member States and the International Atomic Energy Agency.

Tripartite Agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite Agreement between the Community, France and the International Atomic Energy Agency.

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CHAPTER 32 03 — NUCLEAR ENERGY (*cont'd*)

32 03 01 (*cont'd*)

Bilateral Cooperation Agreements between the Community and third countries such as the United States of America, Canada and Australia.

Commission communication of 24 March 1992 to the European Parliament and to the Council concerning a Commission decision on the implementation of on-site laboratories for verification analysis of safeguards samples (SEC(1992) 515).

32 03 02 **Nuclear safety and protection against radiation**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| 3 100 000           | 1 750 000 | 3 100 000           | 3 300 000 | 3 403 965,88 | 1 677 021,39 |

Remarks

This appropriation is intended to cover:

- expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the common policy on nuclear safety and security, particularly in the new Member States and of the rules and measures in the field of radiation protection,
- expenditure on measures and actions relating to monitoring and protection against the effects of ionising radiation and aimed at ensuring the health protection of the population and the protection of the environment against the dangers of radiation and radioactive substances. These actions relate to specific tasks provided for under the Treaty establishing the European Atomic Energy Community,
- expenditure on the establishment and operation of a corps of inspectors to check protection against ionising radiation in the Member States. This expenditure includes, in addition to subsistence allowances and travel (mission) expenses, costs for training, for preparatory meetings and for purchases of equipment to be used for inspections,
- expenditure linked to the implementation of the Commission's tasks referred to in point 31 of the European Council conclusions of 24 and 25 March 2011.

This appropriation may also cover expenditure on information and publications directly linked to the achievement of the objectives coming under this article.

Legal basis

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter 3 of Title II and Article 174.



**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 02** (*cont'd*)

Treaty establishing the European Atomic Energy Community, in particular Article 31 (collection of information and preparation of new legislation complementing the basic safety standards), Article 33 (implementation of directives, including in particular in the medical field (field C)) and Article 35(2) (verification of monitoring of environmental radioactivity), thereof.

Council Decision 87/600/Euratom of 14 December 1987 on Community arrangements for the early exchange of information in the event of a radiological emergency (OJ L 371, 30.12.1987, p. 76).

Council Regulation (Euratom) No 3954/87 of 22 December 1987 laying down maximum permitted levels of radioactive contamination of foodstuffs and of feedingstuffs following a nuclear accident or any other case of radiological emergency (OJ L 371, 30.12.1987, p. 11).

Council Regulation (EC) No 733/2008 of 15 July 2008 on the conditions governing imports of agricultural products originating in third countries following the accident at the Chernobyl nuclear power station (OJ L 201, 30.7.2008, p. 1).

Council Directive 2009/71/Euratom of 25 June 2009 establishing a Community framework for the nuclear safety of nuclear installations (OJ L 172, 2.7.2009, p. 18).

Council Directive 2011/70/Euratom of 19 July 2011 establishing a Community framework for the responsible and safe management of spent fuel and radioactive waste (OJ L 199, 2.8.2011, p. 48).

Council Directive 2013/51/Euratom of 22 October 2013 laying down requirements for the protection of the health of the general public with regard to radioactive substances in water intended for human consumption (OJ L 296, 7.11.2013, p. 12).

Council Directive 2013/59/Euratom of 5 December 2013 laying down basic safety standards for protection against the dangers arising from exposure to ionising radiation, and repealing Directives 89/618/Euratom, 90/641/Euratom, 96/29/Euratom, 97/43/Euratom and 2003/122/Euratom (OJ L 13, 17.1.2014, p. 1).

**32 03 03 Nuclear decommissioning assistance programme in Lithuania**

Figures (Differentiated appropriations)

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |          |
|---------------------|-----------|---------------------|-----------|--------------|----------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments |
| 65 639 000          | 1 264 000 | 64 352 000          | 1 238 776 | 63 090 000,— | 0,—      |

*Remarks*

This appropriation is intended to cover the financing of the Ignalina (Lithuania) nuclear power plant decommissioning fund, in accordance with the agreements signed with Lithuania.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

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**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)

**32 03 03** (*cont'd*)

The Commission must submit a report on the implementation of funds committed under this article, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

The financial allocation for the Ignalina programme may also cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives, in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of Regulation (Euratom) No 1369/2013, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The financial allocation for the Ignalina programme may also cover the expenses of technical and administrative assistance necessary to ensure the transition between that programme and the measures adopted under Regulation (EC) No 1990/2006.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

Council Regulation (EU) No 1369/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programme in Lithuania, and repealing Regulation (EC) No 1990/2006 (OJ L 346, 20.12.2013, p. 7).

**32 03 04 Nuclear decommissioning assistance programme**

**32 03 04 01** Kozloduy programme

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 42 666 000          | p.m.     | 41 829 000          | p.m.     | 41 009 000,— | 0,—      |

*Remarks*

This appropriation is intended to cover the financing of the Kozloduy (Bulgaria) nuclear power plant decommissioning fund, in accordance with the agreements signed with Bulgaria.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit a report on the implementation of funds committed under this item, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 04** (*cont'd*)32 03 04 01 (*cont'd*)

The financial allocation for the Kozloduy programme may also cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives, in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of Regulation (Euratom) No 1368/2013, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The financial allocation may also cover the expenses of technical and administrative assistance necessary to ensure the transition between the programme and the measures adopted under Regulation (Euratom) No 647/2010.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

Council Regulation (Euratom) No 1368/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Bulgaria and Slovakia, and repealing Regulations (Euratom) No 549/2007 and (Euratom) No 647/2010 (OJ L 346, 20.12.2013, p. 1).

## 32 03 04 02 Bohunice programme

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |           |
|---------------------|------------|---------------------|------------|--------------|-----------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments  |
| 32 819 000          | 43 088 000 | 32 176 000          | 34 853 000 | 31 545 000,— | 417 501,— |

*Remarks*

This appropriation is intended to cover the financing of the Bohunice (Slovakia) nuclear power plant decommissioning fund, in accordance with the agreements signed with Slovakia.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit a report on the implementation of funds committed under this item, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

The financial allocation for the Bohunice programme may also cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives, in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of Regulation (Euratom) No 1368/2013, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

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**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)

**32 03 04** (*cont'd*)

32 03 04 02 (*cont'd*)

The financial allocation may also cover the expenses of technical and administrative assistance necessary to ensure the transition between this programme and the measures adopted under Regulation (Euratom) No 549/2007.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

Council Regulation (Euratom) No 1368/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Bulgaria and Slovakia, and repealing Regulations (Euratom) No 549/2007 and (Euratom) No 647/2010 (OJ L 346, 20.12.2013, p. 1).

**32 03 51** **Completion of nuclear decommissioning assistance (2007 to 2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 108 000 000 | p.m.                | 114 000 000 | 0,—          | 150 168 540,11 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Accession Treaty of 2003 (Protocol No 4 on the Ignalina nuclear power plant in Lithuania and Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia, both annexed to the Accession Treaty of 2003).

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

The task concerning the Kozloduy nuclear plant in Bulgaria is similarly directly conferred upon the Commission by Article 30 of the 2005 Act of Accession.

Council Regulation (EC) No 1990/2006 of 21 December 2006 on the implementation of Protocol No 4 on the Ignalina nuclear power plant in Lithuania to the Act of Accession of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia 'Ignalina Programme' (OJ L 411, 30.12.2006, p. 10).

Council Regulation (Euratom) No 549/2007 of 14 May 2007 on the implementation of Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia to the Act concerning the conditions of accession to the European Union of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia (OJ L 131, 23.5.2007, p. 1).

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Regulation (Euratom) No 647/2010 of the Council of 13 July 2010 on financial assistance of the Union with respect to the decommissioning of Units 1 to 4 of the Kozloduy Nuclear Power Plant in Bulgaria (Kozloduy Programme) (OJ L 189, 22.7.2010, p. 9).

Council Regulation (Euratom) No 1368/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Bulgaria and Slovakia, and repealing Regulations (Euratom) No 549/2007 and (Euratom) No 647/2010 (OJ L 346, 20.12.2013, p. 1).

Council Regulation (EU) No 1369/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programme in Lithuania, and repealing Regulation (EC) No 1990/2006 (OJ L 346, 20.12.2013, p. 7).

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CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                              | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|-----------------------------|
|                                     |                                                                                                                                                                      |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                             |
| 32 04                               | HORIZON 2020 —<br>RESEARCH AND INNO-<br>VATION RELATED TO<br>ENERGY                                                                                                  |     |                     |                    |                     |                    |                       |                       |                             |
| <b>32 04 03</b>                     | <b>Societal challenges</b>                                                                                                                                           |     |                     |                    |                     |                    |                       |                       |                             |
| 32 04 03 01                         | Making the transition to a<br>reliable, sustainable and<br>competitive energy system                                                                                 | 1.1 | 320 757 111         | 321 356 054        | 335 329 919         | 279 814 488        | 312 418 373,03        | 296 244 219,24        | 92,19                       |
|                                     | <i>Article 32 04 03 — Subtotal</i>                                                                                                                                   |     | 320 757 111         | 321 356 054        | 335 329 919         | 279 814 488        | 312 418 373,03        | 296 244 219,24        | 92,19                       |
| <b>32 04 50</b>                     | <b>Appropriations accruing from<br/>contributions from (non-<br/>European Economic Area)<br/>third parties to research and<br/>technological development</b>         |     |                     |                    |                     |                    |                       |                       |                             |
| 32 04 50 01                         | Appropriations accruing from<br>contributions from (non-<br>European Economic Area)<br>third parties to research and<br>technological development<br>(2014 to 2020)  | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 65 092,73             | 839 965,—             |                             |
| 32 04 50 02                         | Appropriations accruing from<br>contributions from (non-<br>European Economic Area)<br>third parties to research and<br>technological development<br>(prior to 2014) | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 1 670 731,18          |                             |
|                                     | <i>Article 32 04 50 — Subtotal</i>                                                                                                                                   |     | p.m.                | p.m.               | p.m.                | p.m.               | 65 092,73             | 2 510 696,18          |                             |
| <b>32 04 51</b>                     | <b>Completion of the seventh<br/>framework programme (2007<br/>to 2013)</b>                                                                                          | 1.1 | p.m.                | 46 165 220         | p.m.                | 43 509 181         | 346 119,59            | 52 044 524,44         | 112,74                      |
| <b>32 04 52</b>                     | <b>Completion of previous<br/>research framework<br/>programmes (prior to 2007)</b>                                                                                  | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 680 868,26            |                             |
| <b>32 04 53</b>                     | <b>Completion of the 'Intelligent<br/>energy — Europe' programme<br/>(2007 to 2013)</b>                                                                              | 1.1 | p.m.                | 13 416 634         | p.m.                | 41 355 116         | 33 688,24             | 54 366 783,27         | 405,22                      |
| <b>32 04 54</b>                     | <b>Completion of the 'Intelligent<br/>energy — Europe' programme<br/>(2003 to 2006)</b>                                                                              | 1.1 | —                   | —                  | p.m.                | p.m.               | 0,—                   | 0,—                   |                             |
|                                     | <b>Chapter 32 04 — Total</b>                                                                                                                                         |     | <b>320 757 111</b>  | <b>380 937 908</b> | <b>335 329 919</b>  | <b>364 678 785</b> | <b>312 863 273,59</b> | <b>405 847 091,39</b> | <b>106,54</b>               |

**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014 to 2020 period, and for the completion of the previous research programmes (seventh framework programme and preceding framework programmes) and the completion of the 'Intelligent energy — Europe' programmes (prior to 2014).

Horizon 2020 activities, in particular those addressed under the societal challenge 'Secure, clean and efficient energy', but also relevant parts of other sections of the programme, including 'Access to finance', implemented in line with the Union's energy policy framework and in particular the Energy Union strategy (Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 25 February 2015 on a framework strategy for a resilient Energy Union with a forward-looking climate change policy (COM(2015) 80 final)) as well as the European strategic energy technology plan (SET-Plan) and the communication on energy technologies and innovation, will contribute primarily to the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era' and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 will contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

Horizon 2020 will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

In Horizon 2020, gender equality is addressed as a cross-cutting issue in order to rectify imbalances between women and men and to integrate a gender dimension in research and innovation content. Particular account will be taken of the need to step up efforts to enhance the participation at all levels, including decision making, of women in research and innovation.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme For Research And Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from states taking part in the European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 32 04 50 01.

Administrative appropriations of this chapter will be provided under Article 32 01 05.

**32 04 03 Societal challenges**

*Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user-driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

**32 04 03 01 Making the transition to a reliable, sustainable and competitive energy system**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 320 757 111         | 321 356 054 | 335 329 919         | 279 814 488 | 312 418 373,03 | 296 244 219,24 |

*Remarks*

*Former Item 32 04 03 01 (in part)*



**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)**32 04 03** (*cont'd*)32 04 03 01 (*cont'd*)

This appropriation is intended to support the implementation of the societal challenge ‘Secure, clean and efficient energy’ of the Horizon 2020 priorities, in line with the Union’s energy policy and in particular the Energy Union strategy (Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 25 February 2015 on a framework strategy for a resilient Energy Union with a forward-looking climate change policy (COM(2015) 80 final)), Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 30 November 2016 ‘Clean Energy for all Europeans’ (COM(2016) 860 final), Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 22 November 2007 ‘A European Strategic Energy Technology Plan’ (SET-Plan) (COM(2007) 723 final) and Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 2 May 2013 ‘Energy Technologies and Innovation’ (COM(2013) 253 final). These initiatives are primarily addressing energy efficiency, wind, solar, bioenergy, carbon capture and storage, smart cities and electricity grids. In recognition of their important contribution to future sustainable energy systems, at least 85 % of the budget appropriations over the period 2014-2020 will be allocated to the renewable and end-use energy efficiency policy areas, including smart grids and energy storage.

Support to market uptake measures will be part of the Horizon 2020 programme to build capacity, improve governance and overcome market barriers so that energy efficiency and renewable energy solutions can be rolled out, thus contributing to improving energy security in the Union. Part of the appropriations in the overall energy challenge budget will therefore be spent on market uptake activities for existing renewable and energy efficiency technologies within the programme, carried out by a dedicated management structure, and will include support for sustainable energy policy implementation, capacity building and mobilisation of financing for investment, in line with what has been done to date.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (c) of Article 3(3) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

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TITLE 32 — ENERGY

CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY (*cont'd*)

**32 04 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

32 04 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |           |
|---------------------|----------|---------------------|----------|--------------|-----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments  |
| p.m.                | p.m.     | p.m.                | p.m.     | 65 092,73    | 839 965,— |

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

32 04 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 670 731,18 |

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**32 04 51 Completion of the seventh framework programme (2007 to 2013)**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 46 165 220 | p.m.                | 43 509 181 | 346 119,59   | 52 044 524,44 |

**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)**32 04 51** (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**32 04 52** ***Completion of previous research framework programmes (prior to 2007)****Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 680 868,26 |

*Remarks*

This appropriation is intended to cover earlier commitments prior to 2007 under the previous research framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)

**32 04 52** (*cont'd*)

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

**32 04 53** **Completion of the 'Intelligent energy — Europe' programme (2007 to 2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| p.m.                | 13 416 634 | p.m.                | 41 355 116 | 33 688,24    | 54 366 783,27 |

CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY (*cont'd*)32 04 53 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

32 04 54 **Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)***Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| —                   | —        | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This article is intended to cover earlier commitments of the 'Intelligent energy — Europe' programme (2003 to 2006).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: 'Intelligent Energy — Europe' (2003-2006) (OJ L 176, 15.7.2003, p. 29).

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TITLE 32 — ENERGY

CHAPTER 32 05 — ITER

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                               | FF  | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|--------------------------------|
|                                     |                                                                                                                                                       |     | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |                                |
| 32 05                               | ITER                                                                                                                                                  |     |                     |                    |                     |                    |                       |                       |                                |
| <b>32 05 01</b>                     | <b>Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)</b>                |     |                     |                    |                     |                    |                       |                       |                                |
| 32 05 01 01                         | Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure | 1.1 | 48 016 981          | 48 016 981         | 47 547 440          | 47 547 440         | 45 765 046,01         | 45 765 046,01         | 95,31                          |
| 32 05 01 02                         | Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)                       | 1.1 | 321 108 018         | 247 301 679        | 266 512 997         | 188 140 000        | 305 793 587,87        | 211 478 507,87        | 85,51                          |
|                                     | <i>Article 32 05 01 — Subtotal</i>                                                                                                                    |     | 369 124 999         | 295 318 660        | 314 060 437         | 235 687 440        | 351 558 633,88        | 257 243 553,88        | 87,11                          |
| <b>32 05 50</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>           |     |                     |                    |                     |                    |                       |                       |                                |
| 32 05 50 01                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)   | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 1 350,—               |                                |
| 32 05 50 02                         | Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)  | 1.1 | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 0,—                   |                                |
|                                     | <i>Article 32 05 50 — Subtotal</i>                                                                                                                    |     | p.m.                | p.m.               | p.m.                | p.m.               | 0,—                   | 1 350,—               |                                |
| <b>32 05 51</b>                     | <b>Completion of the European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)</b>                                                 |     |                     |                    |                     |                    |                       |                       |                                |
|                                     |                                                                                                                                                       | 1.1 | p.m.                | 198 000 000        | p.m.                | 182 000 000        | 0,—                   | 338 084 999,93        | 170,75                         |
|                                     | <b>Chapter 32 05 — Total</b>                                                                                                                          |     | <b>369 124 999</b>  | <b>493 318 660</b> | <b>314 060 437</b>  | <b>417 687 440</b> | <b>351 558 633,88</b> | <b>595 329 903,81</b> | <b>120,68</b>                  |

## CHAPTER 32 05 — ITER (cont'd)

*Remarks*

The ITER project aims to demonstrate fusion as a viable and sustainable source of energy by building and operating an experimental fusion reactor as a major step towards the creation of prototype reactors for fusion power stations that are safe, sustainable, environmentally responsible and economically viable. It will contribute to the Europe 2020 strategy and in particular to its Innovation Union flagship initiative as the mobilisation of European high-tech industries, which are involved in the construction of ITER, should provide the Union with a global competitive advantage in this promising sector.

The project brings together seven parties: the Union, China, India, Japan, South Korea, Russia and the United States.

**32 05 01 Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)**

32 05 01 01 Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 48 016 981          | 48 016 981 | 47 547 440          | 47 547 440 | 45 765 046,01 | 45 765 046,01 |

*Remarks*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking for ITER-Fusion for Energy.

*Legal basis*

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

32 05 01 02 Construction, operation and exploitation of the ITER facilities – European Joint Undertaking for ITER — Fusion for Energy (F4E)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016   |                |
|---------------------|-------------|---------------------|-------------|----------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments    | Payments       |
| 321 108 018         | 247 301 679 | 266 512 997         | 188 140 000 | 305 793 587,87 | 211 478 507,87 |

*Remarks*

The European Joint Undertaking for ITER and the Development of Fusion for Energy provides the contribution of the European Atomic Energy Community (Euratom) to the joint implementation of the international ITER project. ITER, a major experimental facility which will demonstrate the scientific and technical feasibility of fusion power, will be followed by the construction of a demonstration fusion power plant (DEMO).

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TITLE 32 — ENERGY

**CHAPTER 32 05 — ITER** (*cont'd*)

**32 05 01** (*cont'd*)

32 05 01 02 (*cont'd*)

This joint undertaking has the following tasks:

- to provide the contribution of Euratom to the ITER International Fusion Energy Organisation,
- to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy,
- to prepare and coordinate a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities.

*Legal basis*

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

**32 05 50** ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

32 05 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 350,—  |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.



**CHAPTER 32 05 — ITER** (*cont'd*)**32 05 50** (*cont'd*)

32 05 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**32 05 51** **Completion of the European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |                |
|---------------------|-------------|---------------------|-------------|--------------|----------------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments       |
| p.m.                | 198 000 000 | p.m.                | 182 000 000 | 0,—          | 338 084 999,93 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Decision of 25 September 2006 concerning the conclusion, by the Commission, of the Agreement on the Establishment of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project, of the Arrangement on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organisation for the Joint Implementation on the ITER Project and of the Agreement on the Privileges and Immunities of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project.

COMMISSION  
TITLE 32 — ENERGY

**CHAPTER 32 05 — ITER** (*cont'd*)

**32 05 51** (*cont'd*)

Commission Decision 2006/943/Euratom of 17 November 2006 on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project and of the Agreement on Privileges and Immunities of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project (OJ L 358, 16.12.2006, p. 60).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the Seventh Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the Specific Programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012 to 2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 33).

*TITLE 33*

**JUSTICE AND CONSUMERS**

COMMISSION

TITLE 33 — JUSTICE AND CONSUMERS

**TITLE 33****JUSTICE AND CONSUMERS****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                        | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016          |                       |
|------------------|--------------------------------------------------------------------------------|---------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                  |                                                                                | Commitments         | Payments           | Commitments         | Payments           | Commitments           | Payments              |
| 33 01            | ADMINISTRATIVE<br>EXPENDITURE OF THE 'JUSTICE<br>AND CONSUMERS' POLICY<br>AREA | 55 357 779          | 55 357 779         | 53 953 021          | 53 953 021         | 53 176 565,99         | 53 176 565,99         |
| 33 02            | RIGHTS, EQUALITY AND CITI-<br>ZENSHIP                                          | 94 872 935          | 78 782 935         | 95 331 000          | 81 042 095         | 91 055 088,26         | 77 732 398,55         |
| 33 03            | JUSTICE                                                                        | 82 151 468          | 69 891 468         | 97 581 237          | 84 532 237         | 91 079 408,90         | 77 377 884,92         |
| 33 04            | CONSUMER PROGRAMME                                                             | 25 175 000          | 20 710 000         | 24 132 000          | 18 590 000         | 23 943 305,68         | 22 345 095,01         |
|                  | <b>Title 33 — Total</b>                                                        | <b>257 557 182</b>  | <b>224 742 182</b> | <b>270 997 258</b>  | <b>238 117 353</b> | <b>259 254 368,83</b> | <b>230 631 944,47</b> |

## TITLE 33

## JUSTICE AND CONSUMERS

## CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                         | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 33 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA                                                                           |     |                     |                     |                      |              |
| <b>33 01 01</b>                     | <b><i>Expenditure related to officials and temporary staff in the 'Justice and consumers' policy area</i></b>                                   | 5.2 | 41 823 099          | 40 265 100          | 39 387 525,17        | 94,18        |
| <b>33 01 02</b>                     | <b><i>External personnel and other management expenditure in support of the 'Justice and consumers' policy area</i></b>                         |     |                     |                     |                      |              |
| 33 01 02 01                         | External personnel                                                                                                                              | 5.2 | 4 034 346           | 4 348 852           | 4 018 538,59         | 99,61        |
| 33 01 02 11                         | Other management expenditure                                                                                                                    | 5.2 | 1 805 751           | 1 833 780           | 1 858 168,51         | 102,90       |
|                                     | <i>Article 33 01 02 — Subtotal</i>                                                                                                              |     | 5 840 097           | 6 182 632           | 5 876 707,10         | 100,63       |
| <b>33 01 03</b>                     | <b><i>Expenditure related to information and communication technology equipment and services of the 'Justice and consumers' policy area</i></b> | 5.2 | 2 703 583           | 2 514 289           | 3 028 482,66         | 112,02       |
| <b>33 01 04</b>                     | <b><i>Support expenditure for operations and programmes in the 'Justice and consumers' policy area</i></b>                                      |     |                     |                     |                      |              |
| 33 01 04 01                         | Support expenditure for the 'Rights, equality and citizenship' programme                                                                        | 3   | 1 100 000           | 1 100 000           | 1 044 899,04         | 94,99        |
| 33 01 04 02                         | Support expenditure for the Justice programme                                                                                                   | 3   | 1 100 000           | 1 100 000           | 1 009 910,39         | 91,81        |
| 33 01 04 03                         | Support expenditure for the Consumer programme                                                                                                  | 3   | 1 049 600           | 1 100 000           | 1 091 877,63         | 104,03       |
|                                     | <i>Article 33 01 04 — Subtotal</i>                                                                                                              |     | 3 249 600           | 3 300 000           | 3 146 687,06         | 96,83        |
| <b>33 01 06</b>                     | <b><i>Executive agencies</i></b>                                                                                                                |     |                     |                     |                      |              |
| 33 01 06 01                         | Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme                                             | 3   | 1 741 400           | 1 691 000           | 1 737 164,—          | 99,76        |
|                                     | <i>Article 33 01 06 — Subtotal</i>                                                                                                              |     | 1 741 400           | 1 691 000           | 1 737 164,—          | 99,76        |
|                                     | <b>Chapter 33 01 — Total</b>                                                                                                                    |     | <b>55 357 779</b>   | <b>53 953 021</b>   | <b>53 176 565,99</b> | <b>96,06</b> |

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CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (cont'd)

**33 01 01 Expenditure related to officials and temporary staff in the 'Justice and consumers' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 41 823 099          | 40 265 100          | 39 387 525,17 |

**33 01 02 External personnel and other management expenditure in support of the 'Justice and consumers' policy area**

33 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 034 346           | 4 348 852           | 4 018 538,59 |

33 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 805 751           | 1 833 780           | 1 858 168,51 |

**33 01 03 Expenditure related to information and communication technology equipment and services of the 'Justice and consumers' policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 703 583           | 2 514 289           | 3 028 482,66 |

**33 01 04 Support expenditure for operations and programmes in the 'Justice and consumers' policy area**

33 01 04 01 Support expenditure for the 'Rights, equality and citizenship' programme

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 100 000           | 1 100 000           | 1 044 899,04 |

## CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (cont'd)

33 01 04 (cont'd)

33 01 04 01 (cont'd)

*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the 'Rights, equality and citizenship' programme (the 'Programme') and the assessment of the achievement of its objectives; in particular, it is intended to cover studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union, insofar as they are related to the general objectives of the programme, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 33 02.

33 01 04 02 Support expenditure for the Justice programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 100 000           | 1 100 000           | 1 009 910,39 |

*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the Justice programme (the 'Programme') and the assessment of the achievement of its objectives; in particular, it is intended to cover studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union, insofar as they are related to the general objectives of the Programme, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the Programme.

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CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (*cont'd*)33 01 04 (*cont'd*)33 01 04 02 (*cont'd*)

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 33 03.

33 01 04 03 Support expenditure for the Consumer programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 049 600           | 1 100 000           | 1 091 877,63 |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to the achievement of the objective of the programme or measures covered by this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 33 04.



CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (*cont'd*)33 01 06 *Executive agencies*

33 01 06 01 Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme

*Figures (Non-differentiated appropriations)*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 741 400           | 1 691 000           | 1 737 164,—  |

*Remarks*

This appropriation is intended to cover the contribution for expenditure on the staff and administration of the Consumers, Health, Agriculture and Food Executive Agency (the 'Executive Agency') incurred as a result of the Executive Agency's role in the management of measures forming part of the Consumer programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 254/2014 of the European Parliament and of the Council of 26 February 2014 on a multiannual consumer programme for the years 2014-20 and repealing Decision No 1926/2006/EC (OJ L 84, 20.3.2014, p. 42).

See Chapter 33 04.

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341, 18.12.2013, p. 69).

Commission Decision C(2013) 9505 of 20 December 2013 delegating powers to the Consumers, Health and Food Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of consumers, health and food comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                           | FF  | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | % Payments<br>2016/2018 |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|------------|---------------------|------------|---------------|---------------|-------------------------|
|                                     |                                                                                                                                                                   |     | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                         |
| 33 02                               | RIGHTS, EQUALITY<br>AND CITIZENSHIP                                                                                                                               |     |                     |            |                     |            |               |               |                         |
| <b>33 02 01</b>                     | <b>Ensuring the protection<br/>of rights and<br/>empowering citizens</b>                                                                                          | 3   | 26 451 000          | 18 700 000 | 26 451 000          | 18 500 000 | 25 231 830,15 | 17 065 508,11 | 91,26                   |
| <b>33 02 02</b>                     | <b>Promoting non-<br/>discrimination and<br/>equality</b>                                                                                                         | 3   | 35 831 000          | 25 100 000 | 35 064 000          | 24 000 000 | 32 369 393,48 | 22 991 550,29 | 91,60                   |
| <b>33 02 03</b>                     | <b>Company law and other<br/>activities</b>                                                                                                                       |     |                     |            |                     |            |               |               |                         |
| 33 02 03 01                         | Company law                                                                                                                                                       | 1.1 | 1 700 000           | 700 000    | 895 000             | 1 700 000  | 1 438 636,92  | 1 238 776,77  | 176,97                  |
| 33 02 03 02                         | Other activities in the<br>area of fundamental<br>rights                                                                                                          | 3   | 1 300 000           | 700 000    | 1 000 000           | 500 000    | 969 215,84    | 305 466,14    | 43,64                   |
|                                     | <i>Article 33 02 03 —<br/>Subtotal</i>                                                                                                                            |     | 3 000 000           | 1 400 000  | 1 895 000           | 2 200 000  | 2 407 852,76  | 1 544 242,91  | 110,30                  |
| <b>33 02 06</b>                     | <b>European Union Agency<br/>for Fundamental Rights<br/>(FRA)</b>                                                                                                 | 3   | 21 977 262          | 21 977 262 | 22 463 000          | 22 463 000 | 21 359 000,—  | 21 359 000,—  | 97,19                   |
| <b>33 02 07</b>                     | <b>European Institute for<br/>Gender Equality (EIGE)</b>                                                                                                          | 3   | 7 613 673           | 7 613 673  | 7 458 000           | 7 458 000  | 7 628 000,—   | 7 628 000,—   | 100,19                  |
| <b>33 02 51</b>                     | <b>Completion of actions in<br/>the field of rights, citi-<br/>zenship and equality</b>                                                                           | 3   | p.m.                | 1 700 000  | p.m.                | 3 200 000  | 59 011,87     | 6 265 073,31  | 368,53                  |
| <b>33 02 77</b>                     | <b>Pilot projects and<br/>preparatory actions</b>                                                                                                                 |     |                     |            |                     |            |               |               |                         |
| 33 02 77 06                         | Pilot project — Devel-<br>opment of indicators to<br>measure the implemen-<br>tation of the European<br>Charter for Equality of<br>Women and Men in<br>Local Life | 3   | p.m.                | p.m.       | p.m.                | 84 572     | 0,—           | 578 353,20    |                         |

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## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                    | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                                                                                            |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| <b>33 02 77</b>                     | (cont'd)                                                                                                                                                   |    |                     |                   |                     |                   |                      |                      |                         |
| 33 02 77 08                         | Pilot project — Knowledge platform for professionals dealing with female genital mutilation                                                                | 3  | p.m.                | p.m.              | p.m.                | 150 336           | 0,—                  | 300 670,73           |                         |
| 33 02 77 09                         | Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies       | 3  | p.m.                | 939 000           | p.m.                | 811 187           | 0,—                  | 0,—                  | 0                       |
| 33 02 77 10                         | Pilot project — Fundamental rights review of Union data-collection instruments and programmes                                                              | 3  | p.m.                | 253 000           | p.m.                | 375 000           | 0,—                  | 0,—                  | 0                       |
| 33 02 77 12                         | Preparatory action — Capacity-building for Roma civil society and strengthening Roma involvement in the monitoring of national Roma integration strategies | 3  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |                         |
| 33 02 77 13                         | Pilot project — Europe of diversities                                                                                                                      | 3  | p.m.                | 400 000           | p.m.                | 400 000           | 1 000 000,—          | 0,—                  | 0                       |
| 33 02 77 14                         | Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures                                      | 3  | p.m.                | 400 000           | p.m.                | 400 000           | 1 000 000,—          | 0,—                  | 0                       |
| 33 02 77 15                         | Pilot project — Creation of shelter houses for women who are victims of domestic violence and sexual exploitation and for undocumented migrant women       | 3  | p.m.                | p.m.              | 1 000 000           | 500 000           |                      |                      |                         |
| 33 02 77 16                         | Pilot project — European survey on gender-based violence                                                                                                   | 3  | p.m.                | 300 000           | 1 000 000           | 500 000           |                      |                      |                         |
|                                     | <i>Article 33 02 77 — Subtotal</i>                                                                                                                         |    | p.m.                | 2 292 000         | 2 000 000           | 3 221 095         | 2 000 000,—          | 879 023,93           | 38,35                   |
|                                     | <b>Chapter 33 02 — Total</b>                                                                                                                               |    | <b>94 872 935</b>   | <b>78 782 935</b> | <b>95 331 000</b>   | <b>81 042 095</b> | <b>91 055 088,26</b> | <b>77 732 398,55</b> | <b>98,67</b>            |

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## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

*Remarks*

The 'Rights, equality and citizenship' programme is the successor to three previous programmes: Fundamental rights and citizenship, Daphne III, and the 'Antidiscrimination and diversity' and 'Gender equality' sections of the programme for employment and social solidarity (Progress). The general objective of the 'Rights, equality and citizenship' programme is to contribute to the further development of an area where equality and the rights of persons as enshrined in the Treaty on European Union, in the Treaty on the Functioning of the European Union, in the Charter of Fundamental Rights of the European Union, and in the international human rights conventions to which the Union has acceded, are promoted, protected and effectively implemented.

**33 02 01** *Ensuring the protection of rights and empowering citizens**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 26 451 000          | 18 700 000 | 26 451 000          | 18 500 000 | 25 231 830,15 | 17 065 508,11 |

*Remarks*

This appropriation is intended to: help prevent and combat all forms of violence against children, young people and women, and violence against other groups at risk of domestic violence and protect victims of such acts (one of the goals of the Daphne programme); promote and protect the rights of the child; promote and ensure the social and labour rights of workers; ensure the highest level of protection of privacy and personal data; promote and enhance the exercise of the rights that the Union confers on its citizens; and allow individuals, as consumers or entrepreneurs in the internal market, to exercise the rights that the Union gives them, taking into account the projects financed by the Consumer programme.

The objective of the 'Rights, equality and citizenship' programme is to contribute to the further development of an area in which the rights of persons are promoted and protected by enhancing the exercise of rights deriving from Union citizenship, promoting the principle of non-discrimination, contributing to the protection of personal data, enhancing protection of the rights of the child and rights deriving from Union consumer legislation and promoting fundamental rights and citizenship in the digital environment. Funding will be allocated to analytical and training activities and for dissemination, mutual learning, cooperation and awareness raising activities.

In particular, this appropriation is intended to cover the following types of action:

- analytical activities, such as the collection of data and statistics, where appropriate disaggregated by gender; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts' meetings and conferences,
- training activities such as staff exchanges, workshops, seminars, train-the-trainer events and the development of online training tools or other training modules,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, media campaigns, including in the online media, information campaigns, including institutional communication on the political priorities of the Union insofar as they relate to the objectives of the 'Rights, equality and citizenship' programme (the 'Programme'); the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools using information and communication technologies,

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— support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for NGOs in the implementation of actions with European added value, support for key Union actors, Union-level networks and harmonised services of social value; support for Member States in the implementation of Union law and policies; and support for networking activities at Union level among specialised bodies and entities as well as national, regional and local authorities and NGOs, including support by way of action grants or operating grants.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1381/2013 of the European Parliament and of the Council of 17 December 2013 establishing a 'Rights, equality and citizenship' programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 62), and in particular points (e) to (i) of Article 4(1) and Article 5(1) thereof.

33 02 02 **Promoting non-discrimination and equality**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 35 831 000          | 25 100 000 | 35 064 000          | 24 000 000 | 32 369 393,48 | 22 991 550,29 |

*Remarks*

This appropriation is intended to contribute to promoting the effective implementation of the principle of non-discrimination on grounds of sex, racial or ethnic origin, religion or belief, disability, age or sexual orientation, and to respecting the principle of non-discrimination on the grounds provided for in Article 21 of the Charter of Fundamental Rights of the European Union; to preventing and combating racism, xenophobia, homophobia and other forms of intolerance; to fighting anti-Semitism, in particular by establishing a European research centre on anti-Semitism; to promoting and protecting the rights of persons with disabilities; and to promoting equality between women and men and to advancing gender mainstreaming.

In particular, this appropriation is intended to cover the following types of actions:

— analytical activities, such as the collection of data and statistics; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts' meetings and conferences,

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 02 (*cont'd*)

- training activities such as staff exchanges, workshops, seminars, train-the-trainer events and the development of online training tools or other training modules,
- measures encouraging girls to actively consider a STEM (science, technology, engineering, maths) career, and to combat stereotyping, foster new profiles and breach traditional roles and models,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, media campaigns, including in the online media, information campaigns, including institutional communication on the political priorities of the Union insofar as they relate to the objectives of the 'Rights, equality and citizenship' programme (the 'Programme'); the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools using information and communication technologies,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for NGOs in the implementation of actions with European added value, support for key Union actors, Union-level networks and harmonised services of social value; support for Member States in the implementation of Union law and policies; and support for networking activities at Union level among specialised bodies and entities as well as national, regional and local authorities and NGOs, including support by way of action grants or operating grants,
- support for ICT companies, companies with technical departments and technical training facilities, universities and research centres to organise open days for girls, encouraging them to choose a STEM career,
- designing and applying a gender budgeting methodology for the Union budget to do the following: (i) identifying the implicit and explicit gender issues, (ii) identifying, where possible, the allied resource allocations, (iii) assessing whether the policy will continue or change existing inequalities between men and women (and groups of men and women), including boys' and girls' patterns of gender relations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1381/2013 of the European Parliament and of the Council of 17 December 2013 establishing a 'Rights, equality and citizenship' programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 62), and in particular points (a) to (d) of Article 4(1) and Article 5(1) thereof.

## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

33 02 03 *Company law and other activities*

## 33 02 03 01 Company law

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments     |
| 1 700 000           | 700 000  | 895 000             | 1 700 000 | 1 438 636,92 | 1 238 776,77 |

*Remarks*

This appropriation is intended to cover expenditure arising in connection with measures in the context of company law, corporate governance and anti-money laundering, with a view to contributing to the completion of the internal market and its operation and development, in particular:

- improving the legal environment for citizens, companies and businesses, for which promotion activities, awareness-raising and training actions could be envisaged; fostering cooperation, development and coordination of legislation in the field of company law and assistance with the creation of European limited companies and European economic interest groupings,
- interactive policymaking, in so far as it concerns the completion, development and operation of the internal market, makes up part of the Commission's governance and of the regulatory policy initiatives to better respond to the demands of citizens, consumers and businesses. The appropriations entered in this item are also intended to cover training, awareness-raising and network actions to the benefit of such participants with a view to making Union policymaking on the internal market more comprehensive and effective, and as part of the process of assessing the actual impact of internal market policies (or the lack of them) on the ground,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken with a view to the sound operation of the internal market and the evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering the functioning of the internal market,
- broadening the strategy regarding the development of statistics on service sectors and statistical development projects in cooperation with Eurostat and the Organisation for Economic Cooperation and Development (OECD),
- developing and strengthening the external aspects of the directives applicable in respect of financial institutions, the mutual recognition of financial instruments vis-à-vis third countries, international negotiations and assistance for third countries in establishing a market economy,
- implementing the many measures put forward in the action plan on company law and corporate governance which could lead to studies on a number of targeted subjects, with a view to drawing up the necessary legislative proposals,
- implementing the law of the Union and international provisions in the field of money laundering, including participation in intergovernmental or ad hoc measures in this field; contributions relating to Commission participation as a member of the Financial Action Task Force (FATF) on money laundering created under the OECD,
- development of evaluations and impact studies on the various aspects of the policies covered by this chapter for the purpose of devising new measures or revising existing measures relating to them,

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- conducting a comprehensive and unbiased assessment of the risk posed by third countries in terms of their strategic deficiencies in the area of anti-money laundering and countering terrorist financing on the basis of the criteria laid down in Article 9 of Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing (OJ L 141, 5.6.2015, p. 73), and establishing a list of high-risk jurisdictions.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 33 02 03 02 Other activities in the area of fundamental rights

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 1 300 000           | 700 000  | 1 000 000           | 500 000  | 969 215,84   | 305 466,14 |

*Remarks*

This appropriation is intended to cover information, communication and awareness-raising activities linked to the Charter of Fundamental Rights, and in particular to the protection of those rights in the digital environment. This appropriation will be used to support information and communication activities delivered through web sites, public events, training, communication products, surveys, etc.

This appropriation is also intended to cover information, communication and evaluation activities linked to consular protection and the dialogue pursuant to Article 17 of the Treaty of the Functioning of the European Union. In particular this appropriation covers information and communication activities delivered through internal websites, public events, communication products, Eurobarometer surveys, etc., as well as the development of impact assessment and evaluation studies on various aspects of the area of fundamental rights and justice.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

33 02 06 *European Union Agency for Fundamental Rights (FRA)**Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 21 977 262          | 21 977 262 | 22 463 000          | 22 463 000 | 21 359 000,— | 21 359 000,— |

*Remarks*

This appropriation is intended to cover the FRA's staff and administrative expenditure (Titles 1 and 2).

This appropriation is also intended to cover the FRA's operational expenditure (Title 3), which is responsible for providing the relevant Union institutions and authorities of the Member States when implementing Union law with assistance and expertise relating to fundamental rights in order to support them when they take measures or formulate courses of action within their respective spheres of competence to fully respect fundamental rights.

The FRA can be expected to achieve the following operational objectives/tasks:

- provision of assistance to Union institutions and the Member States,
- promotion of networking of stakeholders and dialogue at Union level,
- promotion and dissemination of information and awareness-raising activities to enhance visibility on fundamental rights,
- effective functioning of the management structure and implementation of operations.

The FRA must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation to be charged to Item 6 0 0 0 of the general statement of revenue.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

The FRA's establishment plan is set out in Annex 'Staff' to this section.

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Regulation (EC) No 168/2007 entered into force on 1 March 2007. On that date, the FRA replaced the European Monitoring Centre on Racism and Xenophobia (EUMC) and legally succeeded it, assuming all the Centre's legal rights and obligations, financial commitments, liabilities, and employment contracts, as laid down in Article 23(4) of Regulation (EC) No 168/2007.

The Union contribution for 2018 amounts to a total of EUR 22 180 000. An amount of EUR 202 738 from the recovery of surplus is added to the amount of EUR 21 977 262 entered in the budget.

*Legal basis*

Council Regulation (EC) No 1035/97 of 2 June 1997 establishing a European Monitoring Centre on Racism and Xenophobia (OJ L 151, 10.6.1997, p. 1).

Council Regulation (EC) No 168/2007 of 15 February 2007 establishing a European Union Agency for Fundamental Rights (OJ L 53, 22.2.2007, p. 1).

**33 02 07** **European Institute for Gender Equality (EIGE)***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |             |
|---------------------|-----------|---------------------|-----------|--------------|-------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments    |
| 7 613 673           | 7 613 673 | 7 458 000           | 7 458 000 | 7 628 000,—  | 7 628 000,— |

*Remarks*

This appropriation is intended to cover the EIGE's staff and administrative expenditure (Titles 1 and 2) and operational expenditure (Title 3).

The EIGE must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The EIGE's establishment plan is set out in Annex 'Staff' to this section.

The amounts repaid in accordance with Article 20 of the Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation to be charged to Item 6 6 0 0 of the general statement of revenue.

In accordance with Decision 2006/996/EC taken by common agreement between the representatives of the Governments of Member States of 11 December 2006 on the location of the seat of the European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 61), the EIGE has its seat in Vilnius.

The Union contribution for 2018 amounts to a total of EUR 7 781 000. An amount of EUR 167 327 coming from the recovery of surplus is added to the amount of EUR 7 613 673 entered in the budget.

## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

## 33 02 07 (cont'd)

*Legal basis*

Regulation (EC) No 1922/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 9).

33 02 51 **Completion of actions in the field of rights, citizenship and equality***Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|-----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments  | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 1 700 000 | p.m.                | 3 200 000 | 59 011,87    | 6 265 073,31 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The candidate countries may make use of the programme of Community aid to the countries of Central and Eastern Europe to cover expenditure resulting from their participation in Union programmes.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 51 (*cont'd*)

Task resulting from the Commission's administrative autonomy, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision of 9 July 1957 concerning the terms of reference and rules of procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on Safety, Hygiene and Health Protection at Work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Decision No 293/2000/EC of the European Parliament and of the Council of 24 January 2000 adopting a programme of Community action (the Daphne programme) (2000 to 2003) on preventive measures to fight violence against children, young persons and women (OJ L 34, 9.2.2000, p. 1).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

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Decision No 803/2004/EC of the European Parliament and of the Council of 21 April 2004 adopting a programme of Community action (2004 to 2008) to prevent and combat violence against children, young people and women and to protect victims and groups at risk (the Daphne II programme) (OJ L 143, 30.4.2004, p. 1).

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Council Decision 2007/252/EC of 19 April 2007 establishing for the period 2007-2013 the Specific Programme 'Fundamental rights and citizenship' as part of the General programme 'Fundamental Rights and Justice' (OJ L 110, 27.4.2007, p. 33).

Decision No 779/2007/EC of the European Parliament and of the Council of 20 June 2007 establishing for the period 2007-2013 a specific programme to prevent and combat violence against children, young people and women and to protect victims and groups at risk (Daphne III programme), as part of the General Programme 'Fundamental Rights and Justice' (OJ L 173, 3.7.2007, p. 19).

*Reference acts*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Community under Articles 136, 137 and 140 (Treaty on the Functioning of the European Union under Articles 151, 153 and 156).

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

33 02 77 **Pilot projects and preparatory actions**

33 02 77 06 Pilot project — Development of indicators to measure the implementation of the European Charter for Equality of Women and Men in Local Life

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 84 572   | 0,—          | 578 353,20 |

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## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

33 02 77 (cont'd)

33 02 77 06 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 08 Pilot project — Knowledge platform for professionals dealing with female genital mutilation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | p.m.     | p.m.                | 1 50 336 | 0,—          | 300 670,73 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 09 Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 939 000  | p.m.                | 811 187  | 0,—          | 0,—      |

## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

33 02 77 (cont'd)

33 02 77 09 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 10 Pilot project — Fundamental rights review of Union data-collection instruments and programmes

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 253 000  | p.m.                | 375 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 12 Preparatory action — Capacity-building for Roma civil society and strengthening Roma involvement in the monitoring of national Roma integration strategies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 77 (*cont'd*)33 02 77 12 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The EU Framework for National Roma Integration Strategies calls on Member States to include robust monitoring mechanisms and involve civil society, including Roma organisations, in strategy implementation and monitoring. Capacity-building is needed to prepare local Roma civil society organisations for helping to plan and implement programmes.

The preparatory action will build on the pilot project supported by the European Parliament in the 2014 and 2015 budgets, which was designed to contribute to capacity creation and building for local Roma civil society and provide a mechanism to monitor Roma integration and inclusion, especially by producing and circulating 'shadow reports'. 'Shadow reports' should enable civil society coalitions to supplement the reports submitted by Member States on implementation of their strategies or present alternative information and figures. Such reports could add local knowledge to national and European policy processes and reflect on the real social impact of government measures. Monitoring will focus on the local implementation of strategies in the four priority anti-discrimination and gender equality areas, employment, education, housing and health, and would also provide information on the level of civil society involvement, use of Union funds, and mainstreaming of Roma inclusion measures.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 13 Pilot project — Europe of diversities

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | p.m.                | 400 000  | 1 000 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.



## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

33 02 77 (cont'd)

33 02 77 13 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 14 Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 400 000  | p.m.                | 400 000  | 1 000 000,—  | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 15 Pilot project — Creation of shelter houses for women who are victims of domestic violence and sexual exploitation and for undocumented migrant women

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The extent of physical and sexual violence experienced by women across the European Union requires renewed attention from policymakers. The economic and social crisis, with its consequences of unemployment, job insecurity, wage cuts and reduction of social benefits, inter alia, has contributed dramatically to the increase in domestic violence, in prostitution and migration.

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 77 (*cont'd*)33 02 77 15 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 16 Pilot project — European survey on gender-based violence

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | 1 000 000           | 500 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project covers a Europe-wide survey to gather data on gender-based violence across Member States. This would be added to on a regular basis and could be coordinated by an institution such as Eurostat.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 33 03 — JUSTICE

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                            | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                                    |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                             |
| 33 03                               | JUSTICE                                                                                                                                            |    |                     |                   |                     |                   |                      |                      |                             |
| <b>33 03 01</b>                     | <b>Supporting and promoting judicial training and facilitating effective access to justice for all</b>                                             | 3  | 31 200 000          | 22 000 000        | 33 710 000          | 24 600 000        | 33 012 858,34        | 21 112 524,31        | 95,97                       |
| <b>33 03 02</b>                     | <b>Facilitating and supporting judicial cooperation in civil and criminal matters</b>                                                              | 3  | 12 000 000          | 8 300 000         | 15 789 000          | 10 500 000        | 14 523 518,27        | 7 934 151,67         | 95,59                       |
| <b>33 03 04</b>                     | <b>The European Union's Judicial Cooperation Unit (Eurojust)</b>                                                                                   | 3  | 38 351 468          | 38 351 468        | 47 782 237          | 47 282 237        | 43 539 737,—         | 43 539 737,—         | 113,53                      |
| <b>33 03 51</b>                     | <b>Completion of actions in the field of justice</b>                                                                                               | 3  | p.m.                | 850 000           | p.m.                | 2 000 000         | 3 295,29             | 4 791 471,94         | 563,70                      |
| <b>33 03 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                                                      |    |                     |                   |                     |                   |                      |                      |                             |
| 33 03 77 04                         | Pilot project — Raising awareness of children to be aware of their rights in judicial procedures                                                   | 3  | p.m.                | p.m.              | p.m.                | p.m.              | 0,—                  | 0,—                  |                             |
| 33 03 77 05                         | Pilot project — Letterbox companies                                                                                                                | 3  | p.m.                | 90 000            | 300 000             | 150 000           |                      |                      |                             |
| 33 03 77 06                         | Preparatory action — Union fund for financial support for litigating cases relating to violations of democracy, rule of law and fundamental rights | 3  | 600 000             | 300 000           |                     |                   |                      |                      |                             |
|                                     | <i>Article 33 03 77 — Subtotal</i>                                                                                                                 |    | 600 000             | 390 000           | 300 000             | 150 000           | 0,—                  | 0,—                  | 0                           |
|                                     | <b>Chapter 33 03 — Total</b>                                                                                                                       |    | <b>82 151 468</b>   | <b>69 891 468</b> | <b>97 581 237</b>   | <b>84 532 237</b> | <b>91 079 408,90</b> | <b>77 377 884,92</b> | <b>110,71</b>               |

**33 03 01** **Supporting and promoting judicial training and facilitating effective access to justice for all**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 31 200 000          | 22 000 000 | 33 710 000          | 24 600 000 | 33 012 858,34 | 21 112 524,31 |

*Remarks*

This appropriation is intended to contribute to supporting and promoting judicial training, including language training on legal terminology, with a view to fostering a common legal and judicial culture and to facilitating effective access to justice for all, including through promoting and supporting the rights of victims of crime, while respecting the rights of the defence.

In particular, this appropriation is intended to cover the following types of actions:

- analytical activities, such as the collection of data and statistics, where appropriate disaggregated by gender, the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts' meetings and conferences,

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- training activities including a gender-sensitive perspective, such as staff exchanges, workshops, seminars, train-the-trainer events, including language training on legal terminology, and the development of online training tools or other training modules for members of the judiciary and judicial staff,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, information campaigns, including institutional communication on the political priorities of the Union insofar as they relate to the objectives of the Justice programme (the 'Programme'); the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools, using information and communication technologies, including the further development of the European e-Justice portal as a tool to improve citizens' access to justice,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for Member States in the implementation of Union law and policies, support for key Union actors and Union-level networks, including in the field of judicial training; and support for networking activities at Union level among specialised bodies and entities as well as national, regional and local authorities and non-governmental organisations.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1382/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Justice Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 73), and in particular points (b) and (c) of Article 4(1) and Article 6 thereof.

33 03 02 **Facilitating and supporting judicial cooperation in civil and criminal matters**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |           | Appropriations 2017 |            | Outturn 2016  |              |
|---------------------|-----------|---------------------|------------|---------------|--------------|
| Commitments         | Payments  | Commitments         | Payments   | Commitments   | Payments     |
| 12 000 000          | 8 300 000 | 15 789 000          | 10 500 000 | 14 523 518,27 | 7 934 151,67 |

*Remarks*

This appropriation is intended to contribute to facilitating and supporting judicial cooperation in civil and criminal matters.

**CHAPTER 33 03 — JUSTICE** (*cont'd*)**33 03 02** (*cont'd*)

In particular, this appropriation is intended to cover the following types of actions:

- analytical activities, such as the collection of data and statistics; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts' meetings and conferences,
- training activities, such as staff exchanges, workshops, seminars, train-the-trainer events, including language training on legal terminology, and the development of online training tools or other training modules for members of the judiciary and judicial staff,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, information campaigns, including institutional communication on the political priorities of the Union insofar as they relate to the objectives of the Justice programme (the 'Programme') ; the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools, using information and communication technologies, including the further development of the European e-Justice portal as a tool to improve citizens' access to justice,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for Member States in the implementation of Union law and policies, support for key European actors and European-level networks, including in the field of judicial training and the rights of the defence; and support for networking activities at European level among specialised bodies and entities as well as national, regional and local authorities and non-governmental organisations.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1382/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Justice Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 73), and in particular point (a) of Article 4(1) and Article 6(1) thereof.

**33 03 04** ***The European Union's Judicial Cooperation Unit (Eurojust)***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |              |
|---------------------|------------|---------------------|------------|--------------|--------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments     |
| 38 351 468          | 38 351 468 | 47 782 237          | 47 282 237 | 43 539 737,— | 43 539 737,— |

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CHAPTER 33 03 — JUSTICE (*cont'd*)33 03 04 (*cont'd*)*Remarks*

This appropriation is intended to cover Eurojust's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

Eurojust must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation to be charged to Item 6 6 0 0 of the general statement of revenue.

Eurojust's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2018 amounts to a total of EUR 38 606 737. An amount of EUR 255 269 coming from the recovery of surplus is added to the amount of EUR 38 351 468 entered in the budget.

*Legal basis*

Council Decision 2002/187/JHA of 28 February 2002 setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 63, 6.3.2002, p. 1).

Council Decision 2009/426/JHA of 16 December 2008 on the strengthening of Eurojust and amending Decision 2002/187/JHA setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 138, 4.6.2009, p. 14).

33 03 51 **Completion of actions in the field of justice**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |           | Outturn 2016 |              |
|---------------------|----------|---------------------|-----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments  | Commitments  | Payments     |
| p.m.                | 850 000  | p.m.                | 2 000 000 | 3 295,29     | 4 791 471,94 |

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**CHAPTER 33 03 — JUSTICE** (*cont'd*)**33 03 51** (*cont'd*)*Legal basis*

Council Decision 2001/470/EC of 28 May 2001 establishing a European Judicial Network in civil and commercial matters (OJ L 174, 27.6.2001, p. 25).

Council Regulation (EC) No 743/2002 of 25 April 2002 establishing a general Community framework of activities to facilitate the implementation of judicial cooperation in civil matters (OJ L 115, 1.5.2002, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

Council Decision 2007/126/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of the General Programme on Fundamental Rights and Justice, the Specific Programme 'Criminal Justice' (OJ L 58, 24.2.2007, p. 13).

Decision No 1149/2007/EC of the European Parliament and of the Council of 25 September 2007 establishing for the period 2007-2013 the Specific Programme 'Civil Justice' as part of the General Programme 'Fundamental Rights and Justice' (OJ L 257, 3.10.2007, p. 16).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

**33 03 77** **Pilot projects and preparatory actions**

33 03 77 04 Pilot project — Raising awareness of children to be aware of their rights in judicial procedures

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 33 — JUSTICE AND CONSUMERS

## CHAPTER 33 03 — JUSTICE (cont'd)

## 33 03 77 (cont'd)

## 33 03 77 05 Pilot project — Letterbox companies

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 90 000   | 300 000             | 150 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project is designed to bring together legal experts, national authorities and international experts, in particular from the OECD.

The aim of the initiative is to explore the different purposes of letterbox companies, but also to address more general questions relating to abuses in registrations and cross-border operations of companies. In this context, legal solutions and standards based on company law could be proposed in order to ensure a level playing field regardless of the nature of the undertaking, so that future Union legislation on company law can benefit from the work carried out under the pilot project. It will also be useful to test the feasibility of Union action to identify and prevent the loopholes for corporate tax avoidance based on national or Union company law without undermining the current work on the Accounting Directive.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 33 03 77 06 Preparatory action — Union fund for financial support for litigating cases relating to violations of democracy, rule of law and fundamental rights

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 600 000             | 300 000  |                     |          |              |          |



**CHAPTER 33 03 — JUSTICE** (*cont'd*)**33 03 77** (*cont'd*)33 03 77 06 (*cont'd*)*Remarks*

Empowering civil society organisations, movements and individuals is key to a truly democratic Union and its values as enshrined in the Treaties and the Charter of Fundamental Rights of the European Union. This preparatory action will create a Union fund for awareness raising and legal assistance to individuals and civil society organisations litigating democracy, rule of law and fundamental rights violations based on the outcome of a requested feasibility study. This feasibility study should include an overview of the current obstacles facing individuals and NGOs wishing to exercise their rights regarding democracy, the rule of law and fundamental rights through litigation. The fund will work closely with and build upon the work of the Agency for Fundamental Rights.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 33 — JUSTICE AND CONSUMERS

## CHAPTER 33 04 — CONSUMER PROGRAMME

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                   | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016         |                      | % Payments<br>2016/2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|----------------------|----------------------|-------------------------|
|                                     |                                                                                                                           |    | Commitments         | Payments          | Commitments         | Payments          | Commitments          | Payments             |                         |
| 33 04                               | CONSUMER PROGRAMME                                                                                                        |    |                     |                   |                     |                   |                      |                      |                         |
| <b>33 04 01</b>                     | <b>Safeguarding consumers' interest and improving their safety and information</b>                                        | 3  | 25 175 000          | 20 200 000        | 24 132 000          | 17 300 000        | 23 618 305,68        | 20 515 963,48        | 101,56                  |
| <b>33 04 51</b>                     | <b>Completion line of Union activities in favour of consumers</b>                                                         | 3  | p.m.                | 100 000           | p.m.                | 640 000           | 0,—                  | 1 829 131,53         | 1 829,13                |
| <b>33 04 77</b>                     | <b>Pilot projects and preparatory actions</b>                                                                             |    |                     |                   |                     |                   |                      |                      |                         |
| 33 04 77 04                         | Pilot project — Training for SMEs on consumer rights in the digital age                                                   | 3  | p.m.                | 300 000           | p.m.                | 500 000           | 0,—                  | 0,—                  | 0                       |
| 33 04 77 05                         | Pilot project — Consumer empowerment and education on product safety and market surveillance in the digital single market | 3  | p.m.                | 110 000           | p.m.                | 150 000           | 325 000,—            | 0,—                  | 0                       |
|                                     | <i>Article 33 04 77 — Subtotal</i>                                                                                        |    | p.m.                | 410 000           | p.m.                | 650 000           | 325 000,—            | 0,—                  | 0                       |
|                                     | <b>Chapter 33 04 — Total</b>                                                                                              |    | <b>25 175 000</b>   | <b>20 710 000</b> | <b>24 132 000</b>   | <b>18 590 000</b> | <b>23 943 305,68</b> | <b>22 345 095,01</b> | <b>107,90</b>           |

**33 04 01 Safeguarding consumers' interest and improving their safety and information**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 25 175 000          | 20 200 000 | 24 132 000          | 17 300 000 | 23 618 305,68 | 20 515 963,48 |

## Remarks

This appropriation is intended to cover expenditure for achieving the objectives established through the multiannual consumer programme for the years 2014-2020. The aim of the programme is to ensure a high level of consumer protection and to empower consumers in the heart of the internal market within the framework of an overall strategy for smart, sustainable and inclusive growth, by contributing to the protection of the health, safety, legal and economic interests of consumers, as well as to the promotion of their right to information, education and to organise themselves in order to safeguard their interests, supporting the integration of such consumer interests into other policy areas, as well as to increase customers' knowledge of their fundamental rights and their trust in the market and public authorities and to facilitate the complaints and dispute resolution mechanisms. The programme complements, supports and monitors the policies of Member States.

**CHAPTER 33 04 — CONSUMER PROGRAMME** (*cont'd*)**33 04 01** (*cont'd*)

This general objective shall be pursued through the following four specific objectives:

- safety: to consolidate and enhance product safety through effective market surveillance, in particular in the Digital Single Market, throughout the Union. Since 2016, the Rapid Alert System for dangerous non-food products has facilitated information exchange and cooperation between Member States, with over 20 000 alerts concerning dangerous products registered so far. The feedback ratio of reports of other authorities to notifications indicating 'serious risk' has also significantly increased, which indicates a higher level of cooperation between authorities,
- information and education and support to consumer organisations: to improve consumers' education, information and awareness of their rights and empowerment regarding consumer policy, to develop the evidence base for consumer policy and to provide support for consumer organisations, also taking into account the specific needs of vulnerable consumers. With approximately one third of the budget allocated to European Consumer Centres (ECCs), the number of customer contacts with ECCs and visits to ECCs' websites continues to increase each year, with 111 563 contacts and over 4,6 million website visits in 2016. Consumer Champion and Consumer Classroom initiatives — this is an interactive collaborative website for teachers to promote consumer education in secondary schools — are gaining in popularity, too, with over one million unique visits and over 32 000 registered users, 18 652 of whom are teachers,
- rights and redress: to develop and reinforce consumer rights, in particular through smart regulatory action, and to improve access to simple, efficient, expedient and low-cost redress, including alternative dispute resolution.

According to the Consumer Conditions Scoreboard, over half of Union consumers (52 %) agree that it is easy to settle disputes with retailers and service providers through out-of-court bodies. Since mid-February 2016 the new Online Dispute Resolution (ODR) platform has registered more than 258 registered Alternative Dispute Resolution Entities from 24 Member States. It enables consumers and traders to settle online disputes about domestic and cross-border online purchases without going to court. The platform is designed to boost people's trust in online shopping. In its first year, over 24 000 consumer complaints were lodged on the platform. In addition, awareness-raising campaigns on the ODR platform in 2016 resulted in over 1,5 million online visits,

- enforcement: to support enforcement of consumer rights by strengthening cooperation between national enforcement bodies and by supporting consumers with advice. In 2015, cooperation between Consumer Protection Cooperation (CPC) authorities resulted in compliance checks on 735 commercial websites; irregularities were found in 436 cases (63 %). As of October 2016, 353 of them had been corrected. Provision has been made for new powers for consumer authorities, which would allow for closer coordination of enforcement actions when harmful practices affect a large majority of European consumers.

The programme also takes into account new societal challenges which have grown in importance in recent years. They include: the increased complexity of consumer decision-making, the need to move towards more sustainable patterns of consumption, the opportunities and threats that digitalisation brings, an increase in social exclusion and the number of vulnerable consumers, and an ageing population.

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CHAPTER 33 04 — CONSUMER PROGRAMME (*cont'd*)33 04 01 (*cont'd*)

The outcome of implementation of the consumer programme could be indirectly traced in the Consumer Conditions Index, which shows significant improvements, at Union level, in consumer perceptions in 2016 compared to 2014. The largest increases are in knowledge and trust (about 4 %), compliance and enforcement of customer protection (about 3 %), as well as complaints and dispute resolutions (about 2 %).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 254/2014 of the European Parliament and of the Council of 26 February 2014 on a multiannual consumer programme for the years 2014-20 and repealing Decision No 1926/2006/EC (OJ L 84, 20.3.2014, p. 42).

33 04 51 **Completion line of Union activities in favour of consumers**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | 100 000  | p.m.                | 640 000  | 0,—          | 1 829 131,53 |

*Remarks*

This appropriation is intended to cover commitments relating to previous years under Decision No 20/2004/EC. That Decision was repealed by Decision No 1926/2006/EC.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, those amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation.

## CHAPTER 33 04 — CONSUMER PROGRAMME (cont'd)

## 33 04 51 (cont'd)

*Legal basis*

Decision No 20/2004/EC of the European Parliament and of the Council of 8 December 2003 establishing a general framework for financing Community actions in support of consumer policy for the years 2004 to 2007 (OJ L 5, 9.1.2004, p. 1).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

33 04 77 **Pilot projects and preparatory actions**

## 33 04 77 04 Pilot project — Training for SMEs on consumer rights in the digital age

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 300 000  | p.m.                | 500 000  | 0,—          | 0,—      |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 33 04 77 05 Pilot project — Consumer empowerment and education on product safety and market surveillance in the digital single market

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 110 000  | p.m.                | 150 000  | 325 000,—    | 0,—      |

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**CHAPTER 33 04 — CONSUMER PROGRAMME** (*cont'd*)

**33 04 77** (*cont'd*)

33 04 77 05 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 34*

**CLIMATE ACTION**

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**TITLE 34**  
**CLIMATE ACTION**

**General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                 | Appropriations 2018 |                   | Appropriations 2017 |                    | Outturn 2016          |                      |
|------------------|-------------------------------------------------------------------------|---------------------|-------------------|---------------------|--------------------|-----------------------|----------------------|
|                  |                                                                         | Commitments         | Payments          | Commitments         | Payments           | Commitments           | Payments             |
| 34 01            | ADMINISTRATIVE<br>EXPENDITURE IN THE<br>'CLIMATE ACTION' POLICY<br>AREA | 27 042 400          | 27 042 400        | 26 121 675          | 26 121 675         | 25 504 600,63         | 25 504 600,63        |
| 34 02            | CLIMATE ACTION AT UNION<br>AND INTERNATIONAL LEVEL                      | 128 115 750         | 69 763 000        | 120 602 795         | 76 310 000         | 112 547 670,92        | 43 001 788,50        |
|                  | <i>Reserves (40 02 41)</i>                                              | <i>500 000</i>      | <i>375 000</i>    |                     |                    |                       |                      |
|                  |                                                                         | 128 615 750         | 70 138 000        | 120 602 795         | 76 310 000         | 112 547 670,92        | 43 001 788,50        |
|                  | <b>Title 34 — Total</b>                                                 | <b>155 158 150</b>  | <b>96 805 400</b> | <b>146 724 470</b>  | <b>102 431 675</b> | <b>138 052 271,55</b> | <b>68 506 389,13</b> |
|                  | <i>Reserves (40 02 41)</i>                                              | <i>500 000</i>      | <i>375 000</i>    |                     |                    |                       |                      |
|                  |                                                                         | <b>155 658 150</b>  | <b>97 180 400</b> | <b>146 724 470</b>  | <b>102 431 675</b> | <b>138 052 271,55</b> | <b>68 506 389,13</b> |



## TITLE 34

## CLIMATE ACTION

## CHAPTER 34 01 — ADMINISTRATIVE EXPENDITURE IN THE 'CLIMATE ACTION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                  | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------|---------------------|----------------------|--------------|
| 34 01                               | ADMINISTRATIVE EXPENDITURE<br>IN THE 'CLIMATE ACTION'<br>POLICY AREA                                                                                     |     |                     |                     |                      |              |
| <b>34 01 01</b>                     | <b><i>Expenditure related to officials<br/>and temporary staff in the<br/>'Climate action' policy area</i></b>                                           | 5.2 | 18 978 550          | 18 187 928          | 17 480 978,33        | 92,11        |
| <b>34 01 02</b>                     | <b><i>External personnel and other<br/>management expenditure in<br/>support of the 'Climate action'<br/>policy area</i></b>                             |     |                     |                     |                      |              |
| 34 01 02 01                         | External personnel                                                                                                                                       | 5.2 | 1 741 096           | 1 681 732           | 1 785 839,46         | 102,57       |
| 34 01 02 11                         | Other management expenditure                                                                                                                             | 5.2 | 1 813 918           | 1 834 299           | 1 686 281,04         | 92,96        |
|                                     | Article 34 01 02 — Subtotal                                                                                                                              |     | 3 555 014           | 3 516 031           | 3 472 120,50         | 97,67        |
| <b>34 01 03</b>                     | <b><i>Expenditure related to<br/>information and communication<br/>technology equipment and<br/>services in the 'Climate action'<br/>policy area</i></b> | 5.2 | 1 226 836           | 1 135 716           | 1 344 483,61         | 109,59       |
| <b>34 01 04</b>                     | <b><i>Support expenditure for oper-<br/>ations and programmes in the<br/>'Climate action' policy area</i></b>                                            |     |                     |                     |                      |              |
| 34 01 04 01                         | Support expenditure for the<br>programme for the environment<br>and climate action (LIFE) — Sub-<br>programme for Climate Action                         | 2   | 3 282 000           | 3 282 000           | 3 207 018,19         | 97,72        |
|                                     | Article 34 01 04 — Subtotal                                                                                                                              |     | 3 282 000           | 3 282 000           | 3 207 018,19         | 97,72        |
|                                     | <b>Chapter 34 01 — Total</b>                                                                                                                             |     | <b>27 042 400</b>   | <b>26 121 675</b>   | <b>25 504 600,63</b> | <b>94,31</b> |

**34 01 01** *Expenditure related to officials and temporary staff in the 'Climate action' policy area*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 18 978 550          | 18 187 928          | 17 480 978,33 |

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CHAPTER 34 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘CLIMATE ACTION’ POLICY AREA (cont'd)

**34 01 02 External personnel and other management expenditure in support of the ‘Climate action’ policy area**

34 01 02 01 External personnel

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 741 096           | 1 681 732           | 1 785 839,46 |

34 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 813 918           | 1 834 299           | 1 686 281,04 |

**34 01 03 Expenditure related to information and communication technology equipment and services in the ‘Climate action’ policy area**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 226 836           | 1 135 716           | 1 344 483,61 |

**34 01 04 Support expenditure for operations and programmes in the ‘Climate action’ policy area**

34 01 04 01 Support expenditure for the programme for the environment and climate action (LIFE) — Sub-programme for Climate Action

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 282 000           | 3 282 000           | 3 207 018,19 |

Remarks

This appropriation is intended to cover:

- hosting, maintenance, security, quality assurance, operation and support (hardware, software and services) of information technology (IT) systems supporting the climate policy objectives, such as the Union Single Registry, Union Transaction Log, the auctioning platforms and IT systems related to the implementation of legislation such as the use of fluorinated greenhouse gases and ozone-depleting substances,

**CHAPTER 34 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘CLIMATE ACTION’ POLICY AREA** (*cont'd*)**34 01 04** (*cont'd*)34 01 04 01 (*cont'd*)

- development, maintenance, operation and support of adequate IT systems for communication, selecting, evaluating, monitoring, reporting on projects and disseminating results of projects of the LIFE programme,
- the engagement of IT experts *intra muros* to support the development, quality assurance, testing and security of critical policy supporting IT systems,
- procurement of technical and administrative assistance relating to communication activities such as social media including the engagement of experts *intra muros*.

It is also intended to provide support to the organisation of international climate events, to activities to which the Union is party and to preparatory work for future international agreements on climate and ozone related matters involving the Union.

*Legal basis*

See Chapter 34 02.

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

COMMISSION

TITLE 34 — CLIMATE ACTION

## CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                             | FF | Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------|----|---------------------|------------|---------------------|------------|---------------|---------------|--------------------------------|
|                                     |                                                                                                                     |    | Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |                                |
| 34 02                               | CLIMATE ACTION<br>AT UNION AND<br>INTERNATIONAL<br>LEVEL                                                            |    |                     |            |                     |            |               |               |                                |
| <b>34 02 01</b>                     | <b>Reducing Union<br/>greenhouse gas<br/>emissions</b>                                                              | 2  | 73 100 000          | 37 300 000 | 53 310 000          | 45 000 000 | 52 648 441,62 | 19 947 475,35 | 53,48                          |
| <b>34 02 02</b>                     | <b>Increasing the<br/>resilience of the<br/>Union to climate<br/>change</b>                                         | 2  | 38 000 000          | 19 200 000 | 51 730 000          | 19 500 000 | 45 519 040,—  | 10 373 003,89 | 54,03                          |
| <b>34 02 03</b>                     | <b>Better climate<br/>governance and<br/>information at all<br/>levels</b>                                          | 2  | 15 395 750          | 11 205 000 | 14 162 795          | 9 500 000  | 13 496 371,12 | 8 423 750,92  | 75,18                          |
| <b>34 02 04</b>                     | <b>Contribution to<br/>multilateral and<br/>international climate<br/>agreements</b>                                | 4  | 920 000             | 920 000    | 900 000             | 900 000    | 883 818,18    | 883 818,18    | 96,07                          |
| <b>34 02 05</b>                     | <b>European Solidarity<br/>Corps —<br/>Contribution from<br/>the LIFE sub-<br/>programme for<br/>Climate Action</b> | 2  | p.m.                | p.m.       |                     |            |               |               |                                |
|                                     | Reserves (40 02 41)                                                                                                 |    | 500 000             | 375 000    |                     |            |               |               |                                |
|                                     |                                                                                                                     |    | 500 000             | 375 000    |                     |            |               |               |                                |
| <b>34 02 51</b>                     | <b>Completion of<br/>former climate action<br/>programmes</b>                                                       | 2  | p.m.                | p.m.       | p.m.                | 500 000    | 0,—           | 2 010 049,85  |                                |
| <b>34 02 77</b>                     | <b>Pilot projects and<br/>preparatory actions</b>                                                                   |    |                     |            |                     |            |               |               |                                |
| 34 02 77 01                         | Preparatory action —<br>Mainstreaming<br>climate action, adap-<br>tation and innovation                             | 2  | p.m.                | p.m.       | p.m.                | p.m.       | 0,—           | 1 234 350,16  |                                |

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TITLE 34 — CLIMATE ACTION

## CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                         | FF | Appropriations 2018 |                   | Appropriations 2017 |                   | Outturn 2016          |                      | % Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|----|---------------------|-------------------|---------------------|-------------------|-----------------------|----------------------|-----------------------------|
|                                     |                                                                                                                                 |    | Commitments         | Payments          | Commitments         | Payments          | Commitments           | Payments             |                             |
| 34 02 77                            | (cont'd)                                                                                                                        |    |                     |                   |                     |                   |                       |                      |                             |
| 34 02 77 02                         | Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects | 2  | p.m.                | 588 000           | p.m.                | 660 000           | 0,—                   | 129 340,15           | 22,00                       |
| 34 02 77 03                         | Pilot project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles.                                   | 2  | p.m.                | 200 000           | 500 000             | 250 000           |                       |                      |                             |
| 34 02 77 04                         | Pilot project — Nature-based solutions for climate and water pollution mitigation in agricultural regions                       | 2  | 700 000             | 350 000           |                     |                   |                       |                      |                             |
|                                     | <i>Article 34 02 77 — Subtotal</i>                                                                                              |    | 700 000             | 1 138 000         | 500 000             | 910 000           | 0,—                   | 1 363 690,31         | 119,83                      |
|                                     | <b>Chapter 34 02 — Total</b>                                                                                                    |    | <b>128 115 750</b>  | <b>69 763 000</b> | <b>120 602 795</b>  | <b>76 310 000</b> | <b>112 547 670,92</b> | <b>43 001 788,50</b> | <b>61,64</b>                |
|                                     | <b>Reserves (40 02 41)</b>                                                                                                      |    | <b>500 000</b>      | <b>375 000</b>    |                     |                   |                       |                      |                             |
|                                     |                                                                                                                                 |    | <b>128 615 750</b>  | <b>70 138 000</b> | <b>120 602 795</b>  | <b>76 310 000</b> | <b>112 547 670,92</b> | <b>43 001 788,50</b> |                             |

34 02 01 **Reducing Union greenhouse gas emissions**

Figures (Differentiated appropriations)

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016  |               |
|---------------------|------------|---------------------|------------|---------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments   | Payments      |
| 73 100 000          | 37 300 000 | 53 310 000          | 45 000 000 | 52 648 441,62 | 19 947 475,35 |

## Remarks

This appropriation is intended to finance measures to support the Union's role in the development, implementation and enforcement of policy and legislation in the area of climate change mitigation. It includes the monitoring of mainstreaming across policy areas by developing, testing and demonstrating policy or management approaches, best practices, solutions for the climate, improving the knowledge base of effective climate mitigation, enhancing its capacity to apply in practice, facilitating development and implementation of integrated approaches and action plans at local, regional or national level, as well as contributing to the development and demonstration of innovative low carbon technologies, systems, methods and instruments for being replicated, transferred or mainstreamed.

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CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

34 02 01 (*cont'd*)

The following priorities will be taken into account:

- ensuring the implementation of the Union's commitments under the Kyoto Protocol to the United Nations Framework Convention on Climate Change (UNFCCC),
- developing new policies and further implementation of the existing 'Climate and Energy' package, the achievement of the 20/20/20 climate and energy targets of the Europe 2020 strategy and the communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 22 January 2014 'A policy framework for climate and energy in the period from 2020 to 2030' (COM(2014) 15 final) supporting the transition towards a low carbon and climate-resilient economy and society.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22 of Regulation (EU) No 1293/2013) including:

- cooperation with Eurocontrol on implementation of Union emissions trading system in aviation,
- the costs of development, maintenance, operation and support (hardware, software and services) of policy support systems, in particular but not exclusively the Union Single Registry, Union Transaction Log and the ozone-depleting substances (ODS) and fluorinated gases monitoring system.

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4) of Regulation (EU) No 1293/2013).

Cooperation with relevant international organisations and their institutions and bodies shall be possible where needed for the purpose of achieving the climate action objectives.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 14 thereof.

34 02 02 ***Increasing the resilience of the Union to climate change***

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |            | Outturn 2016 |               |
|---------------------|------------|---------------------|------------|--------------|---------------|
| Commitments         | Payments   | Commitments         | Payments   | Commitments  | Payments      |
| 38 000 000          | 19 200 000 | 51 730 000          | 19 500 000 | 45 519 040,— | 10 373 003,89 |

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 02 (*cont'd*)*Remarks*

This appropriation is intended to finance measures to support the Union's role in the development, implementation and enforcement of policy and legislation in the area of climate change adaptation. This includes the monitoring of mainstreaming across policy areas by developing, testing and demonstrating policy or management approaches, best practices, solutions for climate change adaptation including, where appropriate, ecosystem-based approaches, improving the knowledge base of effective climate change adaptation, enhancing its capacity to apply in practice, facilitating the development and implementation of integrated approaches of strategies and action plans to adapt to the impact of climate change at local, regional or national level as well as contributing to the development and demonstration of innovative technologies, systems, methods and instruments for being replicated, transferred or mainstreamed, taking into account the following priorities:

- developing new policies and further implementation of the existing 'Climate and Energy' package, the achievement of the 20/20/20 climate and energy targets of the Europe 2020 strategy, the communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 22 January 2014 'A policy framework for climate and energy in the period from 2020 to 2030' (COM(2014) 15 final) and the communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 16 April 2013 'An EU Strategy on adaptation to climate change' (COM(2013) 216 final) supporting the transition towards a low carbon and climate-resilient economy and society,
- harnessing the contribution of many Union policies (in particular cohesion, agricultural, rural development, research and innovation, transport and energy programmes, external action, etc.) to climate action, in particular through mainstreaming and adaptation measures,
- developing innovative support mechanisms to fully exploit the potential of new technologies to reduce losses caused by climate-change-related events, such as severe drought, flooding and extreme climate events, as well as to develop the Union's capacity for disaster prevention and response,
- supporting the development of 'climate proofing' tools, risk based assessments of programmes and measures to enhance adaptive capacity and resilience to climate change support and 'climate tracking' methodologies to monitor climate-related expenditure under the mainstreaming objective 'to increase the proportion of climate mainstreaming to at least 20 % of the future total Union budget in 2014-2020', with contribution from different policies.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22 of Regulation (EU) No 1293/2013).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by way of action grants or, where appropriate, financial instruments (Article 17(4) of Regulation (EU) No 1293/2013).

Cooperation with relevant international organisations and their institutions and bodies shall be possible where needed for the purpose of achieving the climate action objectives.

COMMISSION  
TITLE 34 — CLIMATE ACTION

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

34 02 02 (*cont'd*)

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 15 thereof.

34 02 03 **Better climate governance and information at all levels**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |            | Appropriations 2017 |           | Outturn 2016  |              |
|---------------------|------------|---------------------|-----------|---------------|--------------|
| Commitments         | Payments   | Commitments         | Payments  | Commitments   | Payments     |
| 15 395 750          | 11 205 000 | 14 162 795          | 9 500 000 | 13 496 371,12 | 8 423 750,92 |

*Remarks*

This appropriation is intended to finance measures to support the Union's role in enhancing climate governance by broadening stakeholder involvement, including non-profit-making organisations in the development and implementation of policy, building capacity, raising awareness, promoting policies/legislation in the area of climate action and knowledge on sustainable development, supporting communication, management and dissemination of information, facilitating knowledge-sharing of successful climate solutions and practices including developing platforms among stakeholders via cooperation platforms, contributing to more effective compliance with and enforcement of climate legislation, in particular by promoting the development and dissemination of best practices and policy approaches ('success stories').

The following priorities will be taken into account:

- developing new policies and further implementation of the existing 'Climate and Energy' package, the achievement of the 20/20/20 climate and energy targets of the Europe 2020 strategy, the communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 22 January 2014 'A policy framework for climate and energy in the period from 2020 to 2030' (COM(2014) 15 final) and the communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 16 April 2013 'An EU Strategy on adaptation to climate change' (COM(2013) 216 final) supporting the transition towards a low carbon and climate-resilient economy and society,
- promotion of non-profit-making entities primarily active in the field of climate action at a European level and involved in the development and implementation of Union policy and legislation aiming to strengthen the participation of these NGOs in the dialogue process of climate action policymaking, in its implementation and in the European standardisation process to ensure balanced stakeholder representation and the systematic integration of climate-related aspects.



**CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**34 02 03** (*cont'd*)

Costs of technical assistance for selecting projects and monitoring, evaluating and auditing projects under the LIFE programme (including non-profit-making organisations supported via operating grants) may also be financed by this appropriation.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22 of Regulation (EU) No 1293/2013).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by way of action grants or, where appropriate, financial instruments (Article 17(4) of Regulation (EU) No 1293/2013).

Cooperation with relevant international organisations and their institutions and bodies shall be possible where needed for the purpose of achieving the climate action objectives.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 16 thereof.

**34 02 04** ***Contribution to multilateral and international climate agreements****Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| 920 000             | 920 000  | 900 000             | 900 000  | 883 818,18   | 883 818,18 |

*Remarks*

This appropriation is intended to cover obligatory and voluntary contributions to a number of international conventions, protocols and agreements to which the Union is party and preparatory work for future international agreements involving the Union.

In some cases, contributions to subsequent protocols are included in contributions to their basic convention.

*Legal basis*

Council Decision 88/540/EEC of 14 October 1988 concerning the conclusion of the Vienna Convention for the protection of the ozone layer and the Montreal Protocol on substances that deplete the ozone layer (OJ L 297, 31.10.1988, p. 8).

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CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

34 02 04 (*cont'd*)

Council Decision 94/69/EC of 15 December 1993 concerning the conclusion of the United Nations Framework Convention on Climate Change (OJ L 33, 7.2.1994, p. 11).

Council Decision 2002/358/EC of 25 April 2002 concerning the approval, on behalf of the European Community, of the Kyoto Protocol to the United Nations Framework Convention on Climate Change and the joint fulfilment of commitments thereunder (OJ L 130, 15.5.2002, p. 1).

34 02 05 **European Solidarity Corps — Contribution from the LIFE sub-programme for Climate Action**

Figures (*Differentiated appropriations*)

|                     | Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|---------------------|----------|---------------------|----------|--------------|----------|
|                     | Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 34 02 05            | p.m.                | p.m.     |                     |          |              |          |
| Reserves (40 02 41) | 500 000             | 375 000  |                     |          |              |          |
| Total               | 500 000             | 375 000  |                     |          |              |          |

Remarks

New article

This appropriation is intended to cover the financial contribution provided by the LIFE sub-programme for Climate Action to the European Solidarity Corps in line with its general and specific objectives.

Legal basis

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 16 thereof.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 May 2017, laying down the legal framework of the European Solidarity Corps and amending Regulations (EU) No 1288/2013, (EU) No 1293/2013, (EU) No 1303/2013, (EU) No 1305/2013, (EU) No 1306/2013 and Decision No 1313/2013/EU (COM(2017) 262 final).

34 02 51 **Completion of former climate action programmes**

Figures (*Differentiated appropriations*)

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | 500 000  | 0,—          | 2 010 049,85 |

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 51 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from the general objectives of the LIFE+ programme particularly concerning measures to support the Commission's role in initiating policy and legislation development and implementation in the area of climate action.

*Legal basis*

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 1).

34 02 77 **Pilot projects and preparatory actions**

## 34 02 77 01 Preparatory action — Mainstreaming climate action, adaptation and innovation

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |              |
|---------------------|----------|---------------------|----------|--------------|--------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments     |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 1 234 350,16 |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 34 02 77 02 Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |            |
|---------------------|----------|---------------------|----------|--------------|------------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments   |
| p.m.                | 588 000  | p.m.                | 660 000  | 0,—          | 129 340,15 |

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CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

34 02 77 (*cont'd*)

34 02 77 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

34 02 77 03 Pilot project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles.

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | 200 000  | 500 000             | 250 000  |              |          |

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The aim of this study is to compare the life cycle emissions and broad environmental impacts of different types of light-duty vehicles in the context of achieving transport decarbonisation.

*Approach*

**Phase 1**

Mapping of CO<sub>2</sub> and NO<sub>x</sub> emissions, both directly and indirectly, and of other environmental impacts over the entire life cycle of light-duty vehicles.

The vehicle types to be studied should include but not be limited to:

- conventional fossil fuel vehicles,
- electric vehicles (battery, plug-in hybrid, fuel cell),
- biofuel-using vehicles.

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 77 (*cont'd*)34 02 77 03 (*cont'd*)

Definition of life cycle:

- pre-production,
- production,
- in use (on average annually),
- post-use.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

34 02 77 04 Pilot project — Nature-based solutions for climate and water pollution mitigation in agricultural regions

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 700 000             | 350 000  |                     |          |              |          |

*Remarks*

This pilot project will demonstrate nature-based solutions (constructed wetlands/retention ponds) for mitigating the impact of climate change and human land use on water quantity (droughts and floods) and quality (nutrients, pesticides) in agricultural regions. The water cycle is under pressure in agricultural regions, while agricultural practices depend on the availability of water. Therefore the focus of this project will be on solutions that mitigate problems of water availability for rural and agricultural users, while also minimising the impact of agricultural practices on the downstream catchments. Research questions are the following:

- How can nature-based solutions help mitigate hydrological events such as floods and droughts at the farm scale?
- How can these nature-based solutions help mitigate hydrological events at the catchment scale?
- How do these solutions contribute to decreasing water pollution from agricultural areas, such as nutrients, pesticides, sediments and heavy metals?

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CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 77 (*cont'd*)34 02 77 04 (*cont'd*)

- What are the total economic costs and benefits of the proposed solutions? What are the other ecosystem services related to these nature-based solutions and which policy/governmental measures have to be taken to exploit them?

This project will select three pilot study regions. In each region, collaboration arrangements will be set up with local agriculture research agencies that will implement the nature-based solutions in existing monitored agricultural areas (discharge and pollution of first/second order streams). Modelling will be based on the combined data from the piloting sites.

- Demonstrating pilot types: For each region, four prototypes (12 in total) of retention ponds/constructed wetlands will be constructed, with variations in size and outflow mechanisms and in position/catchment size. Water quantity and quality will be monitored at the outlet and at the existing monitoring stations on first or second order streams. In order to take into account seasonal effects, monitoring should at least cover one year, with potential for follow-up monitoring beyond the project's lifetime.
- Modelling study: Results from pilot prototypes will be extrapolated to an entire catchment through hydrological modelling. Influence on quantity (floods, base flow) and quality (nutrients, pesticides, pollutants, sediment) of water will be assessed at the watershed scale. Modelling will be based on existing models, although additional calibration and validation will be required. Modelling results should focus on the required densities to achieve a good status at the catchment scale.
- Economic viability: On the basis of a combination of the demonstration and modelling result, the economic viability of the different prototypes will be assessed. Costs and benefits will be quantified, and mechanisms to compensate for the additional ecosystem services will be evaluated.

The development plans will be based on regional cost-benefit analyses and economic tools, including the cost of water resilience plans. The solutions will prevent water cycle pollution and avoid pollution through water discharge. The benefits will be compared to alternative or complementary options. The selection of pilots and regions will take into account relevant Interreg and other Union projects.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 40*

**RESERVES**

COMMISSION  
TITLE 40 — RESERVES

## TITLE 40

### RESERVES

#### General summary of appropriations (2018 and 2017) and outturn (2016)

| Title<br>Chapter | Heading                                 | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016 |            |
|------------------|-----------------------------------------|---------------------|--------------------|---------------------|--------------------|--------------|------------|
|                  |                                         | Commitments         | Payments           | Commitments         | Payments           | Commitments  | Payments   |
| 40 01            | RESERVES FOR ADMINISTRATIVE EXPENDITURE | 11 138 694          | 11 138 694         | 5 769 253           | 5 769 253          | 0,—          | 0,—        |
| 40 02            | RESERVES FOR FINANCIAL INTERVENTIONS    | 847 616 652         | 496 880 703        | 577 723 000         | 333 965 000        | 0,—          | 0,—        |
| 40 03            | NEGATIVE RESERVE                        | p.m.                | p.m.               | p.m.                | p.m.               | 0,—          | 0,—        |
|                  | <b>Title 40 — Total</b>                 | <b>858 755 346</b>  | <b>508 019 397</b> | <b>583 492 253</b>  | <b>339 734 253</b> | <b>0,—</b>   | <b>0,—</b> |



## TITLE 40

## RESERVES

## CHAPTER 40 01 — RESERVES FOR ADMINISTRATIVE EXPENDITURE

| Title<br>Chapter<br>Article<br>Item | Heading                                 | FF  | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|-------------------------------------|-----------------------------------------|-----|---------------------|---------------------|--------------|-------------|
| 40 01                               | RESERVES FOR ADMINISTRATIVE EXPENDITURE |     |                     |                     |              |             |
| 40 01 40                            | <i>Administrative reserve</i>           |     | 11 138 694          | 5 769 253           | 0,—          | 0           |
| 40 01 42                            | <i>Contingency reserve</i>              | 5.2 | p.m.                | p.m.                | 0,—          |             |
|                                     | <b>Chapter 40 01 — Total</b>            |     | <b>11 138 694</b>   | <b>5 769 253</b>    | <b>0,—</b>   | <b>0</b>    |

40 01 40 *Administrative reserve*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 11 138 694          | 5 769 253           | 0,—          |

## Remarks

The appropriations entered in this article are purely provisional and may only be used after their transfer to another budget line in accordance with the Financial Regulation.

|    |      |             |                                                                        |                   |
|----|------|-------------|------------------------------------------------------------------------|-------------------|
| 1. | Item | 15 01 04 03 | Support expenditure for the European Solidarity Corps                  | 4 550 000         |
| 2. | Item | 19 01 04 01 | Support expenditure for Instrument contributing to Stability and Peace | 673 000           |
| 3. | Item | 26 01 70 22 | Frankfurt am Main (DE)                                                 | 5 915 694         |
|    |      |             | <b>Total</b>                                                           | <b>11 138 694</b> |

## Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

40 01 42 *Contingency reserve*

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

COMMISSION  
TITLE 40 — RESERVES

CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                       | FF | Appropriations 2018 |                    | Appropriations 2017 |                    | Outturn 2016 |            | %<br>Payments<br>2016/<br>2018 |
|-------------------------------------|---------------------------------------------------------------|----|---------------------|--------------------|---------------------|--------------------|--------------|------------|--------------------------------|
|                                     |                                                               |    | Commitments         | Payments           | Commitments         | Payments           | Commitments  | Payments   |                                |
| 40 02                               | RESERVES FOR FINANCIAL INTERVENTIONS                          |    |                     |                    |                     |                    |              |            |                                |
| <b>40 02 40</b>                     | <b>Non-differentiated appropriations</b>                      |    | 25 000 000          | 25 000 000         | p.m.                | p.m.               | 0,—          | 0,—        | 0                              |
| <b>40 02 41</b>                     | <b>Differentiated appropriations</b>                          |    | 305 714 652         | 127 280 703        | 70 999 000          | 18 965 000         | 0,—          | 0,—        | 0                              |
| <b>40 02 42</b>                     | <b>Emergency aid reserve</b>                                  | 9  | 344 600 000         | 344 600 000        | 337 800 000         | 315 000 000        | 0,—          | 0,—        | 0                              |
| <b>40 02 43</b>                     | <b>Reserve for the European Globalisation Adjustment Fund</b> | 9  | 172 302 000         | p.m.               | 168 924 000         | p.m.               | 0,—          | 0,—        |                                |
| <b>40 02 44</b>                     | <b>Reserve for the European Union Solidarity Fund</b>         | 9  | p.m.                | p.m.               | p.m.                | p.m.               |              |            |                                |
| <b>Chapter 40 02 — Total</b>        |                                                               |    | <b>847 616 652</b>  | <b>496 880 703</b> | <b>577 723 000</b>  | <b>333 965 000</b> | <b>0,—</b>   | <b>0,—</b> | <b>0</b>                       |

**40 02 40 Non-differentiated appropriations**

Figures (Non-differentiated appropriations)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 25 000 000          | p.m.                | 0,—          |

Remarks

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consonant with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

|              |         |          |                                         |                   |
|--------------|---------|----------|-----------------------------------------|-------------------|
| 1.           | Article | 01 03 08 | Provisioning of the EFSD Guarantee Fund | 25 000 000        |
| <b>Total</b> |         |          |                                         | <b>25 000 000</b> |

Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**40 02 41 Differentiated appropriations**

Figures (Differentiated appropriations)

| Appropriations 2018 |             | Appropriations 2017 |            | Outturn 2016 |          |
|---------------------|-------------|---------------------|------------|--------------|----------|
| Commitments         | Payments    | Commitments         | Payments   | Commitments  | Payments |
| 305 714 652         | 127 280 703 | 70 999 000          | 18 965 000 | 0,—          | 0,—      |

CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS (*cont'd*)40 02 41 (*cont'd*)*Remarks*

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

|              |         |             |                                                                                                                         |                    |                    |
|--------------|---------|-------------|-------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|
| 1.           | Article | 01 04 05    | Provisioning of the EFSI guarantee fund                                                                                 | 105 185 000        |                    |
| 2.           | Article | 04 02 65    | European Solidarity Corps — Contribution from the European Social Fund                                                  | 11 102 000         | 8 327 000          |
| 3.           | Item    | 05 04 60 04 | European Solidarity Corps — Contribution from the European Agricultural Fund for Rural Development (EAFRD)              | 1 800 000          | 1 350 000          |
| 4.           | Article | 07 02 07    | European Solidarity Corps — Contribution from the LIFE sub-programme for Environment                                    | 1 000 000          | 750 000            |
| 5.           | Article | 11 03 01    | Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters | 46 565 000         | 43 302 703         |
| 6.           | Article | 15 05 01    | European Solidarity Corps                                                                                               | 38 235 652         | 28 676 000         |
| 7.           | Article | 19 02 01    | Response to crisis and emerging crisis                                                                                  | 20 400 000         | 8 000 000          |
| 8.           | Article | 19 02 02    | Support to conflict prevention, peace-building and crisis preparedness                                                  | 2 677 000          |                    |
| 9.           | Article | 21 05 01    | Global and trans-regional threats and emerging threats                                                                  | 6 250 000          |                    |
| 10.          | Item    | 22 02 03 01 | Support for political reforms and related progressive alignment with the Union acquis                                   | 70 000 000         | 35 000 000         |
| 11.          | Item    | 23 03 01 03 | European Solidarity Corps — Contribution from Union Civil Protection Mechanism (UCPM)                                   | 2 000 000          | 1 500 000          |
| 12.          | Article | 34 02 05    | European Solidarity Corps — Contribution from the LIFE sub-programme for Climate Action                                 | 500 000            | 375 000            |
| <b>Total</b> |         |             |                                                                                                                         | <b>305 714 652</b> | <b>127 280 703</b> |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS** (*cont'd*)

**40 02 42**      **Emergency aid reserve**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |          |
|---------------------|-------------|---------------------|-------------|--------------|----------|
| Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments |
| 344 600 000         | 344 600 000 | 337 800 000         | 315 000 000 | 0,—          | 0,—      |

*Remarks*

The emergency aid reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established, first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders where circumstances so require.

The annual amount of the reserve is fixed at EUR 280 000 000 (2011 prices) and may be used up to year n+1 in accordance with the Financial Regulation. The reserve shall be entered in the general budget of the Union as a provision. The portion of the annual amount stemming from the previous year shall be drawn on first. That portion of the annual amount from year n which is not used in year n+1 shall lapse.

*Legal basis*

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

**40 02 43**      **Reserve for the European Globalisation Adjustment Fund**

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| 172 302 000         | p.m.     | 168 924 000         | p.m.     | 0,—          | 0,—      |

*Remarks*

The aim of this reserve is to cover the European Globalisation Adjustment Fund so as to enable the Union to demonstrate solidarity towards, and to support workers made redundant and self-employed persons whose activity has ceased as a result of major structural changes in world patterns due to globalisation, as a result of the continuation of the global financial and economic crisis addressed in Regulation (EU) No 1309/2013, or as a result of a new global financial and economic crisis and to provide financial support for their rapid reintegration into sustainable employment.

The methods for entering the appropriations in this reserve and for mobilising the Fund are laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management.

**CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS** (*cont'd*)**40 02 43** (*cont'd*)*Legal basis*

Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006 (OJ L 347, 20.12.2013, p. 855), and in particular Article 1 thereof.

*Reference acts*

Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

**40 02 44** ***Reserve for the European Union Solidarity Fund****Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     |              |          |

*Remarks*

The aim of this reserve is to cover the European Union Solidarity Fund in the event of major or regional disasters in the Member States or in countries involved in accession negotiations with the Union. Assistance should be provided in connection with natural disasters to the Member States or countries involved in accession negotiations with the Union concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

*Legal basis*

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884), and in particular Article 10 thereof.

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**CHAPTER 40 03 — NEGATIVE RESERVE**

| Title<br>Chapter<br>Article<br>Item | Heading                      | FF | Appropriations 2018 |             | Appropriations 2017 |             | Outturn 2016 |            | % Payments<br>2016/2018 |
|-------------------------------------|------------------------------|----|---------------------|-------------|---------------------|-------------|--------------|------------|-------------------------|
|                                     |                              |    | Commitments         | Payments    | Commitments         | Payments    | Commitments  | Payments   |                         |
| 40 03                               | NEGATIVE RESERVE             |    |                     |             |                     |             |              |            |                         |
| <b>40 03 01</b>                     | <b>Negative reserve</b>      | 8  | p.m.                | p.m.        | p.m.                | p.m.        | 0,—          | 0,—        |                         |
|                                     | <b>Chapter 40 03 — Total</b> |    | <b>p.m.</b>         | <b>p.m.</b> | <b>p.m.</b>         | <b>p.m.</b> | <b>0,—</b>   | <b>0,—</b> |                         |

**40 03 01**      *Negative reserve*

*Figures (Differentiated appropriations)*

| Appropriations 2018 |          | Appropriations 2017 |          | Outturn 2016 |          |
|---------------------|----------|---------------------|----------|--------------|----------|
| Commitments         | Payments | Commitments         | Payments | Commitments  | Payments |
| p.m.                | p.m.     | p.m.                | p.m.     | 0,—          | 0,—      |

*Remarks*

The principle of a negative reserve is provided for in Article 47 of the Financial Regulation. This reserve must be drawn upon before the end of the financial year by means of transfer in accordance with the procedure laid down in Articles 26 and 27 of the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**ANNEXES**

COMMISSION

**EUROPEAN ECONOMIC AREA**



Under the Agreement establishing the European Economic Area the EFTA States (with the exception of Switzerland) take part in a wide range of Union policies covered by subheading 1a and headings 2, 3, 4 and 5 of the multiannual financial framework in exchange for a financial contribution to operating appropriations calculated by applying a 'proportionality factor'. This proportionality factor is equal to the sum of the ratios obtained by dividing the gross domestic product at market prices of each EFTA State by the gross domestic product at market prices of all the Member States plus that of the EFTA State concerned.

For 2018 the proportionality factor is estimated at 2,37 % (on the basis of 2016 figures), i.e. 2,21 % for Norway, 0,12 % for Iceland and 0,04 % for Liechtenstein.

These financial contributions will not be formally entered into the budget; each budget heading relating to activities in which the EFTA States take part will refer to the EFTA contribution as a memorandum item. A summary table, listing the budget headings concerned and the amounts of the EFTA contribution for each budget heading, is published as an annex to the general budget of the Union. The total EFTA contribution for the operational part for 2018 is estimated at about EUR 382 140 961 in commitment appropriations. The EFTA States will also share in the administrative expenditure directly linked to the implementation of these policies. The figures and budget headings concerning the contributions by the EFTA States are still to be discussed with them and must therefore be regarded as provisional.

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                               | Budget 2018        |                    | EFTA contribution |                  | Notes |
|----------------------------|------------------------|-------------|---------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|-------------------|------------------|-------|
|                            |                        |             |                                                                                                                     | Commitments (²)    | Payments (²)       | Commitments       | Payments         |       |
|                            |                        | XX 01 02 01 | External personnel working with the institution                                                                     | 129 908 000        | 129 908 000        | 169 020           | 169 020          |       |
|                            |                        | XX 01 02 11 | Other management expenditure of the institution                                                                     | 143 246 000        | 143 246 000        | 725 000           | 725 500          |       |
|                            |                        | 26 01 22 02 | Acquisition and renting of buildings in Brussels                                                                    | 206 785 501        | 206 785 501        | 418 434           | 418 434          |       |
|                            |                        | 26 01 22 03 | Expenditure related to buildings in Brussels                                                                        | 76 532 000         | 76 532 000         | 152 187           | 152 187          |       |
|                            |                        | 26 01 23 02 | Acquisition and renting of buildings in Luxembourg                                                                  | 42 520 000         | 42 520 000         | 84 552            | 84 552           |       |
|                            |                        | 26 01 23 03 | Expenditure related to buildings in Luxembourg                                                                      | 17 514 000         | 17 514 000         | 34 827            | 34 827           |       |
|                            |                        |             | <b>SUBTOTAL ADMINISTRATIVE PART</b>                                                                                 | <b>616 505 501</b> | <b>616 505 501</b> | <b>1 584 020</b>  | <b>1 584 020</b> |       |
| 2,37 %                     |                        | 01 04 51    | Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)                  | p.m.               | 49 900 000         | p.m.              | 1 182 630        |       |
| 0,12 %                     |                        | 02 01 04 01 | Support expenditure for Competitiveness of enterprises and small and medium-sized enterprises (COSME)               | 3 500 000          | 3 500 000          | 4 200             | 4 200            |       |
| 2,21 %                     |                        | 02 01 04 03 | Support expenditure for European satellite navigation programmes                                                    | 3 000 000          | 3 000 000          | 66 300            | 66 300           |       |
| 2,33 %                     |                        | 02 01 04 04 | Support expenditure for European Earth observation programme (Copernicus)                                           | 2 600 000          | 2 600 000          | 60 580            | 60 580           |       |
| 2,33 %                     |                        | 02 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020 | 8 326 928          | 8 326 928          | 194 017           | 194 017          |       |
| 2,33 %                     |                        | 02 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                   | 2 670 464          | 2 670 464          | 62 222            | 62 222           |       |
| 2,33 %                     |                        | 02 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                  | 1 900 000          | 1 900 000          | 44 270            | 44 270           |       |

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| Proportionality factor (*) | Participation rate (†) | Budget line | Title                                                                                                                                                     | Budget 2018     |              | EFTA contribution |           | Notes                                                                                 |
|----------------------------|------------------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|---------------------------------------------------------------------------------------|
|                            |                        |             |                                                                                                                                                           | Commitments (²) | Payments (²) | Commitments       | Payments  |                                                                                       |
| 0,12 %                     |                        | 02 01 06 01 | Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME) | 9 488 686       | 9 488 686    | 11 386            | 11 386    |                                                                                       |
| 0,12 %                     |                        | 02 02 01    | Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises                                                   | 126 566 000     | 69 717 000   | 151 879           | 83 660    |                                                                                       |
| 0,12 %                     |                        | 02 02 02    | Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt                                                  | 214 554 000     | 120 850 000  | 257 465           | 145 020   |                                                                                       |
| 2,37 %                     | 98,3 %                 | 02 02 51    | Completion of former activities in the competitiveness and entrepreneurship domain                                                                        | p.m.            | p.m.         | p.m.              | p.m.      | Based on participation rate due to mixed EFTA/non-EFTA nature of the completion line. |
| 2,37 %                     |                        | 02 03 01    | Operation and development of the internal market of goods and services                                                                                    | 23 526 000      | 22 000 000   | p.m.              | p.m.      | Annual action subject to agreement on the participation of EFTA States.               |
| 2,37 %                     |                        | 02 03 03    | European Chemicals Agency — Chemicals legislation                                                                                                         | 25 722 021      | 25 722 021   | 609 612           | 609 612   |                                                                                       |
| 2,37 %                     |                        | 02 03 04    | Internal market governance tools                                                                                                                          | 3 675 000       | 3 700 000    | p.m.              | p.m.      | Annual action subject to agreement on the participation of EFTA States.               |
| 2,33 %                     |                        | 02 04 02 01 | Leadership in space                                                                                                                                       | 184 528 490     | 155 310 916  | 4 299 514         | 3 618 744 |                                                                                       |
| 2,33 %                     |                        | 02 04 02 02 | Enhancing access to risk finance for investing in research and innovation                                                                                 | p.m.            | p.m.         | p.m.              | p.m.      |                                                                                       |
| 2,33 %                     |                        | 02 04 02 03 | Increasing innovation in small and medium-sized enterprises (SMEs)                                                                                        | 43 178 448      | 33 405 537   | 1 006 058         | 778 349   |                                                                                       |
| 2,33 %                     |                        | 02 04 03 01 | Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials                                             | 63 762 546      | 80 820 296   | 1 485 667         | 1 883 113 |                                                                                       |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                             | Budget 2018     |              | EFTA contribution |            | Notes                                                                   |
|----------------------------|------------------------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|------------|-------------------------------------------------------------------------|
|                            |                        |             |                                                                                                                                                                   | Commitments (2) | Payments (2) | Commitments       | Payments   |                                                                         |
| 2,37 %                     |                        | 02 04 51    | Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)                                                            | p.m.            | 4 624 000    | p.m.              | 109 589    |                                                                         |
| 2,37 %                     |                        | 02 04 52    | Completion of previous research framework programmes (prior to 2007)                                                                                              | p.m.            | p.m.         | p.m.              | p.m.       |                                                                         |
| 2,37 %                     |                        | 02 04 53    | Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)                                                                    | p.m.            | 1 755 571    | p.m.              | 41 607     |                                                                         |
| 2,21 %                     |                        | 02 04 77 03 | Preparatory action on defence research                                                                                                                            | 40 000 000      | 28 000 000   | 884 000           | 618 800    |                                                                         |
| 2,21 %                     |                        | 02 05 01    | Developing and providing global satellite-based radio navigation infrastructures and services (Galileo) by 2020                                                   | 621 709 000     | 530 000 000  | 13 739 769        | 11 713 000 |                                                                         |
| 2,21 %                     |                        | 02 05 02    | Providing satellite-based services improving the performance of GPS to gradually cover the whole European Civil Aviation Conference (ECAC) region by 2020 (EGNOS) | 183 150 000     | 180 000 000  | 4 047 615         | 3 978 000  |                                                                         |
| 2,21 %                     |                        | 02 05 11    | European GNSS Agency                                                                                                                                              | 31 338 525      | 31 338 525   | 692 581           | 692 581    |                                                                         |
| 2,21 %                     |                        | 02 05 51    | Completion of European satellite navigation programmes (EGNOS and Galileo)                                                                                        | p.m.            | 5 000 000    | p.m.              | 110 500    |                                                                         |
| 2,33 %                     |                        | 02 06 01    | Delivering operational services relying on space-borne observations and <i>in-situ</i> data (Copernicus)                                                          | 129 364 000     | 131 000 000  | 3 014 181         | 3 052 300  |                                                                         |
| 2,33 %                     |                        | 02 06 02    | Building an autonomous Union's Earth observation capacity (Copernicus)                                                                                            | 498 227 000     | 474 000 000  | 11 608 689        | 11 044 200 |                                                                         |
| 2,33 %                     |                        | 02 06 51    | Completion of European Earth monitoring programme (GMES)                                                                                                          | p.m.            | p.m.         | p.m.              | p.m.       |                                                                         |
| 2,33 %                     |                        | 04 01 04 02 | Support expenditure for the programme Employment and Social Innovation                                                                                            | 3 400 000       | 3 400 000    | 79 220            | 79 220     |                                                                         |
| 2,37 %                     |                        | 04 03 01 03 | Free movement of workers, coordination of social security schemes and measures for migrants, including migrants from third countries                              | 8 929 000       | 6 175 000    | p.m.              | p.m.       | Annual action subject to agreement on the participation of EFTA States. |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                                                                        | Budget 2018     |              | EFTA contribution |           | Notes                                                                                 |
|----------------------------|------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|---------------------------------------------------------------------------------------|
|                            |                        |             |                                                                                                                                                                                                              | Commitments (²) | Payments (²) | Commitments       | Payments  |                                                                                       |
| 2,37 %                     |                        | 04 03 01 07 | European Year for Active Ageing and Solidarity between Generations (2012)                                                                                                                                    | p.m.            | p.m.         | p.m.              | p.m.      |                                                                                       |
| 2,33 %                     |                        | 04 03 02 01 | Progress — Supporting the development, implementation, monitoring and evaluation of Union employment and social policy and working conditions legislation                                                    | 77 589 483      | 55 000 000   | 1 807 835         | 1 281 500 |                                                                                       |
| 2,33 %                     |                        | 04 03 02 02 | EURES — Promoting workers' voluntary geographical mobility and boosting employment opportunities                                                                                                             | 23 734 000      | 20 700 000   | 553 002           | 482 310   |                                                                                       |
| 0,12 %                     |                        | 04 03 02 03 | Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises | 26 989 000      | 36 380 000   | 32 387            | 43 656    |                                                                                       |
| 2,37 %                     |                        | 04 03 12    | European Agency for Safety and Health at Work                                                                                                                                                                | 14 883 668      | 14 883 668   | 352 743           | 352 743   |                                                                                       |
| 2,37 %                     |                        | 04 03 51    | Completion of Progress                                                                                                                                                                                       | p.m.            | 3 000 000    | p.m.              | 71 100    |                                                                                       |
| 2,37 %                     |                        | 04 03 52    | Completion of EURES                                                                                                                                                                                          | p.m.            | p.m.         | p.m.              | p.m.      |                                                                                       |
| 2,37 %                     | 4,53 %                 | 04 03 53    | Completion of other activities                                                                                                                                                                               | p.m.            | p.m.         | p.m.              | p.m.      | Based on participation rate due to mixed EFTA/non-EFTA nature of the completion line. |
| 2,33 %                     |                        | 05 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                                                                                          | 1 589 136       | 1 589 136    | 37 027            | 37 027    |                                                                                       |
| 2,33 %                     |                        | 05 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                                                                                                            | 442 216         | 442 216      | 10 304            | 10 304    |                                                                                       |
| 2,33 %                     |                        | 05 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                                                                                                           | 400 000         | 400 000      | 9 320             | 9 320     |                                                                                       |
| 2,33 %                     |                        | 05 09 03 01 | Securing sufficient supplies of safe and high quality food and other bio-based products                                                                                                                      | 235 755 857     | 154 885 244  | 5 493 111         | 3 608 826 |                                                                                       |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                               | Budget 2018     |              | EFTA contribution |           | Notes                                                                 |
|----------------------------|------------------------|-------------|---------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|-----------------------------------------------------------------------|
|                            |                        |             |                                                                                                                     | Commitments (2) | Payments (2) | Commitments       | Payments  |                                                                       |
| 2,33 %                     |                        | 06 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020 | 4 754 946       | 4 754 946    | 110 790           | 110 790   |                                                                       |
| 2,33 %                     |                        | 06 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                   | 2 429 242       | 2 429 242    | 56 601            | 56 601    |                                                                       |
| 2,33 %                     |                        | 06 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                  | 608 000         | 608 000      | 14 166            | 14 166    |                                                                       |
| 2,37 %                     | 5,09 %                 | 06 01 06 01 | Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)                       | 14 272 055      | 14 272 055   | 17 217            | 17 217    | Based on participation in CEF — ICT and legacy of Marco Polo II only. |
| 2,37 %                     |                        | 06 02 02    | European Aviation Safety Agency                                                                                     | 36 915 000      | 36 915 000   | 874 886           | 874 886   |                                                                       |
| 2,37 %                     |                        | 06 02 03 01 | European Maritime Safety Agency                                                                                     | 54 220 716      | 54 220 716   | 1 285 031         | 1 285 031 |                                                                       |
| 2,37 %                     |                        | 06 02 03 02 | European Maritime Safety Agency — Anti-pollution measures                                                           | 24 675 000      | 26 783 282   | 584 798           | 634 764   |                                                                       |
| 2,37 %                     |                        | 06 02 04    | European Union Agency for Railways                                                                                  | 27 757 184      | 27 757 184   | 657 845           | 657 845   |                                                                       |
| 2,37 %                     |                        | 06 02 52    | Completion of Marco Polo programme                                                                                  | p.m.            | 2 680 000    | p.m.              | 63 516    |                                                                       |
| 2,37 %                     |                        | 06 02 53    | Completion of anti-pollution measures                                                                               | p.m.            | p.m.         | p.m.              | p.m.      |                                                                       |
| 2,33 %                     |                        | 06 03 03 01 | Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system               | 56 835 072      | 105 297 459  | 1 324 257         | 2 453 431 |                                                                       |
| 2,33 %                     |                        | 06 03 07 31 | Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure                 | 3 250 683       | 3 250 683    | 75 741            | 75 741    |                                                                       |
| 2,33 %                     |                        | 06 03 07 32 | Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking                                    | 106 749 317     | 79 017 129   | 2 487 259         | 1 841 099 |                                                                       |
| 2,33 %                     |                        | 06 03 07 33 | Shift2Rail (S2R) Joint Undertaking — Support expenditure                                                            | 1 624 000       | 1 624 000    | 37 839            | 37 839    |                                                                       |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                         | Budget 2018     |               | EFTA contribution |            | Notes |
|----------------------------|------------------------|-------------|-------------------------------------------------------------------------------------------------------------------------------|-----------------|---------------|-------------------|------------|-------|
|                            |                        |             |                                                                                                                               | Commitments (²) | Payments (²)  | Commitments       | Payments   |       |
| 2,33 %                     |                        | 06 03 07 34 | Shift2Rail (S2R) Joint Undertaking                                                                                            | 75 800 000      | 74 114 828    | 1 766 140         | 1 726 875  |       |
| 2,37 %                     |                        | 06 03 51    | Completion of previous research framework programmes — the Seventh Framework Programme — European Community (2007-2013)       | p.m.            | p.m.          | p.m.              | p.m.       |       |
| 2,37 %                     |                        | 07 02 06    | European Environment Agency                                                                                                   | 37 311 249      | 37 311 249    | 884 277           | 884 277    |       |
| 2,33 %                     |                        | 08 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020           | 94 197 536      | 94 197 536    | 2 194 803         | 2 194 803  |       |
| 2,33 %                     |                        | 08 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                             | 25 823 043      | 25 823 043    | 601 677           | 601 677    |       |
| 2,33 %                     |                        | 08 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                            | 46 062 594      | 46 062 594    | 1 073 258         | 1 073 258  |       |
| 2,33 %                     |                        | 08 01 06 01 | European Research Council Executive Agency — contribution from Horizon 2020                                                   | 46 681 000      | 46 681 000    | 1 087 667         | 1 087 667  |       |
| 2,33 %                     |                        | 08 01 06 02 | Research Executive Agency — Contribution from Horizon 2020                                                                    | 64 590 426      | 64 590 426    | 1 504 957         | 1 504 957  |       |
| 2,33 %                     |                        | 08 01 06 03 | Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020                                      | 26 327 644      | 26 327 644    | 613 434           | 613 434    |       |
| 2,33 %                     |                        | 08 01 06 04 | Innovation and Networks Executive Agency — Contribution from Horizon 2020                                                     | 6 854 609       | 6 854 609     | 159 712           | 159 712    |       |
| 2,33 %                     |                        | 08 02 01 01 | Strengthening frontier research in the European Research Council                                                              | 1 842 122 604   | 1 356 020 405 | 42 921 457        | 31 595 275 |       |
| 2,33 %                     |                        | 08 02 01 02 | Strengthening research in future and emerging technologies                                                                    | p.m.            | p.m.          | p.m.              | p.m.       |       |
| 2,33 %                     |                        | 08 02 01 03 | Strengthening European research infrastructures, including e-infrastructures                                                  | 224 169 555     | 123 645 916   | 5 223 151         | 2 880 950  |       |
| 2,33 %                     |                        | 08 02 02 01 | Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing | 524 204 453     | 552 233 871   | 12 213 964        | 12 867 049 |       |

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| Proportionality factor (*) | Participation rate (1) | Budget line | Title                                                                                                         | Budget 2018     |              | EFTA contribution |            | Notes |
|----------------------------|------------------------|-------------|---------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|------------|-------|
|                            |                        |             |                                                                                                               | Commitments (2) | Payments (2) | Commitments       | Payments   |       |
| 2,33 %                     |                        | 08 02 02 02 | Enhancing access to risk finance for investing in research and innovation                                     | 399 485 523     | 379 207 648  | 9 308 013         | 8 835 538  |       |
| 2,33 %                     |                        | 08 02 02 03 | Increasing innovation in small and medium-sized enterprises (SMEs)                                            | 46 681 093      | 24 901 508   | 1 087 669         | 580 205    |       |
| 2,33 %                     |                        | 08 02 03 01 | Improving lifelong health and well-being                                                                      | 582 802 183     | 439 393 124  | 13 579 291        | 10 237 860 |       |
| 2,33 %                     |                        | 08 02 03 02 | Securing sufficient supplies of safe, healthy and high quality food and other bio-based products              | 188 374 001     | 189 964 342  | 4 389 114         | 4 426 169  |       |
| 2,33 %                     |                        | 08 02 03 03 | Making the transition to a reliable, sustainable and competitive energy system                                | 336 486 398     | 323 232 721  | 7 840 133         | 7 531 322  |       |
| 2,33 %                     |                        | 08 02 03 04 | Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless | 239 323 675     | 284 091 541  | 5 576 242         | 6 619 333  |       |
| 2,33 %                     |                        | 08 02 03 05 | Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials | 303 307 891     | 208 463 550  | 7 067 074         | 4 857 201  |       |
| 2,33 %                     |                        | 08 02 03 06 | Fostering inclusive, innovative and reflective European societies                                             | 124 102 267     | 125 202 494  | 2 891 583         | 2 917 218  |       |
| 2,33 %                     |                        | 08 02 04    | Spreading excellence and widening participation                                                               | 122 708 877     | 110 457 866  | 2 859 117         | 2 573 668  |       |
| 2,33 %                     |                        | 08 02 05    | Horizontal activities of Horizon 2020                                                                         | 111 640 000     | 109 554 259  | 2 601 212         | 2 552 614  |       |
| 2,33 %                     |                        | 08 02 06    | Science with and for society                                                                                  | 65 082 398      | 53 314 382   | 1 516 420         | 1 242 225  |       |
| 2,33 %                     |                        | 08 02 07 31 | Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure                              | 5 033 678       | 5 033 678    | 117 285           | 117 285    |       |
| 2,33 %                     |                        | 08 02 07 32 | Innovative Medicines Initiative 2 (IMI2) Joint Undertaking                                                    | 259 290 000     | 103 165 053  | 6 041 457         | 2 403 746  |       |
| 2,33 %                     |                        | 08 02 07 33 | Bio-Based Industries (BBI) Joint Undertaking — Support expenditure                                            | 2 223 726       | 2 223 726    | 51 813            | 51 813     |       |
| 2,33 %                     |                        | 08 02 07 34 | Bio-Based Industries (BBI) Joint Undertaking                                                                  | 110 263 312     | 108 914 732  | 2 569 135         | 2 537 713  |       |
| 2,33 %                     |                        | 08 02 07 35 | Clean Sky 2 Joint Undertaking — Support expenditure                                                           | 4 450 485       | 4 450 485    | 103 696           | 103 696    |       |



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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                 | Budget 2018     |              | EFTA contribution |            | Notes                                                         |
|----------------------------|------------------------|-------------|-----------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|------------|---------------------------------------------------------------|
|                            |                        |             |                                                                                                                       | Commitments (²) | Payments (²) | Commitments       | Payments   |                                                               |
| 2,33 %                     |                        | 08 02 07 36 | Clean Sky 2 Joint Undertaking                                                                                         | 278 980 583     | 319 857 059  | 6 500 248         | 7 452 669  |                                                               |
| 2,33 %                     |                        | 08 02 07 37 | Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking — Support expenditure                                             | 2 288 599       | 2 288 599    | 53 324            | 53 324     |                                                               |
| 2,33 %                     |                        | 08 02 07 38 | Fuel Cells and Hydrogen 2 (FCH 2) Joint Undertaking                                                                   | 73 389 716      | 93 126 304   | 1 709 980         | 2 169 843  |                                                               |
| 2,33 %                     |                        | 08 02 08    | SME instrument                                                                                                        | 481 209 870     | 432 882 120  | 11 212 190        | 10 086 153 |                                                               |
| 2,37 %                     |                        | 08 02 51    | Completion of previous research Framework Programme — Seventh Framework Programme — EC indirect action (2007 to 2013) | p.m.            | 596 808 563  | p.m.              | 14 144 363 |                                                               |
| 2,37 %                     |                        | 08 02 52    | Completion of previous research framework programmes — Indirect action (prior to 2007)                                | p.m.            | p.m.         | p.m.              | p.m.       |                                                               |
| 2,33 %                     |                        | 09 01 04 01 | Support expenditure for Connecting Europe Facility (CEF) — Information and Communication Technologies (ICT)           | 1 009 000       | 1 009 000    | 23 510            | 23 510     |                                                               |
| 2,33 %                     |                        | 09 01 04 02 | Support expenditure for Creative Europe Programme — MEDIA Sub-programme                                               | 1 530 900       | 1 530 900    | 35 670            | 35 670     |                                                               |
| 2,33 %                     |                        | 09 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020   | 42 126 000      | 42 126 000   | 981 536           | 981 536    |                                                               |
| 2,33 %                     |                        | 09 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                     | 10 989 486      | 10 989 486   | 256 055           | 256 055    |                                                               |
| 2,33 %                     |                        | 09 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                    | 11 124 000      | 11 124 000   | 259 189           | 259 189    |                                                               |
| 2,37 %                     |                        | 09 02 03    | European Union Agency for Network and Information Security (ENISA)                                                    | 10 490 564      | 10 490 564   | 248 626           | 248 626    |                                                               |
| 2,37 %                     |                        | 09 02 04    | Body of European Regulators for Electronic Communications (BEREC) — Office                                            | 4 124 336       | 4 124 336    | p.m.              | p.m.       | Subject to agreement on the participation of the EFTA States. |
| 2,33 %                     |                        | 09 03 01    | Preparing broadband projects for public and/or private financing                                                      | 333 000         | 314 000      | 7 759             | 7 316      |                                                               |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                                    | Budget 2018     |              | EFTA contribution |            | Notes |
|----------------------------|------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|------------|-------|
|                            |                        |             |                                                                                                                                                                          | Commitments (2) | Payments (2) | Commitments       | Payments   |       |
| 2,33 %                     |                        | 09 03 02    | Creating an environment more conducive to private investment for telecommunications infrastructure projects — CEF broadband                                              | p.m.            | 18 000 000   | p.m.              | 419 400    |       |
| 2,33 %                     |                        | 09 03 03    | Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level | 119 345 512     | 81 826 000   | 2 780 750         | 1 906 546  |       |
| 2,37 %                     |                        | 09 03 04    | WiFi4EU — Support the deployment of free local Wi-Fi                                                                                                                     | 49 653 000      | 40 841 000   | 1 156 915         | 951 595    |       |
| 2,37 %                     |                        | 09 03 51 01 | Completion of the 'Safer Internet' programme (2009 to 2013)                                                                                                              | p.m.            | p.m.         | p.m.              | p.m.       |       |
| 2,37 %                     |                        | 09 03 51 02 | Completion of 'Safer Internet plus' — Promoting safer use of the internet and new online technologies                                                                    | p.m.            | p.m.         | p.m.              | p.m.       |       |
| 2,33 %                     |                        | 09 04 01 01 | Strengthening research in future and emerging technologies                                                                                                               | 426 837 832     | 378 998 000  | 9 945 321         | 8 830 653  |       |
| 2,33 %                     |                        | 09 04 01 02 | Strengthening European research infrastructure, including e-infrastructure                                                                                               | 119 448 719     | 136 127 000  | 2 783 155         | 3 171 759  |       |
| 2,33 %                     |                        | 09 04 02 01 | Leadership in information and communications technology                                                                                                                  | 725 189 515     | 793 276 000  | 16 896 916        | 18 483 331 |       |
| 2,33 %                     |                        | 09 04 03 01 | Improving lifelong health and well-being                                                                                                                                 | 141 434 051     | 144 191 000  | 3 295 413         | 3 359 650  |       |
| 2,33 %                     |                        | 09 04 03 02 | Fostering inclusive, innovative and reflective European societies                                                                                                        | 41 482 827      | 46 634 000   | 966 550           | 1 086 572  |       |
| 2,33 %                     |                        | 09 04 03 03 | Fostering secure European societies                                                                                                                                      | 50 098 276      | 49 783 000   | 1 167 290         | 1 159 944  |       |
| 2,33 %                     |                        | 09 04 07 31 | Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure                                                                | 1 962 124       | 1 962 124    | 45 717            | 45 717     |       |
| 2,33 %                     |                        | 09 04 07 32 | Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking                                                                                      | 178 000 000     | 176 910 000  | 4 147 400         | 4 122 003  |       |
| 2,37 %                     |                        | 09 04 51    | Completion of the Seventh Framework Programme (2007 to 2013)                                                                                                             | p.m.            | 114 632 000  | p.m.              | 2 716 778  |       |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                           | Budget 2018     |              | EFTA contribution |           | Notes                                                                       |
|----------------------------|------------------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|-----------------------------------------------------------------------------|
|                            |                        |             |                                                                                                                                                                 | Commitments (²) | Payments (²) | Commitments       | Payments  |                                                                             |
| 2,37 %                     |                        | 09 04 52    | Completion of previous research framework programmes (prior to 2007)                                                                                            | p.m.            | p.m.         | p.m.              | p.m.      |                                                                             |
| 2,37 %                     |                        | 09 04 53 01 | Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013) | p.m.            | 6 300 000    | p.m.              | 149 310   |                                                                             |
| 2,37 %                     |                        | 09 04 53 02 | Completion of previous information and communication technologies programmes (prior to 2007)                                                                    | p.m.            | p.m.         | p.m.              | p.m.      |                                                                             |
| 2,33 %                     |                        | 09 05 01    | MEDIA Sub-programme — Operating transnationally and internationally and promoting transnational circulation and mobility                                        | 109 145 000     | 99 000 000   | 2 543 079         | 2 306 700 |                                                                             |
| 2,37 %                     |                        | 09 05 51    | Completion of former MEDIA programmes                                                                                                                           | p.m.            | p.m.         | p.m.              | p.m.      |                                                                             |
| 2,33 %                     |                        | 10 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                                             | 139 854 849     | 139 854 849  | 3 258 618         | 3 258 618 |                                                                             |
| 2,33 %                     |                        | 10 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                                                               | 33 322 610      | 33 322 610   | 776 417           | 776 417   |                                                                             |
| 2,33 %                     |                        | 10 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                                                              | 58 163 970      | 58 163 970   | 1 355 221         | 1 355 221 |                                                                             |
| 2,33 %                     |                        | 10 01 05 04 | Other expenditure for new major research infrastructures — Horizon 2020                                                                                         | 2 000 000       | 2 000 000    | 46 600            | 46 600    |                                                                             |
| 2,33 %                     |                        | 10 02 01    | Horizon 2020 — Customer-driven scientific and technical support to Union policies                                                                               | 27 183 960      | 26 500 000   | 633 386           | 617 450   |                                                                             |
| 2,37 %                     |                        | 10 02 51    | Completion of the Seventh Framework Programme — Direct actions (2007 to 2013)                                                                                   | p.m.            | 250 000      | p.m.              | 5 925     |                                                                             |
| 2,37 %                     |                        | 10 02 52    | Completion of previous research framework programmes — Direct actions (prior to 2007)                                                                           | p.m.            | p.m.         | p.m.              | p.m.      |                                                                             |
| 2,37 %                     |                        | 12 02 01    | Implementation and development of the single market for financial services                                                                                      | 3 700 000       | 4 000 000    | p.m.              | p.m.      | Annual action subject to agreement on the participation of the EFTA States. |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                             | Budget 2018     |               | EFTA contribution |            | Notes                                                         |
|----------------------------|------------------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------|---------------|-------------------|------------|---------------------------------------------------------------|
|                            |                        |             |                                                                                                                                   | Commitments (2) | Payments (2)  | Commitments       | Payments   |                                                               |
| 2,37 %                     |                        | 12 02 04    | European Banking Authority (EBA)                                                                                                  | 14 459 404      | 14 459 404    | p.m.              | p.m.       | Subject to agreement on the participation of the EFTA States. |
| 2,37 %                     |                        | 12 02 05    | European Insurance and Occupational Pensions Authority (EIOPA)                                                                    | 9 257 747       | 9 257 747     | p.m.              | p.m.       | Subject to agreement on the participation of the EFTA States. |
| 2,37 %                     |                        | 12 02 06    | European Securities and Markets Authority (ESMA)                                                                                  | 11 636 615      | 11 636 615    | p.m.              | p.m.       | Subject to agreement on the participation of the EFTA States. |
| 2,37 %                     |                        | 15 01 04 01 | Support expenditure for Erasmus+ programme                                                                                        | 11 906 700      | 11 906 700    | 282 189           | 282 189    |                                                               |
| 2,33 %                     |                        | 15 01 04 02 | Support expenditure for Creative Europe Programme — Culture Sub-programme                                                         | 899 100         | 899 100       | 20 949            | 20 949     |                                                               |
| 2,33 %                     |                        | 15 01 05 01 | Expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020              | 1 881 747       | 1 881 747     | 43 845            | 43 845     |                                                               |
| 2,33 %                     |                        | 15 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                                 | 894 886         | 894 886       | 20 851            | 20 851     |                                                               |
| 2,33 %                     |                        | 15 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                                | 1 256 023       | 1 256 023     | 29 265            | 29 265     |                                                               |
| 2,37 %                     |                        | 15 01 06 01 | Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+ programme                                        | 25 846 084      | 25 846 084    | 612 552           | 612 552    |                                                               |
| 2,33 %                     |                        | 15 01 06 02 | Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe Programme                                 | 12 177 000      | 12 177 000    | 283 724           | 283 724    |                                                               |
| 2,37 %                     |                        | 15 02 01 01 | Promoting excellence and cooperation in the European education and training area and its relevance to the labour market           | 1 979 123 300   | 1 857 127 000 | 46 905 222        | 44 013 910 |                                                               |
| 2,37 %                     |                        | 15 02 01 02 | Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life | 212 672 916     | 175 000 000   | 5 040 348         | 4 147 500  |                                                               |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                                                       | Budget 2018     |              | EFTA contribution |            | Notes |
|----------------------------|------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|------------|-------|
|                            |                        |             |                                                                                                                                                                                             | Commitments (?) | Payments (?) | Commitments       | Payments   |       |
| 2,37 %                     |                        | 15 02 02    | Promoting excellence in teaching and research activities in European integration through the Jean Monnet Activities worldwide                                                               | 42 000 000      | 40 734 000   | 995 400           | 965 396    |       |
| 2,37 %                     |                        | 15 02 03    | Developing the European dimension in sport                                                                                                                                                  | 43 000 000      | 35 000 000   | 1 019 100         | 829 500    |       |
| 2,37 %                     |                        | 15 02 51    | Completion line for lifelong learning, including multilingualism                                                                                                                            | p.m.            | p.m.         | p.m.              | p.m.       |       |
| 2,37 %                     |                        | 15 02 53    | Completion line for youth and sport                                                                                                                                                         | p.m.            | p.m.         | p.m.              | p.m.       |       |
| 2,33 %                     |                        | 15 03 01 01 | Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation                                                                               | 885 710 765     | 773 448 568  | 20 637 061        | 18 021 352 |       |
| 2,33 %                     |                        | 15 03 05    | European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation                                                     | 396 194 129     | 366 717 896  | 9 231 323         | 8 544 527  |       |
| 2,37 %                     |                        | 15 03 51    | Completion of previous research framework programme — the Seventh Framework Programme (2007-2013)                                                                                           | p.m.            | 55 000 000   | p.m.              | 1 303 500  |       |
| 2,37 %                     |                        | 15 03 53    | Completion line European Institute of Innovation and Technology                                                                                                                             | p.m.            | p.m.         | p.m.              | p.m.       |       |
| 2,33 %                     |                        | 15 04 01    | Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models | 35 528 000      | 12 877 727   | 827 802           | 300 051    |       |
| 2,33 %                     |                        | 15 04 02    | Culture Sub-programme — Supporting cross-border actions and promoting transnational circulation and mobility                                                                                | 71 106 000      | 52 000 000   | 1 656 770         | 1 211 600  |       |
| 2,37 %                     |                        | 15 04 51    | Completion of programmes/actions in the field of culture and language                                                                                                                       | p.m.            | 2 200 000    | p.m.              | 52 140     |       |
| 2,33 %                     |                        | 17 01 04 02 | Support expenditure for the 'Third programme for the Union's action in the field of health (2014-2020)'                                                                                     | 1 500 000       | 1 500 000    | 34 950            | 34 950     |       |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                        | Budget 2018     |              | EFTA contribution |           | Notes                                                         |
|----------------------------|------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|---------------------------------------------------------------|
|                            |                        |             |                                                                                                                                                              | Commitments (2) | Payments (2) | Commitments       | Payments  |                                                               |
| 2,33 %                     |                        | 17 01 06 02 | Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)' | 4 406 500       | 4 406 500    | 102 671           | 102 671   |                                                               |
| 2,33 %                     |                        | 17 03 01    | Third programme for the Union's action in the field of health (2014-2020)                                                                                    | 60 467 000      | 47 389 000   | 1 408 881         | 1 104 164 |                                                               |
| 2,37 %                     |                        | 17 03 10    | European Centre for Disease Prevention and Control                                                                                                           | 54 127 178      | 54 127 178   | 1 282 814         | 1 282 814 |                                                               |
| 2,33 %                     |                        | 17 03 11    | European Food Safety Authority                                                                                                                               | 76 891 362      | 77 758 060   | 1 791 569         | 1 811 763 |                                                               |
| 2,37 %                     |                        | 17 03 12 01 | Union contribution to the European Medicines Agency                                                                                                          | 8 779 541       | 8 779 541    | 208 075           | 208 075   |                                                               |
| 2,37 %                     |                        | 17 03 12 02 | Special contribution for orphan medicinal products                                                                                                           | 13 105 000      | 13 105 000   | 310 589           | 310 589   |                                                               |
| 2,37 %                     |                        | 17 03 51    | Completion of public health programmes                                                                                                                       | p.m.            | 2 611 000    | p.m.              | 61 881    |                                                               |
| 2,37 %                     |                        | 17 04 07    | European Chemicals Agency — Activities in the field of biocides legislation                                                                                  | 1 857 068       | 1 857 068    | 44 013            | 44 013    |                                                               |
| 2,33 %                     |                        | 18 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                                          | 2 182 755       | 2 182 755    | 50 858            | 50 858    |                                                               |
| 2,33 %                     |                        | 18 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                                                                            | 559 647         | 559 647      | 13 040            | 13 040    |                                                               |
| 2,33 %                     |                        | 18 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                                                                           | 534 161         | 534 161      | 12 446            | 12 446    |                                                               |
| 0,12 %                     |                        | 18 04 01 01 | Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level                                            | 24 426 000      | 25 205 000   | p.m.              | p.m.      | Subject to agreement on the participation of the EFTA States. |
| 0,12 %                     |                        | 18 04 01 02 | European citizens' initiative                                                                                                                                | 740 000         | 840 000      | p.m.              | p.m.      | Subject to agreement on the participation of the EFTA States. |
| 2,33 %                     |                        | 18 05 03 01 | Fostering secure European societies                                                                                                                          | 156 526 362     | 145 303 970  | 3 647 064         | 3 385 583 |                                                               |

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| Proportionality factor (*) | Participation rate (1) | Budget line | Title                                                                                                               | Budget 2018     |              | EFTA contribution |           | Notes |
|----------------------------|------------------------|-------------|---------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|-------|
|                            |                        |             |                                                                                                                     | Commitments (2) | Payments (2) | Commitments       | Payments  |       |
| 2,37 %                     |                        | 18 05 51    | Completion of previous research framework programmes — Seventh framework programme — EC (2007 to 2013)              | p.m.            | 19 519 433   | p.m.              | 462 611   |       |
| 2,37 %                     |                        | 18 06 51    | Completion of actions in the field of drugs prevention and information                                              | p.m.            | 121 149      | p.m.              | 2 871     |       |
| 2,37 %                     |                        | 19 05 20    | Erasmus+ — Contribution from the Partnership Instrument                                                             | 11 520 000      | 14 646 383   | 273 024           | 347 119   |       |
| 2,37 %                     |                        | 21 01 06 01 | Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)  | 2 472 000       | 2 472 000    | 58 586            | 58 586    |       |
| 2,37 %                     |                        | 21 02 20    | Erasmus+ — Contribution from the development cooperation instrument (DCI)                                           | 102 428 673     | 103 495 100  | 2 427 560         | 2 452 834 |       |
| 2,37 %                     |                        | 22 01 06 01 | Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance | 729 000         | 729 000      | 17 277            | 17 277    |       |
| 2,37 %                     |                        | 22 01 06 02 | Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)     | 2 287 000       | 2 287 000    | 54 202            | 54 202    |       |
| 2,37 %                     |                        | 22 02 04 02 | Erasmus+ — Contribution from the Instrument for Pre-accession Assistance (IPA)                                      | 30 271 000      | 34 352 588   | 717 423           | 814 156   |       |
| 2,37 %                     |                        | 22 04 20    | Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)                                            | 79 733 000      | 99 263 450   | 1 889 672         | 2 352 544 |       |
| 2,33 %                     |                        | 23 03 01 01 | Disaster prevention and preparedness within the Union                                                               | 29 746 000      | 31 370 000   | 693 082           | 730 921   |       |
| 2,33 %                     |                        | 23 03 01 02 | Disaster prevention and preparedness in third countries                                                             | 5 729 000       | 5 466 903    | 133 486           | 127 379   |       |
| 2,33 %                     |                        | 23 03 02 01 | Rapid and efficient emergency response interventions in the event of major disasters within the Union               | 1 500 000       | 1 400 000    | 34 950            | 32 620    |       |
| 2,33 %                     |                        | 23 03 02 02 | Rapid and efficient emergency response interventions in the event of major disasters in third countries             | 10 392 000      | 10 000 000   | 242 134           | 233 000   |       |

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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                                                                                                             | Budget 2018     |              | EFTA contribution |          | Notes                                                                                                                             |
|----------------------------|------------------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|----------|-----------------------------------------------------------------------------------------------------------------------------------|
|                            |                        |             |                                                                                                                                                                                   | Commitments (²) | Payments (²) | Commitments       | Payments |                                                                                                                                   |
| 2,37 %                     |                        | 23 03 51    | Completion of programmes and actions in the field of civil protection within the Union (prior to 2014)                                                                            | p.m.            | p.m.         | p.m.              | p.m.     |                                                                                                                                   |
| 2,33 %                     |                        | 26 01 04 01 | Support expenditure for interoperability solutions for European public administrations, businesses and citizens (ISA²)                                                            | 400 000         | 400 000      | 9 320             | 9 320    |                                                                                                                                   |
| 2,33 %                     |                        | 26 03 01    | Interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA²)                                                              | 25 800 000      | 24 468 000   | 601 140           | 570 104  |                                                                                                                                   |
| 2,37 %                     |                        | 26 03 51    | Completion of ISA programme                                                                                                                                                       | p.m.            | 2 165 000    | p.m.              | 51 311   |                                                                                                                                   |
| 2,37 %                     | 75 %                   | 29 01 04 01 | Support expenditure for the European statistical programme                                                                                                                        | 3 230 000       | 3 230 000    | 57 413            | 57 413   | Based on EFTA States' participation amounting to 75 % of the appropriations, in accordance with Protocol 30 to the EEA Agreement. |
| 2,37 %                     | 75 %                   | 29 02 01    | Providing quality statistical information, implementing new methods of production of European statistics and strengthening the partnership within the European Statistical System | 58 475 000      | 45 000 000   | 1 039 393         | 799 875  | Based on EFTA States' participation amounting to 75 % of the appropriations, in accordance with Protocol 30 to the EEA Agreement. |
| 2,37 %                     | 75 %                   | 29 02 51    | Completion of statistical programmes (prior to 2013)                                                                                                                              | p.m.            | p.m.         | p.m.              | p.m.     | Based on EFTA States' participation amounting to 75 % of the appropriations, in accordance with Protocol 30 to the EEA Agreement. |
| 2,33 %                     |                        | 32 01 05 01 | Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020                                                               | 2 022 348       | 2 022 348    | 47 121            | 47 121   |                                                                                                                                   |



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| Proportionality factor (*) | Participation rate (!) | Budget line | Title                                                                                               | Budget 2018     |              | EFTA contribution |           | Notes                                                                       |
|----------------------------|------------------------|-------------|-----------------------------------------------------------------------------------------------------|-----------------|--------------|-------------------|-----------|-----------------------------------------------------------------------------|
|                            |                        |             |                                                                                                     | Commitments (²) | Payments (²) | Commitments       | Payments  |                                                                             |
| 2,33 %                     |                        | 32 01 05 02 | External personnel implementing research and innovation programmes — Horizon 2020                   | 745 660         | 745 660      | 17 374            | 17 374    |                                                                             |
| 2,33 %                     |                        | 32 01 05 03 | Other management expenditure for research and innovation programmes — Horizon 2020                  | 1 132 000       | 1 132 000    | 26 376            | 26 376    |                                                                             |
| 2,37 %                     |                        | 32 02 10    | Agency for the Cooperation of Energy Regulators (ACER)                                              | 13 033 117      | 13 033 117   | p.m.              | p.m.      | Subject to agreement on the participation of the EFTA States.               |
| 2,33 %                     |                        | 32 04 03 01 | Making the transition to a reliable, sustainable and competitive energy system                      | 320 757 111     | 321 356 054  | 7 473 641         | 7 487 596 |                                                                             |
| 2,37 %                     |                        | 32 04 51    | Completion of the seventh framework programme (2007 to 2013)                                        | p.m.            | 46 165 220   | p.m.              | 1 094 116 |                                                                             |
| 2,37 %                     |                        | 32 04 52    | Completion of previous research framework programmes (prior to 2007)                                | p.m.            | p.m.         | p.m.              | p.m.      |                                                                             |
| 2,37 %                     |                        | 32 04 53    | Completion of the 'Intelligent energy — Europe' programme (2007 to 2013)                            | p.m.            | 13 416 634   | p.m.              | 317 974   |                                                                             |
| 2,37 %                     |                        | 32 04 54    | Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)                            | p.m.            | p.m.         | p.m.              | p.m.      |                                                                             |
| 0,16 %                     |                        | 33 01 04 01 | Support expenditure for the 'Rights, equality and citizenship' programme                            | 1 100 000       | 1 100 000    | 1 760             | 1 760     |                                                                             |
| 2,33 %                     |                        | 33 01 04 03 | Support expenditure for the Consumer programme                                                      | 1 049 600       | 1 049 600    | 24 456            | 24 456    |                                                                             |
| 2,33 %                     |                        | 33 01 06 01 | Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme | 1 741 400       | 1 741 400    | 40 575            | 40 575    |                                                                             |
| 0,12 %                     |                        | 33 02 01    | Ensuring the protection of rights and empowering citizens                                           | 26 451 000      | 18 700 000   | 31 741            | 22 440    |                                                                             |
| 0,16 %                     |                        | 33 02 02    | Promoting non-discrimination and equality                                                           | 35 831 000      | 25 100 000   | 57 330            | 40 160    |                                                                             |
| 2,37 %                     |                        | 33 02 03 01 | Company law                                                                                         | 1 700 000       | 700 000      | p.m.              | p.m.      | Annual action subject to agreement on the participation of the EFTA States. |

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| Proportionality factor (*)                 | Participation rate (1) | Budget line | Title                                                                       | Budget 2018           |                       | EFTA contribution  |                    | Notes                                                                                 |
|--------------------------------------------|------------------------|-------------|-----------------------------------------------------------------------------|-----------------------|-----------------------|--------------------|--------------------|---------------------------------------------------------------------------------------|
|                                            |                        |             |                                                                             | Commitments (2)       | Payments (2)          | Commitments        | Payments           |                                                                                       |
| 2,37 %                                     | 73,21 %                | 33 02 51    | Completion of actions in the field of rights, citizenship and equality      | p.m.                  | 1 700 000             | p.m.               | 29 496             | Based on participation rate due to mixed EFTA/non-EFTA nature of the completion line. |
| 2,33 %                                     |                        | 33 04 01    | Safeguarding consumers' interest and improving their safety and information | 25 175 000            | 20 200 000            | 586 578            | 470 660            |                                                                                       |
| 2,37 %                                     |                        | 33 04 51    | Completion line of Union activities in favour of consumers                  | p.m.                  | 100 000               | p.m.               | 2 370              |                                                                                       |
| <b>TOTAL</b>                               |                        |             |                                                                             | <b>16 965 512 973</b> | <b>16 154 898 636</b> | <b>382 140 961</b> | <b>367 371 910</b> |                                                                                       |
| <b>SUBTOTAL ADMINISTRATIVE EXPENDITURE</b> |                        |             |                                                                             | <b>616 505 501</b>    | <b>616 505 501</b>    | <b>1 584 020</b>   | <b>1 584 020</b>   |                                                                                       |
| <b>GRAND TOTAL</b>                         |                        |             |                                                                             | <b>17 582 018 474</b> | <b>16 771 404 137</b> | <b>383 724 981</b> | <b>368 955 930</b> |                                                                                       |

(1) Participation rate is 100 % of appropriations if not stipulated differently.

(2) Including appropriations entered in the reserve.

(\*) The proportionality factors applied to calculate the financial contribution are based on the following participation per EEA EFTA country and per EU programme:

| Programme                                                                                    | Iceland | Liechtenstein | Norway | Proportionality factor |
|----------------------------------------------------------------------------------------------|---------|---------------|--------|------------------------|
| Horizon 2020                                                                                 | X       | —             | X      | 2,33 %                 |
| Erasmus+                                                                                     | X       | X             | X      | 2,37 %                 |
| COSME                                                                                        | X       | —             | —      | 0,12 %                 |
| Copernicus                                                                                   | X       | —             | X      | 2,33 %                 |
| Galileo                                                                                      |         |               | X      | 2,21 %                 |
| Third Health Programme                                                                       | X       | —             | X      | 2,33 %                 |
| Rights, Equality and Citizenship — Ensuring the protection of rights and empowering citizens | X       | —             | —      | 0,12 %                 |
| Rights, Equality and Citizenship — Promoting non-discrimination and equality                 | X       | X             | —      | 0,16 %                 |
| Consumers                                                                                    | X       | —             | X      | 2,33 %                 |
| Creative Europe                                                                              | X       | —             | X      | 2,33 %                 |
| Civil protection                                                                             | X       | —             | X      | 2,33 %                 |
| Connecting Europe Facility — ICT strand                                                      | X       | —             | X      | 2,33 %                 |
| EaSI — EURES axis                                                                            | X       | —             | X      | 2,33 %                 |
| EaSI — Progress axis                                                                         | X       | —             | X      | 2,33 %                 |
| ISA <sup>2</sup>                                                                             | X       | —             | X      | 2,33 %                 |
| European Statistical Programme                                                               | X       | X             | X      | 2,37 %                 |

**LIST OF BUDGET HEADINGS OPEN TO CANDIDATE COUNTRIES AND, IF APPLICABLE, THE WESTERN BALKAN POTENTIAL CANDIDATES AND CERTAIN PARTNER COUNTRIES**



COMMISSION

LIST OF BUDGET HEADINGS OPEN TO CANDIDATE COUNTRIES AND, IF APPLICABLE, THE WESTERN BALKAN POTENTIAL CANDIDATES  
AND CERTAIN PARTNER COUNTRIES

(EUR million)

|                                                                                                                                                          | Recipient States |      |      |      |      |      |         |       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------|------|------|------|------|---------|-------|
|                                                                                                                                                          | MK               | TR   | AL   | BA   | ME   | RS   | Kosovo* | Total |
| <b>32 04 53</b><br>Completion of the Intelligent energy — Europe programme (2007-2013)                                                                   | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>33 02 01, 33 02 02, 33 02 51 and 33 01 04 01</b><br>Rights and citizenship programme/Completion of fight against violence (Daphne)                    | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>33 02 06</b><br>European Union Agency for Fundamental Rights                                                                                          | 0,17             | p.m. | 0,16 | p.m. | p.m. | 0,18 | p.m.    | 0,51  |
| <b>33 01 04 03, 33 04 01 and 33 04 51</b><br>The Consumer programme                                                                                      | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>33 01 04 02, 33 03 01 and 33 03 02</b><br>Justice programme                                                                                           | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>Budget lines concerned <sup>(1)</sup></b><br>Horizon 2020/Completion of the Seventh Framework Programme research — EC (non-nuclear)                   | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>Budget lines concerned <sup>(2)</sup></b><br>Erasmus+                                                                                                 | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>Budget lines concerned <sup>(3)</sup></b><br>Creative Europe Programme/Completion of the Culture programme (2007 to 2013)                             | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |
| <b>Budget lines concerned <sup>(4)</sup></b><br>Euratom Research and Training/Completion of the Seventh Framework Programme research — Euratom (nuclear) | p.m.             | p.m. | p.m. | p.m. | p.m. | p.m. | p.m.    | p.m.  |

(<sup>1</sup>) Budget lines concerned: 02 01 05, 02 04, 05 01 05, 05 09, 06 01 05, 06 03, 08 01 05, 08 01 06, 08 02, 09 01 05, 09 04, 10 01 05, 10 02, 15 01 05, 15 03, 18 01 05, 18 05, 32 01 05 and 32 04.

(<sup>2</sup>) Budget lines concerned: 15 02 53, 15 02 51, 15 01 04 01, 15 01 06 01, 15 02 01 01, 15 02 01 02, 15 02 02, 15 02 03, 19 05 20, 21 01 06 01, 21 02 20, 22 01 06 01, 22 01 06 02, 22 02 04 02 and 22 04 20. Only Turkey and the Former Yugoslav Republic of Macedonia participate in the external strand of the Erasmus+ programme.

(<sup>3</sup>) Budget lines concerned: 09 01 04 02, 09 05 51, 09 05 01, 15 04 01, 15 04 02, 15 04 51, 15 01 04 02 and 15 01 06 02.

(<sup>4</sup>) Budget lines concerned: 08 01 05 11, 08 01 05 12, 08 01 05 13, 08 03, 10 01 05 11, 10 01 05 12, 10 01 05 13, 10 01 05 14 and 10 03.

COMMISSION

**BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED  
BY THE UNION BUDGET (BY WAY OF INDICATION)**

COMMISSION

*BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)*

#### A. INTRODUCTION

This Annex is drawn up in accordance with Article 35(1) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

It provides information on the amounts of the borrowing-and-lending operations guaranteed by the Union budget: loans for balance-of-payments support, borrowing operations to provide macro-financial assistance to third countries, Euratom borrowings to contribute to the financing required for improving the degree of efficiency and safety of nuclear power stations in certain third countries and European Investment Bank loans in certain third countries.

At 31 December 2016, outstanding operations covered by the Union budget totalled EUR 83 246 950 925; of that total EUR 53 633 721 333 was inside the Union and EUR 29 613 229 592 outside (including accrued interest and rounded figures and exchange euro rate applicable at 31 December 2016).

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## B. BRIEF PRESENTATION OF THE VARIOUS CATEGORIES OF BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET

### I. SINGLE FACILITY PROVIDING MEDIUM-TERM FINANCIAL ASSISTANCE FOR MEMBER STATES' BALANCES OF PAYMENTS

#### 1. *Legal basis*

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

Council Regulation (EC) No 1360/2008 of 2 December 2008 amending Regulation (EC) No 332/2002 (OJ L 352, 31.12.2008, p. 11).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Regulation (EC) No 431/2009 of 18 May 2009 amending Regulation (EC) No 332/2002 (OJ L 128, 27.5.2009, p. 1).

Council Decision 2013/531/EU of 22 October 2013 providing precautionary Union medium-term financial assistance to Romania (OJ L 286, 29.10.2013, p. 1).

#### 2. *Description*

In accordance with Regulation (EC) No 332/2002, the Union may grant loans to Member States which are experiencing, or are seriously threatened with, difficulties in their balance of current payments or capital movements. Only Member States which have not adopted the euro may benefit from this facility. The outstanding amount of loans was limited to EUR 12 000 000 000 in principal.

On 2 December 2008, the Council decided to extend the facility to EUR 25 000 000 000.

On 4 November 2008, the Council decided to provide Community medium-term financial assistance for Hungary in the form of a medium-term loan of a principal of up to EUR 6 500 000 000 for a maximum average maturity of 5 years.

On 20 January 2009, the Council decided to provide Community medium-term financial assistance for Latvia in the form of a medium-term loan of a principal of up to EUR 3 100 000 000 for a maximum average maturity of 7 years.

On 6 May 2009, the Council decided to provide Community medium-term financial assistance for Romania in the form of a medium-term loan of a principal of up to EUR 5 000 000 000 for a maximum average maturity of 5 years.

On 18 May 2009, the Council decided to extend the facility to EUR 50 000 000 000.

On 22 October 2013, the Council decided to make available to Romania precautionary medium-term financial assistance amounting to a maximum of EUR 2 000 000 000 in the form of a loan with a maximum average maturity of 8 years. The facility expired at the end of September 2015 without having been drawn down.

#### 3. *Effect on the budget*

Since both parts of these borrowing-and-lending operations are carried out on identical terms, they affect the budget only if the guarantee is activated in case of default. As at 31 December 2016 the outstanding capital amount under this instrument is EUR 4 200 000 000.



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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

II. EUROPEAN UNION GUARANTEE FOR UNION BORROWINGS FOR FINANCIAL ASSISTANCE UNDER THE EUROPEAN FINANCIAL STABILISATION MECHANISM

1. **Legal basis**

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

Article 122(2) of the Treaty on the Functioning of the European Union.

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

Council Implementing Decision 2011/344/EU of 30 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

Council Implementing Decision 2011/682/EU of 11 October 2011 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 269, 14.10.2011, p. 31).

Council Implementing Decision 2011/683/EU of 11 October 2011 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 269, 14.10.2011, p. 32).

Council Implementing Decision 2013/313/EU of 21 June 2013 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 173, 26.6.2013, p. 40).

Council Implementing Decision 2013/323/EU of 21 June 2013 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 175, 27.6.2013, p. 47).

Council Implementing Decision 2013/525/EU of 22 October 2013 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 282, 24.10.2013, p. 71).

Council Implementing Decision (EU) 2016/542 of 17 July 2015 on granting short-term Union financial assistance to Greece (2015/1181) (OJ L 91, 7.4.2016, p. 22).

2. **Description**

Article 122(2) of the Treaty on the Functioning of the European Union provides for the possibility of the Union granting financial assistance to a Member State which is in difficulties or is seriously threatened with severe difficulties caused, inter alia, by exceptional occurrences beyond its control.

The guarantee provided by the Union is for borrowings raised on the capital markets or from financial institutions.

In accordance with Article 2(2) of Council Regulation (EU) No 407/2010, the outstanding amount of loans and credit lines granted to Member States under the European financial stabilisation mechanism shall be limited to the margin available under the Union's own resources for payment appropriations.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

On 7 December 2010, the Union decided to make available to Ireland a loan amounting to a maximum of EUR 22 500 000 000, with a maximum average maturity of 7,5 years (OJ L 30, 4.2.2011, p. 34).

On 30 May 2011, the Union decided to make available to Portugal a loan amounting to a maximum of EUR 26 000 000 000 (OJ L 159, 17.6.2011, p. 88).

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 11 October 2011, the Council decided to amend Implementing Decisions 2011/77/EU and 2011/344/EU by extending the maturities and applying the reduction of the interest rate margin to all the tranches that have already been disbursed (OJ L 269 of 14.10.2011, p. 31 regarding Ireland (2011/682/EU) and p. 32 regarding Portugal (2011/683/EU)).

On 21 June 2013, the Council decided to amend Implementing Decision 2011/77/EU by extending the average loan maturity and providing the possibility to extend instalment maturities at the request of Ireland (OJ L 173, 26.6.2013, p. 40).

On 21 June 2013, the Council decided to amend Implementing Decision 2011/77/EU by extending the average loan maturity and providing the possibility to extend instalment maturities at the request of Portugal. In addition, measures to be adopted by the country in line with specification in the Memorandum of Understanding were specified (OJ L 175, 27.6.2013, p. 47).

On 22 October 2013, the Council decided to amend Implementing Decision 2011/77/EU by extending the availability of the financial assistance granted to Ireland (OJ L 282, 24.10.2013, p. 71).

### 3. *Effect on the budget*

Since both parts of these borrowing-and-lending operations are carried out on identical terms, they affect the budget only if the guarantee is activated in case of default. At 31 December 2016 the outstanding capital amount under this instrument is EUR 46 800 000 000.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

III. EUROPEAN UNION GUARANTEE FOR BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE FOR MEDITERRANEAN THIRD COUNTRIES

1. **Legal basis**

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Decision No 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to the Republic of Tunisia (OJ L 151, 21.5.2014, p. 9).

Decision (EU) 2016/1112 of the European Parliament and of the Council of 6 July 2016 providing further macro-financial assistance to Tunisia (OJ L 186, 9.7.2016, p. 1).

Decision (EU) 2016/2371 of the European Parliament and of the Council of 14 December 2016 providing further macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 352, 23.12.2016, p. 18).

2. **Description**

On 11 December 2013, the European Parliament and the Council decided to make macro-financial assistance available to Jordan in the form of loans for a maximum amount of EUR 180 000 000 and with a maximum maturity of 15 years, to cover Jordan's balance of payments needs as identified in the IMF programme. The facility was fully disbursed in two equal tranches in 2015.

On 15 May 2014, the European Parliament and the Council decided to make macro-financial assistance available to Tunisia in the form of loans for a maximum amount of EUR 300 000 000 and with a maximum maturity of 15 years, to cover Tunisia's balance of payments needs as identified in the IMF programme. The first two tranches, each of EUR 100 000 000, were both disbursed in 2015 and the third tranche in July 2017.

On 6 July 2016, the European Parliament and the Council decided to provide further macro-financial assistance to Tunisia in the form of loans for a maximum amount of EUR 500 000 000 (three loan instalments of EUR 200 000 000, EUR 150 000 000 and EUR 150 000 000). The first tranche of EUR 200 000 000 was disbursed in October 2017.

On 14 December 2016, the European Parliament and the Council decided to provide further macro-financial assistance to Jordan in the form of loans for a maximum amount of EUR 200 000 000 (two loan instalments of EUR 100 000 000). The first tranche of EUR 100 000 000 was disbursed in October 2017.

3. **Effect on the budget**

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

IV. EUROPEAN UNION GUARANTEE FOR BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE FOR THIRD COUNTRIES IN CENTRAL AND EASTERN EUROPE

1. **Legal basis**
2. **Description**
3. **Effect on the budget**

There are currently no outstanding loans under this section. Previous loans have been fully reimbursed.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

V. EUROPEAN UNION GUARANTEE FOR THE BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE TO THE COUNTRIES OF THE COMMONWEALTH OF INDEPENDENT STATES AND MONGOLIA

1. **Legal basis**

Council Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia (OJ L 322, 25.11.1997, p. 37).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance for Armenia (OJ L 320, 5.12.2009, p. 3).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

Decision No 778/2013/EU of the European Parliament and the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and the of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

Decision (EU) 2017/1565 of the European Parliament and of the Council of 13 September 2017 providing macro-financial assistance to the Republic of Moldova (OJ L 242, 20.9.2017, p. 14).

2. **Description**

On 17 November 1997, the Council decided to grant a European Union guarantee for an exceptional borrowing-and-lending operation for Georgia and Armenia (a loan to Georgia for a maximum amount of EUR 142 000 000 in principal and a loan to Armenia for EUR 28 000 000), with a maximum duration of 15 years.

The first tranche of EUR 110 000 000 was paid to Georgia on 24 July 1998. The second tranche is no longer to be made.

On 12 July 2002, the Council decided to make available to Ukraine a long-term loan facility of a maximum principal amount of EUR 110 000 000 with a maximum maturity of 15 years, with a view to ensuring a sustainable balance-of-payments situation, strengthening the country's reserve position and facilitating the implementation of the necessary structural reforms. The total amount of the facility was disbursed in 2014.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Armenia in the form of a long-term loan for a maximum amount of EUR 65 000 000 in principal for a maximum duration of 15 years. The first tranche of EUR 26 000 000 was disbursed in 2011, the second and final one in 2012.

On 7 July 2010, the European Parliament and the Council decided to make available to Ukraine a long-term loan facility of a maximum principal amount of EUR 500 000 000 with a maximum maturity of 15 years, with a view to ensuring a sustainable balance-of-payments situation. The facility was fully disbursed in two equal tranches in 2014 and 2015.

On 12 August 2013, the European Parliament and the Council decided to make macro-financial assistance available to Georgia of a maximum amount of EUR 46 000 000 (up to EUR 23 000 000 in the form of grants and up to EUR 23 000 000 in the form of loans) for a maximum maturity of 15 years. The first tranche of EUR 10 000 000 was disbursed in April 2015 and the second tranche of EUR 13 000 000 was disbursed in May 2017.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 22 October 2013, the European Parliament and the Council decided to make macro-financial assistance available to the Kyrgyz Republic of a maximum amount of EUR 30 000 000 (up to EUR 15 000 000 in the form of grants and up to EUR 15 000 000 in the form of loans) for a maximum maturity of 15 years. The first tranche of EUR 5 000 000 was disbursed in 2015 and the second tranche in April 2016.

On 14 April 2014 the Council decided to make macro-financial assistance available to Ukraine in the form of loans for a maximum amount of EUR 1 000 000 000 and with a maximum maturity of 15 years, to cover Ukraine's urgent balance of payments needs as identified in the IMF programme. The total amount of EUR 1 000 000 000 was disbursed in 2014.

On 15 April 2015, the Council decided to make macro-financial assistance available to Ukraine of a maximum amount of EUR 1 800 000 000 and with a maximum maturity of 15 years, with a view to supporting Ukraine's economic stabilisation and a substantive reform agenda. The assistance is to contribute to covering Ukraine's balance of payments needs as identified in the IMF programme. The first tranche of EUR 600 000 000 was disbursed in July 2015 and the second tranche of EUR 600 000 000 was disbursed in March 2017.

On 13 September 2017, the European Parliament and the Council decided to make macro-financial assistance available to Moldova of a maximum amount of EUR 100 000 000 (up to EUR 40 000 000 in the form of grants and up to EUR 60 000 000 in the form of loans with a maximum maturity of 15 years), with a view to supporting Moldova's economic stabilisation and a substantive reform agenda.

### 3. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

VI. EUROPEAN UNION GUARANTEE FOR THE BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE FOR THE COUNTRIES OF THE WESTERN BALKANS

1. **Legal basis**

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2008/784/EC of 2 October 2008 establishing a separate liability of Montenegro and reducing proportionally the liability of Serbia with regard to the long term loans granted by the Community to the State Union of Serbia and Montenegro (formerly the Federal Republic of Yugoslavia) pursuant to Decisions 2001/549/EC and 2002/882/EC (OJ L 269, 10.10.2008, p. 8).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

2. **Description**

On 10 May 1999, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Bosnia and Herzegovina in the form of a long-term loan for a maximum amount of EUR 20 000 000 in principal for a maximum duration of 15 years (Bosnia I).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to Bosnia and Herzegovina on 21 December 1999. The second tranche of EUR 10 000 000 was paid in 2001.

On 8 November 1999, the Council again decided to grant a European Union guarantee for a borrowing-and-lending operation for the former Yugoslav Republic of Macedonia in the form of a long-term loan for a maximum amount of EUR 50 000 000 in principal for a maximum duration of 15 years (FYROM II).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to the former Yugoslav Republic of Macedonia in January 2001, the second tranche of EUR 12 000 000 in January 2002, the third tranche of EUR 10 000 000 in June 2003 and the fourth tranche of EUR 18 000 000 in December 2003.

On 16 July 2001, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for the Federal Republic of Yugoslavia (Serbia and Montenegro I) in the form of a long-term loan for a maximum amount of EUR 225 000 000 in principal for a maximum duration of 15 years. The loan was paid in a single tranche in October 2001.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 5 November 2002, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Bosnia and Herzegovina in the form of a long-term loan for a maximum amount of EUR 20 000 000 in principal for a maximum duration of 15 years (Bosnia II).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to Bosnia and Herzegovina in 2004, and the second tranche of EUR 10 000 000 in 2006.

On 5 November 2002, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia and Montenegro (Serbia and Montenegro II) in the form of a long-term loan for a maximum amount of EUR 55 000 000 in principal for a maximum duration of 15 years.

The first tranche of EUR 10 000 000 and the second tranche of EUR 30 000 000, for a maximum duration of 15 years, were paid to Serbia and Montenegro in 2003, and the third tranche of EUR 15 000 000 in 2005.

The loan to Albania IV of EUR 9 000 000, for a maximum duration of 15 years, was fully disbursed in 2006.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia in the form of a long-term loan for a maximum amount of EUR 200 000 000 in principal for a maximum duration of 8 years. The first tranche of EUR 100 000 000 was paid in 2011.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia in the form of a long-term loan for a maximum amount of EUR 100 000 000 in principal for a maximum duration of 15 years. The two tranches of EUR 50 000 000 each were paid in 2013.

### 3. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.



COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

VII. EUROPEAN UNION GUARANTEE FOR EURATOM BORROWINGS TO IMPROVE THE DEGREE OF EFFICIENCY AND SAFETY OF NUCLEAR POWER STATIONS IN THE COUNTRIES OF CENTRAL AND EASTERN EUROPE AND THE COMMONWEALTH OF INDEPENDENT STATES

1. **Legal basis**

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

2. **Description**

Pursuant to Decision 94/179/Euratom (OJ L 84, 29.3.1994, p. 41), the European Union extends the scope of Euratom borrowings under Decision 77/270/Euratom to the improvement of the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States.

The maximum amount of Euratom borrowings for the Member States and third countries remains fixed at EUR 4 000 000 000.

In 2000 the Commission decided on a loan for Kozloduy in Bulgaria (EUR 212 500 000) and the last instalment was in 2006. In 2000 the Commission granted a loan to K2R4 in Ukraine but reduced the loan amount to the EUR equivalent of USD 83 000 000 in 2004. K2R4 was granted a loan of EUR 39 000 000 (first tranche) in 2007, USD 22 000 000 in 2008, and USD 10 335 000 in 2009 under the 2004 Commission Decision. In 2004 the Commission decided on a loan for Cernavodă in Romania (EUR 223 500 000). A first instalment of EUR 100 000 000 and a second one of EUR 90 000 000 were disbursed in 2005 and the last one of EUR 33 500 000 in 2006.

In 2013 the Commission decided to grant a loan to Energoatom, Ukraine, of EUR 300 000 000 for safety upgrades of nuclear power plants. The loan will be provided in cooperation with the EBRD, which provides another EUR 300 000 000 loan in parallel. The conditions precedent to the initial availability of the loan have been assessed as fully met in 2015 and the loan was declared effective. The first tranche of EUR 50 000 000 was disbursed in May 2017.

3. **Effect on the budget**

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

From 1 January 2007, loans with Bulgaria and Romania cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the Union budget and no longer by the Fund.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## VIII. EUROPEAN GUARANTEE FOR EUROPEAN INVESTMENT BANK LOANS TO MEDITERRANEAN COUNTRIES

1. **Legal basis**

Some of the countries included in the legal bases below are now Member States or are considered to be pre-accession countries. Furthermore, the names of the countries may have changed since the relevant legal bases were adopted.

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5), repealed by Regulation (EC) No 1488/96 (OJ L 189, 30.7.1996, p. 1)

Council Decision 92/548/EEC of 16 November 1992 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/484/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Malta (OJ L 278, 21.11.1995, p. 14).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

Commission proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

## 2. *Union budget guarantee*

Pursuant to the Council Decision of 8 March 1977, the Union guarantees loans to be granted by the European Investment Bank as part of the Union's financial commitments towards the Mediterranean countries.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

This decision was the basis for the contract of guarantee signed by the European Economic Community and the European Investment Bank on 30 October 1978 in Brussels and 10 November 1978 in Luxembourg introducing a global guarantee of 75 % of all appropriations made available for loans in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Egypt, Jordan, Syria, Israel, Greece, former Yugoslavia and Lebanon.

The contract of guarantee is extended for each new Financial Protocol.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997 setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 1999/786/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 18 April 2000 and in Luxembourg on 23 May 2000 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

### 3. **Description**

Under the Financial Protocols concluded with Mediterranean third countries, global amounts are laid down for loans to be granted by the European Investment Bank from its own funds. The European Investment Bank (EIB) grants the loans for purposes which may contribute to the economic and social development of the countries in question (transport infrastructures, ports, water supply, production and distribution of energy, agricultural projects and promotion of small and medium-sized businesses).

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the EIB for loans for projects in the following Mediterranean countries: Algeria, Cyprus, Egypt, Israel, Jordan, Lebanon, Malta, Morocco, Syria, Tunisia, Turkey, Gaza and the West Bank. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 2 310 000 000 is for the abovementioned Mediterranean countries. It covered a period of three years beginning on 31 January 1997 (with a possible extension of six months).

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*BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)*

The EIB is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 29 November 1999, the Council decided to grant a Community guarantee to the EIB against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 600 000 000 and covered a period of three years beginning on 29 November 1999 (with a possible extension of six months).

The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

On 22 December 1999, the Council, acting on the basis of a Commission proposal and after consulting the European Parliament, decided once again to grant the EIB the Community guarantee against losses resulting from loans for projects carried out in the following Mediterranean countries: Algeria, Cyprus, Egypt, Israel, Jordan, Lebanon, Malta, Morocco, Syria, Tunisia, Turkey, Gaza and the West Bank. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. The guarantee is limited to 65 % of the total value of the appropriations made available plus all the related amounts. It covers a period of seven years from 1 February 2000 to 31 January 2007. As at the end of that period the loans granted by the EIB had not reached the abovementioned total values, the period has automatically been extended by six months.

On 4 December 2000, the Council decided to establish an EIB special action programme in support of the consolidation and intensification of the EC-Turkey Customs Union. The value of those loans is limited to an overall ceiling of EUR 450 000 000.

Decision 2005/47/EC restructured the Mediterranean regional mandate to exclude Cyprus, Malta and Turkey which were included in the South Eastern Neighbours mandate.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Mediterranean countries: Algeria, Egypt, West Bank and Gaza, Israel, Jordan, Lebanon, Libya (eligibility to be decided by the Council), Morocco, Syria, Tunisia. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %.

Decision 2006/1016/EC has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

Commission proposal COM(2016) 583 final of 14 September 2016 foresees an increase in the overall ceiling of the EIB financing operations under the EU guarantee throughout the period 2014-2020 by releasing the optional additional amount of EUR 3 000 000 000 referred to in Decision No 466/2014/EU and by adding a new private sector lending mandate amounting to EUR 2 300 000 000 for projects addressing root causes of migration. The overall ceiling will reach a total amount of EUR 32 300 000 000 and will be broken down into regional ceilings and sub-ceilings.

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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default,
- the granting, in a series of cases, of interest-rate subsidies of 2 % in non-repayable aid, subject to the overall amounts laid down by the Financial Protocols.

Loans with new Member States cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the Union budget and no longer by the Fund.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

IX. EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY THE EUROPEAN INVESTMENT BANK IN THIRD COUNTRIES OF CENTRAL AND EASTERN EUROPE AND THE WESTERN BALKANS

1. **Legal basis**

Some of the countries included in the legal bases below are now Member States or are considered to be pre-accession countries. Furthermore, the names of the countries may have changed since the relevant legal bases were adopted.

Decision of 29 November 1989 by the Board of Governors of the European Investment Bank concerning Bank operations in Hungary and Poland.

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to the Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and east European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia (OJ L 155, 29.5.1998, p. 53).

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/688/EC of 7 November 2000 amending Council Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

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Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

Commission proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

## 2. *Union budget guarantee*

Decision 90/62/EEC was the basis for the contract of guarantee signed by the European Economic Community and the European Investment Bank (EIB) on 24 April 1990 in Brussels and 14 May 1990 in Luxembourg concerning loans in Hungary and Poland, and the extension of this contract to loans in Czechoslovakia, Romania and Bulgaria signed on 31 July 1991 in Brussels and Luxembourg.

This contract of guarantee was the subject of an instrument signed in Brussels on 19 January 1993 and in Luxembourg on 4 February 1993 to replace the Czech and Slovak Federal Republic by the Czech Republic and the Slovak Republic from 1 January 1993.

Decision 93/696/EC was the basis for a contract of guarantee signed by the European Community and the EIB in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 98/348/EC and Decision 98/729/EC were the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the EIB signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.



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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

### 3. *Description*

In response to a call made by the Council on 9 October 1989, the Board of Governors of the European Investment Bank (EIB) decided on 29 November 1989 to authorise the Bank to provide loans from its own resources to finance investment projects in Hungary and Poland for a total amount not exceeding EUR 1 000 000 000. These loans are granted to finance investment projects which satisfy the Bank's usual requirements for loans from its own resources.

On 14 May 1991 and 15 March 1993, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided that this guarantee should be extended to any loans that the EIB might make in the other countries of central and eastern Europe (Czechoslovakia, Bulgaria and Romania) over a period of 2 years up to a maximum of EUR 700 000 000.

On 13 December 1993, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew for 3 years the Community guarantee for EUR 3 000 000 000 in EIB loans for projects in Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania.

The budget guarantee covers all aspects of debt-servicing (repayment of capital, interest, related costs) in connection with these loans.

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the EIB for loans for projects in Albania, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania, Slovak Republic and Slovenia. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 3 520 000 000 is for the abovementioned countries of central and eastern Europe. It covers a period of 3 years beginning on 31 January 1997. As at the end of that period, the loans granted by the EIB had not attained the overall amounts referred to above, the period has been automatically extended by six months.

On 19 May 1998, the Council decided to grant a Community guarantee to the EIB against losses under loans for projects in the former Yugoslav Republic of Macedonia. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 150 000 000 for a period of 2 years beginning on 1 January 1998. As at the end of that period, the loans granted by the EIB had not attained the overall amounts referred to above, the period has been automatically extended by six months.

On 14 December 1998, the Council decided to amend Decision 97/256/EC in order to extend the Community guarantee to the EIB to loans for projects in Bosnia and Herzegovina. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is EUR 100 000 000 for a period of two years beginning on 22 December 1998. As at the end of that period, the loans granted by the EIB had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The EIB is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the EIB for loans for projects in Albania, the former Yugoslav Republic of Macedonia, Bosnia and Herzegovina, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania, Slovak Republic and Slovenia. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. It covers a period of seven years beginning on 1 February 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the EIB had not attained the overall amounts referred to above, the period has been automatically extended by six months.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

On 7 November 2000, the Council decided to extend the guarantee granted by the Community to the EIB to loans for projects in Croatia.

On 6 November 2000, the Council decided to extend the guarantee granted by the Community to the EIB to loans for projects in the Federal Republic of Yugoslavia.

Decision 2005/47/EC restructured the Mediterranean regional mandate to exclude Cyprus, Malta and Turkey which were included in the South Eastern Neighbours mandate.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following candidates: Croatia, Turkey, the former Yugoslav Republic of Macedonia and potential candidate countries: Albania, Bosnia and Herzegovina, Serbia, Kosovo. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of 6 months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

Commission proposal COM(2016) 583 final of 14 September 2016 foresees an increase in the overall ceiling of the EIB financing operations under the EU guarantee throughout the period 2014-2020 by releasing the optional additional amount of EUR 3 000 000 000 referred to in Decision No 466/2014/EU and by adding a new private sector lending mandate amounting to EUR 2 300 000 000 for projects addressing root causes of migration. The overall ceiling will reach a total amount of EUR 32 300 000 000 and will be broken down into regional ceilings and sub-ceilings.

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Loans with new Member States cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the Union budget and no longer by the Fund.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

X. EUROPEAN UNION GUARANTEE TO THE EUROPEAN INVESTMENT BANK AGAINST LOSSES UNDER LOANS FOR PROJECTS IN CERTAIN ASIAN AND LATIN AMERICAN COUNTRIES

1. **Legal basis**

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

Commission proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

2. **Union budget guarantee**

Decision 93/115/EEC was the basis for a contract of guarantee signed by the European Community and the European Investment Bank (EIB) in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993.

Decision 96/723/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the EIB signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sums. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

### 3. **Description**

Pursuant to Decision 93/115/EEC the Union guarantees, on a case-by-case basis, loans made by the European Investment Bank (EIB) in third countries with which the European Union has concluded cooperation agreements.

Decision 93/115/EEC set an overall limit of EUR 250 000 000 a year for a period of 3 years.

On 12 December 1996 the Council granted the EIB a 100 % Community guarantee for loans for projects of mutual interest in certain third countries (Asian and Latin American developing countries) with which the Community has concluded cooperation agreements. This guarantee was restricted to an overall ceiling of EUR 275 000 000 to be granted in 1996 (with a possible extension of six months).

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the EIB for loans for projects in the following countries of Asia and Latin America: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Salvador, Uruguay, Venezuela, Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Mongolia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 900 000 000 is for the abovementioned countries of Asia and Latin America. It covered a period of 3 years beginning on 31 January 1997 (with a possible extension of six months).

The EIB is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council decided to renew the Community guarantee to the EIB for loans for projects in the following countries of Asia and Latin America: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Salvador, Uruguay, Venezuela, Bangladesh, Brunei, China, India, Indonesia, Laos, Macao, Malaysia, Mongolia, Nepal, Pakistan, Philippines, Singapore, South Korea, Sri Lanka, Thailand, Vietnam and Yemen. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. It covers a period of seven years beginning on 1 February 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the EIB had not attained the overall amounts referred to above, the period has been automatically extended by six months.

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The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the EIB signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Latin America countries: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela and in the following Asia countries: Afghanistan\*, Bangladesh, Bhutan\*, Brunei, Cambodia\*, China, India, Indonesia, Iraq\*, South Korea, Laos, Malaysia, Maldives, Mongolia, Nepal, Pakistan, the Philippines, Singapore, Sri Lanka, Taiwan\*, Thailand, Vietnam, Yemen and Central Asia countries: Kazakhstan\*, Kyrgyzstan\*, Turkmenistan\*, Uzbekistan\* (\*: eligibility to be decided by the Council). The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

Commission proposal COM(2016) 583 final of 14 September 2016 foresees an increase in the overall ceiling of the EIB financing operations under the EU guarantee throughout the period 2014-2020 by releasing the optional additional amount of EUR 3 000 000 000 referred to in Decision No 466/2014/EU and by adding a new private sector lending mandate amounting to EUR 2 300 000 000 for projects addressing root causes of migration. The overall ceiling will reach a total amount of EUR 32 300 000 000 and will be broken down into regional ceilings and sub-ceilings.

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## XI. EUROPEAN UNION GUARANTEE TO THE EUROPEAN INVESTMENT BANK AGAINST LOSSES UNDER LOANS FOR PROJECTS IN SOUTHERN CAUCASUS, RUSSIA, BELARUS, REPUBLIC OF MOLDOVA AND UKRAINE

1. **Legal basis**

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, the Republic of Moldova and Belarus (OJ L 21, 25.1.2005, p. 11). As of 31 December 2006 and in accordance with Commission Decision C(2005)1499, only Russia and Ukraine are eligible under Decision 2005/48/EC.

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

Commission proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

2. **Union budget guarantee**

Decision 2001/777/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank (EIB) signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002.

Decision 2005/48/EC is the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 21 December 2005 and in Luxembourg on 9 December 2005.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

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### 3. *Description*

On 6 November 2001, the Council decided to grant a Community guarantee to the European Investment Bank (EIB) against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling of the credits is EUR 100 000 000. The EIB benefits from an exceptional Community guarantee of 100 %.

On 22 December 2004, the Council decided to grant a Community guarantee to the EIB against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling of the credits is EUR 500 000 000. The EIB benefits from an exceptional Community guarantee of 100 %.

Decision 2005/48/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 21 December 2005 and in Luxembourg on 9 December 2005 setting up a guarantee to 100 %.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Eastern Europe countries: the Republic of Moldova, Ukraine, Belarus (eligibility to be decided by the Council); in the Southern Caucasus countries: Armenia, Azerbaijan, Georgia and in Russia. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

Commission proposal COM(2016) 583 final of 14 September 2016 foresees an increase in the overall ceiling of the EIB financing operations under the EU guarantee throughout the period 2014-2020 by releasing the optional additional amount of EUR 3 000 000 000 referred to in Decision No 466/2014/EU and by adding a new private sector lending mandate amounting to EUR 2 300 000 000 for projects addressing root causes of migration. The overall ceiling will reach a total amount of EUR 32 300 000 000 and will be broken down into regional ceilings and sub-ceilings.

### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

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## XII. EUROPEAN UNION GUARANTEE FOR EUROPEAN INVESTMENT BANK LOANS TO SOUTH AFRICA

1. *Legal basis*

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

Commission proposal for a Decision of the European Parliament and of the Council, submitted by the Commission on 14 September 2016, amending Decision No 466/2014/EU granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (COM(2016) 583 final).

2. *Union budget guarantee*

Decision 95/207/EC was the basis for a contract of guarantee signed by the European Community and the European Investment Bank (EIB) in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

Decision 97/256/EC was the basis for a contract of guarantee between the EC and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.



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Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

### 3. *Description*

In accordance with the provisions of Decision 95/207/EC, the Union will guarantee European Investment Bank (EIB) loans to South Africa up to a maximum total amount of EUR 300 000 000.

The budget guarantee covers all the debt-servicing (repayment of principal, interest and ancillary costs) in connection with these loans.

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the EIB for loans for projects in the Republic of South Africa. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 375 000 000 is for the Republic of South Africa. It covered a period of three years beginning on 1 July 1997 (with a possible 6-month extension).

The EIB is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the EIB for loans for projects in the Republic of South Africa. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. It covers a period beginning on 1 July 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the EIB had not attained the overall amounts referred to above, the period has been automatically extended by 6 months.

The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the EIB signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of 6 months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

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Commission proposal COM(2016) 583 final of 14 September 2016 foresees an increase in the overall ceiling of the EIB financing operations under the EU guarantee throughout the period 2014-2020 by releasing the optional additional amount of EUR 3 000 000 000 referred to in Decision No 466/2014/EU and by adding a new private sector lending mandate amounting to EUR 2 300 000 000 for projects addressing root causes of migration. The overall ceiling will reach a total amount of EUR 32 300 000 000 and will be broken down into regional ceilings and sub-ceilings.

**4. Effect on the budget**

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk-operations).

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### C. FORECASTS FOR NEW BORROWING-AND-LENDING OPERATIONS IN 2017 AND 2018

The following table gives a rough indication of possible new borrowings and the disbursement of new loans (guaranteed by the Union budget) in 2017 and 2018.

#### Borrowing-and-lending operations in 2017 and in 2018

(EUR million)

| Instrument                                                                                                                                                                                                                                                                                                                                | 2017                | 2018                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|----------------------------|
| <i>A. Union and Euratom borrowing-and-lending operations with a Union budget guarantee</i>                                                                                                                                                                                                                                                |                     |                            |
| <i>1. Union macro-financial assistance for third countries (MFA)</i>                                                                                                                                                                                                                                                                      |                     |                            |
| <i>Operations decided or scheduled:</i>                                                                                                                                                                                                                                                                                                   |                     |                            |
| Georgia                                                                                                                                                                                                                                                                                                                                   | 13                  |                            |
| Tunisia I                                                                                                                                                                                                                                                                                                                                 | 100                 |                            |
| Ukraine III                                                                                                                                                                                                                                                                                                                               | 1 600               |                            |
| Tunisia II                                                                                                                                                                                                                                                                                                                                | 200                 | 300                        |
| Jordan II                                                                                                                                                                                                                                                                                                                                 | 100                 | 100                        |
| <i>Subtotal MFA</i>                                                                                                                                                                                                                                                                                                                       | <i>1 013</i>        | <i>400</i>                 |
| <i>2. Euratom loans</i>                                                                                                                                                                                                                                                                                                                   | <i>50</i>           | <i>50</i>                  |
| <i>3. Balance of payments</i>                                                                                                                                                                                                                                                                                                             | <i>0</i>            | <i>0</i>                   |
| <i>4. European Financial Stabilisation Mechanism (EFSM)</i>                                                                                                                                                                                                                                                                               | <i>0</i>            | <i>4 500<sup>(1)</sup></i> |
| <b><i>Subtotal A</i></b>                                                                                                                                                                                                                                                                                                                  | <b><i>1 063</i></b> | <b><i>4 950</i></b>        |
| <i>B. European Investment Bank loans with a Union budget guarantee</i>                                                                                                                                                                                                                                                                    |                     |                            |
| <i>1. Pre-accession countries</i>                                                                                                                                                                                                                                                                                                         | <i>1 297</i>        | <i>1 344</i>               |
| <i>2. Neighbourhood and partnership countries</i>                                                                                                                                                                                                                                                                                         | <i>2 032</i>        | <i>1 834</i>               |
| <i>3. Asia and Latin America</i>                                                                                                                                                                                                                                                                                                          | <i>695</i>          | <i>633</i>                 |
| <i>4. Republic of South Africa</i>                                                                                                                                                                                                                                                                                                        | <i>149</i>          | <i>109</i>                 |
| <b><i>Subtotal B</i></b>                                                                                                                                                                                                                                                                                                                  | <b><i>4 173</i></b> | <b><i>3 919</i></b>        |
| <b><i>Grand total</i></b>                                                                                                                                                                                                                                                                                                                 | <b><i>5 986</i></b> | <b><i>8 719</i></b>        |
| <p><sup>(1)</sup> Portugal and Ireland are entitled to request a lengthening of maturities on their existing EU loans. The next maturing EFSM loans are due on 4 April 2018 and Ireland has requested an extension. Further EUR 1 100 000 000 are due from Ireland (EU 500 000 000) and Portugal (EUR 600 000 000) on 4 October 2018.</p> |                     |                            |

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## D. CAPITAL OPERATIONS AND DEBT MANAGEMENT

TABLE 1 — LOANS GRANTED

## Capital operations and management of funds lent

(EUR million)

| Instrument and year of disbursement | Equivalent at date of disbursement | Initial amount paid up to 31 December 2016 | Amount outstanding at 31 December 2016 | Repayments |          | Amount outstanding at 31 December |          | Interest at 31 December |        |       |
|-------------------------------------|------------------------------------|--------------------------------------------|----------------------------------------|------------|----------|-----------------------------------|----------|-------------------------|--------|-------|
|                                     |                                    |                                            |                                        | 2017       | 2018     | 2017                              | 2018     | 2017                    | 2018   | 2019  |
| 1. Euratom                          |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 1977                                | 95,30                              | 23,20                                      |                                        |            |          |                                   |          |                         |        |       |
| 1978                                | 70,80                              | 45,30                                      |                                        |            |          |                                   |          |                         |        |       |
| 1979                                | 151,60                             | 43,60                                      |                                        |            |          |                                   |          |                         |        |       |
| 1980                                | 183,50                             | 74,30                                      |                                        |            |          |                                   |          |                         |        |       |
| 1981                                | 360,40                             | 245,30                                     |                                        |            |          |                                   |          |                         |        |       |
| 1982                                | 354,60                             | 249,50                                     |                                        |            |          |                                   |          |                         |        |       |
| 1983                                | 366,90                             | 369,80                                     |                                        |            |          |                                   |          |                         |        |       |
| 1984                                | 183,70                             | 207,10                                     |                                        |            |          |                                   |          |                         |        |       |
| 1985                                | 208,30                             | 179,30                                     |                                        |            |          |                                   |          |                         |        |       |
| 1986                                | 575,00                             | 445,80                                     |                                        |            |          |                                   |          |                         |        |       |
| 1987                                | 209,60                             | 329,80                                     |                                        |            |          |                                   |          |                         |        |       |
| 2001                                | 40,00                              | 40,00                                      | 20,00                                  | 4,00       | 4,00     | 16,00                             | 12,00    | 1,15                    | 0,92   | 0,69  |
| 2002                                | 40,00                              | 40,00                                      | 8,25                                   | 3,25       | 2,50     | 5,00                              | 2,50     | 0,01                    | 0,00   | 0,00  |
| 2003                                | 25,00                              | 25,00                                      | 3,75                                   | 2,50       | 1,25     | 1,25                              |          | 0,00                    | 0,00   |       |
| 2004                                | 65,00                              | 65,00                                      | 20,75                                  | 6,50       | 6,50     | 14,25                             | 7,75     | 0,02                    | 0,01   | 0,00  |
| 2005                                | 215,00                             | 215,00                                     | 146,06                                 | 22,13      | 22,13    | 123,94                            | 101,81   | 0,11                    | 0,10   | 0,08  |
| 2006                                | 51,00                              | 51,00                                      | 42,25                                  | 6,70       | 6,70     | 35,55                             | 28,85    | 0,03                    | 0,03   | 0,02  |
| 2007                                | 39,00                              | 39,00                                      | 5,85                                   | 3,90       | 1,95     | 1,95                              |          | 0,01                    | 0,00   |       |
| 2008                                | 15,80                              | 15,80                                      | 3,30                                   | 2,20       | 1,10     | 1,10                              |          | 0,05                    | 0,01   |       |
| 2009                                | 6,90                               | 6,90                                       | 1,23                                   | 1,23       |          |                                   |          | 0,03                    |        |       |
| 2010                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2011                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2012                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2013                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2014                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2015                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2016                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| Total                               | 3 257,40                           | 2 710,70                                   | 251,43                                 | 52,40      | 46,12    | 199,04                            | 152,91   | 1,41                    | 1,07   | 0,80  |
| 2. Balance of payments              |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2009                                | 7 200,00                           | 7 200,00                                   |                                        |            |          |                                   |          |                         |        |       |
| 2010                                | 2 850,00                           | 2 850,00                                   | 2 850,00                               | 1 150,00   |          | 1 700,00                          | 1 700,00 | 83,69                   | 56,38  | 56,38 |
| 2011                                | 1 350,00                           | 1 350,00                                   | 1 350,00                               |            | 1 350,00 | 1 350,00                          |          | 43,69                   | 43,69  |       |
| 2012                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2013                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2014                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2015                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2016                                |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| Total                               | 11 400,00                          | 11 400,00                                  | 4 200,00                               | 1 150,00   | 1 350,00 | 3 050,00                          | 1 700,00 | 127,38                  | 100,06 | 56,38 |

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(EUR million)

| Instrument and year of disbursement                                                                                                                    | Equivalent at date of disbursement | Initial amount paid up to 31 December 2016 | Amount outstanding at 31 December 2016 | Repayments   |                 | Amount outstanding at 31 December |                  | Interest at 31 December |                 |                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|--------------------------------------------|----------------------------------------|--------------|-----------------|-----------------------------------|------------------|-------------------------|-----------------|-----------------|
|                                                                                                                                                        |                                    |                                            |                                        | 2017         | 2018            | 2017                              | 2018             | 2017                    | 2018            | 2019            |
| <b>3. Macro-financial assistance (MFA) to third countries and food aid to the former Soviet Union</b>                                                  |                                    |                                            |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1990                                                                                                                                                   | 350,00                             | 350,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1991                                                                                                                                                   | 945,00                             | 945,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1992                                                                                                                                                   | 1 671,00                           | 1 671,00                                   |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1993                                                                                                                                                   | 659,00                             | 659,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1994                                                                                                                                                   | 400,00                             | 400,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1995                                                                                                                                                   | 410,00                             | 410,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1996                                                                                                                                                   | 155,00                             | 155,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1997                                                                                                                                                   | 445,00                             | 445,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1998                                                                                                                                                   | 153,00                             | 153,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 1999                                                                                                                                                   | 108,00                             | 108,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 2000                                                                                                                                                   | 160,00                             | 160,00                                     |                                        |              |                 |                                   |                  |                         |                 |                 |
| 2001                                                                                                                                                   | 305,00                             | 305,00                                     |                                        |              |                 |                                   |                  |                         |                 | 0,00            |
| 2002                                                                                                                                                   | 12,00                              | 12,00                                      | 2,40                                   | 2,40         |                 |                                   |                  |                         |                 | 0,00            |
| 2003                                                                                                                                                   | 118,00                             | 118,00                                     | 11,20                                  | 5,60         | 5,60            | 5,60                              |                  |                         |                 | 0,00            |
| 2004                                                                                                                                                   | 10,00                              | 10,00                                      | 6,00                                   | 2,00         | 2,00            | 4,00                              | 2,00             |                         |                 | 0,00            |
| 2005                                                                                                                                                   | 15,00                              | 15,00                                      |                                        |              |                 |                                   |                  |                         |                 |                 |
| 2006                                                                                                                                                   | 19,00                              | 19,00                                      | 19,00                                  | 3,80         | 3,80            | 15,20                             | 11,40            |                         |                 | 0,00            |
| 2009                                                                                                                                                   | 25,00                              | 25,00                                      |                                        |              |                 |                                   |                  |                         |                 |                 |
| 2011                                                                                                                                                   |                                    |                                            | 154,00                                 | 44,33        | 44,33           | 109,67                            | 65,33            | 5,34                    | 3,83            | 3,83            |
| 2012                                                                                                                                                   | 39,00                              | 39,00                                      | 39,00                                  |              |                 | 39,00                             | 39,00            | 1,22                    | 1,22            | 1,22            |
| 2013                                                                                                                                                   | 100,00                             | 100,00                                     | 100,00                                 |              |                 | 100,00                            | 100,00           | 2,00                    | 2,00            | 2,00            |
| 2014                                                                                                                                                   | 1 360,00                           | 1 360,00                                   | 1 360,00                               |              |                 | 1 360,00                          | 1 360,00         | 21,70                   | 21,70           | 21,70           |
| 2015                                                                                                                                                   | 1 245,00                           | 1 245,00                                   | 1 245,00                               |              |                 | 1 245,00                          | 1 245,00         | 6,40                    | 6,40            | 6,40            |
| 2016                                                                                                                                                   | 10,00                              | 10,00                                      | 10,00                                  |              |                 | 10,00                             | 10,00            | 0,07                    | 0,08            | 0,08            |
| <b>Total</b>                                                                                                                                           | <b>8 714,00</b>                    | <b>8 714,00</b>                            | <b>2 946,60</b>                        | <b>58,13</b> | <b>55,73</b>    | <b>2 888,47</b>                   | <b>2 832,73</b>  | <b>36,74</b>            | <b>35,23</b>    | <b>33,71</b>    |
| <b>4. EFSM</b>                                                                                                                                         |                                    |                                            |                                        |              |                 |                                   |                  |                         |                 |                 |
| 2011                                                                                                                                                   | 28 000,00                          | 28 000,00                                  | 18 250,00                              |              | 4 500,00        | 18 250,00                         | 13 750,00        | 560,38                  | 560,38          | 423,75          |
| 2012                                                                                                                                                   | 15 800,00                          | 15 800,00                                  | 15 800,00                              |              |                 | 15 800,00                         | 15 800,00        | 489,88                  | 489,88          | 489,88          |
| 2014                                                                                                                                                   | 3 000,00                           | 3 000,00                                   | 3 000,00                               |              |                 | 3 000,00                          | 3 000,00         | 54,25                   | 54,25           | 54,25           |
| 2015 (*)                                                                                                                                               | 5 000,00                           | 5 000,00                                   | 5 000,00                               |              |                 | 5 000,00                          | 5 000,00         | 56,25                   | 56,25           | 56,25           |
| 2016 (**)                                                                                                                                              | 4 750,00                           | 4 750,00                                   | 4 750,00                               |              |                 | 4 750,00                          | 4 750,00         | 37,72                   | 37,50           | 37,50           |
| <b>Total</b>                                                                                                                                           | <b>56 550,00</b>                   | <b>56 550,00</b>                           | <b>46 800,00</b>                       |              | <b>4 500,00</b> | <b>46 800,00</b>                  | <b>42 300,00</b> | <b>1 198,47</b>         | <b>1 198,25</b> | <b>1 061,63</b> |
| (*) The EUR 5 000 000 000 loan raised in 2015 corresponds to the lengthening and refinancing of a loan of 2011 (see 1.4.1. Technical notes on tables). |                                    |                                            |                                        |              |                 |                                   |                  |                         |                 |                 |
| (**) The EUR 4 750 000 000 due from Portugal in April 2016 have been lengthened as requested.                                                          |                                    |                                            |                                        |              |                 |                                   |                  |                         |                 |                 |



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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

(EUR million)

| Instrument and year of disbursement                                                                   | Equivalent at date of disbursement | Initial amount paid up to 31 December 2016 | Amount outstanding at 31 December 2016 | Repayments |          | Amount outstanding at 31 December |          | Interest at 31 December |        |       |
|-------------------------------------------------------------------------------------------------------|------------------------------------|--------------------------------------------|----------------------------------------|------------|----------|-----------------------------------|----------|-------------------------|--------|-------|
|                                                                                                       |                                    |                                            |                                        | 2017       | 2018     | 2017                              | 2018     | 2017                    | 2018   | 2019  |
| 2013                                                                                                  |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2014                                                                                                  |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2015                                                                                                  |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 2016                                                                                                  |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| <i>Total</i>                                                                                          | 11 400,00                          | 11 400,00                                  | 4 200,00                               | 1 150,00   | 1 350,00 | 3 050,00                          | 1 700,00 | 127,38                  | 100,06 | 56,38 |
| 3. <i>Macro-financial assistance (MFA) to third countries and food aid to the former Soviet Union</i> |                                    |                                            |                                        |            |          |                                   |          |                         |        |       |
| 1990                                                                                                  | 350,00                             | 350,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1991                                                                                                  | 945,00                             | 945,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1992                                                                                                  | 1 671,00                           | 1 671,00                                   |                                        |            |          |                                   |          |                         |        |       |
| 1993                                                                                                  | 659,00                             | 659,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1994                                                                                                  | 400,00                             | 400,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1995                                                                                                  | 410,00                             | 410,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1996                                                                                                  | 155,00                             | 155,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1997                                                                                                  | 445,00                             | 195,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1998                                                                                                  | 153,00                             | 403,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 1999                                                                                                  | 108,00                             | 108,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 2000                                                                                                  | 160,00                             | 160,00                                     |                                        |            |          |                                   |          |                         |        |       |
| 2001                                                                                                  | 80,00                              | 80,00                                      |                                        |            |          |                                   |          |                         |        |       |
| 2002                                                                                                  | 12,00                              | 12,00                                      | 2,40                                   | 2,40       |          |                                   |          |                         |        |       |
| 2003                                                                                                  | 78,00                              | 78,00                                      | 11,20                                  | 5,60       | 5,60     | 5,60                              |          |                         |        |       |
| 2004                                                                                                  | 10,00                              | 10,00                                      | 6,00                                   | 2,00       | 2,00     | 4,00                              | 2,00     |                         |        |       |
| 2006                                                                                                  | 19,00                              | 19,00                                      | 19,00                                  | 3,80       | 3,80     | 15,20                             | 11,40    |                         |        |       |
| 2009                                                                                                  | 25,00                              | 25,00                                      |                                        |            |          |                                   |          |                         |        |       |
| 2011                                                                                                  | 126,00                             | 126,00                                     | 154,00                                 | 44,33      | 44,33    | 109,67                            | 65,33    | 5,34                    | 3,83   | 2,32  |
| 2012                                                                                                  | 39,00                              | 39,00                                      | 39,00                                  |            |          | 39,00                             | 39,00    | 1,22                    | 1,22   | 1,22  |
| 2013                                                                                                  | 100,00                             | 100,00                                     | 100,00                                 |            |          | 100,00                            | 100,00   | 2,00                    | 2,00   | 2,00  |
| 2014                                                                                                  | 1 360,00                           | 1 360,00                                   | 1 360,00                               |            |          | 1 360,00                          | 1 360,00 | 21,70                   | 21,70  | 21,70 |
| 2015                                                                                                  | 1 245,00                           | 1 245,00                                   | 1 245,00                               |            |          | 1 245,00                          | 1 245,00 | 6,40                    | 6,40   | 6,40  |
| 2016                                                                                                  | 10,00                              | 10,00                                      | 10,00                                  |            |          | 10,00                             | 10,00    | 0,07                    | 0,08   | 0,08  |
| <i>Total</i>                                                                                          | 8 560,00                           | 8 560,00                                   | 2 946,60                               | 58,13      | 55,73    | 2 888,47                          | 2 832,73 | 36,74                   | 35,23  | 33,71 |

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

(EUR million)

| Instrument and year of disbursement | Equivalent at date of disbursement | Initial amount paid up to 31 December 2016 | Amount outstanding at 31 December 2016 | Repayments |                 | Amount outstanding at 31 December |                  | Interest at 31 December |                 |                 |
|-------------------------------------|------------------------------------|--------------------------------------------|----------------------------------------|------------|-----------------|-----------------------------------|------------------|-------------------------|-----------------|-----------------|
|                                     |                                    |                                            |                                        | 2017       | 2018            | 2017                              | 2018             | 2017                    | 2018            | 2019            |
| 4. EFSM                             |                                    |                                            |                                        |            |                 |                                   |                  |                         |                 |                 |
| 2011                                | 28 000,00                          | 28 000,00                                  | 18 250,00                              |            | 4 500,00        | 18 250,00                         | 13 750,00        | 560,38                  | 560,38          | 423,75          |
| 2012                                | 15 800,00                          | 15 800,00                                  | 15 800,00                              |            |                 | 15 800,00                         | 15 800,00        | 489,88                  | 489,88          | 489,88          |
| 2014                                | 3 000,00                           | 3 000,00                                   | 3 000,00                               |            |                 | 3 000,00                          | 3 000,00         | 54,25                   | 54,25           | 54,25           |
| 2015 (*)                            | 5 000,00                           | 5 000,00                                   | 5 000,00                               |            |                 | 5 000,00                          | 5 000,00         | 56,25                   | 56,25           | 56,25           |
| 2016 (**)                           | 4 750,00                           | 4 750,00                                   | 4 750,00                               |            |                 | 4 750,00                          | 4 750,00         | 37,72                   | 37,50           | 37,50           |
| <i>Total</i>                        | <i>56 550,00</i>                   | <i>56 550,00</i>                           | <i>46 800,00</i>                       |            | <i>4 500,00</i> | <i>46 800,00</i>                  | <i>42 300,00</i> | <i>1 198,47</i>         | <i>1 198,25</i> | <i>1 061,63</i> |

(\*) The EUR 5 000 000 000 loan raised in 2015 corresponds to the lengthening and refinancing of a loan of 2011 (see 1.4.1. Technical notes on tables).

(\*\*) The EUR 4 750 000 000 due from Portugal in April 2016 have been lengthened as requested.



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### **Technical notes on tables**

Exchange rate: the amounts in column 2 'Equivalent at date of disbursement' are converted at the rate applicable on the date of signature. As regards refinancing operations, Table 1 shows both the initial operation (e.g. in 1979) and the replacement operation (e.g. in 1986), the amount for the latter being converted at the rate of the initial operation. The resulting duplication affects the annual figures but is eliminated in the total.

All other amounts are converted at the rate applicable at 31 December 2016.

Column 3 'Initial amount paid up to 31 December 2016': with reference to 1986, for example, this column shows the cumulative total of all amounts received up to 31 December 2016 under loans signed in 1986 (Table 1), including refinancing (which produces some duplication).

Column 4 'Amount outstanding at 31 December 2016': these are net figures, with no duplication as a result of refinancing operations. They are obtained by subtracting from the column 3 figure the cumulative total of repayments made up to 31 December 2016, including repayments in respect of refinancing operations (total not given in the tables).

Column 7 = column 4 – column 5.

MFA 2011: following the loan agreement signed by Montenegro on 9 February 2010 pursuant to Council Decision 2008/784/EC of 2 October 2008 establishing a separate liability of Montenegro, and reducing proportionally the liability of Serbia with regard to the long-term loans granted by the Community to the State Union of Serbia and Montenegro (formerly the Federal Republic of Yugoslavia) pursuant to Decisions 2001/549/EC and 2002/882/EC (OJ L 269, 10.10.2008, p. 8), the loans initially granted to Serbia and Montenegro in 2001, 2003 and 2005 have been re-initiated with a virtual starting date in 2011 in order to implement the splitting of the countries.

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### **Introduction**

This Annex is drawn up in accordance with point (e) of Article 49(1) of the Financial Regulation.

It provides information on both the financial instruments prior to 2014 managed by the Commission with a budgetary impact in terms of payment appropriations in 2014 onwards and the 2014-2020 financial instruments managed by the Commission with a budgetary impact starting in 2014 under the multiannual financial framework (MFF) 2014-2020 included in the proposals for basic act.

More quantitative information on the instruments can be found in the Working Document on financial instruments accompanying draft budget in accordance with Article 38(5) of the Financial Regulation.

### **List of financial instruments**

#### **Equity instruments**

- High Growth and Innovative SME Facility under the Competitiveness and Innovation Framework Programme (CIP) (GIF) — Prior to 2014
- Equity Facility for Growth under the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) — 2014 to 2020
- Equity Facility (early-stage capital) for Research and Innovation of Horizon 2020 (InnovFin Equity) — 2014 to 2020
- ‘Connecting Europe Facility’ (CEF) Equity Instrument — 2014 to 2020
- EaSI Capacity Building Investments (EaSI CBI) — 2014 to 2020

#### **Guarantee instruments**

- SME Guarantee Facility under CIP (SMEG07) — Prior to 2014
- RSI (pilot guarantee facility for R&I-driven SMEs and small midcaps) under the seventh research framework programme — Prior to 2014
- European Progress Microfinance Guarantee Facility (EPMF-G) — Prior to 2014
- Loan Guarantee Facility under the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) — 2014 to 2020
- SME and Small Midcaps R&I Loans Service under Horizon 2020 — 2014 to 2020
- Programme for Employment and Social Innovation (EaSI) — Third axis — Microfinance and Social Entrepreneurship (EaSI-G) — 2014 to 2020
- Cultural and Creative Sector Guarantee Facility (Creative Europe Programme) — 2014 to 2020

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- Erasmus+ Master Student Loan Guarantee Facility — 2014 to 2020
- Private Financing for Energy Efficiency (PF4EE) Guarantee Window — 2014 to 2020

**Risk-sharing instruments**

- Risk-Sharing Finance Facility under the seventh framework programme (RSFF) including RSI (pilot guarantee facility for R&I-driven SMEs and small midcaps) — Prior to 2014
- Loan and Guarantee Service for Research and Innovation under Horizon 2020 (InnovFin Debt) — 2014 to 2020
- CEF Debt Instrument set up as the Risk-sharing Instrument (including legacy instrument from the period 2007 — 2013: LGTT and pilot phase of the project bonds PBI) 2014 to 2020
- Natural Capital Finance Facility — 2014 to 2020
- EU SME Initiative — 2014 to 2020 (indirect Commission management part, i.e. COSME/Horizon 2020)

**Dedicated investment vehicles**

- European Progress Microfinance FCP-FIS (EPMF FCP-FIS) — Prior to 2014
- 2020 European Fund for Energy, Climate Change and Infrastructure (Marguerite) — Prior to 2014
- European Energy Efficiency Fund (EEEF) — Prior to 2014

**External facilities (not financed exclusively through the European Development Fund)****Regional facilities**

- Neighbourhood Investment Facility (NIF)
- Investment Facility for Central Asia (IFCA) and Asia Investment Facility (AIF)
- Latin America Investment Facility (LAIF)

**Additional facilities**

- Support to the Facility for Euro-Mediterranean Investment Partnership (FEMIP)
- Global Energy Efficiency and Renewable Energy Fund (GEEREF)
- Thematic blending (ElectriFI, AgriFI and Climate Change)

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## **Financial instruments in the enlargement countries**

### **Western Balkans**

- Guarantee Facility I under the Western Balkan Enterprise Development and Innovation Facility (EDIF)
- Guarantee Facility II under the Western Balkan Enterprise Development and Innovation Facility (EDIF)
- Enterprise Expansion Fund (ENEF) under the Western Balkan Enterprise Development and Innovation Facility
- Enterprise Innovation Fund (ENIF) under the Western Balkan Enterprise Development and Innovation Facility

### **Other (mixed)**

- European Fund for Southeast Europe (EFSE)
- Green for Growth Fund (GGF)
- SME Recovery Support Loan for Turkey

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## Financial information on financial instruments

### Equity instruments

#### High Growth and Innovative SME Facility under the Competitiveness and Innovation Framework Programme (CIP) (GIF) — Prior to 2014

(i) **Reference to the basic act**

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

(ii) **Budget lines corresponding to the relevant operations**

Article 01 04 51 — Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014) (in 2015 budget nomenclature).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The High Growth and Innovative SME Facility (GIF) is one <sup>(1)</sup> of the financial instruments provided for under the Entrepreneurship and Innovation Programme (EIP), which in turn is one of the three specific programmes under the Competitiveness and Innovation Framework Programme (CIP) <sup>(2)</sup>.

The overall objective of the financial instruments under the CIP is the improvement of access to finance for the start-up and growth of small and medium-sized enterprises (SMEs) in order to support their investment in innovation activities, including eco-innovation.

The GIF is implemented by the European Investment Fund (EIF) on behalf of the Commission. It aims to increase the supply of equity for innovative SMEs in their early stages (GIF1) and in the expansion phase (GIF2). Investment proposals by financial intermediaries are selected on the basis of a notice of implementation (OJ C 302, 14.12.2007, p. 8).

In general, the CIP market-oriented instruments (both GIF and SMEG 07) have shown high efficiency and relevance to address the current market conditions, dominated in the recent years by a tightening of credit conditions and more difficult access to finance for SMEs. The GIF is a venture capital facility focused and targeted on a relatively limited number of companies that have the potential to achieve high growth, to bring innovation to the market and to create high-added-value jobs.

As regards the duration, the commitment period for GIF ended on 31 December 2013 but the instruments will exist until the winding up after 2026.

The total budgetary commitments for the CIP financial instruments for the whole period of 2007-2013 were foreseen to be EUR 1 130 million, with an original indicative split of EUR 620 million for GIF (including eco-innovation) and EUR 510 million for the SME Guarantee Facility.

<sup>(1)</sup> The other instrument being SMEG 07.

<sup>(2)</sup> Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007-2013) (OJ L 310, 9.11.2006, p. 15).

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No further budgetary commitments have been made by the Commission since the end of the commitment period, i.e. 31 December 2013.

The GIF aggregate budgetary commitments for 2007-2013 amounted to EUR 580,7 million. The leverage effect <sup>(1)</sup> on GIF is expected to be around 5,6 which means that the impact of the aggregate budgetary commitments on the overall economy is expected to reach nearly EUR 3 252 million of funding to beneficiary SMEs.

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<sup>(1)</sup> Calculated based on the EIF 'GIFreport Q4 2016' (data at 31 December 2016) as 'Actual intermediary size/GIF net approved capital' (i.e.: total value of the underlying venture capital funds — in other words the total amount of money invested in, or made available to, final recipients — divided by the approved Union contribution to those underlying venture capital funds).

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## **Equity Facility for Growth under the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) —2014 to 2020**

### **(i) Reference to the basic act**

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

### **(ii) Budget lines corresponding to the relevant operations**

Article 02 02 02 — Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt.

### **(iii) General description of the financial instruments, including their duration and their impact on the budget**

The Equity Facility for Growth (EFG) focuses on funds that provide venture capital and mezzanine finance, such as subordinated and participating loans, to expansion and growth-stage enterprises, in particular those operating across borders, while having the possibility to make investments in early stage enterprises in conjunction with the Equity Facility for Research and Innovation (R&I) under Horizon 2020.

In the latter case, the investment from EFG shall not exceed 20 % of the total Union investment except in cases of multi-stage funds, where funding from EFG and the Equity Facility for R&I will be provided on a pro rata basis, based on the funds' investment policy. The Commission may decide to amend the 20 % threshold in light of changing market conditions.

The EFG may include seed funding, angel funding and quasi-equity financing subject to market demand but exclude asset-stripping.

Support is given in the form of direct investments made by the entrusted entity in financial intermediaries that provide equity or quasi-equity financing to SMEs or indirect investments via funds-of-funds as part of the Pan-European VC funds-of-funds project.

The overall policy objective is to provide enhanced access to risk capital for which significant market gaps exist in Europe and to support the development of a pan-European risk capital market. The latter will be achieved by focusing predominantly on those risk capital funds which invest cross-border.

The implementation of the EFG has been entrusted to the European Investment Fund (EIF) on the basis of a Delegation Agreement signed on 22 July 2014.

The delegation agreement ensures that the EFG is accessible for a broad range of financial intermediaries provided that these are professionally and independently managed and display the capacity to successfully support SMEs in their growth and expansion phase.

From a technical point of view, the EIF is instructed to invest on a *pari passu* basis with other private and public investors. Target final recipients are SMEs of all sizes without a specific sector focus.

The duration of the equity instrument is planned to last until 31 December 2034 (until the last operations are wound down).

Regulation (EU) No 1287/2013 provides that no less than 60 % of the total financial envelope for the implementation of the COSME programme shall be allocated to the financial instruments. Based on the distribution of the total amount allocated to the financial instruments between the equity instrument (48 %) and the debt instrument (52 %) as foreseen in the legislative financial statement, the initial overall budget envelope foreseen for the EFG for the total 2014-2020 programming period amounts to EUR 662 million.



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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The estimated initial budget allocation foreseen for the total programming period amounts to EUR 662 million <sup>(1)</sup>.

The target leverage effect as indicated in the legal basis is between 4 and 6, with EUR 1 from the Union budget resulting in EUR 4-6 in equity investments over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total investment volumes mobilised would range from EUR 2 600 to 3 900 million for the entire duration of the programme.

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<sup>(1)</sup> This amount is based on the assumption that the distribution between the COSME financial instruments is 52 % for the Loan Guarantee Facility (LGF) and 48 % for the Equity Facility for Growth (EFG) as foreseen in the legislative financial statement, and subject to change, in line with Article 17 of Regulation (EU) No 1287/2013.

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**Equity Facility (early-stage capital) for Research and Innovation of Horizon 2020 (InnovFin Equity) —2014 to 2020****(i) Reference to the basic act**

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104)

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**(ii) Budget lines corresponding to the relevant operations**

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

Item 09 04 02 01 — Leadership in information and communications technology.

Item 04 03 02 03 — Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises.

**(iii) General description of the financial instruments, including their duration and their impact on budget**

A Union-level Equity Facility for Research and Innovation ('R&I') is needed to help improve the availability of equity finance for early and growth-stage investments and to boost the development of the Union venture capital market.

The goal is to contribute to overcoming the deficiencies of the European venture capital market and provide equity and quasi-equity to cover the development and financing needs of innovative enterprises, including social enterprises, from the seed stage through to growth and expansion.

The Equity Facility for R&I focuses on early-stage risk capital funds providing venture capital and quasi-equity (including mezzanine capital) to individual portfolio enterprises. That facility has also the possibility to make expansion and growth-stage investments in conjunction with the Equity Facility for Growth (EFG) under the Programme for the Competitiveness of Enterprises and SMEs (COSME), to ensure a continued support during the start-up and development of companies.

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In the latter case, the investment from the Equity Facility for R&I of Horizon 2020 shall not exceed 20 % of the total EU investment except in cases of multi-stage funds, where funding from EFG and the Equity Facility for R&I will be provided on a pro rata basis, based on the funds' investment policy. Like the EFG, the Equity Facility for R&I shall avoid buy-out or replacement capital intended for the dismantling of an acquired enterprise.

The overall policy objective is to provide enhanced access to risk capital for which significant market gaps exist in Europe and to support the development of a pan-European risk capital market.

In terms of EU added value, the Equity Facility for R&I will complement national schemes that cannot cater for cross-border investments in R&I. The early-stage deals will also have a demonstration effect that can benefit public and private investors across Europe. For the growth phase only at European level it is possible to achieve the necessary scale and the strong participation of private investors that are essential to the functioning of a self-sustaining venture capital market.

The implementation of the direct investments under this facility is entrusted to the European Investment Fund (EIF) in Luxembourg.

The Delegation Agreement (amendment to the Delegation Agreement for the early-stage equity facility under Horizon 2020 signed on 15 June 2015) with the entrusted entity ensures that the early-stage equity facility under Horizon 2020 is accessible for a broad range of financial intermediaries provided that these are professionally and independently managed and display the capacity to successfully support portfolio companies in their early-stage development and growth.

Through an amendment to the Delegation Agreement signed on 22 July 2015 a new compartment focusing on co-investments alongside Business Angels, in particular in the areas of ICT and social enterprises has been implemented. One of its objectives is to unlock private capital to support the development of the social investment market and facilitate access to finance for innovative social enterprises.

From a technical point of view, the entrusted entity will be instructed to invest on a *pari passu* basis with other private and public investors. The target final recipients are early-stage companies (notably SMEs or small midcaps) without a specific sector focus.

The duration of the equity instrument will not exceed the year 2035 (until last operations are wound down).

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The estimated budget allocation foreseen for the total programming period amounts to EUR 487 million, of which EUR 29 million from EaSI.

The envisaged leverage effect of the Equity Facility for R&I of Horizon 2020 will be approximately 4-6 depending on the type of investments and the form of cooperation with venture capital funds and/ or knowledge transfer investors. Based on the leverage targets of the programme, it is estimated that the total amount of venture capital investments mobilised through the sole Horizon 2020 and EaSI contribution would range from approximately EUR 1 950 million to EUR 2 900 million for the entire duration of the programme.

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The last amendment to the Delegation Agreement has modified the structure of the Equity Facility for R&I branded as InnovFin (IFE) so that IFE is financially supported by the Union contribution for the Horizon 2020 Equity Financial Instrument provided on a first loss basis and the European Fund for Strategic Investments (EFSI) and EIF provided on a second loss basis. The initial indicative size of the IFE facility shall amount to up to EUR 1 018 million. This is supported by the initial EU contribution for the Horizon 2020 Equity Financial Instrument, which has an indicative value of EUR 458 million (45 %). The initial indicative amount of the EFSI participation in the IFE shall amount up to EUR 270 million (26,5 %). The initial indicative amount of the EIF participation in the IFE amounts up to EUR 290 million (28,5 %).

The pan-European venture capital fund-of-funds created through this last amendment can help increase the scale of venture capital funds in Europe and the industry's footprint across all Member States, as well as attracting private investors including (as for investment platforms) pension funds, insurance funds and sovereign wealth funds. The aim of the fund-of-funds is to unlock market-based finance for SMEs and increase cross-border investments. Its creation is part of the Capital Market Union action plan.

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## Connecting Europe Facility (CEF) Equity Instrument — 2014 to 2020

### (i) **Reference to the basic act**

Regulation (EU) No 1316/2013 of the European Parliament and the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

### (ii) **Budget lines corresponding to the relevant operations**

Article 09 03 02 — Creating an environment more conducive to private investment for telecommunications infrastructure projects — CEF Broadband.

### (iii) **General description of the financial instruments, including their duration and their impact on the budget**

Given the current market failures in the financing of infrastructure projects and in view of recent developments, in particular the establishment of the European Fund for Strategic Investments (EFSI), an Equity Instrument was established under CEF by way of the 2015 CEF Work Programme for a contribution to financial instruments (Commission Implementing Decision C(2015) 8847 final). The establishment of EFSI greatly enhanced the available financing opportunities in the form of debt and made it possible to optimise the use of scarce CEF resources by re-directing a part of the available budget to finance smaller and more risky projects, especially in the area of broadband. The Debt Instrument will nonetheless remain the main delivery mechanism for the financial instruments under CEF. An ex-ante assessment of the CEF Equity Instrument complementing the ex ante assessment of the CEF Debt Instrument has been carried out in 2016, which concluded that an equity fund in the broadband sector may be established under Regulation (EU) No 1316/2013.

Currently, the contribution to the CEF Equity Instrument is foreseen for broadband (EUR 100 million) for the establishment of a Broadband Investment Fund. The Fund will contribute to enhancing the roll-out of broadband networks in line with applicable eligibility criteria (in particular, the support to innovative projects, based on state-of-the-art technology and with a potential for replicability), both via direct financing to private sector companies (focusing on mid-caps and SMEs) and public and private partnerships, and via public or private financial intermediaries.

The Broadband Investment Fund will be implemented under the CEF Equity Instrument by setting up of a dedicated investment vehicle to allow the pooling of contributions from multiple investors (direct management). The Fund will be managed by a fund manager selected in a competitive selection process in 2016. The investment manager is a professional asset manager with extensive experience in infrastructure and, in particular, broadband investments in Europe. For alignment of interest, the investment manager will also subscribe to the fund. The Fund is envisaged to become operational in 2017.

The use of the CEF Equity Instrument in subsequent years of CEF implementation may be considered, for the transport sector, for riskier projects or for projects in immature markets. In the energy sector, the Equity Instrument may be used to intervene in duly justified cases to prevent major delays in the construction of projects of common interest.

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The Broadband Investment Fund under the CEF Equity Instrument is in preparatory phase. The scope of envisaged operations will depend on the outcome of negotiations with investors, in particular the capacity of that fund to attract private capital.

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### **EaSI Capacity Building Investments EaSI CBI — 2014 to 2020**

(i) **Reference to the basic act**

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

(ii) **Budget lines corresponding to the relevant operations**

Item 04 03 02 03 – Microfinance and social entrepreneurship — Increasing access to, and the availability of, finance for legal and physical persons, especially those furthest from the labour market, and social enterprises.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The EaSI Capacity Building Investments is one of the financial instruments foreseen under the Employment and Social Innovation ('EaSI') programme. The objectives of this financial instrument are set out in Regulation (EU) No 1296/2013, in particular Article 4 thereof.

*General objective*

To promote employment and social inclusion by increasing the availability and accessibility of microfinance for vulnerable people who wish to start up a micro-enterprise as well as for existing micro-enterprises, and by increasing access to finance for social enterprises.

*Specific objectives under Article 26 of Regulation (EU) No 1296/2013*

To build up the institutional capacity of microcredit providers.

More specifically, EaSI Capacity Building Investments aim at building up the institutional capacity of financial intermediaries that have not yet reached sustainability (i.e. break-even, operational capacity, etc.), including greenfield financial intermediaries, or financial intermediaries that are in need of risk capital to expand further their operations, both in the microfinance and in the social entrepreneurship space. EaSI Capacity Building Investments will be implemented through direct and indirect equity investments in financial intermediaries and loans with an 'equity-like' risk profile in term of subordination or maturity profile to financial intermediaries that are not allowed to receive equity investments by law or due to regulatory restrictions.

The EaSI Capacity Building financial instrument is implemented by the European Investment Fund (EIF) on behalf of the Commission. The amended EaSI Delegation Agreement introducing the set up and the implementation process of EaSI Capacity Building Investments was signed between the Commission and the EIF on 19 December 2016.

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The estimated budget allocation foreseen for the total programming period amounts to EUR 16 million. The Union contribution (excluding repayments) may be committed until 31 December 2020, however, in accordance with Article 30(4) of Regulation (EU) No 1296/2013, 'annual repayments generated by one financial instrument shall be assigned to that financial instrument until 1 January 2024'.

The instrument's winding up date is 31 December 2033.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Indicative target results are 8-10 capacity building investments made to financial intermediaries and sub-intermediaries. The target has been based on relevant past experience and market testing.

The target minimum leverage effect is 2 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of 16 million used will generate around EUR 32 million investments made to financial intermediaries and sub-intermediaries for the purpose of capacity building.



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## Guarantee Instruments

### SME Guarantee Facility under CIP (SMEG07) — Prior to 2014

(i) **Reference to the basic act**

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

(ii) **Budget lines corresponding to the relevant operations**

Article 01 04 51 — Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014) <sup>(1)</sup>.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The SME Guarantee Facility (SMEG 07) is one <sup>(2)</sup> of the financial instruments provided for under the Entrepreneurship and Innovation Programme (EIP), which in turn is one of the three specific programmes under the Competitiveness and Innovation Framework Programme (CIP) <sup>(3)</sup>.

The overall objective of the financial instruments under the CIP is the improvement of access to finance for the start-up and growth of small and medium-sized enterprises (SMEs) in order to support their investment in innovation activities, including eco-innovation. SMEG 07 contributes to this objective by providing leverage to SME debt financing instruments to increase the supply of debt finance to SMEs.

The SMEG 07 is operated by the European Investment Fund (EIF) on behalf of the Commission. It provides counter- or co-guarantees to guarantee schemes and direct guarantees to financial intermediaries operating in eligible countries.

In general, the CIP market-oriented instruments (both SMEG 07 and GIF) have shown high efficiency and relevance to address the current market conditions, dominated in the recent years by a tightening of credit conditions and more difficult access to finance for SMEs. The SMEG07 is a counter-cyclical instrument that helped final recipients to face the difficulties arising from the economic conditions since the crisis, namely to obtain or maintain access to finance and to create or maintain jobs over the period.

As regards the duration, the commitment period for the SMEG07 ended on 31 December 2013 but the instrument will exist until the winding up after 2026.

The total budgetary commitments for the CIP financial instruments for the whole period of 2007-2013 were foreseen to be EUR 1 130 million, with an original indicative split of EUR 620 million for GIF and EUR 510 million for the SMEG07.

<sup>(1)</sup> Article 01 04 51 also includes: (a) completion actions related to the multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005); (b) the former Article 01 04 04 (in 2013 budget nomenclature) — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme.

<sup>(2)</sup> The other instrument being GIF.

<sup>(3)</sup> Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007-2013) (OJ L 310, 9.11.2006, p. 15).

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No further budgetary commitments have been made by the Commission since the end of the commitment period, i.e. 31 December 2013.

The SMEG 07 aggregate budgetary commitments for 2007-2013 amounted to EUR 637,8 million. The leverage effect <sup>(1)</sup> on the SMEG07 is currently estimated around 40,3 for the entire duration of the programme, which means that the impact of the aggregate budgetary commitments on the overall economy is expected to reach approximately EUR 25 703 million of lending to beneficiary SMEs.

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<sup>(1)</sup> Calculation based on the EIF 'SMEG 07 report Q4 at 31 December 2016 as total loan volume received by the beneficiary SMEs/EU guarantee cap amount'.

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### **RSI (pilot guarantee facility for R&I-driven SMEs and small midcaps) under the Seventh Framework Programme — Prior to 2014**

(i) **Reference to the basic act**

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

(ii) **Budget lines corresponding to the relevant operations**

Article 08 02 51 (in part) — Completion of previous research framework programme — seventh framework programme — EC indirect action (2007 to 2013).

In the period 2007-2013, a total amount of EUR 1 230,73 million has been committed from the general budget of the Union (initial Seventh Framework Programme budget plus additional EFTA and third country appropriations to that programme) and paid to the European Investment Bank (EIB) to support both Risk-Sharing Finance Facility (RSFF) and Risk-Sharing Instrument (RSI).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The RSFF, co-developed by the Commission and the EIB, was established in June 2007. The Union and the EIB are risk-sharing partners for loans provided by the EIB directly or indirectly to beneficiaries. The European Union, through budget resources for the Seventh Framework Programme, and the EIB have set aside a total amount of up to EUR 2 000 million (up to EUR 1 000 million each) for the period 2007-2013 to cover losses if RSFF loans are not repaid. Through these EU/EIB contributions for risk-sharing and loss coverage, the EIB is able to extend a loan volume of EUR 10 000 million to companies and the research community for their investments in research, development and innovation (RDI).

In early 2012, a new pilot guarantee facility, the RSI (Risk-Sharing Instrument for SMEs and small midcaps, with up to 499 employees) was launched to improve access loan finance for RDI investments. The RSI guarantee facility is part of the RSFF implementation and is carried out by the European Investment Fund (EIF). For this RSI pilot guarantee facility, the Union contribution coming from the Seventh Framework Programme (plus additional EFTA and third country appropriations to that programme) for the period 2012/2013 amounted to EUR 270 million (in addition to the abovementioned amount).

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

In the period 2007-2013, a total amount of EUR 270 million has been committed from the EU budget (initial Seventh Framework Programme budget plus additional EFTA and third country appropriations to that programme) and paid to the EIB to support the pilot scheme RSI.

The 'expiry date' (duration) of the programme is expected to be in 2020-2022.

The estimated budget allocation foreseen for the total programming period amounts to EUR 270 million for RSI.

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The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 8 over the lifetime of the financial instrument RSI.

Based on the target leverage of the instrument RSI, it was estimated that the total amount of investments volumes mobilised would be around EUR 2 160 million, whereas it actually reached EUR 3 100 million for the entire duration of the programme.

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**European Progress Microfinance Guarantee Facility (EPMF-G) — Prior to 2014**

(i) **Reference to the basic act**

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

(ii) **Budget lines corresponding to the relevant operations**

Article 04 03 53 (in part) — Completion of other activities.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The aim of the European Progress Microfinance Facility (the 'Facility') is twofold: on the one hand, it increases availability of microfinance for persons wishing to start up or develop their micro-enterprises, including for self-employment, in the sense that it enables microfinance providers in the EU to enlarge their lending volumes to these individuals. On the other hand, the Facility enhances access to microfinance by reducing microfinance providers' risk. This feature allows microfinance providers to reach out to groups who could normally not be served, for instance, because persons from these groups could not provide sufficient collateral or because the interest rates would have to be very high to justify their actual risk profile.

The Facility shall provide Union resources to increase access to, and availability of, microfinance for:

- persons who have lost or are at risk of losing their job, or who have difficulties entering or re-entering the labour market, as well as persons who are facing the threat of social exclusion or vulnerable persons who are in a disadvantaged position with regard to access to the conventional credit market and who want to start or further develop their own micro-enterprise, including self-employment,
- micro-enterprises, especially in the social economy, as well as micro-enterprises which employ persons referred to in the preceding indent.

The financial contribution from the general budget of the Union for the Facility for the period from 1 January 2010 to 31 December 2013 amounts to EUR 103,6 million, out of which EUR 23,6 million is foreseen for the EPMF Guarantee Facility (EPMF-G) and EUR 80 million for the EPMF *Fonds commun de placement — Fonds d'investissement spécialisé* (EPMF FCP-FIS).

The Facility is implemented by using the following types of actions, as appropriate:

- guarantees (EPMF-G),
- debt and equity instruments (FCP-FIS),
- support measures, such as communication activities, monitoring, control, audit and evaluation which are directly necessary for the effective and efficient implementation of Decision No 283/2010/EU and for the achievement of its objectives.

The micro-credit guarantee window shall remain in full force and effect until 31 December 2020.

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

No further budgetary commitments have been made by the Commission since the end of the commitment period.

The estimated budget allocation foreseen for the total programming period amounts to EUR 103,6 million (cumulative budget for EPMF-G and EPMF FCP-FIS).

The target leverage effect of the EPMF facility is 4,83 over the lifetime of the financial instruments.

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Based on the target leverage of the EPMF facility, it is estimated that the total amount of loan volumes mobilised would be around EUR 500 million.

The aggregate EPMF-G budgetary commitments for the period 2010-2013 amount to a total of EUR 23,6 million (Guarantee part only).

The target volumes of lending to beneficiary micro-enterprises are estimated at EUR 273,8 million for the EPMF-G financial instrument <sup>(1)</sup>.

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<sup>(1)</sup> Budgetary commitments of EUR 23,6 million multiplied by the target leverage effect of 11,6 for EPMF-G.

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## **Loan Guarantee Facility under the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) —2014 to 2020**

### **(i) Reference to the basic act**

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

### **(ii) Budget lines corresponding to the relevant operations**

Article 02 02 02 — Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt.

### **(iii) General description of the financial instruments, including their duration and their impact on the budget**

The Loan Guarantee Facility (LGF) provides:

- counter-guarantees and other risk-sharing arrangements for guarantee schemes,
- direct guarantees and other risk-sharing arrangements for any other financial intermediaries meeting the eligibility criteria.

The LGF consists of:

- guarantees for debt financing via loans, including subordinated and participating loans, or leasing, which shall reduce the particular difficulties that viable SMEs face in accessing finance either due to their perceived high risk or their lack of sufficient available collateral,
- securitisation of SME debt finance portfolios, which shall mobilise additional debt financing for SMEs under appropriate risk-sharing arrangements with the targeted institutions. Support for those transactions is conditional upon an undertaking by the originating institutions to use a significant part of the resulting liquidity or the mobilised capital for new SME lending within a reasonable period of time. The amount of this new debt financing will be calculated in relation to the amount of the guaranteed portfolio risk and shall be negotiated, together with the period of time, individually with each originating institution.

The LGF covers, except for loans in the securitised portfolio, loans up to EUR 150 000 and with a minimum maturity of 12 months. The LGF may also cover loans above EUR 150 000 in cases where SMEs who meet the criteria to be eligible under the COSME programme do not meet the criteria to be eligible under the SME window in the Debt Facility of the Horizon 2020 programme (the so-called InnovFin SME Guarantee Facility), and with a minimum maturity of 12 months. The LGF is designed in such a way that it will be possible to report on SMEs supported, both in terms of number and volume of loans.

The implementation of the LGF has been entrusted to the European Investment Fund (EIF), on the basis of a Delegation Agreement signed on 22 July 2014.

The Delegation Agreement ensures that the LGF is accessible for a broad range of financial intermediaries (guarantee societies, national promotional institutes, commercial banks, cooperatives, etc.) which are experienced in financial transactions with SMEs or which have the capacity to enter into financial transactions with SMEs.

From a technical point of view, the EIF is instructed to provide capped portfolio guarantees to the financial intermediaries which will cover a portion of expected losses of a portfolio of newly generated SME transactions which have a higher risk profile.

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As far as securitisation transactions are concerned, the EIF is instructed to provide guarantee coverage on a part of the mezzanine tranche of a securitised SME lending portfolio coupled with an undertaking by the financial intermediary to build up a new SME loan portfolio.

Target final recipients under the capped portfolio guarantees and the securitisation transactions are SMEs of all sizes without a specific sector focus. The range of financial products which can be supported through the capped guarantees is very broad so as to not discriminate amongst the SME population which has very differing financing needs depending on a company's stage of development and the sector/industry in which it operates.

The guarantee instrument is planned to last until 31 December 2034 (until last operations are wound down). Individual guarantee agreements to be signed by the entrusted entity will have a maximum duration of ten years.

The LGF may also contribute to the financial instruments to be deployed under the SME initiative, a joint instrument combining EU funds available under COSME and/or Horizon 2020 and ESIF resources in cooperation with the EIB and EIF with a view to generate additional lending to SMEs in specific Member States. This contribution may take the form of uncapped portfolio guarantees or securitisation operations and cover the mezzanine tranche of the portfolio.

Regulation (EU) No 1287/2013 provides that no less than 60 % of the total financial envelope for the implementation of the COSME programme shall be allocated to the financial instruments. Based on the distribution of the total amount allocated to the financial instruments between the equity instrument (48 %) and the debt instrument (52 %) as foreseen in the legislative financial statement, the initial overall budget envelope foreseen for the LGF for the total 2014-2020 programming period amounts to EUR 717 million.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The estimated initial budget allocation foreseen for the total programming period amounts to EUR 717 million <sup>(1)</sup>.

The target leverage effect as indicated in the legal basis is between 20 and 30, with EUR 1 from the Union budget resulting in EUR 20-30 in financing over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would range from EUR 14 300 to 21 500 million for the entire duration of the programme.

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<sup>(1)</sup> This amount is based on the assumption that the overall distribution between the COSME financial instruments is 52 % for the Loan Guarantee Facility (LGF) and 48 % for the Equity Facility for Growth (EFG) as foreseen in the legislative financial statement, and subject to change, in line with Article 17 of Regulation (EU) No 1287/2013.



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### **SMEs & Small Midcaps R&I Loans Service under Horizon 2020 — 2014 to 2020**

(i) **Reference to the basic act**

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

(ii) **Budget lines corresponding to the relevant operations**

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

This guarantee facility succeeds and refines the Risk-Sharing Instrument (RSI) pilot under the Risk-Sharing Finance Facility (RSFF) in the Seventh Framework Programme, and is part of a single debt financial instrument supporting the growth of enterprises and their R&I activities. It targets R&I-driven SMEs and small midcaps (with up to 499 employees) requiring loans of between EUR 25 000 and EUR 7,5 million. A loan of more than EUR 7,5 million will be considered on a case-by-case basis.

The European Investment Fund (EIF) implements this facility by providing direct guarantees to financial intermediaries such as banks, which will extend the actual loans to final recipients. The guarantee will cover up to 50 % of intermediaries' potential losses. EIF also offers counter-guarantees to financial intermediaries (such as guarantee institutions) providing risk protection to banks extending loans to R&I-driven SMEs and small midcaps.

R&I-driven SMEs or small midcaps wishing to apply for a loan should contact one of the financial intermediaries signing an agreement (see 'Selection procedure') with EIF. This is a demand-driven facility, with no prior allocations between sectors, countries or regions. However, subject to the successful conclusion of negotiations, the Commission incentivises EIF to make a particular effort to ensure that a significant proportion of final recipients are eco-innovative SMEs and small midcaps.

Expected impact: R&I-driven SMEs and small midcaps able to carry out a greater amount of R&I. The indicators are the number of agreements signed with financial intermediaries and the number and volume of loans made.

Indicative timetable: This facility is available since 10 June 2014.

Selection procedure:

- (a) for financial intermediaries: EIF issues calls for expression of interest, with eligibility and selection criteria defined as part of each call after consultation with DG Research & Innovation;
- (b) for loans: according to the internal processes of the intermediary bank or other financial institution that the SME or small midcap applies to, using normal commercial criteria.

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**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The total budget foreseen for the programming period 2014-2020 to the SMEs and Small Midcaps R&I Loans Service coming from the 'Access to risk finance' part of Horizon 2020 is EUR 1 060 million.

The risk-sharing arrangement with the EIF will leverage the Union contribution to the SME & Small Midcaps R&I Loans Service by a factor of up to 9, thus resulting in loan finance for R&I-driven SMEs and small midcaps of approximately EUR 9 540 million for the entire duration of the programme.

The instrument will also contribute to the financial instruments that will be deployed under the joint SME initiative as endorsed by the October 2013 European Council. This contribution may take the form of uncapped portfolio guarantees or securitisation operations and cover, in combination with resources from ESIF, COSME and EIF, the mezzanine tranche of the portfolio.

The estimated budget allocation foreseen for the total programming period amounts to EUR 1 060 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 9 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would be around EUR 9 540 million for the entire duration of the programme.

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**Programme for employment and social innovation (EaSI) — Third axis — Microfinance and social entrepreneurship — 2014 to 2020**

(i) **Reference to the basic act**

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

(ii) **Budget lines corresponding to the relevant operations**

Item 04 03 02 03 — Microfinance and social entrepreneurship — Increasing access to, and the availability of, finance for legal and physical persons, especially those furthest from the labour market, and social enterprises.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The objectives of these financial instruments are set out in Regulation (EU) No 1296/2013, in particular Article 4 thereof.

*General objective*

To promote employment and social inclusion by increasing the availability and accessibility of microfinance for vulnerable people who wish to start up a micro-enterprise as well as for existing micro-enterprises, and by increasing access to finance for social enterprises.

*Specific objectives under Article 26 of Regulation (EU) No 1296/2013:*

- increase access to, and the availability of, microfinance for:
  - vulnerable persons who have lost or are at risk of losing their job, or have difficulty in entering or re-entering the labour market, or are at risk of social exclusion, or are socially excluded, and are in a disadvantaged position with regard to access to the conventional credit market and who wish to start up or develop their own micro- enterprises,
  - micro-enterprises in both start-up and development phase, especially micro-enterprises which employ persons as referred to in the previous indent,
- build up the institutional capacity of microcredit providers,
- support the development of the social investment market and facilitate access to finance for social enterprises by making equity, quasi-equity, loan instruments and grants of up to EUR 500 000 available to social enterprises with either an annual turnover not exceeding EUR 30 million, or an annual balance sheet total not exceeding EUR 30 million which are not themselves a collective investment undertaking.

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The Union contribution (excluding repayments) may be committed until 31 December 2020, however, in accordance with Article 30(4) of Regulation (EU) No 1296/2013, 'annual repayments generated by one financial instrument shall be assigned to that financial instrument until 1 January 2024'. The budget of the microfinance and social enterprise financial instruments will consist of 21 % of the total EaSI budget for 2014-2020, which is EUR 919 469 000 in current prices.

Its division shall respect the following minimum percentages:

— microfinance for vulnerable groups and micro-enterprises: 45 %,

— social entrepreneurship: 45 %.

The remainder will be split between these two.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Indicative target results are 41 000 microloans given to final recipients and 1 100 loans given to social enterprises. The target for microfinance has been based on the past experience with the European Progress Microfinance Facility.

The target for social enterprises has been based on the total volume of the guarantees and funded instruments funds, multiplied by the expected leverage and divided by an average investment size of EUR 200 000 per social enterprise.

The indicative budget allocation foreseen for the total programming period amounts to EUR 96 million (EUR 56 million for microfinance and EUR 40 million for social entrepreneurship).

The target minimum leverage effect is 5,5 over the lifetime of the financial instrument for the guarantees part. Based on the target leverage of the instrument, it is estimated that the total amount of 96 million used for the guarantees part would represent around EUR 528 million for the final recipients.

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### **Cultural and Creative Sector Guarantee Facility (Creative Europe Programme) —2014 to 2020**

(i) **Reference to the basic act**

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

(ii) **Budget lines corresponding to the relevant operations**

Article 15 04 01 — Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The general objective of the Cultural and Creative Sectors Guarantee Facility is in line with those of the Creative Europe framework programme which is to: to foster the safeguarding and promotion of European cultural and linguistic diversity, and strengthen the competitiveness of the cultural and creative sector, with a view to promoting smart, sustainable and inclusive growth, in line with the Europe 2020 strategy.

The specific objective of the Cultural and Creative Sectors Guarantee Facility strand is to strengthen the financial capacity of the cultural and creative sectors.

The issues it will strive to address are:

- the difficulties for cultural and creative SMEs and projects in accessing bank credits,
- the limited spreading and dissemination of expertise among financial institutions in the area of financial analysis of cultural and creative SMEs and projects throughout the Union.

To respond to these issues, operational objectives will be:

- to provide guarantees to banks dealing with cultural and creative SMEs thereby enabling them easier access to bank credits,
- to provide expertise/capacity building to the financial institutions,
- to increase the number of financial institutions which are willing to work with cultural and creative SMEs,
- to maximise the European geographical diversification of financial institutions willing to work with cultural and creative SMEs.

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The budgetary commitments foreseen for the total programming period amount to EUR 121 million, plus up to EUR 2 million expected recoveries from the MEDIA Production Guarantee Fund, in line with Annex I of Regulation (EU) No 1295/2013. The estimated budget allocation foreseen for the total programming period amounts to EUR 123 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 5,7 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of/loan volumes mobilised would be around EUR 701 million for the entire duration of the programme.

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**Erasmus+ Master Student Loan Guarantee Facility — 2014 to 2020****(i) Reference to the basic act**

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+: the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (O) L 347, 20.12.2013, p. 50).

**(ii) Budget lines corresponding to the relevant operations**

Item 15 02 01 01 (in part) — Promoting excellence and cooperation in European education and training areas and its relevance to the labour market.

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The objective of the 'Erasmus+ Master' Student Loan Guarantee Facility (the 'Facility') is to foster and support full programme mobility at master's level (second cycle of higher education). In order to do this, the Facility provides partial guarantees to financial intermediaries which issue loans on favourable conditions to students from Erasmus+ participating countries undertaking a full (one or two-year) master's-level programme outside their country of residence, and outside the country where they obtained their bachelor-level qualification.

Guarantees issued through the Facility cover new eligible student loans up to a maximum of EUR 12 000 for a one-year master's programme and up to EUR 18 000 for a two-year master's programme.

The Facility thus represents a direct contribution to the Union and Bologna Process ministerial target to double the proportion of students completing a study or training period abroad to 20 % by 2020.

The management of the Facility at Union level has been entrusted to the European Investment Fund (EIF), which concludes agreements with financial intermediaries such as banks or national or regional student lending institutions. These participating financial intermediaries in turn pass on the benefit of the EU guarantee by making student loans available free of any requirement for student or family collateral and on favourable terms, e.g. lower than market rate interest, and safeguards against student hardship to include a 'grace period'. Such a 'grace period' enables graduates to find employment before beginning loan repayments and a 'payment holiday' whereby they could freeze repayments if needed such as during a spell of unemployment or maternity.

Capital for the loans is leveraged from the participating financial intermediaries, with the Union acting as part-guarantor against possible final recipient's default on loans. Repayment of loans occurs via 'normal' bank loan mechanisms, with all operational information and processing taking place at a local level.

The Erasmus+ programme 2014-2020 legal basis provides for a total Union contribution of EUR 517 million (3,5 % of the programme's budget), which should enable up to 200 000 students to benefit from loans backed by the Facility. Given the delayed start-up of the Facility and the slow take-up by financial intermediaries, budgetary commitments for the year 2017 have been reduced.

The duration of the instrument will extend to 2037 (i.e. programme in place until 2020 + 2 years commitment deadline + up to 15 years maturity on the student loans).

The Facility provides partial guarantees at up to 90 % of the first losses resulting from final recipient's defaults with a guarantee cap of 18 % of the loan portfolio. The estimated leverage is 5,7 (i.e. approximately EUR 3 000 million to be provided as capital for student loans from participating financial intermediaries).

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The estimated budget allocation foreseen for the total programming period amounts to EUR 517 million.

The leverage effect indicated in the programme is 5,7 over the lifetime of the programme.

Based on the leverage targets of the programme, it is estimated that the total amount of financing mobilised would represent approximately EUR 3 000 million for the entire duration of the programme.

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**PF4EE Guarantee window — 2014 to 2020****(i) Reference to the basic act**

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2014, p. 185), and in particular Article 17(1) thereof.

**(ii) Budget lines corresponding to the relevant operations**

Article 34 02 01 — Reducing Union greenhouse gas emissions.

Article 34 02 02 — Increasing resilience of the Union to climate change.

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The purpose of the PF4EE Instrument (Private Financing for Energy Efficiency) is to provide access to adequate and affordable commercial financing for eligible energy efficiency (EE) investments targeted by schemes developed by participating countries to implement their national energy efficiency action plans (NEEAP) or other programmes in line with EU directives relating to energy efficiency.

The PF4EE instrument provides to financial intermediaries : (i) a portfolio-based credit risk protection (risk-sharing facility or RSF); combined with (ii) expert support services for the FIs in order to support the implementation of the PF4EE instrument; (iii) long-term financing from the EIB.

The RSF is designed to mitigate the credit risk faced by financial intermediaries when lending to final recipients undertaking eligible EE investments. By means of Union funds deposited on a collateral account, the RSF will cover part of the ones incurred under EE loans included in the portfolio to be built by the financial intermediaries for the financing of EE investments.

The RSF aims to increase lending activity and to improve financing conditions for final recipients through, among others, lower pricing, longer maturities and lighter securities requirements. The EIB loan for EE to the financial intermediaries may complement the RSF. Such EIB loans for EE will be provided by the EIB at competitive rates and with long maturities.

The PF4EE needs to be operational for as long as underlying loans covered by the RSF remain outstanding. The maximum tenor to be allowed under the RSF will be 20 years. Therefore the PF4EE will be in place for up to 20 years after the end of the implementation period (2042).

The final recipients include private individuals, home-owner associations, SMEs, corporates or public institutions or bodies, undertaking EE investments in line with the NEEAP of each Member State.

The size of the EE loans provided to beneficiaries range from EUR 40 000, which can be reduced to accommodate small investments within the residential sector, to EUR 5 million and in exceptional cases up to EUR 15 million.

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The implementation of the PF4EE started on 8 December 2014 with the signature of the Delegation Agreement.

Under the PF4EE approximately 6-10 financing agreements (EIB Loans for EE and RSF/Expert Support Facility) could be signed with FIs in the period 2014-2017.



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The budget foreseen to be allocated to PF4EE between 2014 and 2017 is EUR 80 million. The anticipated EIB leverage effect will be six-fold. Taking into account that the EIB is limited by its own internal policies to finance up to 75 % of the eligible EE investments; the target investment leverage effect is eight-fold.

Following the operations signed in 2015 and 2016 and in view of the prospective operations, the EIB now targets to achieve EUR 1 000 million of new investments in energy efficiency during the 2014-2017 pilot phase (EUR 430 million from EIB and EUR 570 million from financial intermediaries), covering 10 Member States.

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## Risk-sharing instruments

### Risk-Sharing Finance Facility under the Seventh Framework Programme (RSFF) including RSI (pilot guarantee facility for R&I-driven SMEs and small midcaps) — Prior to 2014

#### (i) *Reference to the basic act*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

#### (ii) *Budget lines corresponding to the relevant operations*

Article 08 02 51 (in part) — Completion of previous research framework programme — seventh framework programme — EC indirect action (2007 to 2013).

In the period 2007-2013, a total amount of EUR 1 230,73 million has been committed from the general budget of the Union (initial Seventh Framework Programme budget plus additional EFTA and third country appropriations to that programme) and paid to the EIB to support Risk-Sharing Finance Facility (RSFF), of which EUR 960,73 million correspond to RSFF and EUR 270 million to the Risk-Sharing Instrument (RSI).

#### (iii) *General description of the financial instruments, including their duration and their impact on the budget*

A key objective of the RSFF is to improve access to debt finance for investments in research, development and innovation in the Union, notably by private promoters. It contributes to addressing the financing needs of innovative projects and companies of all size and ownership, including midcaps and SMEs.

The RSFF supports access to finance across the entire research, development, demonstration and innovation (RDI) spectrum, from fundamental research to technological development, demonstration and innovation. The RSFF addresses cross-sector policy goals and related investments needs in a demand-driven, 'first come, first served' way.

The RSFF, co-developed by the Commission and the EIB, was established in June 2007. The Union and the EIB are risk-sharing partners for loans provided by the EIB directly or indirectly to beneficiaries. The European Union, through budget resources for the Seventh Framework Programme, and the EIB have set aside a total amount of up to EUR 2 000 million (up to EUR 1 000 million each) for the period 2007-2013 to cover losses if RSFF loans are not repaid. Through these EU/EIB contributions for risk-sharing and loss coverage, the EIB is able to extend a loan volume of EUR 10 000 million to companies and the research community for their investments in RDI.

RSFF beneficiaries can be European research-intensive entities (companies, stand-alone-projects) as well as research infrastructures. RSFF loans support RDI investments made by promoters and beneficiaries located in the Member States and in associated countries.

Risk-sharing between the Union and the EIB was initially made on a loan-by-loan basis (until 2010). Since 2011, following a recommendation by an independent expert group, risk-sharing is made on a portfolio basis, with first-loss-taking by the Union. The EIB is assuming further risks above a certain threshold in case the Union risk absorption has been fully used.

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In early 2012, a new pilot guarantee facility, RSI (Risk-Sharing Instrument for SMEs and small midcaps, with up to 499 employees) was launched to improve access loan finance for RDI investments. The RSI guarantee facility is part of the RSFF implementation and is carried out by the European Investment Fund (EIF). For this RSI pilot guarantee facility, the Union contribution coming from the Seventh Framework Programme (plus additional EFTA and third country appropriations to that programme) for the period 2012/2013 amounted to EUR 270 million.

The amount of EUR 375 million has been repaid from the RSFF to the Equity Facility for Horizon 2020 instrument.

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The estimated budget allocation foreseen for the total programming period amounts to EUR 960,73 million for RSFF.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 5 over the lifetime of the RSFF financial instrument.

Based on the target leverage of the RSFF instrument, it was estimated that the total amount of loan volumes mobilised would be around EUR 5 000 million but it reached actually EUR 10 500 million for the entire duration of the programme.

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**Loan and Guarantee Service for Research and Innovation under Horizon 2020 (Innovfin Debt) —2014 to 2020****(i) Reference to the basic act**

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**(ii) Budget lines corresponding to the relevant operations**

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

Item 08 02 03 03 — Making the transition to a reliable, sustainable and competitive energy system.

Item 08 02 03 01 — Improving lifelong health and well-being.

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The goal is to improve access to debt financing — loans, guarantees, counter-guarantees and other forms of debt and risk finance — for public and private entities and public-private partnerships engaged in research and innovation activities requiring risky investments in order to come to fruition. The focus shall be on supporting research and innovation with a high potential for excellence.

The target final recipients are potentially legal entities of all sizes that can borrow and repay money and, in particular, SMEs with the potential to carry out innovation and grow rapidly; mid-caps and large firms; universities and research institutes; research infrastructures and innovation infrastructures; public-private partnerships; and special-purpose vehicles or projects.

The Loan and Guarantee Service for Research and Innovation is implemented towards a platform approach offering several products specifically designed to address particular needs. The key partner as entrusted entity for the implementation of the Loan and Guarantee Service for Research and Innovation is the European Investment Bank (EIB).

The funding of the Loan and Guarantee Service for Research and Innovation has two main components:

— *demand-driven*, providing loans and guarantees on a first-come, first-served basis, with specific support for beneficiaries such as SMEs and midcaps. This component shall respond to the steady and continuing growth seen in the volume of RSFF lending, which is demand-led. This demand-driven component will be supported by the budget of the Horizon 2020 access to risk finance programme,

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- *targeted*, focusing on policies and key sectors crucial for tackling societal challenges, enhancing competitiveness, supporting sustainable, low-carbon, inclusive growth, and providing environmental and other public goods. This component helps the Union address research and innovation aspects of sectorial policy objectives and will be supported by other parts of Horizon 2020, other frameworks, programmes and budget lines in the Union budget, particular regions and Member States that wish to contribute with their own resources (including through Structural Funds) and/or specific entities (such as Joint Technology Initiatives) or initiatives.

The expiry date of the instrument is expected to be in 2027-2030.

The total budget (primary credits) foreseen for the programming period 2014-2020 for the demand-driven part of the Loan and Guarantee Service for Research and Innovation is EUR 1 060 million. This Horizon 2020 budget contribution will, at least, be matched by the EIB through its own resources, thus allowing increasing the total lending capacity and impact of the Loan and Guarantee Service for Research and Innovation by a factor of two.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The estimated budget allocation foreseen for the total programming period amounts to EUR 1 500 million including EUR 440 million from the Risk-Sharing Finance Facility (RSFF) under the Seventh Framework Programme.

The target leverage effect as indicated in the legal basis is 12,5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would be around EUR 19 000 million.

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**CEF Debt Instrument set up as the Risk-sharing Instrument (including legacy instruments from the period 2007-2013: LGTT and pilot phase of the project bonds PBI) —2014 to 2020****(i) Reference to the basic act**

Regulation (EU) No 1316/2013 of the European Parliament and the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

**(ii) Budget lines corresponding to the relevant operations**

This instrument could be covered by the following budget lines:

Item 06 02 01 05 — Creating an environment more conducive to private investment for transport infrastructure projects.

Article 09 03 02 — Creating an environment more conducive to private investment for telecommunications infrastructure projects — CEF broadband.

Item 32 02 01 04 — Creating an environment more conducive to private investment for energy projects.

**(iii) General description of the financial instruments, including their duration and their impact on the budget****Financial instruments**

Pursuant to Regulation (EU) No 1316/2013, the objective of the financial instruments under the CEF is to facilitate infrastructure projects' access to project and corporate financing by using Union funding as leverage. They shall help finance projects of common interest with a clear EU added value, and to facilitate greater private sector involvement in the long-term financing of such projects in the field of transport, energy and telecommunications, the latter limited to broadband networks.

They shall benefit projects with medium- to long-term financing needs and shall produce greater benefits in terms of market impact, administrative efficiency and resource utilisation.

In addition, these instruments shall provide to infrastructure stakeholders such as financiers, public authorities, infrastructure managers, construction companies and operators a coherent market oriented toolbox of Union financial support.

In 2014, the Commission, following the conclusion of the CEF *ex ante* assessment provided for in Article 14(3) of Regulation (EU) No 1316/2013, decided to set-up and launch a debt risk-sharing instrument for loans and guarantees which will also provide support to project bonds. The *ex ante* assessment can be found at: [http://www.europarl.europa.eu/meetdocs/2014\\_2019/documents/tran/dv/exanteassessmentcef\\_/exanteassessmentcef\\_en.pdf](http://www.europarl.europa.eu/meetdocs/2014_2019/documents/tran/dv/exanteassessmentcef_/exanteassessmentcef_en.pdf)

A CEF Equity *ex-ante* assessment complementing the CEF Debt Instrument (CEF DI) *ex ante* assessment has also been carried out, in particular focusing on energy, the broadband and ICT, and an equity fund may be established under Regulation (EU) No 1316/2013.

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### **Implementation of the CEF Debt Instrument and merger of all other financial instruments under Regulation (EC) No 680/2007**

The CEF DI is implemented following the signature of a Delegation Agreement with the EIB in July 2015. Moreover, the Agreement includes the merger of existing instruments (LGTT and the PBI) with the new debt instrument under the CEF which came into effect on 1 January 2016.

#### **Impact on the budget**

Article 14(2) of Regulation (EU) No 1316/2013 (the 'CEF Regulation'), as amended by the Regulation (EU) 2015/1017, provides that the indicative Union contribution for the financial instruments for the period 2014-2020 shall not exceed 8,4 % of the overall CEF budget. This percentage may be raised to 10 % under certain conditions laid down in Article 21(4) of the CEF Regulation.

#### **Duration of the CEF DI**

The last tranche of the Union contribution to the CEF DI shall be committed by the Commission by 31 December 2020. The actual approval of debt financing by the EIB shall be finalised by 31 December 2022.

#### **Risk-sharing instrument for loans and guarantees**

The support through the subordinated debt financing shall not exceed 30 % of the total amount of the senior debt issued. The senior debt financing to be provided under the CEF DI shall not exceed 50 % of the total amount of the overall senior debt financing to be provided by the EIB.

#### **Administrative, performance and treasury management fees**

As established in the legal basis, the administrative and performance fees to be paid to the EIB shall not exceed 2 % and 3 % respectively of the Union contribution effectively used for individual operations.

Pursuant to Article 13(5) of the Financial and Administrative Framework Agreement the treasury management fee is not to exceed 1 % of the Union contribution effectively used to cover operations over the duration of the financial instrument.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

As regards the *energy sector*, the CEF DI should facilitate access of projects of common interest to long term debt financing both on corporate and project finance basis. The instrument should in particular assist the projects of common interest where the instrument can facilitate access to debt capital from commercial lending institutions, institutional investors or senior lending from the EIB. The Delegation Agreement provides that other categories of energy assets (e.g. renewable generation, smart distribution grids) may benefit from the support of the CEF DI in case of ring-fenced contributions from other programmes or bodies.

As regards the *broadband sector*, the CEF DI shall facilitate the efficient flow of private and public investments to stimulate the deployment and modernisation of high speed broadband networks in line with the targets of the Digital Agenda for Europe. In order to ensure best value for money and given the limited resources allocated to CEF broadband, the CEF DI shall be available for projects which rely on the technology best suited for the specific project, can help spur innovative business models and show a high potential for replicability. The CEF DI shall in particular address the special needs of suburban, rural, and sparsely populated and less developed regions, which need to be served with high speed connections. This includes the deployment of broadband networks to link islands, landlocked, mountainous, remote and peripheral regions, including island Member States, with the central regions of the Union and/or actions to improve the reliability or performance of connections between such regions and central regions of the Union.

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As regards the *transport sector*, the CEF DI shall serve to increase the total level of investment in transport infrastructures in the EU in the period 2014-2020 in line with the policy objectives and investment volumes foreseen in the legal basis and in Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1). In order to ensure complementarity between the projects supported under the CEF and EFSI, the CEF focuses on promoting projects eligible under the respective TEN-T Guidelines including promotion of key European flagship initiatives such as the deployment of ERTMS, SESAR and greening of the shipping fleet and investments on the Core and Comprehensive Networks defined in the CEF Regulation and in the TEN-T Guidelines.

**Financial parameters and leverage**

Risk- and revenue-sharing parameters have been set in such a way that specific policy objectives, including targeting of particular categories of projects, can be achieved while still preserving the market-oriented approach of the CEF DI.

The expected leverage of the CEF DI — defined as the total funding (i.e. Union contribution plus contributions from other financial sources) divided by the Union contribution — shall range from 6 to 15, depending on the type of operations involved (level of risk, target beneficiaries, and the debt financing concerned).

To date, CEF DI portfolio is composed of 11 projects:

- (i) three projects formerly part of the LGTT portfolio (total project costs amounting to EUR 9 294,7 million),
- (ii) seven projects formerly part of the PBI portfolio: one project in the energy sector (EUR 424,9 million in project costs), one ICT project (EUR 189,1 million in project costs) and five transport projects (EUR 3 451,6 million in project costs), and
- (iii) one transport project initially supported under LGTT which was refinanced under the CEF DI in 2016, for EUR 505 million in project costs (amount is at the date of the refinancing).

In addition, the Commission signed with the EIB the first Green Shipping Guarantee, which in turn, has signed an individual guarantee with a private bank in November 2016, for a total amount of EUR 150 million.



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INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH POINT (E) OF ARTICLE 49(1) OF THE FINANCIAL REGULATION**Natural Capital Finance Facility — 2014 to 2020****(i) Reference to the basic act**

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 17(1) thereof.

**(ii) Budget lines corresponding to the relevant operations**

Article 07 02 02 — Halting and reversing biodiversity loss.

Article 34 02 02 — Increasing the resilience of the Union to climate change.

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The Natural Capital Finance Facility (NCF) will finance upfront investment and operating costs for revenue-generating or cost-saving pilot projects which promote the conservation, restoration, management and enhancement of natural capital for biodiversity and adaptation benefits, including ecosystem-based solutions to challenges related to land, soil, forestry, agriculture, water and waste. The NCF combines direct and indirect financing of projects through debt and equity.

Given that the projects supported by the NCF will be projects that the European Investment Bank (EIB) normally does not invest in, either because they are too small or because their perceived high risk is too high, the facility includes a risk-sharing mechanism whereby the Union funds will absorb first losses in case of project failure. The implementation mechanism is established in a Delegation Agreement between the Commission and the EIB, which also defines exclusion and selection criteria for projects, ensuring that the correct priorities are built in the selection process and that there is sufficient geographical coverage and a balanced spread over project types.

An expert support facility is provided in order to ensure that projects reach a sufficient stage of maturity for financing. The implementation of the NCF has been entrusted to the EIB by means of indirect management.

The NCF pilot phase foresees a programming period of 2014 to 2017 with an implementation period of up to 2019. The Union contribution foreseen for this period is EUR 60 million including EUR 10 million for the Technical Support Facility.

NCF will be developed in two phases: a pilot phase will allow testing different financing options, in order to focus on the most suitable approaches in a second operational phase.

Projects will fall into four broad categories:

- payments for Ecosystem services (PES): projects involving payments for the flows of benefits resulting from natural capital, usually a small scale bilateral transaction with a well identified buyer and seller of an ecosystem service. They are based on the beneficiary pays principle, whereby payments take place to secure critical ecosystem services,
- green infrastructure (GI): GI is a strategically planned network of natural and semi-natural areas with other environmental features designed and managed to deliver a wide range of ecosystem services. It incorporates green spaces (or blue if aquatic ecosystems are concerned) and other physical features in terrestrial (including coastal) and marine areas. On land, GI is present in rural and urban settings. GI projects have the potential to generate revenues or save costs based on the provision of goods and services including water management, air quality, forestry, recreation, flood/erosion/fire control, pollination, increased resilience to the consequences of climate change,

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- biodiversity offsets: these are conservation actions intended to compensate for the residual, unavoidable harm to biodiversity caused by development projects. They are based on the polluter pays principle, whereby offsets are undertaken for compliance or to mitigate reputational risks. Projects aimed at compensating damages done to Natura 2000 sites according to Article 6(4) of Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7) are not eligible for financing under the NCFE,
- innovative pro biodiversity and adaptation investments: these are projects involving the supply of goods and services, mostly by SMEs, which aim to protect biodiversity or increase the resilience of communities and other business sectors.

The aim is to identify and finance projects with a sufficiently broad geographical and sector coverage, while testing various financial mechanisms, to ensure replicability across the Union during the operational phase.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

During the initial pilot phase, the NCFE is expected to execute 9 to 12 operations (including indirect operations). The amount for each operation committed to any individual investments is expected to be between EUR 5 and 15 million.

The estimated EU budget allocation foreseen for the programming period (2014-2017) amounts to EUR 60 million (including EUR 10 million for the Technical Support Facility).

The target leverage effect as indicated in the Delegation Agreement is 2-4 over the lifetime of the financial instrument (31st December 2019).

Based on the target leverage of the instrument, it is estimated that the total amount of investments and loans mobilised would be of at least EUR 120 million for the entire duration of the programme.

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INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH POINT (E) OF ARTICLE 49(1) OF THE FINANCIAL REGULATION**EU SME Initiative — 2014 to 2020 (indirect Commission management part, i.e. COSME/Horizon 2020) <sup>(1)</sup>****(i) Reference to the basic act**

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33). The Commission has established financial instruments that aim to facilitate and improve access to finance for SMEs in their start-up, growth and transfer phases, complementary to the Member States' use of financial instruments for SMEs at national and regional level.

Horizon 2020: Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1). Pursuant to Council Decision 2013/743/EU of 3 December 2013 establishing the Specific Programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), the Commission has established financial instruments that aim to ease access to the risk financing for final recipients carrying out research and innovation projects.

**(ii) Budget lines corresponding to the relevant operations**

COSME: Article 02 02 02 — Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt.

Horizon 2020: Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The SME Initiative was presented on 27-28 June 2013 in the Commission's and EIB's joint report to the European Council, to complement and utilise synergies between existing SME support programmes at national and Union level. More specifically, the SME Initiative is a joint-instrument, combining Union funds available under COSME and Horizon 2020 and ERDF-EAFRD resources in cooperation with the EIB and the EIF in view of generating additional lending to SMEs. The EIB is the entrusted entity for the implementation of this initiative. Three types of financial instruments could be implemented under the SME Initiative and they boil down in substance to two alternative ways of operating, namely:

- (a) uncapped guarantees providing capital relief to financial intermediaries for new portfolios of debt finance to SMEs; and

<sup>(1)</sup> Article 46 of Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320) provides for the reporting framework for financial instruments under shared management including — in connection with Article 39(10) of that Regulation — the SME Initiative, which also includes ERDF/EAFRD contributions. Pursuant to Article 111 of Regulation (EU) No 1303/2013, each year by 31 May (in 2017 and 2019 by 30 June), managing authorities have to provide their annual implementation reports on the preceding year, which must include, as an annex, the information on financial instruments set out in Article 46 of that Regulation. That latter article also stipulates that each year, starting in 2016, the Commission shall, within six months of the deadline for the submission of the annual implementation reports referred to in Article 111 of that Regulation, provide summaries of the data on the progress made in financing and implementing the financial instruments. Hence, most of the data relevant with regard to the implementation of financial instruments under shared management will only be available on 30 November each year, and this is true also for 2016.

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- (b) securitisation instruments (with two possibilities, i.e. option No 1 securitisation instrument with Member State contribution used exclusively for the participating Member State and option No 2 securitisation instrument with several Member State contributions pooled and used to provide protection on the aggregate exposure, particularly to the mezzanine tranches guaranteed by EIF).

In terms of budget, Regulation (EU) No 1303/2013 lays down a limit of EUR 8 500 million of aggregate ERDF-EAFRD to be committed under the SME Initiative. In that scenario, the corresponding maximum COSME and Horizon 2020 contributions would amount to EUR 175 million each over the 2014-2016 period.

As of end 2016, the SME Initiative was implemented in six Member States, namely Spain, Malta, Bulgaria, Romania and Finland under the uncapped guarantee instrument, and Italy under the securitisation instrument (option No 1).

- (iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments*

As the new debt finance originated by the selected financial intermediary shall also include an amount equal to 20 times the COSME and/or 9 times the Horizon 2020 contribution, that portion of the new Debt Finance portfolio resulting from COSME and/or Horizon 2020 contributions under Regulations (EU) No 1287/2013 and (EU) No 1291/2013 shall fulfil respectively the COSME and/or Horizon 2020 eligibility criteria. The following table shows for illustrative purpose the calculation of the target leverage for the SME Initiative, in accordance with the agreed approach for such calculation.

#### Calculation of target leverage for the SME Initiative in Spain

| SIUGI risk cover                                                                                     | Risk taker   | Maximum Risk Cover Size (EUR) | Target Rating (at least) |
|------------------------------------------------------------------------------------------------------|--------------|-------------------------------|--------------------------|
| Senior risk cover                                                                                    | EIB          | 1 974 461 538,46              | Aa3                      |
| Upper mezzanine risk cover                                                                           | EIF          | 128 769 230,77                | Baa3                     |
| Middle mezzanine risk cover                                                                          | Horizon 2020 | 14 307 692,31                 | Ba1                      |
| Lower mezzanine risk cover                                                                           | ESIF         | 85 846 153,85                 | Ba2                      |
| Junior risk cover                                                                                    | ESIF         | 658 153 846,15                | Not rated                |
| Guaranteed portfolio without originator<br>(corresponds to 50 % because of a 50 %<br>guarantee rate) |              | 2 861 538 461,54              |                          |
| Originator's risk (bank own risk)                                                                    |              | 50 %                          |                          |
| Total amount of the guaranteed portfolio<br>(100 %)                                                  |              | 5 723 076 923,08              |                          |
| <b>Total ERDF/COSME/Horizon 2020</b>                                                                 |              | <b>758 307 692,31</b>         |                          |
| Leverage in relation to ERDF (but based<br>on ERDF, Horizon 2020, EIB and EIF<br>funds)              |              |                               | 7,7                      |

## Dedicated investment vehicles

### European Progress Microfinance FCP-FIS (EPMF FCP-FIS) — Prior to 2014

(i) **Reference to the basic act**

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

(ii) **Budget lines corresponding to the relevant operations**

Article 04 03 53 (in part) — Completion of other activities.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The aim of the European Progress Microfinance Facility (the 'Facility') is twofold: on the one hand, it increases availability of microfinance for persons wishing to start up or develop their micro-enterprises, including for self-employment, in the sense that it enables microfinance providers in the EU to enlarge their lending volumes to these individuals. On the other hand, the Facility enhances access to microfinance by reducing microfinance providers' risk. This feature allows microfinance providers to reach out to groups who could normally not be served, for instance, because persons from these groups could not provide sufficient collateral or because the interest rates would have to be very high to justify their actual risk profile.

The Facility provides Union resources to increase access to, and availability of, microfinance for:

- persons who have lost or are at risk of losing their job, or who have difficulties entering or re-entering the labour market, as well as persons who are facing the threat of social exclusion or vulnerable persons who are in a disadvantaged position with regard to access to the conventional credit market and who want to start or further develop their own micro-enterprise, including self-employment,
- micro-enterprises, especially in the social economy, as well as micro-enterprises which employ persons referred to in the preceding indent.

The financial contribution from the Union budget for the Facility for the period from 1 January 2010 to 31 December 2013 amounts to EUR 103,6 million, out of which EUR 23,6 million for the EPMF Guarantee Facility (EPMF-G) and EUR 80 million for the EPMF *Fonds Commun de Placement* — *Fonds d'Investissement Spécialisé* (EPMF FCP-FIS).

The Facility is implemented by using the following types of actions, as appropriate:

- guarantees (EPMF-G),
- debt and equity instruments (FCP-FIS),
- support measures, such as communication activities, monitoring, control, audit and evaluation which are directly necessary for the effective and efficient implementation of Decision No 283/2010/EU and for the achievement of its objectives.

The micro-credit guarantee window shall remain in full force and effect until 31 December 2020.

The FCP-FIS is expected to end on 30 April 2020.

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**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

No further budgetary commitments have been made by the Commission since the end of the commitment period.

The estimated budget allocation foreseen for the total programming period amounts to EUR 103,6 million (cumulative budget for EPMF Guarantee and EPMF FCP-FIS).

The target leverage effect of the Facility is 4,83 over the lifetime of the financial instruments (EPMF Guarantee and EPMF FCP-FIS).

Based on the target leverage of the Facility, it is estimated that the total amount of loan volumes mobilised would be around EUR 500 million.

The aggregate EPMF FCP-FIS budgetary commitments for the period 2010-2013 amount to a total of EUR 80 million. The target volumes of lending to beneficiary persons and micro-enterprises are estimated to EUR 226,4 million for the EPMF (FCP-FIS) debt and equity instruments <sup>(1)</sup>.

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<sup>(1)</sup> Budgetary commitments of EUR 80 million multiplied by the target leverage effect of EUR 2,83 for EPMF FCP-FIS.

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## **2020 European Fund for Energy, Climate Change and Infrastructure (Marguerite) — Prior to 2014**

(i) **Reference to the basic act**

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Commission Decision C(2010) 941 of 25 February 2010 on European Union participation in the 2020 European Fund for Energy, Climate Change and Infrastructure (the Marguerite Fund).

(ii) **Budget lines corresponding to the relevant operations**

Article 06 02 51 (in part) — Completion of trans-European networks programme.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The Marguerite Fund is a pan-European equity fund developed in the context of the financial crisis and in recognition of the need for successful long-term infrastructure investment in Europe. It supports infrastructure investment within the transport (TEN-T), energy (TEN-E) and renewables sectors in Member States and will primarily invest in greenfield projects.

The core sponsors include public long term investors from France (CDC), Italy (Cdp), Germany (KfW), Spain (ICO) and Poland (PKO) as well as the European Investment Bank (EIB) and the Commission. The size of the Marguerite Fund at final close is EUR 710 million.

Union aggregate budgetary commitments: EUR 80 million from the TEN-T budget

Expected results:

- 30 to 40 % of the total commitments invested in the transport sector,
- 25 to 35 % invested in the energy sector,
- 35 to 45 % invested in the renewable energies sector,
- at least 3,5 times the EU commitment to be invested into TEN-T eligible projects.

The Marguerite Fund has a maximum term of 20 years from the initial closing (December 2009), which may however be extended for up to two additional one-year periods (up to December 2031). The investment period of the Fund ends in December 2016 (four years after the final closing) with a possible extension of up to two additional one-year periods. The period was extended for one year (December 2017).

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The estimated budget allocation foreseen for the total programming period amounts to EUR 80 million (fully committed in 2010).

No target leverage effect was indicated in the legal basis and no *ex ante* evaluation was produced by Commission services before the Commission decided to invest in the dedicated investment vehicle. However consultants prepared a market analysis assessing the existence of an equity gap for European infrastructure for the founding investors of the Marguerite Fund in 2009 to which the Commission services had access.

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Between 2009 and 2016, the Marguerite Fund invested an amount of EUR 456 million in 12 projects (mainly equity and mezzanine loans). The total amount of equity provided to those projects reached EUR 1 842 million at the end of 2016, whereas the total amount of investment mobilised (equity and debt together) reached EUR 5 402 million <sup>(1)</sup> at the end of 2016. At this date the Commission has paid to the Marguerite Fund EUR 43,72 million out of a commitment of EUR 80 million.

The current leverage effect amounts to 42 (ratio between total equity provided to projects and the amount paid by the EC = 1842 / 43,72), whereas the overall investment mobilised is 123-times the Union contribution.

Based on this leverage effect, it is estimated that for the entire duration of the programme the total amount of equity provided would be around EUR 3 360 million, or almost EUR 10 000 million of overall investment mobilised.

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<sup>(1)</sup> Figures mentioned in the paragraph are extracted from the EVCA report — 31 December 2016 (unaudited data).



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### **European Energy Efficiency Fund (EEEF) — Prior to 2014**

(i) **Reference to the basic act**

Regulation (EU) No 1233/2010 of the European Parliament and the Council of 15 December 2010 amending Regulation (EC) No 663/2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 346, 30.12.2010, p. 5).

(ii) **Budget lines corresponding to the relevant operations**

Article 32 02 52 (in part) — Completion of energy projects to aid economic recovery.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

On 1 July 2011, EUR 146,3 million from the European Energy Programme for Recovery (EEPR) were allocated to a new European Energy Efficiency Fund — EEEF in the form of a specialised investment fund (SICAV). The EEEF invests in energy efficiency, renewable energy projects, and clean urban transport particularly in urban settings, achieving at least 20 % energy saving or GHG/CO<sub>2</sub> emission reduction.

The beneficiaries must be public authorities or public or private entities acting on their behalf, including ESCOs <sup>(1)</sup>.

The EEEF was launched on 1 July 2011 with an initial volume of EUR 265 million: in addition to the Union contribution (EUR 125 million in junior 'C-shares'), the European Investment Bank (EIB) invested EUR 75 million (mainly senior 'A shares'), Cassa Depositi e Prestiti SpA (CDP) EUR 60 million (mainly senior 'A shares'); and the designated investment manager (Deutsche Bank) EUR 5 million (mezzanine 'B shares').

The EEEF offers a range of non-standard financial products such as senior and junior loans, guarantees, equity participation or forfeiting schemes which can be combined in a flexible way with standard finance.

In addition, about EUR 20 million of the Union funding is available for technical assistance (in the form of grants) to help sponsors make projects bankable for the EEEF. Finally, a EUR 1,3 million programme has been established to raise awareness of financing methods and options for energy efficiency and renewable energy among national and regional authorities managing the Cohesion Fund or the Structural Funds. EPEC <sup>(2)</sup> manages this programme.

In accordance with Regulation (EU) No 1233/2010, the deadline for allocating Union funds to investment projects and technical assistance (TA) was 31 March 2014.

#### *Fund/Investment Manager*

Deutsche Bank is responsible i.e. for selecting projects and conducting a due diligence process before submitting the projects to the EEEF's Investment Committee for advice and to the Management Board for approval. Deutsche Bank also manages the TA component, submitting TA propositions to the Commission's DG ENER for approval.

#### *Investment Committee*

The Investment Committee is responsible for assessing projects submitted to it by the fund manager and giving recommendations to the Management Board. Two EIB members and one member from CDP are appointed to the Investment Committee.

<sup>(1)</sup> An energy service company (ESCO), via an energy performance contract (EPC), can enable a public authority to implement upfront investments (e.g. to upgrade the performance levels of public buildings or to install efficient street lighting) without taking the financial risk.

<sup>(2)</sup> The European PPP Expertise Centre (EPEC) is a joint initiative of the EIB, the Commission, Member States and candidate countries. EPEC helps strengthen the capacity of its public sector members to enter into Public Private Partnership (PPP) transactions.

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*Management Board*

The Management Board has broad powers to administrate and manage the EEEF; it decides on the investments upon recommendation by the Investment Committee. However, it cannot decide on major issues (such as change of the statutes and documentation) without approval by the Supervisory Board. It reports quarterly to the Supervisory Board. It is composed of representatives from the Commission (1), the EIB (1, the chair) and the CDP (1).

*Supervisory Board*

The Supervisory Board's main duties include a permanent supervision of the management of the EEEF, giving strategic advice to the Management Board, proposing the annual EEEF business plan for shareholder approval, and approving changes in the investment guidelines etc. It is composed of representatives from the Commission (2), the EIB (1) and the CDP (1).

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The budget allocation foreseen for the total programming period amounts to EUR 146,3 million (fully committed in 2011, EUR 125 million to the EEEF, EUR 20 million in the form of a technical assistance facility (grant) and EUR 1,3 million for awareness raising activities).

No target leverage effect was indicated in the legal basis and no full *ex ante* evaluation was produced before the Commission decided to invest in the dedicated investment vehicle, but in any case a business plan and risk scenarios were prepared.

The EEEF had successfully disbursed EUR 99,8 million of Union contribution to the allocated projects by the end of the investment period (31 March 2014), providing innovative financing solutions to energy efficiency projects. As of 31 December 2016, EUR 128 million have been allocated to 12 projects that has generated some EUR 231 million of total investments. Out of these, the EEEF has signed contracts with 11 projects for EUR 121 million, already generating 224 million final investments.

The achieved leverage at 31 December 2016 is 2,2. This is calculated as the ratio between the total amount of the investments supported by EEEF (EUR 224 million) and the amount of the Union contribution actually disbursed (EUR 99,8 million).

Based on the current investment pipeline, it is estimated that the amount of investments expected to be made by eligible final recipients due to the financing will reach EUR 561 million. The EEEF is open to new investors and can grow further.

**External facilities (not financed exclusively through the European Development Fund)****Regional facilities****Neighbourhood Investment Facility (NIF)****(i) Reference to the basic act**

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

One of the priorities of the European Neighbourhood and Partnership Instrument (ENPI) and of the new European Neighbourhood Instrument (ENI), is promoting investment projects in European Neighbourhood Policy (ENP) partner countries, the scope of which covers the Neighbourhood Investment Facility (NIF).

The EU launched the NIF in 2007. The following eight Commission Decisions have been adopted for this instrument during the period 2007-2013 for a total envelope of EUR 777,4 million (EUR 467,2 million for ENPI South budget line and EUR 310,2 million from ENPI East budget line): C(2007) 6280, C(2008) 2698, C(2009) 3951, C(2009) 8985, C(2010) 4400, C(2010) 7989, C(2011) 5547, C(2012) 4533 and C(2013) 1276. In 2014 additional commitments of EUR 381,7 million were approved: EUR 369,4 million in Commission Decision C(2014) 5750 and a top-up of EUR 12,3 million from Commission Decision C(2013) 5300. In 2015 additional commitments of EUR 295,0 million were approved in Commission Decision C(2015) 2748. In 2016 additional commitments of EUR 224,5 million were approved in Commission Decisions C(2016) 3436 and C(2016) 8387. This provides for aggregated figures for the 2007-2015 period of EUR 1 068 520 334,34 for the South and 610 125 902,58 for the East (in total EUR 1 678 646 236,92). Of this total, only a part has been further invested in projects corresponding to financial instruments. In aggregated figures for the 2007-2016 period, financial instruments correspond to investments of EUR 146 450 000 for the South and 38 300 000 for the East (in total EUR 184 750 000). The balance between this latter amount and the aggregated NIF total mentioned above has been channelled through investment grants and technical assistance projects.

The NIF has included since the beginning of 2011 a Climate Change Window (CCW) under the Environment and the Sustainable Management of Natural Resources including Energy Thematic Programme (ENRTP) of the Development Cooperation Instrument to support the implementation of projects helping partner countries tackle climate change through mitigation and/or adaptation measures. The NIF CCW is managed in a streamlined way and has in general the same rules and the same financing and implementation modalities as the NIF.

**(ii) Budget lines corresponding to the relevant operations**

Item 22 04 01 02 — Mediterranean countries — Poverty reduction and sustainable development.

Item 22 04 02 02 — Eastern Partnership — Poverty reduction and sustainable development.

**(iii) General description of the financial instruments, including their duration and their impact on the budget***Policy objectives and scope*

The NIF contributes to achieving the objectives of the ENP or related Union thematic policy priorities by leveraging additional financing for the region.

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The NIF's overarching objective is to mobilise additional investments to support the establishment of an area of prosperity and good neighbourliness involving the Union and neighbouring countries. In complementarity with other EU-funded programmes, the NIF can foster sustainable, inclusive growth and a favourable investment climate in our partner countries.

Within this framework, the NIF pursues three strategic objectives, namely:

- establishing better energy and transport infrastructure interconnections between the Union and neighbouring countries and among neighbouring countries themselves,
- addressing threats to our common environment including climate change,
- promoting smart, sustainable and inclusive growth, in particular through support for small and medium size enterprises.

The NIF operations will continue to support the implementation of the ENP Action Plans and focus on five main sectors: energy, environment with a particular focus on climate change mitigation and adaptation, transport, social and small and medium enterprise development.

#### *Geographical coverage and final recipients*

European Neighbourhood Policy partner countries directly eligible for the NIF are neighbourhood countries having signed an action plan with the Union except for those that do not qualify because of their level of development. On a case by case basis, other countries which are not directly eligible may benefit from NIF interventions taking into account regional or specific circumstances. Their eligibility will have to be decided unanimously by Member States and the Commission.

Other final recipients will be the private sector and in particular the SMEs. Both multilateral and national European development finance institutions might be direct beneficiaries and important stakeholders of the facility.

#### *Main technical characteristics*

Under the NIF, risk capital (equity and quasi-equity investments), risk-sharing instruments, guarantees, loans, other financing such as investment grants, interest rate subsidies and technical assistance can be used.

#### *Duration and impact on the budget*

The decisions relating to the NIF are valid for the multiannual financial frameworks 2007-2013 and 2014-2020 and may be extended further following decisions on the next multiannual financial framework.

The final date for contracting is 31 December 2017 relating to decisions from 2016. This is not the date of duration of the facility but the final date for contracting of the individual decisions financing the facility. The duration of individual projects is established on a case-by-case basis, depending on the type of instrument, with an indicative maximum of 180 months from the date of entry into force of the financing agreement or, when none is concluded, from the adoption of the Annual Action Document financing the NIF.

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The budgetary breakdown of EUR 1 678 646 236,92 between the two ENP sub-regions is as follows:

| CRIS reference              | Cumulated amount of global commitment<br>(maximum envelope 2007-December 2016) | Budget line               |
|-----------------------------|--------------------------------------------------------------------------------|---------------------------|
| Neighbourhood South         |                                                                                |                           |
| ENPI/2007/019548            | 158 000 000,00                                                                 | 19 08 01 01               |
| ENPI/2011/023086            | 309 220 334,34                                                                 | 19 08 01 01               |
| ENI/2014/037510             | 265 300 000,00                                                                 | 21 03 01 02 / 21 03 03 03 |
| ENI/2015/38303              | 189 500 000,00                                                                 | 21 03 01 02               |
| ENI/2016/3436               | 146 500 000,00                                                                 | 22 04 01 02               |
| <b>Total</b>                | <b>1 068 520 334,34</b>                                                        |                           |
| Neighbourhood East          |                                                                                |                           |
| ENPI/2007/019549            | 137 000 000,00                                                                 | 19 08 01 03               |
| ENPI/2011/023087            | 173 200 000,00                                                                 | 19 08 01 03               |
| ENI/2013/024746             | 12 300 000,00                                                                  | 19 08 01 03               |
| ENI/2014/037515             | 104 085 902,58                                                                 | 21 03 02 02               |
| ENI/2015/38314              | 105 540 000,00                                                                 | 21 03 02 02               |
| ENI/2016/8387               | 78 000 000,00                                                                  | 22 04 02 02               |
| <b>Total</b>                | <b>610 125 902,58</b>                                                          |                           |
| <b>Total East and South</b> | <b>1 678 646 236,92</b>                                                        |                           |

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

Commission Implementing Decision C(2016) 3436 was adopted on 31 May 2016 and Commission Implementing Decision (2016) 8387 on 7 December 2016 for a maximum contribution East and South of EUR 225,0 million included in the above table.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

The estimated budget allocation foreseen for the total programming period 2014-2020 amounts to EUR 150 million per year, for a total of EUR 1 050 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 to 5 over the lifetime of the financial instrument.

It is estimated that the total amount of EUR 1 678 million NIF funds for the period 2008-2016 would mobilise investments and loans from European financial institutions of around EUR 15 941 million. With 9,5, this leverage effect by far exceeds the expected leverage effect. These investments, in turn, are expected to support projects with a total value of about EUR 33 207 million.

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**Investment Facility for Central Asia (IFCA) and Asia Investment Facility (AIF)****(i) Reference to the basic act**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 100), and in particular Article 4(3) thereof.

Based on the first results from the Neighbourhood Investment Facility (NIF), the Commission proposed to set up investment facilities targeting countries under the Development Cooperation Instrument (DCI) Regulation, initially in Central Asia, Asia and Latin America. Two facilities were set up for Asia: the Investment Facility for Central Asia (IFCA) in 2010 and the Asian Investment Facility (AIF) in 2011 for the period 2010-2013. These two facilities have been modelled on the NIF and have the same types of objectives and scope as those defined in the NIF general framework agreed in March 2008 (cf. section on NIF).

As of end 2013, there have been three decisions for the IFCA financed through the 2010, 2011, 2012 and 2013 budgets and three decisions for AIF financed through the 2011 and 2012 (jointly), 2013 and 2014 budgets.

AIF was recreated in 2014 in order to achieve the objectives of Regulation (EU) No 233/2014 for the period 2014-2020 through a new financing decision of EUR 26 million whereas IFCA was recreated by the end of 2014 through a new financing decision of EUR 20 million under the budget 2015.

In 2016, a total amount of EUR 20 million was allocated to IFCA and EUR 45 million to AIF.

**(ii) Budget lines corresponding to the relevant operations**

Article 21 02 02 — Cooperation with Asia.

Article 21 02 03 — Cooperation with Central Asia.

Item 21 02 51 03 — Cooperation with developing countries in Asia, including Central Asia and the Middle East (completion line).

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(iii) **General description of the financial instruments, including their duration and their impact on the budget**

*Policy objectives and scope*

The IFCA's main purpose is to promote additional investments and key infrastructures with a priority focus in the first implementation period on energy and environment. Based on the development of the Central Asia strategies, a later extension to transport, SMEs, water/waste management and social infrastructure sectors in the Central Asian countries was envisaged.

The AIF's main purpose is to promote additional investments and key infrastructure with a priority focus on climate change and 'green' investments in the areas of environment and energy and transport as well as in SMEs and social infrastructure. A later extension to the transport sector could be envisaged.

*Geographical coverage and final recipients*

The final recipients of these two facilities are the countries of these two regions. Other final recipients will be the private sector and, in particular SMEs.

Eligible finance institutions will be the entrusted entities and important stakeholders of these two facilities.

*Main technical characteristics*

The types of operations to be financed are the following:

- investment co-financing in public infrastructure projects;
- loan guarantee cost financing;
- interest rate subsidies;
- technical assistance;
- risk capital operations;
- any other risk-sharing mechanisms.

Possible management modes have been centralised (direct and indirect), joint and partially decentralised management and indirect management (budget management mode in force for the use of 2014 funds).

*Duration and impact on the budget*

The IFCA and the AIF were initially established for the duration of the legal basis, i.e., until 31 December 2013. Whereas AIF has already been recreated in 2014 in order to achieve the objectives of Regulation (EU) No 233/2014 for the period 2014-2020 IFCA has also been recreated in 2015.

The final dates for contracting are 31 December 2016 for IFCA and 31 December 2016 for AIF, both related to decisions from 2015. For the financing decision taken in 2014 related to AIF the deadline for contracting is 31 December 2015. The duration of individual projects is established on a case-by-case basis with a maximum of 120 months from the date of entry into force of the financing agreement or, when none is concluded, from the adoption of the 2014 Action Document creating the AIF. The estimated budget allocation foreseen for the total programming period amounts to EUR 340 million for AIF and EUR 140 million for IFCA.

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The budgetary breakdown of EUR 348 567 000 between the two regions is as follows:

| Decision Reference                          | Cumulated amount of global commitment<br>(maximum envelope) | Budget line (in the budgetary nomenclature of the year where the commitment was done) |
|---------------------------------------------|-------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Investment Facility for Central Asia (IFCA) |                                                             |                                                                                       |
| ACA/2010/021-627                            | 20 000 000                                                  | 19 10 02                                                                              |
| ACA/2011/023-117                            | 45 000 000                                                  | 19 10 02                                                                              |
| ACA/2013/024-950                            | 20 567 000                                                  | 19 10 02                                                                              |
| ACA/2014/037-538                            | 20 000 000                                                  | 21 02 03                                                                              |
| ACA/2015/038-116                            | 40 000 000                                                  | 21 02 03                                                                              |
| ACA/2016/039-632                            | 20 000 000                                                  | 21 02 03                                                                              |
| <b>Total</b>                                | <b>165 567 000</b>                                          |                                                                                       |
| Asia Investment Facility (AIF)              |                                                             |                                                                                       |
| ACA/2011/022-036                            | 15 000 000                                                  | 19 10 01 01                                                                           |
| ACA/2012/022-036                            | 15 000 000                                                  | 19 10 01 01                                                                           |
| ACA/2013/024-917                            | 30 000 000                                                  | 19 10 01 01                                                                           |
| ACA/2014/037-548                            | 26 000 000                                                  | 21 02 14                                                                              |
| ACA/2014/037-548                            | 31 000 000                                                  | 21 02 02                                                                              |
| ACA/2014/038-088                            | 25 000 000                                                  | 21 02 02                                                                              |
| ACA/2016/038-088                            | 20 000 000                                                  | 21 02 02                                                                              |
| ACA/2016/039-604                            | 25 000 000                                                  | 21 02 02                                                                              |
| <b>Total</b>                                | <b>183 000 000</b>                                          |                                                                                       |

(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments*

(1) *IFCA*

The budgetary impact understood as the total financial envelope in terms of budgetary commitments for the IFCA is of EUR 165,57 million. The leverage effect based on historical leverage experience during the period 2010-2016 for the IFCA is estimated as follows:

- total project cost (*circa* EUR 970 million) / IFCA contributions (projects approved through a Commission Decision following a positive opinion of the Board of the DCI Blending Framework: *circa* EUR 143 million): 6,8,
- eligible finance institutions resources (*circa* EUR 605 million) / IFCA contributions (projects approved through a Commission Decision following positive opinion of the Board of the DCI Blending-Framework): 4,2.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

The estimated budget allocation foreseen for the total programming period amounts to EUR 140 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 to 5 over the lifetime of the financial instrument.



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Based on the target leverage of the instrument, it is estimated that the total amount of EUR 165 million would mobilise a maximum of EUR 825 million in financing (loans/investment volumes) for the entire duration of the programme.

(2) AIF

The budgetary impact understood as the total financial envelope in terms of budgetary commitments for the AIF is of EUR 183 million. The target leverage effect based on historical leverage experience during the period 2011-2016 for the AIF is estimated as follows:

- total project cost (*circa* EUR 3 152 million) / AIF contributions (projects approved through a Commission Decision following positive opinion of the Board of the DCI Blending-Framework: *circa* EUR 142 million): 22,2,
- eligible finance institutions resources (*circa* EUR 1 782 million)/AIF contributions (projects approved through a Commission Decision following positive opinion of the Board of the DCI Blending-Framework): 12,5.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

The estimated budget allocation foreseen for the total programming period amounts to EUR 340 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 to 5 times over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total estimated amount of EUR 340 million would mobilise a maximum of EUR 1 700 million in financing (loans/investment volumes) for the entire duration of the programme.

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### Latin American Investment Facility (LAIF)

(i) **Reference to the basic act**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 100), and in particular Article 4(3) thereof.

The Facility was initially established in 2009 through the above-mentioned DCI Regulation (EC) No 1905/2006 for the period 2009-2013 with Commission contributions to be decided annually. The LAIF was recreated in 2014 in order to achieve the objectives of Regulation (EU) No 233/2014 for the period 2014-2020 through a financing decision of EUR 30 million.

(ii) **Budget lines corresponding to the relevant operations**

Article 21 02 01 — Cooperation with Latin America.

Item 21 02 51 02 — Cooperation with developing countries in Latin America (completion line).

Item 21 02 51 06 — Environment and sustainable management of natural resources, including energy (completion line).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

*Policy objectives and scope*

The LAIF's main purpose is to promote additional investments in infrastructures in the transport, energy, and environment sectors (including water and sanitation, as well as agriculture and rural development) and to support social sector such as health and education, and private sector development in the Latin American countries. The LAIF will support the growth of SMEs, by making available a range of financial instruments in Latin America.

The LAIF included in 2011, a climate change window to support the implementation of projects helping partner countries tackle climate change through mitigation and/or adaptation measures.

*Geographical coverage and final recipients*

The final recipients will be the Latin American countries listed in Regulation (EC) No 1905/2006 and those referred to in Regulation (EU) No 233/2014.

Other final recipients will be the private sector and in particular SMEs for categories of operations dedicated to private sector development. Eligible finance institutions will be the entrusted entities and important stakeholders of the operations of this financial instrument.

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*Main technical characteristics*

The types of operations to be financed under the LAIF are the following:

- investment co-financing in public infrastructure projects,
- loan guarantee cost financing,
- interest rate subsidies,
- technical assistance,
- risk capital operations,
- any other risk-sharing mechanisms.

Possible management modes have been centralised management (direct and indirect), joint management, partially decentralised management (budget management modes in force until end 2014 for the use of 2013 funds), and indirect management (budget management mode in force for the use of 2014 funds).

*Duration and impact on the budget*

The LAIF was established by Regulation (EC) No 1905/2006 until 31 December 2013 and the budget envelope amounted to EUR 179,35 million. As mentioned above, the LAIF has been recreated in 2014 in order to achieve the objectives of Regulation (EU) No 233/2014 for the period 2014-2020.

The final date for contracting is 31 December 2017 relating to decisions from 2016. This is not the date of duration of the facility but the final date for contracting of the individual decisions establishing the facility. The duration of individual projects is established on a case-by-case basis, taking into account that the end date of the operational implementation period of decision DCI-ALA/2014/037-570 is 31 December 2030. The estimated budget allocation foreseen for the total programming period amounts to EUR 320 million.

| CRIS Decision reference | Cumulated amount of global commitment<br>(maximum envelope) | Budget line (in the budgetary<br>nomenclature of the year<br>where the commitment was<br>done) |
|-------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| DCI-ALA/2009/021-734    | 180 400 000                                                 | 19 09 01                                                                                       |
| DCI-ALA/2014/037-570    | 72 342 737                                                  | 21 02 12 / 21 02 01                                                                            |
| DCI-ALA/2016/037-570    | 52 657 263                                                  | 21 02 01                                                                                       |
| DCI ENV/023-403         | 17 300 000                                                  | 21 04 01                                                                                       |
| <b>Total</b>            | <b>322 700 000</b>                                          |                                                                                                |

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

In 2016, a financing decision of EUR 50 million and a financing decision of EUR 2 657 263 were committed. The budgetary impact understood as the total financial envelope in terms of budgetary commitments for the LAIF is of EUR 322 700 000. The leverage effect based on historical leverage experience during the period 2010-2016 for the LAIF is estimated as follows:

- total project costs (*circa* EUR 7 538 million) / LAIF contributions (projects approved through a Commission Decision following positive opinion of the Board of the DCI Blending-Framework : EUR 274 million); 27,5,

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— eligible European finance institutions resources (*circa* EUR 3 672 million) / LAIF contributions (projects approved through a Commission Decision following positive opinion of the Board of the DCI Blending-Framework): 13,4.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

The estimated budget allocation foreseen for the total programming period amounts indicatively to EUR 320 million.

The target leverage effect as indicated in the legal basis in the *ex ante* evaluation is 4 to 5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 322 million would mobilise a maximum of EUR 1 600 million financing (loans/investments volumes) for the entire duration of the programme.

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## Additional facilities

### Support to the Facility for Euro-Mediterranean Investment and Partnership (FEMIP)

(i) **Reference to the basic act**

Previous acts were the *Mesures d'accompagnement financières et techniques* (MEDA I and MEDA II for 1996-2006).

The latest act for FEMIP is the European Neighbourhood and Partnership Instrument (ENPI for 2007-2013) for which the legal basis is Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

(ii) **Budget lines corresponding to the relevant operations**

Item 19 08 01 01 — European Neighbourhood and Partnership financial cooperation with Mediterranean countries (in 2013 budget nomenclature <sup>(1)</sup>).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

*Policy objectives and scope*

The objective of the support to FEMIP is to provide capital to the private sector of Mediterranean partner countries on terms that are not available locally.

Risk capital is invested directly or indirectly in order to: (i) support the private sector, i.e. enable the creation, restructuring or growth of enterprises; (ii) strengthen the role of the local financial sector by supporting the creation of new institutions or the establishment of new activities for the benefit of the private sector.

Technical assistance is mobilised to strengthen FEMIP operations in the Mediterranean region, with a special focus on private sector development.

*Geographical coverage and final recipients*

FEMIP covers nine southern Mediterranean states. The beneficiaries of the Risk Capital Facility are the private sector in general and SMEs as well as financial intermediaries. The beneficiaries of technical assistance are private enterprises, public institutions and the financial intermediaries.

*Main technical characteristics*

This action with the objective of financing risk capital and technical assistance operations is implemented in indirect centralised management with the European Investment Bank.

The European Investment Bank is entrusted to carry out the following operations:

- risk capital operations,
- technical assistance operations.

<sup>(1)</sup> The last contribution was committed in 2013, hence the 2013 budget line reference.

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*Duration and impact on the budget*

FEMIP was established for the duration of the multiannual financial framework 2007-2013. There has been an annual budgetary commitment of EUR 32 million against budget line 19 08 01 01 for each of the seven years of that period. Therefore, the total financial envelope for 2007-2013 is EUR 224 million. (EUR 180 million for risk capital operations and EUR 33 million for technical assistance).

The final date of implementation for the 2013 commitment is 31 December 2029.

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

No payments were foreseen in 2016 to the FEMIP, as no more commitments were foreseen after 2013.

The estimated budget allocation foreseen for the total programming period amounts to EUR 224 million.

The leverage effect as expected according to the applications performed until 2015 is 19,54.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 224 million will mobilise investments/ loan volumes around EUR 4 376 million for the entire duration of the programme.

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## Global Energy Efficiency and Renewable Energy Fund (GEEREF)

(i) **Reference to the basic act**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

The GEEREF was approved in the annual action plans (AAPs) of the four-year thematic programme for environment and sustainable management of natural resources including energy (ENRTP 2007-2010).

Legal basis for the Regional Fund Support Facility (RFSF): preparatory action within the meaning of Article 54 of the Financial Regulation.

(ii) **Budget lines corresponding to the relevant operations**

Item 21 02 51 06 — Environment and sustainable management of natural resources, including energy (completion line).

Item 21 02 77 14 — Global Energy Efficiency and Renewable Energy Fund (GEEREF).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

*Policy objectives and scope*

The GEEREF is an innovative financing vehicle aiming to promote energy efficiency and renewable energy in developing countries and economies in transition. Structured as a fund-of-funds, GEEREF's strategy is to invest in — and thus help develop — regional private equity funds whose investments will target small and medium-sized energy efficiency and renewable energy projects.

The objectives are to contribute to the expansion of renewable energy, energy efficiency and other related clean energy technologies to markets and services in developing countries and economies in transition, with the objective to increase access to low carbon, secure and affordable energy, and to help improve the economic and social circumstances of underserved or disadvantaged populations, to encourage sustainable economic development, while promoting the protection of the environment.

*Geographical coverage and final recipients*

The scope of the GEEREF is to support regional sub-funds for sub-Saharan Africa, Caribbean and Pacific Island states, the countries of the European Neighbourhood Policy including Russia, Latin America, and Asia (including Central Asia and the Middle East). There will be a special emphasis on serving the needs of the African, Caribbean and Pacific (ACP) countries.

*Duration and impact on the budget*

The end date of the activities under GEEREF is 31 December 2023, as calculated from the date the last commitment was recorded.

| Decision References                                                          | Cumulated amount of global commitment<br>(maximum envelope) | Budget line (in the budgetary<br>nomenclature of the year<br>where the commitment was<br>done) |
|------------------------------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| DCI-ENV/2007/147331 plus addenda with CRIS reference<br>168 899 and 282 314) | 81 100 000                                                  | 21 04 01 / 21 02 51                                                                            |

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In addition, EUR 5 million was allocated from Article 21 04 05 in order to support the establishment of an integrated support facility for GEEREF.

In 2014 a replenishment of 20 million to the GEEREF fund took place to feed into the 'Energy for all initiative' financed from the European Development Fund. No replenishment was made in 2015 and 2016.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The are no additional budgetary commitments planned for the GEEREF.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 81,1 million commitments would mobilise around EUR 405,5 million financing (loan/investment volumes) for the entire duration of the programme.



### **Thematic blending (ElectriFI, AgriFI and Climate Change)**

(i) **Reference to the basic act**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44). The legal basis for the thematic programme 'Global Public Goods and Challenges' (GPGC) is the Development Cooperation Instrument (DCI).

Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95), in particular Article 4(3) thereof which establishes that financial instruments may be grouped into facilities for implementation and reporting purposes.

(ii) **Budget lines corresponding to the relevant operations**

Article 21 02 07 — Global public goods and challenges and poverty reduction, sustainable development and democracy.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

*Policy objectives and scope*

#### **ElectriFI**

The aims of the financial instruments are to (a) bridge the gaps in structuring and financing of investments addressing the lack of access to clean, reliable and affordable electricity and energy services all over the world with a view to attracting the development financing sector, and (b) de-risk investments to attract the interest of a wider range of financial institutions, including commercial banks.

#### **AgriFI**

The main objective is to develop inclusive, sustainable and climate-smart agriculture based value chains. Agriculture has the potential to generate growth, employment in rural areas and along the value chains, and to manage environmental services. To fully exploit three priorities have been set:

- (1) Produce knowledge and analyse experiences to help policy makers and investors to design strategies, policies and projects.
- (2) Facilitate the establishment of public-private stakeholder alliances and strengthen capacities of various operators along the value chain (farmers and their organisations, MSMEs, market organisations etc.) to improve governance, to access existing and new markets (domestic and international), and to remain competitive.
- (3) Increase investments in the agriculture value chain to start 'rural transformations' through blending mechanisms.

#### **Climate Change**

The action's objectives are as follows:

- meeting the demand of low-carbon and climate change resilient infrastructure in sub-Saharan Africa;

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- systematically translating local climate strategies into concrete investments;
- fully achieving/maximising climate co-benefits of urban projects;
- building local governments' capacities to implement climate-friendly urban projects;
- take part to the dissemination of local climate strategies in sub-Saharan Africa, in complementarity with existing initiatives;
- fully complete a low carbon cities mechanism in Africa, in connection with the deployment of the Covenant of Mayors to sub-Saharan Africa and other major initiatives (e.g. UNCDF's LoCAL program, Africa4Climate program, Urban LEDS programme, the Mobilise Your City initiative, etc.).

A transversal objective is also to ensure the contribution of cities for countries to abide by their Intended National Determined Contributions (INDCs), which is central for the implementation of the Paris Agreement on Climate Change.

*Geographical coverage and final recipients*

Thematic initiatives are not attributed a priori to a specific geographical region under the corresponding financing instrument. Targeted countries are countries eligible under the DCI financing instrument.

*Main technical characteristics*

The financial instruments are implemented by indirect management. The types of operations to be financed are the following:

- investment co-financing in public infrastructure projects,
- loan guarantee cost financing,
- interest rate subsidies,
- technical assistance,
- risk capital operations,
- any other risk-sharing mechanisms.

*Duration and impact on the budget*

The final date for contracting is 31 December 2017 relating to decisions from 2016 or amendments made in 2016 to decisions of 2015. This is not the date of duration of the operations but the final date for contracting the individual decisions relating to the initiatives. The duration of individual operations is established on a case-by-case basis.

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| Decision References       | Cumulated amount of global commitment<br>(maximum envelope) | Budget line |
|---------------------------|-------------------------------------------------------------|-------------|
| ElectriFI                 |                                                             |             |
| C(2014) 9451 — 15.12.2014 | 74 851 742                                                  | 21 02 07 02 |
| C(2015) 9276 — 14.12.2015 | 57 875 236                                                  | 21 02 07 02 |
| C(2016) 8086 — 30.11.2016 | 60 584 234                                                  | 21 02 07 02 |
| AgriFI                    |                                                             |             |
| C(2015) 5789 — 11.8.2015  | 40 000 000                                                  | 21 02 07 04 |
| C(2016) 8598 — 13.12.2016 | 29 000 000                                                  | 21 02 07 04 |
| Climate Change            |                                                             |             |
| C(2015) 5793 — 11.8.2015  | 8 000 000                                                   | 21 02 07 01 |
| <b>Total</b>              | <b>270 311 212</b>                                          |             |

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

*ElectriFI*

The envisaged operations to be financed under this action include:

- building or improving access by installing distribution networks (including mini- and micro-grids) and connections to the existing grid, reinforcing and/or expanding the existing grid and distribution network;
- renewable energy sources applications for the provision of modern energy services (including electricity, heating/hot water and cooling) along with energy efficiency measures to households, businesses and/or essential public services — schools, hospitals — operated by public or private organisations, principally decentralised (off-grid) but also grid connected applications;
- hybridisation of existing fossil fuel-based generation systems with renewable energy systems;
- introduction of improved cooking methods (including energy efficient cook-stoves);
- incorporating renewable energy systems into production methods to promote productive uses of energy with a view to boosting economic development and job creation;
- activities aiming at supporting or implementing strategies and plans of existing rural electrification/energy agencies and/or other governmental organisation managing rural electrification and energy efficiency;
- activities to improve sustainable energy and energy efficiency regulatory framework;
- improved performance of operators in the power sector (public or private utilities)/creation of sustainable and long standing partnerships of donors with local utilities/establishment of locally managed mini- and micro-utilities. the basis should be the efficient operation of the grids.

The above list of activities is not exhaustive.

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The budgetary impact understood as the total financial envelope in terms of global commitments as of end 2016 for is EUR 193 311 212. It is estimated that investments of approximately EUR 1 400 million would be mobilised based on the total global commitments.

The leverage effects are estimated as follows:

- total project cost (circa EUR 968 million / ElectriFI contribution (projects approved through a Commission decision following positive opinion of the Board of the DCI Blending Framework: EUR 131 million) : 7,4;
- eligible European finance institutions resources (EUR 458 million) / ElectriFI contributions (projects approved through a Commission decision following positive opinion of the Board of the DCI Blending Framework: EUR 131 million): 3,5.

*AgriFI*

Blended finance and technical assistance will aim at promoting investment in the smallholder and MSME agribusiness sector where a high development impact is expected, but where high risks and/or low rates of return cannot attract public lenders or commercial financiers without grant support. The grant support will be provided only when there is high development impact, additionality, adherence to social, environmental and fiscal standards, or when innovative technologies are being used that can potentially be replicated.

The budgetary impact understood as the total financial envelope in terms of global commitments as of end 2016 is EUR 69 000 000. It is estimated that about EUR 538 million will be mobilised with respect to this global envelope.

The leverage effects are estimated as follows:

- total project cost (circa EUR 234 million / Union contribution (projects approved through a Commission decision following a positive opinion of the Board of the DCI Blending Framework: EUR 30 million) : 7,8;
- eligible European finance institutions resources (EUR 31 million) / Union contributions (projects approved through a Commission decision following a positive opinion of the Board of the DCI Blending Framework: EUR 30 million) : 1.

*Climate Change*

Activities will consist in: (a) supporting city climate planning when needed, and in connection with the Covenant of Mayors in sub-Saharan Africa and other relevant Union initiatives; and (b) preparing low-carbon and resilient urban infrastructure projects stemming from urban climate strategies and urban planning with coherent climate mainstreaming approach. Additionally, this action will aim at supporting around 25 cities in Africa in the financing of climate-friendly investment projects through the provision of loans which will total an estimated EUR 600 000 000. The Union support of EUR 8 000 000 is in the form of technical assistance.

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## Financial instruments in the enlargement countries

### Western Balkans

#### Guarantee Facility I under the Western Balkans Enterprise Development and Innovation Facility (EDIF)

(i) **Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82), and in particular Article 14(3) thereof.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

(ii) **Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The financial instrument of the Union for the Guarantee Facility I contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans.

Its major objectives are the creation of preconditions for the emergence and growth of innovative and high-potential companies. The instrument will guarantee SME loan portfolios issued by commercial banks for new SME lending. This will entail improving SME access to lending and potentially lowering the cost of borrowing.

Under the Guarantee Facility, guarantees of first loss of new loans to targeted SMEs with a guarantee rate of up to 70 % and a guarantee cap of up to 25 % in the overall loan portfolio can be used. Exact guarantee rate and cap is being determined on a case-by-case basis.

The Commission implements the Guarantee Facility under indirect management in accordance with Article 139 of the Financial Regulation (through a fiduciary and management agreement). Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The Guarantee Facility is to be implemented under indirect management, with the implementation tasks entrusted to the EIF.

The Guarantee Facility started in 2013 and will guarantee loans with maturity until 2023. The geographical coverage will be the Western Balkans in line with Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95).

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The financial envelope for the instrument under IPA I amounts to EUR 21,9 million (of which EUR 1,9 million is a provision for fees to the EIF as the manager and EUR 20 million is the guarantee capital) that was committed and paid out to the EIF.

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The estimated budget allocation foreseen for the total programming period amounts to EUR 21,9 million.

The target leverage effect as indicated in the project application form for the Guarantee Facility I over the lifetime of the financial instrument was 7.

The guarantees under the Guarantee Facility I had been fully allocated in the course of 2014, though not all of them signed as at 31 December 2014. It is expected that the amount of EUR 20 million shall leverage a total investment of around EUR 120 million, implying the leverage factor of minimum 6.

The aggregate budgetary commitment of EUR 21,9 million from IPA I was increased by EUR 17,5 million under the Multi-beneficiary Programme under IPA II. This increase was committed separately (under the name Guarantee Facility II under the Enterprise Development and Innovation Facility) and is reported separately. The Delegation Agreement for the Guarantee Facility II was signed on 23 December 2015 and the first payment was disbursed in January 2016.

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INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH POINT (E) OF ARTICLE 49(1) OF THE FINANCIAL REGULATION

## **Guarantee Facility II under the Western Balkans Enterprise Development and Innovation Facility (EDIF)**

(i) **Reference to the basic act**

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

(ii) **Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The financial instrument of the Union for the Guarantee Facility II contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans.

The Guarantee Facility II is the continuation of the Guarantee Facility I; it is the same product managed by the EIF but the mandate was signed in order to comply with the new Financial Regulation.

Like in its predecessor (Guarantee Facility I), the major objectives of the Guarantee Facility II are the creation of preconditions for the emergence and growth of innovative and high-potential companies. The instrument will guarantee SME loan portfolios issued by commercial banks for new SME lending. This will entail improving SME access to lending and potentially lowering the cost of borrowing.

Under the Guarantee Facility II, guarantees of first loss of new loans to targeted SMEs with a guarantee rate of up to 70 % and a guarantee cap of up to 25 % in the overall loan portfolio can be used. The exact guarantee rate and cap is being determined on a case-by-case basis.

The Commission implements the Guarantee Facility II under indirect management in accordance with Article 139 of the Financial Regulation (through a fiduciary and management agreement). Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The Guarantee Facility II is to be implemented under indirect management, with the implementation tasks entrusted to the EIF.

The Guarantee Facility II instrument was signed in 2015 and it will guarantee loans with maturity until 2028. The geographical coverage will be the Western Balkans in line with Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95).

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The financial envelope for the Guarantee Facility II under IPA II amounts to EUR 21,9 million (of which EUR 1,9 million is a provision for fees to the EIF as the manager and EUR 20 million is the guarantee capital) that was committed and paid out to the EIF. The estimated budget allocation foreseen for the total programming period amounts to EUR 21,9 million.

The target leverage effect as indicated in the project application form for EDIF Guarantee Facility over the lifetime of the financial instrument was 7. The guarantees under the Guarantee Facility II had been fully allocated in the course of 2014, though not all of them signed as at 31 December 2014. It is expected that the amount of EUR 20 million shall leverage a total investment of around EUR 120 million, implying the leverage factor of minimum 6.

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## **Enterprise Expansion Fund (ENEF) under the Western Balkans Enterprise Development and Innovation Facility**

### **(i) Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82), and in particular Article 14(3) thereof.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

### **(ii) Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation.

### **(iii) General description of the financial instruments, including their duration and their impact on the budget**

The financial instrument of the Union for the Enterprise Expansion Fund (ENEF) contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans.

Its major objectives are the creation of preconditions for the emergence and growth of innovative and high-potential companies. The instrument will finance development and expansion capital in established SMEs with high-growth potential in their respective markets through equity participation. Under the instrument, equity and quasi-equity investment can be used.

The Commission implements the ENEF under indirect management in accordance with Article 139 of the Financial Regulation. Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The ENEF is to be implemented under indirect management with the implementation tasks entrusted to the EIF.

In 2016, the ENEF financed four investments as follows:

- an investment was signed in 2016 in the amount of EUR 1,8 million in Serbia,
- an investment was signed in 2016 in the amount of EUR 3 million in former Yugoslav Republic of Macedonia,
- an investment was signed in 2016 in the amount of EUR 1,3 million in Serbia,
- an investment was signed in 2016 in the amount of EUR 10 million for Croatia.

The EBRD has built up a pipeline of deals which were undergoing due diligence as at 31 December 2015. Following an investment period of maximum five years, its portfolio will be wound up in a subsequent period of maximum five years. The geographical coverage will be the Western Balkans in line with Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95).



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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The financial envelope committed for the ENEF amounts to EUR 11,0 million (of which EUR 1,1 million is a provision for fees to the EIF as the trustee for the Commission, EUR 0,4 million is a provision for technical assistance and EUR 9,5 million is the equity part). EUR 10,4 million were paid out to the EIF in its function as a trustee in December 2012. This amount shall leverage a total investment in the fund of EUR 55 million approximately, implying the leverage factor of 5 combined with foreseen EBRD 'one for one' co-investments from the Local Enterprise Facility the total investment can reach EUR 110 million implying the leverage factor of 10. The remaining EUR 0,4 million will be disbursed after January 2018.

The aggregate budgetary commitment of EUR 11,0 million from IPA I may be increased under the multi-beneficiary programme IPA II, subject to the assessment of the performance of the ENEF and the adoption of the corresponding financing decisions.

The estimated budget allocation foreseen for the total programming period amounts to EUR 11 million.

The target leverage effect as anticipated in the project application form for EDIF is 10 over the lifetime of the ENEF.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 110 million of investments would be made for the entire duration of the programme.

There are projects in Kosovo, Montenegro and Albania in the pipeline, which were approved by the ENEF Investment Committee.

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### **Enterprise Innovation Fund (ENIF) under the Western Balkans Enterprise Development and Innovation Facility**

(i) **Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82), and in particular Article 14(3) thereof.

(ii) **Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2015 budget nomenclature).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The financial instrument of the Union for the Enterprise Innovation Fund (ENIF) contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans. Its major objectives are the creation of preconditions for the emergence and growth of early stage innovative companies through equity investments. The ENIF will finance early to development and expansion stage capital in innovative SMEs. Under the instrument, equity and quasi-equity investment can be used.

The Commission implements the ENIF under indirect management in accordance with Article 139 of the Financial Regulation. Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The ENIF is implemented under indirect management with the implementation tasks entrusted to the EIF.

EIF, as part of its responsibilities as platform coordinator has been charged with the selection of the private sector Fund Manager for ENIF.

After ENIF's second closing in 2016, the total amount of the commitments to the Fund are EUR 39,9 million (out of which Union contribution was EUR 12,5 million), whereby investments from EBRD, DEG, OeEB, Croatia, former Yugoslav Republic of Macedonia, Montenegro and Kosovo as well as private investors were secured.

The investments signed in 2016 are the following:

- Drytools in Serbia, EUR 300 000;
- Cityexpert in Serbia, EUR 700 000;
- Agrivi in Croatia, EUR 1 000 000;
- Letz in former Yugoslav Republic of Macedonia, EUR 100 000;
- Bulb in Croatia, EUR 2 000 000;
- Cognism in former Yugoslav Republic of Macedonia, EUR 100 000.

There are investments in the pipeline in Serbia for signature in 2017.

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The financial envelope of the ENIF amounts to EUR 21,2 million (of which EUR 0,9 million is a provision for fees to the EIF as the Trustee for the Commission, EUR 6,2 million is a provision for technical assistance and EUR 14,1 million is the equity part) that was committed and paid out to the EIF in its function as a trustee. As at 31 December 2016 the amount is considered as cash equivalent (fiduciary account) on the balance sheet of DG NEAR and not yet a financial instrument. This amount leveraged a total investment of approximately EUR 40 million, implying the leverage factor of 2.

The estimated budget allocation foreseen for the total programming period amounts to EUR 21,2 million.

The target leverage effect as anticipated in the project application form for EDIF is 2 over the lifetime of the ENIF.

The target amount of EUR 40 million of the ENIF was reached, and the investments would be made for the entire duration of the programme.

The aggregate budgetary commitment of EUR 21,2 million from IPA I may be increased in the future under the Multi-beneficiary Programme IPA II, subject to the assessment of the instrument performance and adoption of the corresponding financing decisions.

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**Other (mixed)****European Fund for Southeast Europe (EFSE)****(i) Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

European Fund for Southeast Europe (EFSE), Community Assistance for Reconstruction, Development and Stabilisation (CARDS) 2006/018-264, IPA 2007/019-344, IPA 2008/020-300 and IPA 2009/021-373.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**(ii) Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2015 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The European Fund for Southeast Europe (EFSE) is a form of public-private-partnership. Its objective is to attract capital from the private sector thereby leveraging public donor funds that will assist the development of the private sector in the region. EFSE extends loans to local commercial banks and micro-finance institutions in the Western Balkans for on-lending to micro and small enterprises and households. The European Investment Fund (EIF) manages the EFSE, the latter generating impacts at three different levels:

- supporting micro and small enterprises as the backbone of the local economies, thereby contributing to generating income and creating employment,
- satisfying the basic need of decent shelter,
- strengthening local financial markets.

The EFSE has a revolving nature and has an undetermined duration. Extension of the underlying Delegation Agreements establishing a trusteeship for the IPA funds in EFSE with the EIF was included under IPA II, multi-country programme 2015 and the agreement signed in December 2016.

The total amount of the Union contributions to EFSE, i.e. EUR 88 968 090, includes share and cash transfers from other instruments during the period 2006-2011.

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

No commitment for additional financing from IPA II of the new shares subscriptions in EFSE took place in 2016.

The estimated budget allocation foreseen for the total programming period as at 31 December 2016 equals the total allocation to date, i.e. EUR 88 968 090.

The achieved leverage effect of the EFSE at present is in the order of 40.

Based on the achieved leverage of the EFSE, it is estimated that it will generate in order of EUR 3 600 million of loans to eligible final recipients.

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### **Green for Growth Fund (GGF)**

(i) **Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Green for Growth Fund (GGF), IPA 2009 / 021-373.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

(ii) **Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2015 budget nomenclature).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The mission of the Green for Growth Fund, south-east Europe is to contribute, in the form of a public private partnership with a layered risk/return structure, to enhancing energy efficiency and fostering renewable energies in south-east Europe and Turkey, predominantly through the provision of dedicated financing to businesses and households via partnering with financial institutions and direct financing.

GGF's investments seek to achieve a 20 % reduction in energy consumption and/or a 20 % reduction in CO<sub>2</sub> emissions, by

- refinancing financial institutions (local commercial banks, non-bank financial institutions such as microfinance institutions and leasing companies and other selected financial institutions) providing loans to households, businesses, municipalities and public sector for energy efficiency measures or renewable energy projects. Investments through financial institutions will constitute the majority of GGF's investments,
- providing direct financing to non-financial institutions (energy service companies, renewable energy companies or projects, small scale renewable energy and energy efficiency service and supply companies) that meet GGF energy-saving and/or emissions targets, and comply with the technical criteria and GGF exclusion list.

The GGF has a revolving nature and has an undetermined duration. A new underlying Delegation Agreement establishing a trusteeship for the IPA funds in GGF with EIF has been signed in December 2016, with allocations for the trusteeship fee from IPA II, multi-country programme 2015. The total amount of the Union contributions to the instrument, i.e. EUR 38 633 232, includes subscription to C-shares.

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

An additional financing from IPA II for the new C-shares subscriptions in GGF is foreseen in Q2 2017 at the level of EUR 20 million (other than EUR 1,6 million of additional allocation towards the trusteeship fees of EIF until end 2023).

The estimated budget allocation foreseen for the total programming period as at 31 December 2016 equals the total allocation to date, i.e. EUR 38 633 232.

The leverage effect of the GGF at present is in the order of 11,42 (total size of the fund, divided by Union contribution). It is estimated that the leverage will generate in excess of EUR 441 million of loans to eligible final recipients.

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### **SME Recovery Support Loan for Turkey**

(i) **Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Crisis Response Package, IPA 2009/021-373.

(ii) **Budget lines corresponding to the relevant operations**

Item 22 02 51 — Completion of former pre-accession assistance (prior to 2014) (in 2015 budget nomenclature).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The overall objective of the SME Recovery Support Loan for Turkey is to mitigate the crisis impact for SMEs and contribute to the development of the Turkish economy and employment sector. The main objective is to support SMEs with concrete productive investments by providing access to attractive and longer-dated debt financing. The co-financing of loans is to be provided to local commercial banks operating in Turkey (the 'financial intermediaries') for the benefit of eligible investments carried out by SMEs. In the context of the action, the European Investment Bank (EIB) shall extend loans from its own resources together with the Union contribution loans.

Individual SMEs are eligible for financing from a financial intermediary using funding available through the action (each a 'sub-loan') up to a maximum amount of EUR 5,0 million and a minimum amount of EUR 200 000 and with a minimum maturity of four years.

The financial Commission commitment of the instrument amounts to EUR 30,0 million (of which EUR 360 000 are for management fees). It is a joint management action with the EIB who contributes with EUR 120,0 million. The action will end in December 2017. A one-year extension was approved in December 2016 in order to give the EIB the time to explore the existence of the pre-conditions to continue the action with a second round of sub-loans, as already set out in the Contribution Agreement signed with the EIB in 2009. The second round of sub-loans would use the Union contribution which has been repaid to EIB by financial intermediaries together with new resources of EIB. A second round is likely to entail an extension of the termination date of at least three years.

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The 2009 Union commitment of EUR 30 million has supported a lending volume of EUR 150 million to the two participating Turkish banks.

The estimated budget allocation foreseen for the total programming period amounts to EUR 30 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is '1 to 10' over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would be around EUR 300 million for the entire duration of the programme. In the case of a second round of sub-loans with additional EIB funds the actual leverage of the initiative would change.

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## REVENUE

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                        | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                    |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>                                                             | 3 897 000           | 3 858 000           | 3 749 477,25        | 96,21        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i> | 831 000             | 817 000             | 799 998,76          | 96,27        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                            | 4 728 000           | 4 675 000           | 4 549 476,01        | 96,22        |
|              | CHAPTER 4 1                                                                                                                                                    |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                               | 4 551 000           | 4 582 000           | 4 443 193,06        | 97,63        |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                            | 4 551 000           | 4 582 000           | 4 443 193,06        | 97,63        |
|              | <b>Title 4 — Total</b>                                                                                                                                         | <b>9 279 000</b>    | <b>9 257 000</b>    | <b>8 992 669,07</b> | <b>96,91</b> |

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## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### 4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 3 897 000           | 3 858 000           | 3 749 477,25        |

##### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

##### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### 4 0 3 *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

##### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 831 000             | 817 000             | 799 998,76          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 4 551 000           | 4 582 000           | 4 443 193,06        |

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      ***Other contributions and refunds***

## 6 6 6 0      Other assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.



## TITLE A2

## PUBLICATIONS OFFICE

## CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A2 02 — SPECIFIC ACTIVITIES

| Article Item    | Heading                                                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-----------------|-------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|                 | CHAPTER A2 01                                               |                     |                     |                      |               |
| <b>A2 01 01</b> | <b>Expenditure related to officials and temporary staff</b> |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 58 352 400          | 58 540 000          | 56 107 548,95        | 96,15         |
| <b>A2 01 02</b> | <b>External personnel and other management expenditure</b>  |                     |                     |                      |               |
| A2 01 02 01     | External personnel                                          |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 2 248 000           | 2 462 000           | 2 338 029,72         | 104,00        |
| A2 01 02 11     | Other management expenditure                                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 445 000             | 445 000             | 474 247,04           | 106,57        |
|                 | <i>Article A2 01 02 — Total</i>                             | <b>2 693 000</b>    | <b>2 907 000</b>    | <b>2 812 276,76</b>  | <b>104,43</b> |
| <b>A2 01 03</b> | <b>Buildings and related expenditure</b>                    |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 23 498 000          | 14 011 200          | 13 250 017,45        | 56,39         |
| <b>A2 01 50</b> | <b>Personnel policy and management</b>                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A2 01 51</b> | <b>Infrastructure policy and management</b>                 |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A2 01 60</b> | <b>Documentation and library expenditure</b>                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 3 000               | 3 000               | 3 000,—              | 100,00        |
|                 | <b>CHAPTER A2 01 — TOTAL</b>                                | <b>84 546 400</b>   | <b>75 461 200</b>   | <b>72 172 843,16</b> | <b>85,36</b>  |
|                 | CHAPTER A2 02                                               |                     |                     |                      |               |
| <b>A2 02 01</b> | <b>Production</b>                                           |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 2 925 000           | 800 000             | 4 169 509,68         | 142,55        |
| <b>A2 02 02</b> | <b>Long-term preservation</b>                               |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 4 190 000           | 1 900 000           | 2 486 998,81         | 59,36         |
| <b>A2 02 03</b> | <b>Access and reuse</b>                                     |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 2 875 000           | 4 600 000           | 5 809 265,79         | 202,06        |
|                 | <b>CHAPTER A2 02 — TOTAL</b>                                | <b>9 990 000</b>    | <b>7 300 000</b>    | <b>12 465 774,28</b> | <b>124,78</b> |





## TITLE A2

## PUBLICATIONS OFFICE

## CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE

A2 01 01 *Expenditure related to officials and temporary staff*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 58 352 400          | 58 540 000          | 56 107 548,95 |

*Remarks*

This appropriation is intended to cover, in respect of officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments by the institution to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

**A2 01 02 External personnel and other management expenditure**

A2 01 02 01 External personnel

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 248 000           | 2 462 000           | 2 338 029,72 |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- the cost of national civil servants or other experts on secondment or temporary assignments to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any adjustments to remuneration approved by the Council during the financial year,
- the cost of support services in the field of proofreading, expenditure connected with agency and freelance staff and related administrative expenditure.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules of appointment and payment and other financial terms laid down by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (O) L 298, 26.10.2012, p. 1).

A2 01 02 11 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 445 000             | 445 000             | 474 247,04   |

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international seconded experts or officials,

**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A2 01 02** (*cont'd*)**A2 01 02 11** (*cont'd*)

- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- the cost of conferences, congresses and meetings in which the Office takes part or which it organises,
- expenditure on training for improving staff skills, performance and efficiency to meet the Office's specific needs,
- the cost of the use of experts to identify training needs, design, develop and hold courses and evaluate and monitor results,
- the cost of the use of consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- the cost of attending external training and joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids,
- expenditure on studies and specialist consultancy contracted out to highly qualified experts (natural or legal persons) where the Office is not able, with the staff at its disposal, to carry this out directly, including the purchase of existing studies,
- the costs of the Office's participation in the Bridge Forum Dialogue.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules of appointment and payment and other financial terms laid down by the Commission.

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CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

**A2 01 03** *Buildings and related expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 23 498 000          | 14 011 200          | 13 250 017,45 |

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the cost of technical and logistical support, and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, outside operating personnel, office services, subscriptions to international organisations, studies covering security aspects and quality control relating to information technology hardware and software, utilisation, maintenance and software development costs, and undertaking of information technology projects, external hosting or housing through DIGIT services agreed in a Memorandum of Understanding (MoU) or External Chargeback Agreement (ELA, Enterprise Licence Agreement),
- hardware (servers) and software investment, development and maintenance related to data centre infrastructures and applications,
- the costs connected with the purchase, or rental with purchase option, of buildings or the construction of buildings,
- rent and ground rent, the various taxes, etc., in connection with purchase options on occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, archive rooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- expenditure on the maintenance of rooms, lifts, central heating, air conditioning installations, etc.; expenditure on certain periodical cleaning and the purchase of maintenance, washing, bleaching and dry-cleaning products; repainting, repairs, and supplies for the maintenance workshops,
- expenditure on the selective treatment, storage and removal of waste,
- the cost of refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the network equipment associated with the buildings by destination, and the cost of the necessary equipment,
- expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment,
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs,
- the cost of financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),

**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A2 01 03** (*cont'd*)

- technical assistance fees relating to major refurbishment work on premises,
- the cost of purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the cost of purchase, hire, maintenance and repair of furniture,
- the cost of purchase, hire, maintenance and repair of transport equipment,
- various types of insurance (in particular third-party liability and insurance against theft),
- expenditure on working equipment, including in particular purchase of uniforms (mainly for messengers, drivers and catering staff), purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt, and purchase, or reimbursement of the cost, of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- the cost of departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of cabling, telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the cost of the purchase, hire or leasing and maintenance of computers, terminals, servers, mini-computers, peripherals, connection devices and any other electronic office equipment, as well as the necessary software,
- the cost of the purchase, hire or leasing and maintenance of equipment relating to the reproduction and archiving of information in any form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the cost of installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies, etc.,
- postal and delivery charges for mail, reports and publications, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, internet, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematics services, etc., and the purchase of directories,
- the cost of establishing telephone and computer links and international transmission lines between sites of offices of the Union institutions,
- other operating expenditure not specifically provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

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**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A2 01 03** (*cont'd*)

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A2 01 50** *Personnel policy and management*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the contribution by the Office to the costs of the recreation centre and to other cultural and sports activities in Luxembourg, and any initiatives designed to encourage social contact between staff of different nationalities,
- the Office's contribution to the cost of the Early Childhood Centre and other crèches and for transport of children,
- for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE (cont'd)

**A2 01 51** *Infrastructure policy and management*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the following expenditure:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

**A2 01 60** *Documentation and library expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 000               | 3 000               | 3 000,—      |

*Remarks*

This appropriation is intended to cover the following expenditure:

- subscriptions to view-data services, newspapers and specialist periodicals, purchase of publications and technical works connected with the Office's activities,
- the costs of subscriptions to press agencies (wire services and press and information bulletins).

## CHAPTER A2 02 — SPECIFIC ACTIVITIES

**A2 02 01** *Production*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 925 000           | 800 000             | 4 169 509,68 |

*Remarks*

This appropriation is intended to cover all expenditure relating to production activities, including:

- all indirect costs of the *Official Journal of the European Union*, L and C series, relating to production activities,
- production of publications in all forms (paper, electronic media), including co-publishing,
- reprinting publications and correcting errors for which the Office bears responsibility,
- the purchase or rental of equipment and infrastructure for the reproduction of documents in all forms, including the cost of paper and other consumables.

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**CHAPTER A2 02 — SPECIFIC ACTIVITIES** (*cont'd*)

**A2 02 01** (*cont'd*)

The amount of assigned revenue in accordance with point (e) of Article 21(3) of the Financial Regulation is estimated at EUR 3 388 000.

*Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

**A2 02 02** **Long-term preservation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 190 000           | 1 900 000           | 2 486 998,81 |

*Remarks*

This appropriation is intended to cover all expenditure relating to long-term preservation activities, in particular:

- all indirect costs of the *Official Journal of the European Union*, L and C series, relating to long-term preservation activities,
- cataloguing, including the costs of documentary and partly legal analysis, indexing, specification and drafting, record input and maintenance,
- annual subscriptions to international agencies in the field of cataloguing,
- electronic storage,
- long-term preservation of electronic documents and related services, digitisation.

*Legal basis*

Council Resolution of 26 November 1974 on the automation of legal documentation (OJ C 20, 28.1.1975, p. 2).

Council Resolution of 13 November 1991 on the reorganisation of operating structures of the CELEX system (automated documentation of Community law (OJ C 308, 28.11.1991, p. 2).

Council Resolution of 20 June 1994 on the electronic dissemination of Community law and national implementing laws and on improved access conditions (OJ C 179, 1.7.1994, p. 3).

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).



CHAPTER A2 02 — SPECIFIC ACTIVITIES (*cont'd*)A2 02 03 *Access and reuse*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 875 000           | 4 600 000           | 5 809 265,79 |

*Remarks*

This appropriation is intended to cover all expenditure relating to access and reuse activities, in particular:

- all indirect costs of the *Official Journal of the European Union*, L and C series, relating to access and reuse activities,
- provision of access to Union legal information and other types of Union content available online,
- facilitation of content reuse for commercial and non-commercial purposes,
- synergy and interoperability development to enable content-linking from various sources,
- public websites maintenance and development,
- helpdesk support for website users,
- storage and distribution services,
- acquisition and management of address lists,
- promotion and marketing.

The amount of assigned revenue in accordance with point (e) of Article 21(3) of the Financial Regulation is estimated at EUR 1 486 000.

*Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

## CHAPTER A2 10 — RESERVES

A2 10 01 *Provisional appropriations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations entered in this article are purely provisional and may be used only after transfer to another budget heading in accordance with the Financial Regulation.

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**CHAPTER A2 10 — RESERVES** (*cont'd*)

**A2 10 01** (*cont'd*)

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A2 10 02** *Contingency reserve*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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## REVENUE

### TITLE 4

#### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                        | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                    |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>                                                             | 3 561 000           | 3 582 000           | 3 401 662,98        | 95,53        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i> | 704 000             | 707 000             | 670 897,—           | 95,30        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                            | 4 265 000           | 4 289 000           | 4 072 559,98        | 95,49        |
|              | CHAPTER 4 1                                                                                                                                                    |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                               | 3 279 000           | 3 331 000           | 3 181 794,37        | 97,04        |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                            | 3 279 000           | 3 331 000           | 3 181 794,37        | 97,04        |
|              | <b>Title 4 — Total</b>                                                                                                                                         | <b>7 544 000</b>    | <b>7 620 000</b>    | <b>7 254 354,35</b> | <b>96,16</b> |

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 3 561 000           | 3 582 000           | 3 401 662,98        |

*Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

4 0 3 *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

4 0 4 *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 704 000             | 707 000             | 670 897,—           |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

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**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME**

**4 1 0      *Staff contributions to the pension scheme***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 3 279 000           | 3 331 000           | 3 181 794,37        |

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



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## TITLE 6

### CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

#### CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

#### 6 6 0 *Other contributions and refunds*

#### 6 6 0 0 Other assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

#### Remarks

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.





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### TITLE A3

#### EUROPEAN ANTI-FRAUD OFFICE

#### CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE

#### CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES

| Article Item    | Heading                                                                                      | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|-----------------|----------------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
|                 | CHAPTER A3 01                                                                                |                     |                     |               |             |
| <b>A3 01 01</b> | <b>Expenditure related to officials and temporary staff</b>                                  |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 40 911 800          | 42 102 000          | 38 309 170,86 | 93,64       |
| <b>A3 01 02</b> | <b>External personnel and other management expenditure</b>                                   |                     |                     |               |             |
| A3 01 02 01     | External personnel                                                                           |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 2 510 000           | 2 542 000           | 2 629 728,53  | 104,77      |
| A3 01 02 11     | Other management expenditure                                                                 |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 1 877 000           | 1 876 500           | 2 262 478,41  | 120,54      |
|                 | <i>Article A3 01 02 — Total</i>                                                              | 4 387 000           | 4 418 500           | 4 892 206,94  | 111,52      |
| <b>A3 01 03</b> | <b>Buildings and related expenditure</b>                                                     |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 11 921 000          | 11 562 000          | 11 311 162,20 | 94,88       |
| <b>A3 01 50</b> | <b>Personnel policy and management</b>                                                       |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 3 000               | 3 000               | 50 000,—      | 1 666,67    |
| <b>A3 01 51</b> | <b>Infrastructure policy and management</b>                                                  |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | p.m.                | p.m.                | 0,—           |             |
| <b>A3 01 60</b> | <b>Documentation and library expenditure</b>                                                 |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 10 000              | 10 000              | 6 500,—       | 65,00       |
|                 | <b>CHAPTER A3 01 — TOTAL</b>                                                                 | 57 232 800          | 58 095 500          | 54 569 040,—  | 95,35       |
|                 | CHAPTER A3 02                                                                                |                     |                     |               |             |
| <b>A3 02 01</b> | <b>Controls, studies, analyses and activities specific to the European Anti-Fraud Office</b> |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 1 700 000           | 1 700 000           | 2 202 934,59  | 129,58      |
| <b>A3 02 02</b> | <b>Measures to protect the euro against counterfeiting</b>                                   |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | p.m.                | p.m.                | 0,—           |             |
| <b>A3 02 03</b> | <b>Information and communication measures</b>                                                |                     |                     |               |             |
|                 | Non-differentiated appropriations                                                            | 150 000             | 150 000             | 137 422,38    | 91,61       |
|                 | <b>CHAPTER A3 02 — TOTAL</b>                                                                 | 1 850 000           | 1 850 000           | 2 340 356,97  | 126,51      |



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### TITLE A3

#### EUROPEAN ANTI-FRAUD OFFICE

##### CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE

##### A3 01 01 *Expenditure related to officials and temporary staff*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 40 911 800          | 42 102 000          | 38 309 170,86 |

##### *Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments by the institution to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- the cost of any updates of remuneration during the financial year.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE (cont'd)

**A3 01 02 External personnel and other management expenditure**

## A3 01 02 01 External personnel

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 510 000           | 2 542 000           | 2 629 728,53 |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), including the one made available to the secretariat of the Supervisory Committee, the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

## A3 01 02 11 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 877 000           | 1 876 500           | 2 262 478,41 |

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),

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**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A3 01 02** (*cont'd*)

A3 01 02 11 (*cont'd*)

- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates or which it organises,
- the cost of attending external training and of joining the relevant professional organisations,
- general training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A3 01 03** ***Buildings and related expenditure***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 921 000          | 11 562 000          | 11 311 162,20 |

**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A3 01 03** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on the buildings of the Office and other related expenditure, in particular:

- purchase or rental with purchase option of buildings or construction of buildings,
- the payment of rents and leasing charges, various taxes and the exercise of purchase options relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges relating to the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),

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**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A3 01 03** (*cont'd*)

- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - the purchase, hire, maintenance and repair of furniture,
  - the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,



**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A3 01 03** (*cont'd*)

- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of interbuilding telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer equipment and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, service bureaux, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology equipment and software, and utilisation, maintenance and software development costs, and undertaking of information technology projects,
- other operating expenditure not specifically provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A3 01 50** ***Personnel policy and management***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 000               | 3 000               | 50 000,—     |

*Remarks*

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities in Brussels and initiatives to promote social contact between staff of different nationalities in the Office,

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**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A3 01 50** (*cont'd*)

— the Office's contribution to the cost of crèches and for school transport and expenditure for the following categories of persons, as part of a policy to assist people with disabilities:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**A3 01 51** **Infrastructure policy and management**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover routine running costs and the cost of conversion of equipment for restaurants, cafeterias and canteens.

**A3 01 60** **Documentation and library expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 000              | 10 000              | 6 500,—      |

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (My IntraComm); subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

**CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES**

**A3 02 01** **Controls, studies, analyses and activities specific to the European Anti-Fraud Office**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 700 000           | 1 700 000           | 2 202 934,59 |

**CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES** (*cont'd*)**A3 02 01** (*cont'd*)*Remarks*

This appropriation is intended to cover all expenditure on measures to combat fraud which does not come under the Office's administrative operations.

It is intended, in particular, for:

- devising, developing, improving and managing information exchange systems and common infrastructures, while respecting confidentiality and security requirements,
- searching for, gathering, examining, utilising and passing on to national investigation departments all information which is useful for the detection and pursuit of fraud (e.g. via databases),
- supporting efforts by Member States, in particular in the case of international fraud, when action has to be taken at Union level,
- financing measures to increase the effectiveness of preventive measures, inspections and investigations,
- strengthening cooperation with national administrations, in particular to combat cigarette smuggling,
- organising and participating in monitoring and on-the-spot inspections,
- financing travel expenses and subsistence allowances for inspectors and national magistrates working abroad in connection with control visits, on-the-spot inspections, coordination meetings and for the purposes of an inspection in general,
- covering travel expenses, subsistence allowances and ancillary costs of experts employed by the Office in the course of its investigations to give a professional opinion on a specific matter,
- covering the costs of conferences, congresses and meetings that the Office organises in its anti-fraud work.

*Legal basis*

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

*Reference acts*

Article 325 of the Treaty on the Functioning of the European Union.

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CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES (*cont'd*)

**A3 02 02** *Measures to protect the euro against counterfeiting*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the cost of initiatives and specific measures to protect the euro against counterfeiting.

*Legal basis*

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**A3 02 03** *Information and communication measures*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | 150 000             | 137 422,38   |

*Remarks*

This appropriation is intended to cover the cost of information and communication measures undertaken by the Office.

The Office's external information and communication strategy is central to its work. The Office was set up as an independent investigative body and must therefore have its own communications strategy. The Office's work is often too technical to be immediately comprehensible to the general public. The Office has to inform its interlocutors and the public as a whole of its role and tasks. Public perception of the Office's work is of paramount importance.

As a Commission service, the Office also needs to take account of the democratic deficit existing between the Union institutions and the citizens of Europe. The Commission has recognised this deficit and drawn up an action plan to address it.

The communications strategy that the Office has developed and continues to implement must demonstrate its independence.

*Legal basis*

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## CHAPTER A3 03 — EXPENDITURE RESULTING FROM THE MANDATE OF THE MEMBERS OF THE SUPERVISORY COMMITTEE

A3 03 01 *Expenditure resulting from the mandate of the members of the Supervisory Committee*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| —                   | —                   | 200 000,—    |

*Remarks*

This article is intended to cover all expenditure resulting from the mandate of the members of the Supervisory Committee, namely:

- allowances granted to the members of the Supervisory Committee for the time dedicated to the fulfilment of their functions, as well as their travel expenses and ancillary costs,
- costs incurred by members of the Supervisory Committee officially representing the Supervisory Committee,
- all operating expenditure, such as the cost of purchase of equipment, stationery and office supplies and expenditure arising from communications and telecommunications (postal charges, telephone, fax and telegraph charges), documentation and library expenditure, the purchase of books and subscriptions to information media,
- travel, subsistence and incidental expenses of experts, invited by the members of the Supervisory Committee to participate in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices),
- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) where the members of the Supervisory Committee are unable to entrust such studies to suitable staff of the Office.

*Legal basis*

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), and in particular Articles 4 and 6(3) thereof.

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## CHAPTER A3 10 — RESERVES

A3 10 01 *Provisional appropriations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations entered in this chapter are purely provisional and may be used only after transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

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**CHAPTER A3 10 — RESERVES** (*cont'd*)

**A3 10 01** (*cont'd*)

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A3 10 02** *Contingency reserve*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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## REVENUE

### TITLE 4

#### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                        | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                    |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>                                                             | 777 000             | 758 000             | 721 093,63          | 92,80        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i> | 151 000             | 149 000             | 140 321,92          | 92,93        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                            | 928 000             | 907 000             | 861 415,55          | 92,82        |
|              | CHAPTER 4 1                                                                                                                                                    |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                               | 912 000             | 912 000             | 859 338,33          | 94,23        |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                            | 912 000             | 912 000             | 859 338,33          | 94,23        |
|              | <b>Title 4 — Total</b>                                                                                                                                         | <b>1 840 000</b>    | <b>1 819 000</b>    | <b>1 720 753,88</b> | <b>93,52</b> |



## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 777 000             | 758 000             | 721 093,63          |

*Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

*Reference acts*

Protocol on the Privileges and Immunities of the European Union, and in particular Article 12 thereof.

4 0 3 *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Communities, and in particular Article 20(3) thereof in the version in force until 30 April 2004.

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CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 151 000             | 149 000             | 140 321,92          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Article 20(3) thereof.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 912 000             | 912 000             | 859 338,33          |

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office, in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



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## TITLE 6

### CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

#### CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

##### 6 6 0 *Other contributions and refunds*

##### 6 6 0 0 Other assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

#### Remarks

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.



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## TITLE A4

### EUROPEAN PERSONNEL SELECTION OFFICE

#### CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE

#### CHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES

| Article Item    | Heading                                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-----------------|-----------------------------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|                 | CHAPTER A4 01                                                                     |                     |                     |                      |               |
| <b>A4 01 01</b> | <b>Expenditure related to officials and temporary staff</b>                       |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 10 200 800          | 10 337 000          | 9 606 761,43         | 94,18         |
| <b>A4 01 02</b> | <b>External personnel and other management expenditure</b>                        |                     |                     |                      |               |
| A4 01 02 01     | External personnel                                                                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 1 501 000           | 1 451 000           | 1 264 000,—          | 84,21         |
| A4 01 02 11     | Other management expenditure                                                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 601 000             | 641 000             | 441 161,09           | 73,40         |
|                 | <i>Article A4 01 02 — Total</i>                                                   | <b>2 102 000</b>    | <b>2 092 000</b>    | <b>1 705 161,09</b>  | <b>81,12</b>  |
| <b>A4 01 03</b> | <b>Buildings and related expenditure</b>                                          |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 5 260 000           | 5 219 000           | 6 755 497,84         | 128,43        |
| <b>A4 01 50</b> | <b>Personnel policy and management</b>                                            |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | p.m.                | p.m.                | 0,—                  |               |
| <b>A4 01 51</b> | <b>Infrastructure policy and management</b>                                       |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | p.m.                | p.m.                | 50 000,—             |               |
| <b>A4 01 60</b> | <b>Library stocks, purchase of books</b>                                          |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 3 000               | 5 000               | 1 037,23             | 34,57         |
|                 | <b>CHAPTER A4 01 — TOTAL</b>                                                      | <b>17 565 800</b>   | <b>17 653 000</b>   | <b>18 118 457,59</b> | <b>103,15</b> |
|                 | CHAPTER A4 02                                                                     |                     |                     |                      |               |
| <b>A4 02 01</b> | <b>Interinstitutional cooperation, interinstitutional services and activities</b> |                     |                     |                      |               |
| A4 02 01 01     | Interinstitutional competitions                                                   |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 5 700 000           | 6 100 000           | 4 611 363,89         | 80,90         |
| A4 02 01 02     | Limited consultations, studies and surveys                                        |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | p.m.                | p.m.                | 0,—                  |               |
| A4 02 01 03     | Costs of internal meetings                                                        |                     |                     |                      |               |
|                 | Non-differentiated appropriations                                                 | 10 000              | 14 000              | 6 000,—              | 60,00         |
|                 | <i>Article A4 02 01 — Total</i>                                                   | <b>5 710 000</b>    | <b>6 114 000</b>    | <b>4 617 363,89</b>  | <b>80,86</b>  |
|                 | <b>CHAPTER A4 02 — TOTAL</b>                                                      | <b>5 710 000</b>    | <b>6 114 000</b>    | <b>4 617 363,89</b>  | <b>80,86</b>  |

CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING  
CHAPTER A4 10 — RESERVES

| Article Item    | Heading                                         | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-----------------|-------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|                 | CHAPTER A4 03                                   |                     |                     |                      |               |
| <b>A4 03 01</b> | <b>European School of Administration (EUSA)</b> |                     |                     |                      |               |
| A4 03 01 01     | Management training                             |                     |                     |                      |               |
|                 | Non-differentiated appropriations               | 1 400 000           | 1 400 000           | 1 678 658,11         | 119,90        |
| A4 03 01 02     | Induction courses                               |                     |                     |                      |               |
|                 | Non-differentiated appropriations               | 950 000             | 950 000             | 1 225 519,35         | 129,00        |
| A4 03 01 03     | Training for certification                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations               | 550 000             | 550 000             | 638 600,—            | 116,11        |
|                 | <i>Article A4 03 01 — Total</i>                 | 2 900 000           | 2 900 000           | 3 542 777,46         | 122,16        |
|                 | CHAPTER A4 03 — TOTAL                           | 2 900 000           | 2 900 000           | 3 542 777,46         | 122,16        |
|                 | CHAPTER A4 10                                   |                     |                     |                      |               |
| <b>A4 10 01</b> | <b>Provisional appropriations</b>               |                     |                     |                      |               |
|                 | Non-differentiated appropriations               | p.m.                | p.m.                | 0,—                  |               |
| <b>A4 10 02</b> | <b>Contingency reserve</b>                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations               | p.m.                | p.m.                | 0,—                  |               |
|                 | CHAPTER A4 10 — TOTAL                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>Title A4 — Total</b>                         | <b>26 175 800</b>   | <b>26 667 000</b>   | <b>26 278 598,94</b> | <b>100,39</b> |
|                 | <b>GRAND TOTAL</b>                              | <b>26 175 800</b>   | <b>26 667 000</b>   | <b>26 278 598,94</b> | <b>100,39</b> |

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## TITLE A4

### EUROPEAN PERSONNEL SELECTION OFFICE

#### CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE

##### A4 01 01 *Expenditure related to officials and temporary staff*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 200 800          | 10 337 000          | 9 606 761,43 |

#### Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year,
- the flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST and by local staff who could not be given compensatory leave in accordance with the procedures laid down,



**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 01** (*cont'd*)

- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them. It is also intended to cover costs relating to special training schemes with authorities and bodies in the Member States and third countries.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (O) L 298, 26.10.2012, p. 1).

**A4 01 02** *External personnel and other management expenditure*

## A4 01 02 01 External personnel

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 501 000           | 1 451 000           | 1 264 000,—  |

*Remarks*

This appropriation is intended to cover:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignments to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year,
- the services of freelance translators and linguists, and typing or other work outsourced by the translation service.

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CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE (cont'd)

A4 01 02 (cont'd)

A4 01 02 01 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A4 01 02 11 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 601 000             | 641 000             | 441 161,09   |

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,

**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 02** (*cont'd*)**A4 01 02 11** (*cont'd*)

- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants of residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids,
- the social security contributions, travel expenses and subsistence allowances of freelance and other non-permanent interpreters called in by the DG Interpretation to service meetings organised by the Office which cannot be serviced by Commission interpreters (officials or temporary staff).

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A4 01 03** ***Buildings and related expenditure***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 260 000           | 5 219 000           | 6 755 497,84 |

*Remarks*

This appropriation is intended to cover the building of the Office and related expenditure, including:

- the payment of rents and leasing charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,

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**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A4 01 03** (*cont'd*)

- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection, etc.),
- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - as well as studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,

**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 03** (*cont'd*)

- the replacement of worn-out and broken furniture,
- supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
- fittings specific to canteens and restaurants,
- the hire of furniture,
- furniture maintenance and repair costs,
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
  - various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the reproduction of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,

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**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A4 01 03** (*cont'd*)

- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- inter-building telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology hardware and software, and utilisation, maintenance and software development costs, and undertaking of IT projects.

This appropriation also covers other operating expenditure not specifically provided for above, such as conference enrolment fees (excluding training expenses), subscriptions to trade and scientific associations, the cost of entries in telephone directories.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A4 01 50** **Personnel policy and management**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 50** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- assistance in kind which may be provided to an official, a former official or survivors of a deceased official who is/are in particularly difficult circumstances,
- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the cost of the Early Childhood Centre and other crèches and for school transport,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

**A4 01 51** ***Infrastructure policy and management***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 50 000,—     |

*Remarks*

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

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CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

**A4 01 60** *Library stocks, purchase of books*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 000               | 5 000               | 1 037,23     |

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Office's intranet site as part of the Commission's intranet site (My IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES

**A4 02 01** *Interinstitutional cooperation, interinstitutional services and activities*

*Remarks*

Within the framework of the EPSO Development Programme, the Office has modernised its selection methods with the aim of meeting the institutions' current and future needs in a more cost-effective and efficient way by:

- improving the planning of competitions to select the right staff at the right time and to optimise the use of reserve lists,
- reducing the length of the selection process,
- considerably improving the quality of the selection process so that the institutions can recruit the best staff for a life-long career by selecting candidates on the basis of the competencies needed to do the job, and by professionalising the work of the selection boards,
- establishing a positive and modern image for the institutions as employers so as to enable them to attract the best staff in the context of an increasingly competitive employment market,
- providing all the necessary facilities to enable the participation of applicants with disabilities.

A4 02 01 01 Interinstitutional competitions

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 700 000           | 6 100 000           | 4 611 363,89 |

*Remarks*

This appropriation is intended to cover expenditure incurred by the procedures involved in organising various competitions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.



**CHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES** (cont'd)**A4 02 01** (cont'd)

## A4 02 01 01 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

## A4 02 01 02 Limited consultations, studies and surveys

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies. It also covers the purchase of studies already carried out or subscriptions to specialist research institutions.

## A4 02 01 03 Costs of internal meetings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 10 000              | 14 000              | 6 000,—      |

*Remarks*

This appropriation is intended to cover the cost of refreshments and food served on special occasions during internal meetings, in particular meetings of selection boards and translators.

**CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING****A4 03 01** *European School of Administration (EUSA)**Remarks*

This appropriation is intended to cover the costs of the general training organised by the European School of Administration (EUSA) for improving the staff skills and the performance and efficiency of the participating institutions, including:

- fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
- fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- expenditure incurred in designing, coordinating and evaluating the training organised by the school in the form of courses, seminars and lectures (trainers/lecturers and their travel and subsistence costs together with teaching material),

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**CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING** (*cont'd*)

**A4 03 01** (*cont'd*)

- the cost of participating in outside training, and membership fees of the relevant professional organisations,
- the costs of networking the EUSA, at European level, with national administration schools and university institutes active in the field, with a view to exchanging experiences, identifying examples of best practice and cooperating on the development of further training in European public administrations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- the financing of teaching material.

*Legal basis*

Decision 2005/119/EC of the Secretaries-General of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions and the Representative of the European Ombudsman of 26 January 2005 on the organisation and running of the European Administrative School (OJ L 37, 10.2.2005, p. 17).

A4 03 01 01 Management training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 400 000           | 1 400 000           | 1 678 658,11 |

*Remarks*

This appropriation is intended to cover the costs of training for officials and other staff in management techniques (quality and personnel management, strategy).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

A4 03 01 02 Induction courses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 950 000             | 950 000             | 1 225 519,35 |

*Remarks*

This appropriation is intended to cover the costs of training for new officials and newly recruited staff in the working environment of the institutions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 40 000.

**CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING** (*cont'd*)**A4 03 01** (*cont'd*)

## A4 03 01 03 Training for certification

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 550 000             | 550 000             | 638 600,—    |

*Remarks*

This appropriation is intended to cover the costs of preparatory training for officials in obtaining certification attesting to their capacity to perform the duties of administrator with a view to their possible transfer to a higher function group.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 16 000.

**CHAPTER A4 10 — RESERVES****A4 10 01** *Provisional appropriations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations entered in this chapter are purely provisional and may be used only after their transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A4 10 02** *Contingency reserve*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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## REVENUE

### TITLE 4

#### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

##### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                            | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                        |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>                                 | 1 335 000           | 1 399 000           | 1 236 047,44        | 92,59        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment</i> | 248 000             | 266 000             | 230 707,09          | 93,03        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                | 1 583 000           | 1 665 000           | 1 466 754,53        | 92,66        |
|              | CHAPTER 4 1                                                                                                                        |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                   | 2 555 000           | 2 655 000           | 2 401 451,74        | 93,99        |
|              | CHAPTER 4 1 — TOTAL                                                                                                                | 2 555 000           | 2 655 000           | 2 401 451,74        | 93,99        |
|              | <b>Title 4 — Total</b>                                                                                                             | <b>4 138 000</b>    | <b>4 320 000</b>    | <b>3 868 206,27</b> | <b>93,48</b> |

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## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### 4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 1 335 000           | 1 399 000           | 1 236 047,44        |

##### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

##### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### 4 0 3 *Proceeds from the temporary contribution from the salaries of officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

##### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

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**CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION** (*cont'd*)

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 248 000             | 266 000             | 230 707,09          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME**

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 2 555 000           | 2 655 000           | 2 401 451,74        |

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.





**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      ***Other contributions and refunds***

## 6 6 0 0      Other assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.



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**TITLE A5**

**OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS**

**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE**

**CHAPTER A5 10 — RESERVES**

| Article Item    | Heading                                                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-----------------|-------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|                 | CHAPTER A5 01                                               |                     |                     |                      |               |
| <b>A5 01 01</b> | <b>Expenditure related to officials and temporary staff</b> |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 16 186 600          | 16 744 000          | 14 386 784,71        | 88,88         |
| <b>A5 01 02</b> | <b>External personnel and other management expenditure</b>  |                     |                     |                      |               |
| A5 01 02 01     | External personnel                                          |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 11 790 000          | 11 261 000          | 17 842 682,90        | 151,34        |
| A5 01 02 11     | Other management expenditure                                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 237 000             | 236 500             | 322 492,83           | 136,07        |
|                 | <i>Article A5 01 02 — Total</i>                             | 12 027 000          | 11 497 500          | 18 165 175,73        | 151,04        |
| <b>A5 01 03</b> | <b>Buildings and related expenditure</b>                    |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 10 485 000          | 10 457 000          | 11 757 060,77        | 112,13        |
| <b>A5 01 50</b> | <b>Personnel policy and management</b>                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A5 01 51</b> | <b>Infrastructure policy and management</b>                 |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A5 01 60</b> | <b>Documentation and library expenditure</b>                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>CHAPTER A5 01 — TOTAL</b>                                | <b>38 698 600</b>   | <b>38 698 500</b>   | <b>44 309 021,21</b> | <b>114,50</b> |
|                 | CHAPTER A5 10                                               |                     |                     |                      |               |
| <b>A5 10 01</b> | <b>Provisional appropriations</b>                           |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A5 10 02</b> | <b>Contingency reserve</b>                                  |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>CHAPTER A5 10 — TOTAL</b>                                | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |               |
|                 | <b>Title A5 — Total</b>                                     | <b>38 698 600</b>   | <b>38 698 500</b>   | <b>44 309 021,21</b> | <b>114,50</b> |
|                 | <b>GRAND TOTAL</b>                                          | <b>38 698 600</b>   | <b>38 698 500</b>   | <b>44 309 021,21</b> | <b>114,50</b> |

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## TITLE A5

### OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS

#### CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE

##### A5 01 01 *Expenditure related to officials and temporary staff*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 16 186 600          | 16 744 000          | 14 386 784,71 |

#### Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

#### Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 02 External personnel and other management expenditure**

A5 01 02 01 External personnel

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 790 000          | 11 261 000          | 17 842 682,90 |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external personnel and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 6 758 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A5 01 02 11 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 237 000             | 236 500             | 322 492,83   |

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CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

A5 01 02 (*cont'd*)

A5 01 02 11 (*cont'd*)

Remarks

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates or which it organises,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (natural or legal persons) if the Office does not have suitable staff available to carry out such studies, including the purchase of studies already conducted,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses, and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 02** (*cont'd*)

A5 01 02 11 (*cont'd*)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A5 01 03** ***Buildings and related expenditure***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 10 485 000          | 10 457 000          | 11 757 060,77 |

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs of purchase or lease-purchase of buildings or the construction of buildings,
- rent and ground rent, various taxes and the exercise of purchase options on buildings or parts of buildings occupied, as well as the hire of conference rooms, warehouses, storerooms, archives, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges for the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

A5 01 03 (*cont'd*)

- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms (mainly for floor messengers, drivers and restaurant and cafeteria staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,



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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 03** (*cont'd*)

- the purchase, hire or leasing of typewriters, word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and mail delivery charges, on postal and other packages sent by air, sea or rail, and on the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the costs of inter-building telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software, the cost of the use, maintenance, upkeep and development of software, and of carrying out computer projects,
- other operating expenditure not specially provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 145 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A5 01 50** *Personnel policy and management*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

A5 01 50 (*cont'd*)

Remarks

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the costs of the Early Childhood Centre and other childminding facilities,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

A5 01 51 **Infrastructure policy and management**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

Remarks

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

A5 01 60 **Documentation and library expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 60** (*cont'd*)

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (My IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of reference works and periodicals, expenditure on subscriptions to newspapers and specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

**CHAPTER A5 10 — RESERVES**

**A5 10 01** *Provisional appropriations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations in this chapter are purely provisional and can only be used after transfer to other chapters in accordance with the relevant procedure laid down in the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A5 10 02** *Contingency reserve*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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## REVENUE

### TITLE 4

#### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

##### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                            | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                        |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>                                 | 3 110 000           | 2 631 000           | 2 617 933,92        | 84,18        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment</i> | 574 000             | 484 000             | 481 698,68          | 83,92        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                | 3 684 000           | 3 115 000           | 3 099 632,60        | 84,14        |
|              | CHAPTER 4 1                                                                                                                        |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                   | 5 498 000           | 4 751 000           | 4 692 105,77        | 85,34        |
|              | CHAPTER 4 1 — TOTAL                                                                                                                | 5 498 000           | 4 751 000           | 4 692 105,77        | 85,34        |
|              | <b>Title 4 — Total</b>                                                                                                             | <b>9 182 000</b>    | <b>7 866 000</b>    | <b>7 791 738,37</b> | <b>84,86</b> |

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## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### 4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 3 110 000           | 2 631 000           | 2 617 933,92        |

#### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

#### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

#### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### 4 0 3 *Proceeds from the temporary contribution from the salaries of officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

#### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

#### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 574 000             | 484 000             | 481 698,68          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 498 000           | 4 751 000           | 4 692 105,77        |

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Reference acts*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.





**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0** *Other contributions and refunds*

## 6 6 0 0 Other assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.



## TITLE A6

## OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS

## CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A6 10 — RESERVES

| Article Item    | Heading                                                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-----------------|-------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|                 | CHAPTER A6 01                                               |                     |                     |                      |               |
| <b>A6 01 01</b> | <b>Expenditure related to officials and temporary staff</b> |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 36 511 000          | 30 952 000          | 30 424 629,50        | 83,33         |
| <b>A6 01 02</b> | <b>External personnel and other management expenditure</b>  |                     |                     |                      |               |
| A6 01 02 01     | External personnel                                          |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 28 456 000          | 24 592 000          | 33 873 679,89        | 119,04        |
| A6 01 02 11     | Other management expenditure                                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 415 000             | 435 000             | 458 670,—            | 110,52        |
|                 | <i>Article A6 01 02 — Total</i>                             | <b>28 871 000</b>   | <b>25 027 000</b>   | <b>34 332 349,89</b> | <b>118,92</b> |
| <b>A6 01 03</b> | <b>Buildings and related expenditure</b>                    |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 12 963 000          | 12 174 000          | 12 627 935,03        | 97,42         |
| <b>A6 01 50</b> | <b>Personnel policy and management</b>                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A6 01 51</b> | <b>Infrastructure policy and management</b>                 |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A6 01 60</b> | <b>Documentation and library expenditure</b>                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>CHAPTER A6 01 — TOTAL</b>                                | <b>78 345 000</b>   | <b>68 153 000</b>   | <b>77 384 914,42</b> | <b>98,77</b>  |
|                 | CHAPTER A6 10                                               |                     |                     |                      |               |
| <b>A6 10 01</b> | <b>Provisional appropriations</b>                           |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A6 10 02</b> | <b>Contingency reserve</b>                                  |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>CHAPTER A6 10 — TOTAL</b>                                | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |               |
|                 | <b>Title A6 — Total</b>                                     | <b>78 345 000</b>   | <b>68 153 000</b>   | <b>77 384 914,42</b> | <b>98,77</b>  |
|                 | <b>GRAND TOTAL</b>                                          | <b>78 345 000</b>   | <b>68 153 000</b>   | <b>77 384 914,42</b> | <b>98,77</b>  |

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## TITLE A6

### OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS

#### CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE

##### A6 01 01 *Expenditure related to officials and temporary staff*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 36 511 000          | 30 952 000          | 30 424 629,50 |

#### *Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 800 000.

#### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)**A6 01 02** *External personnel and other management expenditure*

## A6 01 02 01 External personnel

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 28 456 000          | 24 592 000          | 33 873 679,89 |

*Remarks*

This appropriation is intended to cover:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remunerations of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external personnel and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 870 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## A6 01 02 11 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 415 000             | 435 000             | 458 670,—    |

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**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A6 01 02** (*cont'd*)

A6 01 02 11 (*cont'd*)

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (natural or legal persons) if the Office does not have suitable staff available to carry out such studies,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A6 01 02** (*cont'd*)A6 01 02 11 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A6 01 03** **Buildings and related expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 12 963 000          | 12 174 000          | 12 627 935,03 |

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs of purchase or lease-purchase of buildings or the construction of buildings,
- rent and ground rent, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges for the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A6 01 03** (*cont'd*)

- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance,
- expenditure on working equipment, and in particular:
  - purchase of uniforms (mainly for floor messengers, drivers and restaurant and cafeteria staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,



**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A6 01 03** (*cont'd*)

- the purchase, hire or leasing of typewriters, word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and mail delivery charges, on postal and other packages sent by air, sea or rail, and on the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the costs of establishing telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software, the cost of the use, maintenance, upkeep and development of software and of carrying out computer projects,
- other operating expenditure not specially provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 800 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A6 01 50** ***Personnel policy and management***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A6 01 50** (*cont'd*)

*Remarks*

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the costs of the Early Childhood Centre and other child-minding facilities,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**A6 01 51** ***Infrastructure policy and management***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the following expenditure:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

**A6 01 60** ***Documentation and library expenditure***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A6 01 60** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on producing and developing of the Commission's intranet site (My IntraComm), producing of the in-house monthly *Commission en direct*, subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications, and the purchase of publications and technical works connected with the Office's activities.

**CHAPTER A6 10 — RESERVES****A6 10 01** **Provisional appropriations**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations in this article are purely provisional and can only be used after transfer to other budget headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A6 10 02** **Contingency reserve**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

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## REVENUE

### TITLE 4

#### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

##### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                            | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                        |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from the tax on the salaries, wages and allowances of officials and other servants</i>                                 | 902 000             | 871 000             | 852 575,73          | 94,52        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment</i> | 167 000             | 156 000             | 157 626,81          | 94,39        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                | 1 069 000           | 1 027 000           | 1 010 202,54        | 94,50        |
|              | CHAPTER 4 1                                                                                                                        |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                   | 1 507 000           | 1 440 000           | 1 446 950,99        | 96,02        |
|              | CHAPTER 4 1 — TOTAL                                                                                                                | 1 507 000           | 1 440 000           | 1 446 950,99        | 96,02        |
|              | <b>Title 4 — Total</b>                                                                                                             | <b>2 576 000</b>    | <b>2 467 000</b>    | <b>2 457 153,53</b> | <b>95,39</b> |

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OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### 4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 902 000             | 871 000             | 852 575,73          |

##### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

##### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### 4 0 3 *Proceeds from the temporary contribution from the salaries of officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

##### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 167 000             | 156 000             | 157 626,81          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 1 507 000           | 1 440 000           | 1 446 950,99        |

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office, in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.





**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      ***Other contributions and refunds***

## 6 6 0 0      Other assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.



## TITLE A7

## OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

## CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A7 10 — RESERVES

| Article Item    | Heading                                                     | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|-----------------|-------------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|                 | CHAPTER A7 01                                               |                     |                     |                      |               |
| <b>A7 01 01</b> | <b>Expenditure related to officials and temporary staff</b> |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 12 533 600          | 12 293 000          | 11 614 484,10        | 92,67         |
| <b>A7 01 02</b> | <b>External personnel and other management expenditure</b>  |                     |                     |                      |               |
| A7 01 02 01     | External personnel                                          |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 7 428 000           | 7 195 000           | 7 778 582,38         | 104,72        |
| A7 01 02 11     | Other management expenditure                                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 250 000             | 270 000             | 227 210,—            | 90,88         |
|                 | <i>Article A7 01 02 — Total</i>                             | <b>7 678 000</b>    | <b>7 465 000</b>    | <b>8 005 792,38</b>  | <b>104,27</b> |
| <b>A7 01 03</b> | <b>Buildings and related expenditure</b>                    |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | 4 552 000           | 4 611 000           | 4 368 617,09         | 95,97         |
| <b>A7 01 50</b> | <b>Personnel policy and management</b>                      |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A7 01 51</b> | <b>Infrastructure policy and management</b>                 |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A7 01 60</b> | <b>Documentation and library expenditure</b>                |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>CHAPTER A7 01 — TOTAL</b>                                | <b>24 763 600</b>   | <b>24 369 000</b>   | <b>23 988 893,57</b> | <b>96,87</b>  |
|                 | CHAPTER A7 10                                               |                     |                     |                      |               |
| <b>A7 10 01</b> | <b>Provisional appropriations</b>                           |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
| <b>A7 10 02</b> | <b>Contingency reserve</b>                                  |                     |                     |                      |               |
|                 | Non-differentiated appropriations                           | p.m.                | p.m.                | 0,—                  |               |
|                 | <b>CHAPTER A7 10 — TOTAL</b>                                | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |               |
|                 | <b>Title A7 — Total</b>                                     | <b>24 763 600</b>   | <b>24 369 000</b>   | <b>23 988 893,57</b> | <b>96,87</b>  |
|                 | <b>GRAND TOTAL</b>                                          | <b>24 763 600</b>   | <b>24 369 000</b>   | <b>23 988 893,57</b> | <b>96,87</b>  |

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## TITLE A7

### OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

#### CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE

##### A7 01 01 *Expenditure related to officials and temporary staff*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 12 533 600          | 12 293 000          | 11 614 484,10 |

#### Remarks

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

#### Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)**A7 01 02 External personnel and other management expenditure**

## A7 01 02 01 External personnel

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 428 000           | 7 195 000           | 7 778 582,38 |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remunerations of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private law contract external personnel and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 320 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## A7 01 02 11 Other management expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 250 000             | 270 000             | 227 210,—    |

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**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A7 01 02** (*cont'd*)

A7 01 02 11 (*cont'd*)

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on specialised studies and consultation carried out by highly qualified experts (natural or legal persons) under contract, to the extent that the staff available to the Office does not enable it to carry out this work directly, including the purchase of studies already carried out,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

## CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE (cont'd)

A7 01 02 (cont'd)

A7 01 02 11 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

A7 01 03 **Buildings and related expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 552 000           | 4 611 000           | 4 368 617,09 |

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the cost of purchase or rental with purchase option of buildings or the construction of buildings,
- the payment of rents and leasing charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A7 01 03** (*cont'd*)

- expenditure relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms (mainly for messengers, drivers and catering staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the reproduction of information in paper form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,



CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)A7 01 03 (*cont'd*)

- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- establishing telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information technology (IT) training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology hardware and software, and utilisation, maintenance and software development costs, and undertaking of IT projects,
- other operating expenditure not specifically provided for above.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A7 01 50 **Personnel policy and management**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the contribution by the Office to the costs of the recreation centre and to other cultural and sports activities, and any initiatives designed to encourage social contact between staff of different nationalities,

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**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A7 01 50** (*cont'd*)

- the Office's contribution to the cost of crèches and kindergartens,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**A7 01 51** ***Infrastructure policy and management***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

**A7 01 60** ***Documentation and library expenditure***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (My IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

## CHAPTER A7 10 — RESERVES

A7 10 01 *Provisional appropriations*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations entered in this article are purely provisional and may be used only after transfer to other budget headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A7 10 02 *Contingency reserve*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**STAFF**

**Commission**  
**Administration**

| Function group and grade <sup>(1)</sup> <sup>(2)</sup> | Administration  |                 |                 |                 |
|--------------------------------------------------------|-----------------|-----------------|-----------------|-----------------|
|                                                        | 2018            |                 | 2017            |                 |
|                                                        | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16                                                  | 24              | —               | 24              | —               |
| AD 15                                                  | 190             | 22              | 190             | 22              |
| AD 14                                                  | 637             | 31              | 637             | 31              |
| AD 13                                                  | 1 734           | —               | 1 732           | —               |
| AD 12                                                  | 1 290           | 44              | 1 290           | 44              |
| AD 11                                                  | 888             | 62              | 797             | 62              |
| AD 10                                                  | 1 072           | 21              | 976             | 21              |
| AD 9                                                   | 1 322           | 10              | 1 298           | 9               |
| AD 8                                                   | 1 456           | 26              | 1 466           | 26              |
| AD 7                                                   | 1 320           | 20              | 1 322           | 20              |
| AD 6                                                   | 808             | 10              | 925             | 10              |
| AD 5                                                   | 901             | 6               | 883             | 6               |
| Subtotal AD                                            | 11 642          | 252             | 11 540          | 251             |
| AST 11                                                 | 190             | —               | 190             | —               |
| AST 10                                                 | 152             | 10              | 151             | 10              |
| AST 9                                                  | 674             | —               | 608             | —               |
| AST 8                                                  | 584             | 13              | 584             | 13              |
| AST 7                                                  | 1 028           | 18              | 1 107           | 18              |
| AST 6                                                  | 696             | 19              | 650             | 19              |
| AST 5                                                  | 1 069           | 16              | 1 047           | 16              |
| AST 4                                                  | 850             | —               | 861             | —               |
| AST 3                                                  | 512             | —               | 632             | —               |
| AST 2                                                  | 221             | 13              | 295             | 13              |
| AST 1                                                  | 109             | —               | 210             | —               |
| Subtotal AST                                           | 6 085           | 89              | 6 335           | 89              |
| AST/SC 6                                               | —               | —               | —               | —               |
| AST/SC 5                                               | 35              | —               | —               | —               |
| AST/SC 4                                               | 15              | 35              | 65              | 35              |
| AST/SC 3                                               | 15              | —               | —               | —               |
| AST/SC 2                                               | 85              | —               | 70              | —               |
| AST/SC 1                                               | 486             | —               | 401             | —               |
| Subtotal AST/SC                                        | 636             | 35              | 536             | 35              |
| <b>Total</b>                                           | <b>18 363</b>   | <b>376</b>      | <b>18 411</b>   | <b>375</b>      |
| <b>Grand total</b>                                     | <b>18 739</b>   |                 | <b>18 786</b>   |                 |

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: up to 25 AD 15 may become AD 16; up to 21 AD 14 may become AD 15; up to 13 AD 11 may become AD 14 and one AST 8 may become AST 10.

<sup>(2)</sup> The establishment plan includes, pursuant to Article 53 of the Treaty establishing the European Atomic Energy Community, the following permanent posts for the Euratom Supply Agency: one *ad personam* AD 15 for the Director-General of the Agency, two AD 14 (of which one for the Deputy Director-General of the Agency), three AD 12, one AD 11, two AD 10, one AST 10, two AST 8, one AST 7, nine AST 6, one AST 5 and two AST 3.

### Research and innovation — Joint Research Centre

| Function group and grade | Research and innovation — Joint Research Centre |                 |                 |                 |
|--------------------------|-------------------------------------------------|-----------------|-----------------|-----------------|
|                          | 2018                                            |                 | 2017            |                 |
|                          | Permanent posts                                 | Temporary posts | Permanent posts | Temporary posts |
| AD 16                    | 2                                               | —               | 2               | —               |
| AD 15                    | 11                                              | —               | 10              | —               |
| AD 14                    | 70                                              | —               | 70              | —               |
| AD 13                    | 223                                             | —               | 223             | —               |
| AD 12                    | 170                                             | —               | 170             | —               |
| AD 11                    | 52                                              | —               | 44              | —               |
| AD 10                    | 57                                              | —               | 49              | —               |
| AD 9                     | 86                                              | —               | 86              | —               |
| AD 8                     | 80                                              | —               | 80              | —               |
| AD 7                     | 62                                              | —               | 68              | —               |
| AD 6                     | 49                                              | —               | 48              | —               |
| AD 5                     | 9                                               | —               | 9               | —               |
| Subtotal AD              | 871                                             | —               | 859             | —               |
| AST 11                   | 56                                              | —               | 53              | —               |
| AST 10                   | 62                                              | —               | 62              | —               |
| AST 9                    | 153                                             | —               | 153             | —               |
| AST 8                    | 80                                              | —               | 80              | —               |
| AST 7                    | 95                                              | —               | 101             | —               |
| AST 6                    | 97                                              | —               | 91              | —               |
| AST 5                    | 139                                             | —               | 121             | —               |
| AST 4                    | 98                                              | —               | 116             | —               |
| AST 3                    | 64                                              | —               | 73              | —               |
| AST 2                    | 19                                              | —               | 25              | —               |
| AST 1                    | 5                                               | —               | 5               | —               |
| Subtotal AST             | 868                                             | —               | 880             | —               |
| AST/SC 6                 | —                                               | —               | —               | —               |
| AST/SC 5                 | —                                               | —               | —               | —               |
| AST/SC 4                 | —                                               | —               | —               | —               |
| AST/SC 3                 | —                                               | —               | —               | —               |
| AST/SC 2                 | 1                                               | —               | 1               | —               |
| AST/SC 1                 | 7                                               | —               | 8               | —               |
| Subtotal AST/SC          | 8                                               | —               | 9               | —               |
| <b>Total</b>             | <b>1 747</b>                                    | <b>—</b>        | <b>1 748</b>    | <b>—</b>        |
| <b>Grand total</b>       | <b>1 747</b>                                    |                 | <b>1 748</b>    |                 |

### Research and innovation — Indirect action — 2

| Function group and grade          | Research and innovation — Indirect action |                 |                 |                 |
|-----------------------------------|-------------------------------------------|-----------------|-----------------|-----------------|
|                                   | 2018                                      |                 | 2017            |                 |
|                                   | Permanent posts                           | Temporary posts | Permanent posts | Temporary posts |
| AD 16                             | 1                                         | —               | 1               | —               |
| AD 15                             | 19                                        | —               | 19              | —               |
| AD 14                             | 94                                        | —               | 94              | —               |
| AD 13                             | 238                                       | —               | 238             | —               |
| AD 12                             | 142                                       | —               | 142             | —               |
| AD 11                             | 51                                        | —               | 54              | —               |
| AD 10                             | 72                                        | —               | 77              | —               |
| AD 9                              | 105                                       | —               | 110             | —               |
| AD 8                              | 79                                        | —               | 84              | —               |
| AD 7                              | 66                                        | —               | 71              | —               |
| AD 6                              | 56                                        | —               | 58              | —               |
| AD 5                              | 30                                        | —               | 34              | —               |
| Subtotal AD                       | 953                                       | —               | 982             | —               |
| AST 11                            | 17                                        | —               | 17              | —               |
| AST 10                            | 15                                        | —               | 15              | —               |
| AST 9                             | 57                                        | —               | 52              | —               |
| AST 8                             | 46                                        | —               | 42              | —               |
| AST 7                             | 80                                        | —               | 87              | —               |
| AST 6                             | 90                                        | —               | 103             | —               |
| AST 5                             | 90                                        | —               | 102             | —               |
| AST 4                             | 73                                        | —               | 76              | —               |
| AST 3                             | 34                                        | —               | 34              | —               |
| AST 2                             | 9                                         | —               | 9               | —               |
| AST 1                             | 2                                         | —               | 2               | —               |
| Subtotal AST                      | 513                                       | —               | 539             | —               |
| AST/SC 6                          | —                                         | —               | —               | —               |
| AST/SC 5                          | —                                         | —               | —               | —               |
| AST/SC 4                          | —                                         | —               | —               | —               |
| AST/SC 3                          | 3                                         | —               | 3               | —               |
| AST/SC 2                          | 5                                         | —               | 5               | —               |
| AST/SC 1                          | 8                                         | —               | 8               | —               |
| Subtotal AST/SC                   | 16                                        | —               | 16              | —               |
| <b>Total</b>                      | <b>1 482</b>                              | —               | <b>1 537</b>    | —               |
| <b>Grand total <sup>(1)</sup></b> | <b>1 482</b>                              |                 | <b>1 537</b>    |                 |

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: two AD 15 become AD 16; one AD 14 becomes AD 15.

## Offices

## Publications Office (OP)

| Function group and grade | Publications Office (OP) |                 |                 |                 |
|--------------------------|--------------------------|-----------------|-----------------|-----------------|
|                          | 2018                     |                 | 2017            |                 |
|                          | Permanent posts          | Temporary posts | Permanent posts | Temporary posts |
| AD 16                    | 1                        | —               | 1               | —               |
| AD 15                    | 3                        | —               | 3               | —               |
| AD 14                    | 9                        | —               | 8               | —               |
| AD 13                    | 9                        | —               | 9               | —               |
| AD 12                    | 14                       | —               | 14              | —               |
| AD 11                    | 10                       | —               | 9               | —               |
| AD 10                    | 17                       | —               | 14              | —               |
| AD 9                     | 17                       | —               | 20              | —               |
| AD 8                     | 14                       | —               | 13              | —               |
| AD 7                     | 16                       | —               | 14              | —               |
| AD 6                     | 11                       | —               | 13              | —               |
| AD 5                     | 10                       | —               | 16              | —               |
| Subtotal AD              | 131                      | —               | 134             | —               |
| AST 11                   | 22                       | —               | 20              | —               |
| AST 10                   | 18                       | —               | 20              | —               |
| AST 9                    | 45                       | —               | 46              | —               |
| AST 8                    | 43                       | —               | 39              | —               |
| AST 7                    | 69                       | —               | 63              | —               |
| AST 6                    | 84                       | —               | 84              | —               |
| AST 5                    | 75                       | —               | 90              | —               |
| AST 4                    | 52                       | —               | 57              | —               |
| AST 3                    | 27                       | —               | 38              | —               |
| AST 2                    | —                        | —               | —               | —               |
| AST 1                    | —                        | —               | —               | —               |
| Subtotal AST             | 435                      | —               | 457             | —               |
| AST/SC 6                 | —                        | —               | —               | —               |
| AST/SC 5                 | —                        | —               | —               | —               |
| AST/SC 4                 | —                        | —               | —               | —               |
| AST/SC 3                 | —                        | —               | —               | —               |
| AST/SC 2                 | 2                        | —               | 2               | —               |
| AST/SC 1                 | 2                        | —               | 2               | —               |
| Subtotal AST/SC          | 4                        | —               | 4               | —               |
| <b>Total</b>             | <b>570</b>               | <b>—</b>        | <b>595</b>      | <b>—</b>        |
| <b>Grand total</b>       | <b>570</b>               |                 | <b>595</b>      |                 |



### European Anti-Fraud Office (OLAF)

| Function group and grade | European Anti-Fraud Office (OLAF) |                 |                 |                 |
|--------------------------|-----------------------------------|-----------------|-----------------|-----------------|
|                          | 2018                              |                 | 2017            |                 |
|                          | Permanent posts                   | Temporary posts | Permanent posts | Temporary posts |
| AD 16                    | 1                                 | —               | 1               | —               |
| AD 15                    | 2                                 | 1               | 2               | 1               |
| AD 14                    | 13                                | —               | 13              | —               |
| AD 13                    | 22                                | 6               | 22              | 6               |
| AD 12                    | 21                                | 7               | 21              | 7               |
| AD 11                    | 21                                | —               | 19              | —               |
| AD 10                    | 20                                | 1               | 17              | 1               |
| AD 9                     | 21                                | 5               | 22              | 6               |
| AD 8                     | 17                                | —               | 15              | —               |
| AD 7                     | 21                                | —               | 21              | —               |
| AD 6                     | 11                                | —               | 11              | —               |
| AD 5                     | 9                                 | —               | 15              | —               |
| Subtotal AD              | 179                               | 20              | 179             | 21              |
| AST 11                   | 6                                 | 9               | 6               | 9               |
| AST 10                   | 8                                 | 4               | 9               | 4               |
| AST 9                    | 15                                | 2               | 15              | 2               |
| AST 8                    | 11                                | 9               | 12              | 9               |
| AST 7                    | 13                                | —               | 15              | —               |
| AST 6                    | 13                                | —               | 6               | —               |
| AST 5                    | 23                                | —               | 19              | —               |
| AST 4                    | 14                                | —               | 19              | —               |
| AST 3                    | 7                                 | —               | 12              | —               |
| AST 2                    | 2                                 | —               | 4               | —               |
| AST 1                    | —                                 | —               | —               | —               |
| Subtotal AST             | 112                               | 24              | 117             | 24              |
| AST/SC 6                 | —                                 | —               | —               | —               |
| AST/SC 5                 | —                                 | —               | —               | —               |
| AST/SC 4                 | —                                 | —               | —               | —               |
| AST/SC 3                 | 2                                 | —               | —               | —               |
| AST/SC 2                 | 2                                 | —               | 4               | —               |
| AST/SC 1                 | 3                                 | —               | 4               | —               |
| Subtotal AST/SC          | 7                                 | —               | 8               | —               |
| <b>Total</b>             | <b>298</b>                        | <b>44</b>       | <b>304</b>      | <b>45</b>       |
| <b>Grand total</b>       | <b>342</b>                        |                 | <b>349</b>      |                 |

### European Personnel Selection Office (EPSO)

| Function group and grade | European Personnel Selection Office (EPSO) |                 |                 |                 |
|--------------------------|--------------------------------------------|-----------------|-----------------|-----------------|
|                          | 2018                                       |                 | 2017            |                 |
|                          | Permanent posts                            | Temporary posts | Permanent posts | Temporary posts |
| AD 16                    | —                                          | —               | —               | —               |
| AD 15                    | —                                          | 1               | —               | 1               |
| AD 14                    | 2                                          | —               | 1               | —               |
| AD 13                    | 6                                          | —               | 7               | —               |
| AD 12                    | 4                                          | —               | 4               | —               |
| AD 11                    | 3                                          | —               | 3               | —               |
| AD 10                    | 3                                          | —               | 3               | —               |
| AD 9                     | 3                                          | —               | 3               | —               |
| AD 8                     | 3                                          | —               | 3               | —               |
| AD 7                     | 1                                          | —               | 1               | —               |
| AD 6                     | 1                                          | —               | 1               | —               |
| AD 5                     | 5                                          | —               | 5               | —               |
| Subtotal AD              | 31                                         | 1               | 31              | 1               |
| AST 11                   | 4                                          | —               | 4               | —               |
| AST 10                   | 4                                          | —               | 4               | —               |
| AST 9                    | 7                                          | —               | 7               | —               |
| AST 8                    | 6                                          | —               | 6               | —               |
| AST 7                    | 12                                         | —               | 12              | —               |
| AST 6                    | 10                                         | —               | 10              | —               |
| AST 5                    | 12                                         | —               | 12              | —               |
| AST 4                    | 11                                         | —               | 11              | —               |
| AST 3                    | 8                                          | —               | 8               | —               |
| AST 2                    | 2                                          | —               | 2               | —               |
| AST 1                    | —                                          | —               | —               | —               |
| Subtotal AST             | 76                                         | —               | 76              | —               |
| AST/SC 6                 | —                                          | —               | —               | —               |
| AST/SC 5                 | —                                          | —               | —               | —               |
| AST/SC 4                 | —                                          | —               | —               | —               |
| AST/SC 3                 | —                                          | —               | —               | —               |
| AST/SC 2                 | 1                                          | —               | 1               | —               |
| AST/SC 1                 | —                                          | —               | —               | —               |
| Subtotal AST/SC          | 1                                          | —               | 1               | —               |
| <b>Total</b>             | <b>108</b>                                 | <b>1</b>        | <b>108</b>      | <b>1</b>        |
| <b>Grand total</b>       | <b>109 <sup>(1)</sup></b>                  |                 | <b>109</b>      |                 |

(<sup>1</sup>) Of which permanent posts in the European School of Administration (EUSA): three AD 12, one AD 11, two AD 8, one AST 10, one AST 9, one AST 8, one AST 7, one AST 5, one AST 4 and two AST 3.

### Office for the Administration and Payment of Individual Entitlements (PMO)

| Function group and grade | Office for the Administration and Payment of Individual Entitlements (PMO) |                 |                           |                 |
|--------------------------|----------------------------------------------------------------------------|-----------------|---------------------------|-----------------|
|                          | 2018                                                                       |                 | 2017                      |                 |
|                          | Permanent posts                                                            | Temporary posts | Permanent posts           | Temporary posts |
| AD 16                    | —                                                                          | —               | —                         | —               |
| AD 15                    | 1                                                                          | —               | 1                         | —               |
| AD 14                    | 5                                                                          | —               | 5                         | —               |
| AD 13                    | 8                                                                          | —               | 10                        | —               |
| AD 12                    | 7                                                                          | —               | 7                         | —               |
| AD 11                    | 1                                                                          | —               | 1                         | —               |
| AD 10                    | 4                                                                          | —               | 4                         | —               |
| AD 9                     | 2                                                                          | —               | 2                         | —               |
| AD 8                     | 5                                                                          | —               | 5                         | —               |
| AD 7                     | 2                                                                          | —               | 2                         | —               |
| AD 6                     | —                                                                          | —               | 1                         | —               |
| AD 5                     | —                                                                          | —               | —                         | —               |
| Subtotal AD              | 35                                                                         | —               | 38                        | —               |
| AST 11                   | 6                                                                          | —               | 6                         | —               |
| AST 10                   | 7                                                                          | —               | 7                         | —               |
| AST 9                    | 14                                                                         | —               | 15                        | —               |
| AST 8                    | 18                                                                         | —               | 18                        | —               |
| AST 7                    | 41                                                                         | —               | 42                        | —               |
| AST 6                    | 29                                                                         | —               | 30                        | —               |
| AST 5                    | 6                                                                          | —               | 7                         | —               |
| AST 4                    | 1                                                                          | —               | 1                         | —               |
| AST 3                    | 1                                                                          | —               | 1                         | —               |
| AST 2                    | —                                                                          | —               | —                         | —               |
| AST 1                    | —                                                                          | —               | —                         | —               |
| Subtotal AST             | 123                                                                        | —               | 127                       | —               |
| AST/SC 6                 | —                                                                          | —               | —                         | —               |
| AST/SC 5                 | —                                                                          | —               | —                         | —               |
| AST/SC 4                 | —                                                                          | —               | —                         | —               |
| AST/SC 3                 | —                                                                          | —               | —                         | —               |
| AST/SC 2                 | 2                                                                          | —               | —                         | —               |
| AST/SC 1                 | —                                                                          | —               | —                         | —               |
| Subtotal AST/SC          | 2                                                                          | —               | —                         | —               |
| <b>Total</b>             | <b>160</b>                                                                 | —               | <b>165</b>                | —               |
| <b>Grand total</b>       | <b>160</b>                                                                 |                 | <b>165</b> <sup>(1)</sup> |                 |

<sup>(1)</sup> Of which 7 posts for the Secretariat of the Supervisory Committee of the European Anti-Fraud Office (OLAF).

### Office for Infrastructure and Logistics in Brussels (OIB)

| Function group and grade | Office for Infrastructure and Logistics in Brussels (OIB) |                 |                 |                 |
|--------------------------|-----------------------------------------------------------|-----------------|-----------------|-----------------|
|                          | 2018                                                      |                 | 2017            |                 |
|                          | Permanent posts                                           | Temporary posts | Permanent posts | Temporary posts |
| AD 16                    | —                                                         | —               | —               | —               |
| AD 15                    | 1                                                         | —               | 1               | —               |
| AD 14                    | 7                                                         | —               | 7               | —               |
| AD 13                    | 13                                                        | —               | 13              | —               |
| AD 12                    | 7                                                         | —               | 7               | —               |
| AD 11                    | 5                                                         | —               | 5               | —               |
| AD 10                    | 9                                                         | —               | 8               | —               |
| AD 9                     | 10                                                        | —               | 7               | —               |
| AD 8                     | 9                                                         | —               | 7               | —               |
| AD 7                     | 8                                                         | —               | 8               | —               |
| AD 6                     | 9                                                         | —               | 9               | —               |
| AD 5                     | 9                                                         | —               | 12              | —               |
| Subtotal AD              | 87                                                        | —               | 84              | —               |
| AST 11                   | 8                                                         | —               | 8               | —               |
| AST 10                   | 9                                                         | —               | 8               | —               |
| AST 9                    | 14                                                        | —               | 14              | —               |
| AST 8                    | 20                                                        | —               | 19              | —               |
| AST 7                    | 46                                                        | —               | 42              | —               |
| AST 6                    | 51                                                        | —               | 42              | —               |
| AST 5                    | 98                                                        | —               | 72              | —               |
| AST 4                    | 39                                                        | —               | 26              | —               |
| AST 3                    | 23                                                        | —               | 24              | —               |
| AST 2                    | —                                                         | —               | —               | —               |
| AST 1                    | 1                                                         | —               | —               | —               |
| Subtotal AST             | 309                                                       | —               | 255             | —               |
| AST/SC 6                 | —                                                         | —               | —               | —               |
| AST/SC 5                 | —                                                         | —               | —               | —               |
| AST/SC 4                 | —                                                         | —               | —               | —               |
| AST/SC 3                 | —                                                         | —               | —               | —               |
| AST/SC 2                 | —                                                         | —               | —               | —               |
| AST/SC 1                 | —                                                         | —               | —               | —               |
| Subtotal AST/SC          | —                                                         | —               | —               | —               |
| <b>Total</b>             | <b>396</b>                                                | <b>—</b>        | <b>339</b>      | <b>—</b>        |
| <b>Grand total</b>       | <b>396</b>                                                |                 | <b>339</b>      |                 |

## Office for Infrastructure and Logistics in Luxembourg (OIL)

| Function group and grade | Office for Infrastructure and Logistics in Luxembourg (OIL) |                 |                 |                 |
|--------------------------|-------------------------------------------------------------|-----------------|-----------------|-----------------|
|                          | 2018                                                        |                 | 2017            |                 |
|                          | Permanent posts                                             | Temporary posts | Permanent posts | Temporary posts |
| AD 16                    | —                                                           | —               | —               | —               |
| AD 15                    | 1                                                           | —               | 1               | —               |
| AD 14                    | 3                                                           | —               | 3               | —               |
| AD 13                    | 4                                                           | —               | 4               | —               |
| AD 12                    | 4                                                           | —               | 4               | —               |
| AD 11                    | 2                                                           | —               | 2               | —               |
| AD 10                    | 3                                                           | —               | 2               | —               |
| AD 9                     | 5                                                           | —               | 4               | —               |
| AD 8                     | 3                                                           | —               | 4               | —               |
| AD 7                     | 2                                                           | —               | 3               | —               |
| AD 6                     | 2                                                           | —               | 3               | —               |
| AD 5                     | 1                                                           | —               | —               | —               |
| Subtotal AD              | 30                                                          | —               | 30              | —               |
| AST 11                   | 2                                                           | —               | 2               | —               |
| AST 10                   | 2                                                           | —               | 2               | —               |
| AST 9                    | 8                                                           | —               | 8               | —               |
| AST 8                    | 7                                                           | —               | 7               | —               |
| AST 7                    | 14                                                          | —               | 14              | —               |
| AST 6                    | 13                                                          | —               | 14              | —               |
| AST 5                    | 18                                                          | —               | 19              | —               |
| AST 4                    | 17                                                          | —               | 17              | —               |
| AST 3                    | 10                                                          | —               | 10              | —               |
| AST 2                    | 1                                                           | —               | 1               | —               |
| AST 1                    | —                                                           | —               | —               | —               |
| Subtotal AST             | 92                                                          | —               | 94              | —               |
| AST/SC 6                 | —                                                           | —               | —               | —               |
| AST/SC 5                 | —                                                           | —               | —               | —               |
| AST/SC 4                 | —                                                           | —               | —               | —               |
| AST/SC 3                 | —                                                           | —               | —               | —               |
| AST/SC 2                 | 3                                                           | —               | 2               | —               |
| AST/SC 1                 | 1                                                           | —               | 2               | —               |
| Subtotal AST/SC          | 4                                                           | —               | 4               | —               |
| <b>Total</b>             | <b>126</b>                                                  | <b>—</b>        | <b>128</b>      | <b>—</b>        |
| <b>Grand total</b>       | <b>126</b>                                                  |                 | <b>128</b>      |                 |

## Bodies set up by the European Union and having legal personality

### Decentralised agencies

#### Decentralised agencies — Enterprise and industry

#### European Chemicals Agency (ECHA)

| Function group and grade | European Chemicals Agency (ECHA)  |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                              |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                 | —               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                 | 8               | —                                 | 4               | —                                 | 5               |
| AD 13                    | —                                 | 16              | —                                 | 7               | —                                 | 15              |
| AD 12                    | —                                 | 21              | —                                 | 13              | —                                 | 20              |
| AD 11                    | —                                 | 34              | —                                 | 17              | —                                 | 34              |
| AD 10                    | —                                 | 43              | —                                 | 24              | —                                 | 39              |
| AD 9                     | —                                 | 56              | —                                 | 43              | —                                 | 54              |
| AD 8                     | —                                 | 63              | —                                 | 54              | —                                 | 62              |
| AD 7                     | —                                 | 64              | —                                 | 69              | —                                 | 64              |
| AD 6                     | —                                 | 27              | —                                 | 74              | —                                 | 35              |
| AD 5                     | —                                 | 5               | —                                 | 25              | —                                 | 8               |
| Subtotal AD              | —                                 | 337             | —                                 | 331             | —                                 | 337             |
| AST 11                   | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                 | 1               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                 | 5               | —                                 | 3               | —                                 | 5               |
| AST 8                    | —                                 | 8               | —                                 | 2               | —                                 | 7               |
| AST 7                    | —                                 | 15              | —                                 | 5               | —                                 | 14              |
| AST 6                    | —                                 | 18              | —                                 | 11              | —                                 | 15              |
| AST 5                    | —                                 | 34              | —                                 | 23              | —                                 | 34              |
| AST 4                    | —                                 | 22              | —                                 | 29              | —                                 | 22              |
| AST 3                    | —                                 | 17              | —                                 | 38              | —                                 | 18              |
| AST 2                    | —                                 | 1               | —                                 | 11              | —                                 | 5               |
| AST 1                    | —                                 | —               | —                                 | 2               | —                                 | 3               |
| Subtotal AST             | —                                 | 121             | —                                 | 124             | —                                 | 123             |
| AST/SC 6                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                 | <b>458</b>      | —                                 | <b>455</b>      | —                                 | <b>460</b>      |
| <b>Grand total</b>       |                                   | <b>458</b>      |                                   | <b>455</b>      |                                   | <b>460</b>      |

## European GNSS Agency (GSA)

| Function group and grade | European GNSS Agency (GSA)        |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                              |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                 | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                 | 3               | —                                 | 1               | —                                 | 2               |
| AD 12                    | —                                 | 6               | —                                 | 5               | —                                 | 5               |
| AD 11                    | —                                 | 7               | —                                 | 5               | —                                 | 6               |
| AD 10                    | —                                 | 14              | —                                 | 12              | —                                 | 13              |
| AD 9                     | —                                 | 13              | —                                 | 12              | —                                 | 12              |
| AD 8                     | —                                 | 32              | —                                 | 30              | —                                 | 30              |
| AD 7                     | —                                 | 35              | —                                 | 34              | —                                 | 34              |
| AD 6                     | —                                 | 8               | —                                 | 8               | —                                 | 8               |
| AD 5                     | —                                 | 4               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                 | 123             | —                                 | 108             | —                                 | 111             |
| AST 11                   | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                 | 2               | —                                 | 1               | —                                 | 2               |
| AST 5                    | —                                 | 1               | —                                 | 2               | —                                 | 1               |
| AST 4                    | —                                 | 1               | —                                 | 1               | —                                 | 1               |
| AST 3                    | —                                 | 1               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                 | —               | —                                 | 1               | —                                 | 1               |
| AST 1                    | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                 | 5               | —                                 | 5               | —                                 | 5               |
| AST/SC 6                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                 | <b>128</b>      | —                                 | <b>113</b>      | —                                 | <b>116</b>      |
| <b>Grand total</b>       |                                   | <b>128</b>      |                                   | <b>113</b>      |                                   | <b>116</b>      |

## Decentralised agencies — Employment, social affairs and inclusion

## European Foundation for the Improvement of Living and Working Conditions (Eurofound)

| Function group and grade | European Foundation for the Improvement of Living and Working Conditions (Eurofound) |                 |                                   |                 |                                   |                 |
|--------------------------|--------------------------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                                                 |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                                                    |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                                      | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                                                    | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                                                                    | 1               | —                                 | 2               | —                                 | 1               |
| AD 13                    | 2                                                                                    | 4               | —                                 | 2               | 1                                 | 4               |
| AD 12                    | 1                                                                                    | 7               | 2                                 | 3               | 2                                 | 7               |
| AD 11                    | 1                                                                                    | 5               | 1                                 | 5               | —                                 | 5               |
| AD 10                    | —                                                                                    | 4               | —                                 | —               | 2                                 | 4               |
| AD 9                     | —                                                                                    | 5               | 1                                 | 3               | 1                                 | 3               |
| AD 8                     | 1                                                                                    | 7               | 2                                 | 5               | 1                                 | 6               |
| AD 7                     | —                                                                                    | 6               | 1                                 | 7               | —                                 | 7               |
| AD 6                     | —                                                                                    | 3               | —                                 | 7               | —                                 | 4               |
| AD 5                     | —                                                                                    | 1               | —                                 | 7               | —                                 | 1               |
| Subtotal AD              | 5                                                                                    | 44              | 7                                 | 41              | 7                                 | 43              |
| AST 11                   | —                                                                                    | 1               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                                                    | 1               | —                                 | 2               | —                                 | 2               |
| AST 9                    | —                                                                                    | 5               | —                                 | 3               | —                                 | 5               |
| AST 8                    | —                                                                                    | 7               | —                                 | 4               | —                                 | 8               |
| AST 7                    | 2                                                                                    | 8               | —                                 | 8               | 2                                 | 8               |
| AST 6                    | 3                                                                                    | 2               | 1                                 | 5               | 3                                 | 1               |
| AST 5                    | 1                                                                                    | 7               | 3                                 | 3               | 1                                 | 8               |
| AST 4                    | —                                                                                    | 2               | —                                 | 5               | 1                                 | 1               |
| AST 3                    | —                                                                                    | 1               | —                                 | 3               | —                                 | 1               |
| AST 2                    | —                                                                                    | 1               | —                                 | 4               | 1                                 | —               |
| AST 1                    | —                                                                                    | 1               | 3                                 | 1               | —                                 | 1               |
| Subtotal AST             | 6                                                                                    | 36              | 7                                 | 38              | 8                                 | 35              |
| AST/SC 6                 | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                                                    | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>11</b>                                                                            | <b>80</b>       | <b>14</b>                         | <b>79</b>       | <b>15</b>                         | <b>78</b>       |
| <b>Grand total</b>       | <b>91</b>                                                                            |                 | <b>93</b>                         |                 | <b>93</b>                         |                 |



### European Agency for Safety and Health at Work (EU-OSHA)

| Function group and grade | European Agency for Safety and Health at Work (EU-OSHA) |                 |                                   |                 |                                   |                 |
|--------------------------|---------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                    |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                       |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                         | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                       | 2               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                       | 1               | —                                 | 2               | —                                 | 2               |
| AD 12                    | —                                                       | 2               | —                                 | 1               | —                                 | 2               |
| AD 11                    | —                                                       | 1               | —                                 | 1               | —                                 | 1               |
| AD 10                    | —                                                       | 3               | —                                 | 2               | —                                 | 3               |
| AD 9                     | —                                                       | 3               | —                                 | 1               | —                                 | 3               |
| AD 8                     | —                                                       | 7               | —                                 | 7               | —                                 | 5               |
| AD 7                     | —                                                       | 5               | —                                 | 5               | —                                 | 7               |
| AD 6                     | —                                                       | —               | —                                 | 3               | —                                 | —               |
| AD 5                     | —                                                       | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                                       | 24              | —                                 | 23              | —                                 | 24              |
| AST 11                   | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                       | 1               | —                                 | 1               | —                                 | 1               |
| AST 8                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                       | 1               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                                       | 3               | —                                 | 2               | —                                 | 3               |
| AST 5                    | —                                                       | 7               | —                                 | 8               | —                                 | 6               |
| AST 4                    | —                                                       | 1               | —                                 | 2               | —                                 | 2               |
| AST 3                    | —                                                       | 3               | —                                 | 2               | —                                 | 4               |
| AST 2                    | —                                                       | —               | —                                 | 1               | —                                 | —               |
| AST 1                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                       | 16              | —                                 | 16              | —                                 | 16              |
| AST/SC 6                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                       | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                       | <b>40</b>       | —                                 | <b>39</b>       | —                                 | <b>40</b>       |
| <b>Grand total</b>       | <b>40</b>                                               |                 | <b>39</b>                         |                 | <b>40</b>                         |                 |

## Decentralised agencies — Mobility and transport

## European Aviation Safety Agency (EASA)

| Function group and grade | European Aviation Safety Agency (EASA) |                 |                                   |                 |                                   |                 |
|--------------------------|----------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                   |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget      |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                        | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                      | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                      | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                      | 26              | —                                 | 5               | —                                 | 25              |
| AD 13                    | —                                      | 33              | —                                 | 9               | —                                 | 32              |
| AD 12                    | —                                      | 57              | —                                 | 20              | —                                 | 53              |
| AD 11                    | —                                      | 81              | —                                 | 33              | —                                 | 76              |
| AD 10                    | —                                      | 103             | —                                 | 73              | —                                 | 98              |
| AD 9                     | —                                      | 119             | —                                 | 99              | —                                 | 117             |
| AD 8                     | —                                      | 80              | —                                 | 134             | —                                 | 77              |
| AD 7                     | —                                      | 44              | —                                 | 103             | —                                 | 52              |
| AD 6                     | —                                      | 13              | —                                 | 52              | —                                 | 20              |
| AD 5                     | —                                      | —               | —                                 | 22              | —                                 | 1               |
| Subtotal AD              | —                                      | 557             | —                                 | 551             | —                                 | 552             |
| AST 11                   | —                                      | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                      | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                      | 1               | —                                 | —               | —                                 | 1               |
| AST 8                    | —                                      | 4               | —                                 | —               | —                                 | 4               |
| AST 7                    | —                                      | 13              | —                                 | 1               | —                                 | 14              |
| AST 6                    | —                                      | 27              | —                                 | 8               | —                                 | 25              |
| AST 5                    | —                                      | 34              | —                                 | 22              | —                                 | 33              |
| AST 4                    | —                                      | 23              | —                                 | 35              | —                                 | 24              |
| AST 3                    | —                                      | 17              | —                                 | 31              | —                                 | 16              |
| AST 2                    | —                                      | 4               | —                                 | 23              | —                                 | 8               |
| AST 1                    | —                                      | —               | —                                 | 5               | —                                 | 1               |
| Subtotal AST             | —                                      | 123             | —                                 | 125             | —                                 | 126             |
| AST/SC 6                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                      | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                      | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                      | <b>680</b>      | —                                 | <b>676</b>      | —                                 | <b>678</b>      |
| <b>Grand total</b>       | <b>680</b>                             |                 | <b>676</b>                        |                 | <b>678</b>                        |                 |

## European Maritime Safety Agency (EMSA)

| Function group and grade | European Maritime Safety Agency (EMSA) |                 |                                   |                 |                                   |                 |
|--------------------------|----------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                   |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget      |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                        | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                      | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                      | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                      | 1               | —                                 | 2               | —                                 | 1               |
| AD 13                    | 1                                      | 4               | —                                 | 2               | 1                                 | 4               |
| AD 12                    | 1                                      | 10              | 1                                 | 5               | 1                                 | 10              |
| AD 11                    | —                                      | 14              | —                                 | 10              | —                                 | 14              |
| AD 10                    | 1                                      | 19              | 1                                 | 18              | 1                                 | 19              |
| AD 9                     | —                                      | 28              | —                                 | 28              | —                                 | 28              |
| AD 8                     | —                                      | 31              | —                                 | 28              | —                                 | 29              |
| AD 7                     | —                                      | 26              | 1                                 | 16              | —                                 | 26              |
| AD 6                     | —                                      | 8               | —                                 | 20              | —                                 | 12              |
| AD 5                     | —                                      | 7               | —                                 | 4               | —                                 | 5               |
| Subtotal AD              | 3                                      | 149             | 3                                 | 133             | 3                                 | 149             |
| AST 11                   | —                                      | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                      | 1               | —                                 | —               | —                                 | 1               |
| AST 9                    | —                                      | —               | —                                 | 1               | —                                 | —               |
| AST 8                    | —                                      | 1               | —                                 | —               | —                                 | 1               |
| AST 7                    | —                                      | 4               | —                                 | 2               | —                                 | 4               |
| AST 6                    | —                                      | 19              | —                                 | 10              | —                                 | 15              |
| AST 5                    | —                                      | 20              | —                                 | 15              | —                                 | 20              |
| AST 4                    | —                                      | 12              | —                                 | 18              | —                                 | 16              |
| AST 3                    | —                                      | 3               | —                                 | 11              | —                                 | 3               |
| AST 2                    | —                                      | —               | —                                 | 2               | —                                 | —               |
| AST 1                    | —                                      | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                      | 60              | —                                 | 59              | —                                 | 60              |
| AST/SC 6                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                      | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                      | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>3</b>                               | <b>209</b>      | <b>3</b>                          | <b>192</b>      | <b>3</b>                          | <b>209</b>      |
| <b>Grand total</b>       | <b>212</b>                             |                 | <b>195</b>                        |                 | <b>212</b>                        |                 |

**European Union Agency for Railways (ERA)**

| Function group and grade | European Union Agency for Railways (ERA) |                 |                                   |                 |                                   |                 |
|--------------------------|------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                     |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget        |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                          | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                        | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AD 12                    | —                                        | 2               | —                                 | —               | —                                 | 1               |
| AD 11                    | —                                        | 6               | —                                 | 3               | —                                 | 5               |
| AD 10                    | —                                        | 18              | —                                 | 12              | —                                 | 18              |
| AD 9                     | —                                        | 32              | —                                 | 25              | —                                 | 33              |
| AD 8                     | —                                        | 22              | —                                 | 16              | —                                 | 21              |
| AD 7                     | —                                        | 15              | —                                 | 20              | —                                 | 14              |
| AD 6                     | —                                        | 15              | —                                 | 20              | —                                 | 10              |
| AD 5                     | —                                        | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                        | 111             | —                                 | 97              | —                                 | 103             |
| AST 11                   | —                                        | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                        | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                        | 3               | —                                 | 2               | —                                 | 3               |
| AST 8                    | —                                        | 5               | —                                 | 1               | —                                 | 5               |
| AST 7                    | —                                        | 4               | —                                 | 1               | —                                 | 4               |
| AST 6                    | —                                        | 3               | —                                 | 3               | —                                 | 3               |
| AST 5                    | —                                        | 8               | —                                 | 7               | —                                 | 8               |
| AST 4                    | —                                        | 9               | —                                 | 6               | —                                 | 9               |
| AST 3                    | —                                        | 4               | —                                 | 10              | —                                 | 3               |
| AST 2                    | —                                        | 1               | —                                 | 6               | —                                 | 1               |
| AST 1                    | —                                        | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                        | 37              | —                                 | 36              | —                                 | 36              |
| AST/SC 6                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                        | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                        | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                        | <b>148</b>      | —                                 | <b>133</b>      | —                                 | <b>139</b>      |
| <b>Grand total</b>       |                                          | <b>148</b>      |                                   | <b>133</b>      |                                   | <b>139</b>      |

## Decentralised agencies — Environment

## European Environment Agency (EEA)

| Function group and grade | European Environment Agency (EEA) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                              |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                 | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                 | 2               | —                                 | 2               | —                                 | 2               |
| AD 13                    | 1                                 | 4               | —                                 | 1               | 1                                 | 3               |
| AD 12                    | —                                 | 13              | 1                                 | 9               | —                                 | 12              |
| AD 11                    | —                                 | 12              | —                                 | 8               | —                                 | 11              |
| AD 10                    | —                                 | 12              | —                                 | 8               | —                                 | 11              |
| AD 9                     | —                                 | 12              | —                                 | 8               | —                                 | 11              |
| AD 8                     | —                                 | 3               | —                                 | 10              | —                                 | 8               |
| AD 7                     | —                                 | 1               | —                                 | 11              | —                                 | 3               |
| AD 6                     | —                                 | —               | —                                 | 6               | —                                 | —               |
| AD 5                     | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | 1                                 | 60              | 1                                 | 63              | 1                                 | 62              |
| AST 11                   | —                                 | 3               | —                                 | —               | —                                 | 3               |
| AST 10                   | —                                 | 4               | —                                 | 2               | —                                 | 4               |
| AST 9                    | 3                                 | 10              | 1                                 | 2               | 3                                 | 9               |
| AST 8                    | —                                 | 12              | 2                                 | 8               | —                                 | 10              |
| AST 7                    | —                                 | 11              | —                                 | 8               | —                                 | 10              |
| AST 6                    | —                                 | 11              | —                                 | 6               | —                                 | 10              |
| AST 5                    | —                                 | 9               | —                                 | 11              | —                                 | 12              |
| AST 4                    | —                                 | —               | —                                 | 12              | —                                 | 3               |
| AST 3                    | —                                 | —               | —                                 | 9               | —                                 | —               |
| AST 2                    | —                                 | —               | —                                 | 4               | —                                 | —               |
| AST 1                    | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | 3                                 | 60              | 3                                 | 62              | 3                                 | 61              |
| AST/SC 6                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>4</b>                          | <b>120</b>      | <b>4</b>                          | <b>125</b>      | <b>4</b>                          | <b>123</b>      |
| <b>Grand total</b>       | <b>124</b>                        |                 | <b>129</b>                        |                 | <b>127</b>                        |                 |

### European Chemicals Agency (ECHA) — Activities in the field of biocides legislation

See establishment plan staff S 03 01 02 — European Chemicals Agency.

### European Chemicals Agency (ECHA) — Activities in the field of legislation on import and export of dangerous chemicals

See establishment plan staff S 03 01 02 — European Chemicals Agency.

### Decentralised agencies — Communications networks, content and technology

#### European Union Agency for Network and Information Security (ENISA)

| Function group and grade | European Union Agency for Network and Information Security (ENISA) |                 |                                   |                 |                                   |                 |
|--------------------------|--------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                               |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                                  |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                    | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                                  | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AD 12                    | —                                                                  | 3               | —                                 | 2               | —                                 | 3               |
| AD 11                    | —                                                                  | —               | —                                 | 1               | —                                 | —               |
| AD 10                    | —                                                                  | 5               | —                                 | 2               | —                                 | 5               |
| AD 9                     | —                                                                  | 10              | —                                 | 2               | —                                 | 10              |
| AD 8                     | —                                                                  | 15              | —                                 | 5               | —                                 | 15              |
| AD 7                     | —                                                                  | —               | —                                 | 2               | —                                 | —               |
| AD 6                     | —                                                                  | —               | —                                 | 13              | —                                 | —               |
| AD 5                     | —                                                                  | —               | —                                 | 1               | —                                 | —               |
| Subtotal AD              | —                                                                  | 34              | —                                 | 29              | —                                 | 34              |
| AST 11                   | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                                  | 2               | —                                 | 1               | —                                 | 2               |
| AST 6                    | —                                                                  | 5               | —                                 | 1               | —                                 | 5               |
| AST 5                    | —                                                                  | 5               | —                                 | 2               | —                                 | 5               |
| AST 4                    | —                                                                  | 1               | —                                 | 5               | —                                 | 2               |
| AST 3                    | —                                                                  | —               | —                                 | 6               | —                                 | —               |
| AST 2                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                                  | 13              | —                                 | 15              | —                                 | 14              |
| AST/SC 6                 | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                                  | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                                  | <b>47</b>       | —                                 | <b>44</b>       | —                                 | <b>48</b>       |
| <b>Grand total</b>       |                                                                    | <b>47</b>       |                                   | <b>44</b>       |                                   | <b>48</b>       |



## Decentralised agencies — Maritime affairs and fisheries

## European Fisheries Control Agency (EFCA)

| Function group and grade | European Fisheries Control Agency (EFCA) |                 |                                   |                 |                                   |                 |
|--------------------------|------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                     |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget        |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                          | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                        | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                        | 2               | —                                 | 2               | —                                 | 2               |
| AD 12                    | —                                        | 3               | —                                 | 2               | —                                 | 3               |
| AD 11                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AD 10                    | —                                        | 3               | —                                 | 3               | —                                 | 3               |
| AD 9                     | —                                        | 6               | —                                 | 6               | —                                 | 6               |
| AD 8                     | —                                        | 14              | —                                 | 5               | —                                 | 14              |
| AD 7                     | —                                        | 2               | —                                 | 2               | —                                 | 2               |
| AD 6                     | —                                        | —               | —                                 | 1               | —                                 | —               |
| AD 5                     | —                                        | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                        | 31              | —                                 | 22              | —                                 | 31              |
| AST 11                   | —                                        | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                        | 7               | —                                 | 7               | —                                 | 7               |
| AST 9                    | —                                        | 3               | —                                 | 3               | —                                 | 3               |
| AST 8                    | —                                        | 3               | —                                 | 3               | —                                 | 3               |
| AST 7                    | —                                        | 8               | —                                 | 8               | —                                 | 8               |
| AST 6                    | —                                        | 2               | —                                 | 2               | —                                 | 2               |
| AST 5                    | —                                        | 6               | —                                 | 6               | —                                 | 6               |
| AST 4                    | —                                        | 1               | —                                 | —               | —                                 | 1               |
| AST 3                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                        | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                        | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                        | 30              | —                                 | 29              | —                                 | 30              |
| AST/SC 6                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                        | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                        | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                        | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                        | <b>61</b>       | —                                 | <b>51</b>       | —                                 | <b>61</b>       |
| <b>Grand total</b>       | <b>61</b>                                |                 | <b>51</b>                         |                 | <b>61</b>                         |                 |



## Decentralised agencies — Internal market and services

## European Banking Authority (EBA)

| Function group and grade | European Banking Authority (EBA)  |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                              |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                 | 1               | —                                 | 1               | —                                 | 1               |
| AD 15                    | —                                 | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                 | 2               | —                                 | —               | —                                 | 2               |
| AD 13                    | —                                 | 2               | —                                 | 2               | —                                 | 2               |
| AD 12                    | —                                 | 8               | —                                 | 3               | —                                 | 7               |
| AD 11                    | —                                 | 12              | —                                 | 6               | —                                 | 12              |
| AD 10                    | —                                 | 12              | —                                 | 9               | —                                 | 11              |
| AD 9                     | —                                 | 18              | —                                 | 17              | —                                 | 15              |
| AD 8                     | —                                 | 26              | —                                 | 14              | —                                 | 20              |
| AD 7                     | —                                 | 20              | —                                 | 29              | —                                 | 21              |
| AD 6                     | —                                 | 18              | —                                 | 26              | —                                 | 16              |
| AD 5                     | —                                 | 14              | —                                 | 9               | —                                 | 15              |
| Subtotal AD              | —                                 | 134             | —                                 | 117             | —                                 | 123             |
| AST 11                   | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                 | 3               | —                                 | —               | —                                 | 1               |
| AST 5                    | —                                 | 4               | —                                 | 3               | —                                 | 4               |
| AST 4                    | —                                 | 2               | —                                 | 2               | —                                 | 3               |
| AST 3                    | —                                 | 1               | —                                 | 3               | —                                 | 2               |
| AST 2                    | —                                 | 1               | —                                 | 1               | —                                 | 1               |
| AST 1                    | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                 | 11              | —                                 | 9               | —                                 | 11              |
| AST/SC 6                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                 | <b>145</b>      | —                                 | <b>126</b>      | —                                 | <b>134</b>      |
| <b>Grand total</b>       | <b>145</b>                        |                 | <b>126</b>                        |                 | <b>134</b>                        |                 |

**European Insurance and Occupational Pensions Authority (EIOPA)**

| Function group and grade | European Insurance and Occupational Pensions Authority (EIOPA) |                 |                                   |                 |                                   |                 |
|--------------------------|----------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                           |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                              |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                              | 1               | —                                 | 1               | —                                 | 1               |
| AD 15                    | —                                                              | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                                              | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                              | 4               | —                                 | 3               | —                                 | 3               |
| AD 12                    | —                                                              | 11              | —                                 | 6               | —                                 | 8               |
| AD 11                    | —                                                              | 14              | —                                 | 9               | —                                 | 10              |
| AD 10                    | —                                                              | 13              | —                                 | 10              | —                                 | 10              |
| AD 9                     | —                                                              | 15              | —                                 | 10              | —                                 | 12              |
| AD 8                     | —                                                              | 14              | —                                 | 10              | —                                 | 12              |
| AD 7                     | —                                                              | 11              | —                                 | 10              | —                                 | 12              |
| AD 6                     | —                                                              | 9               | —                                 | 10              | —                                 | 11              |
| AD 5                     | —                                                              | 2               | —                                 | 5               | —                                 | 4               |
| Subtotal AD              | —                                                              | 96              | —                                 | 76              | —                                 | 85              |
| AST 11                   | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                              | 1               | —                                 | —               | —                                 | 1               |
| AST 9                    | —                                                              | 1               | —                                 | 1               | —                                 | 1               |
| AST 8                    | —                                                              | 3               | —                                 | 2               | —                                 | 2               |
| AST 7                    | —                                                              | 3               | —                                 | 2               | —                                 | 3               |
| AST 6                    | —                                                              | 3               | —                                 | 3               | —                                 | 3               |
| AST 5                    | —                                                              | 3               | —                                 | 2               | —                                 | 3               |
| AST 4                    | —                                                              | 2               | —                                 | 1               | —                                 | 2               |
| AST 3                    | —                                                              | —               | —                                 | 2               | —                                 | 1               |
| AST 2                    | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                              | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                              | 16              | —                                 | 13              | —                                 | 16              |
| AST/SC 6                 | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                              | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                              | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                              | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                              | <b>112</b>      | —                                 | <b>89</b>       | —                                 | <b>101</b>      |
| <b>Grand total</b>       |                                                                | <b>112</b>      |                                   | <b>89</b>       |                                   | <b>101</b>      |

## European Securities and Markets Authority (ESMA)

| Function group and grade | European Securities and Markets Authority (ESMA) |                 |                                   |                 |                                   |                 |
|--------------------------|--------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                             |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                  | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                | 1               | —                                 | 1               | —                                 | 1               |
| AD 15                    | —                                                | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                                | —               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                                | 2               | —                                 | 1               | —                                 | 2               |
| AD 12                    | —                                                | 6               | —                                 | 1               | —                                 | 5               |
| AD 11                    | —                                                | 9               | —                                 | 3               | —                                 | 8               |
| AD 10                    | —                                                | 14              | —                                 | 7               | —                                 | 13              |
| AD 9                     | —                                                | 28              | —                                 | 14              | —                                 | 26              |
| AD 8                     | —                                                | 28              | —                                 | 32              | —                                 | 28              |
| AD 7                     | —                                                | 26              | —                                 | 30              | —                                 | 26              |
| AD 6                     | —                                                | 14              | —                                 | 20              | —                                 | 14              |
| AD 5                     | —                                                | 15              | —                                 | 13              | —                                 | 13              |
| Subtotal AD              | —                                                | 144             | —                                 | 123             | —                                 | 137             |
| AST 11                   | —                                                | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                | 2               | —                                 | —               | —                                 | 1               |
| AST 7                    | —                                                | 2               | —                                 | —               | —                                 | 2               |
| AST 6                    | —                                                | 3               | —                                 | —               | —                                 | 3               |
| AST 5                    | —                                                | 4               | —                                 | 2               | —                                 | 4               |
| AST 4                    | —                                                | 1               | —                                 | 6               | —                                 | 3               |
| AST 3                    | —                                                | —               | —                                 | 2               | —                                 | —               |
| AST 2                    | —                                                | —               | —                                 | 1               | —                                 | —               |
| AST 1                    | —                                                | —               | —                                 | 2               | —                                 | —               |
| Subtotal AST             | —                                                | 12              | —                                 | 13              | —                                 | 13              |
| AST/SC 6                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                | <b>156</b>      | —                                 | <b>136</b>      | —                                 | <b>150</b>      |
| <b>Grand total</b>       |                                                  | <b>156</b>      |                                   | <b>136</b>      |                                   | <b>150</b>      |

## Decentralised agencies — Education and culture

## European Centre for the Development of Vocational Training (Cedefop)

| Function group and grade | European Centre for the Development of Vocational Training (Cedefop) |                 |                                   |                 |                                   |                 |
|--------------------------|----------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                                 |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                                    |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                      | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                                    | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                                                    | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                                    | 2               | —                                 | 2               | —                                 | 2               |
| AD 12                    | 4                                                                    | 4               | 4                                 | 4               | 4                                 | 4               |
| AD 11                    | —                                                                    | 10              | —                                 | 9               | —                                 | 10              |
| AD 10                    | —                                                                    | 10              | —                                 | 10              | —                                 | 10              |
| AD 9                     | —                                                                    | 5               | —                                 | 5               | —                                 | 5               |
| AD 8                     | —                                                                    | 5               | —                                 | 5               | —                                 | 5               |
| AD 7                     | —                                                                    | 4               | —                                 | 4               | —                                 | 4               |
| AD 6                     | —                                                                    | 2               | —                                 | 1               | —                                 | 2               |
| AD 5                     | —                                                                    | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | 4                                                                    | 44              | 4                                 | 42              | 4                                 | 44              |
| AST 11                   | —                                                                    | 1               | —                                 | 1               | —                                 | 1               |
| AST 10                   | 1                                                                    | 1               | 1                                 | 1               | 1                                 | 1               |
| AST 9                    | 1                                                                    | 2               | —                                 | 2               | —                                 | 2               |
| AST 8                    | 1                                                                    | 2               | 2                                 | 2               | 2                                 | 2               |
| AST 7                    | 3                                                                    | 7               | 3                                 | 7               | 3                                 | 7               |
| AST 6                    | 3                                                                    | 5               | 4                                 | 5               | 4                                 | 5               |
| AST 5                    | —                                                                    | 6               | 2                                 | 6               | 1                                 | 6               |
| AST 4                    | —                                                                    | 10              | —                                 | 10              | —                                 | 9               |
| AST 3                    | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                                    | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | 9                                                                    | 34              | 12                                | 34              | 11                                | 33              |
| AST/SC 6                 | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                                    | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                                    | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                                    | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>13</b>                                                            | <b>78</b>       | <b>16</b>                         | <b>76</b>       | <b>15</b>                         | <b>77</b>       |
| <b>Grand total</b>       | <b>91</b>                                                            |                 | <b>92</b>                         |                 | <b>92</b>                         |                 |

## European Training Foundation (ETF)

| Function group and grade | European Training Foundation (ETF) |                 |                                   |                 |                                   |                 |
|--------------------------|------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                               |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget  |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                    | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                  | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                  | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                  | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                  | 4               | —                                 | —               | —                                 | 6               |
| AD 12                    | —                                  | 14              | —                                 | 8               | —                                 | 14              |
| AD 11                    | —                                  | 8               | —                                 | 9               | —                                 | 7               |
| AD 10                    | —                                  | 6               | —                                 | 7               | —                                 | 8               |
| AD 9                     | —                                  | 12              | —                                 | 11              | —                                 | 13              |
| AD 8                     | —                                  | 9               | —                                 | 10              | —                                 | 5               |
| AD 7                     | —                                  | 1               | —                                 | 9               | —                                 | 3               |
| AD 6                     | —                                  | —               | —                                 | 2               | —                                 | —               |
| AD 5                     | —                                  | —               | —                                 | 1               | —                                 | —               |
| Subtotal AD              | —                                  | 55              | —                                 | 58              | —                                 | 57              |
| AST 11                   | —                                  | 3               | —                                 | —               | —                                 | 3               |
| AST 10                   | —                                  | 5               | —                                 | 1               | —                                 | 5               |
| AST 9                    | —                                  | 9               | —                                 | 8               | —                                 | 8               |
| AST 8                    | —                                  | 6               | —                                 | 6               | —                                 | 6               |
| AST 7                    | —                                  | 4               | —                                 | 4               | —                                 | 4               |
| AST 6                    | —                                  | 4               | —                                 | 2               | —                                 | 4               |
| AST 5                    | —                                  | —               | —                                 | 4               | —                                 | 1               |
| AST 4                    | —                                  | —               | —                                 | 5               | —                                 | —               |
| AST 3                    | —                                  | —               | —                                 | 1               | —                                 | —               |
| AST 2                    | —                                  | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                  | 31              | —                                 | 31              | —                                 | 31              |
| AST/SC 6                 | —                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                  | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                  | <b>86</b>       | —                                 | <b>89</b>       | —                                 | <b>88</b>       |
| <b>Grand total</b>       |                                    | <b>86</b>       |                                   | <b>89</b>       |                                   | <b>88</b>       |

## Decentralised agencies — Health and consumer protection

## European Centre for Disease Prevention and Control (ECDC)

| Function group and grade | European Centre for Disease Prevention and Control (ECDC) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                      |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                         |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                           | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                         | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                                         | 2               | —                                 | —               | —                                 | 7               |
| AD 13                    | —                                                         | 10              | —                                 | 1               | —                                 | 6               |
| AD 12                    | —                                                         | 12              | —                                 | 4               | —                                 | 10              |
| AD 11                    | —                                                         | 18              | —                                 | 4               | —                                 | 16              |
| AD 10                    | —                                                         | 27              | —                                 | 7               | —                                 | 23              |
| AD 9                     | —                                                         | 25              | —                                 | 13              | —                                 | 32              |
| AD 8                     | —                                                         | 18              | —                                 | 28              | —                                 | 18              |
| AD 7                     | —                                                         | 13              | —                                 | 1               | —                                 | 13              |
| AD 6                     | —                                                         | —               | —                                 | 23              | —                                 | 1               |
| AD 5                     | —                                                         | —               | —                                 | 30              | —                                 | —               |
| Subtotal AD              | —                                                         | 126             | —                                 | 111             | —                                 | 127             |
| AST 11                   | —                                                         | 2               | —                                 | —               | —                                 | 2               |
| AST 10                   | —                                                         | 4               | —                                 | —               | —                                 | 4               |
| AST 9                    | —                                                         | 4               | —                                 | —               | —                                 | 4               |
| AST 8                    | —                                                         | 8               | —                                 | 1               | —                                 | 8               |
| AST 7                    | —                                                         | 12              | —                                 | 3               | —                                 | 12              |
| AST 6                    | —                                                         | 16              | —                                 | 4               | —                                 | 16              |
| AST 5                    | —                                                         | 8               | —                                 | 16              | —                                 | 9               |
| AST 4                    | —                                                         | —               | —                                 | 19              | —                                 | —               |
| AST 3                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                                         | —               | —                                 | 5               | —                                 | —               |
| AST 1                    | —                                                         | —               | —                                 | 6               | —                                 | —               |
| Subtotal AST             | —                                                         | 54              | —                                 | 54              | —                                 | 55              |
| AST/SC 6                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                         | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                         | <b>180</b>      | —                                 | <b>165</b>      | —                                 | <b>182</b>      |
| <b>Grand total</b>       | <b>180</b>                                                |                 | <b>165</b>                        |                 | <b>182</b>                        |                 |

## European Food Safety Authority (EFSA)

| Function group and grade | European Food Safety Authority (EFSA) |                 |                                   |                 |                                   |                 |
|--------------------------|---------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                  |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget     |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                       | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                     | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                     | 2               | —                                 | 1               | —                                 | 2               |
| AD 13                    | —                                     | 2               | —                                 | 1               | —                                 | 2               |
| AD 12                    | 1                                     | 16              | —                                 | 6               | 1                                 | 16              |
| AD 11                    | —                                     | 11              | —                                 | 6               | —                                 | 11              |
| AD 10                    | 1                                     | 18              | —                                 | 11              | 1                                 | 17              |
| AD 9                     | 1                                     | 43              | —                                 | 27              | 1                                 | 42              |
| AD 8                     | —                                     | 54              | —                                 | 54              | —                                 | 54              |
| AD 7                     | 1                                     | 55              | 4                                 | 46              | 1                                 | 56              |
| AD 6                     | 1                                     | 14              | 1                                 | 43              | 1                                 | 15              |
| AD 5                     | —                                     | 5               | —                                 | 12              | —                                 | 6               |
| Subtotal AD              | 5                                     | 221             | 5                                 | 207             | 5                                 | 222             |
| AST 11                   | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                     | 3               | —                                 | —               | —                                 | 3               |
| AST 7                    | —                                     | 4               | —                                 | 2               | —                                 | 4               |
| AST 6                    | —                                     | 9               | —                                 | 2               | —                                 | 9               |
| AST 5                    | —                                     | 30              | —                                 | 15              | —                                 | 30              |
| AST 4                    | —                                     | 23              | —                                 | 40              | —                                 | 23              |
| AST 3                    | —                                     | 23              | —                                 | 17              | —                                 | 25              |
| AST 2                    | —                                     | 1               | —                                 | 29              | —                                 | 2               |
| AST 1                    | —                                     | —               | —                                 | 3               | —                                 | —               |
| Subtotal AST             | —                                     | 93              | —                                 | 108             | —                                 | 96              |
| AST/SC 6                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                     | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                     | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>5</b>                              | <b>314</b>      | <b>5</b>                          | <b>315</b>      | <b>5</b>                          | <b>318</b>      |
| <b>Grand total</b>       | <b>319</b>                            |                 | <b>320</b>                        |                 | <b>323</b>                        |                 |

## European Medicines Agency (EMA)

| Function group and grade | European Medicines Agency (EMA)   |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                              |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                 | 3               | —                                 | 2               | —                                 | 4               |
| AD 14                    | —                                 | 7               | —                                 | 6               | —                                 | 6               |
| AD 13                    | —                                 | 11              | —                                 | 9               | —                                 | 11              |
| AD 12                    | —                                 | 43              | —                                 | 39              | —                                 | 40              |
| AD 11                    | —                                 | 43              | —                                 | 37              | —                                 | 40              |
| AD 10                    | —                                 | 41              | —                                 | 44              | —                                 | 43              |
| AD 9                     | —                                 | 45              | —                                 | 37              | —                                 | 42              |
| AD 8                     | —                                 | 59              | —                                 | 54              | —                                 | 53              |
| AD 7                     | —                                 | 65              | —                                 | 54              | —                                 | 61              |
| AD 6                     | —                                 | 23              | —                                 | 37              | —                                 | 37              |
| AD 5                     | —                                 | —               | —                                 | 18              | —                                 | 3               |
| Subtotal AD              | —                                 | 340             | —                                 | 337             | —                                 | 340             |
| AST 11                   | —                                 | 2               | —                                 | 2               | —                                 | 2               |
| AST 10                   | —                                 | 7               | —                                 | 5               | —                                 | 6               |
| AST 9                    | —                                 | 6               | —                                 | 7               | —                                 | 7               |
| AST 8                    | —                                 | 16              | —                                 | 16              | —                                 | 16              |
| AST 7                    | —                                 | 22              | —                                 | 17              | —                                 | 19              |
| AST 6                    | —                                 | 42              | —                                 | 39              | —                                 | 43              |
| AST 5                    | —                                 | 46              | —                                 | 42              | —                                 | 43              |
| AST 4                    | —                                 | 57              | —                                 | 49              | —                                 | 52              |
| AST 3                    | —                                 | 46              | —                                 | 46              | —                                 | 45              |
| AST 2                    | —                                 | 7               | —                                 | 27              | —                                 | 23              |
| AST 1                    | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                 | 251             | —                                 | 250             | —                                 | 256             |
| AST/SC 6                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                 | <b>591</b>      | —                                 | <b>587</b>      | —                                 | <b>596</b>      |
| <b>Grand total</b>       |                                   | <b>591</b>      |                                   | <b>587</b>      |                                   | <b>596</b>      |



## Decentralised agencies — Home affairs

## European Border and Coast Guard Agency (Frontex)

| Function group and grade | European Border and Coast Guard Agency (Frontex) |                 |                                   |                 |                                   |                 |
|--------------------------|--------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                             |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                  | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                                | 1               | —                                 | 2               | —                                 | 1               |
| AD 13                    | —                                                | 6               | —                                 | 5               | —                                 | 5               |
| AD 12                    | —                                                | 17              | —                                 | 13              | —                                 | 15              |
| AD 11                    | —                                                | 17              | —                                 | 8               | —                                 | 11              |
| AD 10                    | —                                                | 12              | —                                 | 9               | —                                 | 10              |
| AD 9                     | —                                                | 23              | —                                 | 13              | —                                 | 20              |
| AD 8                     | —                                                | 110             | —                                 | 34              | —                                 | 85              |
| AD 7                     | —                                                | 72              | —                                 | 30              | —                                 | 65              |
| AD 6                     | —                                                | 37              | —                                 | 10              | —                                 | 34              |
| AD 5                     | —                                                | 15              | —                                 | 4               | —                                 | 14              |
| Subtotal AD              | —                                                | 311             | —                                 | 128             | —                                 | 261             |
| AST 11                   | —                                                | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                | —               | —                                 | 1               | —                                 | —               |
| AST 8                    | —                                                | 5               | —                                 | 7               | —                                 | 5               |
| AST 7                    | —                                                | 11              | —                                 | 12              | —                                 | 11              |
| AST 6                    | —                                                | 16              | —                                 | 21              | —                                 | 16              |
| AST 5                    | —                                                | 27              | —                                 | 17              | —                                 | 27              |
| AST 4                    | —                                                | 45              | —                                 | 6               | —                                 | 28              |
| AST 3                    | —                                                | 3               | —                                 | 5               | —                                 | 4               |
| AST 2                    | —                                                | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                | 107             | —                                 | 69              | —                                 | 91              |
| AST/SC 6                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                | <b>418</b>      | —                                 | <b>197</b>      | —                                 | <b>352</b>      |
| <b>Grand total</b>       | <b>418</b>                                       |                 | <b>197</b>                        |                 | <b>352</b>                        |                 |

**European Union Agency for Law Enforcement Cooperation (Europol)**

| Function group and grade | European Union Agency for Law Enforcement Cooperation (Europol) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                            |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                               |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                 | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                               | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                                               | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                               | 5               | —                                 | 2               | —                                 | 5               |
| AD 12                    | —                                                               | 11              | —                                 | 7               | —                                 | 11              |
| AD 11                    | —                                                               | 17              | —                                 | 10              | —                                 | 17              |
| AD 10                    | —                                                               | 28              | —                                 | 9               | —                                 | 30              |
| AD 9                     | —                                                               | 61              | —                                 | 45              | —                                 | 61              |
| AD 8                     | —                                                               | 100             | —                                 | 77              | —                                 | 97              |
| AD 7                     | —                                                               | 128             | —                                 | 113             | —                                 | 126             |
| AD 6                     | —                                                               | 158             | —                                 | 198             | —                                 | 139             |
| AD 5                     | —                                                               | 36              | —                                 | 13              | —                                 | 29              |
| Subtotal AD              | —                                                               | 546             | —                                 | 476             | —                                 | 517             |
| AST 11                   | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                               | 3               | —                                 | —               | —                                 | 2               |
| AST 7                    | —                                                               | 5               | —                                 | 3               | —                                 | 5               |
| AST 6                    | —                                                               | 6               | —                                 | 4               | —                                 | 6               |
| AST 5                    | —                                                               | 7               | —                                 | 7               | —                                 | 8               |
| AST 4                    | —                                                               | 5               | —                                 | 10              | —                                 | 8               |
| AST 3                    | —                                                               | 3               | —                                 | 2               | —                                 | 3               |
| AST 2                    | —                                                               | 1               | —                                 | 3               | —                                 | 1               |
| AST 1                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                               | 30              | —                                 | 29              | —                                 | 33              |
| AST/SC 6                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                               | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                               | <b>576</b>      | —                                 | <b>505</b>      | —                                 | <b>550</b>      |
| <b>Grand total</b>       | <b>576</b>                                                      | <b>576</b>      | <b>505</b>                        | <b>505</b>      | <b>550</b>                        | <b>550</b>      |

**European Union Agency for Law Enforcement Training (CEPOL)**

| Function group and grade | European Union Agency for Law Enforcement Training (CEPOL) |                 |                                   |                 |                                   |                 |
|--------------------------|------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                       |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                          |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                            | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                          | 1               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                                          | —               | —                                 | 1               | —                                 | 1               |
| AD 12                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AD 11                    | —                                                          | 2               | —                                 | —               | —                                 | 2               |
| AD 10                    | —                                                          | 2               | —                                 | 2               | —                                 | 2               |
| AD 9                     | —                                                          | 1               | —                                 | 3               | —                                 | 1               |
| AD 8                     | —                                                          | —               | —                                 | —               | —                                 | —               |
| AD 7                     | —                                                          | 2               | —                                 | 1               | —                                 | 2               |
| AD 6                     | —                                                          | 7               | —                                 | 1               | —                                 | 6               |
| AD 5                     | —                                                          | 6               | —                                 | 9               | —                                 | 6               |
| Subtotal AD              | —                                                          | 21              | —                                 | 17              | —                                 | 20              |
| AST 11                   | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                                          | 1               | —                                 | —               | —                                 | 1               |
| AST 5                    | —                                                          | 3               | —                                 | 2               | —                                 | 3               |
| AST 4                    | —                                                          | 6               | —                                 | 2               | —                                 | 6               |
| AST 3                    | —                                                          | 1               | —                                 | 4               | —                                 | 1               |
| AST 2                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                          | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                          | 11              | —                                 | 8               | —                                 | 11              |
| AST/SC 6                 | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                          | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                          | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                          | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                          | <b>32</b>       | —                                 | <b>25</b>       | —                                 | <b>31</b>       |
| <b>Grand total</b>       | <b>32</b>                                                  |                 | <b>25</b>                         |                 | <b>31</b>                         |                 |

## European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

| Function group and grade | European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) |                 |                                   |                 |                                   |                 |
|--------------------------|------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                             |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                                |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                  | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                                | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                                | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                                                | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | 1                                                                | 2               | 1                                 | 3               | 1                                 | 2               |
| AD 12                    | 4                                                                | 11              | 3                                 | 5               | 4                                 | 11              |
| AD 11                    | 1                                                                | 11              | —                                 | 6               | 1                                 | 11              |
| AD 10                    | —                                                                | 13              | —                                 | 2               | —                                 | 13              |
| AD 9                     | —                                                                | 6               | 1                                 | 5               | —                                 | 6               |
| AD 8                     | —                                                                | —               | 1                                 | 8               | —                                 | —               |
| AD 7                     | —                                                                | —               | —                                 | 8               | —                                 | —               |
| AD 6                     | —                                                                | —               | —                                 | 2               | —                                 | —               |
| AD 5                     | —                                                                | —               | —                                 | 2               | —                                 | —               |
| Subtotal AD              | 6                                                                | 45              | 6                                 | 42              | 6                                 | 45              |
| AST 11                   | 1                                                                | —               | —                                 | —               | 1                                 | —               |
| AST 10                   | —                                                                | 3               | —                                 | 1               | —                                 | 3               |
| AST 9                    | 1                                                                | 7               | —                                 | 3               | 1                                 | 7               |
| AST 8                    | 2                                                                | 7               | —                                 | 1               | 2                                 | 7               |
| AST 7                    | —                                                                | 4               | 1                                 | 2               | —                                 | 5               |
| AST 6                    | —                                                                | —               | —                                 | 8               | —                                 | —               |
| AST 5                    | —                                                                | —               | 1                                 | 6               | —                                 | —               |
| AST 4                    | —                                                                | —               | —                                 | 1               | —                                 | —               |
| AST 3                    | —                                                                | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                                                | —               | 1                                 | —               | —                                 | —               |
| AST 1                    | —                                                                | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | 4                                                                | 21              | 3                                 | 22              | 4                                 | 22              |
| AST/SC 6                 | —                                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                                | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                                | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                                | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>10</b>                                                        | <b>66</b>       | <b>9</b>                          | <b>64</b>       | <b>10</b>                         | <b>67</b>       |
| <b>Grand total</b>       | <b>76</b>                                                        |                 | <b>73</b>                         |                 | <b>77</b>                         |                 |

**European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice  
(eu-LISA)**

| Function group and grade | European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu-LISA) |                 |                                   |                 |                                   |                 |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                                                                                            |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                                                                                               |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                                                                                 | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                                                                                               | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                                                                                                               | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                                                                                               | 2               | —                                 | 2               | —                                 | 2               |
| AD 12                    | —                                                                                                                               | 3               | —                                 | 3               | —                                 | 3               |
| AD 11                    | —                                                                                                                               | 5               | —                                 | 3               | —                                 | 4               |
| AD 10                    | —                                                                                                                               | 8               | —                                 | 5               | —                                 | 6               |
| AD 9                     | —                                                                                                                               | 13              | —                                 | 9               | —                                 | 10              |
| AD 8                     | —                                                                                                                               | 17              | —                                 | 12              | —                                 | 17              |
| AD 7                     | —                                                                                                                               | 21              | —                                 | 13              | —                                 | 17              |
| AD 6                     | —                                                                                                                               | 12              | —                                 | 14              | —                                 | 13              |
| AD 5                     | —                                                                                                                               | 11              | —                                 | 10              | —                                 | 14              |
| Subtotal AD              | —                                                                                                                               | 94              | —                                 | 72              | —                                 | 88              |
| AST 11                   | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                                                                                               | 1               | —                                 | 1               | —                                 | 1               |
| AST 8                    | —                                                                                                                               | 2               | —                                 | 1               | —                                 | 2               |
| AST 7                    | —                                                                                                                               | 4               | —                                 | 2               | —                                 | 3               |
| AST 6                    | —                                                                                                                               | 9               | —                                 | 6               | —                                 | 8               |
| AST 5                    | —                                                                                                                               | 14              | —                                 | 12              | —                                 | 12              |
| AST 4                    | —                                                                                                                               | 11              | —                                 | 12              | —                                 | 14              |
| AST 3                    | —                                                                                                                               | 1               | —                                 | 9               | —                                 | 3               |
| AST 2                    | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                                                                                               | 42              | —                                 | 43              | —                                 | 43              |
| AST/SC 6                 | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                                                                                               | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                                                                                               | <b>136</b>      | —                                 | <b>115</b>      | —                                 | <b>131</b>      |
| <b>Grand total</b>       | <b>136</b>                                                                                                                      |                 | <b>115</b>                        |                 | <b>131</b>                        |                 |

### European Asylum Support Office (EASO)

| Function group and grade | European Asylum Support Office (EASO) |                 |                                   |                 |                                   |                 |
|--------------------------|---------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                  |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget     |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                       | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                     | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                     | 1               | —                                 | —               | —                                 | —               |
| AD 12                    | —                                     | 4               | —                                 | 4               | —                                 | 4               |
| AD 11                    | —                                     | 1               | —                                 | 1               | —                                 | 1               |
| AD 10                    | —                                     | 11              | —                                 | 6               | —                                 | 9               |
| AD 9                     | —                                     | 8               | —                                 | 5               | —                                 | 5               |
| AD 8                     | —                                     | 30              | —                                 | 9               | —                                 | 11              |
| AD 7                     | —                                     | 44              | —                                 | 28              | —                                 | 41              |
| AD 6                     | —                                     | 16              | —                                 | 5               | —                                 | 11              |
| AD 5                     | —                                     | 19              | —                                 | 10              | —                                 | 24              |
| Subtotal AD              | —                                     | 135             | —                                 | 69              | —                                 | 107             |
| AST 11                   | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                     | —               | —                                 | —               | —                                 | —               |
| AST 5                    | —                                     | 5               | —                                 | 2               | —                                 | 3               |
| AST 4                    | —                                     | 30              | —                                 | 6               | —                                 | 10              |
| AST 3                    | —                                     | 35              | —                                 | 5               | —                                 | 26              |
| AST 2                    | —                                     | 5               | —                                 | 2               | —                                 | 5               |
| AST 1                    | —                                     | 4               | —                                 | 2               | —                                 | 4               |
| Subtotal AST             | —                                     | 79              | —                                 | 17              | —                                 | 48              |
| AST/SC 6                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                     | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                     | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                     | <b>214</b>      | —                                 | <b>86</b>       | —                                 | <b>155</b>      |
| <b>Grand total</b>       |                                       | <b>214</b>      |                                   | <b>86</b>       |                                   | <b>155</b>      |

## Decentralised agencies — Language services

## Translation Centre for the Bodies of the European Union

| Function group and grade | Translation Centre for the Bodies of the European Union |                 |                                   |                 |                                   |                 |
|--------------------------|---------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                    |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                       |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                         | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                       | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | 1                                                       | —               | 1                                 | —               | 1                                 | —               |
| AD 12                    | 16                                                      | 10              | 4                                 | 5               | 14                                | 8               |
| AD 11                    | 9                                                       | 3               | 11                                | 5               | 10                                | 4               |
| AD 10                    | 6                                                       | 6               | 6                                 | 4               | 5                                 | 6               |
| AD 9                     | 4                                                       | 11              | 5                                 | 7               | 4                                 | 12              |
| AD 8                     | 3                                                       | 22              | 10                                | 11              | 6                                 | 18              |
| AD 7                     | 5                                                       | 19              | 3                                 | 16              | 4                                 | 18              |
| AD 6                     | 1                                                       | 16              | 4                                 | 24              | 1                                 | 21              |
| AD 5                     | —                                                       | 1               | —                                 | 16              | —                                 | —               |
| Subtotal AD              | 45                                                      | 89              | 44                                | 89              | 45                                | 88              |
| AST 11                   | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST 9                    | 5                                                       | —               | 3                                 | —               | 4                                 | —               |
| AST 8                    | 1                                                       | 2               | 3                                 | 2               | 2                                 | 1               |
| AST 7                    | 3                                                       | 3               | 2                                 | 2               | 3                                 | 3               |
| AST 6                    | 1                                                       | 5               | 1                                 | 2               | —                                 | 4               |
| AST 5                    | 2                                                       | 18              | 1                                 | 11              | 2                                 | 18              |
| AST 4                    | 1                                                       | 12              | 3                                 | 16              | 2                                 | 13              |
| AST 3                    | —                                                       | 5               | —                                 | 11              | —                                 | 8               |
| AST 2                    | —                                                       | —               | —                                 | 5               | —                                 | 1               |
| AST 1                    | —                                                       | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | 13                                                      | 45              | 13                                | 49              | 13                                | 48              |
| AST/SC 6                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                       | 1               | —                                 | —               | —                                 | 1               |
| AST/SC 1                 | —                                                       | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                       | 1               | —                                 | —               | —                                 | 1               |
| <b>Total</b>             | <b>58</b>                                               | <b>135</b>      | <b>57</b>                         | <b>138</b>      | <b>58</b>                         | <b>137</b>      |
| <b>Grand total</b>       | <b>193</b>                                              |                 | <b>195</b>                        |                 | <b>195</b>                        |                 |

## Decentralised agencies — Energy

## Agency for the Cooperation of Energy Regulators (ACER)

| Function group and grade | Agency for the Cooperation of Energy Regulators (ACER) |                 |                                   |                 |                                   |                 |
|--------------------------|--------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                   |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                      |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                        | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                      | 1               | —                                 | 1               | —                                 | 1               |
| AD 14                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AD 12                    | —                                                      | 4               | —                                 | 3               | —                                 | 4               |
| AD 11                    | —                                                      | 5               | —                                 | 2               | —                                 | 5               |
| AD 10                    | —                                                      | —               | —                                 | 2               | —                                 | —               |
| AD 9                     | —                                                      | 5               | —                                 | 3               | —                                 | 4               |
| AD 8                     | —                                                      | 11              | —                                 | 10              | —                                 | 11              |
| AD 7                     | —                                                      | 8               | —                                 | 6               | —                                 | 10              |
| AD 6                     | —                                                      | 10              | —                                 | 10              | —                                 | 7               |
| AD 5                     | —                                                      | 11              | —                                 | 11              | —                                 | 11              |
| Subtotal AD              | —                                                      | 55              | —                                 | 48              | —                                 | 53              |
| AST 11                   | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                                      | 1               | —                                 | —               | —                                 | 1               |
| AST 5                    | —                                                      | 2               | —                                 | 1               | —                                 | 4               |
| AST 4                    | —                                                      | 4               | —                                 | 4               | —                                 | 4               |
| AST 3                    | —                                                      | 5               | —                                 | 7               | —                                 | 6               |
| AST 2                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                      | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                      | 12              | —                                 | 12              | —                                 | 15              |
| AST/SC 6                 | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                      | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                      | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                      | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                      | <b>67</b>       | —                                 | <b>60</b>       | —                                 | <b>68</b>       |
| <b>Grand total</b>       | <b>67</b>                                              |                 | <b>60</b>                         |                 | <b>68</b>                         |                 |



## Decentralised agencies — Justice

## European Union Agency for Fundamental Rights (FRA)

| Function group and grade | European Union Agency for Fundamental Rights (FRA) |                 |                                   |                 |                                   |                 |
|--------------------------|----------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                               |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                  |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                    | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                  | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | —                                                  | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                  | 2               | —                                 | 1               | —                                 | 2               |
| AD 12                    | —                                                  | 6               | —                                 | —               | —                                 | 8               |
| AD 11                    | —                                                  | —               | —                                 | 5               | —                                 | —               |
| AD 10                    | —                                                  | 12              | —                                 | 2               | —                                 | 12              |
| AD 9                     | —                                                  | 12              | —                                 | 7               | —                                 | 11              |
| AD 8                     | —                                                  | 4               | —                                 | 8               | —                                 | 1               |
| AD 7                     | —                                                  | 6               | —                                 | 15              | —                                 | 7               |
| AD 6                     | —                                                  | 3               | —                                 | 6               | —                                 | 3               |
| AD 5                     | —                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                                  | 47              | —                                 | 45              | —                                 | 46              |
| AST 11                   | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                  | 1               | —                                 | —               | —                                 | 1               |
| AST 9                    | —                                                  | 4               | —                                 | —               | —                                 | 3               |
| AST 8                    | —                                                  | 3               | —                                 | 3               | —                                 | 3               |
| AST 7                    | —                                                  | 6               | —                                 | 3               | —                                 | 6               |
| AST 6                    | —                                                  | 10              | —                                 | 3               | —                                 | 12              |
| AST 5                    | —                                                  | —               | —                                 | 7               | —                                 | —               |
| AST 4                    | —                                                  | 1               | —                                 | 8               | —                                 | 1               |
| AST 3                    | —                                                  | —               | —                                 | 1               | —                                 | —               |
| AST 2                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                  | 25              | —                                 | 25              | —                                 | 26              |
| AST/SC 6                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                  | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                  | <b>72</b>       | —                                 | <b>70</b>       | —                                 | <b>72</b>       |
| <b>Grand total</b>       | <b>72</b>                                          |                 | <b>70</b>                         |                 | <b>72</b>                         |                 |

## European Institute for Gender Equality (EIGE)

| Function group and grade | European Institute for Gender Equality (EIGE) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                          |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget             |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                               | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AD 13                    | —                                             | 1               | —                                 | 1               | —                                 | 1               |
| AD 12                    | —                                             | 1               | —                                 | —               | —                                 | 1               |
| AD 11                    | —                                             | —               | —                                 | 1               | —                                 | —               |
| AD 10                    | —                                             | 2               | —                                 | 1               | —                                 | 1               |
| AD 9                     | —                                             | 3               | —                                 | 2               | —                                 | 3               |
| AD 8                     | —                                             | 3               | —                                 | 2               | —                                 | 3               |
| AD 7                     | —                                             | 6               | —                                 | 3               | —                                 | 5               |
| AD 6                     | —                                             | 5               | —                                 | 7               | —                                 | 5               |
| AD 5                     | —                                             | —               | —                                 | 4               | —                                 | 2               |
| Subtotal AD              | —                                             | 21              | —                                 | 21              | —                                 | 21              |
| AST 11                   | —                                             | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                             | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                             | 1               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                             | 1               | —                                 | 1               | —                                 | 2               |
| AST 6                    | —                                             | 3               | —                                 | 1               | —                                 | —               |
| AST 5                    | —                                             | 1               | —                                 | 4               | —                                 | 4               |
| AST 4                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AST 3                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                             | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                             | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                             | 6               | —                                 | 6               | —                                 | 6               |
| AST/SC 6                 | —                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                             | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                             | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                             | <b>27</b>       | —                                 | <b>27</b>       | —                                 | <b>27</b>       |
| <b>Grand total</b>       | <b>27</b>                                     |                 | <b>27</b>                         |                 | <b>27</b>                         |                 |

### The European Union's Judicial Cooperation Unit (Eurojust)

| Function group and grade | The European Union's Judicial Cooperation Unit (Eurojust) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                      |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                         |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                           | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                         | 1               | —                                 | —               | —                                 | 1               |
| AD 13                    | —                                                         | 1               | —                                 | 1               | —                                 | 1               |
| AD 12                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| AD 11                    | —                                                         | 3               | —                                 | —               | —                                 | —               |
| AD 10                    | —                                                         | 8               | —                                 | 6               | —                                 | 9               |
| AD 9                     | —                                                         | 13              | —                                 | 8               | —                                 | 10              |
| AD 8                     | —                                                         | 30              | —                                 | 18              | —                                 | 26              |
| AD 7                     | —                                                         | 32              | —                                 | 9               | —                                 | 30              |
| AD 6                     | —                                                         | 5               | —                                 | 25              | —                                 | 12              |
| AD 5                     | —                                                         | 3               | —                                 | 3               | —                                 | 4               |
| Subtotal AD              | —                                                         | 96              | —                                 | 70              | —                                 | 93              |
| AST 11                   | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                         | 1               | —                                 | 1               | —                                 | 1               |
| AST 8                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                         | —               | —                                 | 1               | —                                 | —               |
| AST 6                    | —                                                         | 4               | —                                 | 9               | —                                 | 3               |
| AST 5                    | —                                                         | 34              | —                                 | 42              | —                                 | 24              |
| AST 4                    | —                                                         | 51              | —                                 | 38              | —                                 | 54              |
| AST 3                    | —                                                         | 17              | —                                 | 33              | —                                 | 22              |
| AST 2                    | —                                                         | 6               | —                                 | 2               | —                                 | 11              |
| AST 1                    | —                                                         | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                         | 113             | —                                 | 126             | —                                 | 115             |
| AST/SC 6                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                         | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                         | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                         | <b>209</b>      | —                                 | <b>196</b>      | —                                 | <b>208</b>      |
| <b>Grand total</b>       |                                                           | <b>209</b>      |                                   | <b>196</b>      |                                   | <b>208</b>      |

## European joint undertakings

### European Joint Undertaking for ITER — Fusion for Energy (F4E)

| Function group and grade | European Joint Undertaking for ITER - Fusion for Energy (F4E) |                 |                                   |                 |                                   |                 |
|--------------------------|---------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                          |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                             |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                               | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                             | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                             | 1               | —                                 | —               | —                                 | 1               |
| AD 14                    | 3                                                             | 1               | —                                 | 1               | 1                                 | —               |
| AD 13                    | 14                                                            | 7               | 8                                 | 3               | 14                                | 5               |
| AD 12                    | 15                                                            | 17              | 9                                 | 1               | 17                                | 13              |
| AD 11                    | 4                                                             | 21              | 6                                 | 15              | 5                                 | 21              |
| AD 10                    | —                                                             | 26              | 2                                 | 22              | —                                 | 25              |
| AD 9                     | —                                                             | 35              | 1                                 | 30              | —                                 | 29              |
| AD 8                     | 1                                                             | 40              | 8                                 | 43              | 1                                 | 40              |
| AD 7                     | —                                                             | 28              | 2                                 | 32              | —                                 | 37              |
| AD 6                     | 1                                                             | 28              | —                                 | 36              | —                                 | 33              |
| AD 5                     | 1                                                             | —               | 1                                 | —               | 2                                 | —               |
| Subtotal AD              | 39                                                            | 204             | 37                                | 183             | 40                                | 204             |
| AST 11                   | 4                                                             | —               | —                                 | —               | 4                                 | —               |
| AST 10                   | 2                                                             | —               | 1                                 | —               | 2                                 | —               |
| AST 9                    | 3                                                             | —               | 1                                 | —               | 3                                 | —               |
| AST 8                    | 2                                                             | 1               | 2                                 | —               | 1                                 | —               |
| AST 7                    | 1                                                             | 1               | 2                                 | —               | 2                                 | 1               |
| AST 6                    | —                                                             | 8               | 3                                 | 1               | —                                 | 5               |
| AST 5                    | —                                                             | 12              | —                                 | 9               | —                                 | 14              |
| AST 4                    | —                                                             | 5               | 3                                 | 7               | —                                 | 7               |
| AST 3                    | —                                                             | 1               | 1                                 | 10              | —                                 | —               |
| AST 2                    | —                                                             | —               | 2                                 | —               | —                                 | —               |
| AST 1                    | —                                                             | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | 12                                                            | 28              | 15                                | 27              | 12                                | 27              |
| AST/SC 6                 | —                                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                             | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                             | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                             | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | <b>51</b>                                                     | <b>232</b>      | <b>52</b>                         | <b>210</b>      | <b>52</b>                         | <b>231</b>      |
| <b>Grand total</b>       | <b>283</b>                                                    |                 | <b>262</b>                        |                 | <b>283</b>                        |                 |

### Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)

| Function group and grade | Single European Sky Air Traffic Management Research Joint Undertaking (SESAR) |                 |                                   |                 |                                   |                  |
|--------------------------|-------------------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|------------------|
|                          | 2018                                                                          |                 | 2017                              |                 |                                   |                  |
|                          | Authorized under the Union budget                                             |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                  |
|                          | Permanent posts                                                               | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts  |
| AD 16                    | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AD 15                    | —                                                                             | 1               | —                                 | —               | —                                 | 1 <sup>(1)</sup> |
| AD 14                    | —                                                                             | —               | —                                 | 1               | —                                 | —                |
| AD 13                    | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AD 12                    | —                                                                             | 4               | —                                 | 3               | —                                 | 4                |
| AD 11                    | —                                                                             | 3               | —                                 | —               | —                                 | 2                |
| AD 10                    | —                                                                             | 2               | —                                 | 4               | —                                 | 2                |
| AD 9                     | —                                                                             | 3               | —                                 | 1               | —                                 | 3                |
| AD 8                     | —                                                                             | 6               | —                                 | 4               | —                                 | 6                |
| AD 7                     | —                                                                             | 6               | —                                 | 4               | —                                 | 5                |
| AD 6                     | —                                                                             | 7               | —                                 | 5               | —                                 | 9                |
| AD 5                     | —                                                                             | 1               | —                                 | 10              | —                                 | 1                |
| Subtotal AD              | —                                                                             | 33              | —                                 | 32              | —                                 | 33               |
| AST 11                   | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST 10                   | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST 9                    | —                                                                             | 1               | —                                 | —               | —                                 | —                |
| AST 8                    | —                                                                             | —               | —                                 | —               | —                                 | 1                |
| AST 7                    | —                                                                             | 1               | —                                 | 1               | —                                 | 1                |
| AST 6                    | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST 5                    | —                                                                             | —               | —                                 | 1               | —                                 | —                |
| AST 4                    | —                                                                             | 2               | —                                 | —               | —                                 | 1                |
| AST 3                    | —                                                                             | 1               | —                                 | 2               | —                                 | 2                |
| AST 2                    | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST 1                    | —                                                                             | 1               | —                                 | 2               | —                                 | 1                |
| Subtotal AST             | —                                                                             | 6               | —                                 | 6               | —                                 | 6                |
| AST/SC 6                 | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST/SC 5                 | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST/SC 4                 | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST/SC 3                 | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST/SC 2                 | —                                                                             | —               | —                                 | —               | —                                 | —                |
| AST/SC 1                 | —                                                                             | —               | —                                 | —               | —                                 | —                |
| Subtotal AST/SC          | —                                                                             | —               | —                                 | —               | —                                 | —                |
| <b>Total</b>             | —                                                                             | <b>39</b>       | —                                 | <b>38</b>       | —                                 | <b>39</b>        |
| <b>Grand total</b>       | <b>39</b>                                                                     |                 | <b>38</b>                         |                 | <b>39</b>                         |                  |

<sup>(1)</sup> The reclassification of SESAR JU Director to AD15 *ad personam* is subject to the approval of the budget authority.

### European Institute of Innovation and Technology (EIT)

| Function group and grade | European Institute of Innovation and Technology (EIT) |                 |                                   |                 |                                   |                 |
|--------------------------|-------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                  |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                     |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                       | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                     | 1               | —                                 | —               | —                                 | 1               |
| AD 13                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AD 12                    | —                                                     | 1               | —                                 | —               | —                                 | —               |
| AD 11                    | —                                                     | —               | —                                 | 1               | —                                 | 1               |
| AD 10                    | —                                                     | 3               | —                                 | —               | —                                 | 1               |
| AD 9                     | —                                                     | 9               | —                                 | 5               | —                                 | 8               |
| AD 8                     | —                                                     | 9               | —                                 | 5               | —                                 | 5               |
| AD 7                     | —                                                     | 9               | —                                 | 8               | —                                 | 13              |
| AD 6                     | —                                                     | 7               | —                                 | 13              | —                                 | 7               |
| AD 5                     | —                                                     | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                                     | 39              | —                                 | 32              | —                                 | 36              |
| AST 11                   | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 5                    | —                                                     | 1               | —                                 | 1               | —                                 | 1               |
| AST 4                    | —                                                     | 3               | —                                 | 2               | —                                 | 3               |
| AST 3                    | —                                                     | 1               | —                                 | 1               | —                                 | 1               |
| AST 2                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                     | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                     | 5               | —                                 | 4               | —                                 | 5               |
| AST/SC 6                 | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                     | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                     | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                     | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                     | <b>44</b>       | —                                 | <b>36</b>       | —                                 | <b>41</b>       |
| <b>Grand total</b>       |                                                       | <b>44</b>       |                                   | <b>36</b>       |                                   | <b>41</b>       |

## Executive agencies

### Executive Agency for Small and Medium-sized Enterprises (EASME)

| Function group and grade | Executive Agency for Small and Medium-sized Enterprises (EASME) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                            |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                               |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                 | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                               | 5               | —                                 | 4               | —                                 | 4               |
| AD 13                    | —                                                               | 7               | —                                 | 5               | —                                 | 7               |
| AD 12                    | —                                                               | 6               | —                                 | 2               | —                                 | 6               |
| AD 11                    | —                                                               | 6               | —                                 | 5               | —                                 | 6               |
| AD 10                    | —                                                               | 6               | —                                 | 4               | —                                 | 6               |
| AD 9                     | —                                                               | 16              | —                                 | 13              | —                                 | 13              |
| AD 8                     | —                                                               | 7               | —                                 | 5               | —                                 | 10              |
| AD 7                     | —                                                               | 9               | —                                 | 4               | —                                 | 10              |
| AD 6                     | —                                                               | 11              | —                                 | 5               | —                                 | 10              |
| AD 5                     | —                                                               | 27              | —                                 | 32              | —                                 | 20              |
| Subtotal AD              | —                                                               | 100             | —                                 | 79              | —                                 | 92              |
| AST 11                   | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                               | 2               | —                                 | —               | —                                 | 2               |
| AST 6                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 5                    | —                                                               | 5               | —                                 | 2               | —                                 | 4               |
| AST 4                    | —                                                               | 6               | —                                 | 12              | —                                 | 9               |
| AST 3                    | —                                                               | 2               | —                                 | 1               | —                                 | 3               |
| AST 2                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                               | 15              | —                                 | 15              | —                                 | 18              |
| AST/SC 6                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                               | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                               | <b>115</b>      | —                                 | <b>94</b>       | —                                 | <b>110</b>      |
| <b>Grand total</b>       |                                                                 | <b>115</b>      |                                   | <b>94</b>       |                                   | <b>110</b>      |

**Education, Audiovisual and Culture Executive Agency (EACEA)**

| Function group and grade | Executive Agency for Education, Audiovisual and Culture (EACEA) |                 |                                   |                 |                                   |                 |
|--------------------------|-----------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                            |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                               |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                 | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                               | 7               | —                                 | 2               | —                                 | 5               |
| AD 13                    | —                                                               | 7               | —                                 | 9               | —                                 | 8               |
| AD 12                    | —                                                               | 5               | —                                 | 2               | —                                 | 5               |
| AD 11                    | —                                                               | 12              | —                                 | 11              | —                                 | 12              |
| AD 10                    | —                                                               | 15              | —                                 | 9               | —                                 | 11              |
| AD 9                     | —                                                               | 12              | —                                 | 19              | —                                 | 14              |
| AD 8                     | —                                                               | 9               | —                                 | 8               | —                                 | 8               |
| AD 7                     | —                                                               | 7               | —                                 | 6               | —                                 | 8               |
| AD 6                     | —                                                               | 5               | —                                 | 6               | —                                 | 7               |
| AD 5                     | —                                                               | 2               | —                                 | 3               | —                                 | 3               |
| Subtotal AD              | —                                                               | 81              | —                                 | 75              | —                                 | 81              |
| AST 11                   | —                                                               | 1               | —                                 | —               | —                                 | 1               |
| AST 10                   | —                                                               | 2               | —                                 | 1               | —                                 | 2               |
| AST 9                    | —                                                               | —               | —                                 | 1               | —                                 | —               |
| AST 8                    | —                                                               | 1               | —                                 | 1               | —                                 | 1               |
| AST 7                    | —                                                               | 2               | —                                 | —               | —                                 | 3               |
| AST 6                    | —                                                               | 6               | —                                 | 3               | —                                 | 5               |
| AST 5                    | —                                                               | 11              | —                                 | 11              | —                                 | 9               |
| AST 4                    | —                                                               | 5               | —                                 | 9               | —                                 | 5               |
| AST 3                    | —                                                               | —               | —                                 | 3               | —                                 | 3               |
| AST 2                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                               | 28              | —                                 | 29              | —                                 | 29              |
| AST/SC 6                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                               | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                               | <b>109</b>      | —                                 | <b>104</b>      | —                                 | <b>110</b>      |
| <b>Grand total</b>       |                                                                 | <b>109</b>      |                                   | <b>104</b>      |                                   | <b>110</b>      |



## Consumers, Health, Agriculture and Food Executive Agency (Chafea)

| Function group and grade | Consumers, Health, Agriculture and Food Executive Agency (Chafea) |                 |                                   |                 |                                   |                 |
|--------------------------|-------------------------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                                              |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                                 |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AD 14                    | —                                                                 | 1               | —                                 | 1               | —                                 | 1               |
| AD 13                    | —                                                                 | 1               | —                                 | 1               | —                                 | 1               |
| AD 12                    | —                                                                 | 4               | —                                 | 2               | —                                 | 3               |
| AD 11                    | —                                                                 | 1               | —                                 | 2               | —                                 | 2               |
| AD 10                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AD 9                     | —                                                                 | 1               | —                                 | —               | —                                 | —               |
| AD 8                     | —                                                                 | —               | —                                 | 1               | —                                 | 1               |
| AD 7                     | —                                                                 | 3               | —                                 | 1               | —                                 | 2               |
| AD 6                     | —                                                                 | 2               | —                                 | 1               | —                                 | 2               |
| AD 5                     | —                                                                 | 3               | —                                 | 3               | —                                 | 3               |
| Subtotal AD              | —                                                                 | 16              | —                                 | 12              | —                                 | 15              |
| AST 11                   | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                                 | 1               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                                 | —               | —                                 | 1               | —                                 | 1               |
| AST 6                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST 5                    | —                                                                 | 1               | —                                 | —               | —                                 | 1               |
| AST 4                    | —                                                                 | —               | —                                 | 1               | —                                 | —               |
| AST 3                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                                 | 2               | —                                 | 2               | —                                 | 2               |
| AST/SC 6                 | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                                 | <b>18</b>       | —                                 | <b>14</b>       | —                                 | <b>17</b>       |
| <b>Grand total</b>       |                                                                   | <b>18</b>       |                                   | <b>14</b>       |                                   | <b>17</b>       |

**Innovation and Networks Executive Agency (INEA)**

| Function group and grade | Innovation and Networks Executive Agency (INEA) |                 |                                   |                 |                                   |                 |
|--------------------------|-------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                            |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget               |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                 | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                               | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                               | —               | —                                 | —               | —                                 | —               |
| ( <sup>1</sup> )         | —                                               | 7               | —                                 | 7               | —                                 | 7               |
| AD 13                    | —                                               | 9               | —                                 | 6               | —                                 | 9               |
| AD 12                    | —                                               | 5               | —                                 | 3               | —                                 | 5               |
| AD 11                    | —                                               | 5               | —                                 | 4               | —                                 | 4               |
| AD 10                    | —                                               | 5               | —                                 | 3               | —                                 | 4               |
| AD 9                     | —                                               | 7               | —                                 | 4               | —                                 | 5               |
| AD 8                     | —                                               | 10              | —                                 | 8               | —                                 | 9               |
| AD 7                     | —                                               | 9               | —                                 | 10              | —                                 | 12              |
| AD 6                     | —                                               | 2               | —                                 | 1               | —                                 | 2               |
| AD 5                     | —                                               | 2               | —                                 | 1               | —                                 | 1               |
| Subtotal AD              | —                                               | 61              | —                                 | 47              | —                                 | 58              |
| AST 11                   | —                                               | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                               | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                               | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                               | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                               | 1               | —                                 | 1               | —                                 | 1               |
| AST 6                    | —                                               | 1               | —                                 | —               | —                                 | —               |
| AST 5                    | —                                               | 2               | —                                 | 2               | —                                 | 2               |
| AST 4                    | —                                               | 4               | —                                 | 2               | —                                 | 3               |
| AST 3                    | —                                               | 2               | —                                 | 5               | —                                 | 4               |
| AST 2                    | —                                               | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                               | 10              | —                                 | 10              | —                                 | 10              |
| AST/SC 6                 | —                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                               | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                               | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                               | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                               | 71              | —                                 | 57              | —                                 | 68              |
| <b>Grand total</b>       | 71                                              |                 | 57                                |                 | 68                                |                 |

(<sup>1</sup>) The establishment plan accepts the following *ad personam* appointment: one AD 14 official may become AD 15.

## European Research Council Executive Agency (ERCEA)

| Function group and grade | European Research Council Executive Agency (ERCEA) |                 |                                   |                 |                                   |                 |
|--------------------------|----------------------------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                          | 2018                                               |                 | 2017                              |                 |                                   |                 |
|                          | Authorized under the Union budget                  |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                          | Permanent posts                                    | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AD 15                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| ( <sup>1</sup> )         | —                                                  | 6               | —                                 | 2               | —                                 | 6               |
| AD 13                    | —                                                  | 9               | —                                 | 10              | —                                 | 9               |
| AD 12                    | —                                                  | 3               | —                                 | 6               | —                                 | 2               |
| AD 11                    | —                                                  | 3               | —                                 | 1               | —                                 | 3               |
| AD 10                    | —                                                  | 19              | —                                 | 4               | —                                 | 10              |
| AD 9                     | —                                                  | 41              | —                                 | 36              | —                                 | 43              |
| AD 8                     | —                                                  | 18              | —                                 | 30              | —                                 | 23              |
| AD 7                     | —                                                  | 8               | —                                 | 9               | —                                 | 6               |
| AD 6                     | —                                                  | 15              | —                                 | 14              | —                                 | 16              |
| AD 5                     | —                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AD              | —                                                  | 122             | —                                 | 112             | —                                 | 118             |
| AST 11                   | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 10                   | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 9                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 8                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 7                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 6                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 5                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 4                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 3                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 2                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST 1                    | —                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST             | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 6                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                 | —                                                  | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC          | —                                                  | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>             | —                                                  | <b>122</b>      | —                                 | <b>112</b>      | —                                 | <b>118</b>      |
| <b>Grand total</b>       | <b>122</b>                                         |                 | <b>112</b>                        |                 | <b>118</b>                        |                 |

(<sup>1</sup>) The establishment plan accepts the following *ad personam* appointment: one AD14 official may become AD15.

## Research Executive Agency (REA)

| Function group and grade          | Research Executive Agency (REA)   |                 |                                   |                 |                                   |                 |
|-----------------------------------|-----------------------------------|-----------------|-----------------------------------|-----------------|-----------------------------------|-----------------|
|                                   | 2018                              |                 | 2017                              |                 |                                   |                 |
|                                   | Authorized under the Union budget |                 | Actually filled as at 31 December |                 | Authorized under the Union budget |                 |
|                                   | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts | Permanent posts                   | Temporary posts |
| AD 16                             | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 15                             | —                                 | —               | —                                 | —               | —                                 | —               |
| AD 14                             | —                                 | 8               | —                                 | 5               | —                                 | 6               |
| AD 13                             | —                                 | 11              | —                                 | 10              | —                                 | 11              |
| AD 12                             | —                                 | 12              | —                                 | 3               | —                                 | 9               |
| AD 11                             | —                                 | 11              | —                                 | 5               | —                                 | 8               |
| AD 10                             | —                                 | 16              | —                                 | 7               | —                                 | 12              |
| AD 9                              | —                                 | 25              | —                                 | 16              | —                                 | 19              |
| AD 8                              | —                                 | 28              | —                                 | 20              | —                                 | 28              |
| AD 7                              | —                                 | 32              | —                                 | 28              | —                                 | 33              |
| AD 6                              | —                                 | 28              | —                                 | 30              | —                                 | 29              |
| AD 5                              | —                                 | —               | —                                 | 12              | —                                 | 2               |
| Subtotal AD                       | —                                 | 171             | —                                 | 136             | —                                 | 157             |
| AST 11                            | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 10                            | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 9                             | —                                 | 3               | —                                 | —               | —                                 | 2               |
| AST 8                             | —                                 | 3               | —                                 | 2               | —                                 | 2               |
| AST 7                             | —                                 | 2               | —                                 | —               | —                                 | 2               |
| AST 6                             | —                                 | —               | —                                 | 2               | —                                 | 2               |
| AST 5                             | —                                 | —               | —                                 | 5               | —                                 | 2               |
| AST 4                             | —                                 | —               | —                                 | 1               | —                                 | —               |
| AST 3                             | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 2                             | —                                 | —               | —                                 | —               | —                                 | —               |
| AST 1                             | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST                      | —                                 | 8               | —                                 | 10              | —                                 | 10              |
| AST/SC 6                          | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 5                          | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 4                          | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 3                          | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 2                          | —                                 | —               | —                                 | —               | —                                 | —               |
| AST/SC 1                          | —                                 | —               | —                                 | —               | —                                 | —               |
| Subtotal AST/SC                   | —                                 | —               | —                                 | —               | —                                 | —               |
| <b>Total</b>                      | —                                 | <b>179</b>      | —                                 | <b>146</b>      | —                                 | <b>167</b>      |
| <b>Grand total <sup>(1)</sup></b> |                                   | <b>179</b>      |                                   | <b>146</b>      |                                   | <b>167</b>      |

(1) The establishment plan accepts the following *ad personam* appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

*SECTION IV*

**COURT OF JUSTICE OF THE EUROPEAN UNION**

COURT OF JUSTICE OF THE EUROPEAN UNION

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
Court of Justice for the financial year 2018**

| Heading                 | Amount             |
|-------------------------|--------------------|
| Expenditure             | 410 025 089        |
| Own resources           | - 55 505 000       |
| <b>Contribution due</b> | <b>354 520 089</b> |

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016  | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|----------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                      |                     |                     |                      |              |
| 4 0 0        | <i>Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i> | 29 190 000          | 28 312 000          | 25 244 159,20        | 86,48        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>               | p.m.                | p.m.                | 0,—                  |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>   | 7 417 000           | 6 172 000           | 4 474 812,11         | 60,33        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                              | 36 607 000          | 34 484 000          | 29 718 971,31        | 81,18        |
|              | CHAPTER 4 1                                                                                                                                                      |                     |                     |                      |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                 | 18 898 000          | 19 111 000          | 18 041 688,55        | 95,47        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                           | p.m.                | p.m.                | 1 284 932,51         |              |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                         | p.m.                | p.m.                | 6 583,79             |              |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                              | 18 898 000          | 19 111 000          | 19 333 204,85        | 102,30       |
|              | <b>Title 4 — Total</b>                                                                                                                                           | <b>55 505 000</b>   | <b>53 595 000</b>   | <b>49 052 176,16</b> | <b>88,37</b> |

COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 29 190 000          | 28 312 000          | 25 244 159,20       |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 7 417 000           | 6 172 000           | 4 474 812,11        |



**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 18 898 000          | 19 111 000          | 18 041 688,55       |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 284 932,51        |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 11(2) and Article 48 of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 6 583,79            |

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTINGS

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

| Article Item | Heading                                                                                                                                                                                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                                                                              |                     |                     |                     |             |
| <b>5 0 0</b> | <b>Proceeds from the sale of movable property</b>                                                                                                                                                                                                        |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                                                                                                      | p.m.                | p.m.                | 125 406,32          |             |
|              | Article 5 0 0 — Total                                                                                                                                                                                                                                    | p.m.                | p.m.                | 125 406,32          |             |
| <b>5 0 1</b> | <b>Proceeds from the sale of immovable property</b>                                                                                                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b>Proceeds from the sale of publications, printed works and films — Assigned revenue</b>                                                                                                                                                                | p.m.                | p.m.                | 40 000,—            |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                                                                      | p.m.                | p.m.                | 165 406,32          |             |
|              | CHAPTER 5 1                                                                                                                                                                                                                                              |                     |                     |                     |             |
| <b>5 1 1</b> | <b>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</b>                                                                                                                                      |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
|              | Article 5 1 1 — Total                                                                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2                                                                                                                                                                                                                                              |                     |                     |                     |             |
| <b>5 2 0</b> | <b>Revenue from investments or loans granted, bank and other interest on the institution's accounts</b>                                                                                                                                                  | p.m.                | p.m.                | 13,16               |             |
| <b>5 2 2</b> | <b>Interest yielded by pre-financing</b>                                                                                                                                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                                                                      | p.m.                | p.m.                | 13,16               |             |
|              | CHAPTER 5 5                                                                                                                                                                                                                                              |                     |                     |                     |             |
| <b>5 5 0</b> | <b>Revenue from the proceeds of services supplied to or work carried out for other institutions or bodies, including the amount of mission allowances paid on behalf of other institutions or bodies and reimbursed by the latter — Assigned revenue</b> | p.m.                | p.m.                | 0,—                 |             |
| <b>5 5 1</b> | <b>Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue</b>                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION**

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 7                                                                                                                                                                                      |                     |                     |                     |             |
| 5 7 0        | <i>Revenue arising from the repayment of sums paid though not due — Assigned revenue</i>                                                                                                         | p.m.                | p.m.                | 27 011,05           |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                     | p.m.                | p.m.                | 561 459,66          |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 588 470,71          |             |
|              | CHAPTER 5 8                                                                                                                                                                                      |                     |                     |                     |             |
| 5 8 0        | <i>Revenue from payments connected with lettings — Assigned revenue</i>                                                                                                                          | p.m.                | p.m.                | 0,—                 |             |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                               | p.m.                | p.m.                | 71 370,62           |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 71 370,62           |             |
|              | CHAPTER 5 9                                                                                                                                                                                      |                     |                     |                     |             |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                           | <b>p.m.</b>         | <b>p.m.</b>         | <b>825 260,81</b>   |             |

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## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It records also the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 125 406,32          |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 0 1 *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 40 000,—            |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 1 — PROCEEDS FROM LETTINGS

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*

## 5 1 1 0 Proceeds from letting and subletting immovable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the original expenditure giving rise to the corresponding revenue.

## 5 1 1 1 Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the original expenditure giving rise to the corresponding revenue.

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

5 2 0 *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 13,16               |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest.

5 2 2 *Interest yielded by pre-financing*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

5 5 0 *Revenue from the proceeds of services supplied to or work carried out for other institutions or bodies, including the amount of mission allowances paid on behalf of other institutions or bodies and reimbursed by the latter — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

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CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT (*cont'd*)5 5 0 (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 **Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 **Revenue arising from the repayment of sums paid though not due — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 27 011,05           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 7 1 **Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (cont'd)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 561 459,66          |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue from payments connected with lettings — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 71 370,62           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

**5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record other revenue from administrative operations.

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## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 9 0 — MISCELLANEOUS REVENUE

| Article Item | Heading                      | Financial year 2018 | Financial year 2017 | Financial year 2016  | % 2016/2018  |
|--------------|------------------------------|---------------------|---------------------|----------------------|--------------|
| 9 0 0        | CHAPTER 9 0                  |                     |                     |                      |              |
|              | <i>Miscellaneous revenue</i> | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 9 0 — TOTAL          | p.m.                | p.m.                | 0,—                  |              |
|              |                              |                     |                     |                      |              |
|              | <b>Title 9 — Total</b>       | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |              |
|              | <b>GRAND TOTAL</b>           | <b>55 505 000</b>   | <b>53 595 000</b>   | <b>49 877 436,97</b> | <b>89,86</b> |



**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0***Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

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**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                            | Appropriations 2018 | Appropriations 2017 | Outturn 2016          |
|------------------|------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|
| <b>1</b>         | <b>PERSONS WORKING WITH THE INSTITUTION</b>                                        |                     |                     |                       |
| 1 0              | MEMBERS OF THE INSTITUTION                                                         | 34 608 700          | 33 493 500          | 30 329 098,12         |
| 1 2              | OFFICIALS AND TEMPORARY STAFF                                                      | 254 579 000         | 249 717 500         | 225 901 709,22        |
| 1 4              | OTHER STAFF AND EXTERNAL SERVICES                                                  | 23 740 000          | 22 985 500          | 20 649 295,78         |
| 1 6              | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION                 | 6 236 500           | 6 140 000           | 5 989 075,59          |
|                  | <b>Title 1 — Total</b>                                                             | <b>319 164 200</b>  | <b>312 336 500</b>  | <b>282 869 178,71</b> |
| <b>2</b>         | <b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>     |                     |                     |                       |
| 2 0              | BUILDINGS AND ASSOCIATED COSTS                                                     | 64 492 389          | 61 288 000          | 64 742 188,16         |
| 2 1              | DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE    | 21 670 000          | 20 944 000          | 21 519 393,96         |
| 2 3              | CURRENT ADMINISTRATIVE EXPENDITURE                                                 | 1 377 500           | 1 336 000           | 1 157 473,20          |
| 2 5              | EXPENDITURE ON MEETINGS AND CONFERENCES                                            | 522 000             | 521 500             | 498 003,91            |
| 2 7              | INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION                   | 2 740 000           | 2 859 000           | 2 477 929,47          |
|                  | <b>Title 2 — Total</b>                                                             | <b>90 801 889</b>   | <b>86 948 500</b>   | <b>90 394 988,70</b>  |
| <b>3</b>         | <b>EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b> |                     |                     |                       |
| 3 7              | EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES                            | 59 000              | 59 000              | 7 000,—               |
|                  | <b>Title 3 — Total</b>                                                             | <b>59 000</b>       | <b>59 000</b>       | <b>7 000,—</b>        |
| <b>10</b>        | <b>OTHER EXPENDITURE</b>                                                           |                     |                     |                       |
| 10 0             | PROVISIONAL APPROPRIATIONS                                                         | p.m.                | p.m.                | 0,—                   |
| 10 1             | CONTINGENCY RESERVE                                                                | p.m.                | p.m.                | 0,—                   |
|                  | <b>Title 10 — Total</b>                                                            | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>            |
|                  | <b>GRAND TOTAL</b>                                                                 | <b>410 025 089</b>  | <b>399 344 000</b>  | <b>373 271 167,41</b> |

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

| Article Item | Heading                                                                        | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------|---------------------|---------------------|----------------|-------------|
|              | CHAPTER 1 0                                                                    |                     |                     |                |             |
| <b>1 0 0</b> | <b>Remunerations and other entitlements</b>                                    |                     |                     |                |             |
| 1 0 0 0      | Remunerations and allowances                                                   |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 29 748 000          | 29 148 000          | 26 253 205,77  | 88,25       |
| 1 0 0 2      | Entitlements on entering the service, transfer and leaving the service         |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 1 287 600           | 422 000             | 1 335 000,—    | 103,68      |
|              | <i>Article 1 0 0 — Total</i>                                                   | 31 035 600          | 29 570 000          | 27 588 205,77  | 88,89       |
| <b>1 0 2</b> | <b>Temporary allowances</b>                                                    |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 2 691 600           | 3 042 000           | 2 155 679,60   | 80,09       |
| <b>1 0 4</b> | <b>Missions</b>                                                                |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 342 000             | 342 000             | 322 281,52     | 94,23       |
| <b>1 0 6</b> | <b>Training</b>                                                                |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 539 500             | 539 500             | 262 931,23     | 48,74       |
| <b>1 0 9</b> | <b>Provisional appropriation</b>                                               |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | p.m.                | p.m.                | 0,—            |             |
|              | <b>CHAPTER 1 0 — TOTAL</b>                                                     | 34 608 700          | 33 493 500          | 30 329 098,12  | 87,63       |
|              | CHAPTER 1 2                                                                    |                     |                     |                |             |
| <b>1 2 0</b> | <b>Remunerations and other entitlements</b>                                    |                     |                     |                |             |
| 1 2 0 0      | Remunerations and allowances                                                   |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 251 616 000         | 246 665 000         | 223 279 267,85 | 88,74       |
| 1 2 0 2      | Paid overtime                                                                  |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 701 200             | 685 000             | 646 971,89     | 92,27       |
| 1 2 0 4      | Entitlements related to entering the service, transfer and leaving the service |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 2 031 800           | 2 137 500           | 1 975 469,48   | 97,23       |
|              | <i>Article 1 2 0 — Total</i>                                                   | 254 349 000         | 249 487 500         | 225 901 709,22 | 88,82       |
| <b>1 2 2</b> | <b>Allowances upon early termination of service</b>                            |                     |                     |                |             |
| 1 2 2 0      | Allowances for staff retired in the interests of the service                   |                     |                     |                |             |
|              | Non-differentiated appropriations                                              | 230 000             | 230 000             | 0,—            |             |

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**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)****CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                                                                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|----------------|-------------|
| <b>1 2 2</b> | <i>(cont'd)</i>                                                                                                  |                     |                     |                |             |
| 1 2 2 2      | Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—            |             |
|              | <i>Article 1 2 2 — Total</i>                                                                                     | 230 000             | 230 000             | 0,—            |             |
| <b>1 2 9</b> | <b>Provisional appropriation</b>                                                                                 |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—            |             |
|              | <b>CHAPTER 1 2 — TOTAL</b>                                                                                       | 254 579 000         | 249 717 500         | 225 901 709,22 | 88,74       |
|              | CHAPTER 1 4                                                                                                      |                     |                     |                |             |
| <b>1 4 0</b> | <b>Other staff and external persons</b>                                                                          |                     |                     |                |             |
| 1 4 0 0      | Other staff                                                                                                      |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 7 988 200           | 7 323 500           | 6 174 871,76   | 77,30       |
| 1 4 0 4      | In-service training and staff exchanges                                                                          |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 895 300             | 808 000             | 682 000,—      | 76,18       |
| 1 4 0 5      | Other external services                                                                                          |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 245 000             | 242 500             | 221 640,—      | 90,47       |
| 1 4 0 6      | External services in the linguistic field                                                                        |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 14 611 500          | 14 611 500          | 13 570 784,02  | 92,88       |
|              | <i>Article 1 4 0 — Total</i>                                                                                     | 23 740 000          | 22 985 500          | 20 649 295,78  | 86,98       |
| <b>1 4 9</b> | <b>Provisional appropriation</b>                                                                                 |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—            |             |
|              | <b>CHAPTER 1 4 — TOTAL</b>                                                                                       | 23 740 000          | 22 985 500          | 20 649 295,78  | 86,98       |
|              | CHAPTER 1 6                                                                                                      |                     |                     |                |             |
| <b>1 6 1</b> | <b>Expenditure relating to staff management</b>                                                                  |                     |                     |                |             |
| 1 6 1 0      | Miscellaneous expenditure for staff recruitment                                                                  |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 180 500             | 197 000             | 150 825,61     | 83,56       |
| 1 6 1 2      | Further training                                                                                                 |                     |                     |                |             |
|              | Non-differentiated appropriations                                                                                | 1 739 500           | 1 689 500           | 1 603 192,11   | 92,16       |
|              | <i>Article 1 6 1 — Total</i>                                                                                     | 1 920 000           | 1 886 500           | 1 754 017,72   | 91,36       |



COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 **Remunerations and other entitlements**

## 1 0 0 0 Remunerations and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 29 748 000          | 29 148 000          | 26 253 205,77 |

*Remarks*

This appropriation is intended to cover, for the Members of the institution:

- basic salaries,
- residence allowances,
- family allowances, namely household allowance, dependent child allowance and education allowance,
- entertainment and service allowances,
- employer's contribution (0,87 %) for insurance against accidents and occupational disease, employer's contribution (3,4 %) for sickness insurance,
- birth grants,
- allowances provided for in the event of death of a Member of the institution,
- weightings applicable to basic salaries, residence allowances, family allowances and transfers abroad of part of the remuneration of Members of the institution (application, by analogy, of Article 17 of Annex VII to the Staff Regulations of Officials of the European Union).

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 3, 4, 4a, 11 and 14 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)

## 1 0 0 2 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 287 600           | 422 000             | 1 335 000,—  |

*Remarks*

This appropriation is intended to cover:

- travel expenses of Members of the institution (including family members) on entering the service or leaving the institution,
- installation and resettlement allowances payable to Members of the institution on taking up or leaving their appointments,
- removal expenses payable to Members of the institution on taking up or leaving their appointments.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**1 0 2** *Temporary allowances*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 691 600           | 3 042 000           | 2 155 679,60 |

*Remarks*

This appropriation is intended to cover temporary allowances, family allowances and weightings applicable for the country of residence of former Members of the institution.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

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CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 2 (*cont'd*)

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 4 **Missions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 342 000             | 342 000             | 322 281,52   |

*Remarks*

This appropriation is intended to cover travel expenses, daily subsistence allowances and ancillary or special costs incurred for missions.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 6 **Training**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 539 500             | 539 500             | 262 931,23   |

*Remarks*

This appropriation is intended to cover the costs of language or other training courses for Members of the institution.

1 0 9 **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |



**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 9** (*cont'd*)*Remarks*

This appropriation is intended to cover the effect of any updates of remuneration in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF***Remarks*

A standard abatement of 2,5 % was applied to the appropriations entered in this chapter.

**1 2 0** ***Remunerations and other entitlements***

1 2 0 0 Remunerations and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016   |
|---------------------|---------------------|----------------|
| 251 616 000         | 246 665 000         | 223 279 267,85 |

*Remarks*

This appropriation is intended to cover:

- basic salaries of officials and temporary staff,
- family allowances, comprising household allowances, dependent child allowances, education allowances, for officials and temporary staff,
- expatriation and foreign residence allowances for officials and temporary staff,
- secretarial allowances for officials in grade AST employed as shorthand typists, telex operators, typists, executive secretaries or principal secretaries,
- employer's contribution to sickness insurance (3,4 % of basic salary); the employee's contribution is 1,7 % of the basic salary,

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**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)

**1 2 0** (*cont'd*)

1 2 0 0 (*cont'd*)

- employer's contribution to insurance against accidents and occupational diseases (0,5 % of the basic salary) and supplementary expenses resulting from the application of the relevant provisions of the Staff Regulations,
- unemployment insurance for temporary staff,
- payments to be made by the institution for the benefit of temporary staff in order to constitute or maintain pension rights for them in their country of origin,
- birth grants and the payment on the death of an official of his or her full salary up to the end of the third month after death together with the cost of transporting the body to the deceased's place of origin,
- travel expenses for annual leave of officials or temporary staff, their spouses and their dependants, from their place of employment to their place of origin,
- dismissal compensation for probationary officials dismissed for manifest unsuitability, allowance on termination of contract by the institution for temporary staff, reimbursement of pension contributions for former auxiliary staff appointed as temporary agents or as officials,
- impact of the weightings applicable to the remuneration of officials and auxiliary staff and to overtime,
- accommodation and transport allowances,
- fixed duty allowances,
- fixed local travel allowances,
- allowances for round-the-clock or shift duties or for standby duty at work and/or at home.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 62, 64, 65, 66, 67 and 68 thereof and Section I of Annex VII thereto, Article 69 thereof and Article 4 of Annex VII thereto, Article 18 of Annex XIII thereto, Articles 72 and 73 thereof and Article 15 of Annex VIII thereto, Articles 70, 74 and 75 thereof and Article 8 of Annex VII thereto, and Article 34 thereof.

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 0 (*cont'd*)

Conditions of Employment of Other Servants of the European Union, and in particular Articles 28a, 42, 47 and 48 thereof.

Joint rules on sickness insurance for officials of the European Communities, and in particular Article 23 thereof.

1 2 0 2 Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 701 200             | 685 000             | 646 971,89   |

*Remarks*

This appropriation is intended to cover flat-rate allowances and hourly rates for overtime worked by officials and auxiliary staff and by local staff, which could not be compensated for, as envisaged, by time off.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

1 2 0 4 Entitlements related to entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 031 800           | 2 137 500           | 1 975 469,48 |

*Remarks*

This appropriation is intended to cover:

- travel expenses for staff (and their families) on taking up or leaving the service of the institution,
- installation and reinstallation expenses incurred by staff obliged to move after entering the service and by staff who resettle on leaving it,
- removal expenses incurred by staff obliged to move after entering the service and by staff who resettle on leaving it,
- daily subsistence allowances of staff who show that they have been obliged to move after entering the service.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

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## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

1 2 0 (cont'd)

1 2 0 4 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 20 and 71 thereof and Articles 5, 6, 7, 9 and 10 of Annex VII thereto.

**1 2 2 Allowances upon early termination of service**

1 2 2 0 Allowances for staff retired in the interests of the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 230 000             | 230 000             | 0,—          |

*Remarks*

This appropriation is intended to cover allowances to officials assigned non-active status following a reduction in the number of posts in the institution, to officials holding a post in grades AD 16, AD 15 or AD 14 who are retired in the interests of the service, and to officials placed on leave in the interests of the service for organisational needs linked to the acquisition of new competences within the institutions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 42c and 50 thereof, and Annex IV thereto.

1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- allowances to be paid in accordance with the Staff Regulations or other regulations,
- employer's contribution to sickness insurance for persons in receipt of allowances,
- effect of weightings applicable to various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

1 2 9 **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any updates of remuneration in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 **Other staff and external persons**

## 1 4 0 0 Other staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 988 200           | 7 323 500           | 6 174 871,76 |

*Remarks*

This appropriation is intended to cover:

- remuneration and employer's contribution to the social security scheme of auxiliary staff, auxiliary interpreters, local staff, and auxiliary translators,
- fees and expenses of the special advisers, including the fees of the medical officer,
- expenditure incurred in having recourse to contract agents.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

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CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)1 4 0 0 (*cont'd*)*Legal basis*

Conditions of Employment of Other Servants of the European Union, and in particular Article 4 and Title V thereof, and Article 5 and Title VI thereof.

1 4 0 4 In-service training and staff exchanges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 895 300             | 808 000             | 682 000,—    |

*Remarks*

This appropriation is intended to cover:

- expenditure incurred relating to secondment to the services of the Court of Justice of the European Union of officials of Member States or of other national experts,
- grants to students participating in in-service training in the services of the institution.

1 4 0 5 Other external services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 245 000             | 242 500             | 221 640,—    |

*Remarks*

This appropriation is intended to cover expenses of external staff where such work cannot be performed by the institution's staff.

1 4 0 6 External services in the linguistic field

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 14 611 500          | 14 611 500          | 13 570 784,02 |

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 6 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- expenditure related to actions decided on by the Interinstitutional Committee for Translation and Interpretation with a view to promoting interinstitutional cooperation in the sphere of languages,
- payment for freelance interpreters from the Commission's Directorate-General for Interpretation,
- payment for conference interpreter agents,
- payment for the services of contractual and ad hoc conference staff,
- supplementary services in connection with proofreading, in particular fees and insurance, travel, subsistence and mission costs for freelance proofreaders and ancillary administrative costs,
- expenditure for independent or agency translators or typing and other work done outside the institution for the translation department.

The Court of Justice of the European Union will endeavour to cooperate with the other institutions, by means of an interinstitutional understanding, in order to avoid unnecessary duplication of efforts in translating procedural documents, thereby securing further savings for the general budget of the Union.

**1 4 9** ***Provisional appropriation***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any updates of remuneration in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other articles or items of this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

**1 6 1 Expenditure relating to staff management**

## 1 6 1 0 Miscellaneous expenditure for staff recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 180 500             | 197 000             | 150 825,61   |

*Remarks*

This appropriation is intended to cover the costs of arranging the staff selection procedures arranged by the Court of Justice of the European Union directly, and the expenditure connected with the candidates' travel and medical examination.

In cases duly justified by operational requirements and after consultation with the European Personnel Selection Office, the appropriation may be used for competitions organised by the institution itself.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 1 6 1 2 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 739 500           | 1 689 500           | 1 603 192,11 |

*Remarks*

This appropriation is intended to cover further professional training and retraining courses, including language courses, run on an interinstitutional basis.

It also covers expenditure on educational and technical equipment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

**1 6 2 Missions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 498 500             | 391 500             | 358 719,60   |



## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

## 1 6 2 (cont'd)

*Remarks*

This appropriation is intended to cover transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

1 6 3 **Expenditure on staff of the institution**

## 1 6 3 0 Social welfare

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              | 20 000              | 5 917,50     |

*Remarks*

This appropriation is intended to cover assistance to be given to staff in particularly difficult circumstances.

It is also intended for the following categories of persons as part of a policy to assist people with disabilities:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the disability and supported by documentary evidence.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof.

## 1 6 3 2 Social contacts between members of staff and other welfare expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 350 000             | 264 500             | 257 340,83   |

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CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 2 (*cont'd*)*Remarks*

This appropriation is intended to:

- give financial encouragement and support to any project aimed at encouraging social contacts between staff of different nationalities, such as subsidies to staff clubs, cultural associations and sports associations,
- cover other assistance and subsidies for staff and their families.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 **Activities relating to all persons working with the institution**

1 6 5 0 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 212 000             | 297 000             | 112 586,24   |

*Remarks*

This appropriation is intended to cover the expenses of the annual medical examination of all officials, including tests and further medical examinations requested in connection therewith, together with the operating costs of the medical centre.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 6 5 2 Restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 88 000              | 88 000              | 79 993,70    |

*Remarks*

This appropriation is intended to cover the acquisition and maintenance of equipment in the restaurant and cafeteria, together with part of their operating costs.

It also covers the alteration and renewal costs for restaurant and canteen equipment.

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 6 5 (cont'd)

1 6 5 2 (cont'd)

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 4 Early Childhood Centre

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 990 000           | 3 085 000           | 3 399 500,—  |

*Remarks*

This appropriation is intended to cover the contribution by the Court of Justice of the European Union to the Early Childhood Centre and study centre in Luxembourg.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 5 PMO expenditure for the administration of matters concerning the Court's staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 118 000             | 86 500              | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure incurred under service agreements between the Office for the Administration and Payment of Individual Entitlements (PMO) and the Court of Justice of the European Union.

1 6 5 6 European Schools

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 21 000              | 21 000,—     |

*Remarks*

This appropriation is intended to cover the contribution of the Court of Justice of the European Union to Type-II European Schools accredited by the Board of Governors of the European Schools or the reimbursement of the contribution paid by the Commission on behalf of the Court of Justice of the European Union for Type-II European Schools accredited by the Board of Governors of the European Schools, in accordance with the service agreement concluded with the Commission. It covers costs relating to children of staff of the Court of Justice of the European Union falling under the Staff Regulations who are enrolled in such schools.

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## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE

| Article Item | Heading                                                                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
|              | CHAPTER 2 0                                                                                      |                     |                     |               |             |
| <b>2 0 0</b> | <b>Buildings</b>                                                                                 |                     |                     |               |             |
| 2 0 0 0      | Rent                                                                                             |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 9 762 000           | 9 710 000           | 4 211 358,73  | 43,14       |
| 2 0 0 1      | Lease/purchase                                                                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 33 838 389          | 32 133 000          | 39 053 669,38 | 115,41      |
| 2 0 0 3      | Acquisition of immovable property                                                                |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | p.m.                | p.m.                | 0,—           |             |
| 2 0 0 5      | Construction of buildings                                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | p.m.                | p.m.                | 0,—           |             |
| 2 0 0 7      | Fitting-out of premises                                                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 1 112 000           | 895 000             | 2 803 868,99  | 252,15      |
| 2 0 0 8      | Studies and technical assistance in connection with building projects                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 1 496 000           | 1 100 000           | 1 332 894,48  | 89,10       |
|              | <i>Article 2 0 0 — Total</i>                                                                     | 46 208 389          | 43 838 000          | 47 401 791,58 | 102,58      |
| <b>2 0 2</b> | <b>Costs relating to buildings</b>                                                               |                     |                     |               |             |
| 2 0 2 2      | Cleaning and maintenance                                                                         |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 7 896 000           | 7 423 000           | 7 581 674,55  | 96,02       |
| 2 0 2 4      | Energy consumption                                                                               |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 2 518 000           | 2 485 000           | 2 140 661,34  | 85,01       |
| 2 0 2 6      | Security and surveillance of buildings                                                           |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 7 520 000           | 7 232 000           | 7 196 463,22  | 95,70       |
| 2 0 2 8      | Insurance                                                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 137 000             | 99 000              | 107 386,58    | 78,38       |
| 2 0 2 9      | Other expenditure on buildings                                                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 213 000             | 211 000             | 314 210,89    | 147,52      |
|              | <i>Article 2 0 2 — Total</i>                                                                     | 18 284 000          | 17 450 000          | 17 340 396,58 | 94,84       |
|              | <b>CHAPTER 2 0 — TOTAL</b>                                                                       | 64 492 389          | 61 288 000          | 64 742 188,16 | 100,39      |
|              | CHAPTER 2 1                                                                                      |                     |                     |               |             |
| <b>2 1 0</b> | <b>Equipment, operating costs and services related to data-processing and telecommunications</b> |                     |                     |               |             |
| 2 1 0 0      | Purchase, servicing and maintenance of equipment and software                                    |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                | 7 125 500           | 6 604 000           | 7 167 066,04  | 100,58      |

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**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE**  
(cont'd)**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE****CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES**

| Article Item | Heading                                                                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
| <b>2 1 0</b> | (cont'd)                                                                              |                     |                     |               |             |
| 2 1 0 2      | External services for the operation, creation and maintenance of software and systems |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 11 680 000          | 11 185 000          | 11 305 568,89 | 96,79       |
| 2 1 0 3      | Telecommunications                                                                    |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 400 000             | 687 000             | 295 472,75    | 73,87       |
|              | <i>Article 2 1 0 — Total</i>                                                          | 19 205 500          | 18 476 000          | 18 768 107,68 | 97,72       |
| <b>2 1 2</b> | <b>Furniture</b>                                                                      |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 629 500             | 657 500             | 678 815,91    | 107,83      |
| <b>2 1 4</b> | <b>Technical equipment and installations</b>                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 303 000             | 225 000             | 542 347,71    | 178,99      |
| <b>2 1 6</b> | <b>Vehicles</b>                                                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 1 532 000           | 1 585 500           | 1 530 122,66  | 99,88       |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                            | 21 670 000          | 20 944 000          | 21 519 393,96 | 99,31       |
|              | <b>CHAPTER 2 3</b>                                                                    |                     |                     |               |             |
| <b>2 3 0</b> | <b>Stationery, office supplies and miscellaneous consumables</b>                      |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 660 000             | 690 000             | 693 999,94    | 105,15      |
| <b>2 3 1</b> | <b>Financial charges</b>                                                              |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 20 000              | 20 000              | 10 087,75     | 50,44       |
| <b>2 3 2</b> | <b>Legal expenses and damages</b>                                                     |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 130 000             | 70 000              | 14 150,—      | 10,88       |
| <b>2 3 6</b> | <b>Postal charges</b>                                                                 |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 144 000             | 157 000             | 111 000,—     | 77,08       |
| <b>2 3 8</b> | <b>Other administrative expenditure</b>                                               |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 423 500             | 399 000             | 328 235,51    | 77,51       |
|              | <b>CHAPTER 2 3 — TOTAL</b>                                                            | 1 377 500           | 1 336 000           | 1 157 473,20  | 84,03       |
|              | <b>CHAPTER 2 5</b>                                                                    |                     |                     |               |             |
| <b>2 5 2</b> | <b>Reception and representation expenses</b>                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 142 000             | 147 000             | 138 000,—     | 97,18       |
| <b>2 5 4</b> | <b>Meetings, congresses, conferences and visits</b>                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                                     | 380 000             | 374 500             | 360 003,91    | 94,74       |

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**CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES (cont'd)**  
**CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION**

| Article Item | Heading                                                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|--------------|---------------------------------------------------------|---------------------|---------------------|----------------------|---------------|
| <b>2 5 7</b> | <b>Legal information service</b>                        |                     |                     |                      |               |
|              | Non-differentiated appropriations                       | p.m.                | p.m.                | 0,—                  |               |
|              | <b>CHAPTER 2 5 — TOTAL</b>                              | <b>522 000</b>      | <b>521 500</b>      | <b>498 003,91</b>    | <b>95,40</b>  |
|              | CHAPTER 2 7                                             |                     |                     |                      |               |
| <b>2 7 0</b> | <b>Limited consultations, studies and surveys</b>       |                     |                     |                      |               |
|              | Non-differentiated appropriations                       | p.m.                | p.m.                | 0,—                  |               |
| <b>2 7 2</b> | <b>Documentation, library and archiving expenditure</b> |                     |                     |                      |               |
|              | Non-differentiated appropriations                       | 1 971 000           | 1 615 000           | 1 545 734,26         | 78,42         |
| <b>2 7 4</b> | <b>Production and distribution of information</b>       |                     |                     |                      |               |
| 2 7 4 0      | Official Journal                                        |                     |                     |                      |               |
|              | Non-differentiated appropriations                       | 150 000             | 450 000             | 493 750,—            | 329,17        |
| 2 7 4 1      | General publications                                    |                     |                     |                      |               |
|              | Non-differentiated appropriations                       | 430 000             | 637 500             | 292 135,34           | 67,94         |
| 2 7 4 2      | Other information expenditure                           |                     |                     |                      |               |
|              | Non-differentiated appropriations                       | 189 000             | 156 500             | 146 309,87           | 77,41         |
|              | <i>Article 2 7 4 — Total</i>                            | <i>769 000</i>      | <i>1 244 000</i>    | <i>932 195,21</i>    | <i>121,22</i> |
|              | <b>CHAPTER 2 7 — TOTAL</b>                              | <b>2 740 000</b>    | <b>2 859 000</b>    | <b>2 477 929,47</b>  | <b>90,44</b>  |
|              |                                                         |                     |                     |                      |               |
|              | <b>Title 2 — Total</b>                                  | <b>90 801 889</b>   | <b>86 948 500</b>   | <b>90 394 988,70</b> | <b>99,55</b>  |

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 **Buildings**

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 762 000           | 9 710 000           | 4 211 358,73 |

*Remarks*

This appropriation is intended to cover rent for the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 1 Lease/purchase

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 33 838 389          | 32 133 000          | 39 053 669,38 |

*Remarks*

This appropriation is intended to cover payments in respect of buildings under lease/purchase contracts.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 3 Acquisition of immovable property

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## 2 0 0 5 Construction of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This item is intended for any entry of appropriations for the construction of buildings.

## 2 0 0 7 Fitting-out of premises

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 112 000           | 895 000             | 2 803 868,99 |

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**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)2 0 0 7 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- various kinds of fitting-out work, including in particular the installation of partitions, curtains, cables, painting, wall coverings, floor coverings, suspended ceilings and the related technical installations,
- expenditure relating to work resulting from studies and assistance.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 0 8 Studies and technical assistance in connection with building projects

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 496 000           | 1 100 000           | 1 332 894,48 |

*Remarks*

This appropriation is intended to cover the expenditure relating to studies and technical assistance in connection with large-scale building projects.

**2 0 2** **Costs relating to buildings**

2 0 2 2 Cleaning and maintenance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 896 000           | 7 423 000           | 7 581 674,55 |

*Remarks*

This appropriation is intended to cover maintenance and cleaning costs, in accordance with the current contracts, relating to the premises and technical installations together with expenditure on the work and equipment necessary for the general maintenance (repainting, repairs, etc.) of the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 4 Energy consumption

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 518 000           | 2 485 000           | 2 140 661,34 |



**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)2 0 2 4 (*cont'd*)*Remarks*

This appropriation is intended to cover consumption of water, gas, electricity and heating fuel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 120 000.

2 0 2 6 Security and surveillance of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 520 000           | 7 232 000           | 7 196 463,22 |

*Remarks*

This appropriation is intended to cover, in essence, security costs for the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 8 Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 137 000             | 99 000              | 107 386,58   |

*Remarks*

This appropriation is intended to cover insurance premiums payable under the insurance policies taken out for the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 9 Other expenditure on buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 213 000             | 211 000             | 314 210,89   |

*Remarks*

This appropriation is intended to cover other running costs not specially provided for in other articles of this chapter, in particular road charges, sanitation, refuse collection, road signs, etc.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

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## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE

**2 1 0** *Equipment, operating costs and services related to data-processing and telecommunications*

## 2 1 0 0 Purchase, servicing and maintenance of equipment and software

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 125 500           | 6 604 000           | 7 167 066,04 |

*Remarks*

This appropriation is intended to cover the acquisition, replacement, hire, repair and maintenance of all equipment and installations connected with data-processing, electronic office systems and telephony (including fax machines, and video-conference and multimedia equipment), and also interpreting equipment and facilities, such as booths, headsets, and switching units for simultaneous interpreting facilities.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 1 0 2 External services for the operation, creation and maintenance of software and systems

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 11 680 000          | 11 185 000          | 11 305 568,89 |

*Remarks*

This appropriation is intended to cover computer analysis and programming.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 1 0 3 Telecommunications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 400 000             | 687 000             | 295 472,75   |

*Remarks*

This appropriation is intended to cover all expenditure related to telecommunications, such as subscriptions and communication costs (fixed and mobile).

It also covers expenditure related to data-transmission networks.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**2 1 2** *Furniture*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 629 500             | 657 500             | 678 815,91   |

## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE (cont'd)

## 2 1 2 (cont'd)

*Remarks*

This appropriation is intended to cover:

- purchase of extra furniture,
- replacement of some of the furniture which is at least 15 years old and of the furniture which is not repairable,
- hire of furniture,
- maintenance and repair of furniture.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 1 4 **Technical equipment and installations**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 303 000             | 225 000             | 542 347,71   |

*Remarks*

This appropriation is intended to cover:

- purchase of technical equipment,
- replacement of technical equipment, in particular of audiovisual, archive and library equipment and various tools for maintenance workshops for buildings, and reproduction, dissemination and mailing equipment,
- hire of technical equipment and installations,
- maintenance and repair of the equipment referred to in this article.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 1 6 **Vehicles**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 532 000           | 1 585 500           | 1 530 122,66 |

*Remarks*

This appropriation is intended to cover:

- acquisition of vehicles,

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**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE** (*cont'd*)**2 1 6** (*cont'd*)

- replacement of vehicles which have the most mileage in excess of 120 000 kilometres,
- cost of hiring and operating hired vehicles,
- maintenance, repair, garaging, parking fees, motorway charges and insurance for the service fleet.

The amount of assigned revenue under Article 21(3) of the Financial Regulation is estimated at EUR 47 000.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE****2 3 0** *Stationery, office supplies and miscellaneous consumables*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 660 000             | 690 000             | 693 999,94   |

*Remarks*

This appropriation is intended to cover the purchase of the stationery and office supplies:

- xerox, photocopy and invoice paper,
- paper and office supplies,
- duplicating equipment supplies,
- supplies for the general circulation of information and for mailing,
- sound-recording supplies,
- printed matter and forms,
- computer and electronic office equipment supplies,
- other supplies and material not recorded in the inventory.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 000.

**2 3 1** *Financial charges*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              | 20 000              | 10 087,75    |

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 1** (*cont'd*)*Remarks*

This appropriation is intended to cover banking charges (commission, agios, miscellaneous charges) and other financial charges.

Bank interest accruing on the institution's account is listed under revenue.

**2 3 2** ***Legal expenses and damages***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 130 000             | 70 000              | 14 150,—     |

*Remarks*

This appropriation is intended to cover, inter alia, lawyers' fees which the institution must pay in consideration for professional services supplied to it or as reimbursement of costs which the institution must bear in implementation of a court order, and damages.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 6** ***Postal charges***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 144 000             | 157 000             | 111 000,—    |

*Remarks*

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 8** ***Other administrative expenditure***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 423 500             | 399 000             | 328 235,51   |

*Remarks*

This appropriation is intended to cover:

- various kinds of insurance (in particular civil liability, theft, risks connected with word-processing equipment, electrical liability),
- purchase, maintenance and cleaning of, in particular, judicial robes, uniforms for ushers and drivers, working clothes for staff responsible for the reproduction of documents and maintenance staff,

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (*cont'd*)2 3 8 (*cont'd*)

- miscellaneous expenditure for internal meetings,
- removal and handling costs for equipment, furniture and office supplies,
- operating expenditure incurred by service providers,
- other running costs not specially provided for in the preceding items.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES

2 5 2 **Reception and representation expenses**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 142 000             | 147 000             | 138 000,—    |

*Remarks*

This appropriation is intended to cover expenditure connected with the institution's duties as host and representative, and reception and representation expenses for members of staff.

2 5 4 **Meetings, congresses, conferences and visits**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 380 000             | 374 500             | 360 003,91   |

*Remarks*

This appropriation is intended to cover principally the organisation, with the collaboration of the Ministries of Justice, of seminars and other training programmes at the seat of the institution for members of the judiciary and the legal profession from the Member States.

Meetings with members of the national higher courts and with specialists in Union law are necessary in order to facilitate the development of the case-law of the institution concurrently with that of the national courts in matters connected with Union law.

This appropriation is also intended to cover travel and subsistence expenses for participants and other organisation costs.

Finally, this appropriation is intended also to fund visits by groups of visitors who are not law professionals, and especially students.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES (*cont'd*)2 5 7 *Legal information service*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover contributions which the Commission may ask the institutions to make towards the costs of the legal information service (augmenting and distributing the interinstitutional database).

## CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION

2 7 0 *Limited consultations, studies and surveys*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

2 7 2 *Documentation, library and archiving expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 971 000           | 1 615 000           | 1 545 734,26 |

*Remarks*

This appropriation is intended to cover:

- acquisition of books, documents and other publications and updates for existing volumes,
- entry and purchase of computerised legal data,
- special equipment for the library,
- subscriptions to newspapers, non-specialised periodicals and various bulletins,
- subscriptions to news agencies,
- binding and upkeep of library books,
- access charges for certain legal databases,
- the contribution of the Court of Justice of the European Union to the costs of retention and protection of the historical archives of the Union at the European University Institute in Florence,
- the work of analysing decisions of the courts and supplying data for databases.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

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## CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (cont'd)

2 7 4 **Production and distribution of information**

## 2 7 4 0 Official Journal

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 50 000            | 4 50 000            | 4 93 750,—   |

*Remarks*

This appropriation is intended to cover the cost of publication of material in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 7 4 1 General publications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 30 000            | 6 37 500            | 2 92 135,34  |

*Remarks*

This appropriation is intended to cover, in particular, printing and distribution costs for the reports of cases before the Court of Justice of the European Union together with the source index of Union case-law.

This appropriation is also intended to cover publication costs for the annual report of the Court of Justice of the European Union and other brochures produced by the Court of Justice of the European Union for visitors.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 7 4 2 Other information expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 89 000            | 1 56 500            | 1 46 309,87  |

*Remarks*

This appropriation is intended to cover the purchase and publication of works of general interest on Union law, other expenditure on the dissemination of information, on communication and on photographic costs. It is also intended to be used to facilitate the organisation of meetings with journalists, editors of legal periodicals or researchers from third countries.

The corresponding appropriations were previously entered in Article 2 5 6.



## TITLE 3

## EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 7 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES

| Article Item | Heading                                                                         | Appropriations 2018 | Appropriations 2017 | Outturn 2016   | % 2016/2018  |
|--------------|---------------------------------------------------------------------------------|---------------------|---------------------|----------------|--------------|
|              | CHAPTER 3 7                                                                     |                     |                     |                |              |
| <b>3 7 1</b> | <b><i>Special expenditure of the Court of Justice of the European Union</i></b> |                     |                     |                |              |
| 3 7 1 0      | Court's expenses                                                                |                     |                     |                |              |
|              | Non-differentiated appropriations                                               | 59 000              | 59 000              | 7 000,—        | 11,86        |
| 3 7 1 1      | Arbitration Committee provided for in Article 18 of the Euratom Treaty          |                     |                     |                |              |
|              | Non-differentiated appropriations                                               | p.m.                | p.m.                | 0,—            |              |
|              | <i>Article 3 7 1 — Total</i>                                                    | 59 000              | 59 000              | 7 000,—        | 11,86        |
|              | <b>CHAPTER 3 7 — TOTAL</b>                                                      | <b>59 000</b>       | <b>59 000</b>       | <b>7 000,—</b> | <b>11,86</b> |
|              | <b>Title 3 — Total</b>                                                          | <b>59 000</b>       | <b>59 000</b>       | <b>7 000,—</b> | <b>11,86</b> |

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## TITLE 3

## EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 7 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES

3 7 1 *Special expenditure of the Court of Justice of the European Union*

## 3 7 1 0 Court's expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 59 000              | 59 000              | 7 000,—      |

*Remarks*

This appropriation is to ensure the proper administration of justice in all cases of legal aid and for all expenses of witnesses and expert witnesses, of the cost of inspection of places or things involved in proceedings and of letters rogatory, legal representation and other costs which the institution may have to bear.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 3 7 1 1 Arbitration Committee provided for in Article 18 of the Euratom Treaty

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## TITLE 10

## OTHER EXPENDITURE

## CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

## CHAPTER 10 1 — CONTINGENCY RESERVE

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|-----------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                   |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                   |              |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                   |              |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                   |              |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>            |              |
|              | <b>GRAND TOTAL</b>      | <b>410 025 089</b>  | <b>399 344 000</b>  | <b>373 271 167,41</b> | <b>91,04</b> |

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**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## STAFF

## Section IV — Court of Justice of the European Union

| Function group and grade | 2018                        |                   | 2017                        |                   |
|--------------------------|-----------------------------|-------------------|-----------------------------|-------------------|
|                          | Permanent posts             | Temporary posts   | Permanent posts             | Temporary posts   |
|                          | AD 16                       | 5                 | —                           | 5                 |
| AD 15                    | 12                          | 3                 | 10                          | 1                 |
| AD 14                    | 61 <sup>(1)</sup>           | 56 <sup>(1)</sup> | 64 <sup>(1)</sup>           | 57 <sup>(1)</sup> |
| AD 13                    | 101                         | —                 | 101                         | —                 |
| AD 12                    | 99 <sup>(2)</sup>           | 86                | 99 <sup>(2)</sup>           | 86                |
| AD 11                    | 64                          | 97                | 50                          | 97                |
| AD 10                    | 189                         | 45                | 158                         | 44                |
| AD 9                     | 151                         | 3                 | 164                         | 2                 |
| AD 8                     | 111                         | 1                 | 133                         | 1                 |
| AD 7                     | 114                         | 28                | 122                         | 28                |
| AD 6                     | 6                           | —                 | 6                           | —                 |
| AD 5                     | 35                          | —                 | 35                          | —                 |
| Subtotal AD              | 948                         | 319               | 947                         | 316               |
| AST 11                   | 12                          | —                 | 10                          | —                 |
| AST 10                   | 15                          | 1                 | 17                          | 1                 |
| AST 9                    | 39                          | —                 | 35                          | —                 |
| AST 8                    | 46                          | 15                | 50                          | 15                |
| AST 7                    | 41                          | 38                | 41                          | 38                |
| AST 6                    | 81                          | 36                | 58                          | 36                |
| AST 5                    | 129                         | 22                | 118                         | 22                |
| AST 4                    | 73                          | 59                | 107                         | 59                |
| AST 3                    | 107                         | 26                | 109                         | 27                |
| AST 2                    | 13                          | 5                 | 13                          | 5                 |
| AST 1                    | 1                           | —                 | 2                           | —                 |
| Subtotal AST             | 557                         | 202               | 560                         | 203               |
| AST/SC 6                 | —                           | —                 | —                           | —                 |
| AST/SC 5                 | —                           | —                 | —                           | —                 |
| AST/SC 4                 | —                           | —                 | —                           | —                 |
| AST/SC 3                 | —                           | 3                 | —                           | 3                 |
| AST/SC 2                 | 34                          | —                 | 34                          | —                 |
| AST/SC 1                 | —                           | —                 | —                           | —                 |
| Subtotal AST/SC          | 34 <sup>(3)</sup>           | 3 <sup>(3)</sup>  | 34 <sup>(3)</sup>           | 3 <sup>(3)</sup>  |
| <b>Total</b>             | <b>1 539 <sup>(4)</sup></b> | <b>524</b>        | <b>1 541 <sup>(4)</sup></b> | <b>522</b>        |
| <b>Grand total</b>       | <b>2 063 <sup>(5)</sup></b> |                   | <b>2 063 <sup>(5)</sup></b> |                   |

<sup>(1)</sup> Of which one AD 15 ad personam.

<sup>(2)</sup> Of which one AD 14 ad personam.

<sup>(3)</sup> At this stage, the institution is not able to accurately assess the number of posts required.

<sup>(4)</sup> not including the contingency reserve, without allocation of appropriations, for officials seconded to Members of the Court of Justice or the General Court [6 AD 12, 12 AD 11, 20 AD 10, 15 AD 7, 11 AST 6, 17 AST 5, 21 AST 4, 8 AST 3].

<sup>(5)</sup> Certain posts occupied part-time may be compensated by the appointment of other staff within the limit of the remaining posts thus left unoccupied by function group.

SECTION V

**COURT OF AUDITORS**

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
Court of Auditors for the financial year 2018**

| Heading                 | Amount             |
|-------------------------|--------------------|
| Expenditure             | 146 015 591        |
| Own resources           | - 21 169 000       |
| <b>Contribution due</b> | <b>124 846 591</b> |





## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 11 334 000          | 10 826 000          | 10 435 078,72       |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

## COURT OF AUDITORS

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (cont'd)

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 2 000 000           | 1 850 000           | 1 904 882,48        |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 4 1 — CONTRIBUTIONS TO PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 7 835 000           | 7 618 000           | 7 735 099,57        |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 59 071,73           |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORKS

| Article Item | Heading                                                                                                                                                                                                 | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                             |                     |                     |                     |             |
| <b>5 0 0</b> | <b>Proceeds from the sale of movable property (supply of goods)</b>                                                                                                                                     |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                   | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue (ex Article 5 0 0)                                                                                                                  | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 1</b> | <b>Proceeds from the sale of immovable property</b>                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b>Proceeds from the sale of publications, printed works and films — Assigned revenue</b>                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1                                                                                                                                                                                             |                     |                     |                     |             |
| <b>5 1 1</b> | <b>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</b>                                                                                     |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2                                                                                                                                                                                             |                     |                     |                     |             |
| <b>5 2 0</b> | <b>Revenue from investments or loans granted, bank interest and other interest on the institution's accounts</b>                                                                                        | p.m.                | p.m.                | 0,—                 |             |
| <b>5 2 2</b> | <b>Interest yielded by prefinancing</b>                                                                                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5                                                                                                                                                                                             |                     |                     |                     |             |
| <b>5 5 0</b> | <b>Proceeds from the supply of services and works for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</b> | p.m.                | p.m.                | 0,—                 |             |
| <b>5 5 1</b> | <b>Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue</b>                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |

## COURT OF AUDITORS

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 7                                                                                                                                                                                      |                     |                     |                     |             |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                             | p.m.                | p.m.                | 131 669,97          |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 131 669,97          |             |
|              | CHAPTER 5 8                                                                                                                                                                                      |                     |                     |                     |             |
| 5 8 0        | <i>Revenue accruing from rental allowances — Assigned revenue</i>                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9                                                                                                                                                                                      |                     |                     |                     |             |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                           | <b>p.m.</b>         | <b>p.m.</b>         | <b>131 669,97</b>   |             |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue (ex Article 5 0 0)

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue accruing from the sale or part-exchange of movable property other than vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 0 1 *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## COURT OF AUDITORS

**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (cont'd)****5 0 2** (cont'd)*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also includes revenue from the sale of such products in electronic format.

**CHAPTER 5 1 — PROCEEDS FROM LETTING****5 1 1** *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings***5 1 1 0** Proceeds from letting and subletting immovable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank interest and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST (*cont'd*)5 2 0 (*cont'd*)*Remarks*

This article is intended to record revenue from investments or loans granted, bank interest and other interest on the institution's accounts.

5 2 2 ***Interest yielded by prefinancing***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the interest yielded by prefinancing.

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORKS

5 5 0 ***Proceeds from the supply of services and works for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 ***Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## COURT OF AUDITORS

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 131 669,97          |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 7 1 *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 7 3 *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 8 — MISCELLANEOUS COMPENSATION

5 8 0 *Revenue accruing from rental allowances — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |



**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (cont'd)**5 8 0** (cont'd)*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS****5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record other revenue from administrative operations.



**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0** *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 6 964,81            |

*Remarks*

This article is intended to record miscellaneous revenue.

COURT OF AUDITORS

**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016          |
|---------------|---------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|
| <b>1</b>      | <b>PERSONS WORKING WITH THE INSTITUTION</b>                                           |                     |                     |                       |
| 1 0           | MEMBERS OF THE INSTITUTION                                                            | 12 515 200          | 11 300 000          | 11 090 744,74         |
| 1 2           | OFFICIALS AND TEMPORARY STAFF                                                         | 106 599 800         | 103 632 000         | 97 629 003,38         |
| 1 4           | OTHER STAFF AND EXTERNAL SERVICES                                                     | 5 745 000           | 5 101 000           | 4 993 167,34          |
| 1 6           | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION                    | 6 440 000           | 6 238 000           | 5 704 793,07          |
|               | <b>Title 1 — Total</b>                                                                | <b>131 300 000</b>  | <b>126 271 000</b>  | <b>119 417 708,53</b> |
| <b>2</b>      | <b>BUILDINGS, MOVABLE PROPERTY, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b> |                     |                     |                       |
| 2 0           | BUILDINGS AND ASSOCIATED COSTS                                                        | 2 929 591           | 3 216 000           | 4 842 581,41          |
| 2 1           | DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE       | 8 649 000           | 8 333 000           | 9 046 407,09          |
| 2 3           | CURRENT ADMINISTRATIVE EXPENDITURE                                                    | 555 000             | 438 000             | 392 549,70            |
| 2 5           | MEETINGS AND CONFERENCES                                                              | 706 000             | 676 000             | 630 293,26            |
| 2 7           | INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION                      | 1 876 000           | 2 306 000           | 1 737 194,66          |
|               | <b>Title 2 — Total</b>                                                                | <b>14 715 591</b>   | <b>14 969 000</b>   | <b>16 649 026,12</b>  |
| <b>10</b>     | <b>OTHER EXPENDITURE</b>                                                              |                     |                     |                       |
| 10 0          | PROVISIONAL APPROPRIATIONS                                                            | p.m.                | p.m.                | 0,—                   |
| 10 1          | CONTINGENCY RESERVE                                                                   | p.m.                | p.m.                | 0,—                   |
|               | <b>Title 10 — Total</b>                                                               | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>            |
|               | <b>GRAND TOTAL</b>                                                                    | <b>146 015 591</b>  | <b>141 240 000</b>  | <b>136 066 734,65</b> |

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

| Article Item | Heading                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
|              | CHAPTER 1 0                                                            |                     |                     |               |             |
| <b>1 0 0</b> | <b>Remuneration and other entitlements</b>                             |                     |                     |               |             |
| 1 0 0 0      | Remuneration, allowances and pensions                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 9 228 000           | 9 107 000           | 8 815 107,93  | 95,53       |
| 1 0 0 2      | Entitlements on entering and leaving the service                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 591 800             | 164 000             | 525 914,29    | 88,87       |
|              | <i>Article 1 0 0 — Total</i>                                           | 9 819 800           | 9 271 000           | 9 341 022,22  | 95,12       |
| <b>1 0 2</b> | <b>Temporary allowances</b>                                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 2 279 400           | 1 613 000           | 1 454 267,81  | 63,80       |
| <b>1 0 3</b> | <b>Pensions</b>                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—           |             |
| <b>1 0 4</b> | <b>Missions</b>                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 336 000             | 336 000             | 224 000,—     | 66,67       |
| <b>1 0 6</b> | <b>Training</b>                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 80 000              | 80 000              | 71 454,71     | 89,32       |
| <b>1 0 9</b> | <b>Provisional appropriation</b>                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—           |             |
|              | <b>CHAPTER 1 0 — TOTAL</b>                                             | 12 515 200          | 11 300 000          | 11 090 744,74 | 88,62       |
|              | CHAPTER 1 2                                                            |                     |                     |               |             |
| <b>1 2 0</b> | <b>Remuneration and other entitlements</b>                             |                     |                     |               |             |
| 1 2 0 0      | Remuneration and allowances                                            |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 105 206 800         | 102 261 000         | 96 457 035,32 | 91,68       |
| 1 2 0 2      | Paid overtime                                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 398 800             | 401 000             | 363 928,92    | 91,26       |
| 1 2 0 4      | Entitlements on entering the service, transfer and leaving the service |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 838 800             | 817 000             | 635 347,59    | 75,74       |
|              | <i>Article 1 2 0 — Total</i>                                           | 106 444 400         | 103 479 000         | 97 456 311,83 | 91,56       |
| <b>1 2 2</b> | <b>Allowances upon early termination of service</b>                    |                     |                     |               |             |
| 1 2 2 0      | Allowances for staff retired in the interests of the service           |                     |                     |               |             |
|              | Non-differentiated appropriations                                      | 155 400             | 153 000             | 172 691,55    | 111,13      |

## COURT OF AUDITORS

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)****CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                                                                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
| <b>1 2 2</b> | <i>(cont'd)</i>                                                                                                  |                     |                     |               |             |
| 1 2 2 2      | Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—           |             |
|              | <i>Article 1 2 2 — Total</i>                                                                                     | 155 400             | 153 000             | 172 691,55    | 111,13      |
| <b>1 2 9</b> | <b>Provisional appropriation</b>                                                                                 |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—           |             |
|              | <b>CHAPTER 1 2 — TOTAL</b>                                                                                       | 106 599 800         | 103 632 000         | 97 629 003,38 | 91,58       |
|              | CHAPTER 1 4                                                                                                      |                     |                     |               |             |
| <b>1 4 0</b> | <b>Other staff and external persons</b>                                                                          |                     |                     |               |             |
| 1 4 0 0      | Other staff                                                                                                      |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 3 818 600           | 3 216 000           | 3 230 488,72  | 84,60       |
| 1 4 0 4      | In-service training and staff exchanges                                                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 1 349 000           | 1 323 000           | 1 155 977,78  | 85,69       |
| 1 4 0 5      | Other external services                                                                                          |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 109 400             | 104 000             | 74 700,84     | 68,28       |
| 1 4 0 6      | External services in the linguistic field                                                                        |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 468 000             | 458 000             | 532 000,—     | 113,68      |
|              | <i>Article 1 4 0 — Total</i>                                                                                     | 5 745 000           | 5 101 000           | 4 993 167,34  | 86,91       |
| <b>1 4 9</b> | <b>Provisional appropriation</b>                                                                                 |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—           |             |
|              | <b>CHAPTER 1 4 — TOTAL</b>                                                                                       | 5 745 000           | 5 101 000           | 4 993 167,34  | 86,91       |
|              | CHAPTER 1 6                                                                                                      |                     |                     |               |             |
| <b>1 6 1</b> | <b>Expenditure relating to staff management</b>                                                                  |                     |                     |               |             |
| 1 6 1 0      | Miscellaneous expenditure on recruitment                                                                         |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 40 000              | 42 000              | 40 980,—      | 102,45      |
| 1 6 1 2      | Further training for staff                                                                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 750 000             | 750 000             | 676 552,20    | 90,21       |
|              | <i>Article 1 6 1 — Total</i>                                                                                     | 790 000             | 792 000             | 717 532,20    | 90,83       |
| <b>1 6 2</b> | <b>Missions</b>                                                                                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 3 450 000           | 3 450 000           | 3 145 756,05  | 91,18       |
| <b>1 6 3</b> | <b>Assistance for staff of the institution</b>                                                                   |                     |                     |               |             |
| 1 6 3 0      | Social welfare                                                                                                   |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                                | 35 000              | 40 000              | 13 000,—      | 37,14       |



## COURT OF AUDITORS

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Remuneration and other entitlements*

## 1 0 0 0 Remuneration, allowances and pensions

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 228 000           | 9 107 000           | 8 815 107,93 |

*Remarks*

This appropriation is intended to cover the salaries and allowances of Members of the Court of Auditors as well as the effect of the weightings applicable to remuneration and to transfers of part of the remuneration to a Member State other than that of the place of employment.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 2 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## 1 0 0 2 Entitlements on entering and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 591 800             | 164 000             | 525 914,29   |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to Members of the Court of Auditors on entering or leaving the service,
- installation and resettlement allowances due to Members of the Court of Auditors on entering or leaving the service,
- removal expenses due to Members of the Court of Auditors on entering or leaving the service.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 6 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).



## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (cont'd)

**1 0 2** *Temporary allowances*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 279 400           | 1 613 000           | 1 454 267,81 |

*Remarks*

This appropriation is intended to cover temporary allowances and family allowances for Members of the Court of Auditors after termination of service.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 8 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**1 0 3** *Pensions*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Court of Auditors and the survivors' pensions for their surviving spouses and orphans.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Articles 9, 10, 11 and 16 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**1 0 4** *Missions*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 336 000             | 336 000             | 224 000,—    |

*Remarks*

This appropriation is intended to cover travel expenses, subsistence allowances and additional or exceptional expenditure incurred on mission.

Amount of assigned revenue in accordance with Article 21(3)(e) of the Financial Regulation: p.m.

## COURT OF AUDITORS

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 4 (*cont'd*)*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 7 thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of the EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 6 **Training**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 80 000              | 80 000              | 71 454,71    |

*Remarks*

This appropriation is intended to cover the costs of participation in language courses and other vocational training courses by Members of the Court of Auditors.

1 0 9 **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any salary and pension updates.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

*Remarks*

A standard abatement of 3 % has been applied to the appropriations entered in this chapter.

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)**1 2 0**      **Remuneration and other entitlements**

## 1 2 0 0      Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 105 206 800         | 102 261 000         | 96 457 035,32 |

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries and salary-related allowances,
- insurance against sickness, accident and occupational disease and other social security contributions,
- the institution's sickness insurance contributions,
- miscellaneous allowances and grants,
- the payment of travel costs of officials and temporary staff, and of their spouses and dependants, from the place of employment to the place of origin,
- the effect of the weightings applicable to remuneration and to transfers of part of the remuneration to a Member State other than that of the place of employment,
- the provision of unemployment benefit for temporary staff and payments by the institution to constitute or maintain pension rights for temporary staff in their country of origin,
- the allowance granted to probationer officials dismissed for reasons of manifest unsuitability,
- the compensation paid in the event of cancellation by the institution of the contract of a temporary member of staff,
- allowances for round-the-clock or shift duties or for standby duty at work and/or at home.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 1 2 0 2      Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 398 800             | 401 000             | 363 928,92   |

## COURT OF AUDITORS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 2 (*cont'd*)*Remarks*

This appropriation is intended to cover overtime worked in the conditions laid down by the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

1 2 0 4 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 838 800             | 817 000             | 635 347,59   |

*Remarks*

This appropriation is intended to cover:

- the travel expenses due to officials and temporary staff (including their families) on taking up their duties or leaving the institution or on transfer, involving a change in place of employment,
- the installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up their duties or on their assignment to a new place of employment and upon finally leaving the institution and resettling elsewhere,
- the daily subsistence allowances for officials and temporary staff who furnish evidence that they must change their place of residence on taking up their duties or on their assignment to a new place of employment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

**1 2 2 Allowances upon early termination of service**

## 1 2 2 0 Allowances for staff retired in the interests of the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 155 400             | 153 000             | 172 691,55   |

*Remarks*

This appropriation is intended to cover allowances to officials assigned non-active status following a reduction in the number of posts in the institution, or to officials holding a senior executive post who are retired in the interests of the service.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41 and 50 thereof and Annex IV thereto.

## 1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the allowances to be paid in accordance with the Staff Regulations or other Regulations,
- the employer's contribution towards sickness insurance for recipients of allowances,
- the effect of weightings applicable to various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

**1 2 9 Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the cost of any updates to remuneration.

## COURT OF AUDITORS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 9 (*cont'd*)

This appropriation is purely provisional and may be used only after its transfer to other articles or items of this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 **Other staff and external persons**

## 1 4 0 0 Other staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 818 600           | 3 216 000           | 3 230 488,72 |

*Remarks*

This appropriation is mainly intended to cover the following expenditure:

- the remuneration of other staff, in particular contract workers, special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), the institution's social security contributions in respect of such staff and the effect of the weightings applicable to their remuneration,
- the fees of medical and paramedical staff paid under the performance of service scheme and, in special cases, the employment of temporary staff.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 1 4 0 4 In-service training and staff exchanges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 349 000           | 1 323 000           | 1 155 977,78 |

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (cont'd)

1 4 0 (cont'd)

1 4 0 4 (cont'd)

*Remarks*

This appropriation is intended to cover:

- expenditure relating to the secondment or temporary assignment to the Court of Auditors of officials from Member States first and foremost, or from other States, and other experts or expenses relating to short-term consulting work,
- the reimbursement of additional expenses incurred by officials of the Union as a result of exchanges,
- the cost of periods of in-service training at the Court of Auditors.

1 4 0 5 Other external services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 109 400             | 104 000             | 74 700,84    |

*Remarks*

This appropriation is intended to cover the hiring of temporary staff, excluding temporary translators.

1 4 0 6 External services in the linguistic field

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 468 000             | 458 000             | 532 000,—    |

*Remarks*

This appropriation is intended to cover:

- expenditure relating to the measures decided upon by the Interinstitutional Committee for Translation and Interpreting (ICTI) with a view to promoting interinstitutional cooperation in the linguistic field,
- the fees, social security contributions, travel expenses and subsistence allowances of freelance and other non-permanent interpreters,
- the costs relating to the work of freelance or temporary translators or typing and other work sent out by the Translation Service.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## COURT OF AUDITORS

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)**1 4 9** **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the cost of any updates to remuneration.

It is purely provisional and may be used only after its transfer to other articles or items of this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (O) L 298, 26.10.2012, p. 1).

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

**1 6 1** **Expenditure relating to staff management**

## 1 6 1 0 Miscellaneous expenditure on recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 42 000              | 40 980,—     |

*Remarks*

This appropriation is intended to cover the costs of advertising, inviting candidates, renting rooms and hiring equipment for competitions and other selection procedures organised directly by the Court of Auditors and expenses incurred by candidates for travel and medical check-ups.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 1 6 1 2 Further training for staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 750 000             | 750 000             | 676 552,20   |

*Remarks*

This appropriation is intended to cover the organisation of further training courses including language training courses and seminars in financial management and control on an interinstitutional basis and the registration fees for similar seminars organised in the Member States.



**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 1** (*cont'd*)1 6 1 2 (*cont'd*)

It also covers the membership fees of certain professional bodies whose work is of relevance to the Court of Auditors' activities.

This appropriation also covers the purchase of teaching and technical equipment for staff training.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

**1 6 2** **Missions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 450 000           | 3 450 000           | 3 145 756,05 |

*Remarks*

This appropriation is intended to cover expenditure on travel expenses, including ancillary costs relating to tickets and reservations, the payment of mission allowances and ancillary or exceptional expenses incurred as a result of missions by the Court of Auditors' officials and other staff and in respect of experts or national or international officials seconded to the Court and trainees.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

**1 6 3** **Assistance for staff of the institution**

## 1 6 3 0 Social welfare

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 35 000              | 40 000              | 13 000,—     |

*Remarks*

This appropriation is intended to cover help for staff in particularly difficult circumstances.

## COURT OF AUDITORS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 0 (*cont'd*)

This appropriation is also intended for the following categories of persons as part of a policy to assist people with disabilities:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the disability and supported by documentary evidence.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof.

1 6 3 2 Social contacts between members of staff and other welfare expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 72 000              | 73 000              | 70 581,33    |

*Remarks*

This appropriation is intended to:

- give financial encouragement and support to any project aimed at encouraging social contacts between staff of different nationalities, such as subsidies to staff clubs, cultural associations and sports associations,
- cover other assistance and subsidies for staff and their families.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 **Activities relating to all persons working with the institution**

1 6 5 0 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 177 000             | 177 000             | 78 008,08    |

*Remarks*

This appropriation is intended to cover the cost of the annual medical examination of all staff, including any ensuing medical examinations and tests requested.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 6 5 (cont'd)

1 6 5 0 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 6 5 2 Restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 125 000             | 120 000             | 73 915,41    |

*Remarks*

This appropriation is intended to cover the operating expenditure of the restaurants and cafeterias.

This appropriation is also intended to cover the conversion and renewal of the equipment in the restaurant and cafeterias in order to comply with national health and safety standards currently in force.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 4 Early Childhood Centre

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 466 000           | 1 406 000           | 1 456 000,—  |

*Remarks*

This appropriation is intended to cover the Court of Auditors' contribution to the Early Childhood Centre and study centre in Luxembourg.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 5 PMO expenditure on the management of matters concerning Court of Auditors staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 325 000             | 180 000             | 150 000,—    |

*Remarks*

This appropriation is intended to cover the expenditure incurred under the service agreements between the Commission (PMO) and the Court of Auditors.

COURT OF AUDITORS

## TITLE 2

## BUILDINGS, MOVABLE PROPERTY, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE

| Article Item | Heading                                                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 0                                                                                       |                     |                     |              |             |
| <b>2 0 0</b> | <b>Buildings</b>                                                                                  |                     |                     |              |             |
| 2 0 0 0      | Rent                                                                                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 175 000             | 175 000             | 149 790,11   | 85,59       |
| 2 0 0 1      | Lease/purchase                                                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 3      | Acquisition of immovable property                                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 5      | Construction of buildings                                                                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 7      | Fitting-out of premises                                                                           |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 143 591             | 220 000             | 194 398,85   | 135,38      |
| 2 0 0 8      | Studies and technical assistance in connection with building projects                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 210 000             | 210 000             | 88 583,78    | 42,18       |
|              | <i>Article 2 0 0 — Total</i>                                                                      | 528 591             | 605 000             | 432 772,74   | 81,87       |
| <b>2 0 2</b> | <b>Expenditure on buildings</b>                                                                   |                     |                     |              |             |
| 2 0 2 2      | Cleaning and maintenance                                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 1 250 000           | 1 250 000           | 1 374 068,61 | 109,93      |
| 2 0 2 4      | Energy consumption                                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 850 000             | 915 000             | 819 000,—    | 96,35       |
| 2 0 2 6      | Security and surveillance of buildings                                                            |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 165 000             | 310 000             | 2 140 000,—  | 1 296,97    |
| 2 0 2 8      | Insurance                                                                                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 96 000              | 96 000              | 43 413,65    | 45,22       |
| 2 0 2 9      | Other expenditure on buildings                                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 40 000              | 40 000              | 33 326,41    | 83,32       |
|              | <i>Article 2 0 2 — Total</i>                                                                      | 2 401 000           | 2 611 000           | 4 409 808,67 | 183,67      |
|              | CHAPTER 2 0 — TOTAL                                                                               | 2 929 591           | 3 216 000           | 4 842 581,41 | 165,30      |
|              | CHAPTER 2 1                                                                                       |                     |                     |              |             |
| <b>2 1 0</b> | <b>Equipment, operating costs and services relating to data processing and telecommunications</b> |                     |                     |              |             |
| 2 1 0 0      | Purchase, servicing and maintenance of equipment and software                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 2 228 000           | 2 242 000           | 2 328 196,62 | 104,50      |

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE**  
(cont'd)

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**

**CHAPTER 2 5 — MEETINGS AND CONFERENCES**

| Article Item | Heading                                                                                      | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018   |
|--------------|----------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------|
| <b>2 1 0</b> | (cont'd)                                                                                     |                     |                     |                     |               |
| 2 1 0 2      | External services for the operation, implementation and maintenance of software and systems  |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 4 792 000           | 4 694 000           | 5 558 803,38        | 116,00        |
| 2 1 0 3      | Telecommunications                                                                           |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 472 000             | 472 000             | 354 000,—           | 75,00         |
|              | <i>Article 2 1 0 — Total</i>                                                                 | <b>7 492 000</b>    | <b>7 408 000</b>    | <b>8 241 000,—</b>  | <b>110,00</b> |
| <b>2 1 2</b> | <b>Furniture</b>                                                                             |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 250 000             | 74 000              | 71 594,88           | 28,64         |
| <b>2 1 4</b> | <b>Technical equipment and installations</b>                                                 |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 300 000             | 215 000             | 170 187,68          | 56,73         |
| <b>2 1 6</b> | <b>Vehicles</b>                                                                              |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 607 000             | 636 000             | 563 624,53          | 92,85         |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                                   | <b>8 649 000</b>    | <b>8 333 000</b>    | <b>9 046 407,09</b> | <b>104,59</b> |
|              | CHAPTER 2 3                                                                                  |                     |                     |                     |               |
| <b>2 3 0</b> | <b>Stationery, office supplies and miscellaneous consumables</b>                             |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 90 000              | 100 000             | 99 705,71           | 110,78        |
| <b>2 3 1</b> | <b>Financial charges</b>                                                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 20 000              | 20 000              | 20 000,—            | 100,00        |
| <b>2 3 2</b> | <b>Legal expenses and damages</b>                                                            |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 200 000             | 100 000             | 80 000,—            | 40,00         |
| <b>2 3 6</b> | <b>Postage and delivery charges</b>                                                          |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 27 000              | 30 000              | 18 610,88           | 68,93         |
| <b>2 3 8</b> | <b>Other administrative expenditure</b>                                                      |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 218 000             | 188 000             | 174 233,11          | 79,92         |
|              | <b>CHAPTER 2 3 — TOTAL</b>                                                                   | <b>555 000</b>      | <b>438 000</b>      | <b>392 549,70</b>   | <b>70,73</b>  |
|              | CHAPTER 2 5                                                                                  |                     |                     |                     |               |
| <b>2 5 2</b> | <b>Representation expenses</b>                                                               |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 233 000             | 233 000             | 231 145,72          | 99,20         |
| <b>2 5 4</b> | <b>Meetings, congresses and conferences</b>                                                  |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 131 000             | 101 000             | 86 779,89           | 66,24         |
| <b>2 5 6</b> | <b>Expenditure on the dissemination of information and on participation in public events</b> |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                            | 17 000              | 17 000              | 12 367,65           | 72,75         |



## TITLE 2

## BUILDINGS, MOVABLE PROPERTY, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## 2 0 0 Buildings

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 175 000             | 175 000             | 149 790,11   |

*Remarks*

This appropriation is intended to cover expenditure on rents in Luxembourg, Brussels and Strasbourg.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 1 Lease/purchase

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover long-lease fees and other similar expenditure owed by the institution under lease/purchase contracts.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 3 Acquisition of immovable property

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the financing, by annual instalments, of the extension work to the building of the Court of Auditors in Luxembourg (Kirchberg).

## 2 0 0 5 Construction of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This item is intended for any entry of appropriations for the construction of buildings.

## COURT OF AUDITORS

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (cont'd)

## 2 0 0 (cont'd)

## 2 0 0 7 Fitting-out of premises

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 143 591             | 220 000             | 194 398,85   |

## Remarks

This appropriation is intended to cover:

- various kinds of fitting-out work, including in particular the installation of partitions, curtains, cables, painting, wall coverings, floor coverings, suspended ceilings and the related technical installations,
- expenditure relating to work resulting from studies and technical assistance in respect of large-scale building projects.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 8 Studies and technical assistance in connection with building projects

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 210 000             | 210 000             | 88 583,78    |

## Remarks

This appropriation is intended to cover the expenditure relating to studies and technical assistance in connection with large-scale building projects.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 **Expenditure on buildings**

## 2 0 2 2 Cleaning and maintenance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 250 000           | 1 250 000           | 1 374 068,61 |

## Remarks

This appropriation is intended to cover:

- maintenance and cleaning costs for premises, lifts, central heating, air-conditioning equipment, electrical installations and alterations and repairs to them,
- the purchase of maintenance, washing, laundry and dry-cleaning products, and any supplies required for maintenance.



**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (cont'd)**2 0 2** (cont'd)

2 0 2 2 (cont'd)

Before renewing or concluding contracts, the institution will consult the other institutions on the terms each of them has obtained (price, currency chosen, indexing, duration, other clauses), with due regard to Article 70 of the Financial Regulation.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 4 Energy consumption

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 850 000             | 915 000             | 819 000,—    |

*Remarks*

This appropriation is intended to cover water, gas and electricity consumption and heating costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 6 Security and surveillance of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 165 000             | 310 000             | 2 140 000,—  |

*Remarks*

This appropriation is intended to cover miscellaneous expenditure relating to the security of buildings, especially the contract for the surveillance of the buildings, the purchase and maintenance of fire-fighting equipment and equipment for security officers, etc.

Before renewing or concluding contracts, the institution will consult the other institutions on the terms each of them has obtained (price, currency chosen, indexing, duration, other clauses), with due regard to Article 70 of the Financial Regulation.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 8 Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 96 000              | 96 000              | 43 413,65    |

*Remarks*

This appropriation is intended to cover the premiums payable on the insurance policies relating to the buildings occupied by the institution, including cover for movable property and works of art.

## COURT OF AUDITORS

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)2 0 2 8 (*cont'd*)

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 9 Other expenditure on buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 40 000              | 33 326,41    |

*Remarks*

This appropriation is intended to cover other current expenditure on buildings not specifically provided for in the other articles of this chapter, in particular sewerage, refuse collection, road taxes, signs, etc.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE****2 1 0** *Equipment, operating costs and services relating to data processing and telecommunications*

2 1 0 0 Purchase, servicing and maintenance of equipment and software

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 228 000           | 2 242 000           | 2 328 196,62 |

*Remarks*

This appropriation is intended to cover the following operating expenditure:

- purchase, leasing and maintenance of computer equipment and software and other supplies and documentation,
- computer cables.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 1 0 2 External services for the operation, implementation and maintenance of software and systems

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 792 000           | 4 694 000           | 5 558 803,38 |

*Remarks*

This appropriation is intended to cover expenditure on outside staff and work contracted out, including the 'helpdesk' services.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE** (cont'd)**2 1 0** (cont'd)

2 1 0 2 (cont'd)

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 1 0 3 Telecommunications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 472 000             | 472 000             | 354 000,—    |

*Remarks*

This appropriation is intended to cover all expenditure related to telecommunications such as subscriber charges, telephone lines, communications charges, maintenance fees and the purchase, renewal, repair and maintenance of telephone installations and equipment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 2** **Furniture**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 250 000             | 74 000              | 71 594,88    |

*Remarks*

This appropriation is intended to cover the purchase or hire of additional furniture, its maintenance or repair and the replacement of old or damaged furniture.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 4** **Technical equipment and installations**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 300 000             | 215 000             | 170 187,68   |

*Remarks*

This appropriation is intended to cover expenditure on the purchase, replacement, hire, maintenance and repair of technical equipment and office machines.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## COURT OF AUDITORS

CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)**2 1 6**      **Vehicles**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 607 000             | 636 000             | 563 624,53   |

*Remarks*

This appropriation is intended to cover the purchase or hire of vehicles, with or without drivers (including taxis), and the subsequent running costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE

**2 3 0**      **Stationery, office supplies and miscellaneous consumables**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 90 000              | 100 000             | 99 705,71    |

*Remarks*

This appropriation is intended to cover expenditure on stationery and office supplies.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 1**      **Financial charges**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              | 20 000              | 20 000,—     |

**2 3 2**      **Legal expenses and damages**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 200 000             | 100 000             | 80 000,—     |

*Remarks*

This appropriation is intended to cover any expenditure and fees the Court of Auditors may have to bear.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 6** *Postage and delivery charges*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 27 000              | 30 000              | 18 610,88    |

*Remarks*

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 8** *Other administrative expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 218 000             | 188 000             | 174 233,11   |

*Remarks*

This appropriation is intended to cover:

- the cost of luggage insurance for staff travelling on mission,
- the purchase of uniforms for messengers and drivers, and other work clothes,
- the cost of refreshments and occasional snacks served during internal meetings,
- the costs of the removal and handling of equipment, furniture and office supplies,
- other operating expenditure not specifically provided for in the preceding headings and costs relating to maintenance and repair of equipment,
- petty expenses,
- EMAS activities, including promotion, and the Court of Auditors' carbon offsetting scheme.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 5 — MEETINGS AND CONFERENCES****2 5 2** *Representation expenses*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 233 000             | 233 000             | 231 145,72   |

*Remarks*

This appropriation is intended to cover expenditure on the Court of Auditors' obligations in respect of representation.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 5 4** *Meetings, congresses and conferences*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 131 000             | 101 000             | 86 779,89    |

## COURT OF AUDITORS

CHAPTER 2 5 — MEETINGS AND CONFERENCES (*cont'd*)2 5 4 (*cont'd*)*Remarks*

This appropriation is intended to cover travel, subsistence and incidental expenses of experts taking part in study groups and working parties, and the cost of organising such meetings in so far as they are not covered by existing infrastructure.

It is also intended to cover the cost of organisation of and participation in conferences, congresses and meetings.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 5 6 **Expenditure on the dissemination of information and on participation in public events**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 17 000              | 17 000              | 12 367,65    |

*Remarks*

This appropriation is intended to cover the cost of organising study days on the activities of the Court of Auditors, for the benefit of university teachers, editors of specialised journals or other specialist visitors from the Member States. This appropriation is also intended to cover miscellaneous expenditure relating to the Court's information and communication policy.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 5 7 **Joint Interpreting and Conference Service**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 325 000             | 325 000             | 300 000,—    |

*Remarks*

This appropriation is intended to cover payment of interpretation costs.

## CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION

2 7 0 **Limited consultations, studies and surveys**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 296 000             | 576 000             | 436 327,67   |

*Remarks*

This appropriation is intended to enable studies to be contracted out to qualified experts in the fields of audit and also in those of an administrative nature.

**CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION** (cont'd)**2 7 0** (cont'd)

Within the framework of its audits, the Court of Auditors needs to contract out studies and technical analyses (for example chemical, physical, statistical analyses) to external experts. This appropriation also encompasses the cost of the auditing of the Court of Auditors by an independent auditor whose report is published in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 7 2** **Documentation, library and archiving expenditure**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 405 000             | 405 000             | 390 000,—    |

*Remarks*

This appropriation is intended to cover:

- the acquisition of books, documents and other non-periodic publications and updates for existing volumes,
- special equipment for the library,
- the cost of subscriptions to newspapers, periodicals and various bulletins,
- the cost of subscriptions to news agencies or external informative databases,
- access charges for certain external databases,
- the cost of the binding and upkeep of library books,
- the cost of archive services and the acquisition of archive resources on substitute media.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 7 4** **Production and distribution****2 7 4 0** Official Journal

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | 350 000             | 200 000,—    |

*Remarks*

This appropriation is intended to cover the cost of publications by the Court of Auditors in the *Official Journal of the European Union*.

## COURT OF AUDITORS

CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (*cont'd*)2 7 4 (*cont'd*)2 7 4 0 (*cont'd*)

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 7 4 1 Publications of a general nature

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 025 000           | 975 000             | 710 866,99   |

*Remarks*

This appropriation is intended to cover:

- the costs of publishing and distributing the reports and opinions adopted by the Court of Auditors pursuant to the second subparagraph of Article 287(4), and to Article 325(4) of the Treaty on the Functioning of the European Union,
- the costs of communication activities concerning audit work and the activities of the Court of Auditors (in particular website, audiovisual material, documentation), including the costs of relations with the press and other stakeholders.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.



## TITLE 10

## OTHER EXPENDITURE

## CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

## CHAPTER 10 1 — CONTINGENCY RESERVE

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016          | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|-----------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                   |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                   |              |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                   |              |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                   |              |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>            |              |
|              | <b>GRAND TOTAL</b>      | <b>146 015 591</b>  | <b>141 240 000</b>  | <b>136 066 734,65</b> | <b>93,19</b> |

COURT OF AUDITORS

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**STAFF**  
**Section V — Court of Auditors**

| Function group and grade | Court of Auditors                |                                  |                                   |                   |
|--------------------------|----------------------------------|----------------------------------|-----------------------------------|-------------------|
|                          | Permanent posts                  |                                  | Temporary posts <sup>(1)</sup>    |                   |
|                          | 2018                             | 2017                             | 2018                              | 2017              |
| Non-category             |                                  |                                  | 1                                 | 1                 |
| AD 16                    |                                  |                                  |                                   |                   |
| AD 15                    | 11                               | 11                               |                                   |                   |
| AD 14                    | 40 <sup>(2)</sup>                | 40 <sup>(2)</sup> <sup>(5)</sup> | 30                                | 30                |
| AD 13                    | 38                               | 38 <sup>(5)</sup>                | 2                                 | 2                 |
| AD 12                    | 67 <sup>(9)</sup>                | 54 <sup>(3)</sup> <sup>(5)</sup> | 5                                 | 5                 |
| AD 11                    | 50 <sup>(9)</sup>                | 44 <sup>(5)</sup>                | 31                                | 31                |
| AD 10                    | 38 <sup>(8)</sup> <sup>(9)</sup> | 56 <sup>(5)</sup>                | 2                                 | 2                 |
| AD 9                     | 82 <sup>(9)</sup>                | 60                               |                                   |                   |
| AD 8                     | 89 <sup>(9)</sup>                | 71 <sup>(5)</sup>                |                                   |                   |
| AD 7                     | 58 <sup>(8)</sup> <sup>(9)</sup> | 76 <sup>(5)</sup>                |                                   |                   |
| AD 6                     | 58 <sup>(9)</sup>                | 69 <sup>(6)</sup>                |                                   |                   |
| AD 5                     | 7 <sup>(9)</sup>                 | 17 <sup>(4)</sup>                |                                   |                   |
| AD total                 | 538                              | 536                              | 71                                | 71                |
| AST 11                   | 7                                | 7                                |                                   |                   |
| AST 10                   | 6 <sup>(8)</sup>                 | 7                                | 1                                 | 1                 |
| AST 9                    | 23 <sup>(9)</sup>                | 14 <sup>(5)</sup>                | 1                                 | 1 <sup>(5)</sup>  |
| AST 8                    | 14 <sup>(9)</sup>                | 18 <sup>(4)</sup> <sup>(5)</sup> | 1                                 | 1 <sup>(5)</sup>  |
| AST 7                    | 20 <sup>(8)</sup> <sup>(9)</sup> | 26 <sup>(5)</sup>                | 26                                | 26 <sup>(5)</sup> |
| AST 6                    | 24 <sup>(9)</sup>                | 19                               |                                   |                   |
| AST 5                    | 37 <sup>(9)</sup>                | 31 <sup>(5)</sup>                | 2                                 | 2                 |
| AST 4                    | 22 <sup>(9)</sup>                | 32 <sup>(5)</sup>                | 23 <sup>(9)</sup> <sup>(10)</sup> | 25                |
| AST 3                    | 12 <sup>(9)</sup>                | 11 <sup>(5)</sup> <sup>(6)</sup> | 5 <sup>(9)</sup>                  | 7 <sup>(7)</sup>  |
| AST 2                    | 3 <sup>(9)</sup>                 | 5 <sup>(5)</sup>                 |                                   | 0 <sup>(7)</sup>  |
| AST 1                    | 0 <sup>(8)</sup>                 | 2 <sup>(5)</sup>                 |                                   |                   |
| AST total                | 168                              | 172                              | 59                                | 63                |
| AST/SC 6                 |                                  |                                  |                                   |                   |
| AST/SC 5                 |                                  |                                  | 6 <sup>(10)</sup>                 | 2                 |
| AST/SC 4                 |                                  |                                  | 2 <sup>(9)</sup>                  | 1 <sup>(7)</sup>  |
| AST/SC 3                 |                                  |                                  | 2 <sup>(9)</sup>                  | 3 <sup>(7)</sup>  |

## COURT OF AUDITORS

| Function group and grade | Court of Auditors          |                            |                                |                  |
|--------------------------|----------------------------|----------------------------|--------------------------------|------------------|
|                          | Permanent posts            |                            | Temporary posts <sup>(1)</sup> |                  |
|                          | 2018                       | 2017                       | 2018                           | 2017             |
| AST/SC 2                 | 2 <sup>(8)</sup>           | 4                          | 5 <sup>(8)</sup>               | 1 <sup>(7)</sup> |
| AST/SC 1                 |                            |                            |                                |                  |
| AST/SC total             | 2                          | 4                          | 15                             | 7                |
| <b>Grand total</b>       | <b>708 <sup>(11)</sup></b> | <b>712 <sup>(11)</sup></b> | <b>145</b>                     | <b>141</b>       |

<sup>(1)</sup> The actual grade at which the posts assigned to the private offices are occupied will follow the same grading criteria as for officials recruited before 1 May 2004.  
<sup>(2)</sup> Of which one AD 15 *ad personam*.  
<sup>(3)</sup> Of which one AD 14 *ad personam*.  
<sup>(4)</sup> Transformation of one post at 26 April 2016.  
<sup>(5)</sup> Upgradings for 2017.  
<sup>(6)</sup> Cancellation of nine posts (2017).  
<sup>(7)</sup> Transformation of four posts (2017).  
<sup>(8)</sup> Transformation of four posts at 1 February 2017.  
<sup>(9)</sup> Upgradings for 2018.  
<sup>(10)</sup> Transformation of four posts (2018).  
<sup>(11)</sup> Not including the virtual reserve, without allocation of appropriations, for seconded officials in private offices.

SECTION VI

**EUROPEAN ECONOMIC AND SOCIAL COMMITTEE**

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
Economic and Social Committee for the financial year 2018**

| Heading                 | Amount             |
|-------------------------|--------------------|
| Expenditure             | 135 630 905        |
| Own resources           | - 11 928 082       |
| <b>Contribution due</b> | <b>123 702 823</b> |

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                      |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i> | 5 312 917           | 5 013 628           | 4 955 680,—         | 93,28        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>               | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>   | 1 048 002           | 983 267             | 977 534,—           | 93,28        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                              | 6 360 919           | 5 996 895           | 5 933 214,—         | 93,28        |
|              | CHAPTER 4 1                                                                                                                                                      |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                 | 5 567 163           | 5 304 342           | 5 272 313,—         | 94,70        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                           | p.m.                | p.m.                | 279 711,—           |              |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                         | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                              | 5 567 163           | 5 304 342           | 5 552 024,—         | 99,73        |
|              | <b>Title 4 — Total</b>                                                                                                                                           | <b>11 928 082</b>   | <b>11 301 237</b>   | <b>11 485 238,—</b> | <b>96,29</b> |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 312 917           | 5 013 628           | 4 955 680,—         |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 1 048 002           | 983 267             | 977 534,—           |



**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 5 567 163           | 5 304 342           | 5 272 313,—         |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 279 711,—           |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

| Article Item | Heading                                                                                                                                                                                              | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                          |                     |                     |                     |             |
| <b>5 0 0</b> | <b>Proceeds from the sale of movable property (supply of goods)</b>                                                                                                                                  |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                                                  | p.m.                | p.m.                | 111,—               |             |
|              | Article 5 0 0 — Total                                                                                                                                                                                | p.m.                | p.m.                | 111,—               |             |
| <b>5 0 1</b> | <b>Proceeds from the sale of immovable property</b>                                                                                                                                                  | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b>Proceeds from the sale of publications, printed works and films — Assigned revenue</b>                                                                                                            | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                  | p.m.                | p.m.                | 111,—               |             |
|              | CHAPTER 5 1                                                                                                                                                                                          |                     |                     |                     |             |
| <b>5 1 0</b> | <b>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</b>                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| <b>5 1 1</b> | <b>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</b>                                                                                  |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                                                           | p.m.                | p.m.                | 1 505 391,—         |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                                                  | p.m.                | p.m.                | 0,—                 |             |
|              | Article 5 1 1 — Total                                                                                                                                                                                | p.m.                | p.m.                | 1 505 391,—         |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                  | p.m.                | p.m.                | 1 505 391,—         |             |
|              | CHAPTER 5 2                                                                                                                                                                                          |                     |                     |                     |             |
| <b>5 2 0</b> | <b>Revenue from investments or loans granted, bank and other interest on the institution's accounts</b>                                                                                              | p.m.                | p.m.                | 51,—                |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                  | p.m.                | p.m.                | 51,—                |             |
|              | CHAPTER 5 5                                                                                                                                                                                          |                     |                     |                     |             |
| <b>5 5 0</b> | <b>Revenue from the supply of services and work to other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</b> | p.m.                | p.m.                | 2 081 782,—         |             |
| <b>5 5 1</b> | <b>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</b>                                                                                        | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                  | p.m.                | p.m.                | 2 081 782,—         |             |

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION**

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 7                                                                                                                                                                                      |                     |                     |                     |             |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                             | p.m.                | p.m.                | 10 942,—            |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                     | p.m.                | p.m.                | 313 025,—           |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 323 967,—           |             |
|              | CHAPTER 5 8                                                                                                                                                                                      |                     |                     |                     |             |
| 5 8 0        | <i>Revenue arising from indemnities connected with renting — Assigned revenue</i>                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                               | p.m.                | p.m.                | 9 671,—             |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 9 671,—             |             |
|              | CHAPTER 5 9                                                                                                                                                                                      |                     |                     |                     |             |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                              | p.m.                | p.m.                | 4 517,—             |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 4 517,—             |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                           | <b>p.m.</b>         | <b>p.m.</b>         | <b>3 925 490,—</b>  |             |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 111,—               |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 0 1 *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*5 1 1 0 *Proceeds from letting and subletting immovable property — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 505 391,—         |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 1 1 1 *Reimbursement of charges connected with lettings — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

5 2 0 *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 51,—                |

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

**5 5 0 Revenue from the supply of services and work to other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 081 782,—         |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1 Revenue from third parties in respect of services or work supplied at their request — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

**5 7 0 Revenue arising from the repayment of amounts wrongly paid — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 10 942,—            |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1 Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (cont'd)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 313 025,—           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue arising from indemnities connected with renting — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 9 671,—             |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

**5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 4 517,—             |





**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                        | Appropriations 2018 | Appropriations 2017 | Outturn 2016         |
|------------------|--------------------------------------------------------------------------------|---------------------|---------------------|----------------------|
| <b>1</b>         | <b>PERSONS WORKING WITH THE INSTITUTION</b>                                    |                     |                     |                      |
| 1 0              | MEMBERS OF THE INSTITUTION AND DELEGATES                                       | 20 898 223          | 20 530 405          | 20 186 752,—         |
| 1 2              | OFFICIALS AND TEMPORARY STAFF                                                  | 69 699 962          | 67 942 054          | 64 124 889,—         |
| 1 4              | OTHER STAFF AND EXTERNAL SERVICES                                              | 5 487 289           | 5 401 927           | 4 488 570,—          |
| 1 6              | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION             | 1 979 791           | 2 007 248           | 1 873 897,—          |
|                  | <b>Title 1 — Total</b>                                                         | <b>98 065 265</b>   | <b>95 881 634</b>   | <b>90 674 108,—</b>  |
| <b>2</b>         | <b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b> |                     |                     |                      |
| 2 0              | BUILDINGS AND ASSOCIATED COSTS                                                 | 20 566 028          | 20 348 390          | 20 490 331,—         |
| 2 1              | DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE       | 6 174 228           | 6 138 123           | 6 181 204,—          |
| 2 3              | CURRENT ADMINISTRATIVE EXPENDITURE                                             | 580 001             | 513 359             | 620 979,—            |
| 2 5              | OPERATIONAL ACTIVITIES                                                         | 8 382 339           | 8 906 132           | 7 383 042,—          |
| 2 6              | COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION                   | 1 863 044           | 2 019 700           | 1 629 802,—          |
|                  | <b>Title 2 — Total</b>                                                         | <b>37 565 640</b>   | <b>37 925 704</b>   | <b>36 305 358,—</b>  |
| <b>10</b>        | <b>OTHER EXPENDITURE</b>                                                       |                     |                     |                      |
| 10 0             | PROVISIONAL APPROPRIATIONS                                                     | p.m.                | p.m.                | 0,—                  |
| 10 1             | CONTINGENCY RESERVE                                                            | p.m.                | p.m.                | 0,—                  |
| 10 2             | RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS                               | p.m.                | p.m.                | 0,—                  |
|                  | <b>Title 10 — Total</b>                                                        | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |
|                  | <b>GRAND TOTAL</b>                                                             | <b>135 630 905</b>  | <b>133 807 338</b>  | <b>126 979 466,—</b> |

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION AND DELEGATES

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

| Article Item | Heading                                                                                                                                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 1 0                                                                                                                                           |                     |                     |              |             |
| <b>1 0 0</b> | <b>Specific allowances and payments</b>                                                                                                               |                     |                     |              |             |
| 1 0 0 0      | Specific allowances and payments                                                                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 96 080              | 96 080              | 96 059,—     | 99,98       |
| 1 0 0 4      | Travel and subsistence allowances, attendance at meetings and associated expenditure                                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 20 247 625          | 19 889 612          | 19 561 194,— | 96,61       |
| 1 0 0 8      | Travel and subsistence allowances, attendance at meetings and associated expenditure of delegates of the Consultative Commission on Industrial Change |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 488 098             | 479 468             | 472 382,—    | 96,78       |
|              | <i>Article 1 0 0 — Total</i>                                                                                                                          | 20 831 803          | 20 465 160          | 20 129 635,— | 96,63       |
| <b>1 0 5</b> | <b>Further training, language courses and other training</b>                                                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 66 420              | 65 245              | 57 117,—     | 85,99       |
|              | CHAPTER 1 0 — TOTAL                                                                                                                                   | 20 898 223          | 20 530 405          | 20 186 752,— | 96,60       |
|              | CHAPTER 1 2                                                                                                                                           |                     |                     |              |             |
| <b>1 2 0</b> | <b>Remuneration and other entitlements</b>                                                                                                            |                     |                     |              |             |
| 1 2 0 0      | Remuneration and allowances                                                                                                                           |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 68 987 962          | 67 296 213          | 63 810 198,— | 92,49       |
| 1 2 0 2      | Paid overtime                                                                                                                                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 35 000              | 34 000              | 12 422,—     | 35,49       |
| 1 2 0 4      | Entitlements on entering the service, transfer and leaving the service                                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 378 000             | 425 000             | 285 090,—    | 75,42       |
|              | <i>Article 1 2 0 — Total</i>                                                                                                                          | 69 400 962          | 67 755 213          | 64 107 710,— | 92,37       |
| <b>1 2 2</b> | <b>Allowances upon early termination of service</b>                                                                                                   |                     |                     |              |             |
| 1 2 2 0      | Allowances for staff retired or placed on leave in the interests of the service                                                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | 299 000             | 186 841             | 17 179,—     | 5,75        |
| 1 2 2 2      | Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                     | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 1 2 2 — Total</i>                                                                                                                          | 299 000             | 186 841             | 17 179,—     | 5,75        |

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)****CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                                                                   | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|-------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
| <b>1 2 9</b> | <b>Provisional appropriation</b>                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | p.m.                | p.m.                | 0,—          |             |
|              | CHAPTER 1 2 — TOTAL                                                                       | 69 699 962          | 67 942 054          | 64 124 889,— | 92,00       |
|              | CHAPTER 1 4                                                                               |                     |                     |              |             |
| <b>1 4 0</b> | <b>Other staff and external persons</b>                                                   |                     |                     |              |             |
| 1 4 0 0      | Other staff                                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 2 378 121           | 2 261 081           | 2 152 749,—  | 90,52       |
| 1 4 0 4      | Graduate traineeships, grants and exchanges of officials                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 826 385             | 845 920             | 653 179,—    | 79,04       |
| 1 4 0 8      | Entitlements on entering the service, transfer and leaving the service                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 65 000              | 66 000              | 29 791,—     | 45,83       |
|              | Article 1 4 0 — Total                                                                     | 3 269 506           | 3 173 001           | 2 835 719,—  | 86,73       |
| <b>1 4 2</b> | <b>External services</b>                                                                  |                     |                     |              |             |
| 1 4 2 0      | Supplementary services for the translation service                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 1 411 075           | 1 411 075           | 885 000,—    | 62,72       |
| 1 4 2 2      | Expert advice connected with legislative work                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 731 708             | 742 851             | 717 851,—    | 98,11       |
| 1 4 2 4      | Interinstitutional cooperation and external services in the field of personnel management |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 75 000              | 75 000              | 50 000,—     | 66,67       |
|              | Article 1 4 2 — Total                                                                     | 2 217 783           | 2 228 926           | 1 652 851,—  | 74,53       |
| <b>1 4 9</b> | <b>Provisional appropriation</b>                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | p.m.                | p.m.                | 0,—          |             |
|              | CHAPTER 1 4 — TOTAL                                                                       | 5 487 289           | 5 401 927           | 4 488 570,—  | 81,80       |
|              | CHAPTER 1 6                                                                               |                     |                     |              |             |
| <b>1 6 1</b> | <b>Expenditure relating to staff management</b>                                           |                     |                     |              |             |
| 1 6 1 0      | Expenditure on recruitment                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 43 500              | 50 000              | 17 050,—     | 39,20       |
| 1 6 1 2      | Further training                                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                         | 578 200             | 580 000             | 554 401,—    | 95,88       |
|              | Article 1 6 1 — Total                                                                     | 621 700             | 630 000             | 571 451,—    | 91,92       |



EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION AND DELEGATES

1 0 0 *Specific allowances and payments*

## 1 0 0 0 Specific allowances and payments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 96 080              | 96 080              | 96 059,—     |

*Remarks*

This appropriation is intended to cover allowances and payments made to Members of the European Economic and Social Committee, including special duty allowances and other allowances, insurance premiums, including sickness, accident and travel assistance insurance, and specific measures for disabled Members.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 1 0 0 4 Travel and subsistence allowances, attendance at meetings and associated expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 247 625          | 19 889 612          | 19 561 194,— |

*Remarks*

This appropriation is intended to cover payments to Members of the European Economic and Social Committee and their alternates under the current rules on reimbursement of transport costs and travel and meeting allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 1 0 0 8 Travel and subsistence allowances, attendance at meetings and associated expenditure of delegates of the Consultative Commission on Industrial Change

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 488 098             | 479 468             | 472 382,—    |

*Remarks*

This appropriation is intended to cover payments to delegates of the Consultative Commission on Industrial Change (CCMI) and their alternates under the current rules on reimbursement of transport costs and travel and meeting allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION AND DELEGATES** (*cont'd*)**1 0 5** *Further training, language courses and other training*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 66 420              | 65 245              | 57 117,—     |

*Remarks*

This appropriation is intended to subsidise part of the registration fees for language courses or other vocational training seminars attended by Members of the European Economic and Social Committee and delegates of the Consultative Commission on Industrial Change (CCMI).

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF***Remarks*

A standard abatement of 4,5 % has been applied to the appropriations entered in this chapter.

**1 2 0** *Remuneration and other entitlements***1 2 0 0** Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 68 987 962          | 67 296 213          | 63 810 198,— |

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, allowances and payments related to salaries,
- insurance against sickness, accidents and occupational disease and other social security charges,
- the institution's contribution to the Joint Sickness Insurance Scheme,
- flat-rate overtime allowances,
- other allowances and grants, including parental or family leave allowance,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 0 (*cont'd*)

- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments by the institution to temporary staff in order to constitute or maintain their pension rights in their countries of origin,
- the severance payment of a probationer dismissed on grounds of manifest incompetence,
- the payment in respect of the termination by the institution of the contract of a temporary staff member,
- effects of updates of remuneration during the year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 2 0 2 Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 35 000              | 34 000              | 12 422,—     |

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions set out in the abovementioned provisions.

It is also intended to cover the effects of updates of remuneration during the year.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.



CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)

## 1 2 0 4 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 378 000             | 425 000             | 285 090,—    |

*Remarks*

This appropriation is intended to cover:

- travel expenses incurred by officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses incurred by officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- effects of updates of remuneration during the year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 2 2 **Allowances upon early termination of service**

## 1 2 2 0 Allowances for staff retired or placed on leave in the interests of the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 299 000             | 186 841             | 17 179,—     |

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 2 (*cont'd*)1 2 2 0 (*cont'd*)*Remarks*

This appropriation is intended to cover allowances for officials assigned non-active status following a reduction in the number of posts in the institution, for officials placed on leave in the interests of the service or for officials holding a senior executive post who are retired in the interests of the service.

It also covers the employer's contribution to sickness insurance and the impact of weightings applicable to those allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 42c and 50 thereof and Annex IV thereto.

1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- allowances payable under the conditions set out in the abovementioned provisions,
- the employer's contribution towards sickness insurance for persons in receipt of the allowances,
- the impact of the salary weightings applicable to the various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

1 2 9 **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 9** (*cont'd*)*Remarks*

This appropriation was intended to cover the effects of any salary updates decided by the Council during the financial year and has been included in Items 1 2 0 0, 1 2 0 2 and 1 2 0 4.

It is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****1 4 0** ***Other staff and external persons***

1 4 0 0 Other staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 378 121           | 2 261 081           | 2 152 749,—  |

*Remarks*

This appropriation is principally intended to cover the following expenditure:

- the remuneration of other staff including auxiliary, contract and local staff and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), the employer's contribution to the various social security schemes, and the impact of salary weightings applicable to the remuneration of these staff or the termination of contract allowance,
- fees of medical and paramedical staff paid under the arrangements for the provision of services and, in special cases, the employment of temporary agency staff,
- the remuneration and fees of conference operators and multimedia editors used during times of excessive workload or in special cases,
- flat-rate overtime allowances,
- the payment of overtime in accordance with Article 56 of the Staff Regulations and Annex VI thereto,
- other allowances and grants, including the parental or family leave allowance,

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)1 4 0 0 (*cont'd*)

- the payment in respect of the termination by the institution of a staff member's contract,
- effects of updates of remuneration during the year,
- the payment of accidental death insurance premiums.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 4 0 4 Graduate traineeships, grants and exchanges of officials

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 826 385             | 845 920             | 653 179,—    |

*Remarks*

This appropriation is intended to cover:

- allowances, travel and mission expenses for trainees, and accident and sickness insurance during courses,
- expenditure arising from movements between the European Economic and Social Committee and the public sector in the Member States or other countries specified in the rules,
- the contribution, on a limited scale, to the realisation of research projects in the fields of activity of the European Economic and Social Committee which are of particular interest for European integration,
- the cost of programmes to educate young people in the European spirit,
- effects of updates of remuneration during the year,
- the payment of accidental death insurance premiums.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)

## 1 4 0 8 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 65 000              | 66 000              | 29 791,—     |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- the difference between the contributions paid by staff to a Member State pension scheme and those paid to the Union scheme in the event of redefinition of a contract,
- effects of updates of remuneration during the year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 4 2** *External services*

## 1 4 2 0 Supplementary services for the translation service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 411 075           | 1 411 075           | 885 000,—    |

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 2 (*cont'd*)1 4 2 0 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on work carried out by external translation contractors and other outsourced translation related services.

It also covers expenditure on any work entrusted to the Translation Centre for the bodies of the European Union and all interinstitutional cooperation activities in the language area.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

1 4 2 2 Expert advice connected with legislative work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 731 708             | 742 851             | 717 851,—    |

*Remarks*

This appropriation is intended to cover payments to experts of the European Economic and Social Committee under the current rules on reimbursement of transport costs and travel and meeting allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

1 4 2 4 Interinstitutional cooperation and external services in the field of personnel management

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 75 000              | 75 000              | 50 000,—     |

*Remarks*

This appropriation is intended to cover all interinstitutional cooperation activities in the field of personnel management.

It is also intended to cover all external services in the same field.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 9** **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation was intended to cover the effects of any salary updates decided by the Council during the financial year and has been included in Items 1 2 0 0, 1 2 0 2 and 1 2 0 4.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****1 6 1** **Expenditure relating to staff management**

## 1 6 1 0 Expenditure on recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 43 500              | 50 000              | 17 050,—     |

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising procedures for selecting temporary, contract and local staff,
- the payment of consultant services for the selection of management staff (assessment centres),
- the payment of accidental death insurance premiums.

In cases duly justified by operational needs and after consultation of the European Personnel Selection Office, the institution may use some of these appropriations to organise its own competitions.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 1 (*cont'd*)1 6 1 0 (*cont'd*)

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 6 1 2 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 578 200             | 580 000             | 554 401,—    |

*Remarks*

This appropriation is intended to cover:

- the organisation of training and retraining courses as well as language courses for staff, on an interinstitutional basis. Some of the appropriations may be used in duly justified cases to cover the organisation of courses within the institution,
- expenditure relating to the purchase or production of teaching materials and to the performance of specific studies by specialists for devising and implementing training programmes,
- professional training courses which raise awareness about matters concerning people with disabilities and training measures in connection with equal opportunities and career advice, with particular reference to skill profiles,
- staff mission costs related to training.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

1 6 2

**Missions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 398 191             | 438 988             | 354 000,—    |



CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 2** (*cont'd*)*Remarks*

This appropriation is intended to cover transport costs, the payment of daily subsistence allowances and incidental or exceptional expenses incurred on mission.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

Conditions of Employment of Other Servants of the European Union.

**1 6 3** *Activities relating to all persons working with the institution*

## 1 6 3 0 Social welfare

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              | 40 000              | 113 500,—    |

*Remarks*

This appropriation is intended to cover:

— as part of an interinstitutional policy to assist people with disabilities in the following categories:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union,

— the reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the disability, supported by documentary evidence and not covered by the Joint Sickness Insurance Scheme,

— action taken in respect of officials and other servants in particularly difficult situations,

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 0 (*cont'd*)

— the provision of services of a medical or social nature (such as home help, care for sick children, psychological counselling or mediation),

— incidental expenditure in the Welfare Service.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular the third subparagraph of Article 9(3) and Article 76 thereof.

1 6 3 2 Social contacts between members of staff and other social measures

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 164 900             | 171 535             | 150 389,—    |

*Remarks*

This appropriation is intended to encourage and provide financial backing for schemes to promote social contact between staff of the institution and to develop a sense of well-being at work.

It also covers the provision of grants to the Staff Committee so that it can participate in the management and supervision of social bodies such as staff clubs, sports associations, cultural activities, hobbies, etc.

This appropriation is also intended to provide financial support for measures of a social nature adopted by the institution in close collaboration with the Staff Committee (Article 1e of the Staff Regulations).

It also covers the European Economic and Social Committee's contribution towards the social, sporting, educational and cultural activities of the European Interinstitutional Centre at Overijse in Belgium.

This appropriation also covers the implementation of a staff transport plan to encourage the use of public transport, cut private car use and reduce the carbon footprint.

1 6 3 4 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 120 000             | 116 725             | 59 557,—     |

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 6 3 (cont'd)

1 6 3 4 (cont'd)

*Remarks*

This appropriation is intended to cover the operating costs of the medical service, including the purchase of materials and pharmaceutical products, etc., expenditure on preventive medical check-ups, expenditure arising from the operation of the Invalidity Committee and expenditure on services provided by outside medical specialists deemed necessary by the medical officers.

It also covers expenditure involving the purchase of certain work tools deemed necessary on medical grounds.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 6 3 6 Restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover restaurant operating expenditure.

1 6 3 8 Early Childhood Centre and approved day nurseries

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 625 000             | 610 000             | 625 000,—    |

*Remarks*

This appropriation is intended to cover the contributions of the European Economic and Social Committee to the costs of the Union nursery centre and other day nurseries and after-school centres.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation from parental contributions is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 4 Contribution to accredited European Schools**

## 1 6 4 0 Contribution to accredited Type II European Schools

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the European Economic and Social Committee's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the European Economic and Social Committee and based on the Mandate and Service agreement signed with the Commission. It also covers the cost for children of the European Economic and Social Committee's staff enrolled in a Type II European School.

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

| Article Item | Heading                                                                   | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 0                                                               |                     |                     |              |             |
| <b>2 0 0</b> | <b>Buildings</b>                                                          |                     |                     |              |             |
| 2 0 0 0      | Rent                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 2 176 467           | 2 169 393           | 2 044 785,—  | 93,95       |
| 2 0 0 1      | Annual lease payments and similar expenditure                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 12 212 997          | 12 049 281          | 12 347 970,— | 101,11      |
| 2 0 0 3      | Purchase of premises                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 5      | Construction of buildings                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 7      | Fitting-out of premises                                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 398 289             | 397 114             | 566 368,—    | 142,20      |
| 2 0 0 8      | Other expenditure on buildings                                            |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 57 020              | 56 852              | 51 740,—     | 90,74       |
| 2 0 0 9      | Provisional appropriation to cover the institution's property investments |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 2 0 0 — Total</i>                                              | 14 844 773          | 14 672 640          | 15 010 863,— | 101,12      |
| <b>2 0 2</b> | <b>Other expenditure on buildings</b>                                     |                     |                     |              |             |
| 2 0 2 2      | Cleaning and maintenance                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 2 670 606           | 2 662 728           | 2 785 393,—  | 104,30      |
| 2 0 2 4      | Energy consumption                                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 790 311             | 807 921             | 690 000,—    | 87,31       |
| 2 0 2 6      | Security and surveillance                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 2 173 362           | 2 125 372           | 1 953 325,—  | 89,88       |
| 2 0 2 8      | Insurance                                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 86 976              | 79 729              | 50 750,—     | 58,35       |
|              | <i>Article 2 0 2 — Total</i>                                              | 5 721 255           | 5 675 750           | 5 479 468,—  | 95,77       |
|              | <b>CHAPTER 2 0 — TOTAL</b>                                                | 20 566 028          | 20 348 390          | 20 490 331,— | 99,63       |

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE**  
**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**  
**CHAPTER 2 5 — OPERATIONAL ACTIVITIES**

| Article Item | Heading                                                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 1                                                                                       |                     |                     |              |             |
| <b>2 1 0</b> | <b>Equipment, operating costs and services relating to data-processing and telecommunications</b> |                     |                     |              |             |
| 2 1 0 0      | Purchase, servicing and maintenance of equipment and software, and related work                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 1 590 905           | 1 549 824           | 1 723 915,—  | 108,36      |
| 2 1 0 2      | Outside assistance for the operation, development and maintenance of software systems             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 1 907 138           | 1 901 512           | 2 079 300,—  | 109,03      |
| 2 1 0 3      | Telecommunications                                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 1 375 469           | 1 368 534           | 1 169 734,—  | 85,04       |
|              | <i>Article 2 1 0 — Total</i>                                                                      | 4 873 512           | 4 819 870           | 4 972 949,—  | 102,04      |
| <b>2 1 2</b> | <b>Furniture</b>                                                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 145 073             | 144 819             | 80 466,—     | 55,47       |
| <b>2 1 4</b> | <b>Technical equipment and installations</b>                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 1 067 343           | 1 082 549           | 1 067 113,—  | 99,98       |
| <b>2 1 6</b> | <b>Vehicles</b>                                                                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 88 300              | 90 885              | 60 676,—     | 68,72       |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                                        | 6 174 228           | 6 138 123           | 6 181 204,—  | 100,11      |
|              | CHAPTER 2 3                                                                                       |                     |                     |              |             |
| <b>2 3 0</b> | <b>Stationery, office supplies and miscellaneous consumables</b>                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 169 683             | 177 359             | 160 239,—    | 94,43       |
| <b>2 3 1</b> | <b>Financial charges</b>                                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 6 000               | 6 000               | 6 000,—      | 100,00      |
| <b>2 3 2</b> | <b>Legal costs and damages</b>                                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 150 000             | 95 000              | 224 147,—    | 149,43      |
| <b>2 3 6</b> | <b>Postage on correspondence and delivery charges</b>                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 91 350              | 90 000              | 81 000,—     | 88,67       |
| <b>2 3 8</b> | <b>Removal costs and other administrative expenditure</b>                                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 162 968             | 145 000             | 149 593,—    | 91,79       |
|              | <b>CHAPTER 2 3 — TOTAL</b>                                                                        | 580 001             | 513 359             | 620 979,—    | 107,07      |
|              | CHAPTER 2 5                                                                                       |                     |                     |              |             |
| <b>2 5 4</b> | <b>Meetings, conferences, congresses, seminars and other events</b>                               |                     |                     |              |             |
| 2 5 4 0      | Miscellaneous expenditure on internal meetings                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 238 800             | 255 000             | 271 912,—    | 113,87      |

**CHAPTER 2 5 — OPERATIONAL ACTIVITIES (cont'd)****CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION**

| Article Item | Heading                                                                                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018  |
|--------------|-----------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
| <b>2 5 4</b> | <i>(cont'd)</i>                                                                         |                     |                     |                     |              |
| 2 5 4 2      | Expenditure on the organisation of and participation in hearings and other events       |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 604 789             | 617 132             | 410 848,—           | 67,93        |
| 2 5 4 4      | Costs of organising the work of the Consultative Commission on Industrial Change (CCMI) |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 50 000              | 50 000              | 7 958,—             | 15,92        |
| 2 5 4 6      | Representation expenses                                                                 |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 90 000              | 99 000              | 50 000,—            | 55,56        |
| 2 5 4 8      | Interpreting                                                                            |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 7 398 750           | 7 885 000           | 6 642 324,—         | 89,78        |
|              | <i>Article 2 5 4 — Total</i>                                                            | 8 382 339           | 8 906 132           | 7 383 042,—         | 88,08        |
|              | <b>CHAPTER 2 5 — TOTAL</b>                                                              | 8 382 339           | 8 906 132           | 7 383 042,—         | 88,08        |
|              | <b>CHAPTER 2 6</b>                                                                      |                     |                     |                     |              |
| <b>2 6 0</b> | <b>Communication, information and publications</b>                                      |                     |                     |                     |              |
| 2 6 0 0      | Communication                                                                           |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 789 880             | 831 000             | 618 799,—           | 78,34        |
| 2 6 0 2      | Publishing and promotion of publications                                                |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 457 660             | 482 000             | 439 045,—           | 95,93        |
| 2 6 0 4      | Official Journal                                                                        |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 115 786             | 250 000             | 173 250,—           | 149,63       |
|              | <i>Article 2 6 0 — Total</i>                                                            | 1 363 326           | 1 563 000           | 1 231 094,—         | 90,30        |
| <b>2 6 2</b> | <b>Acquisition of information, documentation and archiving</b>                          |                     |                     |                     |              |
| 2 6 2 0      | Studies, research and hearings                                                          |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 250 000             | 205 000             | 203 246,—           | 81,30        |
| 2 6 2 2      | Documentation and library expenditure                                                   |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 157 700             | 158 700             | 104 657,—           | 66,36        |
| 2 6 2 4      | Archiving and related work                                                              |                     |                     |                     |              |
|              | Non-differentiated appropriations                                                       | 92 018              | 93 000              | 90 805,—            | 98,68        |
|              | <i>Article 2 6 2 — Total</i>                                                            | 499 718             | 456 700             | 398 708,—           | 79,79        |
|              | <b>CHAPTER 2 6 — TOTAL</b>                                                              | 1 863 044           | 2 019 700           | 1 629 802,—         | 87,48        |
|              | <b>Title 2 — Total</b>                                                                  | <b>37 565 640</b>   | <b>37 925 704</b>   | <b>36 305 358,—</b> | <b>96,65</b> |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

*Remarks*

Whenever the appropriation is intended to cover expenditure relating to the purchase, or the conclusion of a contract for the supply, of equipment, or the provision of services, the institution will consult the other institutions on the conditions which each has obtained.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (O) L 298, 26.10.2012, p. 1), and in particular Article 60 thereof.

**2 0 0 Buildings**

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 176 467           | 2 169 393           | 2 044 785,—  |

*Remarks*

This appropriation is intended to cover rent on buildings and rental charges for meetings held in buildings that are not occupied permanently.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 0 0 1 Annual lease payments and similar expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 12 212 997          | 12 049 281          | 12 347 970,— |

*Remarks*

This appropriation is intended to cover annual lease payments and other similar expenditure incurred by the institution in respect of its lease/purchase obligations.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.



**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)

2 0 0 3 Purchase of premises

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the purchase of premises. Subsidies for land and its servicing will be dealt with in accordance with the Financial Regulation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 0 0 5 Construction of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This item is intended to accommodate a possible appropriation for the construction of buildings.

2 0 0 7 Fitting-out of premises

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 398 289             | 397 114             | 566 368,—    |

*Remarks*

This appropriation is intended to cover the performance of fitting-out work, including renovation (e.g. to reduce energy consumption under the Eco-Management and Audit Scheme (EMAS)) and specific work such as cabling and security and restaurant-related work as well as other expenditure directly connected with that work, in particular architects' or engineers' fees.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 0 0 8 Other expenditure on buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 57 020              | 56 852              | 51 740,—     |

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (cont'd)**2 0 0** (cont'd)

2 0 0 8 (cont'd)

*Remarks*

This appropriation is intended to cover:

- expenditure on buildings not specifically provided for in the other articles in this chapter, in particular engineering and architectural consultancy services in connection with the fitting-out of premises projects and legal fees in connection with the 'option to buy' for buildings,
- EMAS consultancy services,
- other studies for building projects.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 0 0 9 Provisional appropriation to cover the institution's property investments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover any property investments made by the institution.

It is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

**2 0 2** ***Other expenditure on buildings***

2 0 2 2 Cleaning and maintenance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 670 606           | 2 662 728           | 2 785 393,—  |

*Remarks*

This appropriation is intended to cover the cost of cleaning and maintaining premises, lifts, heating and air-conditioning systems and fire doors, as well as rat extermination, repainting and repair work, and maintaining the external appearance of buildings and their environment, including the cost of studies, analyses, authorisations, compliance with EMAS standards, controls, etc.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)**2 0 2 4** Energy consumption

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 790 311             | 807 921             | 690 000,—    |

*Remarks*

This appropriation is intended to cover, in particular, water, gas, electricity and other energy costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 0 2 6** Security and surveillance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 173 362           | 2 125 372           | 1 953 325,—  |

*Remarks*

This appropriation is intended to cover essentially the costs of staff carrying out security and surveillance tasks in respect of Members, staff and buildings.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 0 2 8** Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 86 976              | 79 729              | 50 750,—     |

*Remarks*

This appropriation is intended to cover payments in respect of insurance policy premiums.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE***Remarks*

Whenever the appropriation is intended to cover expenditure relating to the purchase, or the conclusion of a contract for the supply, of equipment, or the provision of services, the institution will consult the other institutions on the conditions which each has obtained.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (cont'd)

**2 1 0** **Equipment, operating costs and services relating to data-processing and telecommunications**

## 2 1 0 0 Purchase, servicing and maintenance of equipment and software, and related work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 590 905           | 1 549 824           | 1 723 915,—  |

*Remarks*

This appropriation is intended to cover expenditure for the purchase, hire, servicing configuration and maintenance of equipment and software for the institution, and related work.

It also covers costs associated with service level agreements signed with Union institutions (e.g. for the use of information systems) and re-invoicing of other services (notably for IT procurement).

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 1 0 2 Outside assistance for the operation, development and maintenance of software systems

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 907 138           | 1 901 512           | 2 079 300,—  |

*Remarks*

This appropriation is intended to cover the cost of outside assistance from service bureaux and data-processing consultants in connection with the operation of the data-processing centre and the network, the production, development and maintenance of information systems, support for users, including Members, the carrying out of studies, and the drawing-up and input of technical documentation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 1 0 3 Telecommunications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 375 469           | 1 368 534           | 1 169 734,—  |

*Remarks*

This appropriation is intended to cover wired and wireless telecommunication subscriptions and charges (fixed-line and mobile telephony, television), as well as costs incurred in connection with data transmission networks and telematic services. It also covers the co-financing of equipment for Members and delegates enabling them to receive the documents of the European Economic and Social Committee electronically, including any costs associated with terminal equipment.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (cont'd)

**2 1 2 Furniture**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 145 073             | 144 819             | 80 466,—     |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture, the replacement of worn-out and broken furniture.

In connection with works of art, it is also intended to cover both the cost of acquiring and purchasing specific material and the current expenditure relating thereto, including framing, restoration, cleaning, insurance and ad hoc transport costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 1 4 Technical equipment and installations**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 067 343           | 1 082 549           | 1 067 113,—  |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of fixed and mobile technical equipment and installations, in particular in the areas of publishing, archiving, security, canteens, buildings, telephone services, conference rooms and the audiovisual sector.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 1 6 Vehicles**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 88 300              | 90 885              | 60 676,—     |

*Remarks*

This appropriation is intended to cover the purchase, maintenance, use and repair of vehicles (fleet of cars and bicycles) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE

**2 3 0 Stationery, office supplies and miscellaneous consumables**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 169 683             | 177 359             | 160 239,—    |

*Remarks*

This appropriation is intended to cover the cost of purchasing paper, envelopes, office supplies, products required by the printing and duplicating shops and the cost of outside printing work.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 3 1 Financial charges**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 000               | 6 000               | 6 000,—      |

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 3 2 Legal costs and damages**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | 95 000              | 224 147,—    |

*Remarks*

This appropriation is intended to cover:

- all costs deriving from the European Economic and Social Committee's involvement in cases before Union and national courts, the cost of legal services, the purchase of legal works and equipment, and any other legal, court or out-of-court expenses to which the Legal Service contributes,
- damages, interest and any related debts within the meaning of Article 11(3) of the Financial Regulation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (cont'd)

2 3 6 *Postage on correspondence and delivery charges*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 91 350              | 90 000              | 81 000,—     |

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by the postal services or private delivery firms.

2 3 8 *Removal costs and other administrative expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 162 968             | 145 000             | 149 593,—    |

*Remarks*

This appropriation is intended to cover:

- all removal and handling expenses and those incurred by using removal firms or interim handling agents,
- insurance not specifically provided for in another item,
- the purchase and maintenance of uniforms for ushers, drivers and removal personnel, medical services and various technical services,
- miscellaneous operating expenses not specifically provided for in another item.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## CHAPTER 2 5 — OPERATIONAL ACTIVITIES

2 5 4 *Meetings, conferences, congresses, seminars and other events*

## 2 5 4 0 Miscellaneous expenditure on internal meetings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 238 800             | 255 000             | 271 912,—    |

*Remarks*

This appropriation is intended to cover the cost of drinks and, occasionally, of snacks and working meals, at internal meetings.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 2 5 — OPERATIONAL ACTIVITIES (cont'd)

2 5 4 (cont'd)

2 5 4 0 (cont'd)

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 5 4 2 Expenditure on the organisation of and participation in hearings and other events

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 604 789             | 617 132             | 410 848,—    |

*Remarks*

This appropriation is intended to cover expenses, including representation expenses as well as the cost of participation of external participants, related to (a) events organised by the European Economic and Social Committee, (b) overall contributions where the event is co-organised with third parties and (c) expenses connected with contracting out the organisation or part of the organisation of an event.

It also covers expenditure incurred as a result of (a) visits to the European Economic and Social Committee by delegations from socio-professional interest groups, (b) the participation of the European Economic and Social Committee in the activities of the International Association of Economic and Social Councils and Similar Institutions and (c) the activities of the Association of Former Members of the European Economic and Social Committee.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 5 4 4 Costs of organising the work of the Consultative Commission on Industrial Change (CCMI)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              | 50 000              | 7 958,—      |

*Remarks*

This appropriation is intended to cover the operating expenses of the Consultative Commission on Industrial Change (CCMI), excluding the travel expenses and allowances for Members of the European Economic and Social Committee and delegates of the CCMI.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 5 4 6 Representation expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 90 000              | 99 000              | 50 000,—     |



**CHAPTER 2 5 — OPERATIONAL ACTIVITIES** (cont'd)

2 5 4 (cont'd)

2 5 4 6 (cont'd)

*Remarks*

This appropriation is intended to cover expenses related to the obligations of the institution regarding representation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 5 4 8 Interpreting

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 398 750           | 7 885 000           | 6 642 324,—  |

*Remarks*

This appropriation is intended to cover expenditure for the services of interpreters (either freelance or made available by another institution) provided to the European Economic and Social Committee, including their fees, travel expenses and subsistence allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION**2 6 0 **Communication, information and publications**

2 6 0 0 Communication

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 789 880             | 831 000             | 618 799,—    |

*Remarks*

This appropriation is intended to cover the European Economic and Social Committee's communication and information expenses, whether relating to the objectives and activities of the Committee, information activities aimed at the general public or socio-occupational organisations, media coverage of conferences, congresses and seminars, or the organisation and media coverage of major public events, cultural initiatives or any other of the Committee's various events, including the organised civil society prize. It also covers all materials, services, consumables and supplies connected with these events.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION (cont'd)

## 2 6 0 (cont'd)

## 2 6 0 2 Publishing and promotion of publications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 457 660             | 482 000             | 439 045,—    |

*Remarks*

This appropriation is intended to cover the European Economic and Social Committee's publication costs on all media.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 6 0 4 Official Journal

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 115 786             | 250 000             | 173 250,—    |

*Remarks*

This appropriation is intended to cover printing expenses for publications in the *Official Journal of the European Union*, as well as postage and associated costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 6 2 **Acquisition of information, documentation and archiving**

## 2 6 2 0 Studies, research and hearings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 250 000             | 205 000             | 203 246,—    |

*Remarks*

This appropriation is intended to cover the cost of hearings of experts in specific fields and studies contracted out to experts and research institutes.

## 2 6 2 2 Documentation and library expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 157 700             | 158 700             | 104 657,—    |

**CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION** (*cont'd*)2 6 2 (*cont'd*)2 6 2 2 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the expansion and renewal of the general reference section and the updating of the library's collection,
- subscriptions to newspapers, periodicals, information agencies, their publications and online services, including copyright fees for the copying and distribution in print or electronic form of these publications, and service contracts for press reviews and cuttings,
- subscriptions or service contracts for the provision of summaries and analyses of the content of periodicals or the storage on optical media of articles extracted from these periodicals,
- the costs of using external documentary and statistical databases, excluding information technology equipment and telecommunication costs,
- costs arising from obligations undertaken by the European Economic and Social Committee in the framework of international and/or interinstitutional cooperation,
- the purchase or hire of special equipment, including electric, electronic and IT library, documentation and multimedia equipment and systems, as well as external services for the acquisition, development, installation, use and maintenance of this equipment and these systems,
- the cost of services connected with the activities of the library, including those provided for its users (searches, analyses), the quality management system, etc.,
- binding and conservation materials and work for the library, documentation service and multimedia resource centre,
- the cost, including materials, of internal publications (brochures, studies, etc.) and communication (newsletters, videos, CD-ROMs, etc.),
- the purchase of dictionaries, glossaries and other works for the language service.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 6 2 4 Archiving and related work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 92 018              | 93 000              | 90 805,—     |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION** (*cont'd*)

**2 6 2** (*cont'd*)

**2 6 2 4** (*cont'd*)

*Remarks*

This appropriation is intended to cover:

- the cost of binding the *Official Journal of the European Union* and various brochures,
- the cost of external archiving services, including sorting, filing and refiling, the cost of archiving services, the acquisition and use of archive materials on back-up media (microfilm, disk, cassette, etc.), as well as the purchase, hire and maintenance of special materials (electric, electronic, IT) and the cost of publishing on all media (brochures, CD-ROMs, etc.).

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## TITLE 10

## OTHER EXPENDITURE

CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

CHAPTER 10 1 — CONTINGENCY RESERVE

CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|----------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 2            | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 2 — TOTAL    | p.m.                | p.m.                | 0,—                  |              |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |              |
|              | <b>GRAND TOTAL</b>      | <b>135 630 905</b>  | <b>133 807 338</b>  | <b>126 979 466,—</b> | <b>93,62</b> |

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## STAFF

## Section VI — European Economic and Social Committee

| Function group and grade | 2018            |                 | 2017            |                 |
|--------------------------|-----------------|-----------------|-----------------|-----------------|
|                          | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|                          | Non-category    | —               | 1               | —               |
| AD 16                    | 1               | —               | 1               | —               |
| AD 15                    | 5               | —               | 6               | —               |
| AD 14                    | 18              | 1               | 20              | 1               |
| AD 13                    | 29              | 3               | 33              | 3               |
| AD 12                    | 41              | —               | 41              | —               |
| AD 11                    | 22              | 1               | 22              | 1               |
| AD 10                    | 29              | 2               | 21              | 2               |
| AD 9                     | 52              | 7               | 38              | 7               |
| AD 8                     | 47              | —               | 49              | —               |
| AD 7                     | 29              | 2               | 35              | 2               |
| AD 6                     | 21              | 2               | 28              | 2               |
| AD 5                     | 19              | 2               | 17              | 2               |
| Subtotal AD              | 313             | 20              | 311             | 20              |
| AST 11                   | 5               | —               | 6               | —               |
| AST 10                   | 6               | —               | 8               | —               |
| AST 9                    | 20              | —               | 16              | —               |
| AST 8                    | 28              | —               | 25              | —               |
| AST 7                    | 40              | 3               | 40              | 3               |
| AST 6                    | 48              | 2               | 49              | 2               |
| AST 5                    | 56              | 5               | 54              | 5               |
| AST 4                    | 45              | 2               | 45              | 2               |
| AST 3                    | 32              | 3               | 42              | 3               |
| AST 2                    | —               | —               | 3               | —               |
| AST 1                    | 1               | —               | —               | —               |
| Subtotal AST             | 281             | 15              | 288             | 15              |
| AST/SC 6                 | —               | —               | —               | —               |
| AST/SC 5                 | —               | —               | —               | —               |
| AST/SC 4                 | —               | —               | —               | —               |
| AST/SC 3                 | 13              | —               | 13              | —               |
| AST/SC 2                 | 11              | 3               | 9               | 3               |
| AST/SC 1                 | 11              | —               | 5               | —               |
| Subtotal AST/SC          | 35              | 3               | 27              | 3               |
| <b>Total</b>             | <b>629</b>      | <b>39</b>       | <b>626</b>      | <b>39</b>       |
| <b>Grand total</b>       | <b>668</b>      |                 | <b>665</b>      |                 |

*SECTION VII*

**EUROPEAN COMMITTEE OF THE REGIONS**



## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
Committee of the Regions for the financial year 2018**

| Heading                 | Amount            |
|-------------------------|-------------------|
| Expenditure             | 96 100 540        |
| Own resources           | - 9 231 349       |
| <b>Contribution due</b> | <b>86 869 191</b> |

COMMITTEE OF THE REGIONS

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                      |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i> | 4 073 564           | 3 711 179           | 3 663 748,—         | 89,94        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>               | p.m.                | p.m.                | 227,—               |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>   | 811 250             | 742 064             | 729 635,—           | 89,94        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                              | 4 884 814           | 4 453 243           | 4 393 610,—         | 89,94        |
|              | CHAPTER 4 1                                                                                                                                                      |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                 | 4 346 485           | 4 148 349           | 3 909 212,—         | 89,94        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                           | p.m.                | p.m.                | 45 595,—            |              |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                         | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                              | 4 346 485           | 4 148 349           | 3 954 807,—         | 90,99        |
|              | <b>Title 4 — Total</b>                                                                                                                                           | <b>9 231 299</b>    | <b>8 601 592</b>    | <b>8 348 417,—</b>  | <b>90,44</b> |

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 4 073 564           | 3 711 179           | 3 663 748,—         |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 227,—               |

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof, in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 811 250             | 742 064             | 729 635,—           |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 4 346 485           | 4 148 349           | 3 909 212,—         |

## COMMITTEE OF THE REGIONS

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)4 1 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

4 1 1 **Transfer or purchase of pension rights by staff**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 45 595,—            |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 11(2) and Articles 17 and 48 of Annex VIII thereto.

4 1 2 **Contributions to the pension scheme by officials and temporary staff on leave on personal grounds**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 40(3) and 83(2) thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Articles 41 and 43 thereof.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

| Article Item | Heading                                                                                                                                                                                                       | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property</i></b>                                                                                                                                                      |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                         | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                                                                  | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 1</b> | <b><i>Proceeds from the sale of immovable property</i></b>                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>                                                                                                              | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 1 0</b> | <b><i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i></b>                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>                                                                                    |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                                                                                                                  | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>                                                                                                | 50                  | 866                 | 48,—                | 96,00       |
| <b>5 2 2</b> | <b><i>Interest yielded by pre-financing</i></b>                                                                                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                           | 50                  | 866                 | 48,—                | 96,00       |
|              | CHAPTER 5 5                                                                                                                                                                                                   |                     |                     |                     |             |
| <b>5 5 0</b> | <b><i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b> | p.m.                | p.m.                | 0,—                 |             |
| <b>5 5 1</b> | <b><i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i></b>                                                                                          | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                           | p.m.                | p.m.                | 0,—                 |             |

## COMMITTEE OF THE REGIONS

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                         | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 5 7                                                                                                                                                                                     |                     |                     |                     |              |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                            | p.m.                | p.m.                | 0,—                 |              |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to the institution — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |              |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                    | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 5 8                                                                                                                                                                                     |                     |                     |                     |              |
| 5 8 0        | <i>Revenue arising from indemnities connected with renting — Assigned revenue</i>                                                                                                               | p.m.                | p.m.                | 0,—                 |              |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                              | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 5 9                                                                                                                                                                                     |                     |                     |                     |              |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                             | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                             | p.m.                | p.m.                | 0,—                 |              |
|              | <b>Title 5 — Total</b>                                                                                                                                                                          | <b>50</b>           | <b>866</b>          | <b>48,—</b>         | <b>96,00</b> |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

**5 0 0** *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 0 1** *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

**5 0 2** *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article shall also include the proceeds of the sale of these products by electronic means.

## COMMITTEE OF THE REGIONS

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*

## 5 1 1 0 Proceeds from letting and subletting immovable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 1 1 1 Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

5 2 0 *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 50                  | 866                 | 48,—                |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest on the institution's accounts.



CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)5 2 2 *Interest yielded by pre-financing*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from interest on pre-financing.

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

5 5 0 *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## COMMITTEE OF THE REGIONS

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (cont'd)

5 7 0 (cont'd)

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue arising from indemnities connected with renting — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (*cont'd*)**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article also includes reimbursement by insurance companies of the salaries of officials involved in accidents.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS****5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record other revenue from administrative operations.

COMMITTEE OF THE REGIONS

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE**

| Article<br>Item | Heading                      | Financial year<br>2018 | Financial year<br>2017 | Financial year<br>2016 | % 2016/2018  |
|-----------------|------------------------------|------------------------|------------------------|------------------------|--------------|
| <b>9 0 0</b>    | CHAPTER 9 0                  |                        |                        |                        |              |
|                 | <i>Miscellaneous revenue</i> | p.m.                   | p.m.                   | 0,—                    |              |
|                 | CHAPTER 9 0 — TOTAL          | p.m.                   | p.m.                   | 0,—                    |              |
|                 |                              |                        |                        |                        |              |
|                 | <b>Title 9 — Total</b>       | <b>p.m.</b>            | <b>p.m.</b>            | <b>0,—</b>             |              |
|                 | <b>GRAND TOTAL</b>           | <b>9 231 349</b>       | <b>8 602 458</b>       | <b>8 348 465,—</b>     | <b>90,44</b> |

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0** *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record miscellaneous revenue.

COMMITTEE OF THE REGIONS

**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                        | Appropriations 2018 | Appropriations 2017 | Outturn 2016        |
|---------------|--------------------------------------------------------------------------------|---------------------|---------------------|---------------------|
| <b>1</b>      | <b>PERSONS WORKING WITH THE INSTITUTION</b>                                    |                     |                     |                     |
| 1 0           | MEMBERS OF THE INSTITUTION                                                     | 8 876 750           | 9 207 955           | 8 727 075,—         |
| 1 2           | OFFICIALS AND TEMPORARY STAFF                                                  | 52 670 000          | 50 088 423          | 46 991 691,—        |
| 1 4           | OTHER STAFF AND EXTERNAL SERVICES                                              | 9 165 135           | 8 972 191           | 8 103 005,—         |
| 1 6           | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION             | 1 705 661           | 1 780 661           | 1 746 258,—         |
|               | <b>Title 1 — Total</b>                                                         | <b>72 417 546</b>   | <b>70 049 230</b>   | <b>65 568 029,—</b> |
| <b>2</b>      | <b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b> |                     |                     |                     |
| 2 0           | BUILDINGS AND ASSOCIATED COSTS                                                 | 15 524 008          | 15 275 750          | 15 288 517,—        |
| 2 1           | DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE       | 4 453 946           | 4 261 583           | 4 146 077,—         |
| 2 3           | ADMINISTRATIVE EXPENDITURE                                                     | 339 354             | 338 409             | 317 834,—           |
| 2 5           | MEETINGS AND CONFERENCES                                                       | 803 900             | 803 292             | 794 395,—           |
| 2 6           | EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION | 2 561 786           | 2 566 682           | 3 235 771,—         |
|               | <b>Title 2 — Total</b>                                                         | <b>23 682 994</b>   | <b>23 245 716</b>   | <b>23 782 594,—</b> |
| <b>10</b>     | <b>OTHER EXPENDITURE</b>                                                       |                     |                     |                     |
| 10 0          | PROVISIONAL APPROPRIATIONS                                                     | p.m.                | p.m.                | 0,—                 |
| 10 1          | CONTINGENCY RESERVE                                                            | p.m.                | p.m.                | 0,—                 |
| 10 2          | RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS                               | p.m.                | p.m.                | 0,—                 |
|               | <b>Title 10 — Total</b>                                                        | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |
|               | <b>GRAND TOTAL</b>                                                             | <b>96 100 540</b>   | <b>93 294 946</b>   | <b>89 350 623,—</b> |

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

| Article Item | Heading                                                                              | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 1 0                                                                          |                     |                     |              |             |
| <b>1 0 0</b> | <b>Salaries, allowances and payments</b>                                             |                     |                     |              |             |
| 1 0 0 0      | Salaries, allowances and payments                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 115 000             | 115 000             | 444 000,—    | 386,09      |
| 1 0 0 4      | Travel and subsistence allowances, attendance at meetings and associated expenditure |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 8 746 750           | 9 077 955           | 8 258 075,—  | 94,41       |
|              | <i>Article 1 0 0 — Total</i>                                                         | 8 861 750           | 9 192 955           | 8 702 075,—  | 98,20       |
| <b>1 0 5</b> | <b>Courses for Members of the institution</b>                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 15 000              | 15 000              | 25 000,—     | 166,67      |
|              | CHAPTER 1 0 — TOTAL                                                                  | 8 876 750           | 9 207 955           | 8 727 075,—  | 98,31       |
|              | CHAPTER 1 2                                                                          |                     |                     |              |             |
| <b>1 2 0</b> | <b>Remuneration and other entitlements</b>                                           |                     |                     |              |             |
| 1 2 0 0      | Remuneration and allowances                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 52 161 000          | 49 549 423          | 46 759 866,— | 89,65       |
| 1 2 0 2      | Paid overtime                                                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 60 000              | 61 000              | 21 882,—     | 36,47       |
| 1 2 0 4      | Entitlements on entering the service, transfer and leaving the service               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 249 000             | 278 000             | 209 943,—    | 84,31       |
|              | <i>Article 1 2 0 — Total</i>                                                         | 52 470 000          | 49 888 423          | 46 991 691,— | 89,56       |
| <b>1 2 2</b> | <b>Allowances upon early termination of service</b>                                  |                     |                     |              |             |
| 1 2 2 0      | Allowances for staff retired in the interests of the service                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | 200 000             | 200 000             | 0,—          |             |
| 1 2 2 2      | Allowances for staff whose service is terminated and special retirement scheme       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                    | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 1 2 2 — Total</i>                                                         | 200 000             | 200 000             | 0,—          |             |

## COMMITTEE OF THE REGIONS

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)****CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                                                                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
| <b>1 2 9</b> | <b>Provisional appropriation</b>                                                                                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | p.m.                | p.m.                | 0,—          |             |
|              | CHAPTER 1 2 — TOTAL                                                                                                                    | 52 670 000          | 50 088 423          | 46 991 691,— | 89,22       |
|              | CHAPTER 1 4                                                                                                                            |                     |                     |              |             |
| <b>1 4 0</b> | <b>Other staff and external persons</b>                                                                                                |                     |                     |              |             |
| 1 4 0 0      | Other staff                                                                                                                            |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 2 753 231           | 2 518 975           | 2 734 112,—  | 99,31       |
| 1 4 0 2      | Interpreting services                                                                                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 4 113 347           | 4 021 000           | 3 740 880,—  | 90,94       |
| 1 4 0 4      | Graduate traineeships, grants and exchanges of officials                                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 842 970             | 817 816             | 681 800,—    | 80,88       |
| 1 4 0 5      | Supplementary services for the accounting service                                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | p.m.                | p.m.                | 0,—          |             |
| 1 4 0 8      | Entitlements on entering the service, transfer and leaving the service and other expenditure for services to staff during their career |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 100 000             | 75 000              | 70 000,—     | 70,00       |
|              | Article 1 4 0 — Total                                                                                                                  | 7 809 548           | 7 432 791           | 7 226 792,—  | 92,54       |
| <b>1 4 2</b> | <b>External services</b>                                                                                                               |                     |                     |              |             |
| 1 4 2 0      | Supplementary services for the translation service                                                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 935 587             | 1 118 200           | 538 668,—    | 57,58       |
| 1 4 2 2      | Expert assistance relating to consultative work                                                                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 420 000             | 421 200             | 337 545,—    | 80,37       |
|              | Article 1 4 2 — Total                                                                                                                  | 1 355 587           | 1 539 400           | 876 213,—    | 64,64       |
| <b>1 4 9</b> | <b>Provisional appropriation</b>                                                                                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | p.m.                | p.m.                | 0,—          |             |
|              | CHAPTER 1 4 — TOTAL                                                                                                                    | 9 165 135           | 8 972 191           | 8 103 005,—  | 88,41       |
|              | CHAPTER 1 6                                                                                                                            |                     |                     |              |             |
| <b>1 6 1</b> | <b>Expenditure relating to staff management</b>                                                                                        |                     |                     |              |             |
| 1 6 1 0      | Miscellaneous expenditure on recruitment                                                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 40 000              | 40 000              | 45 000,—     | 112,50      |
| 1 6 1 2      | Further training, retraining and information for staff                                                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                      | 435 136             | 435 136             | 391 608,—    | 90,00       |
|              | Article 1 6 1 — Total                                                                                                                  | 475 136             | 475 136             | 436 608,—    | 91,89       |



## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

| Article Item | Heading                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018   |
|--------------|------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------|
| <b>1 6 2</b> | <b>Missions</b>                                                        |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | 395 000             | 395 000             | 452 500,—           | 114,56        |
| <b>1 6 3</b> | <b>Activities relating to all persons working with the institution</b> |                     |                     |                     |               |
| 1 6 3 0      | Social welfare                                                         |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | 20 000              | 20 000              | 7 000,—             | 35,00         |
| 1 6 3 2      | Internal social policy                                                 |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | 31 000              | 31 000              | 34 680,—            | 111,87        |
| 1 6 3 3      | Mobility/Transport                                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | 60 000              | 60 000              | 55 000,—            | 91,67         |
| 1 6 3 4      | Medical service                                                        |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | 124 525             | 124 525             | 100 300,—           | 80,55         |
| 1 6 3 6      | Restaurants and canteens                                               |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—                 |               |
| 1 6 3 8      | Early Childhood Centre and approved day nurseries                      |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | 600 000             | 675 000             | 660 170,—           | 110,03        |
|              | <i>Article 1 6 3 — Total</i>                                           | 835 525             | 910 525             | 857 150,—           | 102,59        |
| <b>1 6 4</b> | <b>Contribution to accredited European Schools</b>                     |                     |                     |                     |               |
| 1 6 4 0      | Contribution to accredited Type II European Schools                    |                     |                     |                     |               |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—                 |               |
|              | <i>Article 1 6 4 — Total</i>                                           | p.m.                | p.m.                | 0,—                 |               |
|              | <b>CHAPTER 1 6 — TOTAL</b>                                             | <b>1 705 661</b>    | <b>1 780 661</b>    | <b>1 746 258,—</b>  | <b>102,38</b> |
|              | <b>Title 1 — Total</b>                                                 | <b>72 417 546</b>   | <b>70 049 230</b>   | <b>65 568 029,—</b> | <b>90,54</b>  |

COMMITTEE OF THE REGIONS

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Salaries, allowances and payments*

## 1 0 0 0 Salaries, allowances and payments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 115 000             | 115 000             | 444 000,—    |

*Remarks*

This appropriation is intended to cover the office expenses of Members called upon to perform duties and assume responsibilities within the European Committee of the Regions or who have acted as rapporteurs, the costs for providing a sickness and accident insurance, the cost of providing their laissez-passers as well as to finance the pilot project on the cost of computer and telecommunication equipment and services to Members.

## 1 0 0 4 Travel and subsistence allowances, attendance at meetings and associated expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 746 750           | 9 077 955           | 8 258 075,—  |

*Remarks*

This appropriation is intended to cover payments to Members of the European Committee of the Regions and their alternates under the current rules on reimbursement of transport costs and travel and meeting allowances. It may as well cover transport costs and travel and meeting allowances of observers or their alternates of applicant countries who participate at the work of the European Committee of the Regions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

1 0 5 *Courses for Members of the institution*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 000              | 15 000              | 25 000,—     |

*Remarks*

This appropriation is intended to subsidise part of the registration fees for language courses or other vocational training seminars attended by Members and alternate Members of the European Committee of the Regions as well as the purchase of language self-tuition material in accordance with Regulation (Committee of the Regions) No 003/2005.

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF***Remarks*

A standard abatement of 6,0 % has been applied to the appropriations entered in this chapter.

**1 2 0 Remuneration and other entitlements****1 2 0 0** Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 52 161 000          | 49 549 423          | 46 759 866,— |

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, family allowances, expatriation and foreign residence allowances and payments related to salaries,
- the institution's contribution to the Joint Sickness Insurance Scheme (insurance against sickness, accidents and occupational disease),
- flat-rate overtime allowances,
- other miscellaneous allowances and grants,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments by the institution to temporary staff in order to constitute or maintain their pension rights in their countries of origin,
- the severance payment of a probationer dismissed on grounds of manifest incompetence,
- the payment in respect of the termination by the institution of the contract of a temporary staff member.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## COMMITTEE OF THE REGIONS

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

## 1 2 0 (cont'd)

## 1 2 0 2 Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 000              | 61 000              | 21 882,—     |

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions set out in the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

## 1 2 0 4 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 249 000             | 278 000             | 209 943,—    |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

**1 2 2 Allowances upon early termination of service**

## 1 2 2 0 Allowances for staff retired in the interests of the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 200 000             | 200 000             | 0,—          |

*Remarks*

This appropriation is intended to cover allowances due to officials:

- assigned non-active status in connection with action to reduce the number of posts in the institution,
- holding an AD 16 or AD 15 grade post who are retired in the interests of the service.

It also covers the employer's contribution to sickness insurance and the impact of weightings applicable to those allowances.

## 1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- allowances payable under the Staff Regulations or Regulation (ECSC, EEC, Euratom) No 3518/85,
- the employer's contribution towards sickness insurance for the persons in receipt of the allowances,
- the impact of the salary weightings applicable to the various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

Council Regulation (ECSC, EEC, Euratom) No 3518/85 of 12 December 1985 introducing special measures to terminate the service of officials of the European Communities as a result of the accession of Spain and Portugal (OJ L 335, 13.12.1985, p. 56).

**1 2 9 Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## COMMITTEE OF THE REGIONS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 9 (*cont'd*)*Remarks*

This appropriation is intended to cover the effects of any salary adjustments decided by the Council during the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 ***Other staff and external persons***

1 4 0 0 Other staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 753 231           | 2 518 975           | 2 734 112,—  |

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration, including for overtime, of other staff including contract staff, interim agents and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), the employer's contributions to the various social security schemes, family, expatriation and travelling allowances from the place of employment to the country of origin and the impact of salary weightings applicable to the remuneration of these staff or the termination of contract allowance,
- fees of medical and paramedical staff paid under the arrangements for the provision of services and, in special cases, the employment of temporary agency staff.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 13 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (cont'd)

## 1 4 0 (cont'd)

## 1 4 0 2 Interpreting services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 113 347           | 4 021 000           | 3 740 880,—  |

*Remarks*

This appropriation is intended to cover expenditure on interpreting services.

It covers the fees, social security contributions, travel expenses and subsistence allowances of interpreters employed.

## 1 4 0 4 Graduate traineeships, grants and exchanges of officials

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 842 970             | 817 816             | 681 800,—    |

*Remarks*

This appropriation is intended to cover:

- payment of traineeship grants, travel expenses for trainees and other expenditure arising from the institution's internship and trainee alumni programme (such as accident and sickness insurance during their stay),
- expenditure arising from movements of staff between the European Committee of the Regions and the public sector in the Member States or other countries specified in the rules,
- the contribution, on a limited scale, to the realisation of research projects in the fields of activity of the European Committee of the Regions which are of particular interest for European integration.

## 1 4 0 5 Supplementary services for the accounting service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover services regarding development, implementation, advice and consultancy services in accounting and financial IT Systems.

## 1 4 0 8 Entitlements on entering the service, transfer and leaving the service and other expenditure for services to staff during their career

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 75 000              | 70 000,—     |

## COMMITTEE OF THE REGIONS

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)1 4 0 8 (*cont'd*)*Remarks*

This appropriation is intended to cover costs for services related to the establishment and payment of entitlements for officials, temporary and other staff of the European Committee of the Regions. Since such services may include, amongst others, services offered by the Commission's Office for the Administration and Payment of Individual Entitlements, interinstitutional cooperation will be enhanced and benefits will result from economies of scale, thus bringing about savings. Such services may include the following:

- the transfer of pension rights from and to the country of origin,
- the calculation of pension rights,
- the establishment and payment of resettlement allowances,
- the management of files relating to unemployment benefits and the payment of benefits to those who qualify.

It is also intended to cater for expenditure for the provision of other horizontal human resources related services to officials, temporary and other staff of the European Committee of the Regions (and their family members) throughout their career such as opening up access for European Committee of the Regions staff to the activities organised by the Commission's Welcome Office and the handling of expat administrative support files related to Protocol No 7 on the privileges and immunities of the European Union.

In the event that the handling of other non-strategic human resources processes with pecuniary impact (such as the establishment of individual rights pursuant to the provisions of Annex VII to the Staff Regulations) would be outsourced, the related expenditure shall also be covered from this appropriation.

In order to generate further economies of scale, the provision of such services will, as a rule, be operated through enhanced interinstitutional cooperation.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 4 2 **External services**

1 4 2 0 Supplementary services for the translation service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 935 587             | 1 118 200           | 538 668,—    |



**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 2** (*cont'd*)1 4 2 0 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on work carried out by external translation contractors: freelance translation into 24 official Union languages and also into non-Union languages is performed by contractors under framework contracts, except in case of some non-Union languages where there are no similar procedures.

It also covers expenditure on any work entrusted to the Translation Centre in Luxembourg and all interinstitutional cooperation activities in the language area.

1 4 2 2 Expert assistance relating to consultative work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 420 000             | 421 200             | 337 545,—    |

*Remarks*

This appropriation is intended to cover payments to experts of rapporteurs and speakers in their specific fields who participate in the activities of the European Committee of the Regions, in implementation of the rules governing these expenses.

**1 4 9** ***Provisional appropriation***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effects of any salary adjustments decided by the Council during the financial year.

This appropriation is purely provisional and may only be used after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Conditions of Employment of Other Servants of the European Union.

## COMMITTEE OF THE REGIONS

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

1 6 1 *Expenditure relating to staff management*

## 1 6 1 0 Miscellaneous expenditure on recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 40 000              | 45 000,—     |

*Remarks*

This appropriation is intended to cover miscellaneous costs related to recruitment such as:

- expenditure related to the organisation of open and/or internal competitions, selection and/or recruitment procedures for all categories of staff (officials, temporary agents, contract agents, special advisors, seconded national experts), including travel and subsistence expenses for applicants called for oral or written tests, medical examinations, etc.,
- expenditure related to the insurance for the abovementioned applicants,
- expenditure related to selection procedures for management positions, including assessment centres,
- publication of vacancy or recruitment notices in the appropriate medias,
- etc.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

## 1 6 1 2 Further training, retraining and information for staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 435 136             | 435 136             | 391 608,—    |

*Remarks*

This appropriation is intended to cover:

- the organisation of training and retraining courses, including language courses, organised internally, offered on an interinstitutional basis or provided by external stakeholders,

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 1** (*cont'd*)1 6 1 2 (*cont'd*)

- the organisation of staff or management seminars,
- acquisition of external expertise in the area of human resources management,
- the development and deployment of personal, professional or organisational development tools for officials, temporary and other staff of the European Committee of the Regions,
- expenditure relating to the purchase or production of teaching materials,
- professional training courses which raise awareness about matters concerning disabled people and training measures in connection with equal opportunities or careers advice, with particular reference to skill profiles.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

**1 6 2** **Missions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 395 000             | 395 000             | 452 500,—    |

*Remarks*

This appropriation is intended to cover transport expenses, the payment of daily subsistence allowances and other expenses provided for in the European Committee of the Regions missions guide incurred in carrying out a mission.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11 to 13 of Annex VII thereto.

Conditions of Employment of Other Servants of the European Union.

**1 6 3** **Activities relating to all persons working with the institution**

## 1 6 3 0 Social welfare

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              | 20 000              | 7 000,—      |

## COMMITTEE OF THE REGIONS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 0 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- as part of an interinstitutional policy to assist persons with disabilities in the following categories:
  - officials and temporary or contract staff in active employment,
  - spouses of officials and temporary or contract staff in active employment,
  - dependent children within the meaning of the Staff Regulations of Officials of the European Union,
  - the reimbursement, subject to budgetary ceilings and once any national entitlements granted in the country of residence or of origin have been exhausted, of non-medical expenditure that is deemed necessary, results from the disability, is duly substantiated and is not refunded by the Joint Sickness Insurance Scheme,
- action taken in respect of individual officials and other servants in particularly difficult situations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof (including the corresponding provisions of Articles 30 and 98 of the Conditions of Employment of Other Servants of the European Union).

1 6 3 2 Internal social policy

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 31 000              | 31 000              | 34 680,—     |

*Remarks*

This appropriation is intended to develop collective social actions towards staff members (and their families) and to encourage, and provide financial backing for, schemes to promote social contact between staff of different nationalities (including external contractors' staff members regularly employed on Committee premises), for example subsidies to staff clubs, sports associations, cultural societies, etc.

It also covers the financing of a grant for the Staff Committee, incidental expenditure for social actions towards staff and the European Committee of the Regions' contribution towards the social, sporting, educational and cultural activities of the European Interinstitutional Centre at Overijse.

This appropriation is also intended to finance actions undertaken in support of equal opportunities at the European Committee of the Regions and to cover aid to members of staff other than aid chargeable to other articles in this chapter.

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

1 6 3 (cont'd)

1 6 3 2 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 9(3) and Articles 10b and 24b thereof.

1 6 3 3 Mobility/Transport

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 000              | 60 000              | 55 000,—     |

*Remarks*

This appropriation is intended to cover the measures foreseen in the mobility plan such as support to promote the use of public transport, service bicycles, etc.

1 6 3 4 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 124 525             | 124 525             | 100 300,—    |

*Remarks*

This appropriation is intended to cover the operating costs of the medical service at the six places of work, including the purchase of materials and pharmaceutical products, etc., expenditure on preventive medical check-ups (including the expenses for outsourced laboratory fees), expenditure arising from the operation of the Invalidation Committee and expenditure on services provided by outside medical specialists deemed necessary by the medical officers.

It also covers expenditure for the purchase of certain work tools deemed necessary on medical grounds and other expenditure made in the context of the institution's preventive health policy, including the organisation of staff awareness campaigns on socio-medical topics of general interest, as well as focusing on prevention of psychosocial risks at work, prevention and support in respect of burn out, and optimising nutritional intelligence.

This appropriation is also intended to cover the costs of any medical services which cannot be adequately provided in-house and which are outsourced, possibly through enhanced inter-institutional cooperation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

## COMMITTEE OF THE REGIONS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 3** (*cont'd*)

## 1 6 3 6 Restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover restaurant and cafeteria operating expenditure.

## 1 6 3 8 Early Childhood Centre and approved day nurseries

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 600 000             | 675 000             | 660 170,—    |

*Remarks*

This appropriation is intended to cover the contributions of the European Committee of the Regions to the costs of nursery centres and other day care and after-school centres operated by or approved by the institutions of the Union, and any other expenditure generated for child care facility purposes.

**1 6 4** ***Contribution to accredited European Schools***

## 1 6 4 0 Contribution to accredited Type II European Schools

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the Committee's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the Committee and based on the Mandate and Service agreement signed with the Commission. It will cover the cost for children of the Committee's staff enrolled in a Type II European School.

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

| Article Item | Heading                                                                   | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 0                                                               |                     |                     |              |             |
| <b>2 0 0</b> | <b>Buildings and associated costs</b>                                     |                     |                     |              |             |
| 2 0 0 0      | Rent                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 1 640 339           | 1 612 135           | 1 560 113,—  | 95,11       |
| 2 0 0 1      | Annual lease payments                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 8 981 466           | 8 920 578           | 8 459 496,—  | 94,19       |
| 2 0 0 3      | Acquisition of immovable property                                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 5      | Construction of buildings                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | p.m.                | p.m.                | 0,—          |             |
| 2 0 0 7      | Fitting-out of premises                                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 198 469             | 198 469             | 1 136 288,—  | 572,53      |
| 2 0 0 8      | Other expenditure on buildings                                            |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 83 288              | 42 090              | 166 804,—    | 200,27      |
| 2 0 0 9      | Provisional appropriation to cover the institution's property investments |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 2 0 0 — Total</i>                                              | 10 903 562          | 10 773 272          | 11 322 701,— | 103,84      |
| <b>2 0 2</b> | <b>Other expenditure on buildings</b>                                     |                     |                     |              |             |
| 2 0 2 2      | Cleaning and maintenance                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 2 350 907           | 1 971 327           | 1 957 244,—  | 83,25       |
| 2 0 2 4      | Energy consumption                                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 606 470             | 598 137             | 510 000,—    | 84,09       |
| 2 0 2 6      | Security and surveillance of buildings                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 1 602 638           | 1 877 540           | 1 461 447,—  | 91,19       |
| 2 0 2 8      | Insurance                                                                 |                     |                     |              |             |
|              | Non-differentiated appropriations                                         | 60 431              | 55 474              | 37 125,—     | 61,43       |
|              | <i>Article 2 0 2 — Total</i>                                              | 4 620 446           | 4 502 478           | 3 965 816,—  | 85,83       |
|              | <b>CHAPTER 2 0 — TOTAL</b>                                                | 15 524 008          | 15 275 750          | 15 288 517,— | 98,48       |

## COMMITTEE OF THE REGIONS

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE**  
**CHAPTER 2 3 — ADMINISTRATIVE EXPENDITURE**

| Article Item | Heading                                                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016       | % 2016/2018  |
|--------------|---------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------------|--------------|
|              | CHAPTER 2 1                                                                                       |                     |                     |                    |              |
| <b>2 1 0</b> | <b>Equipment, operating costs and services relating to data processing and telecommunications</b> |                     |                     |                    |              |
| 2 1 0 0      | Purchase, servicing and maintenance of equipment and software, and related work                   |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 1 220 505           | 1 220 505           | 1 212 224,—        | 99,32        |
| 2 1 0 2      | Outside assistance for the operation, development and maintenance of software systems             |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 1 853 131           | 1 850 184           | 1 854 316,—        | 100,06       |
| 2 1 0 3      | Telecommunications                                                                                |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 191 205             | 189 627             | 150 530,—          | 78,73        |
|              | <i>Article 2 1 0 — Total</i>                                                                      | <b>3 264 841</b>    | <b>3 260 316</b>    | <b>3 217 070,—</b> | <b>98,54</b> |
| <b>2 1 2</b> | <b>Furniture</b>                                                                                  |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 95 402              | 95 657              | 54 934,—           | 57,58        |
| <b>2 1 4</b> | <b>Technical equipment and installations</b>                                                      |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 1 020 845           | 836 091             | 810 962,—          | 79,44        |
| <b>2 1 6</b> | <b>Vehicles</b>                                                                                   |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 72 858              | 69 519              | 63 111,—           | 86,62        |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                                        | <b>4 453 946</b>    | <b>4 261 583</b>    | <b>4 146 077,—</b> | <b>93,09</b> |
|              | CHAPTER 2 3                                                                                       |                     |                     |                    |              |
| <b>2 3 0</b> | <b>Stationery, office supplies and miscellaneous consumables</b>                                  |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 126 752             | 127 253             | 112 527,—          | 88,78        |
| <b>2 3 1</b> | <b>Financial charges</b>                                                                          |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 1 500               | 1 500               | 2 000,—            | 133,33       |
| <b>2 3 2</b> | <b>Legal costs and damages</b>                                                                    |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 30 000              | 30 000              | 30 000,—           | 100,00       |
| <b>2 3 6</b> | <b>Postage on correspondence and delivery charges</b>                                             |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 65 975              | 65 975              | 64 000,—           | 97,01        |
| <b>2 3 8</b> | <b>Other administrative expenditure</b>                                                           |                     |                     |                    |              |
|              | Non-differentiated appropriations                                                                 | 115 127             | 113 681             | 109 307,—          | 94,94        |
|              | <b>CHAPTER 2 3 — TOTAL</b>                                                                        | <b>339 354</b>      | <b>338 409</b>      | <b>317 834,—</b>   | <b>93,66</b> |



**CHAPTER 2 5 — MEETINGS AND CONFERENCES****CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION**

| Article Item | Heading                                                                                                                                                                              | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 5                                                                                                                                                                          |                     |                     |              |             |
| <b>2 5 4</b> | <b>Meetings, conferences, congresses, seminars and other events</b>                                                                                                                  |                     |                     |              |             |
| 2 5 4 0      | Costs of meetings organised in Brussels                                                                                                                                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 141 250             | 141 442             | 141 000,—    | 99,82       |
| 2 5 4 1      | Third parties                                                                                                                                                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 72 800              | 72 000              | 66 990,—     | 92,02       |
| 2 5 4 2      | Organisation of events (in Brussels or in decentralised locations) in partnership with local and regional authorities, with their associations and with the other Union institutions |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 439 850             | 439 850             | 479 105,—    | 108,92      |
| 2 5 4 6      | Representation expenses                                                                                                                                                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 150 000             | 150 000             | 107 300,—    | 71,53       |
|              | <i>Article 2 5 4 — Total</i>                                                                                                                                                         | 803 900             | 803 292             | 794 395,—    | 98,82       |
|              | <b>CHAPTER 2 5 — TOTAL</b>                                                                                                                                                           | 803 900             | 803 292             | 794 395,—    | 98,82       |
|              | CHAPTER 2 6                                                                                                                                                                          |                     |                     |              |             |
| <b>2 6 0</b> | <b>Communication and publications</b>                                                                                                                                                |                     |                     |              |             |
| 2 6 0 0      | Relations with the press (European, national, regional, local or specialised) and conclusion of partnerships with audiovisual, written or radio media                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 682 210             | 682 210             | 927 441,—    | 135,95      |
| 2 6 0 2      | Edition and distribution of paper, audiovisual, electronic or web-based (Internet/Intranet) information supports                                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 774 471             | 774 471             | 977 654,—    | 126,24      |
| 2 6 0 4      | Official Journal                                                                                                                                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 54 731              | 120 000             | 114 000,—    | 208,29      |
|              | <i>Article 2 6 0 — Total</i>                                                                                                                                                         | 1 511 412           | 1 576 681           | 2 019 095,—  | 133,59      |
| <b>2 6 2</b> | <b>Acquisition of documentation and archiving</b>                                                                                                                                    |                     |                     |              |             |
| 2 6 2 0      | External expertise and studies                                                                                                                                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 500 000             | 449 410             | 511 080,—    | 102,22      |
| 2 6 2 2      | Documentation and library expenditure                                                                                                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 90 730              | 81 647              | 98 969,—     | 109,08      |
| 2 6 2 4      | Expenditure on archive resources                                                                                                                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                                                                                    | 140 700             | 140 000             | 137 427,—    | 97,67       |
|              | <i>Article 2 6 2 — Total</i>                                                                                                                                                         | 731 430             | 671 057             | 747 476,—    | 102,19      |



## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

*Remarks*

In 2017, the joint services of the two committees, under Title 2, represented an amount of EUR 24 622 424 for the European Economic and Social Committee and EUR 18 512 104 for the European Committee of the Regions.

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 *Buildings and associated costs*

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 640 339           | 1 612 135           | 1 560 113,—  |

*Remarks*

This appropriation is intended to cover rent on buildings and rental charges for meetings held in buildings that are not occupied permanently.

## 2 0 0 1 Annual lease payments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 981 466           | 8 920 578           | 8 459 496,—  |

*Remarks*

This appropriation is intended to cover annual lease payments and other similar expenditure owed by the institution in respect of its lease/purchase obligations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 0 0 3 Acquisition of immovable property

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the purchase of premises. Subsidies for land and its servicing will be dealt with in accordance with the Financial Regulation.

## COMMITTEE OF THE REGIONS

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (cont'd)

2 0 0 (cont'd)

2 0 0 5 Construction of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This item is intended to accommodate a possible appropriation for the construction of buildings.

2 0 0 7 Fitting-out of premises

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 198 469             | 198 469             | 1 136 288,—  |

*Remarks*

This appropriation is intended to cover the performance of fitting-out works, including renovation (e.g. to reduce energy consumption under the EMAS scheme) and specific work such as cabling and security and restaurant-related work, as well as other expenditure connected with that work, in particular architects' or engineers' fees.

2 0 0 8 Other expenditure on buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 83 288              | 42 090              | 166 804,—    |

*Remarks*

This appropriation is intended to cover expenditure on buildings not specifically provided for in the other articles in this chapter, in particular:

- engineering and architectural consultancy services in connection with projects on the fitting-out of premises and legal fees in connection with the 'option to buy' for buildings,
- EMAS consultancy services,
- other studies for building projects.

2 0 0 9 Provisional appropriation to cover the institution's property investments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 9** (*cont'd*)*Remarks*

This appropriation is intended to cover any property investments made by the institution.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

**2 0 2** ***Other expenditure on buildings*****2 0 2 2** Cleaning and maintenance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 350 907           | 1 971 327           | 1 957 244,—  |

*Remarks*

This appropriation is intended to cover the cost of cleaning and maintaining premises, lifts, heating and air-conditioning systems and fire doors, as well as rat extermination, repainting and repair work, and maintaining the external appearance of buildings and their environment, including the cost of studies, analyses, authorisations, compliance with EMAS standards, controls, etc.

**2 0 2 4** Energy consumption

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 606 470             | 598 137             | 510 000,—    |

*Remarks*

This appropriation is intended to cover, in particular, water, gas and electricity costs and other energy costs.

**2 0 2 6** Security and surveillance of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 602 638           | 1 877 540           | 1 461 447,—  |

*Remarks*

This appropriation is intended to cover essentially the costs of staff carrying out security and surveillance tasks in respect of Members, staff and buildings.

## COMMITTEE OF THE REGIONS

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (cont'd)

## 2 0 2 (cont'd)

## 2 0 2 8 Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 431              | 55 474              | 37 125,—     |

## Remarks

This appropriation is intended to cover payments in respect of insurance policy premiums.

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE

2 1 0 **Equipment, operating costs and services relating to data processing and telecommunications**

## 2 1 0 0 Purchase, servicing and maintenance of equipment and software, and related work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 220 505           | 1 220 505           | 1 212 224,—  |

## Remarks

This appropriation is intended to cover expenditure for the purchase, hire, servicing, configuration and maintenance of equipment and software for the institution, and related work.

This appropriation is also intended to cover the costs associated with service level agreements signed with Union Institutions (e.g. for the use of information systems, notably with the Commission for Sysper, EU Learn, ABAC and other related applications) and re-invoicing of other services (notably for IT procurement).

## 2 1 0 2 Outside assistance for the operation, development and maintenance of software systems

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 853 131           | 1 850 184           | 1 854 316,—  |

## Remarks

This appropriation is intended to cover the cost of outside assistance from service bureaux and data-processing consultants in connection with the operation of the data-processing centre and the network, the production, development and maintenance of information systems, support for users, including Members, the carrying out of studies, and the drawing-up and input of technical documentation.

This appropriation is also intended to cover the costs associated with the development and maintenance of information systems specific to the European Committee of the Regions.

## 2 1 0 3 Telecommunications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 191 205             | 189 627             | 150 530,—    |

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE** (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 3** (*cont'd*)*Remarks*

This appropriation is intended to cover wired and wireless telecommunication subscriptions and charges (fixed-line and mobile telephony, television), as well as costs incurred in connection with data transmission networks and telematic services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

**2 1 2** **Furniture**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 95 402              | 95 657              | 54 934,—     |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture and the replacement of worn-out and broken furniture.

In connection with works of art, this appropriation is intended to cover both the cost of acquiring and purchasing specific material and the current expenditure relating thereto, including framing, restoration, cleaning, insurance and ad hoc transport costs.

**2 1 4** **Technical equipment and installations**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 020 845           | 836 091             | 810 962,—    |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of technical equipment and installations, and in particular in the areas of:

- miscellaneous fixed and mobile technical equipment and installations in connection with publishing, archiving, security, canteens and buildings, etc.,
- equipment in particular for the print shop, archives, telephone service, canteens, staff shops, security, conferences, the audiovisual sector, etc.,
- maintenance and repair of technical equipment and installations of internal meeting and conference rooms.

## COMMITTEE OF THE REGIONS

CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)2 1 6 **Vehicles**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 72 858              | 69 519              | 63 111,—     |

*Remarks*

This appropriation is intended to cover the purchase, maintenance, use and repair of vehicles (fleet of cars and bicycles) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover.

## CHAPTER 2 3 — ADMINISTRATIVE EXPENDITURE

2 3 0 **Stationery, office supplies and miscellaneous consumables**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 126 752             | 127 253             | 112 527,—    |

*Remarks*

This appropriation is intended to cover the costs of purchasing paper, envelopes, office supplies, products required by the printing and duplicating shops and the cost of some outside printing work.

2 3 1 **Financial charges**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 500               | 1 500               | 2 000,—      |

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

2 3 2 **Legal costs and damages**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 30 000              | 30 000              | 30 000,—     |

*Remarks*

This appropriation is intended to cover:

- all costs deriving from the European Committee of the Regions' involvement in cases before Union and national courts, the cost of legal services, the purchase of legal works and equipment, and any other legal, court or out-of-court expenses,
- damages, interest and any related debts within the meaning of Article 11(3) of the Financial Regulation.



## CHAPTER 2 3 — ADMINISTRATIVE EXPENDITURE (cont'd)

2 3 6 *Postage on correspondence and delivery charges*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 65 975              | 65 975              | 64 000,—     |

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by the postal services or private delivery firms.

2 3 8 *Other administrative expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 115 127             | 113 681             | 109 307,—    |

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- the purchase and maintenance of uniforms for ushers, drivers and removal personnel, medical services and various technical services,
- all removal and handling expenses and those incurred by using removal firms or interim handling agents,
- miscellaneous operating expenses, such as decorations, donations, etc.

## CHAPTER 2 5 — MEETINGS AND CONFERENCES

2 5 4 *Meetings, conferences, congresses, seminars and other events*

## 2 5 4 0 Costs of meetings organised in Brussels

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 141 250             | 141 442             | 141 000,—    |

*Remarks*

This appropriation is intended to cover the cost of water, coffee and tea for interpreters and participants during statutory meetings and other thematic activities organised in the European Committee of the Regions premises as well as during plenary sessions organised in Brussels. Occasionally this appropriation also covers snacks or working meals at internal meetings under the conditions laid down by the Secretary General. Moreover, this appropriation provides a limited budget for the cabinet of the president and the secretariats of the political groups for the purchase of coffee, tea and other drinks to offer to external visitors.

## COMMITTEE OF THE REGIONS

## CHAPTER 2 5 — MEETINGS AND CONFERENCES (cont'd)

## 2 5 4 (cont'd)

## 2 5 4 1 Third parties

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 72 800              | 72 000              | 66 990,—     |

*Remarks*

This appropriation is intended to cover the payment of travel and accommodation expenses for third parties who participate at activities of the European Committee of the Regions.

## 2 5 4 2 Organisation of events (in Brussels or in decentralised locations) in partnership with local and regional authorities, with their associations and with the other Union institutions

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 439 850             | 439 850             | 479 105,—    |

*Remarks*

This appropriation is intended to cover expenses, including representation and logistical expenses for:

- the organisation by the European Committee of the Regions of events, of general or specific nature, designed to promote its political and consultative works; such events take place either in Brussels or in decentralised locations, usually in partnerships with local and regional authorities, with their associations and with the other Union institutions,
- the participation of the European Committee of the Regions to congresses, conferences, colloquia, seminars or symposia organised by third parties (Union Institutions, local or regional authorities, their associations, etc.).

## 2 5 4 6 Representation expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | 150 000             | 107 300,—    |

*Remarks*

This appropriation is intended to cover expenses related to the obligations of the institution regarding representation.

It also covers representation expenses of certain officials acting on behalf of the institution.

## CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION

2 6 0 *Communication and publications*

2 6 0 0 Relations with the press (European, national, regional, local or specialised) and conclusion of partnerships with audiovisual, written or radio media

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 682 210             | 682 210             | 927 441,—    |

*Remarks*

This appropriation is intended to cover the costs of:

- the hosting of local and regional journalists in Brussels during meetings of the European Committee of the Regions and during events organised by it;
- public communications and information initiatives of the European Committee of the Regions for the promotion of events or actions organised by it, including any related audiovisual services and materials;
- media partnerships and media monitoring production supports.

2 6 0 2 Edition and distribution of paper, audiovisual, electronic or web-based (Internet/Intranet) information supports

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 774 471             | 774 471             | 977 654,—    |

*Remarks*

This appropriation is intended to cover the costs for the production of web, social media, digital and printed content. Moreover, it will cover costs related to measuring the impact of communication actions of the European Committee of the Regions.

The Digital Communication Strategy of the European Committee of the Regions as well as the European Committee of the Region's Communication Strategy 2015-2020 and the annual plans related to will be a key driver for this purpose.

This appropriation will cover, inter alia, the further digitization of publications, improving the impact of content based on social media and web monitoring tools, maintaining and enhancing the website of the European Committee of the Regions as well as a number of innovative actions.

2 6 0 4 Official Journal

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 54 731              | 120 000             | 114 000,—    |

*Remarks*

This appropriation is intended to cover printing expenses for publications in the *Official Journal of the European Union*, as well as postage and associated costs.

## COMMITTEE OF THE REGIONS

CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (*cont'd*)**2 6 2** *Acquisition of documentation and archiving*

## 2 6 2 0 External expertise and studies

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 500 000             | 449 410             | 511 080,—    |

*Remarks*

This appropriation is intended to cover studies contracted out to experts and research institutes.

## 2 6 2 2 Documentation and library expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 90 730              | 81 647              | 98 969,—     |

*Remarks*

This appropriation is intended to cover:

- the expansion and renewal of the general reference section and the updating of the library's collection,
- subscriptions to newspapers, periodicals, information agencies, their publications and online services, including copyright fees for the copying and distribution in print or electronic form of these publications,
- subscriptions or service contracts for the provision of summaries and analyses of the content of periodicals or the storage on optical media of articles extracted from these periodicals,
- the costs of using external documentary and statistical databases, excluding information technology equipment and telecommunication costs,
- costs arising from obligations undertaken by the European Committee of the Regions in the framework of international and/or interinstitutional cooperation,
- the purchase or hire of special equipment, including electric, electronic and IT materials and/or systems for library (traditional or hybrid), as well as external services for the acquisition, development, installation, use and maintenance of this equipment and these systems,
- the cost of services connected with the activities of the library, including those provided for its users (searches, analyses), the quality management system, etc.,
- the costs of binding and conservation materials and work for the library, documentation service and multimedia resource centre,
- the purchase of dictionaries, glossaries and other reference works for the Directorate for Translation.

## CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (cont'd)

2 6 2 (cont'd)

2 6 2 4 Expenditure on archive resources

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 140 700             | 140 000             | 137 427,—    |

*Remarks*

This appropriation is intended to cover the cost of external archiving services, including sorting, filing and re-filing, the cost of archiving services, the acquisition and use of archive materials on back-up media (microfilm, disk, cassette, etc.), as well as the purchase, hire and maintenance of special materials (electric, electronic, IT) and the cost of publishing on all media (brochures, CD-ROMs, etc.).

2 6 4 ***Expenditure on publications, information and on participation in public events: information and communication activities***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 318 944             | 318 944             | 469 200,—    |

*Remarks*

This appropriation is intended to cover expenditure resulting from the political and information activities of Committee Members in the exercise of their European mandate:

- promoting and enhancing the role of the Members of the European Committee of the Regions through the activities of their political groups,
- informing citizens on the role of the European Committee of the Regions as the institutional representative of the regional and local authorities of the Union.

*Legal basis*

Regulation (Committee of the Regions) No 0008/2010 on the financing of the political and information activities of Members of the Committee of the Regions.

COMMITTEE OF THE REGIONS

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE****CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 2            | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 2 — TOTAL    | p.m.                | p.m.                | 0,—                 |              |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |              |
|              | <b>GRAND TOTAL</b>      | <b>96 100 540</b>   | <b>93 294 946</b>   | <b>89 350 623,—</b> | <b>92,98</b> |

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is purely provisional and may be used only after transfer to other budget chapters in accordance with the Financial Regulation.

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

**CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

COMMITTEE OF THE REGIONS

## STAFF

## Section VII — European Committee of the Regions

| Function group and grade | 2018            |                 | 2017            |                 |
|--------------------------|-----------------|-----------------|-----------------|-----------------|
|                          | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|                          | Non-category    | —               | 1               | —               |
| AD 16                    | —               | —               | —               | —               |
| AD 15                    | 6               | —               | 6               | —               |
| AD 14                    | 25              | 3               | 25              | 3               |
| AD 13                    | 21              | 2               | 20              | 2               |
| AD 12                    | 28              | 3               | 26              | 2               |
| AD 11                    | 21              | 1               | 22              | 2               |
| AD 10                    | 26              | 4               | 23              | 4               |
| AD 9                     | 39              | 3               | 35              | 3               |
| AD 8                     | 53              | 5               | 55              | 3               |
| AD 7                     | 22              | 4               | 29              | 6               |
| AD 6                     | 15              | 14              | 14              | 14              |
| AD 5                     | 2               | —               | 1               | —               |
| Subtotal AD              | 258             | 39              | 256             | 39              |
| AST 11                   | 5               | —               | 5               | —               |
| AST 10                   | 5               | —               | 5               | —               |
| AST 9                    | 10              | —               | 9               | —               |
| AST 8                    | 15              | 1               | 14              | 1               |
| AST 7                    | 24              | 2               | 21              | 2               |
| AST 6                    | 31              | 1               | 30              | 1               |
| AST 5                    | 50              | 6               | 49              | 6               |
| AST 4                    | 29              | 4               | 36              | 3               |
| AST 3                    | 1               | 1               | 1               | 2               |
| AST 2                    | —               | 1               | —               | 1               |
| AST 1                    | —               | —               | —               | —               |
| Subtotal AST             | 170             | 16              | 170             | 16              |
| AST/SC 6                 | 1               | —               | 1               | —               |
| AST/SC 5                 | —               | —               | —               | —               |
| AST/SC 4                 | —               | —               | —               | —               |
| AST/SC 3                 | —               | —               | —               | —               |
| AST/SC 2                 | 6               | —               | 6               | —               |
| AST/SC 1                 | —               | —               | —               | —               |
| Subtotal AST/SC          | 7               | —               | 7               | —               |
| <b>Total</b>             | <b>435</b>      | <b>56</b>       | <b>433</b>      | <b>56</b>       |
| <b>Grand total</b>       | <b>491</b>      |                 | <b>489</b>      |                 |



*SECTION VIII*

**EUROPEAN OMBUDSMAN**

EUROPEAN OMBUDSMAN

## REVENUE

**Contribution of the European Union to the financing of the  
expenditure of the European Ombudsman for the financial year 2018**

| Heading                 | Amount           |
|-------------------------|------------------|
| Expenditure             | 10 837 545       |
| Own resources           | - 1 290 851      |
| <b>Contribution due</b> | <b>9 546 694</b> |

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                      |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i> | 641 445             | 671 423             | 534 298,01          | 83,30        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>               | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>   | 80 564              | 103 069             | 91 613,39           | 113,72       |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                              | 722 009             | 774 492             | 625 911,40          | 86,69        |
|              | CHAPTER 4 1                                                                                                                                                      |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                                 | 568 842             | 575 930             | 495 130,02          | 87,04        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                           | p.m.                | p.m.                | 0,—                 |              |
| 4 1 2        | <i>Contributions to the pension scheme by officials and other agents on leave on personal grounds</i>                                                            | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                              | 568 842             | 575 930             | 495 130,02          | 87,04        |
|              | <b>Title 4 — Total</b>                                                                                                                                           | <b>1 290 851</b>    | <b>1 350 422</b>    | <b>1 121 041,42</b> | <b>86,85</b> |

EUROPEAN OMBUDSMAN

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 641 445             | 671 423             | 534 298,01          |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15), and in particular Article 10(2) and (3) thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this article will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof in the version in force until 15 December 2003.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 80 564              | 103 069             | 91 613,39           |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Staff Regulations of Officials of the European Union, in particular Article 66a thereof, and Conditions of Employment of Other Servants of the European Union.

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15), and in particular Article 10(2) and (3) thereof.

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 568 842             | 575 930             | 495 130,02          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) thereof and Article 48 of Annex VIII thereto.

EUROPEAN OMBUDSMAN

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)**4 1 2 Contributions to the pension scheme by officials and other agents on leave on personal grounds**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Union, in particular Article 40(3) thereof, and Article 17 of the Conditions of Employment of Other Servants of the European Union.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

| Article Item | Heading                                                                                                                    | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property (supply of goods)</i></b>                                                 |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                      | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                        | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                       | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                               | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 1</b> | <b><i>Proceeds from the sale of immovable property</i></b>                                                                 | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>                           | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                        | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1                                                                                                                |                     |                     |                     |             |
| <b>5 1 0</b> | <b><i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i></b>                                   | p.m.                | p.m.                | 0,—                 |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b> |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                 | p.m.                | p.m.                | 0,—                 |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                        | p.m.                | p.m.                | 0,—                 |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                        | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2                                                                                                                |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>             | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                        | p.m.                | p.m.                | 0,—                 |             |

EUROPEAN OMBUDSMAN

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK**  
**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION**  
**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**  
**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                                | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 5                                                                                                                                                                                            |                     |                     |                     |             |
| 5 5 0        | <i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
| 5 5 1        | <i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>                                                                                          | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 7                                                                                                                                                                                            |                     |                     |                     |             |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                                   | p.m.                | p.m.                | 0,—                 |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>       | p.m.                | p.m.                | 0,—                 |             |
| 5 7 2        | <i>Repayment of welfare expenditure incurred on behalf of another institution</i>                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 8                                                                                                                                                                                            |                     |                     |                     |             |
| 5 8 0        | <i>Revenue from payments connected with lettings — Assigned revenue</i>                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9                                                                                                                                                                                            |                     |                     |                     |             |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                                 | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |             |



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It also records the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution. It also records the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## EUROPEAN OMBUDSMAN

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (*cont'd*)5 0 1 *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (h) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products stored on an electronic medium.

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*5 1 1 0 *Proceeds from letting and subletting immovable property — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING** (*cont'd*)**5 1 1** (*cont'd*)**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest credited to or debited from the institutions' accounts.

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK****5 5 0** *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

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**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK** (*cont'd*)**5 5 1** (*cont'd*)*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (c) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (d) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (cont'd)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue from payments connected with lettings — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (g) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (f) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

**5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record other revenue from administrative operations.



**TITLE 6****CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      *Other contributions and refunds*

## 6 6 0 0      Other assigned contributions and refunds — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record, pursuant to Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 and which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.





**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 396,24              |

*Remarks*

This article is intended to record miscellaneous revenue.

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**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                                            | Appropriations 2018 | Appropriations 2017 | Outturn 2016         |
|---------------|------------------------------------------------------------------------------------|---------------------|---------------------|----------------------|
| <b>1</b>      | <b>EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION</b>                |                     |                     |                      |
| 1 0           | MEMBERS OF THE INSTITUTION                                                         | 478 500             | 481 880             | 557 797,24           |
| 1 2           | OFFICIALS AND TEMPORARY STAFF                                                      | 6 950 483           | 6 978 883           | 6 294 766,34         |
| 1 4           | OTHER STAFF AND OUTSIDE SERVICES                                                   | 856 078             | 856 078             | 626 392,20           |
| 1 6           | OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION                 | 359 000             | 373 000             | 438 076,09           |
|               | <b>Title 1 — Total</b>                                                             | <b>8 644 061</b>    | <b>8 689 841</b>    | <b>7 917 031,87</b>  |
| <b>2</b>      | <b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>     |                     |                     |                      |
| 2 0           | BUILDINGS AND ASSOCIATED COSTS                                                     | 1 042 984           | 1 000 000           | 824 214,04           |
| 2 1           | DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE           | 275 000             | 275 000             | 544 693,90           |
| 2 3           | CURRENT ADMINISTRATIVE EXPENDITURE                                                 | 409 200             | 399 300             | 432 066,80           |
|               | <b>Title 2 — Total</b>                                                             | <b>1 727 184</b>    | <b>1 674 300</b>    | <b>1 800 974,74</b>  |
| <b>3</b>      | <b>EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b> |                     |                     |                      |
| 3 0           | MEETINGS AND CONFERENCES                                                           | 245 000             | 280 000             | 241 189,73           |
| 3 2           | EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION    | 202 000             | 242 000             | 187 369,14           |
| 3 3           | STUDIES AND OTHER SUBSIDIES                                                        | 17 800              | 17 800              | 20 300,—             |
| 3 4           | EXPENSES RELATING TO THE OMBUDSMAN'S DUTIES                                        | 1 500               | 1 500               | 1 350,—              |
|               | <b>Title 3 — Total</b>                                                             | <b>466 300</b>      | <b>541 300</b>      | <b>450 208,87</b>    |
| <b>10</b>     | <b>OTHER EXPENDITURE</b>                                                           |                     |                     |                      |
| 10 0          | PROVISIONAL APPROPRIATIONS                                                         | p.m.                | p.m.                | 0,—                  |
| 10 1          | CONTINGENCY RESERVE                                                                | p.m.                | p.m.                | 0,—                  |
|               | <b>Title 10 — Total</b>                                                            | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |
|               | <b>GRAND TOTAL</b>                                                                 | <b>10 837 545</b>   | <b>10 905 441</b>   | <b>10 168 215,48</b> |

## TITLE 1

## EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

| Article Item | Heading                                                                                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 1 0                                                                                                      |                     |                     |              |             |
| <b>1 0 0</b> | <b>Salaries, allowances and payments related to salaries</b>                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 433 500             | 436 880             | 406 302,28   | 93,73       |
| <b>1 0 2</b> | <b>Temporary allowances</b>                                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 124 000,—    |             |
| <b>1 0 3</b> | <b>Pensions</b>                                                                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 8 000               | 8 000               | 2 935,32     | 36,69       |
| <b>1 0 4</b> | <b>Mission expenses</b>                                                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 35 000              | 35 000              | 22 579,64    | 64,51       |
| <b>1 0 5</b> | <b>Language and data-processing courses</b>                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 2 000               | 2 000               | 1 980,—      | 99,00       |
| <b>1 0 8</b> | <b>Allowances and expenses on entering and leaving the service</b>                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—          |             |
|              | CHAPTER 1 0 — TOTAL                                                                                              | 478 500             | 481 880             | 557 797,24   | 116,57      |
|              | CHAPTER 1 2                                                                                                      |                     |                     |              |             |
| <b>1 2 0</b> | <b>Remuneration and other entitlements</b>                                                                       |                     |                     |              |             |
| 1 2 0 0      | Remuneration and allowances                                                                                      |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 6 887 483           | 6 915 883           | 6 216 931,06 | 90,26       |
| 1 2 0 2      | Paid overtime                                                                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 3 000               | 3 000               | 0,—          | 0           |
| 1 2 0 4      | Entitlements on entering the service, transfer and leaving the service                                           |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | 60 000              | 60 000              | 77 835,28    | 129,73      |
|              | Article 1 2 0 — Total                                                                                            | 6 950 483           | 6 978 883           | 6 294 766,34 | 90,57       |
| <b>1 2 2</b> | <b>Allowances upon early termination of service</b>                                                              |                     |                     |              |             |
| 1 2 2 0      | Allowances for staff retired in the interests of the service                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—          |             |
| 1 2 2 2      | Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                                | p.m.                | p.m.                | 0,—          |             |
|              | Article 1 2 2 — Total                                                                                            | p.m.                | p.m.                | 0,—          |             |
|              | CHAPTER 1 2 — TOTAL                                                                                              | 6 950 483           | 6 978 883           | 6 294 766,34 | 90,57       |

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**CHAPTER 1 4 — OTHER STAFF AND OUTSIDE SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

| Article Item | Heading                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018  |
|--------------|------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 1 4                                                            |                     |                     |                     |              |
| <b>1 4 0</b> | <b>Other staff and external persons</b>                                |                     |                     |                     |              |
| 1 4 0 0      | Other staff                                                            |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 694 078             | 694 078             | 466 617,53          | 67,23        |
| 1 4 0 4      | Graduate traineeships, grants and exchanges of officials               |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 162 000             | 162 000             | 159 774,67          | 98,63        |
|              | <i>Article 1 4 0 — Total</i>                                           | 856 078             | 856 078             | 626 392,20          | 73,17        |
|              | <b>CHAPTER 1 4 — TOTAL</b>                                             | 856 078             | 856 078             | 626 392,20          | 73,17        |
|              | CHAPTER 1 6                                                            |                     |                     |                     |              |
| <b>1 6 1</b> | <b>Expenditure relating to staff management</b>                        |                     |                     |                     |              |
| 1 6 1 0      | Expenditure on recruitment                                             |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 5 000               | 10 000              | 1 573,54            | 31,47        |
| 1 6 1 2      | Further training                                                       |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 130 000             | 95 000              | 189 680,70          | 145,91       |
|              | <i>Article 1 6 1 — Total</i>                                           | 135 000             | 105 000             | 191 254,24          | 141,67       |
| <b>1 6 3</b> | <b>Measures to assist the institution's staff</b>                      |                     |                     |                     |              |
| 1 6 3 0      | Social welfare                                                         |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | p.m.                | p.m.                | 0,—                 |              |
| 1 6 3 1      | Mobility                                                               |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 7 000               | 7 000               | 0,—                 | 0            |
| 1 6 3 2      | Social contacts between members of staff and other social measures     |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 7 000               | 6 000               | 5 704,05            | 81,49        |
|              | <i>Article 1 6 3 — Total</i>                                           | 14 000              | 13 000              | 5 704,05            | 40,74        |
| <b>1 6 5</b> | <b>Activities relating to all persons working with the institution</b> |                     |                     |                     |              |
| 1 6 5 0      | European Schools                                                       |                     |                     |                     |              |
|              | Non-differentiated appropriations                                      | 210 000             | 255 000             | 241 117,80          | 114,82       |
|              | <i>Article 1 6 5 — Total</i>                                           | 210 000             | 255 000             | 241 117,80          | 114,82       |
|              | <b>CHAPTER 1 6 — TOTAL</b>                                             | 359 000             | 373 000             | 438 076,09          | 122,03       |
|              | <b>Title 1 — Total</b>                                                 | <b>8 644 061</b>    | <b>8 689 841</b>    | <b>7 917 031,87</b> | <b>91,59</b> |

## TITLE 1

## EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Salaries, allowances and payments related to salaries*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 433 500             | 436 880             | 406 302,28   |

*Remarks*

This appropriation is intended to cover salaries, allowances and other payments related to the salary of the Ombudsman, namely the institution's contributions towards insurance against accident and occupational disease, the institution's contributions towards sickness insurance, birth grants, allowances payable in the event of death, annual medical visits, etc.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 4a, 11 and 14 thereof.

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 2 *Temporary allowances*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 124 000,—    |

*Remarks*

This appropriation is intended to cover transitional allowances, family allowances and weighting in respect of countries of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

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CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 2 (*cont'd*)

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 3 **Pensions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 000               | 8 000               | 2 935,32     |

*Remarks*

Retirement pensions of former Ombudsmen, as well as the survivors' pensions of widows and orphans and the weightings applied in respect of their countries of residence, are borne by the Commission. This appropriation is intended to cover those costs that are not covered by the Commission, notably the Ombudsman's contribution to the Union's sickness insurance scheme.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 4 **Mission expenses**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 35 000              | 35 000              | 22 579,64    |

*Remarks*

This appropriation is intended to cover travel expenses, daily subsistence allowances while on missions and additional or exceptional expenditure incurred on mission.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 4** (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**1 0 5** *Language and data-processing courses*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 000               | 2 000               | 1 980,—      |

*Remarks*

This appropriation is intended to cover expenses incurred in attending language courses or other professional training seminars.

**1 0 8** *Allowances and expenses on entering and leaving the service*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover travel expenses due to the Ombudsman (including his or her family) on taking up duty or leaving the institution, installation and resettlement allowances due to the Ombudsman on taking up duty or leaving the institution and removal expenses due to the Ombudsman on taking up duty or leaving the institution.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

## EUROPEAN OMBUDSMAN

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 8 (*cont'd*)

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

1 2 0 **Remuneration and other entitlements**

## 1 2 0 0 Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 887 483           | 6 915 883           | 6 216 931,06 |

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, allowances and payments related to salaries,
- insurance against sickness, accidents and occupational disease and other social security charges,
- flat-rate overtime allowances,
- miscellaneous allowances and grants,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments by the institution to temporary staff in order to constitute or maintain their pension rights in their countries of origin.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

## 1 2 0 (cont'd)

## 1 2 0 2 Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 000               | 3 000               | 0,—          |

*Remarks*

This appropriation is intended to cover the payment of overtime pursuant to the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

## 1 2 0 4 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 60 000              | 60 000              | 77 835,28    |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- the compensation for a probationary official who is dismissed because his work is obviously inadequate,
- compensation for a member of the temporary staff whose contract is terminated by the institution.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)

1 2 2 **Allowances upon early termination of service**

## 1 2 2 0 Allowances for staff retired in the interests of the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the allowances payable to officials:

- assigned non-active status in connection with action to reduce the number of posts in the institution,
- holding an AD 16 or AD 15 grade post who are retired in the interests of the service.

It also covers the employer's contribution to sickness insurance and the impact of weightings applicable to these allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41 and 50 thereof and Annex IV thereto.

## 1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the allowances payable under the Staff Regulations, Regulation (ECSC, EEC, Euratom) No 3518/85 or Regulation (EC, Euratom, ECSC) No 2688/95,
- the employer's contributions towards sickness insurance for the persons in receipt of the allowances,
- the impact of the salary weightings applicable to the various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

Council Regulation (ECSC, EEC, Euratom) No 3518/85 of 12 December 1985 introducing special measures to terminate the service of officials of the European Communities as a result of the accession of Spain and Portugal (OJ L 335, 13.12.1985, p. 56) and Council Regulation (EC, Euratom, ECSC) No 2688/95 of 17 November 1995 introducing special measures to terminate the service of officials of the European Communities as a result of the accession of Austria, Finland and Sweden (OJ L 280, 23.11.1995, p. 1).

## CHAPTER 1 4 — OTHER STAFF AND OUTSIDE SERVICES

1 4 0 *Other staff and external persons*

## 1 4 0 0 Other staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 694 078             | 694 078             | 466 617,53   |

*Remarks*

This appropriation is mainly intended to cover:

- the remuneration of other staff including contract and local staff and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of these staff,
- fees of staff paid under the arrangements for the provision of services and, in special cases, the employment of temporary agency staff.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## 1 4 0 4 Graduate traineeships, grants and exchanges of officials

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 162 000             | 162 000             | 159 774,67   |

*Remarks*

This appropriation is intended to cover:

- allowances, travel and mission expenses for trainees, and accident and sickness insurance during courses,
- expenditure arising from movements of staff between the Ombudsman and the public sector in the Member States or other countries specified in the rules.

*Legal basis*

European Ombudsman's decision concerning traineeships and European Ombudsman's decision concerning seconded international, national and regional or local officials to the European Ombudsman's office.

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## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

1 6 1 *Expenditure relating to staff management*

## 1 6 1 0 Expenditure on recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 000               | 10 000              | 1 573,54     |

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising procedures for selecting officials and other staff.

In cases duly justified by operating requirements and after consulting the European Personnel Selection Office, this appropriation may be used for competitions organised by the institution itself.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

## 1 6 1 2 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 30 000            | 95 000              | 189 680,70   |

*Remarks*

This appropriation is intended to cover:

- expenditure on training for improving staff skills and the performance and efficiency of the institution,
- expenditure on transport costs, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission, including ancillary costs relating to tickets and reservations (other than that in Article 3 0 0).

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 1 (*cont'd*)1 6 1 2 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

1 6 3 **Measures to assist the institution's staff**

1 6 3 0 Social welfare

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

— as part of an interinstitutional policy to assist people with disabilities in the following categories:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union,

the reimbursement, subject to budgetary ceilings and once any national entitlements granted in the country of residence or of origin have been exhausted, of non-medical expenditure that is recognised as necessary, results from disability, is duly substantiated and is not covered by the Joint Sickness Insurance Scheme,

— action taken in respect of officials and other servants in particularly difficult situations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular the third subparagraph of Article 9(3) and Article 76 thereof.

European Ombudsman's decision of 15 January 2004 adopting the rules on social assistance to officials and other staff of the European Ombudsman's office.

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CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 3** (*cont'd*)

## 1 6 3 1 Mobility

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 000               | 7 000               | 0,—          |

*Remarks*

This appropriation is intended to finance the scheme supporting the use of public transportation at the various places of work.

## 1 6 3 2 Social contacts between members of staff and other social measures

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 7 000               | 6 000               | 5 704,05     |

*Remarks*

This appropriation is intended to encourage and provide financial backing for schemes to promote social contacts between staff of different nationalities, for example subsidies to staff clubs, associations, cultural activities, etc. and to make a contribution to the cost of activities organised by the staff committee (cultural, leisure activities, meals, etc.).

It also covers financial participation in interinstitutional social activities.

**1 6 5** ***Activities relating to all persons working with the institution***

## 1 6 5 0 European Schools

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 210 000             | 255 000             | 241 117,80   |

*Remarks*

This appropriation is intended to cover:

- the European Ombudsman's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools, or
- the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the European Ombudsman and based on a Mandate and Service agreement signed with the Commission.

It will cover the cost for children of the European Ombudsman's staff enrolled in a Type II European School.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 5** (*cont'd*)1 6 5 0 (*cont'd*)*Legal basis*

Commission Decision C(2013) 4886 of 1 August 2013 on the putting into effect of the EU contribution paid on a pro-rata basis to schools accredited by the Board of Governors of the European Schools according to the number of children of EU staff enrolled, replacing Commission Decision C(2009) 7719 as amended by Commission Decision C(2010) 7993 (OJ C 222, 2.8.2013, p. 8).

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## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE

| Article Item | Heading                                                                                           | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 2 0                                                                                       |                     |                     |              |             |
| <b>2 0 0</b> | <b>Buildings</b>                                                                                  |                     |                     |              |             |
| 2 0 0 0      | Rent                                                                                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 1 042 984           | 1 000 000           | 824 214,04   | 79,02       |
|              | Article 2 0 0 — Total                                                                             | 1 042 984           | 1 000 000           | 824 214,04   | 79,02       |
|              | CHAPTER 2 0 — TOTAL                                                                               | 1 042 984           | 1 000 000           | 824 214,04   | 79,02       |
|              | CHAPTER 2 1                                                                                       |                     |                     |              |             |
| <b>2 1 0</b> | <b>Equipment, operating costs and services relating to data processing and telecommunications</b> |                     |                     |              |             |
| 2 1 0 0      | Purchase, servicing and maintenance of equipment and software, and related work                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 240 000             | 240 000             | 481 091,55   | 200,45      |
|              | Article 2 1 0 — Total                                                                             | 240 000             | 240 000             | 481 091,55   | 200,45      |
| <b>2 1 2</b> | <b>Furniture</b>                                                                                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 15 000              | 15 000              | 47 564,85    | 317,10      |
| <b>2 1 6</b> | <b>Vehicles</b>                                                                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 20 000              | 20 000              | 16 037,50    | 80,19       |
|              | CHAPTER 2 1 — TOTAL                                                                               | 275 000             | 275 000             | 544 693,90   | 198,07      |
|              | CHAPTER 2 3                                                                                       |                     |                     |              |             |
| <b>2 3 0</b> | <b>Administrative expenditure</b>                                                                 |                     |                     |              |             |
| 2 3 0 0      | Stationery, office supplies and miscellaneous consumables                                         |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 11 000              | 14 000              | 7 944,66     | 72,22       |
| 2 3 0 1      | Postage on correspondence and delivery charges                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                                 | 5 000               | 7 000               | 2 105,50     | 42,11       |



## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (cont'd)

| Article Item | Heading                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018   |
|--------------|---------------------------------------|---------------------|---------------------|---------------------|---------------|
| <b>2 3 0</b> | <i>(cont'd)</i>                       |                     |                     |                     |               |
| 2 3 0 2      | Telecommunications                    |                     |                     |                     |               |
|              | Non-differentiated appropriations     | 11 000              | 8 000               | 8 858,15            | 80,53         |
| 2 3 0 3      | Financial charges                     |                     |                     |                     |               |
|              | Non-differentiated appropriations     | 700                 | 700                 | 290,—               | 41,43         |
| 2 3 0 4      | Other expenditure                     |                     |                     |                     |               |
|              | Non-differentiated appropriations     | 4 000               | 4 000               | 2 913,49            | 72,84         |
| 2 3 0 5      | Legal costs and damages               |                     |                     |                     |               |
|              | Non-differentiated appropriations     | 15 000              | 15 000              | 14 600,—            | 97,33         |
|              | <i>Article 2 3 0 — Total</i>          | 46 700              | 48 700              | 36 711,80           | 78,61         |
| <b>2 3 1</b> | <b>Translation and interpretation</b> |                     |                     |                     |               |
|              | Non-differentiated appropriations     | 215 000             | 215 000             | 294 000,—           | 136,74        |
| <b>2 3 2</b> | <b>Support for activities</b>         |                     |                     |                     |               |
|              | Non-differentiated appropriations     | 147 500             | 135 600             | 101 355,—           | 68,72         |
|              | <b>CHAPTER 2 3 — TOTAL</b>            | 409 200             | 399 300             | 432 066,80          | 105,59        |
|              | <b>Title 2 — Total</b>                | <b>1 727 184</b>    | <b>1 674 300</b>    | <b>1 800 974,74</b> | <b>104,27</b> |

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## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 **Buildings**

## 2 0 0 0 Rent

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 042 984           | 1 000 000           | 824 214,04   |

*Remarks*

This appropriation is intended as a lump-sum payment to the European Parliament in respect of the offices which the European Parliament provides to the Ombudsman within its premises in Strasbourg and Brussels. It covers rent and charges in respect of insurance, water, electricity, heating, cleaning and maintenance, security and surveillance as well as other miscellaneous buildings expenditure, including alteration, repair or refurbishment of the offices concerned.

*Legal basis*

Administrative arrangement between the Ombudsman and the European Parliament.

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE

*Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

2 1 0 **Equipment, operating costs and services relating to data processing and telecommunications**

## 2 1 0 0 Purchase, servicing and maintenance of equipment and software, and related work

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 240 000             | 240 000             | 481 091,55   |

*Remarks*

This appropriation is intended to cover expenditure on:

- the purchase, hire, servicing and maintenance of equipment and development of software,
- assistance in connection with the operation and maintenance of data-processing systems,

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE** (*cont'd*)**2 1 0** (*cont'd*)2 1 0 0 (*cont'd*)

- data-processing operations entrusted to third parties and other data-processing service expenditure,
- purchase, hire, servicing and maintenance of telecommunications equipment and other expenditure in connection with telecommunications (transmission networks, telephone switchboards, handsets and similar equipment, fax machines, telex systems, installation costs, etc.).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 1 2** **Furniture**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 000              | 15 000              | 47 564,85    |

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture, the replacement of worn-out and broken furniture and office machines.

**2 1 6** **Vehicles**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              | 20 000              | 16 037,50    |

*Remarks*

This appropriation is intended to cover the acquisition, maintenance, use and repair of vehicles (service cars) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover and the payment of eventual fines.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE****2 3 0** **Administrative expenditure***Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

2 3 0 0 Stationery, office supplies and miscellaneous consumables

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 11 000              | 14 000              | 7 944,66     |

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**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 0** (*cont'd*)**2 3 0 0** (*cont'd*)*Remarks*

This appropriation is intended to cover the purchase of paper, envelopes, office supplies, supplies for the print shop and reproduction workshops, etc.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 3 0 1** Postage on correspondence and delivery charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 000               | 7 000               | 2 105,50     |

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by the postal services or private delivery firms.

**2 3 0 2** Telecommunications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 11 000              | 8 000               | 8 858,15     |

*Remarks*

This appropriation is intended to cover subscriptions and charges for cable and radio communications (fixed and mobile telephony, and television) as well as expenditure on data transmission networks and telematic services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 0 3** Financial charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 700                 | 700                 | 290,—        |

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 0** (*cont'd*)2 3 0 3 (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

2 3 0 4 Other expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 000               | 4 000               | 2 913,49     |

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- miscellaneous operating expenses, such as for the purchase of railway and flight timetables, the publication of notices of sales of second-hand equipment in newspapers, etc.,
- imprest accounts in Brussels and Strasbourg.

2 3 0 5 Legal costs and damages

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 000              | 15 000              | 14 600,—     |

*Remarks*

This appropriation is intended to cover:

- all costs deriving from the Ombudsman's involvement in cases before Union and national courts, the cost of legal services, and any other legal, court or out-of-court expenses,
- damages, interest and any debts within the meaning of Article 11(3) of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 1** ***Translation and interpretation***

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 215 000             | 215 000             | 294 000,—    |

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**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 1** (*cont'd*)*Remarks*

This appropriation is intended to cover the cost of all supplementary services, in particular the translation and typing of the annual report and other documents, contracted and occasional interpreters and other associated costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 2** **Support for activities**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 147 500             | 135 600             | 101 355,—    |

*Remarks*

This appropriation is intended to cover the global management costs payable to the European Parliament to cover the costs in staff time to the European Parliament of providing general services such as accounting, internal audit and a medical service, etc.

It is also intended to support the cost of providing the various interinstitutional services which are not already covered by another budget line.

## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

## CHAPTER 3 3 — STUDIES AND OTHER SUBSIDIES

| Article Item | Heading                                         | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|-------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 3 0                                     |                     |                     |              |             |
| 3 0 0        | <b>Staff mission expenses</b>                   |                     |                     |              |             |
|              | Non-differentiated appropriations               | 165 000             | 165 000             | 171 434,29   | 103,90      |
| 3 0 2        | <b>Reception and representation expenses</b>    |                     |                     |              |             |
|              | Non-differentiated appropriations               | 3 000               | 7 000               | 1 028,40     | 34,28       |
| 3 0 3        | <b>Meetings in general</b>                      |                     |                     |              |             |
|              | Non-differentiated appropriations               | 50 000              | 81 000              | 41 934,10    | 83,87       |
| 3 0 4        | <b>Internal meetings</b>                        |                     |                     |              |             |
|              | Non-differentiated appropriations               | 27 000              | 27 000              | 26 792,94    | 99,23       |
|              | CHAPTER 3 0 — TOTAL                             | 245 000             | 280 000             | 241 189,73   | 98,44       |
|              | CHAPTER 3 2                                     |                     |                     |              |             |
| 3 2 0        | <b>Acquisition of information and expertise</b> |                     |                     |              |             |
| 3 2 0 0      | Documentation and library expenditure           |                     |                     |              |             |
|              | Non-differentiated appropriations               | 8 000               | 8 000               | 6 127,53     | 76,59       |
| 3 2 0 1      | Expenditure on archive resources                |                     |                     |              |             |
|              | Non-differentiated appropriations               | 15 000              | 15 000              | 14 952,—     | 99,68       |
|              | Article 3 2 0 — Total                           | 23 000              | 23 000              | 21 079,53    | 91,65       |
| 3 2 1        | <b>Production and dissemination</b>             |                     |                     |              |             |
| 3 2 1 0      | Communication and publications                  |                     |                     |              |             |
|              | Non-differentiated appropriations               | 179 000             | 219 000             | 166 289,61   | 92,90       |
|              | Article 3 2 1 — Total                           | 179 000             | 219 000             | 166 289,61   | 92,90       |
|              | CHAPTER 3 2 — TOTAL                             | 202 000             | 242 000             | 187 369,14   | 92,76       |
|              | CHAPTER 3 3                                     |                     |                     |              |             |
| 3 3 0        | <b>Studies and subsidies</b>                    |                     |                     |              |             |
| 3 3 0 0      | Studies                                         |                     |                     |              |             |
|              | Non-differentiated appropriations               | 17 800              | 17 800              | 20 300,—     | 114,04      |

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**CHAPTER 3 3 — STUDIES AND OTHER SUBSIDIES (cont'd)**  
**CHAPTER 3 4 — EXPENSES RELATING TO THE OMBUDSMAN'S DUTIES**

| Article Item | Heading                                                                                                                             | Appropriations 2018 | Appropriations 2017 | Outturn 2016      | % 2016/2018  |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-------------------|--------------|
| <b>3 3 0</b> | (cont'd)                                                                                                                            |                     |                     |                   |              |
| 3 3 0 1      | Relations with national/regional ombudsmen and other similar bodies and support for activities of the European Network of Ombudsmen |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                                                                   | p.m.                | p.m.                | 0,—               |              |
|              | Article 3 3 0 — Total                                                                                                               | 17 800              | 17 800              | 20 300,—          | 114,04       |
|              | CHAPTER 3 3 — TOTAL                                                                                                                 | 17 800              | 17 800              | 20 300,—          | 114,04       |
|              | CHAPTER 3 4                                                                                                                         |                     |                     |                   |              |
| <b>3 4 0</b> | <b>Expenses relating to the Ombudsman's duties</b>                                                                                  |                     |                     |                   |              |
| 3 4 0 0      | Miscellaneous expenses                                                                                                              |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                                                                   | 1 500               | 1 500               | 1 350,—           | 90,00        |
|              | Article 3 4 0 — Total                                                                                                               | 1 500               | 1 500               | 1 350,—           | 90,00        |
|              | CHAPTER 3 4 — TOTAL                                                                                                                 | 1 500               | 1 500               | 1 350,—           | 90,00        |
|              | <b>Title 3 — Total</b>                                                                                                              | <b>466 300</b>      | <b>541 300</b>      | <b>450 208,87</b> | <b>96,55</b> |



## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

3 0 0 *Staff mission expenses*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 165 000             | 165 000             | 171 434,29   |

*Remarks*

This appropriation is intended to cover expenditure on transport costs, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission, including ancillary costs relating to tickets and reservations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

3 0 2 *Reception and representation expenses*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 000               | 7 000               | 1 028,40     |

*Remarks*

This appropriation is intended to cover the costs related to the institution's obligations concerning receptions, representation costs and the purchase of representational items offered by the Ombudsman.

3 0 3 *Meetings in general*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              | 81 000              | 41 934,10    |

*Remarks*

This appropriation is intended to cover the travel, subsistence and incidental expenses of experts and other persons invited to take part in committees, study groups or working meetings, as well as other connected expenditure (renting of rooms, interpretation needs, etc.).

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CHAPTER 3 0 — MEETINGS AND CONFERENCES (*cont'd*)3 0 4 *Internal meetings*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 27 000              | 27 000              | 26 792,94    |

*Remarks*

This appropriation is intended to cover the costs related to the organisation of the internal meetings of the institution.

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

3 2 0 *Acquisition of information and expertise*

## 3 2 0 0 Documentation and library expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 000               | 8 000               | 6 127,53     |

*Remarks*

This appropriation is intended to cover:

- the extension and renewal of the general reference works section and keeping the library stock up to date,
- subscriptions to newspapers and periodicals and news agencies and to the publications thereof and online services, including copyright fees for the reproduction and dissemination of the above in written and/or electronic form and service contracts for press reviews and cuttings,
- subscriptions or service contracts for the supply of summaries and analyses of the content of periodicals or the storage on optical media of articles taken from such periodicals,
- utilising external documentary and statistical databases (computer hardware and telecommunications charges excepted),
- the purchase or hire of special library, documentation and media library materials, including electric, electronic and computer facilities and/or systems, as well as outside services for the acquisition, development, installation, use and maintenance of these facilities and systems,
- the cost of services relating to library activities, in particular in dealings with library customers (enquiries, analyses), and quality management systems, etc.,
- binding and preservation equipment and work for the library, documentation and media library,
- the purchase of dictionaries, glossaries and other works for the Ombudsman's services.

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 0 (cont'd)

3 2 0 1 Expenditure on archive resources

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 000              | 15 000              | 14 952,—     |

*Remarks*

This appropriation is intended to cover:

- the cost of outside services for archiving operations, including sorting, filing and refiling in the depositories, the cost of archive services, the acquisition and use of archive resources on substitute media (microfilms, discs, cassettes, etc.), the purchase, hire and maintenance of special facilities (electronic, computerised and electrical) and expenditure on publications on all media (brochures, CD-ROM, etc.),
- the cost of processing papers of the Ombudsman accumulated in the exercise of his office and handed over, in the form of legal donations or legacies, to the European Parliament, to the Historical Archives of the European Union (HAEU) or to an association or foundation according to established rules.

*Legal basis*

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43), and the implementing measures adopted within the Ombudsman's office.

3 2 1 **Production and dissemination**

3 2 1 0 Communication and publications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 179 000             | 219 000             | 166 289,61   |

*Remarks*

This appropriation is intended to cover expenditure on publishing and information, and in particular:

- printing expenses for publications in the *Official Journal of the European Union*,
- the cost of printing and reproducing, in the official languages, various publications (annual report, etc.),
- printed material (by traditional or electronic means) promoting information with regard to the Ombudsman (publicity and measures to promote the principle of the European Ombudsman to the public at large),
- any other expenses in connection with the institution's information policy (symposia, seminars, participation in public events, etc.).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN OMBUDSMAN

## CHAPTER 3 3 — STUDIES AND OTHER SUBSIDIES

3 3 0 *Studies and subsidies*

## 3 3 0 0 Studies

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 17 800              | 17 800              | 20 300,—     |

*Remarks*

This appropriation is intended to cover the financing of studies and/or surveys contracted out to qualified experts and research institutes, as well as the publication costs of such studies and associated costs.

## 3 3 0 1 Relations with national/regional ombudsmen and other similar bodies and support for activities of the European Network of Ombudsmen

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure committed to promoting relations and strengthening cooperation between the European Ombudsman and the national/regional ombudsmen and other similar bodies.

It can cover, in particular, financial contributions to projects in the fields of activity of the European Network of Ombudsmen (other than that in Item 3 2 1 0).

It is also intended to cover any contribution in relation to the Ombudsman's visitors groups.

## CHAPTER 3 4 — EXPENSES RELATING TO THE OMBUDSMAN'S DUTIES

3 4 0 *Expenses relating to the Ombudsman's duties*

## 3 4 0 0 Miscellaneous expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 500               | 1 500               | 1 350,—      |

*Remarks*

This appropriation is intended to cover expenditure incurred owing to the special nature of the Ombudsman's duties such as relations with the national ombudsmen and international organisations of ombudsmen and subscriptions to international organisations.

## TITLE 10

## OTHER EXPENDITURE

## CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

## CHAPTER 10 1 — CONTINGENCY RESERVE

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|----------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                  |              |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |              |
|              | <b>GRAND TOTAL</b>      | <b>10 837 545</b>   | <b>10 905 441</b>   | <b>10 168 215,48</b> | <b>93,82</b> |

EUROPEAN OMBUDSMAN

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks**Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).

## STAFF

## Section VIII — European Ombudsman

| Function group and grade | 2018            |                 | 2017            |                 |
|--------------------------|-----------------|-----------------|-----------------|-----------------|
|                          | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|                          | AD 16           | —               | 1               | —               |
| AD 15                    | 2               | —               | 2               | —               |
| AD 14                    | 1               | —               | 1               | —               |
| AD 13                    | 4               | —               | 4               | —               |
| AD 12                    | —               | 1               | —               | 1               |
| AD 11                    | 1               | 1               | 1               | 1               |
| AD 10                    | 4               | 2               | 4               | 2               |
| AD 9                     | 2               | 1               | 2               | 1               |
| AD 8                     | 3               | 1               | 3               | 1               |
| AD 7                     | 6               | 1               | 4               | 1               |
| AD 6                     | 6               | 1               | 7               | —               |
| AD 5                     | —               | 1               | 1               | 2               |
| Subtotal AD              | 29              | 10              | 29              | 10              |
| AST 11                   | —               | —               | —               | —               |
| AST 10                   | —               | —               | —               | —               |
| AST 9                    | —               | 1               | —               | 1               |
| AST 8                    | —               | 1               | —               | 1               |
| AST 7                    | 2               | 1               | 1               | 1               |
| AST 6                    | 4               | —               | 5               | —               |
| AST 5                    | 2               | 3               | 2               | 3               |
| AST 4                    | 3               | 3               | 3               | 3               |
| AST 3                    | 4               | 1               | 4               | 1               |
| AST 2                    | —               | —               | —               | —               |
| AST 1                    | —               | —               | —               | —               |
| Subtotal AST             | 15              | 10              | 15              | 10              |
| AST/SC 6                 | —               | —               | —               | —               |
| AST/SC 5                 | —               | —               | —               | —               |
| AST/SC 4                 | —               | —               | —               | —               |
| AST/SC 3                 | 1               | —               | 1               | —               |
| AST/SC 2                 | —               | —               | —               | —               |
| AST/SC 1                 | —               | —               | —               | —               |
| Subtotal AST/SC          | 1               | —               | 1               | —               |
| <b>Total</b>             | <b>45</b>       | <b>20</b>       | <b>45</b>       | <b>20</b>       |
| <b>Grand total</b>       | <b>65</b>       |                 | <b>65</b>       |                 |

*SECTION IX*

**EUROPEAN DATA PROTECTION SUPERVISOR**



## REVENUE

**Contribution of the European Union to the financing of the expenditure of the European Data Protection Supervisor for the financial year 2018**

| Heading                 | Amount            |
|-------------------------|-------------------|
| Expenditure             | 14 449 068        |
| Own resources           | - 1 434 000       |
| <b>Contribution due</b> | <b>13 015 068</b> |

EUROPEAN DATA PROTECTION SUPERVISOR

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

| Article Item | Heading                                                                                                                                                        | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018  |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 4 0                                                                                                                                                    |                     |                     |                     |              |
| 4 0 0        | <i>Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials and other servants</i>                                | 705 000             | 659 000             | 444 767,22          | 63,09        |
| 4 0 3        | <i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>             | p.m.                | p.m.                | 0,—                 |              |
| 4 0 4        | <i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i> | 123 000             | 112 000             | 87 827,59           | 71,40        |
|              | CHAPTER 4 0 — TOTAL                                                                                                                                            | 828 000             | 771 000             | 532 594,81          | 64,32        |
|              | CHAPTER 4 1                                                                                                                                                    |                     |                     |                     |              |
| 4 1 0        | <i>Staff contributions to the pension scheme</i>                                                                                                               | 606 000             | 546 000             | 392 036,07          | 64,69        |
| 4 1 1        | <i>Transfer or purchase of pension rights by staff</i>                                                                                                         | p.m.                | p.m.                | 0,—                 |              |
| 4 1 2        | <i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>                                                       | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 4 1 — TOTAL                                                                                                                                            | 606 000             | 546 000             | 392 036,07          | 64,69        |
|              | <b>Title 4 — Total</b>                                                                                                                                         | <b>1 434 000</b>    | <b>1 317 000</b>    | <b>924 630,88</b>   | <b>64,48</b> |

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials and other servants*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 705 000             | 659 000             | 444 767,22          |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 123 000             | 112 000             | 87 827,59           |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 606 000             | 546 000             | 392 036,07          |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

| Article Item | Heading                                                                                                             | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|---------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                         |                     |                     |                     |             |
| <b>5 0 0</b> | <b>Proceeds from the sale of movable property (supply of goods)</b>                                                 |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                               | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                 | p.m.                | p.m.                | 0,—                 |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                | p.m.                | p.m.                | 0,—                 |             |
|              | Article 5 0 0 — Total                                                                                               | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 1</b> | <b>Proceeds from the sale of immovable property</b>                                                                 | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b>Proceeds from the sale of publications, printed works and films — Assigned revenue</b>                           | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1                                                                                                         |                     |                     |                     |             |
| <b>5 1 0</b> | <b>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</b>                                   | p.m.                | p.m.                | 0,—                 |             |
| <b>5 1 1</b> | <b>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</b> |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                          | p.m.                | p.m.                | 0,—                 |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | Article 5 1 1 — Total                                                                                               | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                 | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2                                                                                                         |                     |                     |                     |             |
| <b>5 2 0</b> | <b>Revenue from investments or loans granted, bank and other interest on the institution's accounts</b>             | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                 | p.m.                | p.m.                | 0,—                 |             |

EUROPEAN DATA PROTECTION SUPERVISOR

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK**  
**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION**  
**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**  
**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

| Article Item | Heading                                                                                                                                                                                                | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 5                                                                                                                                                                                            |                     |                     |                     |             |
| 5 5 0        | <i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
| 5 5 1        | <i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>                                                                                          | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 7                                                                                                                                                                                            |                     |                     |                     |             |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                                   | p.m.                | p.m.                | 0,—                 |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>       | p.m.                | p.m.                | 0,—                 |             |
| 5 7 2        | <i>Repayment of welfare expenditure incurred on behalf of another institution</i>                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                           | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 8                                                                                                                                                                                            |                     |                     |                     |             |
| 5 8 0        | <i>Revenue from payments connected with lettings — Assigned revenue</i>                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
| 5 8 1        | <i>Revenue from insurance payments received — Assigned revenue</i>                                                                                                                                     | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9                                                                                                                                                                                            |                     |                     |                     |             |
| 5 9 0        | <i>Other revenue from administrative operations</i>                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                                 | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |             |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It records also the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution. It records also the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

In accordance with points (a) and (b) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (*cont'd*)5 0 1 *Proceeds from the sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (h) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products stored on an electronic medium.

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*5 1 1 0 *Proceeds from letting and subletting immovable property — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.



**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING** (*cont'd*)**5 1 1** (*cont'd*)**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest credited to or debited from the institution's accounts.

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK****5 5 0** *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

EUROPEAN DATA PROTECTION SUPERVISOR

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK** (cont'd)**5 5 1** (cont'd)*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (c) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (d) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (cont'd)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue from payments connected with lettings — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (g) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (f) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

**5 9 0** *Other revenue from administrative operations*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record other revenue from administrative operations.

EUROPEAN DATA PROTECTION SUPERVISOR

## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 9 0 — MISCELLANEOUS REVENUE

| Article<br>Item | Heading                      | Financial year<br>2018 | Financial year<br>2017 | Financial year<br>2016 | % 2016/2018  |
|-----------------|------------------------------|------------------------|------------------------|------------------------|--------------|
| <b>9 0 0</b>    | CHAPTER 9 0                  |                        |                        |                        |              |
|                 | <i>Miscellaneous revenue</i> | p.m.                   | p.m.                   | 0,—                    |              |
|                 | CHAPTER 9 0 — TOTAL          | p.m.                   | p.m.                   | 0,—                    |              |
|                 |                              |                        |                        |                        |              |
|                 | <b>Title 9 — Total</b>       | <b>p.m.</b>            | <b>p.m.</b>            | <b>0,—</b>             |              |
|                 | <b>GRAND TOTAL</b>           | <b>1 434 000</b>       | <b>1 317 000</b>       | <b>924 630,88</b>      | <b>64,48</b> |

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0** *Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to receive miscellaneous revenue.

EUROPEAN DATA PROTECTION SUPERVISOR

**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title<br>Chapter | Heading                                                                                         | Appropriations 2018 | Appropriations 2017 | Outturn 2016        |
|------------------|-------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|
| <b>1</b>         | <b>EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION</b>                             |                     |                     |                     |
| 1 0              | MEMBERS OF THE INSTITUTION                                                                      | 769 275             | 922 815             | 848 268,68          |
| 1 1              | STAFF OF THE INSTITUTION                                                                        | 6 454 300           | 6 199 045           | 4 959 928,40        |
|                  | <b>Title 1 — Total</b>                                                                          | <b>7 223 575</b>    | <b>7 121 860</b>    | <b>5 808 197,08</b> |
| <b>2</b>         | <b>BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION</b> |                     |                     |                     |
| 2 0              | BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION        | 3 430 747           | 2 780 000           | 2 425 080,18        |
|                  | <b>Title 2 — Total</b>                                                                          | <b>3 430 747</b>    | <b>2 780 000</b>    | <b>2 425 080,18</b> |
| <b>3</b>         | <b>EUROPEAN DATA PROTECTION BOARD</b>                                                           |                     |                     |                     |
| 3 0              | EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD                                       | 3 794 746           | 1 422 875           | 546 906,96          |
|                  | <b>Title 3 — Total</b>                                                                          | <b>3 794 746</b>    | <b>1 422 875</b>    | <b>546 906,96</b>   |
| <b>10</b>        | <b>OTHER EXPENDITURE</b>                                                                        |                     |                     |                     |
| 10 0             | PROVISIONAL APPROPRIATIONS                                                                      | p.m.                | p.m.                | 0,—                 |
| 10 1             | CONTINGENCY RESERVE                                                                             | p.m.                | p.m.                | 0,—                 |
|                  | <b>Title 10 — Total</b>                                                                         | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |
|                  | <b>GRAND TOTAL</b>                                                                              | <b>14 449 068</b>   | <b>11 324 735</b>   | <b>8 780 184,22</b> |

## TITLE 1

## EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 1 — STAFF OF THE INSTITUTION

| Article Item | Heading                                                                                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|-----------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 1 0                                                                             |                     |                     |              |             |
| <b>1 0 0</b> | <b>Remuneration, allowances and other entitlements of Members</b>                       |                     |                     |              |             |
| 1 0 0 0      | Remuneration and allowances                                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | 684 881             | 667 290             | 649 943,50   | 94,90       |
| 1 0 0 1      | Entitlements on entering and leaving the service                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | p.m.                | p.m.                | 0,—          |             |
| 1 0 0 2      | Temporary allowances                                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | p.m.                | 171 131             | 119 931,18   |             |
| 1 0 0 3      | Pensions                                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | p.m.                | p.m.                | 0,—          |             |
| 1 0 0 4      | Provisional appropriation                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 1 0 0 — Total</i>                                                            | 684 881             | 838 421             | 769 874,68   | 112,41      |
| <b>1 0 1</b> | <b>Other expenditure in connection with Members</b>                                     |                     |                     |              |             |
| 1 0 1 0      | Further training                                                                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | 25 000              | 25 000              | 4 000,—      | 16,00       |
| 1 0 1 1      | Mission expenses, travel expenses and other ancillary expenditure                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | 59 394              | 59 394              | 74 394,—     | 125,26      |
|              | <i>Article 1 0 1 — Total</i>                                                            | 84 394              | 84 394              | 78 394,—     | 92,89       |
|              | CHAPTER 1 0 — TOTAL                                                                     | 769 275             | 922 815             | 848 268,68   | 110,27      |
|              | CHAPTER 1 1                                                                             |                     |                     |              |             |
| <b>1 1 0</b> | <b>Remuneration, allowances and other entitlements of officials and temporary staff</b> |                     |                     |              |             |
| 1 1 0 0      | Remuneration and allowances                                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | 5 427 553           | 5 185 664           | 3 953 190,36 | 72,84       |
| 1 1 0 1      | Entitlements on entering the service, transfer and leaving the service                  |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | 50 000              | 50 000              | 0,—          | 0           |
| 1 1 0 2      | Paid overtime                                                                           |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | p.m.                | p.m.                | 0,—          |             |
| 1 1 0 3      | Special assistance grants                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                                       | p.m.                | p.m.                | 0,—          |             |

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 1 1 — STAFF OF THE INSTITUTION (*cont'd*)

| Article Item | Heading                                                                      | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
| <b>1 1 0</b> | ( <i>cont'd</i> )                                                            |                     |                     |              |             |
| 1 1 0 4      | Allowances and miscellaneous contributions upon early termination of service |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | p.m.                | p.m.                | 0,—          |             |
| 1 1 0 5      | Provisional appropriation                                                    |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 1 1 0 — Total</i>                                                 | 5 477 553           | 5 235 664           | 3 953 190,36 | 72,17       |
| <b>1 1 1</b> | <b>Other staff</b>                                                           |                     |                     |              |             |
| 1 1 1 0      | Contract staff                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 349 366             | 349 000             | 550 151,53   | 157,47      |
| 1 1 1 1      | Cost of traineeships and staff exchanges                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 250 000             | 237 000             | 136 428,—    | 54,57       |
| 1 1 1 2      | Services and work to be contracted out                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 52 748              | 52 748              | 34 202,—     | 64,84       |
|              | <i>Article 1 1 1 — Total</i>                                                 | 652 114             | 638 748             | 720 781,53   | 110,53      |
| <b>1 1 2</b> | <b>Other expenditure in connection with staff</b>                            |                     |                     |              |             |
| 1 1 2 0      | Mission expenses, travel expenses and other ancillary expenditure            |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 135 000             | 135 000             | 132 398,—    | 98,07       |
| 1 1 2 1      | Recruitment costs                                                            |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 6 789               | 6 789               | 6 789,—      | 100,00      |
| 1 1 2 2      | Further training                                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 80 000              | 80 000              | 78 500,—     | 98,12       |
| 1 1 2 3      | Social service                                                               |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | p.m.                | p.m.                | 0,—          |             |
| 1 1 2 4      | Medical service                                                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 14 844              | 14 844              | 7 422,—      | 50,00       |
| 1 1 2 5      | Union nursery centre and other day nurseries and after-school centres        |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 80 000              | 80 000              | 50 000,—     | 62,50       |
| 1 1 2 6      | Relations between staff and other welfare expenditure                        |                     |                     |              |             |
|              | Non-differentiated appropriations                                            | 8 000               | 8 000               | 10 847,51    | 135,59      |
|              | <i>Article 1 1 2 — Total</i>                                                 | 324 633             | 324 633             | 285 956,51   | 88,09       |
|              | <b>CHAPTER 1 1 — TOTAL</b>                                                   | 6 454 300           | 6 199 045           | 4 959 928,40 | 76,85       |
|              | <b>Title 1 — Total</b>                                                       | 7 223 575           | 7 121 860           | 5 808 197,08 | 80,41       |



## TITLE 1

## EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Remuneration, allowances and other entitlements of Members*

## 1 0 0 0 Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 684 881             | 667 290             | 649 943,50   |

*Remarks*

This appropriation is intended to cover:

- the financing of salaries and allowances of Members, and the impact of the corrective coefficient applicable to remuneration and to the share of emoluments transferred to a country other than that of employment,
- the institution's contributions (0,87 %) towards insurance against accident and occupational disease,
- the institution's contributions (3,4 %) towards sickness insurance,
- birth grants,
- allowances payable in the event of death.

*Legal basis*

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## 1 0 0 1 Entitlements on entering and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover travel expenses due to Members (including their families) on taking up duty or leaving the institution, installation and resettlement allowances due to Members on taking up duty or leaving the institution and removal expenses due to Members on taking up duty or leaving the institution.

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (cont'd)

1 0 0 (cont'd)

1 0 0 1 (cont'd)

*Legal basis*

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 0 2 Temporary allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | 171 131             | 119 931,18   |

*Remarks*

This appropriation is intended to cover transitional allowances, family allowances and the corrective coefficient applied in respect of the countries of residence of former Members of the institution.

*Legal basis*

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 0 3 Pensions

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the retirement pensions and the corrective coefficient applied in respect of the countries of residence of the Members of the institution as well as the survivors' pensions of surviving spouses and orphans and the corrective coefficient applied in respect of their countries of residence.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (cont'd)**1 0 0** (cont'd)

1 0 0 3 (cont'd)

*Legal basis*

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

1 0 0 4 Provisional appropriation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any updates in remuneration and pensions.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

**1 0 1** ***Other expenditure in connection with Members***

1 0 1 0 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 25 000              | 25 000              | 4 000,—      |

*Remarks*

This appropriation is intended to cover expenses incurred in attending language courses, seminars and professional training courses.

1 0 1 1 Mission expenses, travel expenses and other ancillary expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 59 394              | 59 394              | 74 394,—     |

*Remarks*

This appropriation is intended to cover travel expenses, daily subsistence allowances while on missions and additional or exceptional expenditure incurred on mission.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION *(cont'd)*1 0 1 *(cont'd)*1 0 1 1 *(cont'd)**Legal basis*

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Regulation (EU) 2016/300 of 29 February 2016 determining the emoluments of EU high-level public office holders (OJ L 58, 4.3.2016, p. 1).

## CHAPTER 1 1 — STAFF OF THE INSTITUTION

1 1 0 ***Remuneration, allowances and other entitlements of officials and temporary staff***

1 1 0 0 Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 427 553           | 5 185 664           | 3 953 190,36 |

*Remarks*

This appropriation is intended to cover:

- the basic salaries of officials and temporary staff,
- family allowances, including head of household allowance, dependent child allowance and education allowance,
- expatriation and foreign residence allowances,
- the institution's contribution towards sickness insurance and towards insurance against accident and occupational disease,
- the institution's contribution towards setting up the Special Unemployment Fund,
- payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin,
- the impact of the corrective coefficient applicable to remuneration and the proportion of emoluments transferred to a country other than that of employment,

## CHAPTER 1 1 — STAFF OF THE INSTITUTION (cont'd)

1 1 0 (cont'd)

1 1 0 0 (cont'd)

— birth grants,

— flat-rate travel expenses from the place of employment to the place of origin,

— rent and transport allowances and fixed-rate entertainment allowances,

— fixed travel allowances,

— the special allowance granted to accounting officers and administrators of imprest accounts.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union and Conditions of Employment of Other Servants of the European Union.

1 1 0 1 Entitlements on entering the service, transfer and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              | 50 000              | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure related to travel expenses of officials and temporary staff (including members of their families) entering or leaving the service or on geographical redeployment (Articles 20 and 71 and Article 7 of Annex VII), allowances for staff obliged to change their place of residence on taking up their duties, terminating their service or being transferred to a new place of work (Articles 5 and 6 of Annex VII), removal expenses (Articles 20 and 71 and Article 9 of Annex VII), and temporary daily allowances for staff who provide justification that they have been obliged to change their place of residence after taking up their duties (Articles 20 and 71 and Article 10 of Annex VII).

*Legal basis*

Staff Regulations of Officials of the European Union.

1 1 0 2 Paid overtime

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 1 1 — STAFF OF THE INSTITUTION (cont'd)

1 1 0 (cont'd)

1 1 0 2 (cont'd)

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions laid down in the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

1 1 0 3 Special assistance grants

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover action taken in respect of officials and other servants in particularly difficult situations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof.

1 1 0 4 Allowances and miscellaneous contributions upon early termination of service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the allowances payable to staff assigned non-active status or retired in the interests of the service,
- the employer's contributions towards sickness insurance for the recipients of the above allowances,
- the impact of the corrective coefficient applicable to the allowances referred to above and the impact of any remuneration updates agreed on by the Council during the financial year.

**CHAPTER 1 1 — STAFF OF THE INSTITUTION** (*cont'd*)**1 1 0** (*cont'd*)1 1 0 4 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 50, 64, 65 and 72 thereof and Annex IV thereto.

1 1 0 5 Provisional appropriation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any updates of remunerations and allowances.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

**1 1 1** **Other staff**

1 1 1 0 Contract staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 349 366             | 349 000             | 550 151,53   |

*Remarks*

This appropriation is intended to cover expenditure related to the use of contract agents.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 1 1 — STAFF OF THE INSTITUTION (cont'd)

## 1 1 1 (cont'd)

## 1 1 1 1 Cost of traineeships and staff exchanges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 250 000             | 237 000             | 136 428,—    |

*Remarks*

This appropriation is intended to cover allowances, travel and mission expenses for trainees, as well as accident and sickness insurance during training periods.

This appropriation is also intended to cover expenditure arising from staff exchanges between the European Data Protection Supervisor on the one hand and the public sector in Member States and EFTA countries belonging to the European Economic Area (EEA) and international organisations on the other.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 1 1 1 2 Services and work to be contracted out

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 52 748              | 52 748              | 34 202,—     |

*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution and, in particular, temporary staff.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 1 2 ***Other expenditure in connection with staff***

## 1 1 2 0 Mission expenses, travel expenses and other ancillary expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 135 000             | 135 000             | 132 398,—    |

*Remarks*

This appropriation is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.



**CHAPTER 1 1 — STAFF OF THE INSTITUTION** (*cont'd*)**1 1 2** (*cont'd*)1 1 2 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

1 1 2 1 Recruitment costs

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 789               | 6 789               | 6 789,—      |

*Remarks*

This appropriation is intended to cover expenditure arising from the organisation of the competitions referred to in Article 3 of Decision 2002/621/EC and the travel and subsistence expenses of candidates attending recruitment interviews and medical examinations.

This appropriation also covers the costs of organising procedures for the selection of temporary and contract staff.

In cases duly justified by functional requirements and after consultation with the European Personnel Selection Office, this appropriation may be used for competitions organised by the European Data Protection Supervisor itself.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 1 2 2 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 80 000              | 80 000              | 78 500,—     |

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 1 1 — STAFF OF THE INSTITUTION (cont'd)

1 1 2 (cont'd)

1 1 2 2 (cont'd)

*Remarks*

This appropriation is intended to cover internal and external staff training and retraining courses, including language courses, organised on an interinstitutional, external or internal basis.

This appropriation also covers expenditure on educational and technical equipment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

1 1 2 3 Social service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended, as part of an interinstitutional policy to assist people with disabilities (officials and temporary staff in active employment, their spouses and dependent children within the meaning of the Staff Regulations of Officials of the European Union), to cover the reimbursement, within the limits of the resources available under the budget and after any national entitlements in the country of residence or origin have been exhausted, of duly substantiated non-medical expenditure acknowledged to be necessary as a result of the disability.

1 1 2 4 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 14 844              | 14 844              | 7 422,—      |

*Remarks*

This appropriation is intended to cover expenses related to the annual medical check-up for officials and other servants entitled to it, including the analyses and medical examinations requested in connection with that check-up.

1 1 2 5 Union nursery centre and other day nurseries and after-school centres

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 80 000              | 80 000              | 50 000,—     |

**CHAPTER 1 1 — STAFF OF THE INSTITUTION** (*cont'd*)**1 1 2** (*cont'd*)1 1 2 5 (*cont'd*)*Remarks*

This appropriation is intended to cover the European Data Protection Supervisor's share in expenditure relating to the Union nursery centre and other day nurseries and after-school centres.

1 1 2 6 Relations between staff and other welfare expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 000               | 8 000               | 10 847,51    |

*Remarks*

This appropriation is intended:

- to encourage and provide financial backing for schemes to promote social contacts between staff of different nationalities, for example subsidies to staff clubs, sports associations, cultural activities, etc., and to make a contribution to the cost of a permanent leisure centre (cultural and sports activities, etc.),
- to contribute to the cost of activities organised by the staff committee (cultural and sports activities, meals, etc.).

This appropriation also covers the implementation of a staff transport plan to encourage the use of public transport, cut private car use and reduce the carbon footprint.



## TITLE 2

## BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION

## CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION

2 0 0 *Rents, charges and buildings expenditure*

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 403 747           | 926 000             | 922 000,—    |

*Remarks*

This appropriation is intended to cover, on a flat-rate or pro-rata basis, the rents and charges related to insurance, water, electricity, heating, cleaning and maintenance, security and surveillance and other miscellaneous buildings expenditure, including expenditure associated with the conversion, repair and renovation of the offices in question.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Agreement on administrative cooperation between the European Data Protection Supervisor and the other institution providing the offices.

2 0 1 *Expenditure in connection with the operation and activities of the institution*

## 2 0 1 0 Equipment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 420 000             | 420 000             | 667 500,—    |

*Remarks*

This appropriation is intended to cover:

- equipment (purchase and hire), operation and maintenance costs, information technology services, including assistance with the operation and maintenance of data-processing systems and the development of software,
- information technology operations entrusted to third parties and other expenditure in connection with information technology services, including the development and maintenance of the website,
- expenditure relating to the purchase, hire, upkeep and maintenance of telecommunications equipment and other telecommunications-related expenditure, including charges for communications by telephone, telegraph and telex and via electronic data transmission,

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION (cont'd)

2 0 1 (cont'd)

2 0 1 0 (cont'd)

- the purchase, replacement and maintenance of installations and equipment, both technical (security and other) and administrative (office machines such as photocopiers and calculators, etc.),
- the purchase, maintenance and replacement of furniture,
- any other items relating to the fitting-out of premises and associated costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 1 1 Supplies

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 000              | 15 000              | 11 250,—     |

*Remarks*

This appropriation is intended to cover:

- the purchase of paper, envelopes and office supplies,
- mail, postal charges, charges for delivery by a courier service, packages and distribution to the public at large.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 1 2 Other operating expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 215 000             | 130 000             | 158 250,—    |

*Remarks*

This appropriation is intended to cover:

- the global management costs payable to the institution providing general services such as administration of contracts, salaries and allowances, etc., on behalf of the European Data Protection Supervisor,
- other current administrative expenditure (financial charges, legal costs, etc.).

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION** (*cont'd*)**2 0 1** (*cont'd*)

## 2 0 1 3 Translation and interpretation costs

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 825 000             | 825 000             | 382 500,—    |

*Remarks*

This appropriation is intended to cover all translation and interpretation costs and other associated costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Agreement on administrative cooperation between the European Data Protection Supervisor and the institution providing the service.

## 2 0 1 4 Expenditure on publishing and information

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 158 000             | 127 000             | 112 000,—    |

*Remarks*

This appropriation is intended to cover expenditure on publishing and information, and in particular:

- printing expenses for publications in the *Official Journal of the European Union*,
- the cost of printing and reproducing various publications in the official languages,
- information material relating to the European Data Protection Supervisor,
- any other expenses in connection with the institution's information policy (symposia, seminars, participation in public events, etc.),
- expenditure relating to publicity and information campaigns on the objectives, activities and role of the European Data Protection Supervisor,
- expenditure relating to group visits to the European Data Protection Supervisor.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION (cont'd)

## 2 0 1 (cont'd)

## 2 0 1 5 Expenditure in connection with the activities of the institution

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 144 000             | 144 000             | 171 580,18   |

## Remarks

This appropriation is intended to cover:

- the cost of receptions, representation costs and the purchase of representational items,
- meeting expenses,
- invitation costs, including travel and subsistence allowances and other related costs, for experts and other persons invited to take part in study groups or working meetings,
- the financing of studies and/or surveys contracted out to qualified experts or research institutes,
- expenditure related to the library of the European Data Protection Supervisor, including in particular the purchase of books and CD-ROMs, subscriptions to periodicals and press agencies and other associated costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 1 6 Other activities related to external stakeholders

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 250 000             | 193 000             | 0,—          |

## Remarks

This appropriation is intended to cover:

- expenditure committed to promoting exchanges and strengthening cooperation with external stakeholders including activities or any other tailor-made activities linked with the implementation of the European Data Protection Supervisor's strategy;
- meeting expenses;
- invitation costs, including travel and subsistence allowances and other related costs, for experts and other persons invited to take part in study groups or working meetings;
- the financing of studies and/or surveys contracted out to qualified experts and research institutes.



## TITLE 3

## EUROPEAN DATA PROTECTION BOARD

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD

| Article Item | Heading                                                                                    | Appropriations 2018 | Appropriations 2017 | Outturn 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------|---------------------|---------------------|--------------|-------------|
|              | CHAPTER 3 0                                                                                |                     |                     |              |             |
| <b>3 0 0</b> | <b>Remuneration, allowances and other entitlements of the Chair</b>                        |                     |                     |              |             |
| 3 0 0 0      | Remuneration and allowances                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | p.m.                | p.m.                | 0,—          |             |
| 3 0 0 1      | Entitlements on entering and leaving the service                                           |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | p.m.                | p.m.                | 0,—          |             |
| 3 0 0 2      | Temporary allowances                                                                       |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | p.m.                | p.m.                | 0,—          |             |
| 3 0 0 3      | Pensions                                                                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 3 0 0 — Total</i>                                                               | p.m.                | p.m.                | 0,—          |             |
| <b>3 0 1</b> | <b>Remuneration, allowances and other entitlements of officials and temporary staff</b>    |                     |                     |              |             |
| 3 0 1 0      | Remuneration and allowances                                                                |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 1 196 482           | 562 375             | 303 339,45   | 25,35       |
| 3 0 1 1      | Entitlements on entering, leaving the service and on transfer                              |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 50 000              | 25 000              | 0,—          | 0           |
| 3 0 1 2      | Allowances and miscellaneous contributions in connection with early termination of service |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | p.m.                | p.m.                | 0,—          |             |
|              | <i>Article 3 0 1 — Total</i>                                                               | 1 246 482           | 587 375             | 303 339,45   | 24,34       |
| <b>3 0 2</b> | <b>Other staff</b>                                                                         |                     |                     |              |             |
| 3 0 2 0      | Contract staff                                                                             |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 81 205              | 79 119              | 71 645,32    | 88,23       |
| 3 0 2 1      | Cost of traineeships and staff exchanges                                                   |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 250 000             | 250 000             | 64 000,—     | 25,60       |
| 3 0 2 2      | Services and work to be contracted out                                                     |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 52 748              | p.m.                | 0,—          | 0           |
|              | <i>Article 3 0 2 — Total</i>                                                               | 383 953             | 329 119             | 135 645,32   | 35,33       |
| <b>3 0 3</b> | <b>Other expenditure in connection with staff of the Board</b>                             |                     |                     |              |             |
| 3 0 3 0      | Mission expenses, travel expenses and other ancillary expenditure                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 20 000              | 15 000              | 4 190,72     | 20,95       |
| 3 0 3 1      | Recruitment costs                                                                          |                     |                     |              |             |
|              | Non-differentiated appropriations                                                          | 3 500               | 10 500              | 0,—          | 0           |

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)

| Article Item | Heading                                                                                | Appropriations 2018 | Appropriations 2017 | Outturn 2016      | % 2016/2018  |
|--------------|----------------------------------------------------------------------------------------|---------------------|---------------------|-------------------|--------------|
| <b>3 0 3</b> | ( <i>cont'd</i> )                                                                      |                     |                     |                   |              |
| 3 0 3 2      | Further training                                                                       |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 15 867              | 10 990              | 2 840,47          | 17,90        |
| 3 0 3 3      | Medical service                                                                        |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 2 944               | 891                 | 891,—             | 30,26        |
| 3 0 3 4      | Union nursery centre and other day nurseries and after-school centres                  |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 32 000              | 16 000              | 0,—               | 0            |
|              | <i>Article 3 0 3 — Total</i>                                                           | 74 311              | 53 381              | 7 922,19          | 10,66        |
| <b>3 0 4</b> | <b><i>Expenditure in connection with the operation and activities of the Board</i></b> |                     |                     |                   |              |
| 3 0 4 0      | Meetings of the Board                                                                  |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 560 000             | p.m.                | 0,—               | 0            |
| 3 0 4 1      | Translation and interpretation costs                                                   |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 580 000             | p.m.                | 0,—               | 0            |
| 3 0 4 2      | Expenditure on publishing and information                                              |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 45 000              | 45 000              | 0,—               | 0            |
| 3 0 4 3      | Information technology equipment and services                                          |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 650 000             | 385 000             | 100 000,—         | 15,38        |
| 3 0 4 4      | Travel expenses of external experts                                                    |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 35 000              | 20 000              | 0,—               | 0            |
| 3 0 4 5      | External consultancy and studies                                                       |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 150 000             | p.m.                | 0,—               | 0            |
| 3 0 4 6      | Expenditure in connection with the activities of the European Data Protection Board    |                     |                     |                   |              |
|              | Non-differentiated appropriations                                                      | 70 000              | 3 000               | 0,—               | 0            |
|              | <i>Article 3 0 4 — Total</i>                                                           | 2 090 000           | 453 000             | 100 000,—         | 4,78         |
|              | <b>CHAPTER 3 0 — TOTAL</b>                                                             | <b>3 794 746</b>    | <b>1 422 875</b>    | <b>546 906,96</b> | <b>14,41</b> |
|              |                                                                                        |                     |                     |                   |              |
|              | <b>Title 3 — Total</b>                                                                 | <b>3 794 746</b>    | <b>1 422 875</b>    | <b>546 906,96</b> | <b>14,41</b> |

## TITLE 3

## EUROPEAN DATA PROTECTION BOARD

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD

3 0 0 *Remuneration, allowances and other entitlements of the Chair*

## 3 0 0 0 Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the financing of salaries and allowances of Members, and the impact of the corrective coefficient applicable to remuneration and to the share of emoluments transferred to a country other than that of employment,
- the institutions' contributions (0,87 %) towards insurance against accident and occupational disease,
- the institutions' contributions (3,4 %) towards sickness insurance,
- birth grants,
- allowances payable in the event of death.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

## 3 0 0 1 Entitlements on entering and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover travel expenses due to Members (including their families) on taking up duty or leaving the Board, installation and resettlement allowances due to Members on taking up duty or leaving the Board and removal expenses due to Members on taking up duty or leaving the Board.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 0 (*cont'd*)3 0 0 1 (*cont'd*)*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

3 0 0 2 Temporary allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover transitional allowances, family allowances and corrective coefficient applied in respect of the countries of residence of former Members of the Board.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

3 0 0 3 Pensions

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the retirement pensions and the corrective coefficient applied in respect of the countries of residence of the Members of the Board as well as the survivors' pensions of widows and orphans and the corrective coefficient applied in respect of their countries of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)**3 0 1** *Remuneration, allowances and other entitlements of officials and temporary staff*

## 3 0 1 0 Remuneration and allowances

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 196 482           | 562 375             | 303 339,45   |

*Remarks*

This appropriation is intended to cover:

- the basic salaries of officials and temporary staff,
- family allowances, including the head of household allowance, the dependent child allowance and the education allowance,
- expatriation and foreign residence allowances,
- the institution's contribution towards sickness insurance and towards insurance against accident and occupational disease,
- the institution's contribution towards setting up the Special Unemployment Fund,
- payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin,
- the impact of the corrective coefficient applicable to remuneration and the proportion of emoluments transferred to a country other than that of employment,
- birth grants,
- flat-rate travel expenses from the place of employment to the place of origin,
- rent and transport allowances and fixed-rate entertainment allowances,
- fixed travel allowances,
- the special allowance granted to accounting officers and administrators of imprest accounts.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (cont'd)

## 3 0 1 (cont'd)

## 3 0 1 1 Entitlements on entering, leaving the service and on transfer

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              | 25 000              | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure related to travel expenses of officials and temporary staff (including members of their families) entering or leaving the service or on geographical redeployment (Articles 20 and 71 and Article 7 of Annex VII), allowances for staff obliged to change their place of residence on taking up their duties, terminating their service or being transferred to a new place of work (Articles 5 and 6 of Annex VII), removal expenses (Articles 20 and 71 and Article 9 of Annex VII), and temporary daily allowances for staff who provide justification that they have been obliged to change their place of residence after taking up their duties (Articles 20 and 71 and Article 10 of Annex VII).

*Legal basis*

Staff Regulations of Officials of the European Union.

## 3 0 1 2 Allowances and miscellaneous contributions in connection with early termination of service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the allowances payable to staff assigned non-active status or retired in the interests of the service,
- the employer's contributions towards sickness insurance for the recipients of the above allowances,
- the impact of the corrective coefficient applicable to the allowances referred to above and the impact of any remuneration updates agreed on by the Council during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 50, 64, 65 and 72 thereof and Annex IV thereto.

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (cont'd)

3 0 2 **Other staff**

## 3 0 2 0 Contract staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 81 205              | 79 119              | 71 645,32    |

*Remarks*

This appropriation is intended to cover expenditure relating to the use of contract staff.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## 3 0 2 1 Cost of traineeships and staff exchanges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 250 000             | 250 000             | 64 000,—     |

*Remarks*

This appropriation is intended to cover allowances, travel and mission expenses for trainees, as well as accident and sickness insurance during training periods. It is also intended to cover expenditure arising from staff exchanges between the European Data Protection Board on the one hand and Member States and EFTA countries belonging to the European Economic Area (EEA) or international organisations on the other.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 3 0 2 2 Services and work to be contracted out

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 52 748              | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution, and in particular temporary staff.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 3 **Other expenditure in connection with staff of the Board**

## 3 0 3 0 Mission expenses, travel expenses and other ancillary expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              | 15 000              | 4 190,72     |

*Remarks*

This appropriation is intended to cover expenditure on transport costs, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11 to 13 of Annex VII thereto.

## 3 0 3 1 Recruitment costs

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 500               | 10 500              | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure arising from the organisation of the competitions referred to in Article 3 of Decision 2002/621/EC and the travel and subsistence expenses of candidates attending recruitment interviews and medical examinations.

This appropriation also covers the costs of organising procedures for the selection of temporary and contract staff.

In cases duly motivated by functional requirements and after consultation with the European Personnel Selection Office, this appropriation may be used for competitions organised by the European Data Protection Board itself.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).



**CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD** (cont'd)**3 0 3** (cont'd)

3 0 3 1 (cont'd)

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

3 0 3 2 Further training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 867              | 10 990              | 2 840,47     |

*Remarks*

This appropriation is intended to cover internal and external staff training and retraining courses, including language courses, organised on an interinstitutional, external or internal basis.

This appropriation also covers expenditure on educational and technical equipment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

3 0 3 3 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 2 944               | 891                 | 891,—        |

*Remarks*

This appropriation is intended to cover expenses related to the annual medical check-up for officials and other servants entitled to it, including the analyses and medical examinations requested in connection with that check-up.

3 0 3 4 Union nursery centre and other day nurseries and after-school centres

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 32 000              | 16 000              | 0,—          |

*Remarks*

This appropriation is intended to cover the European Data Protection Board's share in expenditure related to the Union nursery centre and other day nurseries and after-school centres.

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (cont'd)

3 0 4 *Expenditure in connection with the operation and activities of the Board*

## 3 0 4 0 Meetings of the Board

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 560 000             | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the travel, subsistence and incidental expenses of experts and other persons invited to take part in committees, study groups or working meetings, as well as other connected expenditure (renting of rooms, interpretation needs, catering services, etc.).

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 3 0 4 1 Translation and interpretation costs

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 580 000             | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover all translation and interpretation costs and other associated costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Agreement on administrative cooperation between the European Data Protection Board and the institution providing the service.

## 3 0 4 2 Expenditure on publishing and information

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 45 000              | 45 000              | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure on publishing and information, and in particular:

- printing expenses for publications in the *Official Journal of the European Union*,
- the cost of printing and reproducing various publications in the official languages,
- information material related to the European Data Protection Board,

**CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD** (*cont'd*)**3 0 4** (*cont'd*)3 0 4 2 (*cont'd*)

- any other expenses in connection with the institution's information policy (symposia, seminars, participation in public events, etc.),
- expenditure relating to publicity and information campaigns on the objectives, activities and role of the European Data Protection Board,
- expenditure related to group visits to the European Data Protection Board.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

3 0 4 3 Information technology equipment and services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 650 000             | 385 000             | 100 000,—    |

*Remarks*

This appropriation is intended to cover:

- equipment (purchase and hire); operation and maintenance costs; information technology services including assistance with the operation and maintenance of data-processing systems and the development of software,
- information technology operations entrusted to third parties and other expenditure in connection with information technology services, including the development and maintenance of the website,
- expenditure relating to the purchase, hire, upkeep and maintenance of telecommunications equipment and other telecommunications-related expenditure, including charges for communications by telephone, telegraph and telex and via electronic data transmission.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

3 0 4 4 Travel expenses of external experts

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 35 000              | 20 000              | 0,—          |

*Remarks*

This appropriation is intended to cover the invitation costs, including travel and subsistence allowances and other related costs, for experts and other persons invited to take part in study groups or working meetings.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (cont'd)

## 3 0 4 (cont'd)

## 3 0 4 5 External consultancy and studies

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | p.m.                | 0,—          |

## Remarks

This appropriation is intended to cover the financing of studies, consultancy services and/or surveys contracted out to qualified experts and research institutes.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 3 0 4 6 Expenditure in connection with the activities of the European Data Protection Board

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 70 000              | 3 000               | 0,—          |

## Remarks

This appropriation is intended to cover:

- the costs of receptions, representation costs and the purchase of representational items,
- organisation of seminars, workshops or other common training programmes for members of the data protection authorities from the Member States, for members of the data protection authorities from third countries and for other relevant data protection experts invited by the European Data Protection Board,
- activities to promote the exchange of information and practices between data protection supervisory authorities,
- activities to promote data protection awareness,
- activities to promote the exchange of knowledge and documentation on data protection law and practice with data protection supervisory authorities worldwide,
- access charges for certain legal databases,
- expenditure related to the library of the European Data Protection Board, including in particular the purchase of books and CD-ROMs, subscriptions to periodicals and press agencies and other associated costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## TITLE 10

## OTHER EXPENDITURE

## CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

## CHAPTER 10 1 — CONTINGENCY RESERVE

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018  |
|--------------|-------------------------|---------------------|---------------------|---------------------|--------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                 |              |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                 |              |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |              |
|              | <b>GRAND TOTAL</b>      | <b>14 449 068</b>   | <b>11 324 735</b>   | <b>8 780 184,22</b> | <b>60,77</b> |

EUROPEAN DATA PROTECTION SUPERVISOR

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover unforeseen expenditure resulting from budgetary decisions taken in the course of the financial year.

## STAFF

## Section IX — European Data Protection Supervisor

| Function group and grade | 2018            |                 | 2017            |                 |
|--------------------------|-----------------|-----------------|-----------------|-----------------|
|                          | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|                          | AD 16           | 1               | —               | 1               |
| AD 15                    | —               | —               | —               | —               |
| AD 14                    | 3               | —               | 3               | —               |
| AD 13                    | 1               | —               | 1               | —               |
| AD 12                    | 3               | —               | 3               | —               |
| AD 11                    | 5               | —               | 5               | —               |
| AD 10                    | 8               | —               | 2               | —               |
| AD 9                     | 7               | —               | 12              | —               |
| AD 8                     | 4               | —               | 2               | —               |
| AD 7                     | 10              | —               | 5               | —               |
| AD 6                     | 5               | —               | 7               | —               |
| AD 5                     | —               | —               | —               | —               |
| Subtotal AD              | 47              | —               | 41              | —               |
| AST 11                   | 1               | —               | 1               | —               |
| AST 10                   | —               | —               | —               | —               |
| AST 9                    | 1               | —               | 1               | —               |
| AST 8                    | 1               | —               | 1               | —               |
| AST 7                    | 2               | —               | 2               | —               |
| AST 6                    | 3               | —               | 2               | —               |
| AST 5                    | 3               | —               | 4               | —               |
| AST 4                    | 2               | —               | 2               | —               |
| AST 3                    | —               | —               | —               | —               |
| AST 2                    | —               | —               | —               | —               |
| AST 1                    | 2               | —               | —               | —               |
| Subtotal AST             | 15              | —               | 13              | —               |
| AST/SC 6                 | —               | —               | —               | —               |
| AST/SC 5                 | —               | —               | —               | —               |
| AST/SC 4                 | 1               | —               | 1               | —               |
| AST/SC 3                 | 1               | —               | 1               | —               |
| AST/SC 2                 | —               | —               | —               | —               |
| AST/SC 1                 | —               | —               | —               | —               |
| Subtotal AST/SC          | 2               | —               | 2               | —               |
| <b>Total</b>             | <b>64</b>       | <b>—</b>        | <b>56</b>       | <b>—</b>        |
| <b>Grand total</b>       | <b>64</b>       |                 | <b>56</b>       |                 |

SECTION X

**EUROPEAN EXTERNAL ACTION SERVICE**



## REVENUE

**Contribution of the European Union to the financing of the expenditure of the European External Action Service for the financial year 2018**

| Heading                 | Amount             |
|-------------------------|--------------------|
| Expenditure             | 678 499 138        |
| Own resources           | - 48 900 000       |
| <b>Contribution due</b> | <b>629 599 138</b> |



## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of officials, other servants and persons in receipt of a pension*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 24 450 000          | 21 267 000          | 22 327 520,66       |

*Remarks*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 4 196 000           | 4 045 000           | 3 826 408,82        |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| 20 254 000          | 18 321 000          | 18 855 137,74       |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Articles 4 and 11 thereof and Article 48 of Annex VIII thereto.

EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)**4 1 2 Contributions to the pension scheme by officials on leave on personal grounds**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 40(3) of Annex VIII thereto.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

| Article Item | Heading                                                                                                                                                                                                      | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 5 0                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 0 0</b> | <b><i>Proceeds from the sale of movable property</i></b>                                                                                                                                                     |                     |                     |                     |             |
| 5 0 0 0      | Proceeds from the sale of vehicles — Assigned revenue                                                                                                                                                        | p.m.                | p.m.                | 161 814,96          |             |
| 5 0 0 1      | Proceeds from the sale of other movable property — Assigned revenue                                                                                                                                          | p.m.                | p.m.                | 111 710,31          |             |
| 5 0 0 2      | Proceeds from the supply of goods to other institutions or bodies — Assigned revenue                                                                                                                         | p.m.                | p.m.                | 14 568,29           |             |
|              | <i>Article 5 0 0 — Total</i>                                                                                                                                                                                 | p.m.                | p.m.                | 288 093,56          |             |
| <b>5 0 1</b> | <b><i>Proceeds from sale of immovable property</i></b>                                                                                                                                                       | p.m.                | p.m.                | 0,—                 |             |
| <b>5 0 2</b> | <b><i>Proceeds from the sale of publications, printed works and films</i></b>                                                                                                                                | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 5 0 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 288 093,56          |             |
|              | CHAPTER 5 1                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 1 0</b> | <b><i>Proceeds from the hiring-out of furniture and equipment</i></b>                                                                                                                                        | p.m.                | p.m.                | 0,—                 |             |
| <b>5 1 1</b> | <b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>                                                                                   |                     |                     |                     |             |
| 5 1 1 0      | Proceeds from letting and subletting immovable property — Assigned revenue                                                                                                                                   | p.m.                | p.m.                | 1 125 429,60        |             |
| 5 1 1 1      | Reimbursement of charges connected with lettings — Assigned revenue                                                                                                                                          | p.m.                | p.m.                | 2 321 407,93        |             |
|              | <i>Article 5 1 1 — Total</i>                                                                                                                                                                                 | p.m.                | p.m.                | 3 446 837,53        |             |
|              | CHAPTER 5 1 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 3 446 837,53        |             |
|              | CHAPTER 5 2                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 2 0</b> | <b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>                                                                                               | p.m.                | p.m.                | 54 901,13           |             |
|              | CHAPTER 5 2 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 54 901,13           |             |
|              | CHAPTER 5 5                                                                                                                                                                                                  |                     |                     |                     |             |
| <b>5 5 0</b> | <b><i>Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b> | p.m.                | p.m.                | 25 070 477,06       |             |
| <b>5 5 1</b> | <b><i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i></b>                                                                                         | p.m.                | p.m.                | 4 931 901,88        |             |
|              | CHAPTER 5 5 — TOTAL                                                                                                                                                                                          | p.m.                | p.m.                | 30 002 378,94       |             |

EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT**

| Article Item | Heading                                                                                                                                                                                          | Financial year 2018 | Financial year 2017 | Financial year 2016   | % 2016/2018 |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|-----------------------|-------------|
|              | CHAPTER 5 7                                                                                                                                                                                      |                     |                     |                       |             |
| 5 7 0        | <i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>                                                                                                             | p.m.                | p.m.                | 3 260 531,91          |             |
| 5 7 1        | <i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i> | p.m.                | p.m.                | 0,—                   |             |
| 5 7 2        | <i>Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue</i>                                                                                             | p.m.                | p.m.                | 0,—                   |             |
| 5 7 3        | <i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>                                                                     | p.m.                | p.m.                | 32 449,74             |             |
| 5 7 4        | <i>Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Union delegations — Assigned revenue</i>                                                  | p.m.                | p.m.                | 185 923 296,01        |             |
|              | CHAPTER 5 7 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 189 216 277,66        |             |
|              | CHAPTER 5 8                                                                                                                                                                                      |                     |                     |                       |             |
| 5 8 0        | <i>Miscellaneous compensation — Assigned revenue</i>                                                                                                                                             | p.m.                | p.m.                | 0,—                   |             |
| 5 8 1        | <i>Revenue from insurance payments received</i>                                                                                                                                                  | p.m.                | p.m.                | 0,—                   |             |
|              | CHAPTER 5 8 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 0,—                   |             |
|              | CHAPTER 5 9                                                                                                                                                                                      |                     |                     |                       |             |
| 5 9 0        | <i>Other revenue arising from administrative management</i>                                                                                                                                      | p.m.                | p.m.                | 212 639,11            |             |
|              | CHAPTER 5 9 — TOTAL                                                                                                                                                                              | p.m.                | p.m.                | 212 639,11            |             |
|              | <b>Title 5 — Total</b>                                                                                                                                                                           | <b>p.m.</b>         | <b>p.m.</b>         | <b>223 221 127,93</b> |             |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 161 814,96          |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 111 710,31          |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 14 568,29           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 0 1 *Proceeds from sale of immovable property*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

5 0 2 *Proceeds from the sale of publications, printed works and films*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY** (*cont'd*)**5 0 2** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING****5 1 0** *Proceeds from the hiring-out of furniture and equipment*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1** *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings***5 1 1 0** Proceeds from letting and subletting immovable property — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 125 429,60        |

*Remarks*

In accordance with point (g) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 2 321 407,93        |

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 54 901,13           |



## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

5 5 0 *Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 25 070 477,06       |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 4 931 901,88        |

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 3 260 531,91        |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 7 1 *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 7 2 *Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)5 7 2 (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 7 3 **Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 32 449,74           |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 7 4 **Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Union delegations — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 185 923 296,01      |

*Remarks*

This revenue arises from a Commission contribution to the EEAS for covering locally managed expenses of Commission staff working in Union delegations, including Commission staff funded by the European Development Fund (EDF), and other expenditure relating to, inter alia, press and information activities.

In accordance with Article 21(2) and (3) of the Financial Regulation, any revenue will be used to provide additional appropriations for Item 3 0 0 5 in the statement of expenditure in this section.

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 196 466 000.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**5 8 0 **Miscellaneous compensation — Assigned revenue**

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (*cont'd*)**5 8 0** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with point (f) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT****5 9 0** *Other revenue arising from administrative management*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 212 639,11          |

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## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

| Article Item | Heading                                                                                                                                  | Financial year 2018 | Financial year 2017 | Financial year 2016 | % 2016/2018 |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------|
|              | CHAPTER 6 1                                                                                                                              |                     |                     |                     |             |
| <b>6 1 2</b> | <i>Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue</i> | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 6 1 — TOTAL                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 6 6                                                                                                                              |                     |                     |                     |             |
| <b>6 6 0</b> | <i>Other contributions and refunds</i>                                                                                                   |                     |                     |                     |             |
| 6 6 0 0      | Other assigned contributions and refunds — Assigned revenue                                                                              | p.m.                | p.m.                | 0,—                 |             |
| 6 6 0 1      | Other non-assigned contributions and refunds                                                                                             | p.m.                | p.m.                | 0,—                 |             |
|              | Article 6 6 0 — Total                                                                                                                    | p.m.                | p.m.                | 0,—                 |             |
|              | CHAPTER 6 6 — TOTAL                                                                                                                      | p.m.                | p.m.                | 0,—                 |             |
|              | <b>Title 6 — Total</b>                                                                                                                   | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>          |             |

## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

6 1 2 *Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

6 6 0 *Other contributions and refunds*

## 6 6 0 0 Other assigned contributions and refunds — Assigned revenue

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 6 6 0 1 Other non-assigned contributions and refunds

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used, in accordance with Article 21 of the Financial Regulation.



## TITLE 7

## DEFAULT INTEREST AND FINES

## CHAPTER 7 0 — DEFAULT INTEREST AND INTEREST ON FINES

7 0 0 *Default interest*

## 7 0 0 1 Other default interest

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 1 474,49            |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

7 0 9 *Other interest*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.





**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0***Miscellaneous revenue*

| Financial year 2018 | Financial year 2017 | Financial year 2016 |
|---------------------|---------------------|---------------------|
| p.m.                | p.m.                | 0,—                 |

EUROPEAN EXTERNAL ACTION SERVICE

**EXPENDITURE****General summary of appropriations (2018 and 2017) and outturn (2016)**

| Title Chapter | Heading                                                               | Appropriations 2018 | Appropriations 2017 | Outturn 2016         |
|---------------|-----------------------------------------------------------------------|---------------------|---------------------|----------------------|
| <b>1</b>      | <b>STAFF AT HEADQUARTERS</b>                                          |                     |                     |                      |
| 1 1           | REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF       | 136 183 000         | 131 855 000         | 126 152 990,—        |
| 1 2           | REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF        | 26 232 000          | 20 991 250          | 20 480 619,—         |
| 1 3           | OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT                        | 2 636 000           | 2 077 000           | 2 150 063,—          |
| 1 4           | MISSIONS                                                              | 8 527 000           | 8 452 000           | 8 120 178,—          |
| 1 5           | MEASURES TO ASSIST STAFF                                              | 1 501 000           | 1 311 000           | 1 467 225,—          |
|               | <b>Title 1 — Total</b>                                                | <b>175 079 000</b>  | <b>164 686 250</b>  | <b>158 371 075,—</b> |
| <b>2</b>      | <b>BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE AT HEADQUARTERS</b> |                     |                     |                      |
| 2 0           | BUILDINGS AND ASSOCIATED COSTS                                        | 30 754 538          | 32 174 000          | 31 214 828,—         |
| 2 1           | COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE                             | 34 870 000          | 33 028 000          | 24 146 935,—         |
| 2 2           | OTHER OPERATING EXPENDITURE                                           | 8 978 000           | 6 833 000           | 6 626 587,—          |
|               | <b>Title 2 — Total</b>                                                | <b>74 602 538</b>   | <b>72 035 000</b>   | <b>61 988 350,—</b>  |
| <b>3</b>      | <b>DELEGATIONS</b>                                                    |                     |                     |                      |
| 3 0           | DELEGATIONS                                                           | 428 817 600         | 423 258 750         | 595 744 365,—        |
|               | <b>Title 3 — Total</b>                                                | <b>428 817 600</b>  | <b>423 258 750</b>  | <b>595 744 365,—</b> |
| <b>10</b>     | <b>OTHER EXPENDITURE</b>                                              |                     |                     |                      |
| 10 0          | PROVISIONAL APPROPRIATIONS                                            | p.m.                | p.m.                | 0,—                  |
| 10 1          | CONTINGENCY RESERVE                                                   | p.m.                | p.m.                | 0,—                  |
|               | <b>Title 10 — Total</b>                                               | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |
|               | <b>GRAND TOTAL</b>                                                    | <b>678 499 138</b>  | <b>659 980 000</b>  | <b>816 103 790,—</b> |

## TITLE 1

## STAFF AT HEADQUARTERS

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF

## CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF

| Article Item | Heading                                                                                            | Appropriations 2018 | Appropriations 2017 | Outturn 2016  | % 2016/2018 |
|--------------|----------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------|-------------|
|              | CHAPTER 1 1                                                                                        |                     |                     |               |             |
| <b>1 1 0</b> | <b>Remuneration and other entitlements relating to statutory staff</b>                             |                     |                     |               |             |
| 1 1 0 0      | Basic salaries                                                                                     |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 104 707 400         | 100 591 000         | 96 352 478,—  | 92,02       |
| 1 1 0 1      | Entitlements under the Staff Regulations related to the post held                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 479 200             | 555 000             | 414 748,—     | 86,55       |
| 1 1 0 2      | Entitlements under the Staff Regulations related to the personal circumstances of the staff member |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 27 016 400          | 26 684 000          | 25 579 722,—  | 94,68       |
| 1 1 0 3      | Social security cover                                                                              |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 3 980 000           | 4 025 000           | 3 806 042,—   | 95,63       |
| 1 1 0 4      | Salary weightings and updates                                                                      |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | p.m.                | p.m.                | 0,—           |             |
|              | <i>Article 1 1 0 — Total</i>                                                                       | 136 183 000         | 131 855 000         | 126 152 990,— | 92,63       |
|              | <b>CHAPTER 1 1 — TOTAL</b>                                                                         | 136 183 000         | 131 855 000         | 126 152 990,— | 92,63       |
|              | CHAPTER 1 2                                                                                        |                     |                     |               |             |
| <b>1 2 0</b> | <b>Remuneration and other entitlements relating to external staff</b>                              |                     |                     |               |             |
| 1 2 0 0      | Contract staff                                                                                     |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 12 985 200          | 8 430 250           | 8 344 670,—   | 64,26       |
| 1 2 0 1      | Non-military seconded national experts                                                             |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 3 423 800           | 3 771 000           | 3 745 196,—   | 109,39      |
| 1 2 0 2      | Traineeships                                                                                       |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 420 000             | 421 000             | 358 460,—     | 85,35       |
| 1 2 0 3      | External services                                                                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | p.m.                | p.m.                | 0,—           |             |
| 1 2 0 4      | Agency staff and special advisers                                                                  |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 200 000             | 200 000             | 260 637,—     | 130,32      |
| 1 2 0 5      | Military seconded national experts                                                                 |                     |                     |               |             |
|              | Non-differentiated appropriations                                                                  | 9 203 000           | 8 169 000           | 7 771 656,—   | 84,45       |
|              | <i>Article 1 2 0 — Total</i>                                                                       | 26 232 000          | 20 991 250          | 20 480 619,—  | 78,07       |

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF (cont'd)****CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT****CHAPTER 1 4 — MISSIONS****CHAPTER 1 5 — MEASURES TO ASSIST STAFF**

| Article Item | Heading                                                                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018  |
|--------------|-------------------------------------------------------------------------|---------------------|---------------------|----------------------|--------------|
| <b>1 2 2</b> | <b>Provisional appropriation</b>                                        |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | p.m.                | p.m.                | 0,—                  |              |
|              | CHAPTER 1 2 — TOTAL                                                     | 26 232 000          | 20 991 250          | 20 480 619,—         | 78,07        |
|              | CHAPTER 1 3                                                             |                     |                     |                      |              |
| <b>1 3 0</b> | <b>Expenditure relating to staff management</b>                         |                     |                     |                      |              |
| 1 3 0 0      | Recruitment                                                             |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 100 000             | 35 000              | 37 797,—             | 37,80        |
| 1 3 0 1      | Training                                                                |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 1 201 000           | 1 201 000           | 899 159,—            | 74,87        |
| 1 3 0 2      | Entitlements on entering the service, transfers and leaving the service |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 1 335 000           | 841 000             | 1 213 107,—          | 90,87        |
|              | Article 1 3 0 — Total                                                   | 2 636 000           | 2 077 000           | 2 150 063,—          | 81,57        |
|              | CHAPTER 1 3 — TOTAL                                                     | 2 636 000           | 2 077 000           | 2 150 063,—          | 81,57        |
|              | CHAPTER 1 4                                                             |                     |                     |                      |              |
| <b>1 4 0</b> | <b>Missions</b>                                                         |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 8 527 000           | 8 452 000           | 8 120 178,—          | 95,23        |
|              | CHAPTER 1 4 — TOTAL                                                     | 8 527 000           | 8 452 000           | 8 120 178,—          | 95,23        |
|              | CHAPTER 1 5                                                             |                     |                     |                      |              |
| <b>1 5 0</b> | <b>Measures to assist staff</b>                                         |                     |                     |                      |              |
| 1 5 0 0      | Social services and assistance to staff                                 |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 198 000             | 191 000             | 304 553,—            | 153,81       |
| 1 5 0 1      | Medical service                                                         |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 715 000             | 520 000             | 554 910,—            | 77,61        |
| 1 5 0 2      | Restaurants and canteens                                                |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | p.m.                | p.m.                | 0,—                  |              |
| 1 5 0 3      | Crèches and childcare facilities                                        |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 568 000             | 600 000             | 607 762,—            | 107,00       |
| 1 5 0 4      | Contribution to accredited Type II European Schools                     |                     |                     |                      |              |
|              | Non-differentiated appropriations                                       | 20 000              |                     |                      |              |
|              | Article 1 5 0 — Total                                                   | 1 501 000           | 1 311 000           | 1 467 225,—          | 97,75        |
|              | CHAPTER 1 5 — TOTAL                                                     | 1 501 000           | 1 311 000           | 1 467 225,—          | 97,75        |
|              | <b>Title 1 — Total</b>                                                  | <b>175 079 000</b>  | <b>164 686 250</b>  | <b>158 371 075,—</b> | <b>90,46</b> |

## TITLE 1

## STAFF AT HEADQUARTERS

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF

*Remarks*

The appropriations entered in this chapter are assessed on the basis of the EEAS establishment plan for the financial year.

**1 1 0 Remuneration and other entitlements relating to statutory staff**

## 1 1 0 0 Basic salaries

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 104 707 400         | 100 591 000         | 96 352 478,— |

*Remarks*

This appropriation is intended to cover basic salaries for officials and temporary staff holding a post provided for in the establishment plan and compensation provided for under Annex IV of the Staff Regulations.

The appropriation will be used in full compliance with the provisions of the Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30), and in particular Article 6(9) thereof. The existing imbalances in EEAS staffing in terms of the proportion of Member State diplomats and regular EU staff in certain positions will be addressed in line with the commitments given by the Vice-President / High Representative in her letter of 13 September 2016 to the European Parliament.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 1 1 0 1 Entitlements under the Staff Regulations related to the post held

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 479 200             | 555 000             | 414 748,—    |

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

— secretarial allowances,

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF (cont'd)

1 1 0 (cont'd)

1 1 0 1 (cont'd)

- accommodation and transport allowances,
- fixed local travel allowances,
- allowances for shift work or standby duty at the official's place of work or at home,
- other allowances and repayments,
- overtime.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 2 Entitlements under the Staff Regulations related to the personal circumstances of the staff member

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 27 016 400          | 26 684 000          | 25 579 722,— |

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- expatriation and foreign residence allowances,
- household, dependent child and education allowances,
- allowances for parental or family leave,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- in the event of the death of an official or an official's dependent, the costs incurred pursuant to Article 75 of the Staff Regulations for transporting the body,
- miscellaneous allowances and grants.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF (cont'd)

1 1 0 (cont'd)

1 1 0 2 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 3 Social security cover

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 980 000           | 4 025 000           | 3 806 042,—  |

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- insurance against sickness, accidents and occupational disease and other social security charges,
- unemployment insurance for temporary staff and payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 4 Salary weightings and updates

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding a post provided for in the establishment plan, the impact of weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF (cont'd)

1 1 0 (cont'd)

1 1 0 4 (cont'd)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF

1 2 0 **Remuneration and other entitlements relating to external staff**

1 2 0 0 Contract staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 12 985 200          | 8 430 250           | 8 344 670,—  |

*Remarks*

This appropriation is intended to cover the remuneration of contract staff (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of these staff.

This appropriation also covers the cost of 20 contract agents involved in strategic communication activities.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 1 109 000.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

1 2 0 1 Non-military seconded national experts

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 423 800           | 3 771 000           | 3 745 196,—  |

*Remarks*

This appropriation is intended to cover allowances and administrative expenses in respect of national experts on secondment other than those posted to serve as the European Union Military Staff.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 330 000.



## CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF (cont'd)

1 2 0 (cont'd)

1 2 0 1 (cont'd)

*Legal basis*

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

1 2 0 2 Traineeships

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 420 000             | 421 000             | 358 460,—    |

*Remarks*

This appropriation is intended to cover the costs of in-service traineeships intended for graduates and is designed to provide them with an overview of the objectives set and the challenges faced by the Union, an insight into how its institutions work and an opportunity to enhance their knowledge through work experience at the EEAS.

It covers the payment of grants and other related costs (supplement for dependent persons or for trainees, persons with disabilities, accident and sickness insurance, etc., reimbursement of travelling costs arising from the traineeship, particularly at the start and the close of the traineeship, as well as the costs of organising events stemming from the traineeship programme, e.g. visits, hosting and reception costs). It also covers the costs of evaluation in order to optimise the programme of traineeships and communication and information campaigns.

The selection of trainees is based on objective, transparent criteria and reflects a geographical balance.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

1 2 0 3 External services

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution and in particular:

- temporary staff for miscellaneous services,
- supplementary staff for meetings,
- experts in the field of working conditions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF (*cont'd*)1 2 0 (*cont'd*)

1 2 0 4 Agency staff and special advisers

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 200 000             | 200 000             | 260 637,—    |

*Remarks*

This appropriation is mainly intended to cover the remuneration of agency staff, temporary staff and special advisers, including in the field of the CSDP/CFSP, employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of these staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

1 2 0 5 Military seconded national experts

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 9 203 000           | 8 169 000           | 7 771 656,—  |

*Remarks*

This appropriation is intended to finance the emoluments of the national military experts posted to serve as the European Union Military Staff under the CSDP/CFSP.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

1 2 2 **Provisional appropriation**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the effect of any salary updates in the course of the financial year.

This appropriation is provisional and may be used only after its transfer to the appropriate headings of this chapter.

**CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF** (*cont'd*)**1 2 2** (*cont'd*)

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT****1 3 0** *Expenditure relating to staff management***1 3 0 0** Recruitment

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 100 000             | 35 000              | 37 797,—     |

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising selection procedures for temporary, auxiliary and local staff.

In cases duly justified by operational requirements, and after consulting the European Personnel Selection Office, this appropriation may be used for competitions organised by the institution itself.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT (*cont'd*)1 3 0 (*cont'd*)

## 1 3 0 1 Training

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 201 000           | 1 201 000           | 899 159,—    |

*Remarks*

This appropriation is intended to cover:

- expenditure incurred for further training and retraining, including language courses run on an interinstitutional basis, course fees, the cost of trainers and logistical costs, such as room and equipment hire, as well as miscellaneous connected costs, such as refreshments and food, the expenses of attendance at courses, conferences and congresses under the European Union Military Staff's terms of reference,
- enrolment fees for seminars and conferences.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

Council Decision 2001/80/CFSP of 22 January 2001 on the establishment of the Military Staff of the European Union (OJ L 27, 30.1.2001, p. 7).

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

## 1 3 0 2 Entitlements on entering the service, transfers and leaving the service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 335 000           | 841 000             | 1 213 107,—  |

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials, temporary and contract staff (including their families) entering or leaving the service,
- installation/resettlement allowances and removal expenses due to officials, temporary and contract staff obliged to change their place of residence on taking up their duties, on transfer to a new place of employment and on leaving the service and resettling elsewhere,

**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT** (cont'd)**1 3 0** (cont'd)

1 3 0 2 (cont'd)

- daily subsistence allowance payable to officials, temporary and contract staff who provide proof that they have had to change their place of residence on taking up their duties or transferring to a new place of employment,
- severance grant for probationers dismissed for obvious inadequacy,
- compensation in the event of termination by the institution of the contract of a temporary or contract staff member.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 4 — MISSIONS****1 4 0** **Missions**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 8 527 000           | 8 452 000           | 8 120 178,—  |

*Remarks*

This appropriation is intended to cover:

- mission expenses incurred by the High Representative and accompanying staff, notably Commission bodyguards,
- mission expenses and staff duty travel expenses of the officials, temporary and contract staff and special advisers of the EEAS, together with transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions,
- mission expenses incurred under the European Union Military Staff's terms of reference,
- mission expenses of national experts on secondment to the EEAS,
- mission expenses of the High Representative's special advisers and special envoys,
- mission expenses of successful candidates called for training prior to taking up duty,
- mission expenses of the chair of the Military Committee.

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 1 4 — MISSIONS (*cont'd*)1 4 0 (*cont'd*)

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 85 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

Decision of the High Representative of the Union for Foreign Affairs and Security Policy on rules applicable to mission by EEAS staff.

Council Decision 2001/80/CFSP of 22 January 2001 on the establishment of the Military Staff of the European Union (OJ L 27, 30.1.2001, p. 7).

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

## CHAPTER 1 5 — MEASURES TO ASSIST STAFF

1 5 0 *Measures to assist staff*

## 1 5 0 0 Social services and assistance to staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 198 000             | 191 000             | 304 553,—    |

*Remarks*

This appropriation is intended to cover:

- measures taken to assist officials and other staff in particularly difficult situations,
- costs for social contacts between members of staff,
- partial reimbursement to staff of the cost of using public transport to come to work. This measure is intended as an incentive to use public transport.

This appropriation is intended for the following categories of disabled persons as part of a policy to assist the disabled:

- officials in service,
- spouses of officials in service,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

## CHAPTER 1 5 — MEASURES TO ASSIST STAFF (cont'd)

1 5 0 (cont'd)

1 5 0 0 (cont'd)

It enables the reimbursement of non-medical costs that are deemed necessary, result from disablement and are duly substantiated, subject to budgetary ceilings, once any national entitlements granted in the country of residence or of origin have been exhausted.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 24 and 76 thereof.

1 5 0 1 Medical service

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 715 000             | 520 000             | 554 910,—    |

*Remarks*

This appropriation is intended to cover in particular:

- the operating costs of the sick bays, the cost of consumables, medical care and medicines at the crèche, expenditure on medical check-ups and expenditure relating to the Invalidity Committees and the cost of spectacles,
- expenditure on the purchase of certain work tools deemed necessary on medical grounds.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 5 0 2 Restaurants and canteens

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover remuneration for the services provided by the operator of the restaurants and canteens.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 1 5 — MEASURES TO ASSIST STAFF (cont'd)

1 5 0 (cont'd)

1 5 0 3 Crèches and childcare facilities

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 568 000             | 600 000             | 607 762,—    |

*Remarks*

This appropriation is intended to cover the EEAS contribution to the cost of the Early Childhood Centre and other crèches and childcare facilities (to be paid to the Commission and/or the Council).

Revenue from the parental contribution and from contributions by organisations employing parents gives rise to assigned revenue.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

1 5 0 4 Contribution to accredited Type II European Schools

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 20 000              |                     |              |

*Remarks*

This appropriation is intended to cover the EEAS's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the EEAS and based on the Mandate and Service agreement signed with the Commission. It will cover the cost for children of the EEAS's staff enrolled in a Type II European School.



## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE AT HEADQUARTERS

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE

| Article Item | Heading                                                                      | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018   |
|--------------|------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------|
|              | CHAPTER 2 0                                                                  |                     |                     |                     |               |
| <b>2 0 0</b> | <b>Buildings</b>                                                             |                     |                     |                     |               |
| 2 0 0 0      | Rent and annual lease payments                                               |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 17 739 538          | 18 698 000          | 18 488 653,—        | 104,22        |
| 2 0 0 1      | Acquisition of immovable property                                            |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | p.m.                | p.m.                | 0,—                 |               |
| 2 0 0 2      | Fitting-out and security works                                               |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 337 000             | 235 000             | 150 817,—           | 44,75         |
|              | <i>Article 2 0 0 — Total</i>                                                 | 18 076 538          | 18 933 000          | 18 639 470,—        | 103,11        |
| <b>2 0 1</b> | <b>Costs relating to buildings</b>                                           |                     |                     |                     |               |
| 2 0 1 0      | Cleaning and maintenance                                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 4 715 000           | 4 956 000           | 4 651 002,—         | 98,64         |
| 2 0 1 1      | Water, gas, electricity and heating                                          |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 1 383 000           | 1 410 000           | 1 545 729,—         | 111,77        |
| 2 0 1 2      | Security and surveillance of buildings                                       |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 6 420 000           | 6 700 000           | 6 259 736,—         | 97,50         |
| 2 0 1 3      | Insurance                                                                    |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 40 000              | 45 000              | 31 753,—            | 79,38         |
| 2 0 1 4      | Other expenditure relating to buildings                                      |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 120 000             | 130 000             | 87 138,—            | 72,62         |
|              | <i>Article 2 0 1 — Total</i>                                                 | 12 678 000          | 13 241 000          | 12 575 358,—        | 99,19         |
|              | <b>CHAPTER 2 0 — TOTAL</b>                                                   | <b>30 754 538</b>   | <b>32 174 000</b>   | <b>31 214 828,—</b> | <b>101,50</b> |
|              | CHAPTER 2 1                                                                  |                     |                     |                     |               |
| <b>2 1 0</b> | <b>Computer systems and telecommunications</b>                               |                     |                     |                     |               |
| 2 1 0 0      | Information and communication technology                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 14 291 000          | 13 030 000          | 11 665 781,—        | 81,63         |
| 2 1 0 1      | Cryptography and highly classified information and communications technology |                     |                     |                     |               |
|              | Non-differentiated appropriations                                            | 15 190 000          | 15 760 000          | 8 985 112,—         | 59,15         |

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (cont'd)**  
**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE**

| Article Item | Heading                                                                              | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018   |
|--------------|--------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------|
| <b>2 1 0</b> | (cont'd)                                                                             |                     |                     |                     |               |
| 2 1 0 2      | Security of information and communication technology up to the level 'EU restricted' |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 3 786 000           | 2 588 000           | 2 377 569,—         | 62,80         |
| 2 1 0 3      | Technical security countermeasures                                                   |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 1 250 000           | 1 250 000           | 633 231,—           | 50,66         |
|              | <i>Article 2 1 0 — Total</i>                                                         | <b>34 517 000</b>   | <b>32 628 000</b>   | <b>23 661 693,—</b> | <b>68,55</b>  |
| <b>2 1 1</b> | <b><i>Furniture, technical equipment and transport</i></b>                           |                     |                     |                     |               |
| 2 1 1 0      | Furniture                                                                            |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 153 000             | 155 000             | 346 734,—           | 226,62        |
| 2 1 1 1      | Technical equipment and installations                                                |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 105 000             | 150 000             | 16 502,—            | 15,72         |
| 2 1 1 2      | Transport                                                                            |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 95 000              | 95 000              | 122 006,—           | 128,43        |
|              | <i>Article 2 1 1 — Total</i>                                                         | <b>353 000</b>      | <b>400 000</b>      | <b>485 242,—</b>    | <b>137,46</b> |
|              | <b>CHAPTER 2 1 — TOTAL</b>                                                           | <b>34 870 000</b>   | <b>33 028 000</b>   | <b>24 146 935,—</b> | <b>69,25</b>  |
|              | CHAPTER 2 2                                                                          |                     |                     |                     |               |
| <b>2 2 0</b> | <b><i>Conferences, congresses and meetings</i></b>                                   |                     |                     |                     |               |
| 2 2 0 0      | Organisation of meetings, conferences and congresses                                 |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 515 000             | 500 000             | 513 186,—           | 99,65         |
| 2 2 0 1      | Experts' travel expenses                                                             |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 50 000              | 50 000              | 13 365,—            | 26,73         |
|              | <i>Article 2 2 0 — Total</i>                                                         | <b>565 000</b>      | <b>550 000</b>      | <b>526 551,—</b>    | <b>93,19</b>  |
| <b>2 2 1</b> | <b><i>Information</i></b>                                                            |                     |                     |                     |               |
| 2 2 1 0      | Documentation and library expenditure                                                |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 955 000             | 765 000             | 822 464,—           | 86,12         |
| 2 2 1 1      | Satellite imagery                                                                    |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 450 000             | 450 000             | 450 000,—           | 100,00        |
| 2 2 1 2      | General publications                                                                 |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 40 000              | 41 000              | 17 339,—            | 43,35         |
| 2 2 1 3      | Public information and public events                                                 |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 495 000             | 295 000             | 585 663,—           | 118,32        |
| 2 2 1 4      | Strategic Communication Capacity                                                     |                     |                     |                     |               |
|              | Non-differentiated appropriations                                                    | 800 000             |                     |                     |               |
|              | <i>Article 2 2 1 — Total</i>                                                         | <b>2 740 000</b>    | <b>1 551 000</b>    | <b>1 875 466,—</b>  | <b>68,45</b>  |

## CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (cont'd)

| Article Item | Heading                                                                  | Appropriations 2018 | Appropriations 2017 | Outturn 2016        | % 2016/2018  |
|--------------|--------------------------------------------------------------------------|---------------------|---------------------|---------------------|--------------|
| <b>2 2 2</b> | <b>Language services</b>                                                 |                     |                     |                     |              |
| 2 2 2 0      | Translation                                                              |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | p.m.                | p.m.                | 0,—                 |              |
| 2 2 2 1      | Interpretation                                                           |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 520 000             | 450 000             | 497 760,—           | 95,72        |
|              | <i>Article 2 2 2 — Total</i>                                             | 520 000             | 450 000             | 497 760,—           | 95,72        |
| <b>2 2 3</b> | <b>Miscellaneous expenses</b>                                            |                     |                     |                     |              |
| 2 2 3 0      | Office supplies                                                          |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 340 000             | 340 000             | 507 516,—           | 149,27       |
| 2 2 3 1      | Postal charges                                                           |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 155 000             | 155 000             | 160 633,—           | 103,63       |
| 2 2 3 2      | Expenditure on studies, surveys and consultations                        |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 40 000              | 40 000              | 0,—                 | 0            |
| 2 2 3 3      | Interinstitutional cooperation                                           |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 3 600 000           | 3 082 000           | 2 475 893,—         | 68,77        |
| 2 2 3 4      | Removals                                                                 |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 120 000             | 120 000             | 123 536,—           | 102,95       |
| 2 2 3 5      | Financial charges                                                        |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 5 000               | 5 000               | 6 648,—             | 132,96       |
| 2 2 3 6      | Legal expenses and costs, damages and compensation                       |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 293 000             | 80 000              | 82 521,—            | 28,16        |
| 2 2 3 7      | Other operating expenditure                                              |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 150 000             | 10 000              | 0,—                 | 0            |
|              | <i>Article 2 2 3 — Total</i>                                             | 4 703 000           | 3 832 000           | 3 356 747,—         | 71,37        |
| <b>2 2 4</b> | <b>Conflict Prevention and Mediation Support Services (continuation)</b> |                     |                     |                     |              |
| 2 2 4 0      | Conflict Prevention and Mediation Support Services (continuation)        |                     |                     |                     |              |
|              | Non-differentiated appropriations                                        | 450 000             | 450 000             | 370 063,—           | 82,24        |
|              | <i>Article 2 2 4 — Total</i>                                             | 450 000             | 450 000             | 370 063,—           | 82,24        |
|              | <b>CHAPTER 2 2 — TOTAL</b>                                               | <b>8 978 000</b>    | <b>6 833 000</b>    | <b>6 626 587,—</b>  | <b>73,81</b> |
|              |                                                                          |                     |                     |                     |              |
|              | <b>Title 2 — Total</b>                                                   | <b>74 602 538</b>   | <b>72 035 000</b>   | <b>61 988 350,—</b> | <b>83,09</b> |

EUROPEAN EXTERNAL ACTION SERVICE

## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE AT HEADQUARTERS

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 **Buildings**

## 2 0 0 0 Rent and annual lease payments

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 17 739 538          | 18 698 000          | 18 488 653,— |

*Remarks*

This appropriation is intended to cover in Brussels the rent and taxes on buildings occupied by the EEAS and the rent for meeting rooms, a warehouse and parking spaces.

It is also intended to cover the annual lease payments for buildings or parts of buildings under existing leases or leases in preparation.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 985 000.

## 2 0 0 1 Acquisition of immovable property

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover the acquisition of immovable property.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 0 0 2 Fitting-out and security works

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 337 000             | 235 000             | 150 817,—    |

*Remarks*

This appropriation is intended to cover fitting-out work, including:

- studies on adapting and extending the institution's buildings,
- works on the fitting-out of buildings for the purposes of the physical and material safety of persons and property,

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 2** (*cont'd*)

— fitting-out and transformation of premises in accordance with operational requirements,

— adaptation of premises and technical installations to meet the health and safety requirements and standards in force.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

**2 0 1** **Costs relating to buildings****2 0 1 0** Cleaning and maintenance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 4 715 000           | 4 956 000           | 4 651 002,—  |

*Remarks*

This appropriation is intended to cover the following cleaning and maintenance costs:

— the cleaning of offices, workshops and stores (including curtains, net curtains, carpets, blinds, etc.),

— replacement of worn curtains, net curtains and carpets,

— painting,

— miscellaneous maintenance,

— repairs to technical installations,

— technical supplies,

— contracts for the maintenance of miscellaneous technical equipment (air conditioning, heating, waste disposal, lifts, security equipment and safe-speech rooms).

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (cont'd)

## 2 0 1 (cont'd)

## 2 0 1 1 Water, gas, electricity and heating

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 383 000           | 1 410 000           | 1 545 729,—  |

*Remarks*

This appropriation is intended to cover water, gas, electricity and heating costs.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 45 000.

## 2 0 1 2 Security and surveillance of buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 6 420 000           | 6 700 000           | 6 259 736,—  |

*Remarks*

This appropriation is intended to cover the costs of caretaking and surveillance in respect of buildings occupied by the EEAS.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 280 000.

## 2 0 1 3 Insurance

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 45 000              | 31 753,—     |

*Remarks*

This appropriation is intended to cover the premiums on contracts concluded with insurance companies for the buildings occupied by the EEAS and public liability insurance covering visitors to those buildings.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 0 1 4 Other expenditure relating to buildings

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 120 000             | 130 000             | 87 138,—     |

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 1** (*cont'd*)2 0 1 4 (*cont'd*)*Remarks*

This appropriation is intended to cover any other current expenditure relating to buildings (including the Cortenberg and ER buildings) not provided for in the other articles of this chapter, in particular the costs of waste removal, signalling equipment, surveys by specialised bodies, etc.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE****2 1 0** ***Computer systems and telecommunications***

2 1 0 0 Information and communication technology

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 14 291 000          | 13 030 000          | 11 665 781,— |

*Remarks*

This appropriation is intended to cover expenditure for non-classified information and communication technology, namely expenditure relating to:

- the purchase or hire of equipment or software for computer systems and applications,
- assistance and training provided by computer services and consultancy firms for the operation and development of computer systems and applications, including support for users,
- the servicing and maintenance of computer equipment and systems and applications software,
- communication service providers,
- the price of communications and data-transmission.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 1 0 1 Cryptography and highly classified information and communications technology

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 15 190 000          | 15 760 000          | 8 985 112,—  |

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (cont'd)

2 1 0 (cont'd)

2 1 0 1 (cont'd)

## Remarks

This appropriation is intended to cover expenditure for cryptography and highly secure information and communication technology, namely expenditure relating to:

- the purchase or hire of equipment or software for secure computer systems and applications,
- assistance and training provided by computer services and consultancy firms for the operation and development of computer systems and applications, including support for users, the servicing and maintenance of secure computer equipment and systems and applications software,
- subscription to secure communication services,
- the price of secure communications and data transmissions.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 50 000.

2 1 0 2 Security of information and communication technology up to the level 'EU restricted'

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 786 000           | 2 588 000           | 2 377 569,—  |

## Remarks

This appropriation is intended to cover expenditure to ensure the security of information up to the level 'EU restricted', namely expenditure for that purpose relating to:

- the purchase or hire of equipment or software,
- assistance and training provided by computer services and consultancy firms for the operation and development of secure computer systems and applications, including support for users,
- the maintenance and servicing of computer equipment and systems and applications software,
- subscriptions to communications services,
- the price of communications and data transmissions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.



CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (*cont'd*)2 1 0 (*cont'd*)

2 1 0 3 Technical security countermeasures

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 1 250 000           | 1 250 000           | 633 231,—    |

*Remarks*

This appropriation is intended to cover expenditure to ensure the security of information through technical security countermeasures, namely expenditure for that purpose relating to:

- the purchase or hire of equipment or software for sweeping premises at headquarters, in delegations and in buildings used for conferences and meetings,
- assistance and training provided by specialised service companies, manufacturers and consultancy firms for the operation and development of such equipment or software, including support to users,
- the maintenance and servicing of such equipment, systems and applications software,
- the cost of transporting the equipment for sweeping premises,
- the acquisition, transport and installation of specific equipment necessary for safe-speech rooms,
- mission costs incurred by staff necessary for sweeping premises or equipping safe-speech rooms,
- the acquisition or hire of security systems for EEAS' buildings.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 1 1 ***Furniture, technical equipment and transport***

2 1 1 0 Furniture

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 153 000             | 155 000             | 346 734,—    |

*Remarks*

This appropriation is intended to cover:

- purchase or replacement of furniture and specialised furniture,

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (*cont'd*)2 1 1 (*cont'd*)2 1 1 0 (*cont'd*)

- hire of furniture during missions and meetings outside EEAS premises,
- maintenance and repair of furniture.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 1 1 1 Technical equipment and installations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 105 000             | 150 000             | 16 502,—     |

*Remarks*

This appropriation is intended to cover:

- the purchase or replacement of miscellaneous fixed and mobile technical equipment and installations, particularly in connection with archiving, security, conferences, canteens and buildings,
- technical assistance and supervision, particularly in connection with conferences and canteens,
- hire of technical equipment and installations and the cost of servicing, maintaining and repairing such technical equipment and installations.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 1 1 2 Transport

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 95 000              | 95 000              | 122 006,—    |

*Remarks*

This appropriation is intended to cover, inter alia:

- the leasing or acquisition of service vehicles,
- the cost of hiring cars when it is impossible to make use of the means of transport available to the EEAS, particularly in the course of missions,
- the running and maintenance costs of service cars (purchase of fuel, tyres, etc.).

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE****2 2 0 Conferences, congresses and meetings****2 2 0 0** Organisation of meetings, conferences and congresses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 515 000             | 500 000             | 513 186,—    |

*Remarks*

This appropriation is intended to cover expenses for:

- organising informal Foreign Affairs Council meetings and other informal meetings,
- organising political dialogue meetings at ministerial and senior official levels,
- organising conferences and congresses,
- internal meetings, including where necessary the cost of food and refreshments served in special occasions,
- discharging the obligations incumbent upon the institution in the form of reception and representation expenses,
- protocol-related activities.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 0 1** Experts' travel expenses

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 50 000              | 50 000              | 13 365,—     |

*Remarks*

This appropriation is intended to cover travel and subsistence allowances for experts invited to meetings or sent on mission by the EEAS.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 1 Information****2 2 1 0** Documentation and library expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 955 000             | 765 000             | 822 464,—    |

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (cont'd)

2 2 1 (cont'd)

2 2 1 0 (cont'd)

*Remarks*

This appropriation is intended to cover:

- the costs of access to external documentary and statistical databases including for geographical data,
- subscriptions to newspapers, periodicals, services supplying analyses of their content and other online publications; this appropriation also covers any copyright costs arising out of the reproduction and circulation of such publications in hard copy and/or electronically,
- the purchase of books and other works in hard copy and/or in electronic form for the library,
- the cost of subscriptions to teleprinter news agencies,
- bookbinding and other costs essential for preserving books and periodicals.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Council Decision 2001/80/CFSP of 22 January 2001 on the establishment of the Military Staff of the European Union (OJ L 27, 30.1.2001, p. 7).

2 2 1 1 Satellite imagery

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 450 000             | 450 000             | 450 000,—    |

*Remarks*

This appropriation is intended to cover the cost of acquiring satellite imagery for the EEAS for the purposes of, in particular, crisis prevention and crisis management.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 1 2 General publications

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 41 000              | 17 339,—     |

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)**2 2 1** (*cont'd*)2 2 1 2 (*cont'd*)*Remarks*

This appropriation is intended to cover the costs of preparing, publishing in the official languages of the Member States, by traditional means (paper or microfilm) or electronic methods, and disseminating publications by the EEAS, including those published in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 1 3 Public information and public events

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 495 000             | 295 000             | 585 663,—    |

*Remarks*

This appropriation is intended to cover:

- audiovisual services to inform the public about the Union's foreign affairs policy and the High Representative's actions,
- the development and functioning of the EEAS website,
- expenditure on publicity and the promotion of publications and public events relating to the institution's activities, including ancillary management and infrastructure costs,
- expenditure on information under the CSDP/CFSP,
- the cost of miscellaneous information, public relations activities including promotional items.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 1 4 Strategic Communication Capacity

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 800 000             |                     |              |

*Remarks*

This appropriation is intended to cover:

- strategic communication tools, including access to online and graphic tools,
- contracting strategic communication expertise, including opinion surveys,

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (cont'd)

2 2 1 (cont'd)

2 2 1 4 (cont'd)

- supporting language plurality of strategic communication products,
- engaging and maintaining a network of counter-disinformation specialists in Member States and neighbourhood countries, and the exchange of best practices,
- training and internal capacity building in terms of strategic communication abilities, and knowledge management for EU staff.

2 2 2 **Language services**

2 2 2 0 Translation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover expenditure relating to the translation services provided to the EEAS by the General Secretariat of the Council and by the Commission.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 2 1 Interpretation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 520 000             | 450 000             | 497 760,—    |

*Remarks*

This appropriation is intended to cover the services provided to the EEAS by the Commission's interpreters.

It is also intended to cover the services provided to the EEAS by the Commission's interpreters on the occasion of meetings of the Political and Security Committee and the Military Committee and of other meetings held specifically within the framework of the CSDP/CFSP.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Decision No 111/2007 of the Secretary-General of the Council/High Representative for the Common Foreign and Security Policy concerning interpreting for the European Council, the Council and its preparatory bodies.

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)**2 2 3** *Miscellaneous expenses*

## 2 2 3 0 Office supplies

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 340 000             | 340 000             | 507 516,—    |

*Remarks*

This appropriation is intended to cover:

- the purchase of paper,
- photocopies and fees,
- paper and office supplies (day-to-day supplies),
- printed material,
- supplies for dispatching mail (envelopes, wrapping paper, plates for the franking machine),
- supplies for the document reproduction shop (printer inks, offset plates, films and chemicals for preparing plates).

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 2 3 1 Postal charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 155 000             | 155 000             | 160 633,—    |

*Remarks*

This appropriation is intended to cover postal charges.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 2 3 2 Expenditure on studies, surveys and consultations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 40 000              | 40 000              | 0,—          |

*Remarks*

This appropriation is intended to cover the cost of studies and consultations contracted out to highly qualified experts.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 2 3 3 Interinstitutional cooperation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 3 600 000           | 3 082 000           | 2 475 893,—  |

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (cont'd)

2 2 3 (cont'd)

2 2 3 3 (cont'd)

## Remarks

This appropriation is intended to cover expenditure on interinstitutional activities, notably the cost of Commission, Offices and Council administrative staff managing EEAS staff, buildings and archives.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 3 4 Removals

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 120 000             | 120 000             | 123 536,—    |

## Remarks

This appropriation is intended to cover the cost of the removal and transport of equipment.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 3 5 Financial charges

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 5 000               | 5 000               | 6 648,—      |

## Remarks

This appropriation is intended to cover all financial charges, including bank charges.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 3 6 Legal expenses and costs, damages and compensation

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 293 000             | 80 000              | 82 521,—     |

## Remarks

This appropriation is intended to cover:

- costs which may be awarded against the EEAS by the Court of Justice and the General Court and the cost of employing outside lawyers to represent the EEAS in court,



**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)2 2 3 (*cont'd*)2 2 3 6 (*cont'd*)

— costs of consulting outside lawyers,

— damages and compensation for which the EEAS may be liable.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 3 7 Other operating expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 150 000             | 10 000              | 0,—          |

*Remarks*

This appropriation is intended to cover:

- the cost of purchasing working clothes for conference service staff and security service staff, working equipment for workshop staff and internal services staff and the repair and maintenance of working clothes,
- the EEAS contribution towards the expenditure of some associations whose activities are directly related to those of the Union institutions,
- other operating expenditure not specially provided for under the preceding headings,
- personal security clearances for EEAS staff,
- the purchase of uniforms and accessories, in particular for the security personnel responsible for Cortenberg and ER buildings.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 4 Conflict Prevention and Mediation Support Services** (*continuation*)2 2 4 0 Conflict Prevention and Mediation Support Services (*continuation*)

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 450 000             | 450 000             | 370 063,—    |

*Remarks*

This appropriation is intended to cover:

- the deployment of Union staff in support of mediation and dialogue processes,

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**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** *(cont'd)*

**2 2 4** *(cont'd)*

**2 2 4 0** *(cont'd)*

- contracting internal mediation and dialogue experts as well as accessing external mediation support services, taking into consideration the ongoing work of the United Nations and other organisations in setting up rosters,
- engaging in knowledge management, including organising workshops and conflict analyses, and developing and publishing lessons learned studies, best practices and guidelines,
- training and internal capacity-building in connection with early warning, conflict analyses and mediation and dialogue for Union staff at headquarters, Union personnel deployed in missions, EUSRs, heads of delegation and their staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## TITLE 3

## DELEGATIONS

## CHAPTER 3 0 — DELEGATIONS

| Article Item | Heading                                          | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|--------------|--------------------------------------------------|---------------------|---------------------|----------------------|---------------|
|              | CHAPTER 3 0                                      |                     |                     |                      |               |
| <b>3 0 0</b> | <b>Delegations</b>                               |                     |                     |                      |               |
| 3 0 0 0      | Remuneration and entitlements of statutory staff |                     |                     |                      |               |
|              | Non-differentiated appropriations                | 118 350 400         | 116 124 000         | 110 840 629,—        | 93,65         |
| 3 0 0 1      | External staff and outside services              |                     |                     |                      |               |
|              | Non-differentiated appropriations                | 72 090 600          | 68 517 000          | 64 380 868,—         | 89,31         |
| 3 0 0 2      | Other expenditure related to staff               |                     |                     |                      |               |
|              | Non-differentiated appropriations                | 27 633 600          | 27 961 000          | 25 703 219,—         | 93,01         |
| 3 0 0 3      | Buildings and associated costs                   |                     |                     |                      |               |
|              | Non-differentiated appropriations                | 168 022 000         | 165 623 750         | 169 514 191,—        | 100,89        |
| 3 0 0 4      | Other administrative expenditure                 |                     |                     |                      |               |
|              | Non-differentiated appropriations                | 42 721 000          | 45 033 000          | 40 336 078,—         | 94,42         |
| 3 0 0 5      | Commission contribution for delegations          |                     |                     |                      |               |
|              | Non-differentiated appropriations                | p.m.                | p.m.                | 184 969 380,—        |               |
|              | <i>Article 3 0 0 — Total</i>                     | 428 817 600         | 423 258 750         | 595 744 365,—        | 138,93        |
|              | CHAPTER 3 0 — TOTAL                              | 428 817 600         | 423 258 750         | 595 744 365,—        | 138,93        |
|              | <b>Title 3 — Total</b>                           | <b>428 817 600</b>  | <b>423 258 750</b>  | <b>595 744 365,—</b> | <b>138,93</b> |

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**TITLE 3****DELEGATIONS****CHAPTER 3 0 — DELEGATIONS****3 0 0 Delegations****3 0 0 0 Remuneration and entitlements of statutory staff**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 118 350 400         | 116 124 000         | 110 840 629,— |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union in respect of officials and temporary staff holding a post provided for in the establishment plan:

- basic salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- overtime,
- the cost of weightings applied to the remuneration,
- the cost of any updates to remuneration during the financial year.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation, remuneration and other financial conditions adopted by the EEAS.

**3 0 0 1 External staff and outside services**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 72 090 600          | 68 517 000          | 64 380 868,— |

**CHAPTER 3 0 — DELEGATIONS** *(cont'd)***3 0 0** *(cont'd)*3 0 0 1 *(cont'd)**Remarks*

This appropriation is intended to cover the following expenditure incurred in relation to delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- the remuneration of local and/or contract staff, and the social security charges and benefits to be met by the employer,
- employer's contributions towards supplementary social security cover for local staff,
- services of agency and freelance staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

3 0 0 2 Other expenditure related to staff

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 27 633 600          | 27 961 000          | 25 703 219,— |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- expenditure related to the posting of Junior Experts (university graduates) and trainees to the delegations of the European Union,
- the cost of seminars organised for young diplomats from the Member States and third countries,
- expenditure relating to the secondment or temporary assignment of officials from the Member States to delegations,
- installation and resettlement allowances due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 2** (*cont'd*)

- travel expenses due to staff (including members of their family) on taking up duty, on transfer to another place of employment, or on leaving the institution,
- removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to another place of employment and on finally leaving the institution and resettling elsewhere,
- in the event of the death of a member of the EEAS staff or a dependent person, the costs incurred pursuant to Article 75 of the Staff Regulations for transporting the body,
- miscellaneous costs and allowances concerning staff, including legal consultations,
- expenditure arising from recruitment procedures of officials, temporary agents, contract staff and local staff, including publication costs, travel and subsistence costs and accident insurance for candidates called for examinations and interviews, costs connected with the organisation of group recruitment tests and pre-recruitment medical examinations,
- the acquisition, replacement, conversion and maintenance of equipment of a medical nature installed in delegations,
- expenses related to the cost of annual medical examinations of officials, contract staff and local staff, including analyses and tests carried out as part of such examinations, the cost of medical and dental advisers and the costs concerning the policy regarding AIDS at the workplace,
- cultural activities and initiatives for encouraging social contacts between expatriate and local staff,
- the fixed allowance granted to officials who regularly incur representation expenses by reason of their duties, and the reimbursement of costs incurred by authorised officials to represent the Commission and/or the EEAS in the interests of the service and by reason of their duties (in the case of delegations within the territory of the Union, part of the accommodation expenses will be covered by the fixed representation allowance),
- expenditure on travel expenses, daily subsistence allowances for missions and incidental or exceptional expenses incurred in connection with missions by officials and other staff,
- expenditure on travel expenses and daily subsistence allowances for successful candidates called for training prior to taking up duty,
- expenditure on travel expenses and daily subsistence allowances for experts invited to meetings by delegations,
- expenditure on travel expenses, daily subsistence allowances and insurance in connection with medical evacuations,
- expenditure arising from crisis situations, including travel, accommodation and daily subsistence allowances,
- expenditure on general and language training designed to improve the skills of the staff and the performance of the institution,
- fees for experts employed to identify training needs, design, develop and hold courses and to evaluate and monitor results,

**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 2** (*cont'd*)

- fees for consultants in various fields, in particular organisational methods, planning, management, strategy, quality assurance and personnel management,
- expenditure incurred in designing, holding and evaluating training organised by the institution in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses and teaching materials),
- expenditure related to the practical and logistical aspects of organising courses including premises, transport and equipment hire for training and local and regional seminars as well as miscellaneous connected costs, such as refreshments and food,
- the cost of participation in conferences and symposiums, and subscriptions to professional and scientific associations,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licenses for distance teaching, books, press and multimedia products,
- the costs associated with the diplomatic exchange programme, such as travel and installation costs, in accordance with the Staff Regulations.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 120 000.

**3 0 0 3** Buildings and associated costs

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| 168 022 000         | 165 623 750         | 169 514 191,— |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- temporary accommodation allowances and daily allowances for officials, temporary agents and contract agents,
- as regards rent and other charges on buildings for delegations outside the Union:
  - for all the buildings or parts of buildings occupied by the offices of delegations outside the Union or by officials posted outside the Union: rent (including temporary accommodation) and taxes, insurance premiums, refurbishments and major repairs, routine expenditure relating to the security of persons and goods (cipher machines, safes, window bars, etc.),
  - for all the buildings or parts of buildings occupied by the offices of delegations outside the Union and delegates' residences: water, gas, electricity and fuel charges, maintenance and repairs, handling, refurbishment and other routine expenses (local taxes for street maintenance and refuse collection and the purchase of signs and signposts),

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**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)3 0 0 3 (*cont'd*)

- as regards rent and other charges on buildings within Union territory:
  - for all the buildings or parts of buildings occupied by the offices of delegations: rent; water, gas, electricity and heating energy charges; insurance premiums; maintenance and repairs; refurbishment and major repairs; expenditure relating to security, particularly contracts for surveillance and the hiring and refilling of extinguishers; the purchase and maintenance of fire-fighting equipment and the replacement of equipment of voluntary fire-fighting officials; the cost of statutory inspections, etc.,
  - for the buildings or parts of buildings occupied by officials: reimbursement of expenditure relating to the security of housing,
- expenditure incurred in the acquisition of building land and buildings (purchase or lease-purchase option) or construction of offices or other accommodation, including the costs of preliminary studies and various fees.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) introduced in its Article 203 the possibility for institutions to finance the acquisition of property through loans. This item will cover charges generated by such loans (principal and interest) for the acquisition of property for delegations.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 26 770 000.

3 0 0 4 Other administrative expenditure

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| 42 721 000          | 45 033 000          | 40 336 078,— |

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- the purchase, hire, leasing, maintenance and repair of furniture and equipment, in particular audiovisual, archive, printing, library, interpretation and specialised office equipment (photocopiers, reader-printers, fax machines, etc.) as well as the acquisition of documentation and supplies related to this equipment,
- the purchase, maintenance and repair of technical equipment such as generators, air conditioners, etc., and the installation of equipment for welfare facilities in the delegations,
- the purchase, replacement, hire, leasing, maintenance and repair of vehicles, including tools,
- insurance premiums for vehicles,



**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 4** (*cont'd*)

- the purchase of books, documents and other non-periodical publications, including updates, and subscriptions to newspapers, periodicals and various publications, and the cost of binding and other costs for the preservation of periodicals,
- subscriptions to news agencies,
- the purchase of paper, envelopes, office supplies and supplies for reproduction, and some printing contracted to outside service providers,
- the transport and customs clearance of equipment, the purchase and cleaning of uniforms for floor messengers, drivers, etc., various types of insurance (in particular third-party liability and theft), expenditure on internal meetings (drinks, food served on special occasions),
- the cost of studies, surveys and consultations connected with the administrative operation of delegations and any other operating expenses not specifically covered by the other items in this article,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail,
- the cost of the diplomatic bag,
- all expenditure on furniture and fittings for residential accommodation made available to officials,
- the purchase, hire or leasing of data-processing equipment (computers, terminals, mini-computers, peripherals, connection devices) with the requisite software,
- outsourced services, in particular for the development, maintenance and support of information technology systems in the delegations,
- the purchase, hire or leasing of equipment for the reproduction of information on paper, such as printers and scanners,
- the purchase, hire or leasing of telephone exchanges and switchboards and equipment for data transmission with the requisite software,
- subscription charges and fixed costs for cable or radio communications (telephone, telegraph, telex, fax), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of installation, configuration, maintenance, support, assistance, documentation and supplies related to this equipment,
- any expenditure on active security operations in delegations in emergencies,
- all financial charges, including bank charges,

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CHAPTER 3 0 — DELEGATIONS (*cont'd*)3 0 0 (*cont'd*)3 0 0 4 (*cont'd*)

- for imprest accounts, updates where all appropriate measures have been taken by the authorising officer to deal with the situation and where the updated expenditure cannot be booked to another specific budget line,
- updates in situations where a claim is cancelled in full or in part after it has been booked to the accounts as revenue (in particular in the event of offsetting against a debt),
- updates in cases where VAT has not been recovered and where it is no longer possible to book the amount to the line that covered the principal expenditure,
- for any interest relating to the above cases, where it cannot be booked to another specific budget line.

This item is also intended to accommodate, if necessary, an appropriation to cover any losses resulting from the liquidation or cessation of activities of a bank with which the Commission has accounts for the purposes of imprests.

It may finance costs incurred by delegations in the framework of local cooperation with Member States, notably in the context of a crisis.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 9 360 000.

*Legal basis*

Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30), and in particular Article 5(10) thereof.

3 0 0 5 Commission contribution for delegations

| Appropriations 2018 | Appropriations 2017 | Outturn 2016  |
|---------------------|---------------------|---------------|
| p.m.                | p.m.                | 184 969 380,— |

*Remarks*

Any revenue from the Commission or from the European Development Fund (EDF) contributing to the cost in delegations resulting from the presence of Commission staff in delegations may lead to additional appropriations being made available in accordance with Article 21 of the Financial Regulation.

This appropriation is intended to cover the following costs for Commission staff, including Commission staff financed by the EDF posted in the delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- salaries and salary related expenditure of local agents (and agency staff),
- the share in the expenditure covered by Items 3 0 0 0 (Remuneration and entitlements of statutory staff), 3 0 0 1 (External staff and outside services), 3 0 0 2 (Other expenditure related to staff), 3 0 0 3 (Buildings and associated costs) and 3 0 0 4 (Other administrative expenditure) for such staff.

**CHAPTER 3 0 — DELEGATIONS** *(cont'd)***3 0 0** *(cont'd)***3 0 0 5** *(cont'd)*

In addition, this appropriation may cover other expenditure, such as press and information activities, carried out on the basis of service level agreements concluded with other institutions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

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**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE**

| Article Item | Heading                 | Appropriations 2018 | Appropriations 2017 | Outturn 2016         | % 2016/2018   |
|--------------|-------------------------|---------------------|---------------------|----------------------|---------------|
|              | CHAPTER 10 0            | p.m.                | p.m.                | 0,—                  |               |
|              | CHAPTER 10 0 — TOTAL    | p.m.                | p.m.                | 0,—                  |               |
|              | CHAPTER 10 1            | p.m.                | p.m.                | 0,—                  |               |
|              | CHAPTER 10 1 — TOTAL    | p.m.                | p.m.                | 0,—                  |               |
|              | <b>Title 10 — Total</b> | <b>p.m.</b>         | <b>p.m.</b>         | <b>0,—</b>           |               |
|              | <b>GRAND TOTAL</b>      | <b>678 499 138</b>  | <b>659 980 000</b>  | <b>816 103 790,—</b> | <b>120,28</b> |

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

The appropriations entered in this chapter are provisional and may be used only after their transfer to other chapters in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

| Appropriations 2018 | Appropriations 2017 | Outturn 2016 |
|---------------------|---------------------|--------------|
| p.m.                | p.m.                | 0,—          |

*Remarks*

This appropriation is intended to cover unforeseen expenditure resulting from budgetary decisions taken in the course of the financial year.

## EUROPEAN EXTERNAL ACTION SERVICE

## STAFF

## Section X — European External Action Service

| Function group and grade | 2018                       |                 | 2017            |                 |
|--------------------------|----------------------------|-----------------|-----------------|-----------------|
|                          | Permanent posts            | Temporary posts | Permanent posts | Temporary posts |
|                          | AD 16                      | 6               | —               | 6               |
| AD 15                    | 23                         | —               | 23              | —               |
| AD 14                    | 172                        | —               | 155             | —               |
| AD 13                    | 162                        | —               | 176             | —               |
| AD 12                    | 190                        | —               | 210             | —               |
| AD 11                    | 78                         | —               | 64              | —               |
| AD 10                    | 70                         | —               | 63              | —               |
| AD 9                     | 83                         | —               | 71              | —               |
| AD 8                     | 79                         | —               | 76              | —               |
| AD 7                     | 43                         | —               | 55              | —               |
| AD 6                     | 29                         | —               | 34              | —               |
| AD 5                     | 8                          | —               | 17              | —               |
| Subtotal AD              | 943                        | —               | 950             | —               |
| AST 11                   | 24                         | —               | 32              | —               |
| AST 10                   | 26                         | —               | 27              | —               |
| AST 9                    | 61                         | 1               | 60              | —               |
| AST 8                    | 76                         | —               | 64              | 1               |
| AST 7                    | 87                         | —               | 90              | —               |
| AST 6                    | 84                         | —               | 82              | —               |
| AST 5                    | 111                        | —               | 102             | —               |
| AST 4                    | 64                         | —               | 66              | —               |
| AST 3                    | 41                         | —               | 58              | —               |
| AST 2                    | 12                         | —               | 25              | —               |
| AST 1                    | 4                          | —               | 4               | —               |
| Subtotal AST             | 590                        | 1               | 610             | 1               |
| AST/SC 6                 | —                          | —               | —               | —               |
| AST/SC 5                 | —                          | —               | —               | —               |
| AST/SC 4                 | —                          | —               | —               | —               |
| AST/SC 3                 | 9                          | —               | 1               | —               |
| AST/SC 2                 | 33                         | —               | 29              | —               |
| AST/SC 1                 | 19                         | —               | 20              | —               |
| Subtotal AST/SC          | 61                         | —               | 50              | —               |
| <b>Total</b>             | <b>1 594</b>               | <b>1</b>        | <b>1 610</b>    | <b>1</b>        |
| <b>Grand total</b>       | <b>1 595<sup>(1)</sup></b> |                 | <b>1 611</b>    |                 |

(<sup>1</sup>) Article 41 of the Staff Regulations will be applied to up to 6 staff members as a result of the reduction of posts under the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).