Official Journal
of the European Union

EN
L series

2026/1757

27.7.2026

COMMISSION IMPLEMENTING REGULATION (EU) 2026/1757

of 20 July 2026

laying down implementing technical standards for the application of Directive 2013/36/EU of the European Parliament and of the Council with regard to third country branches reporting

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive 2013/36/EU of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions, amending Directive 2002/87/EC and repealing Directives 2006/48/EC and 2006/49/EC ([1]), and in particular Article 48l(1), the fourth subparagraph thereof,

Whereas:

(1) Directive (EU) 2024/1619 of the European Parliament and of the Council ([2]) amended Directive 2013/36/EU to, *inter alia*, introduce a new regime, in Title VI of that Directive, for the establishment, regulation and supervision of third-country branches in the Union. That regime sets out supervisory powers for competent authorities with regard to third-country branches. As part of that regime, and for the purpose of framing the use of the supervisory powers for third-country branches, specification of the information to be received by the competent authorities should be based on a common supervisory reporting framework, for facilitating the effective supervision of compliance by third-country branches with applicable prudential and supervisory requirements.

(2) In accordance with Article 48l(1), second subparagraph, of Directive 2013/36/EU, the reporting requirements are to be proportionate to the classification of third-country branches as either class 1 or class 2. It is therefore necessary to develop two sets of templates, one set for each class, in accordance with an approach whereby class 1 third-country branches, that are deemed riskier, are expected to report more detailed information than class 2.

(3) To ensure a common set of supervisory practices with regard to third-country branches, it is necessary to also specify the reporting reference dates and the reporting remittance dates, as well as the accounting standards applicable to the reporting submissions by third-country branches. Article 48l(1) of Directive 2013/36/EU introduced a requirement for the EBA to develop IT solutions, including reporting templates and instructions, to be used by third-country branches for complying with the reporting obligations laid down in Article 48k of that Directive. Accordingly, the data points and the information that third-country branches have to report and that the EBA should include in the IT solutions concerned should be specified with sufficient clarity. In order to allow EBA to develop appropriate IT solutions, those uniform reporting formats should not be binding as concerns their structure and their representation, as EBA should not be bound to replicate the graphical representation and tabular structure laid down in the annex. In particular, EBA should be able to depart from the graphical representation and tabular structure of the reporting templates as long as all the data points and information required are included in the IT solutions.

(4) This Regulation is based on the draft implementing technical standards submitted to the Commission by EBA.

(5) EBA has conducted open public consultations on the draft implementing technical standards on which this Regulation is based, analysed the potential related costs and benefits, and requested the advice of the Banking Stakeholder Group established in accordance with Article 37 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council ([3]).

([1]) OJ L 176, 27.6.2013, p. 338, ELI: http://data.europa.eu/eli/dir/2013/36/oj.

([2]) Directive (EU) 2024/1619 of the European Parliament and of the Council of 31 May 2024 amending Directive 2013/36/EU as regards supervisory powers, sanctions, third-country branches, and environmental, social and governance risks (OJ L, 2024/1619, 19.6.2024, ELI: http://data.europa.eu/eli/dir/2024/1619/oj.

([3]) Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331, 15.12.2010, p. 12, ELI: http://data.europa.eu/eli/reg/2010/1093/oj).

(6) This Regulation should enter into force on the twentieth day following the date of its publication in the *Official Journal of the European Union*. Nevertheless, the reporting requirements under Article 48k(1) of Directive 2013/36/EU are dependent on underlying substantial provisions laid down in Articles 48h, 48e and 48f of that Directive, that should only apply from 11 January 2027. Moreover, it is necessary to provide third-country branches with sufficient time to prepare for reporting. Therefore, the application of this Regulation should be deferred.

HAS ADOPTED THIS REGULATION:

Article 1

Reporting reference dates

1. Third-country branches shall submit the information referred to in this Regulation to competent authorities as that information stands on the following reporting reference dates:

(a) monthly reporting: on the last day of each month;

(b) quarterly reporting: 31 March, 30 June, 30 September and 31 December;

(c) semi-annual reporting: 30 June and 31 December;

(d) annual reporting: 31 December.

2. Third-country branches shall report the financial information on the third-country branch itself referred to in Annex I to this Regulation in accordance with Regulation (EC) No 1606/2002 of the European Parliament and of the Council ([4]) or the applicable generally accepted accounting principles of the Member State and referring to a certain period cumulatively from the first day of the accounting year to the reference date.

3. Where third-country branches are permitted by national laws to report their financial and regulatory information based on their accounting year-end, which deviates from the calendar year-end, reporting reference dates may be adjusted accordingly so that the reporting is also done every one, three, six or twelve months from their accounting year-end.

The first subparagraph shall apply also to information on the head undertaking, provided that the relevant third-country jurisdiction permits the reporting of regulatory information using the fiscal year end as the reference date.

Article 2

Reporting remittance dates

1. Third-country branches shall submit information referred to in Annex I to competent authorities by close of business on the following remittance dates:

(a) monthly reporting: 15th calendar day after the reporting reference date;

(b) quarterly reporting: 12 May, 11 August, 11 November and 11 February;

(c) semi-annual reporting: 11 August and 11 February;

(d) annual reporting: 11 February.

2. Third-country branches shall submit information referred to in Annex II to competent authorities by close of business on the following remittance dates:

(a) quarterly reporting: 11 June, 11 September, 11 December and 11 March;

(b) semi-annual reporting: 11 September and 11 March;

(c) annual reporting: 11 March.

([4]) Regulation (EC) No 1606/2002 of the European Parliament and of the Council of 19 July 2002 on the application of international accounting standards (OJ L 243, 11.9.2002, p. 1, ELI: http://data.europa.eu/eli/reg/2002/1606/oj).

3. Where the remittance day is a public holiday in the Member State of the competent authority to which the report is to be provided, or a Saturday or a Sunday, data shall be submitted on the following working day.

4. Where third-country branches report their financial information or information on the head undertaking using adjusted reporting reference dates based on their accounting year-end as set out in Article 1(3), the remittance dates may also be adjusted accordingly so that the same remittance period from the adjusted reporting reference date is maintained.

5. Third-country branches may submit unaudited figures (i.e. figures that have not received an external auditor's opinion). Where audited figures (i.e figures audited by an external auditor expressing an audit opinion) deviate from submitted unaudited figures, the revised, audited figures shall be submitted without undue delay.

6. Other corrections to the submitted reports shall also be submitted to the competent authorities without undue delay.

Article 3

Reporting on regulatory and financial information on third-country branches

1. Third-country branches that meet the criteria set out in Article 48a(1) of Directive 2013/36/EU (class 1) shall submit the templates with information on their own regulatory and financial information as specified in Annex I to this Regulation as follows:

(a) template 9.1 with a monthly frequency;

(b) templates 1.1, 2, 7.1 and 8.1 with a quarterly frequency;

(c) templates 3.1, 4.1, 5.1, 6.1 and 10 with a semi-annual frequency.

2. Third-country branches that do not meet the criteria set out in Article 48a(1) of Directive 2013/36/EU (class 2) shall submit the templates with information on their own regulatory and financial information as set out in Annex I to this Regulation, as follows:

(a) template 9.2 with a monthly frequency;

(b) templates 1.2, 2, 7.2 and 8.2 with a quarterly frequency;

(c) templates 3.2, 4.2, 5.2, 6.2 and 10 with an annual frequency.

3. By way of derogation from paragraphs 1 and 2, third-country branches shall report template 10 only where required by national competent authority in accordance with Article 48k(1)(c) of Directive 2013/36/EU.

Article 4

Regulatory and financial information on the head undertaking

1. Third-country branches that meet the criteria set out in Article 48a(1) of Directive 2013/36/EU (class 1) shall submit the templates with information on the regulatory and financial information of their head undertaking as set out in Annex II to this Regulation, as follows:

(a) templates 1 and 2 with a quarterly frequency;

(b) templates 3, 4, 5, 6 and 7 with a semi-annual frequency.

2. Third-country branches that do not meet the criteria set out in Article 48a(1) of Directive 2013/36/EU (class 2) shall submit the templates with information on the regulatory and financial information of their head undertaking as set out in Annex II to this Regulation, as follows:

(a) templates 1 and 2 with a quarterly frequency;

(b) templates 3, 4, 5, 6 and 7 with an annual frequency.

Article 5

IT solutions, reporting templates and instructions

1. EBA shall ensure that the IT solutions, including instructions, developed pursuant to Article 48l(1) of Directive 2013/36/EU comply with the uniform reporting formats laid down in this Regulation at all times and include all the data points and information listed in Annexes I and II to this Regulation.

2. EBA shall make available on its website the IT solutions, including instructions referred to in paragraph 1. EBA shall keep those IT solutions up-to-date and available in all official languages of the Union.

Article 6

Data exchange formats and information accompanying submissions

1. Third-country branches shall submit the information referred to in this Regulation in the data exchange formats and representations specified by the competent authorities, respect the data point definition of the data point model and the validation formulae referred to in the IT solutions made available on the EBA website, and comply with the following requirements:

(a) they shall not include information in the data submission that is not required or not applicable;

(b) they shall submit numerical values as follows:

  (i) they shall report data points with the data type ‘Monetary’ using a minimum precision equivalent to ten thousands of units;

  (ii) they shall express data points with the data type ‘Percentage’ as per unit with a minimum precision equivalent to four decimals;

  (iii) they shall not use decimals when reporting data points with the data type ‘Integer’ and shall use a precision equivalent to units;

(c) they shall identify institutions and insurance undertakings solely by their Legal Entity Identifier (LEI);

(d) they shall identify entities and counterparties other than institutions and insurance undertakings by their LEI, where available; and where not available, by their national code.

2. Third-country branches shall, together with the submitted information, submit the following information:

(a) reporting reference date and reference period;

(b) reporting currency;

(c) accounting standard;

(d) LEI of the reporting third-country branch, where available; and where not available, their national code;

Article 7

Entry into force and application

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 28 March 2027.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 20 July 2026.

For the Commission
The President
Ursula VON DER LEYEN

Third-country branches' reporting

Third-country branches templates				
Template number	Template code	Adressees	Legal basis	Name of the template /group of templates
Financial information				
1,1	E 01.01	Class 1 TCBs	Point (a) of Art.48k(1) CRD	Assets and liabilities booked and originated by the third country branch (Class 1)
1,2	E 01.02	Class 2 TCBs	Point (a) of Art.48k(1) CRD	Assets and liabilities booked and originated by the third country branch (Class 2)
2	E 02.00	Class 1 and 2 TCBs	Point (a) of Art.48k(1) CRD	Selected off-balance sheet items of the third country branch (Class 1 and Class 2)
3,1	E 03.01	Class 1 TCBs	Points (a)(i) and (ii) of Art.48k(1) CRD	Largest assets and significant exposure concentrations (Class 1)
3,2	E 03.02	Class 2 TCBs	Points (a)(i) and (ii) of Art.48k(1) CRD	Largest assets and significant exposure concentrations (Class 2)
4,1	E 04.01	Class 1 TCBs	Points (a)(i) and (ii) of Art.48k(1) CRD	Largest liabilities and significant funding sources concentrations (Class 1)
4,2	E 04.02	Class 2 TCBs	Points (a)(i) and (ii) of Art.48k(1) CRD	Largest liabilities and significant funding sources concentrations (Class 2)
5,1	E 05.01	Class 1 TCBs	Point (a)(iii) of Art.48k(1) CRD	Significant internal transactions with the head undertaking and with members of the head undertaking's group - Amounts payable to and amounts receivable (Class 1)
5,2	E 05.02	Class 2 TCBs	Point (a)(iii) of Art.48k(1) CRD	Significant internal transactions with the head undertaking and with members of the head undertaking's group - Amounts payable to and amounts receivable (Class 2)
6,1	E 06.01	Class 1 TCBs	Point (a)(iii) of Art.48k(1) CRD	Significant internal transactions with the head undertaking and with members of the head undertaking's group - Expenses and income generated by transactions (Class 1)
6,2	E 06.02	Class 2 TCBs	Point (a)(iii) of Art.48k(1) CRD	Significant internal transactions with the head undertaking and with members of the head undertaking's group - Expenses and income generated by transactions (Class 2)

Third-country branches templates				
Template number	Template code	Adressees	Legal basis	Name of the template /group of templates
Regulatory information				
7,1	E 07.01	Class 1 TCBs	Point (b) of Art.48k(1) and Art. 48e CRD	Computation of the capital endowment requirements (Class 1)
7,2	E 07.02	Class 2 TCBs	Point (b) of Art.48k(1) and Art. 48e CRD	Computation of the capital endowment requirements (Class 2)
8,1	E 08.01	Class 1 TCBs	Point (b) of Art.48k(1) and Art. 48e CRD	Deposited assets covering for the MCER and monitoring of the evolution of the escrow account (Class 1)
8,2	E 08.02	Class 2 TCBs	Point (b) of Art.48k(1) and Art. 48e CRD	Deposited assets covering for the MCER and monitoring of the evolution of the escrow account (Class 2)
9,1	E 09.01	Class 1 TCBs	Point (b) of Art.48k(1) and Art. 48f CRD	Liquidity coverage - calculations (Class 1)
9,2	E 09.02	Class 2 TCBs	Point (b) of Art.48k(1) and Art. 48f CRD	Liquidity coverage - calculations (Class 2)
10	E 10.00	Class 1 and 2 TCBs	Point (c) of Art.48k(1) CRD	Deposit protection arrangements available to depositors in the third-country branches in accordance with Article 15(2) and (3) of DGSD

E 01.01 - Assets and liabilities booked and originated by the third country branch (Class 1)

		Amount booked by the TCB			Amount originated by the TCB
			of which: deposited assets covering for the OCER	of which: intragroup positions	
		0010	0020	0030	0040
Total Assets	0010				
Financial assets	0020				
Cash on hand	0030				
Cash balances at central banks	0040				
Other demand deposits	0050				
Derivatives	0060				
Equity instruments	0070				
Debt securities	0080				
Loans and advances	0090				
Non-Financial assets	0100				
Property, Plant and Equipment	0110				
Investment property	0120				
Goodwill	0130				
Other intangible assets	0140				
Current tax assets	0150				
Deferred tax assets	0160				
Other assets	0170				
Total Liabilities	0180				
Financial liabilities	0190				
Short positions	0200				
Deposits	0210				
Derivatives	0220				
Debt securities issued	0230				
Other financial liabilities	0240				
Non-financial liabilities	0250				
Provisions	0260				
Current tax liabilities	0270				
Deferred tax liabilities	0280				
Share capital repayable on demand	0290				
Other liabilities	0300				
Equity	0310				

E 01.02 - Assets and liabilities booked and originated by the third country branch (Class 2)

		Amount booked by the TCB		Amount originated by the TCB
			of which: deposited assets covering for the OCER	
		0010	0020	0040
Total Assets	0010			
Financial assets	0020			
Cash on hand	0030			
Cash balances at central banks	0040			
Other demand deposits	0050			
Derivatives	0060			
Equity instruments	0070			
Debt securities	0080			
Loans and advances	0090			
Non-Financial assets	0100			
Total Liabilities	0180			
Financial liabilities	0190			
Short positions	0200			
Deposits	0210			
Derivatives	0220			
Debt securities issued	0230			
Other financial liabilities	0240			
Non-financial liabilities	0250			
Equity	0310			

E 02.00 - Selected off-balance sheet items (Class 1 and Class 2)

		Nominal amount	Maximum amount that can be considered
		0010	0020
Selected off-balance sheet items given	0010		
Loan commitments given	0020		
Financial guarantees given	0030		
Other Commitments given	0040		
Selected off-balance sheet items received	0050		
Loan commitments received	0060		
Financial guarantees received	0070		
Other Commitments received	0080		

E 03.01 - Largest assets and significant exposure concentrations (Class 1)

Counterparty type:

		Counterparty					Amount								
		Code / Group code	Type of code	Name of the counter-party	National code	Residence of the counter-party	Exposure concen-trations	Selected assets				Selected off-balance sheet items given			Accumula-ted impair-ment
								Debt instru-ments	Equity instru-ments	Deriva-tives	of which: defaulted	Loan commit-ments	Financial guaran-tees	Other commit-ments	
		0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120	0130	0140
1	0010														
2	0020														
3	0030														
4	0040														
5	0050														
6	0060														
7	0070														
8	0080														
9	0090														
10	0100														

E 03.02 - Largest assets and significant exposure concentrations (Class 2)

Counterparty type:

		Countrparty					Amount								
		Code / Group code	Type of code	Name of the counter-party	National code	Residence of the counter-party	Exposure concen-trations	Selected assets				Selected off-balance sheet items given			Accumula-ted impair-ment
								Debt instru-ments	Equity instru-ments	Deriva-tives	of which: defaulted	Loan commit-ments	Financial guaran-tees	Other commit-ments	
		0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120	0130	0140
1	0010														
2	0020														
3	0030														
4	0040														
5	0050														

E 04.01 - Largest liabilities and significant funding sources concentrations (Class 1)

Counterparty type:

		Counterparty					Amount			
		Code / Group Code	Type of code	Name of the counterparty	National code	Residence of Counterparty	Funding souces concentrations	Selected liabilities		
								Deposits	Debt securities issued	Other financial liabilities
		0010	0020	0030	0040	0050	0060	0070	0080	0090
1	0010									
2	0020									
3	0030									
4	0040									
5	0050									
6	0060									
7	0070									
8	0080									
9	0090									
10	0100									

E 04.02 - Largest liabilities and significant funding sources concentrations (Class 2)

Counterparty type:

		Counterparty					Amount			
								Selected liabilities		
		Code / Group Code	Type of code	Name of the counterparty	National code	Residence of Counterparty	Funding souces concentrations	Deposits	Debt securities issued	Other financial liabilities
		0010	0020	0030	0040	0050	0060	0070	0080	0090
1	0010									
2	0020									
3	0030									
4	0040									
5	0050									

E 05.01 - Significant internal transactions with the head undertaking and with members of the head undertaking's group (Class 1)

Transactions with:

		Outstanding balances for all transactions	Significant transactions														
			Highest			2nd highest			3rd highest			4th highest			5th highest		
			Outstanding balance	Name of the counterparty	Code	Outstanding balance	Name of the counterparty	Code	Outstanding balance	Name of the counterparty	Code	Outstanding balance	Name of the counterparty	Code	Outstanding balance	Name of the counterparty	Code
		0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120	0130	0140	0150	0160
Selected financial assets	0010																
Equity instruments	0020																
Debt securities	0030																
Loans and advances	0040																
Selected financial liabilities	0050																
Deposits	0060																
Debt securities issued	0070																
Selected off-balance sheet items given	0080																
Selected off-balance sheet items received	0090																
Notional amount of derivatives	0100																

E 05.02 - Significant internal transactions with the head undertaking and with members of the head undertaking's group (Class 2)

Transactions with:

		Outstanding balances for all transactions	Significant transactions								
			Highest			2nd highest			3rd highest		
			Outstanding balance	Name of the counterparty	Code	Outstanding balance	Name of the counterparty	Code	Outstanding balance	Name of the counterparty	Code
		0010	0020	0030	0040	0050	0060	0070	0080	0090	0100
Selected financial assets	0010										
Equity instruments	0020										
Debt securities	0030										
Loans and advances	0040										
Selected financial liabilities	0050										
Deposits	0060										
Debt securities issued	0070										
Selected off-balance sheet items given	0080										
Selected off-balance sheet items received	0090										
Notional amount of derivatives	0100										

E 06.01 - Significant internal transactions with the head undertaking and with members of the head undertaking's group (Class 1)

Transactions with:

		Amounts for all transactions	Significant transactions														
			Highest			2nd highest			3rd highest			4th highest			5th highest		
			Amou-nt	Name of the counter-party	Code	Amou-nt	Name of the counter-party	Code	Amou-nt	Name of the counter-party	Code	Amou-nt	Name of the counter-party	Code	Amou-nt	Name of the counter-party	Code
		0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120	0130	0140	0150	0160
Interest income	0010																
Interest expenses	0020																
Fee and commission income	0030																
Fee and commission expenses	0040																
Administra-tive expenses	0050																
Other income	0060																
Other expenses	0070																

E 06.02 - Significant internal transactions with the head undertaking and with members of the head undertaking's group (Class 2)

Transactions with:

		Amounts for all transactions	Significant transactions								
			Highest			2nd highest			3rd highest		
			Amount	Name of the counterparty	Code	Amount	Name of the counterparty	Code	Amount	Name of the counterparty	Code
		0010	0020	0030	0040	0050	0060	0070	0080	0090	0100
Interest income	0010										
Interest expenses	0020										
Fee and commission income	0030										
Fee and commission expenses	0040										
Administrative expenses	0050										
Other income	0060										
Other expenses	0070										

E 07.01 - Computation of the capital endowment requirements (Class 1)

Currency:

		Liabilities					MCER	ACER	OCER	Assets deposited in escrow in fulfilment with OCER
		T-1	T-2	T-3	Unweighted average	Weighted average				
		0010	0020	0030	0040	0050	0060	0070	0080	0090
Amount	0010									

E 07.02 - Computation of the capital endowment requirements (Class 2)

		Liabilities					MCER	ACER	OCER	Assets deposited in escrow in fulfilment with OCER
		T-1	T-2	T-3	Unweighted average	Weighted average				
		0010	0020	0030	0040	0050	0060	0070	0080	0090
Amount	0010									

E 08.01 - Deposited assets covering for the OCER and monitoring of the evolution of the escrow account (Class 1)

Currency:

		Opening balance	Closing balance	Counterparty name	Code	Type of code	National code	Residence of the counterparty
		0010	0020	0030	0040	0050	0060	0070
Assets deposited in escrow in fulfilment with OCER	0010							
Cash or cash assimilated instruments	0020							
Debt securities	0030							
of which: 1st largest counterpaty	0040							
of which: 2nd largest counterpaty	0050							
of which: 3rd largest counterpaty	0060							
Other eligible instruments	0070							
of which: 1st largest counterpaty	0080							
of which: 2nd largest counterpaty	0090							
of which: 3rd largest counterpaty	0100							

E 08.02 - Deposited assets covering for the OCER and monitoring of the evolution of the escrow account (Class 2)

		Opening balance	Closing balance
		0010	0020
Assets deposited in escrow in fulfilment with the OCER	0010		
Cash or cash assimilated instruments	0020		
Debt securities	0030		
Other eligible instruments	0070		

E 09.01 - Liquidity coverage - calculations (Class 1)

Currency:

		Amount	Value/Inflow/Outflow	Percentage
		0010	0020	0030
CALCULATIONS				
Numerator, denominator, ratio				
Liquidity buffer	0010			
Net liquidity outflow	0020			
Liquidity coverage ratio (%)	0030			
Numerator calculations				
Level 1 assets				
L1 excl. EHQCB liquidity buffer (value in accordance with Article 9): unadjusted	0040			
L1 excl. EHQCB collateral 30 day outflows	0050			
L1 excl. EHQCB collateral 30 day inflows	0060			
Secured cash 30 day outflows	0070			
Secured cash 30 day inflows	0080			
L1 excl. EHQCB "adjusted amount"	0091			
L1 EHQCB value in accordance with Article 9: unadjusted	0100			
L1 EHQCB collateral 30 day outflows	0110			
L1 EHQCB collateral 30 day inflows	0120			
L1 EHQCB "adjusted amount"	0131			
Level 2A assets				
L2A value in accordance with Article 9: unadjusted	0160			
L2A collateral 30 day outflows	0170			
L2A collateral 30 day inflows	0180			
L2A "adjusted amount"	0191			

		Amount	Value/Inflow/Outflow	Percentage
		0010	0020	0030
Level 2B assets				
L2B value in accordance with Article 9: unadjusted	0220			
L2B collateral 30 day outflows	0230			
L2B collateral 30 day inflows	0240			
L2B "adjusted amount"	0251			
Excess liquid asset amount	0280			
Denominator calculations				
Outflows				
Total Outflows	0300			
From retail deposits	0301			
From other unsecured transactions	0302			
From secured lending and capital-market-driven transactions	0303			
From others	0304			
Inflows				
Fully Exempt Inflows	0310			
Inflows Subject to 90 % Cap	0320			
Inflows Subject to 75 % Cap	0330			
Reduction for Fully Exempt Inflows	0340			
Reduction for Inflows Subject to 90 % Cap	0350			
Reduction for Inflows Subject to 75 % Cap	0360			
Additional liquidity requirements				
Additional liquidity requirements	0381			

E 09.02 - Liquidity coverage - calculations (Class 2)

		Amount	Value/Inflow / Outflow
		0010	0020
Total Outflows	0300		
From retail deposits	0301		
From other unsecured transactions	0302		
From secured lending and capital-market-driven transactions	0303		
From others	0304		
Total Inflows without Inflows Cap	0305		
Net Liquidity Outflow without Inflows Cap	0306		
Unencumbered and liquid assets	0390		
Credit Institutions	0400		
Central Banks	0410		
Additional liquidity requirements			
Additional liquidity requirements	0381		

E 10.00 - Deposit protection arrangements available to depositors in the third-country branches

Type of information	Information item		Answer
			0010
1. Type of DGS	1.1. Is the membership of the DGS in your home country mandatory for credit institutions?	0010	
	i. Name of the DGS to which your head undertaking is affiliated to in its home third country	0020	
	ii. If applicable, specify the administration type of the DGS to which the head undertaking is affiliated	0030	
	1.2. Is there any deposit-taking financial institution (other than credit institutions) to which the affiliation to that DGS is either mandatory or voluntary?	0040	
	i. If applicable, specify the type of financial institutions and if the affiliation is mandatory or voluntary	0050	
2. Coverage of the third country DGS	2.1. Coverage scope: list of exclusions	0060	
	2.2.i. Coverage limit: maximum aggregated amount of eligible deposits covered per deposit holder - as expressed in the local currency of the third country.	0070	
	2.2.ii. Denomination of local currency	0080	
	2.3. How does the third country DGS treat joint accounts?	0090	
	2.4. What are the main traits of your home DGS' reimbusement policy in the event of bank failure?	0100	
	2.5. Creditor hierarchy of deposits: main aspects	0110	
	2.6. Similarity of treatment: does the third country DGS fully guarantees similarity of treatment?	0120	
3. Funding mechanisms and arrangements	3.1.Funding: on what recurrent basis is the DGS's fund built?	0130	
	3.2. Does the DGS currently have a minimum target fund size?	0140	
	i. If applicable, quantify it and explain if it is legally mandated or set by the DGS or another authority	0150	
	3.3. Premiums: what type of premiums does your third country DGS impose on your head undertaking?	0160	
	3.4. Emergency contributions and additional funding sources in times of stress	0170	
	i. If applicable, explain what those alternative funding arrangements consist of	0180	

Third Country Branches' head undertaking reporting

HEAD UNDERTAKING TEMPLATES				
Template number	Template code	Adressees	Legal basis	Name of the template /group of templates
Quantitative information				
1	H 01.00	Class 1 and 2 TCBs	Point (a) of Art.48k(2) CRD	Information on the head undertaking's group
2	H 02.00	Class 1 and 2 TCBs	Point (a) of Art.48k(2) CRD	Information on selected subsidiaries and on other third-country branches of the third-country group in the Union
3,1	H 03.01	Class 1 and 2 TCBs	Point (b) of Art.48k(2) CRD	Head undertaking's compliance with the applicable prudential requirements in the third country (Basel III)
4	H 04.00	Class 1 and 2 TCBs	Point (f) of Art.48k(2) CRD	Services provided by the head undertaking on the basis of reverse solicitation of services
Qualitative information				
3,2	H 03.02	Class 1 and 2 TCBs	Point (b) of Art.48k(2) CRD	Head undertaking's compliance with the applicable prudential requirements in the third country (other than Basel III)
5	H 05.00	Class 1 and 2 TCBs	Point (c) of Art.48k(2) CRD	Head undertaking's significant supervisory reviews and assessments
6	H 06.00	Class 1 and 2 TCBs	Point (d) of Art.48k(2) CRD	Head undertaking's recovery plans
7	H 07.00	Class 1 and 2 TCBs	Point (e) of Art.48k(2) CRD	Head undertaking's business strategy

H 01.00 - Information on the head undertaking's group

		Name	Country	Code	Type of code	Total assets	Total liabilities
		0010	0020	0030	0040	0050	0060
Ultimate head undertaking	0010						
Entities reported in H 02.00	0020						
All other entities not reported in H 02.00	0030						
Reporting TCB	0040						
Direct head undertaking of the reporting TCB	0050						
Other applicable head undertaking of the reporting TCB	0060						

H 02.00 - Information on selected subsidiaries and on other third-country branches of the TCG in the Union

Selected subsidiaries and other TCBs of HU's group in the Union									
Name	Code	Type of code	National code	Type of entity	Member State of establishment	Competent authority	Total assets	Total liabilities	Is this entity an IPU?
0010	0020	0030	0040	0050	0060	0070	0080	0090	0100

H 03.01 - Head undertaking's compliance with the applicable prudential requirements in the third country (Basel III)

Head undertaking:	
Consolidation level:	

		Value / Percentage
		0010
Own funds and own funds requirements		
CET1		
Capital Amount	0010	
Capital ratio	0020	
Requirement imposed by the jurisdiction	0030	
Tier 1		
Capital Amount	0040	
Capital ratio	0050	
Requirement imposed by the jurisdiction	0060	
Total capital		
Capital Amount	0070	
Capital ratio	0080	
Requirement imposed by the jurisdiction	0090	
Risk Weighted assets		
Amount	0100	
Liquidity coverage ratio		
High quality liquid assets	0110	
Net cash outflows	0120	
LCR	0130	
Net stable funding ratio		
Available amount of stable funding	0140	
Required amount of stable funding	0150	
NSFR	0160	
Leverage ratio		
Capital measure	0170	
Exposure measure	0180	
Leverage ratio	0190	

H 03.02 - Head undertaking's compliance with the applicable prudential requirements in the third country (other than Basel III)

Head undertaking:

Consolidation level:

		Comment
0010		
Standards or legal framework applied for prudential requirements	0010	
Own funds and own funds requirements	0020	
Liquidity coverage ratio requirements	0030	
Net stable funding ratio requirements	0040	
Leverage ratio requirements	0050	

H 04.00 - Services provided by the head undertaking to clients established or situated in the Union on the basis of reverse solicitation of services

Head undertaking:

		Associated assets	Associated liabilities	Associated off-balance sheet items
		0010	0020	0030
1. Taking deposits and other repayable funds	0010			
2. Lending	0020			
6. Guarantees and commitments	0030			

H 05.00 - Significant supervisory reviews and assessments and the consequent supervisory decisions

Head undertaking:

Information item			Information value	Additional Comments
			0010	0020
Annual assessment performed by your home country supervisor on your head undertaking	Overall score	**0010**		
	Risk elements assed by the third-country supervisor for the overall score:	**0020**		
	Business Model & Profitability	**0021**		
	Internal Governance	**0022**		
	Capital adequacy	**0023**		
	Credit Risk	**0024**		
	Market Risk	**0025**		
	IRR in the Banking Book	**0026**		
	Operational Risk	**0027**		
	Liquidity Risk	**0028**		
Inspections and other type of reviews performed by your home country supervisor on your head undertaking	On-site inspections	**0030**		
	Thematic reviews	**0040**		
	Deep dives	**0050**		
Decisions issued by the supervisor on the head undertaking	Capital decisions (additional Pillar II capital requirements, either binding or non-binding)	**0060**		
	Liquidity decisions	**0070**		
	Leverage decisions	**0080**		
	Waivers	**0090**		
	Approvals	**0100**		
	Others	**0110**		

H 06.00 - Recovery plans and the specific measures that could be taken on the TCBs in accordance with those plans

Head undertaking:	

1. Summary of the key features of the recovery plan of the head undertaking	**0010**	
2. Measures that would impact the TCB in accordance with the recovery options designed by your head undertaking, in accordance with Article 48k(2), point (d) of Directive 2013/36/EU Please, provide the following details: - A summary of the recovery options that would impact the TCB. - For each recovery option, a quantification of its impact on the TCB in terms of capital, liquidity, assets & liabilities and profit & loss.	**0020**	

H 07.00 - Business strategy in relation to the TCBs

Head undertaking:	

Summarise the key features of the head undertaking's business strategy in relation to the TCB.	**0010**	