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► **B****COUNCIL DECISION 2014/512/CFSP****of 31 July 2014****concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine**

(OJ L 229, 31.7.2014, p. 13)

Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Council Decision 2014/659/CFSP of 8 September 2014	L 271	54	12.9.2014
► <u>M2</u>	Council Decision 2014/872/CFSP of 4 December 2014	L 349	58	5.12.2014
► <u>M3</u>	Council Decision (CFSP) 2015/971 of 22 June 2015	L 157	50	23.6.2015
► <u>M4</u>	Council Decision (CFSP) 2015/1764 of 1 October 2015	L 257	42	2.10.2015
► <u>M5</u>	Council Decision (CFSP) 2015/2431 of 21 December 2015	L 334	22	22.12.2015
► <u>M6</u>	Council Decision (CFSP) 2016/1071 of 1 July 2016	L 178	21	2.7.2016
► <u>M7</u>	Council Decision (CFSP) 2016/2315 of 19 December 2016	L 345	65	20.12.2016
► <u>M8</u>	Council Decision (CFSP) 2017/1148 of 28 June 2017	L 166	35	29.6.2017
► <u>M9</u>	Council Decision (CFSP) 2017/2214 of 30 November 2017	L 316	20	1.12.2017
► <u>M10</u>	Council Decision (CFSP) 2017/2426 of 21 December 2017	L 343	77	22.12.2017
► <u>M11</u>	Council Decision (CFSP) 2018/964 of 5 July 2018	L 172	3	9.7.2018
► <u>M12</u>	Council Decision (CFSP) 2018/2078 of 21 December 2018	L 331	224	28.12.2018
► <u>M13</u>	Council Decision (CFSP) 2019/1108 of 27 June 2019	L 175	38	28.6.2019
► <u>M14</u>	Council Decision (CFSP) 2019/2192 of 19 December 2019	L 330	71	20.12.2019
► <u>M15</u>	Council Decision (CFSP) 2020/907 of 29 June 2020	L 207	37	30.6.2020
► <u>M16</u>	Council Decision (CFSP) 2020/2143 of 17 December 2020	L 430	26	18.12.2020
► <u>M17</u>	Council Decision (CFSP) 2021/1144 of 12 July 2021	L 247	99	13.7.2021
► <u>M18</u>	Council Decision (CFSP) 2022/52 of 13 January 2022	L 9	43	14.1.2022
► <u>M19</u>	Council Decision (CFSP) 2022/264 of 23 February 2022	L 42 I	95	23.2.2022
► <u>M20</u>	Council Decision (CFSP) 2022/327 of 25 February 2022	L 48	1	25.2.2022
► <u>M21</u>	Council Decision (CFSP) 2022/335 of 28 February 2022	L 57	4	28.2.2022

► <u>M22</u>	Council Decision (CFSP) 2022/346 of 1 March 2022	L 63	5	2.3.2022
► <u>M23</u>	Council Decision (CFSP) 2022/351 of 1 March 2022	L 65	5	2.3.2022
► <u>M24</u>	Council Decision (CFSP) 2022/395 of 9 March 2022	L 81	8	9.3.2022
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► <u>M26</u>	Council Decision (CFSP) 2022/578 of 8 April 2022	L 111	70	8.4.2022
► <u>M27</u>	Council Decision (CFSP) 2022/884 of 3 June 2022	L 153	128	3.6.2022
► <u>M28</u>	Council Decision (CFSP) 2022/1271 of 21 July 2022	L 193	196	21.7.2022
► <u>M29</u>	Council Decision (CFSP) 2022/1313 of 26 July 2022	L 198	17	27.7.2022
► <u>M30</u>	Council Decision (CFSP) 2022/1909 of 6 October 2022	L 259 I	122	6.10.2022
► <u>M31</u>	Council Decision (CFSP) 2022/2369 of 3 December 2022	L 311 I	8	3.12.2022
► <u>M32</u>	Council Decision (CFSP) 2022/2478 of 16 December 2022	L 322 I	614	16.12.2022
► <u>M33</u>	Council Decision (CFSP) 2023/191 of 27 January 2023	L 26	44	30.1.2023
► <u>M34</u>	Council Decision (CFSP) 2023/252 of 4 February 2023	L 32 I	11	4.2.2023
► <u>M35</u>	Council Decision (CFSP) 2023/434 of 25 February 2023	L 59 I	593	25.2.2023
► <u>M36</u>	Council Decision (CFSP) 2023/1217 of 23 June 2023	L 159 I	451	23.6.2023
► <u>M37</u>	Council Decision (CFSP) 2023/1517 of 20 July 2023	L 184	40	21.7.2023

Corrected by:

► C1 Corrigendum, OJ L 204, 4.8.2022, p. 16 (2022/1313)

▼B

COUNCIL DECISION 2014/512/CFSP

of 31 July 2014

**concerning restrictive measures in view of Russia's actions
destabilising the situation in Ukraine**

▼M20

Article 1

1. It shall be prohibited to directly or indirectly purchase, sell, provide investment services for or assistance in the issuance of, or any other dealing with bonds, equity, or similar financial instruments with a maturity exceeding 90 days, issued after 1 August 2014 to 12 September 2014, or with a maturity exceeding 30 days, issued after 12 September 2014 to 12 April 2022 or any transferable securities and money market instruments issued after 12 April 2022 by:

- (a) major credit institutions or finance development institutions established in Russia with over 50 % public ownership or control as of 1 August 2014, as listed in Annex I;
- (b) any legal person, entity or body established outside the Union owned for more than 50 % by an entity listed in Annex I; or
- (c) any legal person, entity or body acting on behalf, or at the direction, of an entity within the category referred to in point (b) of this paragraph or listed in Annex I.

2. It shall be prohibited to directly or indirectly, purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money-market instruments issued after 12 April 2022 by:

- (a) any major credit institution, or other institution with over 50 % public ownership or control as of 26 February 2022 or any other credit institution having a significant role in supporting the activities of Russia and its Government and of the Russian Central Bank and established in Russia, as listed in Annex V;
- (b) a legal person, entity or body established outside the Union whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in Annex V; or
- (c) a legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph.

3. It shall be prohibited to directly or indirectly purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money-market instruments with a maturity exceeding 30 days, issued after 12 September 2014 to 12 April 2022 or any transferable securities and money market instruments issued after 12 April 2022 by:

▼M20

- (a) a legal person, entity or body established in Russia, as listed in Annex II, predominantly engaged and with major activities in the conception, production, sales or export of military equipment or services, except legal persons, entities or bodies active in the space and nuclear energy sectors;
- (b) a legal person, entity or body established in Russia, which is publicly controlled or with over 50 % public ownership which have estimated total assets of over RUB 1 trillion and whose estimated revenues originate for at least 50 % from the sale or transportation of crude oil or petroleum products, as listed in Annex III;
- (c) a legal person, entity or body established outside the Union whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in point (a) or (b) of this paragraph; or
- (d) a legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a), (b) or (c) of this paragraph.

4. It shall be prohibited to directly or indirectly purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money-market instruments, issued after 12 April 2022 by:

- (a) a legal person, entity or body established in Russia, which is publicly controlled or with over 50 % public ownership and in which Russia, its Government or Central Bank has the right to participate in profits or with which Russia, its Government or Central Bank has other substantial economic relationships, as listed in Annex VI;
- (b) a legal person, entity or body established outside the Union whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in Annex VI; or
- (c) a legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph.

▼M32

5. It shall be prohibited to list and provide services for, as of 12 April 2022, and to admit to trading as of 29 January 2023, on trading venues registered or recognised in the Union, the transferable securities of any legal person, entity or body established in Russia and with over 50 % public ownership.

▼M20

6. It shall be prohibited to directly or indirectly make or be part of any arrangement to make:

- (i) new loans or credit with a maturity exceeding 30 days to any legal person, entity or body referred to in paragraph 1 or 3, after 12 September 2014 to 26 February 2022; or
- (ii) any new loans or credit to any legal person, entity or body referred to in paragraph 1, 2, 3 or 4 after 26 February 2022.

The prohibition shall not apply to:

▼M20

- (a) loans or credit that have a specific and documented objective to provide financing for non-prohibited imports or exports of goods and non-financial services between the Union and any third State, including the expenditure for goods and services from another third State that is necessary for executing the export or import contracts; or
- (b) loans that have a specific and documented objective to provide emergency funding to meet solvency and liquidity criteria for legal persons established in the Union, whose proprietary rights are owned for more than 50 % by any entity referred to in Annex I.

7. The prohibition in paragraph 6 shall not apply to drawdown or disbursements made under a contract concluded before 26 February 2022, provided that the following conditions are met:

- (a) all the terms and conditions of such drawdown or disbursements:
 - (i) were agreed before 26 February 2022; and
 - (ii) have not been modified on or after that date; and
- (b) before 26 February 2022, a contractual maturity date has been fixed for the repayment in full of all funds made available and for the cancellation of all the commitments, rights and obligations under the contract; and
- (c) at the time of its conclusion the contract was not in breach of the prohibitions laid down in this Decision.

The terms and conditions of drawdowns and disbursements referred to in point (a) include provisions concerning the length of the repayment period for each drawdown or disbursement, the interest rate applied or the interest rate calculation method, and the maximum amount.

▼M19*Article 1a*

1. The direct or indirect purchase or sale of, the direct or indirect provision of investment services for or assistance in the issuance of, or any other dealing with transferable securities and money-market instruments issued after 9 March 2022 by:

- (a) Russia and its Government;
- (b) the Russian Central Bank; or,
- (c) a legal person, entity or body acting on behalf of, or at the direction of, the entity referred to in point (b),

shall be prohibited.

2. It shall be prohibited to directly or indirectly make, or be part of any arrangement to make, any new loans or credit to any legal person, entity or body referred to in paragraph 1 after 23 February 2022. This prohibition shall not apply to loans or credit that have a specific and documented objective to provide financing for non-prohibited imports or exports of goods and non-financial services between the Union and any third State, including the expenditure for goods and services from another third State that is necessary for executing the export or import contracts.

3. The prohibition in paragraph 2 shall not apply to drawdown or disbursements made under a contract concluded before 23 February 2022 provided that the following conditions are met:

▼M19

- (a) all the terms and conditions of such drawdown or disbursements:
 - (i) were agreed before 23 February 2022; and
 - (ii) have not been modified on or after that date; and
- (b) before 23 February 2022 a contractual maturity date has been fixed for the repayment in full of all funds made available and for the cancellation of all the commitments, rights and obligations under the contract.

The terms and conditions of drawdowns and disbursements referred to in point (a) include provisions concerning the length of the repayment period for each drawdown or disbursement, the interest rate applied or the interest rate calculation method, and the maximum amount.

▼M24

- 4. Transactions related to the management of reserves as well as of assets of the Central Bank of Russia, including transactions with any legal person, entity or body acting on behalf of, or at the direction of, the Central Bank of Russia, such as the Russian National Wealth Fund, are prohibited.

▼M21

- 5. By way of derogation from paragraph 4, the competent authorities may authorise a transaction provided that it is strictly necessary to ensure the financial stability of the Union as a whole or of the Member State concerned.

- 6. The Member State concerned shall immediately inform the other Member States and the Commission of its intention to grant an authorisation under paragraph 5.

▼M25*Article 1aa*

- 1. It shall be prohibited to directly or indirectly engage in any transaction with:
 - (a) a legal person, entity or body established in Russia, which is publicly controlled or with over 50 % public ownership or in which Russia, its Government or the Russian Central Bank has the right to participate in profits or with which Russia, its Government or the Russian Central Bank has other substantial economic relationship, as listed in Annex X;
 - (b) a legal person, entity or body established outside the Union whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in Annex X; or
 - (c) a legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph.

▼M30

- 1a. It shall be prohibited as from 22 October 2022 to hold any posts in the governing bodies of any legal person, entity or body referred to in paragraph 1.

▼M32

- 1b. It shall be prohibited as from 16 January 2023 to hold any posts in the governing bodies of:

▼M32

- (a) a legal person, entity or body established in Russia, which is publicly controlled or with over 50 % public ownership, or in which Russia, its Government or Central Bank has the right to participate in profits or with which Russia, its Government or Central Bank has other substantial economic relationship;
- (b) a legal person, entity or body established in Russia whose proprietary rights are directly or indirectly owned for more than 50 % by an entity referred to in point (a) of this paragraph; or
- (c) a legal person, entity or body established in Russia and acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph.

This prohibition shall not apply to any legal person, entity or body referred to in paragraph 1, for which paragraph 1a applies.

1c. By way of derogation from paragraph 1b, the competent authorities may authorise the holding of a post in the governing body of a legal person, entity or body referred to in paragraph 1b, after having determined that the legal person, entity or body is:

- (a) a joint venture or similar legal arrangement involving a legal person, entity or body referred to in paragraph 1b and concluded by a legal person, entity or body which is incorporated or constituted under the law of a Member State before 17 December 2022, or
- (b) a legal person, entity or body referred to in paragraph 1b which was established in Russia before 17 December 2022 and which is owned by, or solely or jointly controlled by, a legal person, entity or body which is incorporated or constituted under the law of a Member State.

1d. By way of derogation from paragraph 1b, the competent authorities may authorise the holding of a post in the governing body of a legal person, entity or body referred to in paragraph 1b, after having determined that the holding of such a post is necessary for ensuring critical energy supply.

1e. By way of derogation from paragraph 1b, the competent authorities may authorise the holding of a post in the governing body of a legal person, entity or body referred to in paragraph 1b, after having determined that the legal person, entity or body is involved in the transit through Russia of oil originating in a third country and that the holding of such a post is intended for operations which are not prohibited under Article 4o and 4p.

▼M30

2. The prohibition in paragraph 1 shall not apply to the execution until 15 May 2022 of contracts concluded with a legal person, entity or body referred to in Part A of Annex X before 16 March 2022, or of ancillary contracts necessary for the execution of such contracts.

2a. The prohibition in paragraph 1 shall not apply to the reception of payments due by the legal persons, entities or bodies referred to in Part A of Annex X pursuant to contracts performed before 15 May 2022.

2b. The prohibition in paragraph 1 shall not apply to the execution until 8 January 2023 of contracts concluded with a legal person, entity or body referred to in Part B of Annex X before 7 October 2022, or of ancillary contracts necessary for the execution of such contracts.

▼M30

2c. The prohibition in paragraph 1 shall not apply to the reception of payments due by the legal persons, entities or bodies referred to in Part B of Annex X pursuant to contracts performed before 8 January 2023.

▼M32

2d. The prohibition in paragraph 1 shall not apply to the execution until 18 March 2023 of contracts concluded with a legal person, entity or body referred to in Part C of Annex X before 17 December 2022, or of ancillary contracts necessary for the execution of such contracts.

2e. The prohibition in paragraph 1 shall not apply to the reception of payments due by the legal persons, entities or bodies referred to in Part C of Annex X pursuant to contracts performed before 18 March 2023.

▼M25

3. The prohibition in paragraph 1 shall not apply to:

▼M28

- (a) transactions which are strictly necessary for the direct or indirect purchase, import or transport of natural gas, titanium, aluminium, copper, nickel, palladium and iron ore from or through Russia into the Union, a country member of the European Economic Area, Switzerland, or the Western Balkans;
- (aa) unless prohibited under Article 4o or 4p, transactions which are strictly necessary for the direct or indirect purchase, import or transport of oil, including refined petroleum products, from or through Russia;

▼M25

- (b) transactions related to energy projects outside Russia in which a legal person, entity or body listed in Annex X is a minority shareholder;

▼M36**▼M35**

- (d) transactions, including sales, which are strictly necessary for the wind-down, by 31 December 2023, of a joint venture or similar legal arrangement concluded before 16 March 2022, involving a legal person, entity or body referred to in paragraph 1;

▼M27

- (e) transactions related to the provision of electronic communication services, data center services and the provision of services and equipment necessary for their operation, maintenance, security, including the provision of firewalls, and call center services, to a legal person, entity or body listed in Annex X;

▼M28

- (f) transactions which are necessary for the purchase, import or transport of pharmaceutical, medical, agricultural and food products, including wheat and fertilisers whose import, purchase and transport is allowed under this Decision;

▼M28

- (g) transactions which are strictly necessary to ensure access to judicial, administrative or arbitral proceedings in a Member State, as well as for the recognition or enforcement of a judgment or an arbitration award rendered in a Member State and if such transactions are consistent with the objectives of this Decision and Council Decision 2014/145/CFSP ⁽¹⁾;

▼M35

- (h) the provision of pilot services to vessels in innocent passage as defined by international law which are necessary for reasons of maritime safety.

3a. By way of derogation from paragraph 1, the competent authorities may authorise, under such conditions as they deem appropriate, transactions which are strictly necessary for the divestment and withdrawal by 31 December 2023, by the entities referred to in paragraph 1 or their subsidiaries in the Union from a legal person, entity or body established in the Union.

▼M26

- 4. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M32

- 5. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraphs 1c, 1d, 1e and 3a within two weeks of the authorisation.

▼M30*Article 1ab*

- 1. Member States that have authorised the Russian Maritime Register of Shipping to undertake fully or in part inspections and surveys related to statutory certificates and, where appropriate, to issue or renew the related certificates in accordance with Article 3(2) and Article 5 of Directive 2009/15/EC of the European Parliament and of the Council ⁽²⁾ shall withdraw those authorisations in accordance with Article 8 of that Directive before 5 January 2023.

During the period until such authorisations have been withdrawn, Member States shall not allow, or grant a delegation to, the Russian Maritime Register of Shipping to perform any of the tasks which, in accordance with Union rules on maritime safety, are reserved to organisations recognised by the Union, including to undertake inspections and surveys related to statutory certificates as well as to issue, endorse or renew the related certificates.

- 2. Any statutory certificates issued on behalf of a Member State by the Russian Maritime Register of Shipping before 7 October 2022 shall be withdrawn and terminated by the relevant Member State, acting as a flag State, before 8 April 2023.

⁽¹⁾ Council Decision 2014/145/CFSP of 17 March 2014 concerning restrictive measures in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine (OJ L 78, 17.3.2014, p. 16).

⁽²⁾ Directive 2009/15/EC of the European Parliament and of the Council of 23 April 2009 on common rules and standards for ship inspection and survey organisations and for the relevant activities of maritime administrations (OJ L 131, 28.5.2009, p. 47).

▼M30

3. By way of derogation from the procedure laid down in Article 7(3) of Regulation (EC) No 391/2009 of the European Parliament and of the Council⁽¹⁾ and in Article 21(3) of Directive (EU) 2016/1629 of the European Parliament and of the Council⁽²⁾, the Union's recognition of the Russian Maritime Register of Shipping under Regulation (EC) No 391/2009 and Directive (EU) 2016/1629 is withdrawn.

4. Member States that have delegated any inspection-related duties to the Russian Maritime Register of Shipping, as defined in Article 20(3) of Directive (EU) 2016/1629, in particular to carry out technical inspections to check whether the craft complies with the technical requirements referred to in Directive (EU) 2016/1629, in particular in its Annexes II and V, shall withdraw those authorisations before 6 November 2022.

5. Member States that have delegated any security-related duties to the Russian Maritime Register of Shipping in accordance with point 4.3 of Annex II to Regulation (EC) No 725/2004 of the European Parliament and of the Council⁽³⁾ or with Article 11 of Directive 2005/65/EC of the European Parliament and of the Council⁽⁴⁾, in particular relating to the issuance or renewal of International Ship Security Certificates and to any related verifications in accordance with points 19.1.2 and 19.2.2 of Annex II to Regulation (EC) No 725/2004, shall withdraw those authorisations before 5 January 2023.

6. Any International Ship Security Certificate issued on behalf of a Member State by the Russian Maritime Register of Shipping before 7 October 2022 shall be withdrawn and terminated by the relevant Member State, acting as a Contracting Government, before 8 April 2023.

▼M26*Article 1b***▼M28**

1. It shall be prohibited to accept any deposits from Russian nationals or natural persons residing in Russia, legal persons, entities or bodies established in Russia or legal persons, entities or bodies established outside the Union and whose proprietary rights are directly or indirectly owned for more than 50 % by Russian nationals or natural persons residing in Russia, if the total value of deposits of that natural or legal person, entity or body per credit institution exceeds EUR 100 000.

⁽¹⁾ Regulation (EC) No 391/2009 of the European Parliament and of the Council of 23 April 2009 on common rules and standards for ship inspection and survey organisations (OJ L 131, 28.5.2009, p. 11).

⁽²⁾ Directive (EU) 2016/1629 of the European Parliament and of the Council of 14 September 2016 laying down technical requirements for inland waterway vessels, amending Directive 2009/100/EC and repealing Directive 2006/87/EC (OJ L 252, 16.9.2016, p. 118).

⁽³⁾ Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p. 6).

⁽⁴⁾ Directive 2005/65/EC of the European Parliament and of the Council of 26 October 2005 on enhancing port security (OJ L 310, 25.11.2005, p. 28).

▼M30

2. It shall be prohibited to provide crypto-asset wallet, account or custody services to Russian nationals or natural persons residing in Russia, or legal persons, entities or bodies established in Russia.

▼M26

3. Paragraphs 1 and 2 shall not apply to nationals of a Member State, of a country member of the European Economic Area or of Switzerland, or to natural persons having a temporary or permanent residence permit in a Member State, in a country member of the European Economic Area or in Switzerland.

▼M28**▼M27**

5. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the acceptance of such a deposit or the provision of wallet, account or custody services, under such conditions as they deem appropriate, after having determined that the acceptance of such a deposit or the provision of wallet, account or custody service is:

- (a) necessary to satisfy the basic needs of natural or legal persons, entities or bodies referred to in paragraph 1 and their dependent family members, including payments for food, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges;
- (b) intended exclusively for the payment of reasonable professional fees or the reimbursement of incurred expenses associated with the provision of legal services;
- (c) intended exclusively for the payment of fees or service charges for the routine holding or maintenance of frozen funds or economic resources;
- (d) necessary for extraordinary expenses, provided that the relevant competent authority has notified the competent authorities of the other Member States and the Commission of the grounds on which it considers that a specific authorisation should be granted, at least two weeks prior to the authorisation;
- (e) necessary for official purposes of a diplomatic mission or consular post or international organisation; or

▼M28

- (f) necessary for non-prohibited cross-border trade in goods and services between the Union and Russia.

▼M27

The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under points (a), (b), (c) or (e) of this paragraph within two weeks of the authorisation.

▼M26

6. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the acceptance of such a deposit or provision of wallet, account or custody service, under such conditions as they deem appropriate, after having determined that the acceptance of such a deposit or provision of wallet, account or custody service is:

▼M26

- (a) necessary for humanitarian purposes, such as delivering or facilitating the delivery of assistance, including medical supplies, food, or the transfer of humanitarian workers and related assistance or for evacuations; or
- (b) necessary for civil society activities that directly promote democracy, human rights or the rule of law in Russia.

The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under this paragraph within two weeks of the authorisation.

▼M20*Article 1c*

1. It shall be prohibited for Union central securities depositories to provide any services as defined in the Annex of Regulation (EU) No 909/2014 of the European Parliament and of the Council (⁽¹⁾) for transferable securities issued after 12 April 2022 to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.

▼M28

2. Paragraph 1 shall not apply to nationals of a Member State, of a country member of the European Economic Area or of Switzerland, or to natural persons having a temporary or permanent residence permit in a Member State, in a country member of the European Economic Area or in Switzerland.

▼M20*Article 1d***▼M36**

1. It shall be prohibited to sell transferable securities denominated in any official currency of a Member State issued after 12 April 2022, or denominated in any other currency issued after 6 August 2023, or units in collective investment undertakings providing exposure to such securities, to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.

▼M27

2. Paragraph 1 shall not apply to nationals of a Member State, of a country member of the European Economic Area or of Switzerland, or to natural persons having a temporary or permanent residence permit in a Member State, in a country member of the European Economic Area or in Switzerland.

Article 1e

1. It shall be prohibited to provide specialised financial messaging services, which are used to exchange financial data, to the legal persons, entities or bodies listed in Annex VIII or to any legal person, entity or body established in Russia whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in Annex VIII.

(⁽¹⁾) Regulation (EU) No 909/2014 of the European Parliament and of the Council of 23 July 2014 on improving securities settlement in the European Union and on central securities depositories and amending Directives 98/26/EC and 2014/65/EU and Regulation (EU) No 236/2012 (OJ L 257, 28.8.2014, p. 1).

▼M27

2. For each legal person, entity or body listed in Annex VIII, the prohibition set out in paragraph 1 shall apply as of the date mentioned for it in that Annex. The prohibition shall apply as of the same date to any legal person, entity or body established in Russia whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in Annex VIII.

▼M26*Article 1f*

1. It shall be prohibited to sell, supply, transfer or export banknotes denominated in any official currency of a Member State to Russia or to any natural or legal person, entity or body in Russia, including the government and the Central Bank of Russia, or for use in Russia.

2. The prohibition in paragraph 1 shall not apply to the sale, supply, transfer or export of banknotes denominated in any official currency of a Member State provided that such sale, supply, transfer or export is necessary for:

(a) the personal use of natural persons travelling to Russia or members of their immediate families travelling with them; or

(b) the official purposes of diplomatic missions, consular posts or international organisations in Russia enjoying immunities in accordance with international law.

▼M25*Article 1g***▼M28**

1. It shall be prohibited to provide credit rating services to or on any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.

▼M25

2. It shall be prohibited as of 15 April 2022 to provide access to any subscription services in relation to credit rating activities to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.

3. Paragraphs 1 and 2 shall not apply to nationals of a Member State or natural persons having a temporary or permanent residence permit in a Member State.

▼M26*Article 1h***▼M36**

1. It shall be prohibited to award or continue the execution of any public or concession contract falling within the scope of Directives 2014/23/EU ⁽¹⁾, 2014/24/EU ⁽²⁾, 2014/25/EU ⁽³⁾, 2009/81/EC ⁽⁴⁾ of the European Parliament and of the Council, as well as Article 10(1), (3), (6) points (a) to (e), (8), (9) and (10), Articles 11, 12, 13 and 14 of Directive 2014/23/EU, Article 7, points (a) to (d), and Article 8, Article 10 points (b) to (f) and (h) to (j) of Directive 2014/24/EU, Article 18, Article 21 points (b) to (e) and (g) to (i), Articles 29 and 30 of Directive 2014/25/EU and Article 13 points (a) to (d), (f) to (h) and (j) of Directive 2009/81/EC, to or with:

▼M28

- (a) a Russian national, a natural person residing in Russia, or a legal person, entity or body established in Russia;
- (b) a legal person, entity or body whose proprietary rights are directly or indirectly owned for more than 50 % by an entity referred to in point (a) of this paragraph; or
- (c) a natural or legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph, including, where they account for more than 10 % of the contract value, subcontractors, suppliers or entities whose capacities are being relied on within the meaning of Directives 2009/81/EC, 2014/23/EU, 2014/24/EU and 2014/25/EU.

▼M26

2. By way of derogation from paragraph 1, the competent authorities may authorise the award and continued execution of contracts intended for:

⁽¹⁾ Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (OJ L 94, 28.3.2014, p. 1).

⁽²⁾ Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ L 94, 28.3.2014, p. 65).

⁽³⁾ Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ L 94, 28.3.2014, p. 243).

⁽⁴⁾ Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security, and amending Directives 2004/17/EC and 2004/18/EC (OJ L 216, 20.8.2009, p. 76);

▼M26

- (a) the operation, maintenance, decommissioning and radioactive waste management, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities, as well as the supply of precursor material for the production of medical radioisotopes and similar medical applications, critical technology for environmental radiation monitoring, as well as civil nuclear cooperation, in particular in the field of research and development;
- (b) intergovernmental cooperation in space programmes;
- (c) the provision of strictly necessary goods or services which can only be provided, or which can only be provided in sufficient quantities, by the persons referred to in paragraph 1;
- (d) the functioning of diplomatic and consular representations of the Union and of the Member States in Russia, including delegations, embassies and missions, or international organisations in Russia enjoying immunities in accordance with international law; or

▼M27

- (e) unless prohibited under Article 4o or 4p, the purchase, import or transport of natural gas and oil, including refined petroleum products, as well as titanium, aluminium, copper, nickel, palladium and iron ore from or through Russia into the Union.

▼M36**▼M26**

3. The Member State concerned shall inform the other Member States and the Commission of any authorisations granted under this Article within two weeks of the authorisation.

4. The prohibition in paragraph 1 shall not apply to the execution until 10 October 2022 of contracts concluded before 9 April 2022;

5. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

Article 1i

1. It shall be prohibited to provide direct or indirect support, including financing and financial assistance or any other benefit under a Union, Euratom or Member State national programme and contracts within the meaning of Regulation (EU, Euratom) 2018/1046 ⁽¹⁾, to any legal person, entity or body established in Russia with over 50 % public ownership or public control.

⁽¹⁾ Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

▼M26

2. The prohibition in paragraph 1 shall not apply to:

- (a) humanitarian purposes, public health emergencies, the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters;
- (b) phytosanitary and veterinary programmes;
- (c) intergovernmental cooperation in space programmes and under the International Thermonuclear Experimental Reactor Agreement;
- (d) the operation, maintenance, decommissioning and radioactive waste management, fuel supply and retreatment and safety of civil nuclear capabilities, as well as supply of precursor material for the production of medical radioisotopes and similar medical applications, critical technology for environmental radiation monitoring, as well as civil nuclear cooperation, in particular in the field of research and development;
- (e) mobility exchanges for individuals and people-to-people contacts;
- (f) climate and environmental programmes, with the exception of support in the context of research and innovation;
- (g) the functioning of diplomatic and consular representations of the Union and of the Member States in Russia, including delegations, embassies and missions, or international organisations in Russia enjoying immunities in accordance with international law.

▼M27*Article 1j*

1. It shall be prohibited to register, provide a registered office, business or administrative address as well as management services to, a trust or any similar legal arrangement having as a trustor or a beneficiary:

- (a) Russian nationals or natural persons residing in Russia;
- (b) legal persons, entities or bodies established in Russia;
- (c) legal persons, entities or bodies whose proprietary rights are directly or indirectly owned for more than 50 % by a natural or legal person, entity or body referred to in points (a) or (b);
- (d) legal persons, entities or bodies controlled by a natural or legal person, entity or body referred to in points (a), (b) or (c);

▼M27

(e) a natural or legal person, entity or body acting on behalf or at the direction of a natural or legal person, entity or body referred to in points (a), (b), (c) or (d).

2. It shall be prohibited as of 5 July 2022 to act as, or arrange for another person to act as, a trustee, nominee shareholder, director, secretary or a similar position, for a trust or similar legal arrangement as referred to in paragraph 1.

3. Paragraphs 1 and 2 shall not apply to the operations that are strictly necessary for the termination by 5 July 2022 of contracts which are not compliant with this Article concluded before 9 April 2022 or of ancillary contracts necessary for the execution of such contracts.

▼M30

4. Paragraphs 1 and 2 shall not apply when the trustor or beneficiary is a national of a Member State, of a country member of the European Economic Area or of Switzerland, or a natural person having a temporary or permanent residence permit in a Member State, in a country member of the European Economic Area or in Switzerland.

▼M27

5. By way of derogation from paragraph 2, the competent authorities may authorise, under such conditions as they deem appropriate, the services referred to in paragraph 2 to continue beyond 5 July 2022, for:

(a) the completion by 5 September 2022 of operations strictly necessary for the termination of contracts referred to in paragraph 3, provided that such operations were initiated before 11 May 2022; or

(b) other reasons, provided that the service providers do not accept from, or make available to, the persons referred to in paragraph 1 any funds or economic resources, directly or indirectly, or otherwise provide such persons with any benefit from assets placed in a trust.

6. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the services referred to in those paragraphs, under such conditions as they deem appropriate, after having determined that this is necessary for:

(a) humanitarian purposes, such as delivering or facilitating the delivery of assistance, including medical supplies, food, or the transfer of humanitarian workers and related assistance or for evacuations;

(b) civil society activities that directly promote democracy, human rights or the rule of law in Russia; or

(c) the operation of trusts whose purpose is the administration of occupational pension schemes, insurance policies or employee share scheme, charities, amateur sports clubs, and funds for minors or vulnerable adults.

▼M27

7. The Member State concerned shall inform the Commission of any authorisation granted under paragraph 5 or 6 within two weeks of such authorisation.

▼M32*Article 1k*

1. It shall be prohibited to provide, directly or indirectly, accounting, auditing, including statutory audit, bookkeeping or tax consulting services, or business and management consulting or public relations services to:

- (a) the Government of Russia; or
- (b) legal persons, entities or bodies established in Russia.

2. It shall be prohibited to provide, directly or indirectly, architectural and engineering services, legal advisory services and IT consultancy services to:

- (a) the Government of Russia; or
- (b) legal persons, entities or bodies established in Russia.

2a. It shall be prohibited to provide market research and public opinion polling services, technical testing and analysis services and advertising services to:

- (a) the Government of Russia; or
- (b) legal persons, entities or bodies established in Russia.

3. Paragraph 1 shall not apply to the provision of services that are strictly necessary for the termination by 5 July 2022 of contracts which are not compliant with this Article concluded before 4 June 2022, or of ancillary contracts necessary for the execution of such contracts.

4. Paragraph 2 shall not apply to the provision of services that are strictly necessary for the termination by 8 January 2023 of contracts which are not compliant with this Article concluded before 7 October 2022, or of ancillary contracts necessary for the execution of such contracts.

4a. Paragraph 2a shall not apply to the provision of services that are strictly necessary for the termination by 16 January 2023 of contracts which are not compliant with this Article concluded before 17 December 2022, or of ancillary contracts necessary for the execution of such contracts.

5. Paragraphs 1 and 2 shall not apply to the provision of services that are strictly necessary for the exercise of the right of defence in judicial proceedings and the right to an effective legal remedy.

6. Paragraphs 1 and 2 shall not apply to the provision of services which are strictly necessary to ensure access to judicial, administrative or arbitral proceedings in a Member State, or for the recognition or enforcement of a judgment or an arbitration award rendered in a Member State, provided that such provision of services is consistent with the objectives of this Decision and of Decision 2014/145/CFSP.

7. Paragraphs 1, 2 and 2a shall not apply to the provision of services intended for the exclusive use of legal persons, entities or bodies established in Russia that are owned by, or solely or jointly controlled by, a

▼M32

legal person, entity or body which is incorporated or constituted under the law of a Member State, a country member of the European Economic Area, Switzerland or a partner country as listed in Annex VII.

8. Paragraphs 2 and 2a shall not apply to the provision of services necessary for public health emergencies, the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters.

9. Paragraph 2 shall not apply to the provision of services necessary for software updates for non-military use and for a non-military end user, permitted by Articles 3(3)(d) and 3a(3)(d).

▼M36

9a. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the provision of services referred to therein, under such conditions as they deem appropriate, after having determined that those services are strictly necessary for the setting-up, certification or evaluation of a firewall which:

- (a) removes the control by a natural or legal person, entity or body listed in the Annex to Decision 2014/145/CFSP, over the assets of a non-listed legal person, entity or body incorporated or constituted under the law of a Member State which is owned or controlled by the former; and
- (b) ensures that no further funds or economic resources accrue to the benefit of that listed natural or legal person, entity or body.

▼M32

10. By way of derogation from paragraphs 1, 2 and 2a, the competent authorities may authorise the services referred to therein, under such conditions as they deem appropriate, after having determined that this is necessary for:

- (a) humanitarian purposes such as delivering or facilitating the delivery of assistance, including medical supplies, food, or the transfer of humanitarian workers and related assistance, or for evacuations;
- (b) civil society activities that directly promote democracy, human rights or the rule of law in Russia;
- (c) the functioning of diplomatic and consular representations of the Union and of the Member States or partner countries in Russia, including delegations, embassies and missions, or international organisations in Russia enjoying immunities in accordance with international law;
- (d) ensuring critical energy supply within the Union and the purchase, import or transport into the Union of titanium, aluminium, copper, nickel, palladium and iron ore;
- (e) ensuring the continuous operation of infrastructures, hardware and software which are critical for human health and safety, or the safety of the environment;
- (f) the establishment, operation, maintenance, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities, the supply of precursor material for the production of medical radioisotopes and similar medical applications, or critical technology for environmental radiation monitoring, as well as for civil nuclear cooperation, in particular in the field of research and development; or

▼M32

- (g) the provision of electronic communication services by Union telecommunication operators necessary for the operation, maintenance and security, including cybersecurity, of electronic communication services, in Russia, in Ukraine, in the Union, between Russia and the Union, and between Ukraine and the Union, and for data centre services in the Union.

▼M36

11. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraphs 9a and 10 within two weeks of the authorisation.

▼M35*Article 11*

1. It shall be prohibited as of 27 March 2023 to allow Russian nationals or natural persons residing in Russia to hold any posts in the governing bodies of the owners or operators of critical infrastructures, European critical infrastructure and critical entities.

2. Paragraph 1 shall not apply to nationals of a Member State, of a country member of the European Economic Area or of Switzerland.

Article 1m

1. It shall be prohibited to provide storage capacity, as defined in Article 2, paragraph 1, point 28, of Regulation (EC) No 715/2009 of the European Parliament and of the Council⁽¹⁾ in a storage facility, as defined in Article 2, point 9, of Directive 2009/73/EC of the European Parliament and of the Council⁽²⁾, except for the part of liquefied natural gas facilities used for storage, to:

- (a) a Russian national, a natural person residing in Russia, or a legal person, entity or body established in Russia;
- (b) a legal person, entity or body whose proprietary rights are directly or indirectly owned for more than 50 % by a legal person, entity or body referred to in point (a) of this paragraph; or
- (c) a natural or legal person, entity or body acting on behalf or at the direction of a legal person, entity or body referred to in point (a) or (b) of this paragraph.

2. Paragraph 1 shall not apply to the operations that are strictly necessary for the termination by 27 March 2023 of contracts which are not compliant with this Article concluded before 26 February 2023 or of ancillary contracts necessary for the execution of such contracts.

3. By way of derogation from paragraph 1, the competent authorities may authorise, under such conditions as they deem appropriate, the provision of storage capacity as referred to in paragraph 1 after having determined that it is necessary for ensuring critical energy supply within the Union.

4. The Member State or Member States concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 3 within two weeks of the authorisation.

⁽¹⁾ Regulation (EC) No 715/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the natural gas transmission networks and repealing Regulation (EC) No 1775/2005 (OJ L 211, 14.8.2009, p. 36).

⁽²⁾ Directive 2009/73/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC (OJ L 211, 14.8.2009, p. 94).

▼B*Article 2*

1. The direct or indirect sale, supply, transfer or export of arms and related materiel of all types, including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts therefor, to Russia by nationals of Member States or from the territories of Member States or using their flag vessels or aircraft, shall be prohibited whether originating or not in their territories.

2. It shall be prohibited:

- (a) to provide technical assistance, brokering services or other services related to military activities and to the provision, manufacture, maintenance and use of arms and related materiel of all types, including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts therefor, directly or indirectly to any natural or legal person, entity or body in, or for use in Russia;
- (b) to provide financing or financial assistance related to military activities, including in particular grants, loans and export credit insurance or guarantee, as well as insurance and reinsurance for any sale, supply, transfer or export of arms and related materiel, or for the provision of related technical assistance, brokering services or other services directly or indirectly to any person, entity or body in, or for use in Russia.

▼M30

3. The direct or indirect import, purchase or transport of arms and related materiel of all types, including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts therefor, from Russia by nationals of Member States or using their flag vessels or aircraft, shall be prohibited.

▼M2

4. The prohibition in ►M26 paragraph 3 ◀ shall be without prejudice to the execution of contracts concluded before 1 August 2014 or ancillary contracts necessary for the execution of such contracts, and to the provision of spare parts and services necessary for the maintenance and safety of existing capabilities within the Union.

▼M26

4a. The prohibitions in paragraphs 1 and 2 shall not apply to the provision of spare parts and services necessary for the maintenance, repair and safety of existing capabilities within the Union.

▼M4

5. The prohibitions in paragraphs 1 and 3 shall not apply to:

- (a) the sale, supply, transfer or export and to the import, purchase or transport of hydrazine (CAS 302-01-2) in concentrations of 70 per cent or more;
- (b) the import, purchase or transport of unsymmetrical dimethyl hydrazine (CAS 57-14-7);

▼M4

- (c) the sale, supply, transfer or export and to the import, purchase or transport of monomethyl hydrazine (CAS 60-34-4);

for use of launchers operated by European launch service providers, or for the use of launches of European space programmes, or for the fuelling of satellites by European satellites manufacturers.

The amount of any export of hydrazine shall be calculated in accordance with the launch or launches or the satellites for which it is made and shall not exceed a total quantity of 800 kg for each individual launch or satellite. The amount of any export of monomethyl hydrazine shall be calculated in accordance with the launch or launches or the satellites for which it is made.

▼M9

5a. The prohibitions in paragraphs 1 and 3 shall not apply to the sale, supply, transfer or export and to the import, purchase or transport of hydrazine (CAS 302-01-2) in concentrations of 70 % or more for the tests and flight of ExoMars descent module and for the flight of ExoMars carrier module in the framework of the ExoMars 2020 mission, under the following conditions:

- (a) the amount of hydrazine destined for the tests and flight of the ExoMars descent module in the framework of the ExoMars 2020 mission, calculated in accordance with the needs of each phase of that mission, is not to exceed a total of 5 000 kg for the entire duration of the mission;
- (b) the amount of hydrazine destined for the flight of the ExoMars carrier module in the framework of the ExoMars 2020 mission is not to exceed a total of 300 kg.

6. The prohibitions in paragraph 2 shall not apply to the provision of technical assistance, brokering services or other services, and to the provision of financing or financial assistance, related to the operations referred to in paragraphs 5 and 5a.

7. The operations referred to paragraphs 5, 5a and 6 shall be subject to prior authorisation by the competent authorities of the Member States. Member States shall duly inform the Council in all cases where they grant an authorisation. The information shall include the details of the amounts transferred and of the end-use.

▼M20*Article 3*

1. The direct or indirect sale, supply, transfer or export of all dual-use goods and technology listed in Annex I to Regulation (EU) 2021/821 of the European Parliament and of the Council ⁽¹⁾ to any natural or legal person, entity or body in Russia or for use in Russia by nationals of Member States or from the territories of Member States or using their flag vessels or aircraft, shall be prohibited whether originating or not in their territories.

⁽¹⁾ Regulation (EU) 2021/821 of the European Parliament and of the Council of 20 May 2021 setting up a Union regime for the control of exports, brokering, technical assistance, transit and transfer of dual-use items (recast) (OJ L 206, 11.6.2021, p. 1).

▼M35

1a. The transit via the territory of Russia of the dual-use goods and technology, as referred to in paragraph 1, exported from the Union shall be prohibited.

▼M20

2. It shall be prohibited:

- (a) to provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;
- (b) to provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia;

▼M36

(c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

▼M20

3. Without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, the prohibitions in paragraphs 1 and 2 shall not apply to the sale, supply, transfer or export of dual-use goods and technology or to the related provision of technical and financial assistance, for non-military use and for a non-military end user, intended for:

- (a) humanitarian purposes, health emergencies, the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters;
- (b) medical or pharmaceutical purposes;
- (c) temporary export of items for use by news media;
- (d) software updates;
- (e) use as consumer communication devices; or

▼M28**▼M20**

(g) personal use by natural persons travelling to Russia or members of their immediate families travelling with them, and limited to personal effects, household effects, vehicles or tools of trade owned by those individuals and not intended for sale.

▼M28

With the exception of point (g) of the first subparagraph, the exporter shall declare in the customs declaration that the items are being exported under the relevant exception set out in this paragraph and shall notify the competent authority of the Member State where the exporter is resident or established of the first use of the relevant exception within 30 days from the date when the first export took place.

▼M35

3a. Without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, the prohibition in paragraph 1a of this Article shall not apply to the transit via the territory of Russia of dual-use goods and technology intended for the purposes set out in points (a) to (e) of paragraph 3 of this Article.

▼M20

4. By way of derogation from paragraphs 1 and 2 of this Article, and without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, the competent authorities may authorise the sale, supply, transfer or export of dual-use goods and technology or the provision of related technical or financial assistance, for non-military use and for a non-military end user, after having determined that such goods or technology or the related technical or financial assistance are:

- (a) intended for cooperation between the Union, the governments of Member States and the government of Russia in purely civilian matters;
- (b) intended for intergovernmental cooperation in space programmes;
- (c) intended for the operation, maintenance, fuel reprocessing and safety of civil nuclear capabilities, as well as civil nuclear cooperation, in particular in the field of research and development;
- (d) intended for maritime safety;

▼M26

(e) intended for civilian non-publicly available electronic communications networks which are not the property of an entity that is publicly controlled or with over 50 % public ownership;

▼M20

- (f) intended for the exclusive use of entities owned, or solely or jointly controlled by a legal person, entity or body which is incorporated or constituted under the law of a Member State or of a partner country;
- (g) intended for the diplomatic representations of the Union, Member States and partner countries, including delegations, embassies and missions;

▼M28

(h) intended for ensuring cyber-security and information security for natural and legal persons, entities and bodies in Russia except for its government and undertakings directly or indirectly controlled by that government.

▼M35

4a. By way of derogation from paragraph 1a, and without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, competent authorities may authorise the transit via the territory of Russia of dual-use goods and technology after having determined that such goods or technology are intended for the purposes set out in points (b), (c), (d) and (h) of paragraph 4 of this Article.

▼M20

5. By way of derogation from paragraphs 1 and 2 of this Article, and without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, the competent authorities may authorise the sale, supply, transfer or export of dual-use goods and technology or the provision of related technical or financial assistance, for non-military use and for a non-military end-user, after having determined that such goods or technology or the related technical or financial assistance are due under contracts concluded before 26 February 2022, or ancillary contracts necessary for the execution of such a contract, provided that the authorisation is requested before 1 May 2022.

6. All authorisations required under this Article shall be granted by the competent authorities in accordance with the rules and procedures laid down in Regulation (EU) 2021/821, which shall apply mutatis mutandis. The authorisation shall be valid throughout the Union.

▼M25

7. When deciding on requests for authorisations in accordance with paragraphs 4 and 5, the competent authorities shall not grant an authorisation if they have reasonable grounds to believe that:

▼M26

- (i) the end-user might be a military end-user, a natural or legal person, entity or body in Annex IV or that the goods might have a military end-use, unless the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance is allowed under paragraph 1(a) of Article 3b;
- (ii) the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance is intended for aviation or the space industry, unless such sale, supply, transfer or export or the provision of related technical or financial assistance is allowed under paragraph 4(b); or

▼M25

- (iii) the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance is intended for the energy sector, unless such a sale, supply, transfer or export or the related technical or financial assistance is allowed under the exceptions referred to in paragraphs 3 to 6 of Article 4.

▼M20

8. The competent authorities of the Member States may annul, suspend, modify or revoke an authorisation which they have granted pursuant to paragraphs 4 and 5 if they deem that such annulment, suspension, modification or revocation is necessary for the effective implementation of this Decision.

9. The partner countries as referred to in this Article and in points (f) and (g) of Article 3a(4), and which apply substantially equivalent export control measures, are included in Annex VII.

Article 3a

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, goods and technology which might contribute to Russia's military and technological enhancement, or the development of the defence and security sector, whether or not originating in the Union, to any natural or legal person, entity or body in Russia or for use in Russia.

▼M36

1a. The transit via the territory of Russia of goods and technology which might contribute to Russia's military and technological enhancement or to the development of its defence and security sector, exported from the Union, shall be prohibited.

▼M20

2. It shall be prohibited:

- (a) to provide technical assistance, brokering services or other services related to goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia;
- (b) to provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia;

▼M36

(c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

▼M20

3. The prohibitions in paragraphs 1 and 2 shall not apply to the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or to the related provision of technical and financial assistance, for non-military use and for a non-military end-user, intended for:

- (a) humanitarian purposes, health emergencies, the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters;
- (b) medical or pharmaceutical purposes;
- (c) temporary export of items for use by news media;
- (d) software updates;
- (e) use as consumer communication devices; or

▼M28**▼M20**

(g) personal use of natural persons travelling to Russia or members of their immediate families travelling with them, and limited to personal effects, household effects, vehicles or tools of trade owned by those individuals and not intended for sale.

▼M28

With the exception of point (g) of the first subparagraph, the exporter shall declare in the customs declaration that the items are being exported under the relevant exception set out in this paragraph and shall notify the competent authority of the Member State where the exporter is resident or established of the first use of the relevant exception within 30 days from the date when the first export took place.

▼M36

3a. The prohibition in paragraph 1a of this Article shall not apply to the transit via the territory of Russia of goods and technology which might contribute to Russia's military and technological enhancement or to the development of its defence and security sector, as listed in Annex VII to Regulation (EU) No 833/2014, intended for the purposes set out in points (a) to (e) of paragraph 3 of this Article.

▼M20

4. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance, for non-military use and for a non-military end-user, after having determined that such goods or technology or the related technical or financial assistance are:

- (a) intended for cooperation between the Union, the governments of Member States and the government of Russia in purely civilian matters;
- (b) intended for intergovernmental cooperation in space programmes;
- (c) intended for the operation, maintenance, fuel reprocessing and safety of civil nuclear capabilities, as well as civil nuclear cooperation, in particular in the field of research and development;
- (d) intended for maritime safety;

▼M26

- (e) intended for civilian non-publicly available electronic communications networks which are not the property of an entity that is publicly controlled or with over 50 % public ownership;

▼M20

- (f) intended for the exclusive use of entities owned, or solely or jointly controlled by a legal person, entity or body which is incorporated or constituted under the law of a Member State or of a partner country;
- (g) intended for the diplomatic representations of the Union, Member States and partner countries, including delegations, embassies and missions;

▼M28

- (h) intended for ensuring cyber-security and information security for natural and legal persons, entities and bodies in Russia except for its government and undertakings directly or indirectly controlled by that government; or

▼M36

- (i) intended for the exclusive use and under the full control of the authorising Member State and in order to fulfil its maintenance obligations in areas which are under a long-term lease agreement between that Member State and the Russian Federation.

4a. By way of derogation from paragraph 1a, the competent authorities may authorise the transit via the territory of Russia of goods and technology which might contribute to Russia's military and technological enhancement or to the development of its defence and security sector, as listed in Annex VII to Regulation (EU) No 833/2014, after having determined that such goods or technology are intended for the purposes set out in points (b), (c), (d), and (h) of paragraph 4 of this Article.

▼M20

5. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance, for non-military use and for a non-military end-user, after having determined that such goods or technology or the related technical or financial assistance are due under contracts concluded before 26 February 2022, or ancillary contracts necessary for the execution of such a contract, provided that the authorisation is requested before 1 May 2022.

6. All authorisations required under this Article shall be granted by the competent authorities in accordance with the rules and procedures laid down in Regulation (EU) 2021/821, which shall apply mutatis mutandis. The authorisation shall be valid throughout the Union.

▼M25

7. When deciding on requests for authorisations in accordance with paragraphs 4 and 5 of this Article, the competent authorities shall not grant an authorisation if they have reasonable grounds to believe that:

▼M26

(i) the end-user might be a military end-user, a natural or legal person, entity or body in Annex IV or that the goods might have a military end-use, unless the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance is allowed under paragraph 1 of Article 3b;

(ii) the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance is intended for aviation or the space industry, unless such sale, supply, transfer or export or the provision of related technical or financial assistance is allowed under paragraph 4(b); or

▼M25

(iii) the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance is intended for the energy sector, unless such a sale, supply, transfer or export or the related technical or financial assistance is allowed under the exceptions referred to in paragraphs 3 to 6 of Article 4.

▼M20

8. The competent authorities of the Member States may annul, suspend, modify or revoke an authorisation which they have granted pursuant to paragraphs 4 and 5 if they deem that such annulment, suspension, modification or revocation is necessary for the effective implementation of this Decision.

9. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M30*Article 3aa***▼M36**

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, firearms, their parts and essential components and ammunition as listed in Annex I to Regulation (EU) No 258/2012 of the European Parliament and of the Council⁽¹⁾ and certain other firearms and arms, whether or not originating in the Union, to any natural or legal person, entity or body in Russia or for use in Russia.

▼M35

1a. The transit via the territory of Russia of firearms, their parts and essential components and ammunition, as referred to in paragraph 1, exported from the Union shall be prohibited.

▼M30

2. It shall be prohibited to:

- (a) provide technical assistance, brokering services or other services related to the goods referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods, directly or indirectly, to any natural or legal person, entity or body in Russia or for use in Russia;
- (b) provide financing or financial assistance related to the goods referred to in paragraph 1 for any sale, supply, transfer or export of those goods, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia;

▼M36

(c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

3. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M20*Article 3b***▼M25**

1. With regard to the natural or legal persons, entities or bodies listed in Annex IV, by way of derogation from Articles 3 and 3a of this Decision, and without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, the competent authorities of the Member States may only authorise the sale, supply, transfer or export of dual-use goods and technology and the goods and technology referred to in Article 3a of this Decision, or the provision of related technical or financial assistance after having determined:

⁽¹⁾ Regulation (EU) No 258/2012 of the European Parliament and of the Council of 14 March 2012 implementing Article 10 of the United Nations' Protocol against the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, supplementing the United Nations Convention against Transnational Organised Crime (UN Firearms Protocol), and establishing export authorisation, and import and transit measures for firearms, their parts and components and ammunition (OJ L 94, 30.3.2012, p. 1).

▼M25

- (a) that such goods or technology or the related technical or financial assistance are necessary for the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment; or
- (b) that such goods or technology or the related technical or financial assistance are due under contracts concluded before 26 February 2022, or ancillary contracts necessary for the execution of such a contract, provided that the authorisation is requested before 1 May 2022.

▼M20

2. All authorisations required under this Article shall be granted by the competent authorities in accordance with the rules and procedures laid down in Regulation (EU) 2021/821, which shall apply mutatis mutandis. The authorisation shall be valid throughout the Union.

3. The competent authorities of the Member States may annul, suspend, modify or revoke an authorisation which they have granted pursuant to paragraph 1 if they deem that such annulment, suspension, modification or revocation is necessary for the effective implementation of this Decision.

▼M25*Article 4*

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, certain goods and technology suited to certain categories of exploration and production projects, whether or not originating in the Union, to any natural or legal person, entity or body in Russia, including its Exclusive Economic Zone and Continental Shelf, or for use in Russia, including its Exclusive Economic Zone and Continental Shelf.

The Union shall take the necessary measures in order to determine the relevant items to be covered by this paragraph.

2. It shall be prohibited to:

- (a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia;
- (b) provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia.

3. The prohibitions in paragraphs 1 and 2 shall not apply to the sale, supply, transfer or export of goods or technology, or to the provision of technical or financial assistance, necessary for:

▼M27

- (a) the transport of natural gas and oil, including refined petroleum products, unless prohibited under Article 4o or 4p, from or through Russia into the Union; or

▼M25

- (b) the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment.

▼M25

4. The prohibitions in paragraphs 1 and 2 shall not apply to the execution until 17 September 2022 of an obligation arising from a contract concluded before 16 March 2022, or ancillary contracts necessary for the execution of such a contract, provided that the competent authority has been informed at least five working days in advance.

5. The prohibitions in paragraph 2 shall not apply to the provision of insurance or reinsurance to any legal person, entity or body that is incorporated or constituted under the law of a Member State with regard to its activities outside the energy sector in Russia.

6. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export and the provision of technical or financial assistance, after having determined that:

- (a) it is necessary for ensuring critical energy supply within the Union;
or
- (b) it is intended for the exclusive use of entities owned, or solely or jointly controlled by a legal person, entity or body which is incorporated or constituted under the law of a Member State.

7. The Member State or Member States concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 6 within two weeks of the authorisation.

▼M32*Article 4a*

1. It shall be prohibited to:

- (a) acquire any new or extend any existing participation in any legal person, entity or body incorporated or constituted under the law of Russia or any other third country and operating in the energy sector in Russia;
- (b) grant or be part of any arrangement to grant any new loan or credit or otherwise provide financing, including equity capital, to any legal person, entity or body incorporated or constituted under the law of Russia or any other third country and operating in the energy sector in Russia, or for the documented purpose of financing such a legal person, entity or body;
- (c) create any new joint venture with any legal person, entity or body incorporated or constituted under the law of Russia or any other third country and operating in the energy sector in Russia;
- (d) provide investment services directly related to the activities referred to in points (a), (b) and (c).

2. It shall be prohibited to:

- (a) acquire any new or extend any existing participation in any legal person, entity or body incorporated or constituted under the law of Russia or any other third country and operating in the mining and quarrying sector in Russia;
- (b) grant or be part of any arrangement to grant any new loan or credit or otherwise provide financing, including equity capital, to any legal person, entity or body incorporated or constituted under the law of Russia or any other third country and operating in the mining and quarrying sector in Russia, or for the documented purpose of financing such a legal person, entity or body;

▼M32

- (c) create any new joint venture with any legal person, entity or body incorporated or constituted under the law of Russia or any other third country and operating in the mining and quarrying sector in Russia;
- (d) provide investment services directly related to the activities referred to in points (a), (b) and (c).

3. By way of derogation from paragraph 1, the competent authorities may authorise, under such conditions as they deem appropriate, any activity referred to in paragraph 1 after having determined that:

- (a) it is necessary to ensure critical energy supply within the Union, as well as the transport of natural gas and oil, including refined petroleum products, unless prohibited under Article 4o or 4p, from or through Russia into the Union; or
- (b) it exclusively concerns a legal person, entity or body operating in the energy sector in Russia owned by a legal person, entity or body which is incorporated or constituted under the law of a Member State.

4. The Member State or Member States concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 3 within two weeks of the authorisation.

5. The prohibition in paragraph 2 shall not apply to mining and quarrying activities that yield their highest value from, or have as their primary objective, the production of certain materials. The Union shall take the necessary measures in order to determine the relevant items to be covered by this paragraph.

▼M20*Article 4b*

- 1. It shall be prohibited to provide public financing or financial assistance for trade with, or investment in, Russia.
- 2. The prohibition in paragraph 1 shall not apply to:

- (a) binding financing or financial assistance commitments established prior to 26 February 2022;

▼M24

- (b) the provision of public financing or financial assistance up to the total value of EUR 10 000 000 per project benefiting small and medium-sized enterprises established in the Union; or

▼M20

- (c) the provision of public financing or financial assistance for trade in food, and for agricultural, medical or humanitarian purposes.

▼M22

3. It shall be prohibited to invest, participate or otherwise contribute to projects co-financed by the Russian Direct Investment Fund.
4. By way of derogation from paragraph 3, the competent authorities may authorise, under such conditions as they deem appropriate, an investment participation in, or contribution to, projects co-financed by the Russian Direct Investment Fund, after having determined that such an investment participation or contribution is due under contracts concluded before 2 March 2022 or ancillary contracts necessary for the execution of such contracts.

▼M20*Article 4c***▼M26**

1. It shall be prohibited to sell, supply, transfer, or export, directly or indirectly, goods and technology suited for use in oil refining and liquefaction of natural gas, whether or not originating in the Union, to any natural or legal person, entity or body in Russia or for use in Russia.

▼M20

2. It shall be prohibited to:
 - (a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;
 - (b) provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any person, entity or body in Russia or for use in Russia;

▼M36

- (c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

▼M20

3. The prohibitions in paragraphs 1 and 2 shall be without prejudice to the execution until 27 May 2022 of contracts concluded before 26 February 2022, or ancillary contracts necessary for the execution of such contracts.
4. By way of derogation from paragraphs 1 and 2, the competent authorities of the Member States may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export of goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance, after having determined that such goods or technology or the provision of related technical or financial assistance are necessary for the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment.

▼M20

In duly justified cases of emergency, the sale, supply, transfer or export may proceed without prior authorisation, provided that the exporter notifies the competent authority within five working days after the sale, supply, transfer or export has taken place, providing detail about the relevant justification for the sale, supply, transfer or export without prior authorisation.

▼M28

4a. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under this paragraph within two weeks of the authorisation.

▼M20

5. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

*Article 4d***▼M26**

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, goods and technology suited for use in aviation or the space industry, as well as jet fuel and fuel additives, whether or not originating in the Union, to any natural or legal person, entity or body in Russia or for use in Russia.

▼M36

1a. The transit via the territory of Russia of goods and technology suited for use in aviation or the space industry and of jet fuel and fuel additives, exported from the Union, shall be prohibited.

▼M20

2. It shall be prohibited to provide insurance and reinsurance, directly or indirectly, in relation to goods and technology referred to in paragraph 1 to any person, entity or body in Russia or for use in Russia.

3. It shall be prohibited to provide any one or any combination of the following activities: overhaul, repair, inspection, replacement, modification or defect rectification of an aircraft or component, with the exception of pre-flight inspection, in relation to the goods and technology referred to in paragraph 1, directly or indirectly, to any natural or legal person, entity or body in Russia or for use in Russia.

4. It shall be prohibited to:

(a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;

(b) provide financing or financial assistance related to goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;

▼M36

(c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

▼M30

5. With regard to the goods listed in Part A of Annex XI to Council Regulation (EU) No 833/2014 (⁽¹⁾), the prohibitions in paragraphs 1 and 4 shall not apply to the execution until 28 March 2022 of contracts concluded before 26 February 2022, or of ancillary contracts necessary for the execution of such contracts.

5a. With regard to the goods listed in Part B of Annex XI to Regulation (EU) No 833/2014, the prohibitions in paragraphs 1 and 4 shall not apply to the execution until 6 November 2022 of contracts concluded before 7 October 2022, or of ancillary contracts necessary for the execution of such contracts.

▼M32

5b. With regard to the goods listed in Part C of Annex XI to Regulation (EU) No 833/2014, the prohibitions in paragraphs 1 and 4 shall not apply to the execution until 16 January 2023 of contracts concluded before 17 December 2022, or of ancillary contracts necessary for the execution of such contracts.

▼M35

5c. With regard to the goods listed in Part D of Annex XI to Regulation (EU) No 833/2014, the prohibitions in paragraphs 1 and 4 of this Article shall not apply to the execution until 27 March 2023 of contracts concluded before 26 February 2023, or of ancillary contracts necessary for the execution of such contracts.

▼M26

6. By way of derogation from paragraphs 1 and 4, the national competent authorities may authorise, under such conditions as they deem appropriate, the execution of an aircraft financial lease concluded before 26 February 2022 after having determined that:

- (a) it is strictly necessary to ensure lease re-payments to a legal person, entity or body incorporated or constituted under the law of a Member State which does not fall under any of the restrictive measures provided for in this Decision; and
- (b) no economic resources will be made available to the Russian counterpart, with the exception of the transfer of ownership of the aircraft after full reimbursement of the financial lease.

▼M30

6a. By way of derogation from paragraphs 1 and 4, the competent authorities may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export of goods listed in Part B of Annex XI to Regulation (EU) No 833/2014, or related technical assistance, brokering services, financing or financial assistance, after having determined that this is necessary for the production of titanium goods required in the aeronautic industry, for which no alternative supply is available.

▼M32

6b. By way of derogation from paragraph 4, the competent authorities may authorise, under such conditions as they deem appropriate, the provision of technical assistance, related to the use of the goods and technology referred to in paragraph 1, after having determined that the provision of such technical assistance is necessary for avoiding collision between satellites, or their unintended re-entry into the atmosphere.

(⁽¹⁾) Council Regulation (EU) No 833/2014 of 31 July 2014 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine (OJ L 229, 31.7.2014, p. 1).

▼M32

6c. By way of derogation from paragraphs 1 and 4, the competent authorities may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export of the goods falling under CN codes 8517 71 00, 8517 79 00 and 9026 00 00 listed in Part B of Annex XI to Regulation (EU) No 833/2014, or related technical assistance, brokering services, financing or financial assistance, after having determined that it is necessary for medical or pharmaceutical purposes, or for humanitarian purposes, such as delivering or facilitating the delivery of assistance, including medical supplies, food, or the transfer of humanitarian workers and related assistance or for evacuations.

When deciding on requests for authorisations for medical, pharmaceutical or humanitarian purposes in accordance with this paragraph, the national competent authorities shall not grant an authorisation for exports to any natural or legal person, entity or body in Russia or for use in Russia, if they have reasonable grounds to believe that the goods might have a military end-use.

▼M36

6d. By way of derogation from paragraph 1a of this Article, the competent authorities may authorise the transit via the territory of Russia of goods and technology suited for use in aviation or the space industry, as listed in Annex XI to Regulation (EU) No 833/2014, and of jet fuels and fuel additives as listed in Annex XX to Regulation (EU) No 833/2014, after having determined that such goods or technology are intended for the purposes set out in paragraphs 6a, 6b and 6c of this Article.

6e. By way of derogation from paragraph 1 of this Article, the competent authorities may authorise the sale, supply, transfer or export of the goods listed in Part B of Annex XI to Regulation (EU) No 833/2014, if the goods are intended for the exclusive use and under the full control of the authorising Member State and in order to fulfil its maintenance obligations in areas which are under a long-term lease agreement between that Member State and the Russian Federation.

▼M26

7. The Member State concerned shall inform the other Member States and the Commission of any authorisations granted under this Article within two weeks of the authorisation.

8. The prohibition in paragraph 1 shall be without prejudice to Articles 3(4)(b) and 3a(4)(b).

▼M28

8a. The prohibition in paragraph 4 point (a) shall not apply to the exchange of information aimed at establishing technical standards in the framework of the International Civil Aviation Organization in relation to goods and technology referred to in paragraph 1.

▼M26

9. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M21*Article 4e*

1. Member States shall, in accordance with their national rules and laws and consistent with international law, in particular relevant international civil aviation agreements, deny to any aircraft operated by Russian air carriers, including as a marketing carrier in code-sharing

▼M21

or blocked-space arrangements, to any Russian-registered aircraft, and to any non-Russian-registered aircraft which is owned or chartered, or otherwise controlled by any Russian natural or legal person, entity or body, permission to land in, take off from, or overfly the territory of the Union.

2. Paragraph 1 shall not apply in the case of an emergency landing or an emergency overflight.

3. By way of derogation from paragraph 1, the competent authorities may authorise an aircraft to land in, take off from, or overfly, the territory of the Union if the competent authorities have determined that such landing, take-off or overflight is required for humanitarian purposes or for any other purpose consistent with the objectives of this Decision.

4. The Member State or Member States concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 3 within two weeks of the authorisation.

▼M36

5. Aircraft operators of non-scheduled flights between Russia and the Union, operated directly or via a third country, shall notify prior to their operation, and at least 48 hours in advance, all relevant information concerning the flight to the competent authorities of the Member State of departure or destination.

▼M35

6. Upon refusal of a flight notified in accordance with paragraph 5, the Member State concerned shall immediately inform the other Member States, the Network Manager and the Commission.

▼M21*Article 4f***▼M25**

1. The Network Manager for air traffic management network functions of the single European sky shall support the Commission and the Member States in ensuring the implementation of, and compliance with, Article 4e. The Network Manager shall, in particular, reject all flight plans filed by aircraft operators indicating an intent to carry out activities over the territory of the Union that constitute a violation of this Decision, such that the pilot is not permitted to fly.

▼M21

2. The Network Manager shall regularly supply to the Commission and Member States, based on the analysis of flight plans, reports on the implementation of Article 4e.

▼M23*Article 4g*

1. It shall be prohibited for operators to broadcast, or to enable, facilitate or otherwise contribute to broadcast, any content by the legal persons, entities or bodies listed in Annex IX, including through transmission or distribution by any means such as cable, satellite, IP-TV, internet service providers, internet video-sharing platforms or applications, whether new or pre-installed.

2. Any broadcasting licence or authorisation, transmission and distribution arrangement with the legal persons, entities or bodies listed in Annex IX shall be suspended.

▼M27

3. It shall be prohibited to advertise products or services in any content produced or broadcast by the legal persons, entities or bodies listed in Annex IX, including through transmission or distribution by any of the means referred to in paragraph 1.

▼M24*Article 4h*

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, maritime navigation goods and technology, whether or not originating in the Union, to any natural or legal person, entity or body in Russia, for use in Russia, or for the placing on board of a Russian-flagged vessel.

2. It shall be prohibited to:

- (a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;
- (b) provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;

▼M36

- (c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

▼M24

3. The prohibitions in paragraphs 1 and 2 shall not apply to the sale, supply, transfer or export of the goods and technology referred to in paragraph 1 or to the related provision of technical and financial assistance, for non-military use and for a non-military end-user, intended for humanitarian purposes, health emergencies, the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters.

4. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the sale, supply, transfer or export of the goods and technology referred to in paragraph 1 or the provision of related technical or financial assistance, for non-military use and for a non-military end-user, after having determined that such goods or technology or the related technical or financial assistance are intended for maritime safety.

▼M28

4a. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 4 within two weeks of the authorisation.

▼M24

5. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M26*Article 4ha***▼M28**

1. It shall be prohibited to provide access, after 16 April 2022, to ports and, after 29 July 2022, to locks in the territory of the Union, to any vessel registered under the flag of Russia, with the exception of access to locks for the purpose of leaving the territory of the Union.

▼M30

1a. The prohibition in paragraph 1 shall also apply, after 8 April 2023, to any vessel certified by the Russian Maritime Register of Shipping.

▼M26

2. Paragraph 1 shall apply to vessels that have changed their Russian flag or their registration, to the flag or register of any other State after 24 February 2022.

▼M30

3. For the purposes of this Article, with the exception of paragraph 1a, a 'vessel' means:

▼M26

- (a) a ship falling within the scope of the relevant international conventions;
- (b) a yacht, of 15 metres in length or more, which does not carry cargo and carrying no more than 12 passengers; or
- (c) recreational craft or personal watercraft as defined in Directive 2013/53/EU of the European Parliament and of the Council ⁽¹⁾.

▼M30

4. Paragraphs 1 and 1a shall not apply in the case of a vessel in need of assistance seeking a place of refuge, of an emergency port call for reasons of maritime safety, or for saving life at sea.

5. By way of derogation from paragraphs 1 and 1a, the competent authorities may authorise a vessel to access a port or lock, under such conditions as they deem appropriate, after having determined that the access is necessary for:

▼M27

(a) unless prohibited under Article 4o or 4p, the purchase, import or transport into the Union of natural gas and oil, including refined petroleum products, titanium, aluminium, copper, nickel, palladium and iron ore, as well as certain chemical and iron products;

▼M26

- (b) the purchase, import or transport of pharmaceutical, medical, agricultural and food products, including wheat and fertilisers whose import, purchase and transport is allowed under this Decision;
- (c) humanitarian purposes; or
- (d) transport of nuclear fuel and other goods strictly necessary for the functioning of civil nuclear capabilities.

▼M36**▼M28**

5a. By way of derogation from paragraph 2, the competent authorities may authorise vessels that have changed their Russian flag or their registration, to the flag or register of any other State prior to 16 April 2022, to access a port or a lock, under such conditions as they deem appropriate, after having determined that:

- (a) a Russian flag or registration was required by contract; and
- (b) the access is necessary for the unloading of goods strictly necessary for the completion of renewable energy projects in the Union, provided that the import of such goods is not otherwise prohibited under this Decision.

⁽¹⁾ Directive 2013/53/EU of the European Parliament and of the Council of 20 November 2013 on recreational craft and personal watercraft and repealing Directive 94/25/EC (OJ L 354, 28.12.2013, p. 90).

▼M30

5b. By way of derogation from paragraph 2, the competent authorities may authorise, under such conditions as they deem appropriate, a vessel to access a port or lock provided that it:

- (a) has flown the Russian Federation flag under a bareboat charter registration initially effected prior to 24 February 2022;
- (b) has resumed its right to fly the flag of the underlying Member State registry before 31 January 2023; and
- (c) is not owned, chartered, operated or otherwise controlled by a Russian national or any legal person, entity or body incorporated or constituted under the law of the Russian Federation.

▼M32

6. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraphs 5, 5a and 5b within two weeks of the authorisation.

▼M26

7. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M36*Article 4hb*

1. It shall be prohibited, as of 24 July 2023, to provide access to ports and locks in the territory of the Union by any vessel performing ship-to-ship transfers, at any point of the voyage to a Member State's ports or locks, if the competent authority has reasonable cause to suspect that the vessel is in breach of the prohibitions set out in Article 4o (1) and (2) and Article 4p(1) and (4).
2. A competent authority shall not grant access if a vessel does not notify the competent authority at least 48 hours in advance about a ship-to-ship transfer occurring within the Exclusive Economic Zone of a Member State or within 12 nautical miles from the baseline of that Member State's coast.
3. Paragraphs 1 and 2 shall not apply in the case of a vessel in need of assistance seeking a place of refuge, of an emergency port call for reasons of maritime safety, or for saving life at sea.
4. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise a vessel to access a port or lock in the territory of the Union, under such conditions as they deem appropriate, after having determined that such access is necessary for humanitarian purposes.
5. Upon refusal of a port access call in accordance with paragraphs 1 and 2, the competent authorities concerned shall immediately inform the other competent authorities of the Member States. The Member State concerned shall inform the other Member States and the Commission without delay.
6. For the purposes of paragraphs 1 and 2, the competent authorities shall use, in addition to any national system and information, the integrated maritime information available in the Union Maritime Information and Exchange System (SafeSeaNet) established in accordance with Directive 2002/59/EC of the European Parliament and of the Council ⁽¹⁾.

⁽¹⁾ Directive 2002/59/EC of the European Parliament and of the Council of 27 June 2002 establishing a Community vessel traffic monitoring and information system and repealing Council Directive 93/75/EEC (OJ L 208, 5.8.2002, p. 10).

▼M36*Article 4hc*

1. It shall be prohibited, as of 24 July 2023, to provide access to ports and locks in the territory of the Union by any vessel which the competent authority has reasonable cause to suspect of illegally interfering with, switching off or otherwise disabling its shipborne automatic identification system, at any point of the voyage to a Member State's ports or locks, in breach of SOLAS Regulation V/19, point 2.4, when transporting crude oil or petroleum products subject to the prohibitions set out in Article 4o(1) and (2) and Article 4p(1) and (4).
2. Paragraph 1 shall not apply in the case of a vessel in need of assistance seeking a place of refuge, of an emergency port call for reasons of maritime safety, or for saving life at sea.
3. By way of derogation from paragraph 1, the competent authorities may authorise a vessel to access a port or lock in the territory of the Union, under such conditions as they deem appropriate, after having determined that such access is necessary for humanitarian purposes.
4. Upon refusal of a port access call in accordance with paragraph 1, the competent authorities concerned shall immediately inform the other competent authorities of the Member States. The Member State concerned shall inform the other Member States and the Commission without delay.
5. For the purposes of paragraph 1, the competent authorities shall use, in addition to any national system and information, the integrated maritime information available in the Union Maritime Information and Exchange System (SafeSeaNet) established in accordance with Directive 2002/59/EC.

▼M25*Article 4i*

1. It shall be prohibited:
 - (a) to import, directly or indirectly, iron and steel products into the Union if they:
 - (i) originate in Russia; or
 - (ii) have been exported from Russia;
 - (b) to purchase, directly or indirectly, iron and steel products which are located or which originated in Russia;
 - (c) to transport iron and steel products if they originated in Russia or are being exported from Russia to any other country;

▼M36

- (d) to import or purchase, as from 30 September 2023, directly or indirectly, iron and steel products as listed in Annex XVII to Regulation (EU) No 833/2014 when processed in a third country incorporating iron and steel products originating in Russia as listed in Annex XVII to Regulation (EU) No 833/2014; with regard to products listed in Annex XVII to Regulation (EU) No 833/2014 processed in a third country incorporating steel products originating in Russia of CN codes 7207 11, 7207 12 10 or 7224 90, this prohibition shall apply as of 1 April 2024 for CN code 7207 11 and as of 1 October 2024 for CN codes 7207 12 10 and 7224 90;

▼M36

For the purposes of the application of this point, at the moment of importation, importers shall provide evidence of the country of origin of the iron and steel inputs used for the processing of the product in a third country.

▼M30

- (e) to provide, directly or indirectly, technical assistance, brokering services, financing or financial assistance, including financial derivatives, as well as insurance and re-insurance, related to the prohibitions in points (a), (b), (c) and (d).

▼M25

The Union shall take the necessary measures in order to determine the relevant items to be covered by this paragraph.

▼M36**▼M30**

4. The prohibitions in points (a), (b), (c) and (e) of paragraph 1 shall not apply to the import, purchase or transport, or related technical or financial assistance, of the following quantities of goods falling under CN code 7207 12 10:

- (a) 3 747 905 metric tonnes between 7 October 2022 and 30 September 2023;
- (b) 3 747 905 metric tonnes between 1 October 2023 and 30 September 2024.

5. The prohibitions in paragraph 1 shall not apply to the import, purchase or transport, or related technical or financial assistance, of the following quantities of goods falling under CN code 7207 11:

- (a) 487 202 metric tonnes between 7 October 2022 and 30 September 2023;
- (b) 85 260 metric tonnes between 1 October 2023 and 31 December 2023;
- (c) 48 720 metric tonnes between 1 January 2024 and 31 March 2024.

▼M32

5a. The prohibitions in paragraph 1 shall not apply to the import, purchase or transport, or related technical or financial assistance, of the following quantities of the goods falling under CN code 7224 90:

- (a) 147 007 metric tonnes between 17 December 2022 and 31 December 2023;
- (b) 110 255 metric tonnes between 1 January 2024 and 30 September 2024.

6. The import volume quotas set out in paragraphs 4, 5 and 5a shall be managed by the Commission and the Member States in accordance with the management system for tariff-rate quotas provided for in Articles 49 to 54 of Commission Implementing Regulation (EU) 2015/2447⁽¹⁾.

⁽¹⁾ Commission Implementing Regulation (EU) 2015/2447 of 24 November 2015 laying down detailed rules for implementing certain provisions of Regulation (EU) No 952/2013 of the European Parliament and of the Council laying down the Union Customs Code (OJ L 343 29.12.2015, p. 558).

▼M30

7. By way of derogation from paragraph 1, the competent authorities may authorise the purchase, import or transfer of the goods listed in Annex XVII to Regulation (EU) No 833/2014, under such conditions as they deem appropriate, after having determined that this is necessary for the establishment, operation, maintenance, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities, the supply of precursor material for the production of medical radioisotopes and similar medical applications, or critical technology for environmental radiation monitoring, as well as for civil nuclear cooperation, in particular in the field of research and development.

8. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 7 within two weeks of the authorisation.

▼M25*Article 4j*

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, luxury goods to any natural or legal person, entity or body in Russia or for use in Russia.

▼M36

2. It shall be prohibited to:

- (a) provide technical assistance, brokering services or other services related to the goods referred to in paragraph 1 and related to the provision, manufacture, maintenance and use of those goods, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;
- (b) provide financing or financial assistance related to the goods referred to in paragraph 1 for any sale, supply, transfer or export of those goods, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;
- (c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

2a. The prohibitions referred to in paragraphs 1 and 2 shall apply to luxury goods insofar as their value exceeds EUR 300 per item, unless otherwise specified.

▼M25

3. The prohibition referred to in paragraph 1 shall not apply to goods which are necessary for the official purposes of diplomatic or consular missions of Member States or partner countries in Russia or of international organisations enjoying immunities in accordance with international law, or to the personal effects of their staff.

▼M28

3a. The prohibition in paragraph 1 shall not apply to luxury goods for personal use of natural persons travelling from the European Union or members of their immediate families travelling with them, owned by those individuals and not intended for sale.

▼M26

4. By way of derogation from paragraph 1, the competent authorities may authorise the transfer or export to Russia of cultural goods which are on loan in the context of formal cultural cooperation with Russia.

▼M36

4a. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the sale or supply of a vessel falling under CN code 8901 10 00 or 8901 90 00, or the provision, until 31 December 2023, of related technical or financial assistance to a legal person, entity or body in Russia or for use in Russia, under such conditions as they deem appropriate, after having determined that:

- (a) the vessel is physically located in Russia on 24 June 2023 and for use in Russia;
- (b) the vessel has flown the Russian Federation flag under a bareboat charter registration initially effected prior to 24 February 2022;
- (c) the legal person, entity or body in Russia is not a military end user and will not use the vessel for military purposes;
- (d) the sale or supply is not for the benefit of a person, entity or body listed in the Annex to Decision 2014/145/CFSP or subject to the restrictive measures provided for in this Decision.

5. The Member State concerned shall inform the other Member States and the Commission of any authorisations granted under paragraphs 4 and 4a within two weeks of the authorisation.

▼M26

6. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

Article 4k

1. It shall be prohibited to purchase, import, or transfer, directly or indirectly, goods which generate significant revenues for Russia thereby enabling its actions destabilising the situation in Ukraine into the Union if they originate in Russia or are exported from Russia.

2. It shall be prohibited to:

- (a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly in relation to the prohibition in paragraph 1;

▼M26

- (b) provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any purchase, import or transfer of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly in relation to the prohibition in paragraph 1.

▼M36**▼M26**

- 3a. The prohibition in paragraph 1 shall not apply to purchases in Russia which are necessary for the functioning of diplomatic and consular representations of the Union and of the Member States, including delegations, embassies and missions, or for the personal use of nationals of Member States and their immediate family members.

▼M36**▼M30**

- 3c. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise the purchase, import or transfer of the goods listed in Annex XXI to Regulation (EU) No 833/2014, or the provision of related technical and financial assistance, under such conditions as they deem appropriate, after having determined that this is necessary for the establishment, operation, maintenance, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities, the supply of precursor material for the production of medical radioisotopes and similar medical applications, or critical technology for environmental radiation monitoring, as well as for civil nuclear cooperation, in particular in the field of research and development.

▼M36**▼M35**

- 3da. The prohibitions in paragraphs 1 and 2 shall not apply to the import, purchase or transport, or related technical or financial assistance, necessary for the import into the Union, until 30 June 2024, of the following quantities:

- (a) 752 475 metric tonnes for goods falling under CN code 2803;
- (b) 562 973 metric tonnes for goods falling under CN code 4002.

▼M36

- 3e. By way of derogation from paragraphs 1 and 2 of this Article, the competent authorities may authorise the purchase, import or transfer of goods falling under CN codes 7007, 8479, 8481, 8487, 8504, 8517, 8525, 8531, 8536, 8537, 8538, 8542, 8543, 8603 as listed in Annex XXI to Regulation (EU) No 833/2014, or the provision of related technical and financial assistance, under such conditions as they deem appropriate, after having determined that this is necessary for the operation, maintenance or repair of Budapest metro line 3 cars delivered in 2018, in execution of a guarantee provided by Metrowagonmash prior to 24 June 2023.

▼M26

4. As of 10 July 2022, the prohibitions in paragraphs 1 and 2 shall not apply to the import, purchase or transport, or the related technical or financial assistance, necessary for the import into the Union, of:

- (a) 837 570 metric tonnes of potassium chloride of CN 3104 20 between 10 July of a given year and 9 July of the following year;
- (b) 1 577 807 metric tonnes combined of the other products under CN 3105 20, 3105 60 and 3105 90 between 10 July of a given year and 9 July of the following year.

▼M35

5. The import volume quotas set out in paragraphs 3da and 4 shall be managed by the Commission and the Member States in accordance with the management system for tariff-rate quotas provided for in Articles 49 to 54 of Commission Implementing Regulation (EU) 2015/2447 (¹).

▼M30

5a. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 3c within two weeks of the authorisation.

▼M26

6. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M36*Article 4m*

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, goods which could contribute in particular to the enhancement of Russia's industrial capacities to any natural or legal person, entity or body in Russia or for use in Russia.

2. It shall be prohibited to:

- (a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia;
- (b) provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in Russia, or for use in Russia;
- (c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in Russia or for use in Russia.

(¹) Commission Implementing Regulation (EU) 2015/2447 of 24 November 2015 laying down detailed rules for implementing certain provisions of Regulation (EU) No 952/2013 of the European Parliament and of the Council laying down the Union Customs Code (OJ L 343, 29.12.2015, p. 558).

▼M36

3. With regard to the goods of a value not exceeding EUR 50 000 per unit falling under CN codes 8703 23, 8703 24, 8703 32, 8703 33, 8703 40, 8703 50, 8703 60, 8703 70, 8703 80, 8703 90 or 8903, the prohibitions in paragraphs 1 and 2 shall not apply to the execution until 25 September 2023 of contracts concluded before 24 June 2023, or of ancillary contracts necessary for the execution of such contracts.

3a. With regard to the goods falling under CN codes 2710 12, 2909 60, 3905 99, 4002 19, 4002 70, 4010 11, 4010 12, 4011 20, 4012 90, 4805 93, 4810 29, 4823 90, 7216 61, 8402 11, 8454 30, 8477 10, 8477 20, 8477 59, 8477 80, 8477 90, 8514 32, 8514 40, 8525 89, 8704 21, 9024 90, 9031 10, 9031 41, 9031 49, 9031 80, 9031 90 or 9406 20, the prohibitions in paragraphs 1 and 2 shall not apply to the execution until 25 September 2023 of contracts concluded before 24 June 2023, or of ancillary contracts necessary for the execution of such contracts.

3b. With regard to the goods falling under CN codes included for the first time in Annex XXIII to Regulation (EU) No 833/2014 on 24 June 2023 and which are not referred to in paragraphs 3 and 3a of this Article, and with the exception of goods falling under CN codes which were already included in Annex XVIII to that Regulation, the prohibitions in paragraphs 1 and 2 of this Article shall not apply to the execution until 25 September 2023 of contracts concluded before 24 June 2023, or of ancillary contracts necessary for the execution of such contracts.

▼M26

4. The prohibitions in paragraphs 1 and 2 shall not apply to goods which are necessary for the official purposes of diplomatic or consular missions of Member States or partner countries in Russia or of international organisations enjoying immunities in accordance with international law, or to the personal effects of their staff.

▼M35

4a. The competent authorities of the Member States may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export of the goods falling under CN code 8417 20, or the provision of related technical or financial assistance, after having determined that such goods or the provision of related technical or financial assistance are necessary for personal household use of natural persons.

▼M36

4b. By way of derogation from paragraphs 1 and 2, the competent authorities may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export of the goods falling under CN chapters 72, 84, 85 and 90 as listed in Annex XXIII to Regulation (EU) No 833/2014, or related technical assistance, brokering services, financing or financial assistance, after having determined that it is strictly necessary for the production of titanium goods required in the aeronautic industry, for which no alternative supply is available.

▼M28

5. The competent authorities of the Member States may authorise, under the conditions they deem appropriate, the sale, supply, transfer or export of the goods and technology covered by this Article, or the provision of related technical or financial assistance, after having determined that such goods or technology or the provision of related technical or financial assistance are necessary for:

▼M28

- (a) medical or pharmaceutical purposes, or for humanitarian purposes, such as delivering or facilitating the delivery of assistance, including medical supplies, food, or the transfer of humanitarian workers and related assistance or for evacuations;
- (b) the exclusive use and under the full control of the authorising Member State and in order to fulfil its maintenance obligations in areas which are under a long-term lease agreement between that Member State and the Russian Federation; or

▼M30

- (c) the establishment, operation, maintenance, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities, the supply of precursor material for the production of medical radioisotopes and similar medical applications, or critical technology for environmental radiation monitoring, as well as for civil nuclear cooperation, in particular in the field of research and development.

▼M35

- 5a. When deciding on requests for authorisations referred to in paragraphs 4a, 4b and 5, the competent authorities shall not grant an authorisation for exports to any natural or legal person, entity or body in Russia or for use in Russia, if they have reasonable grounds to believe that the goods might have a military end-use.

▼M36

- 5b. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraphs 4a, 4b and 5 within two weeks of the authorisation.

▼M26

- 6. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

Article 4n

- 1. It shall be prohibited for any road transport undertaking established in Russia to transport goods by road within the territory of the Union, including in transit.

▼M36

- 1a. The prohibition in paragraph 1 shall apply to the transport of goods within the territory of the Union by road transport undertakings, carried out by means of trailers or semi-trailers registered in Russia, including if those trailers or semi-trailers are hauled by trucks registered in other countries.

▼M26

- 2. The prohibition in paragraph 1 shall not apply to road transport undertakings transporting:
 - (a) mail as a universal service;
 - (b) goods in transit through the Union between the Kaliningrad Oblast and Russia, provided that the transport of such goods is not otherwise prohibited under this Decision.

▼M26

3. The prohibition in paragraph 1 shall not apply until 16 April 2022 to the transport of goods that started before 9 April 2022, provided that the vehicle of the road transport undertaking:

- (a) was already in the territory of the Union on 9 April 2022, or
- (b) needs to transit through the Union in order to return to Russia.

▼M36

3a. The prohibition in paragraph 1a shall not apply until 30 June 2023 to the transport of goods that started before 24 June 2023, provided that the trailer or semi-trailer:

- (a) was already in the territory of the Union on 24 June 2023; or
- (b) needs to transit through the Union in order to be returned to Russia.

4. By way of derogation from paragraphs 1 and 1a, the competent authorities of a Member State may authorise the transport of goods by a road transport undertaking established in Russia or any road transport undertaking when the goods are carried out by means of trailers or semi-trailers registered in Russia, including if those trailers or semi-trailers are hauled by trucks registered in other countries, if the competent authorities have determined that such transport is necessary for:

▼M27

(a) unless prohibited under Article 4o or 4p, the purchase, import or transport into the Union of natural gas and oil, including refined petroleum products, as well as titanium, aluminium, copper, nickel, palladium and iron ore;

▼M26

(b) the purchase, import or transport of pharmaceutical, medical, agricultural and food products, including wheat and fertilisers whose import, purchase and transport is allowed under this Decision;

(c) humanitarian purposes;

▼M27

(d) the functioning of diplomatic and consular representations in Russia, including delegations, embassies and missions, or international organisations in Russia enjoying immunities in accordance with international law; or

▼M26

(e) the transfer or export to Russia of cultural goods which are on loan in the context of formal cultural cooperation with Russia.

5. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraph 4 within two weeks of the authorisation.

▼M27*Article 4o***▼M34**

1. It shall be prohibited to purchase, import or transfer, directly or indirectly, crude oil or petroleum products, as listed in Annex XIII to this Decision, if they originate in Russia or are exported from Russia.

▼M27

2. It shall be prohibited to provide, directly or indirectly, technical assistance, brokering services, financing or financial assistance or any other services related to the prohibition in paragraph 1.

3. The prohibitions in paragraphs 1 and 2 shall not apply:

- (a) until 5 December 2022, to one-off transactions for near-term delivery, concluded and executed before that date, or to the execution of contracts for the purchase, import or transfer of crude oil, concluded before 4 June 2022, or of ancillary contracts necessary for the execution of such contracts, provided that those contracts have been notified by the relevant Member States to the Commission by 24 June 2022 and that the one-off transactions for near-term delivery be notified by the relevant Member States to the Commission within 10 days of their completion;
- (b) until 5 February 2023, to one-off transactions for near-term delivery, concluded and executed before that date, or to the execution of contracts for the purchase, import or transfer of petroleum products concluded before 4 June 2022, or of ancillary contracts necessary for the execution of such contracts, provided that those contracts have been notified by the relevant Member States to the Commission by 24 June 2022 and that the one-off transactions for near-term delivery be notified by the relevant Member States to the Commission within 10 days of their completion;

▼M34

- (c) to the purchase, import or transfer of seaborne crude oil and of petroleum products, as listed in Annex XIII to this Decision, where those goods originate in a third country and are only being loaded in, departing from, or transiting through Russia, provided that both the origin and the owner of those goods are non-Russian;

▼M27

- (d) to crude oil which is delivered by pipeline from Russia into Member States, until the Council, acting by unanimity, on a proposal by the High Representative with the support of the Commission, decides that the prohibitions in paragraphs 1 and 2 shall apply.

▼M36

- 3a. The exemption in paragraph 3, point (d), shall stop applying to Germany and Poland on 23 June 2023.

▼M27

- 4. If the supply of crude oil by pipeline from Russia to a landlocked Member State is interrupted for reasons outside the control of that Member State, seaborne crude oil from Russia may be imported into that Member State, by way of an exceptional temporary derogation from paragraphs 1 and 2, until the supply is resumed or until the Council decision referred to in paragraph 3(d) applies with regard to that Member State, whichever is the earliest.

▼M34

- 5. As of 5 December 2022, and by way of derogation from paragraphs 1 and 2, the competent authorities of Bulgaria may authorise the execution until 31 December 2024 of contracts concluded before 4 June 2022, or of ancillary contracts necessary for the execution of such contracts, for the purchase, import or transfer of seaborne crude oil and of petroleum products, as listed in Annex XIII to this Decision, originating in Russia or exported from Russia.

▼M27

6. As of 5 February 2023, and by way of derogation from paragraphs 1 and 2, the competent authorities of Croatia may authorise until 31 December 2023 the purchase, import or transfer of vacuum gas oil originating in Russia or exported from Russia, provided that the following conditions are fulfilled:

- (a) no alternative supply of vacuum gas oil is available; and
- (b) Croatia has notified the Commission, at least two weeks prior to the authorisation, of the grounds on which it considers that a specific authorisation should be granted and the Commission has not objected within that time frame.

7. The goods imported following a derogation granted by a competent authority under paragraph 5 or 6 shall not be sold on to buyers located in another Member State or in a third country.

▼M32

As from 5 February 2023, it shall be prohibited to transfer or transport petroleum products falling under CN 2710 which are obtained from crude oil imported on the basis of a derogation granted by the Bulgarian competent authority under paragraph 5, to other Member States or to third countries, or to sell such petroleum products to purchasers in other Member States or in third countries.

By way of derogation from the prohibition set out in the second subparagraph, the competent authorities of Bulgaria may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export to Ukraine of certain petroleum products, which are obtained from crude oil imported under paragraph 5, after having determined that:

- (a) the products are intended for exclusive use in Ukraine;
- (b) such sale, supply, transfer or export is not meant to circumvent the prohibitions in the second subparagraph.

By way of derogation from the prohibition set out in the second subparagraph, the competent authorities of Bulgaria may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export to any third country of certain petroleum products, which are obtained from crude oil imported under paragraph 5, within the export volume quotas mentioned in Annex XXXII to Regulation (EU) No 833/2014, after having determined that:

- (a) the products cannot be stored in Bulgaria due to environmental and safety risks;

▼M32

- (b) such sale, supply, transfer or export is not meant to circumvent the prohibitions in the second subparagraph.

Bulgaria shall inform the other Member States and the Commission of any authorisations granted under the third and fourth subparagraphs within two weeks of the authorisation.

The Union shall take the necessary measures in order to determine the relevant items to be covered by the derogations set out in the third and fourth subparagraphs.

▼M27

- 8. The transfer or transport of crude oil delivered by pipeline into Member States as referred to in paragraph 3(d) to other Member States or to third countries, or its sale to purchasers in other Member States or in third countries, shall be prohibited.

All consignments and containers of such crude oil shall be clearly marked as 'REBCO: export prohibited'.

As from 5 February 2023, where crude oil has been delivered by pipeline into a Member State as referred to in paragraph 3(d), it shall be prohibited to transfer or transport petroleum products which are obtained from such crude oil to other Member States or to third countries, or to sell such petroleum products to purchasers in other Member States or in third countries.

By way of temporary derogation, the prohibitions referred to in the third subparagraph shall apply as from 5 December 2023 to the import and transfer into Czechia, and to the sale to purchasers in Czechia, of petroleum products obtained from crude oil which has been delivered by pipeline into another Member State as referred to in paragraph 3(d). If alternative supplies for such petroleum products are made available to Czechia before that date, the Council, acting by unanimity, shall adopt a decision terminating that temporary derogation. During the period until 5 December 2023, the volumes of such petroleum products imported into Czechia from other Member States shall not exceed the average volumes imported into Czechia from those other Member States over the same period during the previous five years.

▼M32

As from 5 February 2023, by way of derogation from the prohibitions referred to in the third subparagraph, the competent authorities of Hungary and Slovakia may authorise, under such conditions as they deem appropriate, the sale, supply, transfer or export to Ukraine of certain petroleum products which are obtained from crude oil imported under paragraph 3(d) after having determined that:

- (a) the products are intended for exclusive use in Ukraine;
- (b) such sale, supply, transfer or export is not meant to circumvent the prohibitions in the third subparagraph.

The Member State concerned shall inform the other Member States and the Commission of any authorisations granted under this paragraph within two weeks of the authorisation.

▼M32

The Union shall take the necessary measures in order to determine the relevant items to be covered by the derogation set out in the fifth subparagraph.

▼M34

9. The prohibitions in paragraph 1 shall not apply to purchases in Russia of crude oil or petroleum products, as listed in Annex XIII to this Decision, which are required in order to meet the essential needs of the purchaser in Russia or of humanitarian projects in Russia.

▼M27

10. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

*Article 4p***▼M34**

1. It shall be prohibited to provide, directly or indirectly, technical assistance, brokering services or financing or financial assistance, related to the trading, brokering or transport, including through ship-to-ship transfers, to third countries of crude oil or petroleum products, as listed in Annex XIII to this Decision, which originate in Russia or which have been exported from Russia.

▼M30

2. The prohibition in paragraph 1 shall not apply to the execution of contracts concluded before 4 June 2022, or of ancillary contracts necessary for the execution of such contracts, until:

- (a) 5 December 2022, for crude oil falling under CN code 2709 00;
- (b) 5 February 2023, for petroleum products falling under CN code 2710.

3. The prohibition in paragraph 1 does not apply to the payment of insurance claims after 5 December 2022, for crude oil falling under CN code 2709 00, or after 5 February 2023, for petroleum products falling under CN code 2710, on the basis of insurance contracts concluded before 4 June 2022 and provided that the insurance coverage has ceased by the relevant date.

▼M31

4. It shall be prohibited to trade, broker or transport, including through ship-to-ship transfers, to third countries crude oil falling under CN code 2709 00, as of 5 December 2022, or petroleum products falling under CN code 2710, as of 5 February 2023, as listed in ▶M34 Annex XIII to this Decision ◀, which originate in Russia or which have been exported from Russia.

5. The prohibition in paragraph 4 of this Article shall apply as from the date of entry into force of the first Council Decision amending Annex XI in accordance with point (a) of paragraph 9 of this Article.

▼M31

As from the date of entry into force of every subsequent Council Decision amending Annex XI to this Decision, the prohibitions in paragraphs 1 and 4 of this Article shall not apply, for a period of 90 days, to the transport of products listed in ▶M34 Annex XIII to this Decision ▲ which originate in Russia or which have been exported from Russia, and to the provision, directly or indirectly, of technical assistance, brokering services or financing or financial assistance, related to the transport, provided that:

- (a) the transport or the provision of technical assistance, brokering services or financing or financial assistance, related to the transport is based on a contract concluded before the date of entry into force of every subsequent Council Decision amending Annex XI to this Decision; and
- (b) the purchase price per barrel did not exceed the price laid down in Annex XI to this Decision on the date of conclusion of that contract.

▼M34

6. The prohibitions in paragraphs 1 and 4 shall not apply:

- (a) as of 5 December 2022, to crude oil falling under CN code 2709 00, and as of 5 February 2023, to petroleum products falling under CN code 2710, which originate in Russia or which have been exported from Russia, provided that the purchase price per barrel of such products does not exceed the prices laid down in Annex XI to this Decision;
- (b) to crude oil or petroleum products as listed in Annex XIII to this Decision where those goods originate in a third country and are only being loaded in, departing from or transiting through Russia, provided that both the origin and the owner of those goods are non-Russian;
- (c) to the transport, or to technical assistance, brokering services, financing or financial assistance related to such transport, of the products mentioned in Annex XII to this Decision to the third countries mentioned therein, for the duration specified in that Annex;
- (d) as of 5 December 2022, to crude oil falling under CN code 2709 00, which originates in Russia or which has been exported from Russia purchased above the price laid down in Annex XI to this Decision which is loaded onto a vessel at the port of loading prior to 5 December 2022 and unloaded at the final port of destination prior to 19 January 2023;
- (e) as of 5 February 2023, to petroleum products falling under CN code 2710, which originate in Russia or which have been exported from Russia purchased above the respective price laid down in Annex XI to this Decision which are loaded onto a vessel at the port of loading prior to 5 February 2023 and unloaded at the final port of destination prior to 1 April 2023.

▼M30

7. The prohibition in paragraph 1 shall not apply to the provision of pilot services necessary for reasons of maritime safety.

▼M31

8. In the event that, after the entry into force of a Council Decision amending Annex XI, a vessel has transported the Russian crude oil or petroleum products referred to in paragraph 4, and the operator responsible for the transport knew or had reasonable cause to suspect that such crude oil or petroleum products were purchased above the price laid down in Annex XI on the date of conclusion of the

▼M31

contract for such purchase, it shall be prohibited to provide the services referred to in paragraph 1 relating to the transport of crude oil or petroleum products that originate in Russia or are exported from Russia as referred to in paragraph 4 by that vessel for 90 days following the date of unloading of the cargo purchased above the price cap.

▼M30

9. The Council, acting by unanimity on a proposal by the High Representative with the Commission's support, shall amend:

- (a) Annex XI on the basis of the prices agreed by the Price Cap Coalition;
- (b) Annex XII on the basis of objective eligibility criteria agreed by the Price Cap Coalition to exempt specific energy projects essential for the energy security of certain third countries.

▼M31

10. The prohibitions in paragraph 1 and 4 shall not apply to the transport or provision of technical assistance, brokering services or financing or financial assistance, related to the transport necessary for the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health and safety or the environment, or as a response to natural disasters, provided that the national competent authority has been notified immediately once the event has been identified.

11. The Member States and the Commission shall inform each other of detected instances of a breach or circumvention of the prohibitions set out in this Article.

Any information provided or received in accordance with this Article shall be used for the purposes for which it was provided or received, including ensuring the effectiveness of the measure.

▼M34

12. The functioning of the price cap mechanism, including Annex XI as well as the prohibitions in paragraphs 1 and 4 of this Article, shall be reviewed by mid-March 2023 and every 2 months thereafter.

The review shall take into account the effectiveness of the measure in terms of its expected results, its implementation, international adherence to and informal alignment with the price cap mechanism, and its potential impact on the Union and its Member States. It shall respond to developments in the market, including possible turbulences.

In order to achieve the objectives of the price cap, including its ability to reduce Russia's oil revenues, the price cap shall be at least 5 % below the average market price for Russian oil and petroleum products, calculated on the basis of data provided by the International Energy Agency.

▼M36*Article 4pa*

1. By way of derogation from Articles 3, 3a, 4h and 4m, the competent authorities may authorise the sale, supply, transfer, export or transit through Russia of the goods and technology referred to in those Articles, or the provision of related technical assistance, brokering

▼M36

services or other services, or financing or financial assistance, for the operation and maintenance of the Caspian Pipeline Consortium (CPC) pipelines and associated infrastructure necessary for the transport of goods falling under CN 2709 00 originating in Kazakhstan and which are only being loaded in, departing from or transiting through Russia, under such conditions as they deem appropriate, after having determined that:

- (a) such a sale, supply, transfer, export or transit through Russia or the provision of related technical assistance, brokering services or other services, or financing and financial assistance, is necessary for the operation, essential maintenance, repair or replacement of components of the CPC pipeline and associated infrastructure;
- (b) the type of goods, technologies and assistance requested does not go beyond the type of goods and technology previously exported from, or the assistance previously provided from, the Union, a country member of the European Economic Area, Switzerland or a partner country as listed in Annex VII, to Russia for the operation, essential maintenance, repair or replacement of components of the CPC pipeline and associated infrastructure, and related assistance;
- (c) the requested volumes are commensurate with those used for the operation, essential maintenance, repair or replacement of components of the CPC pipeline and associated infrastructure; and
- (d) such goods and technology will be provided by a natural or legal person subject to Article 13 of Regulation (EU) No 833/2014 exclusively for end use in the operation, essential maintenance, repair or replacement of components of the CPC pipeline and associated infrastructure.

2. By way of derogation from Article 1k, the competent authorities may authorise the provision of auditing services, engineering services, legal advisory services, technical testing and analysis services for the operation and maintenance of the CPC pipelines and associated infrastructure necessary for the transport of goods falling under CN 2709 00 originating in Kazakhstan and which are only being loaded in, departing from or transiting through Russia after having determined that:

- (a) the provision of those services is necessary for the operation, essential maintenance, repair or replacement of components of the CPC pipeline and associated infrastructure; and
- (b) such services are provided by a natural or legal person subject to Article 13 of Regulation (EU) No 833/2014.

3. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraphs 1 and 2 within two weeks of the authorisation.

4. When granting an authorisation under paragraphs 1 and 2, the competent authority shall require the presentation of an end-user certificate and detailed regular reports indicating that no such goods, technology or services were diverted from their intended purpose during the relevant works. It may impose additional conditions, in accordance with paragraph 1.

▼M28*Article 4q*

1. It shall be prohibited to purchase, import, or transfer, directly or indirectly, gold if it originates in Russia and it has been exported from Russia into the Union or to any third country after 22 July 2022.

2. It shall be prohibited to purchase, import, or transfer, directly or indirectly, products processed in a third country incorporating the product prohibited in paragraph 1.

3. It shall be prohibited to purchase, import, or transfer, directly or indirectly, gold jewellery if it originates in Russia and it has been exported from Russia into the Union after 22 July 2022.

4. It shall be prohibited to:

(a) provide technical assistance, brokering services or other services related to the goods referred to in paragraphs 1, 2 and 3 and to the provision, manufacture, maintenance and use of those goods, directly or indirectly in relation to the prohibition in paragraphs 1, 2 and 3;

(b) provide financing or financial assistance related to the goods referred to in paragraphs 1, 2 and 3 for any purchase, import or transfer of those goods, or for the provision of related technical assistance, brokering services or other services, directly or indirectly in relation to the prohibition in paragraphs 1, 2 and 3.

5. The prohibitions in paragraphs 1, 2 and 3 shall not apply to gold which is necessary for the official purposes of diplomatic missions, consular posts or international organisations in Russia enjoying immunities in accordance with international law.

6. The prohibition in paragraph 3 shall not apply to gold jewellery for personal use of natural persons travelling to the European Union or members of their immediate families travelling with them, owned by those individuals and not intended for sale.

7. By way of derogation from paragraphs 1, 2 and 3, the competent authorities may authorise the transfer or import of cultural goods which are on loan in the context of formal cultural cooperation with Russia.

8. The Union shall take the necessary measures in order to determine the relevant items to be covered by this Article.

▼M32*Article 4r***▼M36**

1. By way of derogation from Articles 3, 3a, 4, 4c, 4d, 4g, 4j and 4m, the competent authorities may authorise the sale, supply or transfer of goods and technologies listed in Annexes II, VII, X, XI,

▼M36

XVI, XVIII, XX and XXIII to Regulation (EU) No 833/2014, as well as in Annex I to Regulation (EU) 2021/821, as well as the sale, licensing or transfer in any other way of intellectual property rights or trade secrets as well as granting rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets, related to the goods and technology mentioned above until 31 December 2023, where such sale, supply, or transfer, licensing, granting rights to access or re-use is strictly necessary for the divestment from Russia or the wind-down of business activities in Russia, provided that the following conditions are fulfilled:

▼M32

- (a) the goods and technologies are owned by a national of a Member State or by a legal person, entity or body which is incorporated or constituted under the law of a Member State or by legal persons, entities or bodies established in Russia that are owned by, or solely or jointly controlled by, a legal person, entity or body which is incorporated or constituted under the law of a Member State; and
- (b) the competent authorities deciding on requests for authorisations have no reasonable grounds to believe that the goods might be for a military end-user or have a military end-use in Russia; and
- (c) the concerned goods and technologies were physically located in Russia before the relevant prohibitions in Articles 3, 3a, 4, 4c, 4d, 4g, 4j or 4m entered into force in respect of those goods and technologies.

▼M36

- 1a. By way of derogation from Article 4, the competent authorities may authorise the sale, supply or transfer of goods and technologies listed in Annex II to Regulation (EU) No 833/2014 until 31 March 2024, where such sale, supply or transfer is strictly necessary for the divestment from a joint venture incorporated or constituted under the law of a Member State before 24 February 2022, involving a Russian legal person, entity or body, and operating a gas pipeline infrastructure between Russia and third countries.

▼M32

- 2. By way of derogation from Articles 4i and 4k, the competent authorities may authorise the import or transfer of goods listed in Annexes XVII and XXI to Regulation (EU) No 833/2014 until 30 September 2023, where such import or transfer is strictly necessary for the divestment from Russia or the wind-down of business activities in Russia, provided that the following conditions are fulfilled:

- (a) the goods are owned by a national of a Member State or by a legal person, entity or body which is incorporated or constituted under the law of a Member State or by legal persons, entities or bodies established in Russia that are owned by, or solely or jointly controlled by, a legal person, entity or body which is incorporated or constituted under the law of a Member State; and
- (b) the concerned goods were physically located in Russia before the relevant prohibitions in Articles 4i and 4k entered into force in respect of those goods.

▼M36

2a. By way of derogation from Article 1k, the competent authorities may authorise the continuation of the provision of services listed therein until 31 March 2024 where such provision of services is strictly necessary for the divestment from Russia or the wind-down of business activities in Russia, provided that the following conditions are fulfilled:

▼M35

- (a) such services are provided to and for the exclusive benefit of the legal persons, entities or bodies resulting from the divestment; and
- (b) the competent authorities deciding on requests for authorisations have no reasonable grounds to believe that the services might be provided, directly or indirectly, to the Government of Russia or a military end-user or have a military end-use in Russia.

▼M36

2b. By way of derogation from Article 1k(2), the competent authorities may authorise the provision, until 31 March 2024, of legal advisory services which are legally required for the completion of a sale or transfer of proprietary rights directly or indirectly owned by legal persons, entities or bodies established in Russia in a legal person, entity or body established in the Union.

3. The Member State concerned shall inform the other Member States and the Commission of any authorisation granted under paragraphs 1, 1a, 2, 2a or 2b within two weeks of the authorisation.

▼M35*Article 4s*

The prohibitions on providing technical assistance laid down in this Decision shall not apply to the provision of pilot services to vessels in innocent passage as defined by international law which are necessary for reasons of maritime safety.

Article 4t

1. For the purposes of the prohibitions on importing goods provided for in this Decision, goods physically in the Union may be released as provided for in Article 5, point (26), of the Union Customs Code ⁽¹⁾ by the customs authorities provided that they have been presented to customs in accordance with Article 134 of the Union Customs Code before the entry into force or applicability date of the respective import prohibition, whichever is the latest.

2. All procedural steps necessary for the release referred to in paragraphs 1 and 5 of the relevant goods pursuant to the Union Customs Code shall be allowed.

3. The customs authorities shall not allow the release of the goods if they have reasonable grounds to suspect circumvention and shall not authorise the re-export of the goods to Russia.

⁽¹⁾ Regulation (EU) No 952/2013 of the European Parliament and of the Council of 9 October 2013 laying down the Union Customs Code (OJ L 269, 10.10.2013, p. 1).

▼M35

4. Payments in relation to such goods shall be consistent with the provisions and objectives of this Decision, in particular the prohibition on purchasing, and Decision 2014/145/CFSP.

5. Goods physically in the Union and presented to customs prior to 26 February 2023 which were stopped in application of this Decision may be released by the customs authorities under the conditions provided for in paragraphs 1, 2, 3 and 4.

▼B*Article 5*

In order to maximise the impact of the measures referred to in this Decision, the Union shall encourage third States to adopt restrictive measures similar to those provided for herein.

▼M36*Article 5a*

1. It shall be prohibited to sell, supply, transfer or export, directly or indirectly, goods and technology as listed in Annex XIV, whether or not originating in the Union, to any natural or legal person, entity or body in the third country specified in that Annex.

2. It shall be prohibited to:

(a) provide technical assistance, brokering services or other services related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in the third country specified;

(b) provide financing or financial assistance related to the goods and technology referred to in paragraph 1 for any sale, supply, transfer or export of those goods and technology, or for the provision of related technical assistance, brokering services or other services, directly or indirectly to any natural or legal person, entity or body in the third country specified;

(c) sell, license or transfer in any other way intellectual property rights or trade secrets as well as grant rights to access or re-use any material or information protected by means of intellectual property rights or constituting trade secrets related to the goods and technology referred to in paragraph 1 and to the provision, manufacture, maintenance and use of those goods and technology, directly or indirectly to any natural or legal person, entity or body in the third country specified.

3. Annex XIV shall only include sensitive dual-use goods and technology, or goods and technology that might contribute to the enhancement of Russia's military, technological or industrial capacities or to the development of Russia's defence and security sector, in a way that strengthens its ability to wage war, and whose export to Russia is prohibited under this Decision and that present a high and continuous risk of being sold, supplied, transferred or exported from third countries to Russia after being sold, supplied, transferred or exported from the Union. Annex XIV shall specify, for each item of listed goods or technology, the third countries to which the sale, supply, transfer or export is prohibited.

▼M36

Annex XIV shall only include third countries that have been identified by the Council as having systematically and persistently failed to prevent the sale, supply, transfer or export to Russia of goods and technology, as listed in that Annex, exported from the Union despite the Union's prior outreach and assistance to the country in question.

4. If the sale, supply, transfer or export of goods or technology listed in Annex XIV to a natural or legal person, entity or body in Russia or for use in Russia is not prohibited under certain exemptions provided for in this Decision, their sale, supply, transfer or export to a natural or legal person, entity or body in the third country specified shall not be prohibited, provided that the same conditions applicable under this Decision for export to Russia or for use in Russia are fulfilled.

5. If the sale, supply, transfer or export of goods or technology listed in Annex XIV to a natural or legal person, entity or body in Russia or for use in Russia can be authorised by the competent authorities in accordance with this Decision, their sale, supply, transfer or export to a natural or legal person, entity or body in the third country specified may be authorised by the competent authorities under the same conditions applicable to derogations for exports to Russia or for use in Russia.

▼B*Article 6*

Actions by natural or legal persons, entities or bodies shall not give rise to liability of any kind on their part, if they did not know, and had no reasonable cause to suspect, that their actions would infringe the measures set out in this Decision.

▼M20*Article 7*

1. No claims in connection with any contract or transaction the performance of which has been affected, directly or indirectly, in whole or in part, by the measures imposed under this Decision, including claims for indemnity or any other claim of this type, such as a claim for compensation or a claim under a guarantee, in particular a claim for extension or payment of a bond, guarantee or indemnity, particularly a financial guarantee or financial indemnity, of whatever form, shall be satisfied, if they are made by:

▼M26

(a) legal persons, entities or bodies listed in the Annexes to this Decision or legal persons, entities or bodies established outside the Union whose proprietary rights are directly or indirectly owned for more than 50 % by them;

▼M20

(b) any other Russian person, entity or body; or

(c) any person, entity or body acting through or on behalf of one of the persons, entities or bodies referred to in points (a) or (b) of this paragraph.

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2. In any proceedings for the enforcement of a claim, the onus of proving that satisfying the claim is not prohibited by paragraph 1 shall be on the person seeking the enforcement of that claim.
3. This Article is without prejudice to the right of the persons, entities and bodies referred to in paragraph 1 to judicial review of the legality of the non-performance of contractual obligations in accordance with this Decision.

▼M21*Article 8*

It shall be prohibited to participate, knowingly or intentionally, in activities the object or effect of which is to circumvent the prohibitions set out in this Decision, including by acting as a substitute for the natural or legal persons, entities or bodies subject to those prohibitions, or by acting to their benefit by using any of the exceptions provided for in this Decision.

▼M20*Article 8a*

1. The Council and the High Representative of the Union for Foreign Affairs and Security Policy (the ‘High Representative’) may process personal data in order to carry out their tasks under this Decision, in particular for preparing and making amendments to this Decision and its Annexes.
2. For the purposes of this Decision, the Council and the High Representative are designated as ‘controllers’ within the meaning of point (8) of Article 3 of Regulation (EU) 2018/1725 of the European Parliament and of the Council ⁽¹⁾, in relation to the processing activities necessary to accomplish the tasks referred to in paragraph 1.

*Article 9***▼M37**

1. This Decision shall apply until 31 January 2024.

▼M20

2. This Decision shall be kept under constant review. It shall be renewed, or amended as appropriate, if the Council deems that its objectives have not been met.

▼B*Article 10*

This Decision shall enter into force on the date following that of its publication in the *Official Journal of the European Union*.

⁽¹⁾ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (OJ L 295, 21.11.2018, p. 39).

▼M20

ANNEX I

List of legal persons, entities and bodies referred to in Article 1(1)(a)

▼B

1. SBERBANK
2. VTB BANK
3. GAZPROMBANK
4. VNESHECONOMBANK (VEB)
5. ROSSELKHOZBANK

▼M20

ANNEX II

List of legal persons, entities and bodies referred to in Article 1(3)(a)

▼M1

OPK OBORONPROM

UNITED AIRCRAFT CORPORATION

URALVAGONZAVOD

▼ M20

ANNEX III

List of legal persons, entities and bodies referred to in Article 1(3)(b)

▼ M1

ROSNEFT

TRANSNEFT

GAZPROM NEFT

▼M36*ANNEX IV*

This Annex lists natural or legal persons, entities or bodies which are military end-users, form part of Russia's military-industrial complex or which have commercial or other links with or which otherwise support Russia's defence and security sector. These natural or legal persons, entities or bodies contribute to Russia's military and technological enhancement or to the development of Russia's defence and security sector. They include natural or legal persons, entities or bodies in third countries other than Russia. Their inclusion in this Annex does not entail any attribution of responsibility for their actions to the jurisdiction in which they are operating.

List of legal persons, entities and bodies referred to in Articles 3(7), 3a(7), and 3b(1)

1. JSC Sirius (Russia)
2. OJSC Stankoinstrument (Russia)
3. OAO JSC Chemcomposite (Russia)
4. JSC Kalashnikov (Russia)
5. JSC Tula Arms Plant (Russia)
6. NPK Technologii Maschinostrojenija (Russia)
7. OAO Wysokototschnye Kompleksi (Russia)
8. OAO Almaz Antey (Russia)
9. OAO NPO Bazalt (Russia)
10. Admiralty Shipyard JSC (Russia)
11. Aleksandrov Scientific Research Technological Institute NITI (Russia)
12. Argut OOO (Russia)
13. Communication center of the Ministry of Defense (Russia)
14. Federal Research Center Boreskov Institute of Catalysis (Russia)
15. Federal State Budgetary Enterprise of the Administration of the President of Russia (Russia)
16. Federal State Budgetary Enterprise Special Flight Unit Rossiya of the Administration of the President of Russia (Russia)
17. Federal State Unitary Enterprise Dukhov Automatics Research Institute (VNIIA) (Russia)
18. Foreign Intelligence Service (SVR) (Russia)
19. Forensic Center of Nizhniy Novgorod Region Main Directorate of the Ministry of Interior Affairs (Russia)
20. International Center for Quantum Optics and Quantum Technologies (the Russian Quantum Center) (Russia)
21. Irkut Corporation (Russia)
22. Irkut Research and Production Corporation Public Joint Stock Company (Russia)
23. Joint Stock Company Scientific Research Institute of Computing Machinery (Russia)
24. JSC Central Research Institute of Machine Building (JSC TsNIIMash) (Russia)
25. JSC Kazan Helicopter Plant Repair Service (Russia)

▼M36

26. JSC Shipyard Zaliv (Zaliv Shipbuilding yard) (Autonomous Republic of Crimea, illegally annexed by Russia)
27. JSC Rocket and Space Centre – Progress (Russia)
28. Kamensk-Uralsky Metallurgical Works J.S. Co. (Russia)
29. Kazan Helicopter Plant PJSC (Russia)
30. Komsomolsk-na-Amur Aviation Production Organization (KNAAPO) (Russia)
31. Ministry of Defence RF (Russia)
32. Moscow Institute of Physics and Technology (Russia)
33. NPO High Precision Systems JSC (Russia)
34. NPO Splav JSC (Russia)
35. OPK Oboronprom (Russia)
36. PJSC Beriev Aircraft Company (Russia)
37. PJSC Irkut Corporation (Russia)
38. PJSC Kazan Helicopters (Russia)
39. POLYUS Research Institute of M.F. Stelmakh Joint Stock Company (Russia)
40. Promtech-Dubna, JSC (Russia)
41. Public Joint Stock Company United Aircraft Corporation (Russia)
42. Radiotechnical and Information Systems (RTI) Concern (Russia)
43. Rapart Services LLC (Russia)
44. Rosoboronexport OJSC (ROE) (Russia)
45. Rostec (Russian Technologies State Corporation) (Russia)
46. Rostekh – Azimuth (Russia)
47. Russian Aircraft Corporation MiG (Russia)
48. Russian Helicopters JSC (Russia)
49. SP KVANT (Sovmestnoe Predpriyatie Kvantovye Tekhnologii) (Russia)
50. Sukhoi Aviation JSC (Russia)
51. Sukhoi Civil Aircraft (Russia)
52. Tactical Missiles Corporation JSC (Russia)
53. Tupolev JSC (Russia)
54. UEC-Saturn (Russia)
55. United Aircraft Corporation (Russia)
56. JSC AeroKompozit (Russia)
57. United Engine Corporation (Russia)
58. UEC-Aviadvigatel JSC (Russia)
59. United Instrument Manufacturing Corporation (Russia)
60. United Shipbuilding Corporation (Russia)

▼M36

61. JSC PO Sevmash (Russia)
62. Krasnoye Sormovo Shipyard (Russia)
63. Severnaya Shipyard (Russia)
64. Shipyard Yantar (Russia)
65. UralVagonZavod (Russia)
66. Baikal Electronics (Russia)
67. Center for Technological Competencies in Radiophotonics (Russia)
68. Central Research and Development Institute Tsiklon (Russia)
69. Crocus Nano Electronics (Russia)
70. Dalzavod Ship-Repair Center (Russia)
71. Elara (Russia)
72. Electronic Computing and Information Systems (Russia)
73. ELPROM (Russia)
74. Engineering Center Ltd. (Russia)
75. Forss Technology Ltd. (Russia)
76. Integral SPB (Russia)
77. JSC Element (Russia)
78. JSC Pella-Mash (Russia)
79. JSC Shipyard Vympel (Russia)
80. Kranark LLC (Russia)
81. Lev Anatolyevich Yershov (Ershov) (Russia)
82. LLC Center (Russia)
83. MCST Lebedev (Russia)
84. Miass Machine-Building Factory (Russia)
85. Microelectronic Research and Development Center Novosibirsk (Russia)
86. MPI VOLNA (Russia)
87. N.A. Dollezhal Order of Lenin Research and Design Institute of Power Engineering (Russia)
88. Nerpa Shipyard (Russia)
89. NM-Tekh (Russia)
90. Novorossiysk Shipyard JSC (Russia)
91. NPO Electronic Systems (Russia)
92. NPP Istok (Russia)
93. NTC Metrotek (Russia)
94. OAO GosNIIkhimanalit (Russia)

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95. OAO Svetlovskoye Predpriyatiye Era (Russia)
96. OJSC TSRY (Russia)
97. OOO Elkomtekh (Elkomtex) (Russia)
98. OOO Planar (Russia)
99. OOO Sertal (Russia)
100. Photon Pro LLC (Russia)
101. PJSC Zvezda (Russia)
102. Amur Shipbuilding Factory PJSC (Russia)
103. AO Center of Shipbuilding and Ship Repairing JSC (Russia)
104. AO Kronshtadt (Russia)
105. Avant Space LLC (Russia)
106. Production Association Strela (Russia)
107. Radioavtomatika (Russia)
108. Research Center Module (Russia)
109. Robin Trade Limited (Russia)
110. R.Ye. Alekseyev Central Design Bureau for Hydrofoil Ships (Russia)
111. Rubin Sever Design Bureau (Russia)
112. Russian Space Systems (Russia)
113. Rybinsk Shipyard Engineering (Russia)
114. Scientific Research Institute of Applied Chemistry (Russia)
115. Scientific-Research Institute of Electronics (Russia)
116. Scientific Research Institute of Hypersonic Systems (Russia)
117. Scientific Research Institute NII Submikron (Russia)
118. Sergey IONOV (Russia)
119. Serniya Engineering (Russia)
120. Severnaya Verf Shipbuilding Factory (Russia)
121. Ship Maintenance Center Zvezdochka (Russia)
122. State Governmental Scientific Testing Area of Aircraft Systems (GkNIPAS) (Russia)
123. State Machine Building Design Bureau Raduga Bereznya (Russia)
124. State Scientific Center AO GNTs RF—FEI A.I. Leypunskiy Physico-Energy Institute (Russia)
125. State Scientific Research Institute of Machine Building Bakhirev (GosNIImash) (Russia)
126. Tomsk Microwave and Photonic Integrated Circuits and Modules Collective Design Center (Russia)

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127. UAB Pella-Fjord (Russia)
128. United Shipbuilding Corporation JSC '35th Shipyard' (Russia)
129. United Shipbuilding Corporation JSC 'Astrakhan Shipyard' (Russia)
130. United Shipbuilding Corporation JSC 'Aysberg Central Design Bureau' (Russia)
131. United Shipbuilding Corporation JSC 'Baltic Shipbuilding Factory' (Russia)
132. United Shipbuilding Corporation JSC 'Krasnoye Sormovo Plant OJSC' (Russia)
133. United Shipbuilding Corporation JSC SC 'Zvyozdochka' (Russia)
134. United Shipbuilding Corporation 'Pribaltic Shipbuilding Factory Yantar' (Russia)
135. United Shipbuilding Corporation 'Scientific Research Design Technological Bureau Onega' (Russia)
136. United Shipbuilding Corporation 'Sredne-Nevsky Shipyard' (Russia)
137. Ural Scientific Research Institute for Composite Materials (Russia)
138. Urals Project Design Bureau Detal (Russia)
139. Vega Pilot Plant (Russia)
140. Vertikal LLC(Russia)
141. Vladislav Vladimirovich Fedorenko (Russia)
142. VTK Ltd (Russia)
143. Yaroslavl Shipbuilding Factory (Russia)
144. ZAO Elmiks-VS (Russia)
145. ZAO Sparta (Russia)
146. ZAO Svyaz Inzhiniring (Russia)
147. 46th TSNII Central Scientific Research Institute (Russia)
148. Alagir Resistor Factory (Russia)
149. All-Russian Research Institute of Optical and Physical Measurements (Russia)
150. All-Russian Scientific-Research Institute Etalon JSC (Russia)
151. Almaz JSC (Russia)
152. Arzam Scientific Production Enterprise Temp Avia (Russia)
153. Automated Procurement System for State Defense Orders, LLC (Russia)
154. Dolgoprudniy Design Bureau of Automatics (DDBA JSC) (Russia)
155. Electronic Computing Technology Scientific-Research Center JSC (Russia)
156. Electrosignal JSC (Russia)
157. Energiya JSC (Russia)
158. Engineering Center Moselectronproekt (Russia)
159. Etalon Scientific and Production Association (Russia)
160. Evgeny Krayushin (Russia)

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161. Foreign Trade Association Mashpriborintorg (Russia)
162. Ineko LLC (Russia)
163. Informakustika JSC (Russia)
164. Institute of High Energy Physics (Russia)
165. Institute of Theoretical and Experimental Physics (Russia)
166. Inteltech PJSC (Russia)
167. ISE SO RAN Institute of High-Current Electronics (Russia)
168. Kaluga Scientific-Research Institute of Telemechanical Devices JSC (Russia)
169. Kulon Scientific-Research Institute JSC (Russia)
170. Lutch Design Office JSC (Russia)
171. Meteor Plant JSC (Russia)
172. Moscow Communications Research Institute JSC (Russia)
173. Moscow Order of the Red Banner of Labor Research Radio Engineering Institute JSC (Russia)
174. NPO Elektromechaniki JSC (Russia)
175. Omsk Production Union Irtysh JSC (Russia)
176. Omsk Scientific-Research Institute of Instrument Engineering JSC (Russia)
177. Optron, JSC (Russia)
178. Pella Shipyard OJSC (Russia)
179. Polyot Chelyabinsk Radio Plant JSC (Russia)
180. Pskov Distance Communications Equipment Plant (Russia)
181. Radiozavod JSC (Russia)
182. Razryad JSC (Russia)
183. Research Production Association Mars (Russia)
184. Ryazan Radio-Plant (Russia)
185. Scientific Production Center Vigstar JSC (Russia)
186. Scientific Production Enterprise ‘Radiosviaz’ (Russia)
187. Scientific Research Institute Ferrite-Domen (Russia)
188. Scientific Research Institute of Communication Management Systems (Russia)
189. Scientific-Production Association and Scientific-Research Institute of Radio- Components (Russia)
190. Scientific-Production Enterprise ‘Kant’ (Russia)
191. Scientific-Production Enterprise ‘Svyaz’ (Russia)
192. Scientific-Production Enterprise Almaz JSC (Russia)

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193. Scientific-Production Enterprise Salyut JSC (Russia)
194. Scientific-Production Enterprise Volna (Russia)
195. Scientific-Production Enterprise Vostok JSC (Russia)
196. Scientific-Research Institute ‘Argon’ (Russia)
197. Scientific-Research Institute and Factory Platan (Russia)
198. Scientific-Research Institute of Automated Systems and Communications Complexes Neptune JSC (Russia)
199. Special Design and Technical Bureau for Relay Technology (Russia)
200. Special Design Bureau Salute JSC (Russia)
201. Tactical Missile Company, Joint Stock Company ‘Salute’ (Russia)
202. Tactical Missile Company, Joint Stock Company ‘State Machine Building Design Bureau ‘Vympel’ By Name I.I.Toropov’ (Russia)
203. Tactical Missile Company, Joint Stock Company ‘URALELEMENT’ (Russia)
204. Tactical Missile Company, Joint Stock Company ‘Plant Dagdiesel’ (Russia)
205. Tactical Missile Company, Joint Stock Company ‘Scientific Research Institute of Marine Heat Engineering’ (Russia)
206. Tactical Missile Company, Joint Stock Company PA Strela (Russia)
207. Tactical Missile Company, Joint Stock Company Plant Kulakov (Russia)
208. Tactical Missile Company, Joint Stock Company Ravenstvo (Russia)
209. Tactical Missile Company, Joint Stock Company Ravenstvo-service (Russia)
210. Tactical Missile Company, Joint Stock Company Saratov Radio Instrument Plant (Russia)
211. Tactical Missile Company, Joint Stock Company Severny Press (Russia)
212. Tactical Missile Company, Joint-Stock Company ‘Research Center for Automated Design’ (Russia)
213. Tactical Missile Company, KB Mashinostroeniya (Russia)
214. Tactical Missile Company, NPO Electromechanics (Russia)
215. Tactical Missile Company, NPO Lightning (Russia)

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216. Tactical Missile Company, Petrovsky Electromechanical Plant ‘Molot’ (Russia)

217. Tactical Missile Company, PJSC ‘MBDB ‘ISKRA’ ’ (Russia)

218. Tactical Missile Company, PJSC ANPP Temp Avia (Russia)

219. Tactical Missile Company, Raduga Design Bureau (Russia)

220. Tactical Missile Corporation, ‘Central Design Bureau of Automation’ (Russia)

221. Tactical Missile Corporation, 711 Aircraft Repair Plant (Russia)

222. Tactical Missile Corporation, AO GNPP ‘Region’ (Russia)

223. Tactical Missile Corporation, AO TMKB ‘Soyuz’ (Russia)

224. Tactical Missile Corporation, Azov Optical and Mechanical Plant (Russia)

225. Tactical Missile Corporation, Concern ‘MPO – Gidropribor’ (Russia)

226. Tactical Missile Corporation, Joint Stock Company ‘KRASNY GIDRO-PRESS’ (Russia)

227. Tactical Missile Corporation, Joint Stock Company Avangard (Russia)

228. Tactical Missile Corporation, Joint Stock Company Concern Granit-Electron (Russia)

229. Tactical Missile Corporation, Joint Stock Company Elektrotyaga (Russia)

230. Tactical Missile Corporation, Joint Stock Company GosNIIMash (Russia)

231. Tactical Missile Corporation, RKB Globus (Russia)

232. Tactical Missile Corporation, Smolensk Aviation Plant (Russia)

233. Tactical Missile Corporation, TRV Engineering (Russia)

234. Tactical Missile Corporation, Ural Design Bureau ‘Detal’ (Russia)

235. Tactical Missile Corporation, Zvezda-Strela Limited Liability Company (Russia)

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236. Tambov Plant (TZ) ‘October’ (Russia)
237. United Shipbuilding Corporation ‘Production Association Northern Machine Building Enterprise’ (Russia)
238. United Shipbuilding Corporation ‘5th Shipyard’ (Russia)
239. Federal Center for Dual-Use Technology (FTsDT) Soyuz (Russia)
240. Turayev Machine Building Design Bureau Soyuz (Russia)
241. Zhukovskiy Central Aerohydrodynamics Institute (TsAGI) (Russia)
242. Rosatomflot (Russia)
243. Lyulki Experimental-Design Bureau (Russia)
244. Lyulki Science and Technology Center (Russia)
245. AO Aviaagregat (Russia)
246. Central Aerohydrodynamic Institute (TsAGI) (Russia)
247. Closed Joint Stock Company Turborus (Turborus) (Russia)
248. Federal Autonomous Institution Central Institute of Engine-Building N.A. P.I. Baranov; Central Institute of Aviation Motors (CIAM) (Russia)
249. Federal State Budgetary Institution National Research Center Institute N.A. N.E. Zhukovsky (Zhukovsky National Research Institute) (Russia)
250. Federal State Unitary Enterprise ‘State Scientific-Research Institute for Aviation Systems’ (GosNIAS) (Russia)
251. Joint Stock Company 123 Aviation Repair Plant (123 ARZ) (Russia)
252. Joint Stock Company 218 Aviation Repair Plant (218 ARZ) (Russia)
253. Joint Stock Company 360 Aviation Repair Plant (360 ARZ) (Russia)
254. Joint Stock Company 514 Aviation Repair Plant (514 ARZ) (Russia)

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255. Joint Stock Company 766 UPTK (Russia)
256. Joint Stock Company Aramil Aviation Repair Plant (AARZ) (Russia)
257. Joint Stock Company Aviaremont (Aviaremont) (Russia)
258. Joint Stock Company Flight Research Institute N.A. M.M. Gromov (FRI Gromov) (Russia)
259. Joint Stock Company Metallist Samara (Metallist Samara) (Russia)
260. Joint Stock Company Moscow Machine-Building Enterprise named after V. V. Chernyshev (MMP V.V. Chernyshev) (Russia)
261. JSC NII Steel (Russia)
262. Joint Stock Company Remdizel (Russia)
263. Joint Stock Company Special Industrial and Technical Base Zvezdochka (SPTB Zvezdochka) (Russia)
264. Joint Stock Company STAR (Russia)
265. Joint Stock Company Votkinsk Machine Building Plant (Russia)
266. Joint Stock Company Yaroslav Radio Factory (Russia)
267. Joint Stock Company Zlatoustovsky Machine Building Plant (JSC Zlatmash) (Russia)
268. Limited Liability Company Center for Specialized Production OSK Propulsion (OSK Propulsion) (Russia)
269. Lytkarino Machine-Building Plant (Russia)
270. Moscow Aviation Institute (Russia)
271. Moscow Institute of Thermal Technology (Russia)
272. Omsk Motor-Manufacturing Design Bureau (Russia)
273. Open Joint Stock Company 170 Flight Support Equipment Repair Plant (170 RZ SOP) (Russia)
274. Open Joint Stock Company 20 Aviation Repair Plant (20 ARZ) (Russia)
275. Open Joint Stock Company 275 Aviation Repair Plant (275 ARZ) (Russia)
276. Open Joint Stock Company 308 Aviation Repair Plant (308 ARZ) (Russia)
277. Open Joint Stock Company 32 Repair Plant of Flight Support Equipment (32 RZ SOP) (Russia)
278. Open Joint Stock Company 322 Aviation Repair Plant (322 ARZ) (Russia)
279. Open Joint Stock Company 325 Aviation Repair Plant (325 ARZ) (Russia)
280. Open Joint Stock Company 680 Aircraft Repair Plant (680 ARZ) (Russia)
281. Open Joint Stock Company 720 Special Flight Support Equipment Repair Plant (720 RZ SOP) (Russia)
282. Open Joint Stock Company Volgograd Radio-Technical Equipment Plant (VZ RTO) (Russia)
283. Public Joint Stock Company Agregat (PJSC Agregat) (Russia)
284. Salute Gas Turbine Research and Production Center (Russia)

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285. Scientific-Production Association Vint of Zvezdochka Shipyard (SPU Vint) (Russia)

286. Scientific Research Institute of Applied Acoustics (NIIPA) (Russia)

287. Siberian Scientific-Research Institute of Aviation N.A. S.A. Chaplygin (SibNIA) (Russia)

288. Software Research Institute (Russia)

289. Subsidiary Sevastopol Naval Plant of Zvezdochka Shipyard (Sevastopol Naval Plant) (City of Sevastopol, illegally annexed by Russia)

290. Tula Arms Plant (Russia)

291. Russian Institute of Radio Navigation and Time (Russia)

292. Federal Technical Regulation and Metrology Agency (Rosstandart) (Russia)

293. Federal State Budgetary Institution of Science P.I. K.A. Valiev RAS of the Ministry of Science and Higher Education of Russia (FTIAN) (Russia)

294. Federal State Unitary Enterprise All-Russian Research Institute of Physical, Technical and Radio Engineering Measurements (VNIIFTRI) (Russia)

295. Institute of Physics Named After P.N. Lebedev of the Russian Academy of Sciences (LPI) (Russia)

296. The Institute of Solid-State Physics of the Russian Academy of Sciences (ISSP) (Russia)

297. Rzhanov Institute of Semiconductor Physics, Siberian Branch of Russian Academy of Sciences (IPP SB RAS) (Russia)

298. UEC-Perm Engines, JSC (Russia)

299. Ural Works of Civil Aviation, JSC (Russia)

300. Central Design Bureau for Marine Engineering ‘Rubin’, JSC (Russia)

301. ‘Aeropribor-Voskhod’, JSC (Russia)

302. Aerospace Equipment Corporation, JSC (Russia)

303. Central Research Institute of Automation and Hydraulics (CNIIAG), JSC (Russia)

304. Aerospace Systems Design Bureau, JSC (Russia)

305. Afanasyev Technomac, JSC (Russia)

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306. Ak Bars Shipbuilding Corporation, CJSC (Russia)
307. AGAT, Gavrilov-Yaminskiy Machine-Building Plant, JSC (Russia)
308. Almaz Central Marine Design Bureau, JSC (Russia)
309. Joint Stock Company Eleron (Russia)
310. AO Rubin (Russia)
311. Branch of AO Company Sukhoi Yuri Gagarin Komsomolsk-on-Amur Aircraft Plant (Russia)
312. Branch of PAO II – Aviastar (Russia)
313. Branch of RSK MiG Nizhny Novgorod Aircraft-Construction Plant Sokol (Russia)
314. Chkalov Novosibirsk Aviation Plant (Russia)
315. Joint Stock Company All-Russian Scientific-Research Institute Gradient (Russia)
316. Joint Stock Company Almatyevsk Radiopribor Plant (JSC AZRP) (Russia)
317. Joint Stock Company Experimental-Design Bureau Elektroavtomatika in the name of P.A. Efimov (Russia)
318. Joint Stock Company Industrial Controls Design Bureau (Russia)
319. Joint Stock Company Kazan Instrument-Engineering and Design Bureau (Russia)
320. Joint Stock Company Microtechnology (Russia)
321. Phasotron Scientific-Research Institute of Radio-Engineering (Russia)
322. Joint Stock Company Radiopribor (Russia)
323. Joint Stock Company Ramensk Instrument-Engineering Bureau (Russia)
324. Joint Stock Company Research and Production Center SAPSAN (Russia)
325. Joint Stock Company Rychag (Russia)
326. Joint Stock Company Scientific Production Enterprise Izmeritel (Russia)
327. Joint Stock Company Scientific-Production Union for Radioelectronics named after V.I. Shimko (Russia)
328. Joint Stock Company Taganrog Communications Scientific-Research Institute (Russia)

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329. Joint Stock Company Urals Instrument-Engineering Plant (Russia)
330. Joint Stock Company Vzlet Engineering Testing Support (Russia)
331. Joint Stock Company Zhiguli Radio Plant (Russia)
332. Joint Stock Company Bryansk Electromechanical Plant (Russia)
333. Public Joint Stock Company Moscow Institute of Electro-Mechanics and Automation (Russia)
334. Public Joint Stock Company Stavropol Radio Plant Signal (Russia)
335. Public Joint Stock Company Techpribor (Russia)
336. Joint Stock Company Ramensky Instrument-Engineering Plant (Russia)
337. V.V. Tarasov Avia Avtomatika (Russia)
338. Design Bureau of Chemical Machine Building KBKhM (Russia)
339. Far Eastern Shipbuilding and Ship Repair Center (Russia)
340. Ilyushin Aviation Complex Branch: Myasishcheva Experimental Mechanical Engineering Plant (Russia)
341. Institute of Marine Technology Problems Far East Branch Russian Academy of Sciences (Russia)
342. Irkutsk Aviation Plant (Russia)
343. Joint Stock Company Aerocomposit Ulyanovsk Plant (Russia)
344. Joint Stock Company Experimental Design Bureau named after A.S. Yakovlev (Russia)
345. Joint Stock Company Federal Research and Production Center Altai (Russia)
346. Joint Stock Company 'Head Special Design Bureau Prozhektor' (Russia)
347. Joint Stock Company Ilyushin Aviation Complex (Russia)
348. Joint Stock Company Lazurit Central Design Bureau (Russia)
349. Joint Stock Company Research and Development Enterprise Protek (Russia)
350. Joint Stock Company SPMDB Malachite (Russia)
351. Joint Stock Company Votkinsky Zavod (Russia)

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- 352. Kalyazinsky Machine Building Factory – Branch of RSK MiG (Russia)
- 353. Main Directorate of Deep-Sea Research of the Ministry of Defense of the Russian Federation (Russia)
- 354. NPP Start (Russia)
- 355. OAO Radiofizika (Russia)
- 356. P.A. Voronin Lukhovitsk Aviation Plant, branch of RSK MiG (Russia)
- 357. Public Joint Stock Company Bryansk Special Design Bureau (Russia)
- 358. Public Joint Stock Company Voronezh Joint Stock Aircraft Company (Russia)
- 359. Radio Technical Institute named after A. L. Mints (Russia)
- 360. Russian Federal Nuclear Center – All-Russian Research Institute of Experimental Physics (Russia)
- 361. Shvabe JSC (Russia)
- 362. Special Technological Center LLC (Russia)
- 363. St. Petersburg Marine Bureau of Machine Building Malakhit (Russia)
- 364. St. Petersburg Naval Design Bureau Almaz (Russia)
- 365. St. Petersburg Shipbuilding Institution Krylov 45 (Russia)
- 366. Strategic Control Posts Corporation (Russia)
- 367. V.A. Trapeznikov Institute of Control Sciences of Russian Academy of Sciences (Russia)
- 368. Vladimir Design Bureau for Radio Communications OJSC (Russia)
- 369. Voentelecom JSC (Russia)
- 370. A.A. Kharkevich Institute for Information Transmission Problems (IITP), Russian Academy of Sciences (RAS) (Russia)
- 371. Ak Bars Holding (Russia)
- 372. Special Research Bureau for Automation of Marine Researches Far East Branch Russian Academy of Sciences (Russia)
- 373. Systems of Biological Synthesis LLC (Russia)
- 374. Borisfen, JSC (Russia)
- 375. Barnaul cartridge plant, JSC (Russia)
- 376. Concern Avrora Scientific and Production Association, JSC (Russia)
- 377. Bryansk Automobile Plant, JSC (Russia)
- 378. Burevestnik Central Research Institute, JSC (Russia)

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379. Research Institute of Space Instrumentation, JSC (Russia)
380. Arsenal Machine-building plant, OJSC (Russia)
381. Central Design Bureau of Automatics, JSC (Russia)
382. Zelenodolsk Design Bureau, JSC (Russia)
383. Zavod Elecon, JSC (Russia)
384. VMP ‘Avitec’, JSC (Russia)
385. JSC V. Tikhomirov Scientific Research Institute of Instrument Design (Russia)
386. Tulatochmash, JSC (Russia)
387. PJSC ‘I.S. Brook’ INEUM (Russia)
388. SPE ‘Krasnoznamenets’, JSC (Russia)
389. SPA Pribor named after S.S. Golembiovsky, SC (Russia)
390. SPA ‘Impuls’, JSC (Russia)
391. RusBITech (Russia)
392. ROTOR 43 (Russia)
393. Rostov optical and mechanical plant, PJSC (Russia)
394. RATEP, JSC (Russia)
395. PLAZ (Russia)
396. OKB ‘Technika’ (Russia)
397. Ocean Chips (Russia)
398. Nudelman Precision Engineering Design Bureau (Russia)
399. Angstrem JSC (Russia)
400. NPCAP (Russia)
401. Novosibirsk Plant of Artificial Fibre (Russia)
402. Novosibirsk Cartridge Plant, JSC (SIBFIRE) (Russia)
403. Novator DB (Russia)
404. NIMI named after V.V. BAHIREV, JSC (Russia)
405. NII Stali JSC (Russia)
406. Nevskoe Design Bureau, JSC (Russia)
407. Neva Electronica JSC (Russia)
408. ENICS (Russia)
409. The JSC Makeyev Design Bureau (Russia)
410. KURGANPRIBOR, JSC (Russia)
411. Ural Optical-Mechanical Plant E.S. Yalamova, JSC (Russia)
412. Ramenskoye Engineering Design Office, JSC (Russia)

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- 413. Vologda Optical and Mechanical Plant, JSC (Russia)
- 414. Videoglas Project (Russia)
- 415. Innovative Underwater Technologies, LLC (Russia)
- 416. Ulyanovsk Mechanical Plant (Russia)
- 417. All-Russian Research Institute of Radio Engineering (Russia)
- 418. PJSC ‘Scientific and Production Association ‘Almaz’ named after Academician A.A. Raspletin’ (Russia)
- 419. Concern OJSC - KIZLYAR ELECTRO-MECHANICAL PLANT (Russia)
- 420. Concern Oceanpribor, JSC (Russia)
- 421. JSC Zelenogradsky Nanotechnology Center (Russia)
- 422. JSC Elektronstandart Pribor (Russia)
- 423. JSC ‘Urals Optical-Mechanical Plant named after Mr E.S Yalamov’ (Russia)
- 424. Ramenskoye Instrument-Making Design Bureau, JSC (Russia)
- 425. Special Technology Centre Limited Liability Company (Russia)
- 426. Vest Ost Limited Liability (Russia)
- 427. Trade-Component LLC (Russia)
- 428. Radiant Electronic Components JSC (Russia)
- 429. JSC ICC Milandr (Russia)
- 430. SMT iLogic LLC (Russia)
- 431. Device Consulting (Russia)
- 432. Concern Radio-Electronic Technologies (Russia)
- 433. Technodinamika, JSC (Russia)
- 434. OOO ‘UNITEK’ (Russia)
- 435. Closed Joint Stock Company TPK LINKOS (Russia)
- 436. Closed Joint Stock Company TPK LINKOS, SUBDIVISION IN ASTRAKHAN (Russia)
- 437. Design and Manufacturing of Aircraft Engines (DAMA) (Iran)
- 438. Islamic Revolutionary Guard Corps Aerospace Force (Iran)
- 439. Islamic Revolutionary Guard Corps Research and Self-Sufficiency Jihad Organization (IRGC SSJO) (Iran)
- 440. Oje Parvaz Mado Nafar Company (Mado) (Iran)
- 441. Paravar Pars Company (Iran)
- 442. Qods Aviation Industries (Iran)
- 443. Shahed Aviation Industries (Iran)
- 444. Concern Morinformsystem-Agat (Russia)
- 445. AO Papilon (Russia)
- 446. IT-Papillon OOO (Russia)
- 447. OOO Adis (Russia)

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448. Papilon Systems Limited Liability Company (Russia)
449. Advanced Research Foundation (Russia)
450. Federal Service for Military-Technical Cooperation (Russia)
451. Federal State Budgetary Scientific Institution Research and Production Complex Technology Center (Russia)
452. Federal State Institution Federal Scientific Center Scientific Research Institute for System Analysis of the Russian Academy of Sciences (Russia)
453. Joint Stock Company All-Russian Research Institute Signal (Russia)
454. Joint Stock Company Center of Research and Technology Services Dinamika (Russia)
455. Joint Stock Company Concern Avtomatika (Russia)
456. Joint Stock Company Corporation Moscow Institute of Heat Technology (Russia)
457. Joint Stock Company Design Center Soyuz (Russia)
458. Joint Stock Company Design Technology Center Elektronika (Russia)
459. Joint Stock Company Institute for Scientific Research Microelectronic Equipment Progress (Russia)
460. Joint Stock Company Machine-Building Engineering Office Fakel Named After Akademika P.D. Grushina (Russia)
461. Joint Stock Company Moscow Institute of Electromechanics and Automatics (Russia)
462. Joint Stock Company North Western Regional Center of Almaz Antey Concern Obukhovsky Plant (Russia)
463. Joint Stock Company Obninsk Research and Production Enterprise Technologiya Named After A.G. Romashin (Russia)
464. Joint Stock Company Penza Electrotechnical Research Institute (Russia)
465. Joint Stock Company Production Association Sever (Russia)
466. Joint Stock Company Research Center ELINS (Russia)
467. Joint Stock Company Research and Production Association of Measuring Equipment (Russia)
468. Joint Stock Company Research and Production Enterprise Radar MMS (Russia)
469. Joint Stock Company Research and Production Enterprise Sapfir (Russia)
470. Joint Stock Company RT-Tekhpriemka (Russia)
471. Joint Stock Company Russian Research Institute Electronstandart (Russia)
472. Joint Stock Company Ryazan Plant of Metal Ceramic Instruments (Russia)
473. Joint Stock Company Scientific Production Enterprise Digital Solutions (Russia)

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474. Joint Stock Company Scientific Production Enterprise Kontakt (Russia)
475. Joint Stock Company Scientific Production Enterprise Topaz (Russia)
476. Joint Stock Company Scientific Research Institute Giricond (Russia)
477. Joint Stock Company Scientific Research Institute of Computer Engineering NII SVT (Russia)
478. Joint Stock Company Scientific Research Institute of Electrical Carbon Products (Russia)
479. Joint Stock Company Scientific Research Institute of Electronic and Mechanical Devices (Russia)
480. Joint Stock Company Scientific Research Institute of Electronic Engineering Materials (Russia)
481. Joint Stock Company Scientific Research Institute of Gas Discharge Devices Plasma (Russia)
482. Joint Stock Company Scientific Research Institute of Industrial Television Rast (Russia)
483. Joint Stock Company Scientific Research Institute of Precision Mechanical Engineering (Russia)
484. Joint Stock Company Special Design Bureau of Computer Engineering (Russia)
485. Joint Stock Company Special Design Bureau of Control Means (Russia)
486. Joint Stock Company Special Design Bureau Turbina (Russia)
487. Joint Stock Company State Scientific Research Institute Kristall (Russia)
488. Joint Stock Company Svetlana Semiconductors (Russia)
489. Joint Stock Company Tekhnodinamika (Russia)
490. Joint Stock Company Voronezh Semiconductor Devices Factory Assembly (Russia)
491. KAMAZ Publicly Traded Company (Russia)
492. Keldysh Institute of Applied Mathematics of the Russian Academy of Sciences (Russia)
493. Limited Liability Company Research and Production Association Radiovolna (Russia)
494. Limited Liability Company RSBGroup (Russia)
495. Mitishinskiy Scientific Research Institute of Radio Measuring Instruments (Russia)
496. Open Joint Stock Company Khabarovsk Radio Engineering Plant (Russia)
497. Open Joint Stock Company Mariyskiy Machine-Building Plant (Russia)
498. Open Joint Stock Company Scientific and Production Enterprise Pulsar (Russia)
499. Public Joint Stock Company Megafon (Russia)
500. Public Joint Stock Company Tutaev Motor Plant (Russia)

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501. Public Joint Stock Company Vympel Interstate Corporation (Russia)
502. RT-Inform Limited Liability Company (Russia)
503. Skolkovo Foundation (Russia)
504. Skolkovo Institute of Science and Technology (Russia)
505. State Flight Testing Center Named After V.P. Chkalov (Russia)
506. Joint Stock Company Research and Production Association Named After S.A. Lavochkina (Russia)
507. VMK Limited Liability Company (Russia)
508. TESTKOMPLEKT LLC (Russia)
509. Radiopriborsnab LLC (Russia)
510. CJSC Radiotekhkomplekt (Russia)
511. Asia Pacific Links Ltd. (Hong Kong, China)
512. Tordan Industry Limited (Hong Kong, China)
513. Alpha Trading Investments Limited (Hong Kong, China)
514. JSC NICEVT (Russia)
515. A-CONTRAKT (Russia)
516. JCS Izhevsk Motozavod Axion-holding (Russia)
517. Gorky Plant of Communication Equipment (GZAS) (Russia)
518. Nizhny Novgorod Research Institute of Radio Engineering (NNIIRT) (Russia)
519. Nizhегородский телевизионный завод (NITEL JSC) (Russia)
520. LLC Rezonit (Russia)
521. ZAO Promelektronika (Russia)
522. TD Promelektronika LLC (Russia)
523. Tako LLC (Armenia)
524. Art Logistics LLC (Russia)
525. GFK Logistics LLC (Russia)
526. Novastream Limited (Russia)
527. SKS Elektron Broker (Russia)
528. Trust Logistics (Russia)
529. Trust Logistics LLC (Russia)
530. Alfa Beta Creative LLC (Uzbekistan)
531. GFK Logistics Asia LLC (Uzbekistan)
532. I Jet Global DMCC (Syria)
533. I Jet Global DMCC (United Arab Emirates)
534. Success Aviation Services FZC (United Arab Emirates)
535. LLC CST (Zala Aero Group) (Russia)
536. Iran Aircraft Manufacturing Industries Corporation (HESA) (Iran)

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- 537. Closed Joint Stock Company Special Design Bureau (Russia)
- 538. Federal State Enterprise Kazan State Gunpowder Plant (Russia)
- 539. Federal State Unitary Enterprise Central Scientific Research Institute of Chemistry and Mechanics (Russia)
- 540. Federal State Unitary Enterprise Rostov-On-Don Research Institute of Radio Communications (Russia)
- 541. Informtest Firm Limited Liability Company (Russia)
- 542. Joint Stock Company 150 Aircraft Repair Plant (Russia)
- 543. Joint Stock Company 810 Aircraft Repair Plant (Russia)
- 544. Joint Stock Company Arzamas Instrument-Making Plant named after P.I. Plandin (Russia)
- 545. Joint Stock Company Concern Central Institute for Scientific Research Elektropribor (Russia)
- 546. Joint Stock Company Dux (Russia)
- 547. Joint Stock Company Eastern Shipyard (Russia)
- 548. Joint Stock Company Information Satellite Systems Named After Academician M.F. Reshetnev (Russia)
- 549. Joint Stock Company Izhevsk Electromechanical Plant Kupol (Russia)
- 550. Joint Stock Company Kazan Optical-Mechanical Plant (Russia)
- 551. Joint Stock Company Khabarovsk Shipbuilding Yard (Russia)
- 552. Joint Stock Company Machine Building Company Vityaz (Russia)
- 553. Joint Stock Company Management Company Radiostandard (Russia)
- 554. Joint Stock Company Marine Instrument Engineering Corporation (Russia)
- 555. Joint Stock Company NII Gidrosvyazi Shtil (Russia)
- 556. Joint Stock Company Nizhny Novgorod Plant of the 70th Anniversary of Victory (Russia)
- 557. Joint Stock Company Northern Production Association Arktika (Russia)
- 558. Joint Stock Company Perm Machine Building Plant (Russia)
- 559. Joint Stock Company Production Complex Akhtuba (Russia)
- 560. Joint Stock Company Project Design Bureau RIO (Russia)
- 561. Joint Stock Company Scientific Production Association Orion (Russia)
- 562. Joint Stock Company Scientific Production Association Volna Plant (Russia)
- 563. Joint Stock Company Scientific Production Center of Automatics and Instrument Building Named After Academician N.A. Pilyugin (Russia)
- 564. Joint Stock Company Scientific Production Concern Tekhmash (Russia)
- 565. Joint Stock Company Scientific Research Engineering Institute (Russia)
- 566. Joint Stock Company Scientific Research Institute of Computing Complexes Named After M.A. Kartsev (Russia)

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- 567. Joint Stock Company Scientific Technical Institute Radiosvyaz (Russia)
- 568. Joint Stock Company Taganrog Plant Priboy (Russia)
- 569. Joint Stock Company Tula Cartridge Works (Russia)
- 570. Joint Stock Company Tula Machine-Building Plant (Russia)
- 571. Joint Stock Company Ulan-Ude Aviation Plant (Russia)
- 572. Joint Stock Company Ulyanovsk Cartridge Works (Russia)
- 573. Joint Stock Company Ural Automotive Plant (Russia)
- 574. Joint Stock Company Vodtranspribor (Russia)
- 575. Joint Stock Company Zavolzhskiy Plant of Caterpillar Tractors (Russia)
- 576. Joint Stock Company Zelenodolsk Plant Named After A.M. Gorky (Russia)
- 577. Machine Building Group Limited Liability Company (Russia)
- 578. Military Industrial Company Limited Liability Company (Russia)
- 579. Open Joint Stock Company Degtyaryov Plant (Russia)
- 580. Promtekhnologiya Limited Liability Company (Russia)
- 581. Public Joint Stock Company Kurganmashzavod (Russia)
- 582. Public Joint Stock Company Motovilikha Plants (Russia)
- 583. Public Joint Stock Company Proletarsky Plant (Russia)
- 584. Public Joint Stock Company Rostvertol (Russia)
- 585. Scientific Production Association Izhevsk Unmanned Systems Limited Liability Company (Russia)
- 586. Scientific Production Enterprise Prima Limited Liability Company (Russia)
- 587. United Machine Building Group Limited Liability Company (Russia)
- 588. Volgograd Machine Building Company Limited Liability Company (Russia)
- 589. VXI-Systems Limited Liability Company (Russia)
- 590. LLC Yadro (Russia)
- 591. Perm Powder Plant (Russia)
- 592. RPA Kazan Machine Building Plant (Russia)
- 593. Proton JSC (Russia)

▼M20

ANNEX V

List of legal persons, entities and bodies referred to in Article 1(2)(a)

Alfa Bank

Bank Otkritie

Bank Rossiya

Promsvyazbank

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ANNEX VI

List of legal persons, entities and bodies referred to in Article 1(4)(a)

Almaz-Antey

Kamaz

Novorossiysk Commercial Sea Port

Rostec (Russian Technologies State Corporation)

Russian Railways

▼M24

Russian Maritime Register of Shipping

▼M20

JSC PO Sevmash

Sovcomflot

United Shipbuilding Corporation

▼M35

ANNEX VII

List of partner countries referred to in Articles 1k(7), 3(9), 4j(3) and 4m(4)

THE UNITED STATES OF AMERICA

JAPAN

UNITED KINGDOM

SOUTH KOREA

AUSTRALIA

CANADA

NEW ZEALAND

NORWAY

▼M36

SWITZERLAND

▼M27*ANNEX VIII***LIST OF LEGAL PERSONS, ENTITIES OR BODIES REFERRED TO IN
ARTICLE 1e**

Name of the legal person, entity or body	Date of application
Bank Otkritie	12 March 2022
Novikombank	12 March 2022
Promsvyazbank	12 March 2022
Bank Rossiya	12 March 2022
Sovcombank	12 March 2022
VNESHECONOMBANK (VEB)	12 March 2022
VTB BANK	12 March 2022
Sberbank	14 June 2022
Credit Bank of Moscow	14 June 2022
Joint Stock Company Russian Agricultural Bank, JSC Rosselkhozbank	14 June 2022

▼M23

ANNEX IX

**LIST OF LEGAL PERSONS, ENTITIES OR BODIES REFERRED TO IN
ARTICLE 4g**

RT – Russia Today English

RT – Russia Today UK

RT – Russia Today Germany

RT – Russia Today France

RT – Russia Today Spanish

Sputnik

▼M27

Rossiya RTR / RTR Planeta

Rossiya 24 / Russia 24

TV Centre International

▼M32

NTV/NTV Mir

Rossiya 1

REN TV

Pervyi Kanal

▼M35

RT Arabic

Sputnik Arabic

▼M36

RT Balkan

Oriental Review

Tsargrad

New Eastern Outlook

Katehon

▼M32

ANNEX X

List of Legal Persons, Entities and Bodies Referred to in Article 1AA

PART A

OPK OBORONPROM

UNITED AIRCRAFT CORPORATION

URALVAGONZAVOD

ROSNEFT

TRANSNEFT

GAZPROM NEFT

ALMAZ-ANTEY

KAMAZ

ROSTECH (RUSSIAN TECHNOLOGIES STATE CORPORATION)

JSC PO SEVMASH

SOVCOMFLOT

UNITED SHIPBUILDING CORPORATION

PART B

RUSSIAN MARITIME REGISTER of SHIPPING (RMRS)

PART C

RUSSIAN REGIONAL DEVELOPMENT BANK

▼M30

ANNEX XI

Prices referred to in Article 4p(9)(a)

▼M34

Price for crude oil

▼M31

CN Code	Description	Price per barrel (USD)	Date of application
2709 00	Petroleum oils and oils obtained from bituminous minerals, crude	60	5 December 2022

▼M34

Prices for petroleum products

CN code	Description	Premium to crude oil / Discount to crude oil	Price per barrel (USD)	Date of application
	Petroleum oils and oils obtained from bituminous minerals (other than crude) and preparations not elsewhere specified or included, containing by weight 70 % or more of petroleum oils or of oils obtained from bituminous minerals, these oils being the basic constituents of the preparations, other than those containing biodiesel and other than waste oils			
2710 12	Light oils and preparations			
2710 12 11	For undergoing a specific process	Discount to crude oil	45	5 February 2023
2710 12 15	For undergoing chemical transformation by a process other than those specified in respect of subheading 2710 12 11	Discount to crude oil	45	5 February 2023
	For other purposes Special spirits			
2710 12 21	White spirit	Discount to crude oil	45	5 February 2023

▼M34

CN code	Description	Premium to crude oil / Discount to crude oil	Price per barrel (USD)	Date of application
2710 12 25	Other	Discount to crude oil	45	5 February 2023
	Other Motor spirit			
2710 12 31	Aviation spirit	Premium to crude oil	100	5 February 2023
	Other, with a lead content Not exceeding 0,013 g per litre			
2710 12 41	With an octane number (RON) of less than 95	Premium to crude oil	100	5 February 2023
2710 12 45	With an octane number (RON) of 95 or more but less than 98	Premium to crude oil	100	5 February 2023
2710 12 49	With an octane number (RON) of 98 or more	Premium to crude oil	100	5 February 2023
2710 12 50	Exceeding 0,013 g per litre	Premium to crude oil	100	5 February 2023
2710 12 70	Spirit type jet fuel	Premium to crude oil	100	5 February 2023
2710 12 90	Other light oils	Premium to crude oil	100	5 February 2023
2710 19	Other			
	Medium oils			
2710 19 11	For undergoing a specific process	Premium to crude oil	100	5 February 2023
2710 19 15	For undergoing chemical transformation by a process other than those specified in respect of subheading 2710 19 11	Premium to crude oil	100	5 February 2023
	For other purposes Kerosene			
2710 19 21	Jet fuel	Premium to crude oil	100	5 February 2023
2710 19 25	Other	Premium to crude oil	100	5 February 2023
2710 19 29	Other	Premium to crude oil	100	5 February 2023
	Heavy oils Gas oils			
2710 19 31	For undergoing a specific process	Premium to crude oil	100	5 February 2023

▼M34

CN code	Description	Premium to crude oil / Discount to crude oil	Price per barrel (USD)	Date of application
2710 19 35	For undergoing chemical transformation by a process other than those specified in respect of subheading 2710 19 31	Premium to crude oil	100	5 February 2023
	For other purposes			
2710 19 43	With a sulphur content not exceeding 0,001 % by weight	Premium to crude oil	100	5 February 2023
2710 19 46	With a sulphur content exceeding 0,001 % by weight but not exceeding 0,002 % by weight	Premium to crude oil	100	5 February 2023
2710 19 47	With a sulphur content exceeding 0,002 % by weight but not exceeding 0,1 % by weight	Premium to crude oil	100	5 February 2023
2710 19 48	With a sulphur content exceeding 0,1 % by weight	Premium to crude oil	100	5 February 2023
	Fuel oils			
2710 19 51	For undergoing a specific process	Discount to crude oil	45	5 February 2023
2710 19 55	For undergoing chemical transformation by a process other than those specified in respect of subheading 2710 19 51	Discount to crude oil	45	5 February 2023
	For other purposes			
2710 19 62	With a sulphur content not exceeding 0,1 % by weight	Discount to crude oil	45	5 February 2023
2710 19 66	With a sulphur content exceeding 0,1 % by weight but not exceeding 0,5 % by weight	Discount to crude oil	45	5 February 2023
2710 19 67	With a sulphur content exceeding 0,5 % by weight	Discount to crude oil	45	5 February 2023
	Lubricating oils; other oils			
2710 19 71	For undergoing a specific process	Premium to crude oil	100	5 February 2023
2710 19 75	For undergoing chemical transformation by a process other than those specified in respect of subheading 2710 19 71	Discount to crude oil	45	5 February 2023
	For other purposes			
2710 19 81	Motor oils, compressor lube oils, turbine lube oils	Discount to crude oil	45	5 February 2023
2710 19 83	Hydraulic oils	Discount to crude oil	45	5 February 2023
2710 19 85	White oils, liquid paraffin	Discount to crude oil	45	5 February 2023

▼M34

CN code	Description	Premium to crude oil / Discount to crude oil	Price per barrel (USD)	Date of application
2710 19 87	Gear oils and reductor oils	Discount to crude oil	45	5 February 2023
2710 19 91	Metal-working compounds, mould-release oils, anti-corrosion oils	Discount to crude oil	45	5 February 2023
2710 19 93	Electrical insulating oils	Discount to crude oil	45	5 February 2023
2710 19 99	Other lubricating oils and other oils	Discount to crude oil	45	5 February 2023
2710 20	Petroleum oils and oils obtained from bituminous minerals (other than crude) and preparations not elsewhere specified or included, containing by weight 70 % or more of petroleum oils or of oils obtained from bituminous minerals, these oils being the basic constituents of the preparations, containing biodiesel, other than waste oils			
	Gas oils			
2710 20 11	With a sulphur content not exceeding 0,001 % by weight	Premium to crude oil	100	5 February 2023
2710 20 16	With a sulphur content exceeding 0,001 % by weight but not exceeding 0,1 % by weight	Premium to crude oil	100	5 February 2023
2710 20 19	With a sulphur content exceeding 0,1 % by weight	Premium to crude oil	100	5 February 2023
	Fuel oils			
2710 20 32	With a sulphur content not exceeding 0,5 % by weight	Discount to crude oil	45	5 February 2023
2710 20 38	With a sulphur content exceeding 0,5 % by weight	Discount to crude oil	45	5 February 2023
2710 20 90	Other oils	Discount to crude oil	45	5 February 2023
	Waste oils			
2710 91	Containing polychlorinated biphenyls (PCBs), polychlorinated terphenyls (PCTs) or polybrominated biphenyls (PBBs)	Discount to crude oil	45	5 February 2023
2710 99	Other	Discount to crude oil	45	5 February 2023

▼M36*ANNEX XII***List of projects referred to in point (b) of Article 4p(9)**

Scope of exemption	Date of application	Date of expiration
The transport by vessel to Japan, the technical assistance, brokering services, financing or financial assistance related to such transport, of crude oil falling under CN 2709 00 commingled with condensate, originating in the Sakhalin-2 (Сахалин-2) Project, located in Russia	5 December 2022	31 March 2024

▼M34

ANNEX XIII

List of crude oil and petroleum products referred to in Articles 4o and 4p

CN code	Description
ex 2709 00	Petroleum oils and oils obtained from bituminous minerals, crude other than natural gas condensates of subheading CN 2709 00 10 from liquefied natural gas production plants
2710	Petroleum oils and oils obtained from bituminous minerals, other than crude; preparations not elsewhere specified or included, containing by weight 70 % or more of petroleum oils or of oils obtained from bituminous minerals, these oils being the basic constituents of the preparations; waste oils

▼M36

ANNEX XIV

List of goods and technology and countries as referred to in Article 5a