

COMMISSION REGULATION (EC) No 1669/2003**of 1 September 2003****implementing Council Regulation (EC, Euratom) No 58/97 with regard to the series of data to be produced for structural business statistics and amending Commission Regulation (EC) No 2701/98 concerning the series of data to be produced for structural business statistics**

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC, Euratom) No 58/97 of 20 December 1996 concerning structural business statistics⁽¹⁾, as last amended by Commission Regulation (EC) No 1668/2003⁽²⁾, and in particular points (ii), (v) and (vii) of Article 12 thereof,

Whereas:

(1) Regulation (EC, Euratom) No 58/97 established a common framework for the production of Community statistics on the structure, activity, performance and competitiveness of the banking and pension-fund and sector in the Community.

(2) Commission Regulation (EC) No 2701/98⁽³⁾, as amended by Regulation (EC) No 1614/2002⁽⁴⁾, includes the series of data to be transmitted for the implementation of Regulation (EC, Euratom) No 58/97. For the amendments inserted into Regulation (EC, Euratom) No 58/97 by Regulation (EC) No 2056/2002 of the European Parliament and of the Council⁽⁵⁾ it is necessary to specify the series of data to be transmitted and the adaptations to existing series.

(3) It is necessary to specify how frequently the additional statistics on environmental expenditure need to be compiled. It is necessary to specify the first reference year for the compilation of the results laid down in Article 3 of Regulation (EC, Euratom) No 2056/2002. It is necessary to specify the breakdown of the results for the production of statistics on environmental expenditure in order to ensure that Member States provide comparable and harmonised statistical data.

(4) It is necessary to specify how the results for the production of statistics on credit institutions and pension funds must be broken down in order to ensure that Member States provide comparable and harmonised statistical data.

(5) The measures provided for in this Regulation are in accordance with the opinion of the Statistical Programme Committee,

HAS ADOPTED THIS REGULATION:

Article 1

The Annex to Regulation (EC) No 2701/98 is amended in accordance with Annex I to this Regulation.

Article 2

The breakdowns of the results and the first reference year for the compilation of the results referred to in Articles 4, 8 and 9 of Regulation (EC, Euratom) No 58/97 for the characteristics listed in section 4 of Annex 6 to that Regulation shall be as specified in the series of data in Annex II to this Regulation.

Article 3

The breakdowns of the results and the first reference year for the compilation of the results referred to in Articles 4, 8 and 9 of Regulation (EC, Euratom) No 58/97 for the characteristics listed in section 4 of Annex 7 to that Regulation shall be as specified in the series of data in Annex III to this Regulation.

Article 4

Member States shall produce the series referred to in Articles 1 and 2 of this Regulation, for the data concerning the 2001 reference year and subsequent years for the characteristics 21 12 0 and 21 14 0 of Annex 2 and the characteristics listed in section 4 of Annex 6 to Regulation (EC, Euratom) No 58/97.

(1) OJ L 14, 17.1.1997, p. 1.

(2) See page 32 of this Official Journal.

(3) OJ L 344, 18.12.1998, p. 81.

(4) OJ L 244, 12.9.2002, p. 7.

(5) OJ L 317, 21.11.2002, p. 1.

Member States shall produce the data-series referred to in Article 3 of this Regulation in respect of the data on the 2002 reference year and subsequent years for the characteristics listed in section 4 of Annex 7 to Regulation (EC, Euratom) No 58/97.

Article 5

This Regulation shall enter into force on the 20th day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 1 September 2003.

For the Commission

Pedro SOLBES MIRA

Member of the Commission

ANNEX I

The Annex to Commission Regulation (EC) No 2701/98 is amended as follows:

1. The second row of the table for the series 1A is replaced by the following row:

'First reference year	1995 except NACE rev. 1 groups 65.1 and 65.2, class 66.02 and division 67 2001 for NACE rev. 1 group 65.1 and the credit institutions classified under NACE rev. 1 class 65.22 2002 for NACE rev. 1 class 66.02'
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2. The fourth row of the table for the series 1A is replaced by the following row:

'Activity coverage	NACE rev. 1, section C-K except NACE rev. group 65.2 and division 67'
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3. The following text is added to footnote (1) of the fifth row of series 1A:

'For Group 65.1 and the credit institutions classified under Class 65.22: not to be provided'

4. The footnote (2) of the fifth row of series 1A is replaced with:

'For Group 65.1, the credit institutions classified under Class 65.22 and Division 66: not to be provided'

5. The following series is added to the summary table for Industry:

'2O Environmental protection expenditure broken down by size classes (number of persons employed)'

6. The table for the series 2B is replaced by the following table:

'Series name	Environmental protection expenditure (by environmental domains)
First reference year	2001
Frequency	Annual for characteristics 21 11 0 and 21 12 0, every three years for characteristic 21 14 0
Activity coverage	NACE rev. 1, sections C-E (except for division 37)
Characteristics	Characteristics in Annex 2, section 4, paragraph 3: 21 11 0 Investment in equipment and plant for pollution control, and special anti-pollution accessories (mainly end-of-pipe equipment) 21 12 0 Investment in equipment and plant linked to cleaner technology (integrated technology) Characteristic in Annex 2, section 4, paragraph 4: 21 14 0 Total current expenditure on environmental protection
Level of activity breakdown	NACE rev. 1: two digit level (divisions)
Level of breakdown by environmental domains	Protection of ambient air and climate, Wastewater management, Waste management, Other environmental protection activities.'

7. The following table is added:

Series 2O

'Series name	Environmental protection expenditure broken down by size classes (number of persons employed)
First reference year	2001
Frequency	For characteristics 21 11 0 and 21 12 0: annual, every three years for characteristic 21 14 0
Activity coverage	NACE rev. 1, sections C-E (except for division 37)
Characteristics	Characteristics in Annex 2, section 4, paragraph 3: 21 11 0 Investment in equipment and plant for pollution control, and special anti-pollution accessories (mainly end-of-pipe equipment) 21 12 0 Investment in equipment and plant linked to cleaner technology ("integrated technology") Characteristic in Annex 2, section 4, paragraph 4: 21 14 0 Total current expenditure on environmental protection
Level of activity breakdown	NACE rev. 1: two digit level (divisions)
Level of size class breakdown	Number of persons employed: 1-49, 50-249, 250+'

ANNEX II

SERIES OF DATA ON CREDIT INSTITUTIONS

Summary table

Series code	Title
6A	Annual enterprise statistics
6B	Annual enterprise statistics broken down by legal status
6C	Annual enterprise statistics broken down according to the country of residence of the parent enterprise
6D	Annual enterprise statistics broken down by category of credit institutions
6E	Annual enterprise statistics broken down by size class
6F	Annual enterprise statistics broken down by product
6G	Annual enterprise statistics by geographical breakdown
6H	Annual regional statistics

Annual enterprise statistics listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6A

Series name	Annual enterprise statistics
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6 section 4
	11 11 0 <i>Number of enterprises</i>
	11 21 0 <i>Number of local units</i>
	12 12 0 <i>Production value</i>
	12 15 0 <i>Value added at factor cost</i>
	13 31 0 <i>Personnel costs</i>
	13 11 0 <i>Total purchases of goods and services</i>
	15 11 0 <i>Gross investment in tangible goods</i>
	16 11 0 <i>Number of persons employed</i>
	16 11 2 <i>Number of women employed</i>
	16 13 0 <i>Number of employees</i>

Series name	Annual enterprise statistics
	16 13 6 Number of female employees 16 14 0 Number of employees in full-time equivalent units
	42 11 0 Interest receivable and similar income 42 11 1 Interest receivable and similar income arising from fixed-income securities 42 12 0 Interest payable and similar charges 42 12 1 Interest payable and similar charges linked to debt securities in issue 42 13 0 Income from securities 42 13 1 Income from shares and other variable-yield securities 42 14 0 Commissions receivable 42 15 0 Commissions payable 42 20 0 Net profit or net loss on financial operations 42 31 0 Other operating income 42 32 0 General administrative expenses 42 32 2 Other administrative expenses 42 33 0 Other operating charges 42 35 0 Value adjustments and value readjustments in respect of loans and advances and provisions for contingent liabilities and for commitments
	42 36 0 Other value adjustments and value readjustments 42 40 0 Profit or loss on ordinary activities 42 50 0 Extraordinary profit or loss 42 51 0 All taxes (tax on profit or loss on ordinary activities, tax on extraordinary profit or loss, other taxes) 42 60 0 Profit or loss for the financial year
	43 11 0 Loans and advances to customers 43 21 0 Amounts owed to customers 43 29 0 Total of capital and reserves 43 30 0 Balance sheet total
	47 13 0 Number of automatic teller machines (ATM) owned by credit institutions
Optional characteristics	Characteristics in Annex 6 section 4
	12 14 0 <i>Value added at basic prices</i>

Series name	Annual enterprise statistics
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22

Annual enterprise statistics broken down by legal status listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6B

Series name	Annual enterprise statistics broken down by legal status
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6 section 4
	11 11 1 Number of enterprises broken down by legal status
	43 32 0 Balance sheet total broken down by legal status
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Breakdown by legal status	<ol style="list-style-type: none"> 1. Incorporated enterprises limited by shares 2. Cooperative enterprises 3. Public-law enterprises 4. Branches of enterprises with head office in non-EEA countries 5. Others

Annual enterprise statistics broken down according to the country of residence of the parent enterprise listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6C

Series name	Annual enterprise statistics broken down according to the country of residence of the parent enterprise
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6 section 4
	11 11 4 Number of enterprises broken down by the residence of the parent enterprise
	43 31 0 Balance sheet total broken down according to the residence of the parent enterprise

Series name	Annual enterprise statistics broken down according to the country of residence of the parent enterprise
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Breakdown by country of residence of the parent enterprise	<ol style="list-style-type: none"> 1. Parent enterprise situated in the home Member State 2. Parent enterprise situated in other countries

Annual enterprise statistics broken down by category of credit institutions listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6D

Series name	Annual enterprise statistics broken down by category of credit institutions
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6 section 4
	<p>11 11 7 Number of enterprises broken down by category of credit institutions</p> <p>16 11 1 Number of persons employed broken down by category of credit institutions</p>
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Breakdown by category of credit institutions	<ol style="list-style-type: none"> 1. Licensed banks 2. Specialised credit granting institutions 3. Other credit institutions

Annual enterprise statistics broken down by size class listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6E

Series name	Annual enterprise statistics broken down by size class
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6 section 4
	<p>11 11 6 Number of enterprises broken down by size classes of the balance sheet total</p>

Series name	Annual enterprise statistics broken down by size class
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Level of size class breakdown	Balance sheet total at the end of the accounting year: <ol style="list-style-type: none"> 1. > EUR 99 999 million 2. EUR 10 000 to 99 999 million 3. EUR 1 000 to 9 999 million 4. EUR 100 to 999 million 5. < EUR 100 million

Annual enterprise statistics broken down by product listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6F

Series name	Annual enterprise statistics broken down by product
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Optional characteristics	Characteristics in Annex 6 section 4
	44 11 0 Interest receivable and similar income broken down by (sub)categories of the CPA 44 12 0 Interest payable and similar charges broken down by (sub)categories of the CPA 44 13 0 Commissions receivable broken down by (sub)categories of the CPA 44 14 0 Commissions payable broken down by (sub)categories of the CPA 47 11 0 Number of accounts broken down by (sub)categories of the CPA 47 12 0 Number of loans and advances to customers broken down by (sub)categories of the CPA
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Breakdown by products	CPA (sub)categories: <ul style="list-style-type: none"> 65.12.11 Inter-industry deposit taking services 65.12.12 Standardised deposit taking services 65.12.13 Non-standardised deposit taking services 65.12.21 Inter-industry credit granting services 65.12.22 Consumer credit granting services

Series name	Annual enterprise statistics broken down by product
	65.12.23 Mortgage credit granting services
	65.12.24 Commercial credit granting services
	65.12.25 Other credit granting services n.e.c.
	65.12.3 Other monetary intermediation services n.e.c.
	65.21 Financial leasing services
	65.22.1 Inter-industry credit granting services
	65.22.2 Consumer credit granting services
	65.22.3 Mortgage credit granting services
	65.22.4 Commercial credit granting services
	65.22.5 Other credit granting services n.e.c.
	65.23.1 Investment banking services
	65.23.2 Other financial intermediation services n.e.c.
	67.12.1 Security brokerage services
	67.12.2 Fund management services
	67.13 Other services auxiliary to financial intermediation n.e.c.
	67.21 Brokerage services
	67.22 Other services auxiliary to insurance and pension funding n.e.c.

Annual enterprise statistics by geographical breakdown listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6G

Series name	Annual enterprise statistics by geographical breakdown
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6 section 4
	11 41 0 Total number of branches broken down by location in non-EEA countries
	11 51 0 Total number of financial subsidiaries broken down by location in other countries
	45 11 0 Geographical breakdown of the total number of EEA branches

Series name	Annual enterprise statistics by geographical breakdown
	45 21 0 Geographical breakdown of interest receivable and similar income
	45 22 0 Geographical breakdown of balance sheet total
Optional characteristics	Characteristics in Annex 6 section 4
	45 31 0 Geographical breakdown of interest receivable and similar income via the freedom to provide services business (in other EEA countries)
	45 41 0 Geographical breakdown of interest receivable and similar income via branch business (in non-EEA countries)
	45 42 0 Geographical breakdown of interest receivable and similar income via the freedom to provide services business (in non-EEA countries)
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Geographical breakdown	<p>Geographical breakdown by EEA Member State:</p> <ol style="list-style-type: none"> 1. Belgique/België 2. Danmark 3. Deutschland 4. Ellada 5. España 6. France 7. Ireland 8. Italia 9. Luxembourg (Grand-Duché) 10. Nederland 11. Österreich 12. Portugal 13. Suomi/Finland 14. Sverige 15. United Kingdom 16. Island 17. Liechtenstein 18. Norge <p>Geographical breakdown in general:</p> <ul style="list-style-type: none"> — in the Member State of the head office — in other Member States — in other EEA countries — Schweiz/Suisse/Svizzera — USA — Japan — in other third countries (rest of the world)

Annual regional statistics listed in Annex 6 section 4 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 6H

Series name	Annual regional statistics
First reference year	2001
Frequency	Annual
Activity coverage	All credit institutions within the scope of NACE rev. 1 classes 65.12 and 65.22
Characteristics	Characteristics in Annex 6, section 4
	11 21 0 Number of local units
	16 11 0 Number of persons employed
Optional characteristics	Characteristics in Annex 6, section 4
	13 32 0 Wages and salaries
Level of activity breakdown	NACE rev. 1 classes 65.12 and 65.22
Level of regional breakdown	NUTS 1

ANNEX III

SERIES OF DATA ON PENSION FUNDS

Summary table

Series code	Title
7A	Annual enterprise statistics
7B	Annual enterprise statistics broken down by size classes
7C	Annual enterprise statistics broken down by currencies
7D	Annual enterprise statistics by geographical breakdown
7E	Annual enterprise statistics on non-autonomous pension funds

Annual enterprise statistics listed in Annex 7, section 4, paragraph 2 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 7A

Series name	Annual enterprise statistics
First reference year	2002
Frequency	Annual
Activity coverage	NACE rev. 1 class 66.02
Characteristics	Characteristics in Annex 7, section 4, paragraph 2:
	11 11 0 Number of enterprises
	12 11 0 Turnover
	48 00 1 Pension contributions receivable from members
	48 00 2 Pension contributions receivable from employers
	48 00 3 Incoming transfers
	48 00 4 Other pension contributions
	48 00 5 Pension contributions to defined benefit schemes
	48 00 6 Pension contributions to defined contribution schemes
	48 00 7 Pension contributions to hybrid schemes
	48 01 0 Investment income (PF)
	48 01 1 Capital gains and losses
	48 02 1 Insurance claims receivable
	48 02 2 Other income (PF)
	12 12 0 Production value
	12 15 0 Value added at factor cost

Series name	Annual enterprise statistics
	48 03 0 Total expenditure on pensions 48 03 1 Regular pensions payments
	48 03 2 Pension payments of lump sums 48 03 3 Outgoing transfers 48 04 0 Net change in technical provisions (reserves) 48 05 0 Insurance premiums payable 48 06 0 Total operational expenses 13 11 0 Total purchases of goods and services 13 31 0 Personnel costs 15 11 0 Gross investment in tangible goods 48 07 0 All taxes
	48 11 0 Land and buildings (PF) 48 12 0 Investments in affiliated enterprises and participating interests (PF) 48 13 0 Shares and other variable-yield securities 48 14 0 Units in undertakings for collective investment in transferable securities 48 15 0 Debt securities and other fixed-income securities 48 16 0 Participation in investment pools (PF) 48 17 0 Loans guaranteed by mortgages and other loans not covered elsewhere 48 18 0 Other investments 48 10 0 Total investments of pension funds 48 10 1 Total investments invested in 'the sponsoring enterprise' 48 10 4 Total investments at market values 48 13 1 Shares traded on a regulated market 48 13 2 Shares traded on a regulated market specialised in SME's 48 13 3 Non publicly traded shares 48 13 4 Other variable yield securities
	48 20 0 Other assets
	48 30 0 Capital and reserves 48 40 0 Net technical provisions (PF) 48 50 0 Other liabilities
	16 11 0 Number of persons employed

Series name	Annual enterprise statistics
	48 70 0 Number of members
	48 70 1 Number of members of defined benefit schemes
	48 70 2 Number of members of defined contribution schemes
	48 70 3 Number of members of hybrid schemes
	48 70 4 Number of active members
	48 70 5 Number of deferred members
	48 70 6 Number of retired persons
Optional characteristics	Characteristics in Annex 7, section 4, paragraph 2:
	11 61 0 Number of pension schemes
	12 14 0 Value added at basic prices
	48 15 1 Debt securities and other fixed-income securities issued by public administrations
	48 15 2 Other debt securities and other fixed income securities

Annual enterprise statistics broken down by size classes listed in Annex 7, section 4, paragraph 2, of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 7B

Series name	Annual enterprise statistics broken down by size classes
First reference year	2002
Frequency	Annual
Activity coverage	NACE rev. 1 class 66.02
Characteristics	Characteristics in Annex 7, section 4, paragraph 2:
	11 11 8 Number of enterprises broken down by size of investments
	11 11 9 Number of enterprises broken down by size classes of members
Level of size class breakdown	Size classes of investments: <ol style="list-style-type: none"> 1. > EUR 5 000 million 2. EUR 2 501 to 5 000 million 3. EUR 501 to 2 500 million 4. EUR 50 to 500 million 5. < EUR 50 million

Series name	Annual enterprise statistics broken down by size classes
	Size classes of members: <ol style="list-style-type: none"> 1. > 100 000 members 2. 10 001 to 100 000 members 3. 1 001 to 10 000 members 4. 101 to 1 000 members 5. 50 to 100 members 6. < 50 members

Annual enterprise statistics broken down by currencies listed in Annex 7, section 4, paragraph 2, of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 7C

Series name	Annual enterprise statistics broken down by currencies
First reference year	2002
Frequency	Annual
Activity coverage	NACE rev. 1 class 66.02
Characteristics	Characteristics in Annex 7, section 4, paragraph 2:
	48 64 0 Total investments broken down into euro and non-euro components
Breakdown by currencies	<ol style="list-style-type: none"> 1. Euro 2. Others.

Annual enterprise statistics by geographical breakdown listed in Annex 7, section 4, paragraph 2, of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 7D

Series name	Annual enterprise statistics by geographical breakdown
First reference year	2002
Frequency	Annual
Activity coverage	NACE rev. 1 class 66.02 only.
Characteristics	Characteristics in Annex 7, section 4, paragraph 2:
	48 61 0 Geographical breakdown of turnover

Series name	Annual enterprise statistics by geographical breakdown
Optional characteristics	Characteristics in Annex 7, section 4, paragraph 2:
	48 62 0 Shares and other variable-yield securities broken down by location
	48 63 0 Total investments broken down by location
Geographical breakdown	<ol style="list-style-type: none"> 1. Home country, 2. Other EU countries, 3. Other EEA countries, 4. USA and Canada, 5. Japan, 6. Rest of the world

Annual statistics on non-autonomous pension funds listed in Annex 7, section 4 paragraph 3 of Regulation (EC, Euratom) No 58/97 concerning structural business statistics

Series 7E

Series name	Annual enterprise statistics on non-autonomous pension funds
First reference year	2002
Frequency	Annual
Activity coverage	NACE rev. 1 sections C to K
Characteristics	Characteristics in Annex 7, section 4, paragraph 3:
	11 15 0 Number of enterprises with non-autonomous pension funds
Optional characteristics	Characteristics in Annex 7, section 4, paragraph 3:
	48 08 0 Turnover of non-autonomous pension funds
Level of activity breakdown	NACE rev. 1 section level