II
(Non-legislative acts)

REGULATIONS

COMMISSION REGULATION (EU) No 64/2012
of 23 January 2012
of the European Parliament and of the Council with respect to emissions from heavy duty vehicles
(Euro VI)
(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EC) No 595/2009 of the European Parliament and of the Council of 18 June 2009 on type-approval of motor vehicles and engines with respect to emissions from heavy duty vehicles (Euro VI) and on access to vehicle repair and maintenance information and amending Regulation (EC) No 715/2007 and Directive 2005/55/EC and 2005/78/EC (1), and in particular Article 4(3), Article 5(4), Article 6(2) and Article 12 thereof,


Whereas:

(1) Regulation (EC) No 595/2009 establishes common technical requirements for the type-approval of motor vehicles and replacement parts with regard to their emissions and lays down rules for in-service conformity, durability of pollution control devices, on-board diagnostic (OBD) systems, measurement of fuel consumption and accessibility of vehicle repair and maintenance information.


(3) In accordance with Article 6 of Regulation (EC) No 595/2009, Articles 6 and 7 of Regulation (EC) No 715/2007 on type approval of motor vehicles with respect to emissions from light passenger and commercial vehicles (Euro 5 and Euro 6) and on access to vehicle repair and maintenance information (4) shall apply mutatis mutandis. Therefore, it is appropriate to carry over to this Regulation the provisions on access to repair and maintenance information set out in Regulation (EC) No 715/2007 and its implementing measures. However, it is necessary to adapt those provisions in order to take into account the specificities of the heavy-duty vehicles.

(4) In particular, it is appropriate to adopt specific procedures for access to vehicle repair and maintenance information in accordance with Article 6(1) of Regulation (EC) No 595/2009 in the case of multi-stage type-approval. It is also appropriate to adopt specific requirements and procedures for access to vehicle repair and maintenance information in the case of customer adaptations and small volume production. Finally, it is appropriate to make reference to the specific standards for reprogramming developed for the heavy-duty vehicles.

(5) Application of the provisions on access to repair and maintenance information may be too burdensome for vehicle manufacturers in the short term with respect to certain systems which are carried over from old vehicle types to new vehicle types. It is therefore appropriate to introduce certain limited derogations from the general provisions on access to vehicle OBD and vehicle repair and maintenance information.

(6) Provisions on the access to OBD and vehicle repair and maintenance information for the purposes of the design and manufacture of automotive equipment for alternative fuel vehicles should be set once type-approval for such equipment becomes possible.

(7) In accordance with Council Directive 92/6/EEC of 10 February 1992 on the installation and use of speed limitation devices for certain categories of motor vehicles in the Community, speed limitation devices are to be installed by workshops or bodies approved by the Member States. In accordance with Council Regulation (EEC) No 3821/85 of 20 December 1985 on recording equipment in road transport, only approved workshops may calibrate recording equipment in motor vehicles. It is therefore appropriate to exclude the information concerning the reprogramming of control units for speed limitation devices and recording equipment from the provisions on giving access to repair and maintenance information.

(8) Regulation (EU) No 582/2011 should therefore be amended accordingly.

(9) The measures provided for in this Regulation are in accordance with the opinion of the Technical Committee — Motor Vehicles.

HAS ADOPTED THIS REGULATION:

Article 1
Regulation (EU) No 582/2011 is amended as follows:

(1) in Article 2, the following points (42), (43) and (44) are added:

'(42) “customer adaptation” means any change to a vehicle, system, component or separate technical unit made at the specific request of a customer and subject to approval;

(43) “vehicle OBD information” means information relating to an on-board diagnostic system for any electronic system on the vehicle;

(44) “carry-over system” means a system, as defined in Article 3(23) of Directive 2007/46/EC, carried over from an old type of vehicle to a new type of vehicles.'

(2) the following Articles 2a to 2h are inserted:

'Article 2a
Access to vehicle OBD and vehicle repair and maintenance information
1. Manufacturers shall put in place the necessary arrangements and procedures, in accordance with Article 6 of Regulation (EC) No 595/2009 and Annex XVII to this Regulation, to ensure that vehicle OBD and vehicle repair and maintenance information is accessible through websites using a standardised format in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers. Manufacturers shall also make training material available to independent operators and authorised dealers and repairers.

2. Approval authorities shall only grant type-approval after receiving from the manufacturer a Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information.


4. The Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information shall be drawn up in accordance with the model set out in Appendix 1 of Annex XVII.

5. The vehicle OBD and vehicle repair and maintenance information shall include the following:

(a) an unequivocal identification of the vehicle, system, component or separate technical unit for which the manufacturer is responsible;

(b) service handbooks, including service and maintenance records;

(c) technical manuals;

(d) component and diagnosis information (such as minimum and maximum theoretical values for measurements);
11. The manufacturer shall make available to interested parties the following information:

(a) relevant information to enable the development of replacement components which are critical to the correct functioning of the OBD system;

(b) information to enable the development of generic diagnostic tools.

For the purposes of point (a) of the first subparagraph, the development of replacement components shall not be restricted by any of the following:

(a) the unavailability of pertinent information;

(b) the technical requirements relating to malfunction indication strategies if the OBD thresholds are exceeded or if the OBD system is unable to fulfil the basic OBD monitoring requirements of this Regulation;

(c) specific modifications to the handling of OBD information to deal independently with vehicle operation on petrol or on gas;

(d) the type-approval of gas-fuelled vehicles that contain a limited number of minor deficiencies.

For the purposes of point (b) of the first subparagraph, where manufacturers use diagnostic and test tools in accordance with ISO 22900 Modular vehicle communication interface (MVCI) and ISO 22901 Open diagnostic data exchange (ODX) in their franchised networks, the ODX files shall be accessible to independent operators via the website of the manufacturer.

**Article 2b**

**Multi-stage type-approval**

1. In the case of multi-stage type-approval, as defined in Article 3(7) of Directive 2007/46/EC, the final manufacturer shall be responsible for providing access to vehicle OBD and vehicle repair and maintenance information regarding its own manufacturing stage(s) and the link to the previous stage(s).

In addition, the final manufacturer shall on its website provide independent operators with the following information:

(a) website address of the manufacturer(s) responsible for the previous stage(s);
(b) name and address of all the manufacturers responsible for the previous stage(s);

(c) type-approval number(s) of the previous stage(s);

(d) the engine number.

2. Each manufacturer responsible for a particular stage or stages of type-approval shall be responsible for providing through his website access to vehicle OBD and vehicle repair and maintenance information regarding the stage(s) of type-approval for which he is responsible and the link to the previous stage(s).

3. The manufacturer responsible for a particular stage or stages of type-approval shall provide the following information to the manufacturer responsible for the next stage:

(a) the Certificate of Conformity relating to the stage(s) for which he is responsible;

(b) the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information, including its appendices;

(c) the type-approval number corresponding to the stage(s) for which he is responsible;

(d) the documents referred to in points (a), (b) and (c) as provided by the manufacturer(s) involved in the previous stage(s).

Each manufacturer shall authorise the manufacturer responsible for the next stage to pass the documents provided to the manufacturers responsible for any subsequent stages and the final stage.

In addition, on a contractual basis, the manufacturer responsible for a particular stage or stages of type-approval shall:

(a) provide the manufacturer responsible for the next stage with access to vehicle OBD and vehicle repair and maintenance information and interface information corresponding to the particular stage(s) for which he is responsible;

(b) provide, at the request of a manufacturer responsible for a subsequent stage of type-approval, with access to vehicle OBD and vehicle repair and maintenance information and interface information corresponding to the particular stage(s) for which he is responsible.

4. A manufacturer, including a final manufacturer, may only charge fees in accordance with Article 2f concerning the particular stage(s) for which he is responsible.

A manufacturer, including a final manufacturer, shall not charge fees for providing information relating to the website address or contact details of any other manufacturer.

**Article 2c**

**Customer adaptations**

1. By derogation from Article 2a, if the number of systems, components or separate technical units subject to a specific customer adaptation is lower than a total of 250 units produced worldwide, repair and maintenance information for the customer adaptation shall be provided in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.

For the servicing and reprogramming of the electronic control units relating to the customer adaptation, the manufacturer shall make the respective proprietary specialist diagnostic tool or test equipment available to independent operators as provided to authorised repairers.

The customer adaptations shall be listed on the manufacturer's repair and maintenance information website and mentioned in the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information at the time of type-approval.

2. Until 31 December 2015, if the number of systems, components or separate technical units subject to a specific customer adaptation is higher than 250 units worldwide, the manufacturer may derogate from the obligation under Article 2a to provide access to vehicle OBD and vehicle repair and maintenance information using a standardised format. Where the manufacturer makes use of such derogation, he shall provide access to vehicle OBD and vehicle repair and maintenance information in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.

3. Manufacturers shall make the proprietary specialist diagnostic tool or test equipment to service the customer-adapted systems, components or technical units available to independent operators via sale and rent.
4. The manufacturer shall mention in the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information at the time of type-approval the customer adaptations for which the obligation under Article 2a to provide access to vehicle OBD and vehicle repair and maintenance information using a standardised format is derogated from and any electronic control unit related to them.

Those customer adaptations and any electronic control unit related to them shall also be listed on the manufacturer's repair and maintenance information website.

Article 2d
Small volume manufacturers
1. By derogation from Article 2a, manufacturers whose worldwide annual production of a type of vehicle, system, component or separate technical unit subject to this Regulation is less than 250 units, shall provide access to repair and maintenance information in a readily accessible and prompt manner, and in a manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.

2. The vehicle, system, component and separate technical unit subject to paragraph 1 shall be listed on the manufacturer's repair and maintenance information website.

3. The approval authority shall inform the Commission of each type-approval granted to small volume manufacturers.

Article 2e
Carry-over systems
1. Until 30 June 2016, with respect to the carry-over systems listed in Appendix 3 to Annex XVII, the manufacturer may derogate from the obligation to reprogramme the electronic control units in accordance with the standards mentioned in Annex XVII.

Such a derogation shall be indicated on the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information at the time of type-approval.

The systems for which a manufacturer derogates from the obligation to reprogramme the electronic control units in accordance with the standards mentioned in Annex XVII shall be listed on its repair and maintenance information website.

2. For the servicing and reprogramming of the electronic control units in the carry-over systems for which the manufacturer derogates from the obligation to reprogramme the electronic control units in accordance with the standards mentioned in Annex XVII, manufacturers shall ensure that the respective proprietary tool or equipment can be purchased or rented by independent operators.

Article 2f
Fees for access to vehicle repair and maintenance information
1. Manufacturers may charge reasonable and proportionate fees for access to the vehicle repair and maintenance information covered by this Regulation.

For the purposes of the first subparagraph, a fee shall be considered unreasonable or disproportionate if it discourages access by failing to take into account the extent to which the independent operator uses it.

2. Manufacturers shall make available vehicle repair and maintenance information, including transactional services such as reprogramming or technical assistance, on an hourly, daily, monthly, and yearly basis, with fees for access to such information varying in accordance with the respective periods of time for which access is granted.

In addition to time-based access, manufacturers may offer transaction-based access, for which fees are charged per transaction and not based on the time for which access is granted. Where both access systems are offered by manufacturers, independent repairers shall choose a preferred access system, either time-based or transaction-based.

Article 2g
Compliance with the obligations regarding access to vehicle OBD and vehicle repair and maintenance information
1. An approval authority may, at any time, whether on its own initiative, on the basis of a complaint, or on the basis of an assessment by a technical service, check the compliance of a manufacturer with Regulation (EC) No 595/2009, this Regulation, and the terms of the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information.

2. Where an approval authority finds that the manufacturer has failed to comply with his obligations regarding access to vehicle OBD and vehicle repair and maintenance information, the approval authority which granted the relevant type-approval shall take appropriate measures to remedy the situation.

Those measures may include withdrawal or suspension of type-approval, fines, or other measures adopted in accordance with Article 11 of Regulation (EC) No 595/2009.
3. The approval authority shall proceed to an audit in order to verify compliance by the manufacturer with the obligations concerning access to vehicle OBD and vehicle repair and maintenance information, if an independent operator or a trade association representing independent operators files a complaint to the approval authority.

4. When carrying out the audit, the approval authority may ask a technical service or any other independent expert to carry out an assessment to verify whether these obligations are met.

Article 2h

Forum on Access to Vehicle Information

The scope of application of the activities carried out by the Forum on Access to Vehicle Information established in accordance with Article 13(9) of Commission Regulation (EC) No 692/2008 (*) shall be extended to the vehicles covered by Regulation (EC) No 595/2009.

On the basis of evidence of deliberate or unintentional misuse of vehicle OBD and vehicle repair and maintenance information, the Forum shall advise the Commission on measures to prevent such misuse of information.

(*) OJ L 199, 28.7.2008, p. 1.'

(3) Article 3 is amended as follows:

(a) paragraph 1 is replaced by the following:

‘1. In order to receive an EC type-approval of an engine system or engine family as a separate technical unit, EC type-approval of a vehicle with an approved engine system with regard to emissions and vehicle repair and maintenance information, or an EC type-approval of a vehicle with regard to emissions and vehicle repair and maintenance information the manufacturer shall, in accordance with the provisions of Annex I, demonstrate that the vehicles or engine systems are subject to the tests and comply with the requirements set out in Articles 4 and 14 and in Annexes III to VIII, X, XIII, XIV and XVII. The manufacturer shall also ensure compliance with the specifications of reference fuels set out in Annex IX.’;

(b) the following paragraphs 1a, 1b and 1c are inserted:

‘1a. If the vehicle OBD and vehicle repair and maintenance information is not available, or does not conform to Article 6 of Regulation (EC) No 595/2009, Article 2a and, where relevant, Articles 2b, 2c and 2d of this Regulation, and Annex XVII to this Regulation, when the application for type-approval is made, the manufacturer shall provide that information within six months of the date set out in Article 8(1) of Regu-

lation (EC) No 595/2009 or within six months of the date of type-approval, whichever date is later.

1b. The obligations to provide information within the dates referred to in paragraph 1a shall apply only if, following type-approval, the vehicle is placed on the market.

Where the vehicle is placed on the market more than six months after type-approval, the information shall be provided on the date on which the vehicle is placed on the market.

1c. The approval authority may presume that the manufacturer has put in place satisfactory arrangements and procedures with regard to access to vehicle OBD and vehicle repair and maintenance information, on the basis of a completed Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information, providing that no complaint was made, and that the manufacturer provides the certificate within the periods referred to in paragraph 1a.

If the certificate of compliance is not provided within that period, the approval authority shall take appropriate measures to ensure compliance:.’;

(c) paragraph 15 is deleted;

(4) Article 5 is amended as follows:

(a) the title is replaced by the following:

‘Article 5

Application for EC type-approval of an engine system or engine family as a separate technical unit with regard to emissions and access to repair and maintenance information’;

(b) in paragraph 4, point (g) is replaced by the following:

‘(g) the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information;’;

(5) in Article 6, the title is replaced by the following:

‘Article 6

Administrative provisions for EC type-approval of an engine system or engine family as a separate technical unit with regard to emissions and access to repair and maintenance information’;

(6) in Article 7(4), point (d) is replaced by the following:

‘(d) the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information;’;
(7) in Article 14(1), point (d) is replaced by the following:

‘(d) the requirements with respect to the PEMS demonstration test at type-approval and any additional requirements with respect to off-cycle in-use vehicle testing, as provided for in this Regulation;’;

(8) in Article 15(1), the first subparagraph is replaced by the following:

The manufacturer shall ensure that replacement pollution control devices intended to be fitted to EC type-approved engine systems or vehicles covered by Regulation (EC) No 595/2009 are EC type-approved, as separate technical units in accordance with the requirements of this Article and of Articles 1a, 16 and 17;

(9) in Article 16, paragraph 3 is replaced by the following:

‘3. The manufacturer shall submit the Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information.’;

(10) Annexes I, II, III, VI, X, XI and XIII are amended in accordance with Annex I to this Regulation;

(11) a new Annex XVII, the text of which is set out in Annex II to this Regulation, is added.

Article 2
This Regulation shall enter into force on the third day following its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 23 January 2012.

For the Commission
The President
José Manuel BARROSO
ANNEX I

Annexes I, II, III, VI, X, XI and XIII to Regulation (EU) No 582/2011 are amended as follows:

(1) Annex I is amended as follows:

(a) point 1.2 is replaced by the following:

‘1.2. Requirements on restricted fuel range type-approval in case of positive-ignition engines fuelled with natural gas or LPG

Fuel range restricted approval shall be granted subject to the requirements specified in points 1.2.1 to 1.2.2.2.’;

(b) point 5.3.3 is replaced by the following:

‘5.3.3. The conformity of the ECU torque signal to the requirements of points 5.2.2 and 5.2.3 shall be demonstrated with the parent engine of an engine family when determining the engine power in accordance with Annex XIV and when performing the WHSC test in accordance with Annex III and off-cycle laboratory testing at type-approval in accordance with Section 6 of Annex VI.’;

(c) the following point 5.3.3.1 is inserted after point 5.3.3:

‘5.3.3.1. The conformity of the ECU torque signal to the requirements of points 5.2.2 and 5.2.3 shall be demonstrated for each engine family member when determining the engine power in accordance with Annex XIV. For this purpose additional measurements shall be performed at several part load and engine speed operating points (for example at the modes of the WHSC and some additional random points).’;

(d) in Appendix 4, the following Part 3 is added in the Models of information document:

‘PART 3

ACCESS TO VEHICLE REPAIR AND MAINTENANCE INFORMATION

16. ACCESS TO VEHICLE REPAIR AND MAINTENANCE INFORMATION

16.1. Address of principal website for access to vehicle repair and maintenance information

16.1.1. Date from which it is available (no later than six months from the date of type-approval)

16.2. Terms and conditions of access to website

16.3. Format of the vehicle repair and maintenance information accessible through website’;

(e) in Appendix 5, in the addendum to EC type-approval certificate, the following point 1.4.4 is inserted after point 1.4.3:

‘1.4.4. PEMS demonstration test

Table 6a

PEMS demonstration test

<table>
<thead>
<tr>
<th>Vehicle type (e.g. M3, N1 and application e.g. rigid or articulated truck, city bus)</th>
<th>Pass-fail results (%)</th>
<th>CO</th>
<th>THC</th>
<th>NMHC</th>
<th>CH4</th>
<th>NOx</th>
<th>PM mass</th>
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<tbody>
<tr>
<td>Vehicle description (e.g. vehicle model, prototype)</td>
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<tr>
<td>Work window conformity factor</td>
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<tr>
<td>CO2 mass window conformity factor</td>
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<td></td>
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</tbody>
</table>
Trip information | Urban | Rural | Motorway
--- | --- | --- | ---
Shares of time of the trip characterised by urban, rural and motorway operation as described in point 4.5 of Annex II to Regulation (EU) No 582/2011

Shares of time of the trip characterised by accelerating, decelerating, cruising and stop as described in point 4.5.5 of Annex II to Regulation (EU) No 582/2011

<table>
<thead>
<tr>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>Work window average power (%)</td>
<td></td>
</tr>
<tr>
<td>CO₂ mass window duration (s)</td>
<td></td>
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<tr>
<td>Work window: percentage of valid windows</td>
<td></td>
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<tr>
<td>CO₂ mass window: percentage of valid windows</td>
<td></td>
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<tr>
<td>Fuel consumption consistency ratio</td>
<td></td>
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</tbody>
</table>

(f) in Appendix 7, in the addendum to EC type-approval certificate, the following point 1.4.4. is inserted after point 1.4.3:

‘1.4.4. PEMS demonstration test

Table 6a

**PEMS demonstration test**

| Vehicle type (e.g. Mₚ Nₗ and application e.g. rigid or articulated truck, city bus) |  
| Vehicle description (e.g. vehicle model, prototype) |  
| Pass-fail results (f) CO THC NMHC CH₄ NOₓ PM mass |  
| Work window conformity factor |  
| CO₂ mass window conformity factor |  

<table>
<thead>
<tr>
<th>Trip information</th>
<th>Urban</th>
<th>Rural</th>
<th>Motorway</th>
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<tbody>
<tr>
<td>Shares of time of the trip characterised by urban, rural and motorway operation as described in point 4.5 of Annex II to Regulation (EU) No 582/2011</td>
<td></td>
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</tr>
<tr>
<td>Shares of time of the trip characterised by accelerating, decelerating, cruising and stop as described in point 4.5.5 of Annex II to Regulation (EU) No 582/2011</td>
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<td></td>
</tr>
<tr>
<td>Fuel consumption consistency ratio</td>
<td></td>
</tr>
</tbody>
</table>
(2) Annex II is amended as follows:

(a) in point 10.1.12, the following points 10.1.12.5.1 to 10.1.12.5.5 are added:

‘10.1.12.5.1. Results of the linear regression described in point 3.2.1 of Appendix 1 to this Annex including the slope of the regression line, m, coefficient of determination, r² and the intercept, b, of the y-axis of the regression line.

10.1.12.5.2. Result of the consistency check of the ECU data in accordance with point 3.2.2 of Appendix 1 to this Annex.

10.1.12.5.3. Result of the consistency check of the Brake-specific fuel consumption in accordance with point 3.2.3 of Appendix 1 to this Annex, including the calculated Brake-specific fuel consumption and the ratio of the calculated Brake-specific fuel consumption from the PEMS measurement and the declared Brake-specific fuel consumption for the WHTC test.

10.1.12.5.4. Result of the consistency check of the Odometer in accordance with point 3.2.4 of Appendix 1 to this Annex.

10.1.12.5.5. Result of the consistency check of the ambient pressure in accordance with point 3.2.5 of Appendix 1 to this Annex.’

(b) in Appendix 1, the following points 4.3.1.1, 4.3.1.2 and 4.3.1.3 are inserted after point 4.3.1:

‘4.3.1.1. If the percentage of valid windows is less than 50 %, the data evaluation shall be repeated using longer window durations. This is achieved by decreasing the value of 0,2 in the formula given in point 4.3.1 by steps of 0,01 until the percentage of valid windows is equal to or greater than 50 %.

4.3.1.2. In any case, the lowered value in above formula shall not be lower than 0,15.

4.3.1.3. The test shall be void if the percentage of valid windows is less than 50 % at a maximum window duration calculated in accordance with points 4.3.1, 4.3.1.1 and 4.3.1.2.’

(c) in Appendix 4, point 2.2 is replaced by the following:

‘2.2. If a point on the reference maximum torque curve as a function of the engine speed has not been reached during the ISC PEMS emissions testing, the manufacturer is entitled to modify the load of the vehicle and/or the testing route as necessary in order to perform that demonstration after the ISC PEMS emissions test has been completed.’

(3) in Annex III, the following point 2.1.1 is inserted after point 2.1:

‘2.1.1. The requirements for measuring particle number shall be those set out in Annex 4C to UN/ECE Regulation No 49.’

(4) Annex VI is amended as follows:

(a) point 6 is amended as follows:

(i) the title is replaced by the following:

‘6. OFF-CYCLE LABORATORY TESTING AND VEHICLE TESTING OF ENGINES AT TYPE-APPROVAL’;

(ii) point 6.1.3 is replaced by the following:

‘6.1.3. Section 7.3 of Annex 10 to UN/ECE Regulation No 49 shall be understood as follows:

In-use testing

A PEMS demonstration test shall be performed at type-approval by testing the parent engine in a vehicle using the procedure described in Appendix 1 to this Annex.

Additional requirements with respect to in-use vehicle testing will be specified at a later stage in accordance with Article 14(3) to Regulation (EU) No 582/2011.’
(iii) the following points 6.1.3.1 and 6.1.3.2 are inserted after point 6.1.3:

6.1.3.1. The manufacturer may select the vehicle that shall be used for testing but the vehicle choice shall be subject to the agreement of the approval authority. The characteristics of the vehicle used for the PEMS demonstration test shall be representative for the category of vehicle intended for the engine system. The vehicle may be a prototype vehicle.

6.1.3.2. At the request of the approval authority, an additional engine within the engine family or an equivalent engine representing a different vehicle category may be tested in a vehicle.

(b) the following Appendix 1 is added:

Appendix I

PEMS demonstration test at type-approval

1. INTRODUCTION

This Appendix describes the procedure for PEMS demonstration test at type-approval.

2. TEST VEHICLE

2.1. The vehicle used for demonstrating the PEMS demonstration test shall be representative for the vehicle category intended for the installation of the engine system. The vehicle may be a prototype vehicle or an adapted production vehicle.

2.2. The availability and conformity of the ECU data-stream information shall be demonstrated (for example following the provision of Section 5 of Annex II to this Regulation).

3. TEST CONDITIONS

3.1. Vehicle payload

The vehicle payload shall be 50-60 % of the maximum vehicle payload in accordance with Annex II.

3.2. Ambient conditions

The test shall be conducted under ambient conditions as described in point 4.2 of Annex II.

3.3. The engine coolant temperature shall be in accordance with point 4.3 of Annex II.

3.4. Fuel, lubricants and reagent

The fuel, lubricating oil and reagent for the exhaust after-treatment system shall follow the provisions of points 4.4 to 4.4.3 of Annex II.

3.5. Trip and operational requirements

The trip and operational requirements shall be those described in points 4.5 to 4.6.8 of Annex II.

4. EMISSIONS EVALUATION

4.1. The test shall be conducted and the test results calculated in accordance with Section 6 of Annex II.

5. REPORT

5.1. A technical report describing the PEMS demonstration test shall show the activities and results and give at least the following information:

(a) General information as described in points 10.1.1 to 10.1.1.14 of Annex II.

(b) Explanation as to why the vehicle(s) used for the test can be considered to be representative for the category of vehicles intended for the engine system.

(c) Information about test equipment and test data as described in points 10.1.3 to 10.1.4.8 of Annex II.

(d) Information about the tested engine as described in points 10.1.5 to 10.1.5.20 of Annex II.
(e) Information about the vehicle used for the test as described in points 10.1.6 to 10.1.6.18 of Annex II.

(f) Information about the route characteristics as described in points 10.1.7 to 10.1.7.7 of Annex II.

(g) Information about instantaneous measured and calculated data as described in points 10.1.8 to 10.1.9.24 of Annex II.

(h) Information about averaged and integrated data as described in points 10.1.10 to 10.1.10.12 of Annex II.

(i) Pass-fail results as described in points 10.1.11 to 10.1.11.13 of Annex II.

(j) Information about test verifications as described in points 10.1.12 to 10.1.12.5 of Annex II.

(5) Annex X is amended as follows:

(a) in point 2.4.1, the third paragraph is replaced by the following:

The manufacturer may either apply the complete provisions of this Annex and Annex XIII to this Regulation or the complete provisions of Annexes XI and XVI to Regulation (EC) No 692/2008.

(b) point 2.4.2 is amended as follows:

(i) the heading is deleted;

(ii) the following paragraph is added:

'A manufacturer shall not be permitted to use the alternative provisions specified in this point for more than 500 engines per year.'

(c) point 2.4.3 is deleted;

(d) Appendix 2 is amended as follows:

(i) point 2.2.1 is replaced by the following:

'2.2.1. In arriving at an approval decision on the choice of the performance monitoring selected by the manufacturer, the approval authority shall consider technical information provided by the manufacturer.'

(ii) points 2.2.2.1 and 2.2.2.2 are replaced by the following:

'2.2.2.1. The qualification test is performed in the same way as specified in Section 6.3.2 of Annex 9B to UN/ECE Regulation No 49.

2.2.2.2. The decrease of performance of the component under consideration is measured and subsequently serves as the performance threshold for the parent engine of the OBD engine family.'

(iii) point 2.2.3 is replaced by the following:

'2.2.3. The performance monitoring criteria approved for the parent engine shall be considered to be applicable to all other members of the OBD engine family without further demonstration.'

(iv) the following points 2.2.4 and 2.2.4.1 are inserted after point 2.2.3:

'2.2.4. Upon agreement between the manufacturer and the approval authority, adaptation of the performance threshold to different members of the OBD engine family in order to cover different design parameters (for example EGR cooler size) shall be possible. Such agreement shall be based on technical elements showing its pertinence.

2.2.4.1. At the request of the approval authority, a second member of the OBD engine family may be subject to the approval process described in point 2.2.2.'
(v) point 2.3.1 is replaced by the following:

‘2.3.1. For the purpose of demonstrating the OBD performance of the selected monitor of an OBD engine family, a deteriorated component shall be qualified on the parent engine of the OBD engine family in accordance with Section 6.3.2 of Annex 9B to UN/ECE Regulation No 49.’

(vi) the following point 2.3.2 is inserted after point 2.3.1:

‘2.3.2. In case of a second engine tested in accordance with section 2.2.4.1, the deteriorated component shall be qualified on that second engine in accordance with Section 6.3.2 of Annex 9B to UN/ECE Regulation No 49.’

(6) Annex XI is amended as follows:

in Appendix 1, the following new Section is added in the Model of information document:

<table>
<thead>
<tr>
<th>ACCESS TO VEHICLE REPAIR AND MAINTENANCE INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. ACCESS TO VEHICLE REPAIR AND MAINTENANCE INFORMATION</td>
</tr>
<tr>
<td>2.1. Address of principal website for access to vehicle repair and maintenance information</td>
</tr>
<tr>
<td>2.1.1. Date from which it is available (no later than six months from the date of type-approval)</td>
</tr>
<tr>
<td>2.2. Terms and conditions of access to website</td>
</tr>
<tr>
<td>2.3. Format of the vehicle repair and maintenance information accessible through website</td>
</tr>
</tbody>
</table>

(7) Annex XIII is amended as follows:

(a) in point 2.1, the third paragraph is replaced by the following:

‘The manufacturer may either apply the complete provisions of this Annex and Annex X to this Regulation or the complete provisions of Annexes XI and XVI to Regulation (EC) No 692/2008.’

(b) point 4.2 is replaced by the following:

‘4.2. The vehicle on-board diagnostics (OBD) display system described in Annex 9B to UN/ECE Regulation No 49 and referred to in Annex X to this Regulation shall not be used for the purpose of providing the visual alarms described in Section 4.1. The warning shall not be the same as the warning used for the purposes of OBD (that is, the MI — malfunction indicator) or other engine maintenance. It shall not be possible to turn off the warning system or visual alarms by means of a scan-tool if the cause of the warning activation has not been rectified. Conditions for activation and deactivation of the warning system and visual alarms are described in Appendix 2 of this Annex.’

(c) in point 5.3, the first paragraph is replaced by the following:

‘The low-level inducement system shall reduce the maximum available engine torque across the engine speed range by 25 % between the peak torque speed and the governor breakpoint as described in Appendix 3. The maximum available reduced engine torque below the peak torque speed of the engine before imposition of the torque reduction shall not exceed the reduced torque at that speed.’

(d) point 5.5 is replaced by the following:

‘5.5. The driver inducement system shall be enabled as specified in Sections 6.3, 7.3, 8.5, and 9.4.’

(e) points 6.3.1 and 6.3.2 are replaced by the following:

‘6.3.1. The low-level inducement system described in Section 5.3 shall be enabled, and subsequently activated in accordance with the requirements of that section, if the reagent tank level goes below 2,5 % of its nominally full capacity or a higher percentage at the choice of the manufacturer.’
6.3.2. The severe inducement system described in Section 5.4 shall be enabled, and subsequently activated in accordance with the requirements of that section, if the reagent tank is empty (that is, the dosing system is unable to draw further reagent from the tank) or at any level below 2.5% of its nominally full capacity at the discretion of the manufacturer.

(f) points 7.3.1 and 7.3.2 are replaced by the following:

‘7.3.1. The low-level inducement system described in Section 5.3 shall be enabled, and subsequently activated in accordance with the requirements of that section, if the reagent quality is not rectified within 10 engine operating hours after the activation of the driver warning system described in Section 7.2.

7.3.2. The severe inducement system described in Section 5.4 shall be enabled, and subsequently activated in accordance with the requirements of that section, if the reagent quality is not rectified within 20 engine operating hours after the activation of the driver warning system described in Section 7.2.’

(g) points 8.5.1 and 8.5.2 are replaced by the following:

‘8.5.1. The low-level inducement system described in Section 5.3 shall be enabled, and subsequently activated in accordance with the requirements of that section, if an error in the reagent consumption or an interruption in reagent dosing is not rectified within 10 engine operating hours after the activation of the driver warning system specified in Sections 8.4.1 and 8.4.2.

8.5.2. The severe inducement system described in Section 5.4 shall be enabled, and subsequently activated in accordance with the requirements of that section, if an error in the reagent consumption or an interruption in reagent dosing is not rectified within 20 engine operating hours after the activation of the driver warning system in Sections 8.4.1 and 8.4.2.’

(h) point 9.2.2.1 is replaced by the following:

‘9.2.2.1. A specific counter shall be attributed to an impeded EGR valve. The EGR valve counter shall count the number of engine operating hours when any DTC associated with an impeded EGR valve is confirmed to be active.’

(i) points 9.4.1 and 9.4.2 are replaced by the following:

‘9.4.1. The low-level inducement system described in Section 5.3 shall be enabled, and subsequently activated in accordance with the requirements of that section, if a failure specified in Section 9.1 is not rectified within 36 engine operating hours after the activation of the driver warning system in Section 9.3.

9.4.2. The severe inducement system described in Section 5.4 shall be enabled, and subsequently activated in accordance with the requirements of that section, if a failure specified in Section 9.1 is not rectified within 100 engine operating hours after the activation of the driver warning system in Section 9.3.’

(j) Appendix 1 is amended as follows:

(i) point 3.2.3 is replaced by the following:

‘3.2.3. For the purpose of demonstrating the activation of the warning system in case of failures that may be attributed to tampering, as defined in Section 9 of this Annex, the selection shall be performed in accordance with the following requirements;’

(ii) in point 3.3.6.2, points (a) and (b) are replaced by the following:

‘(a) the warning system has been activated with a reagent availability greater or equal to 10% of the capacity of the reagent tank;

(b) the “continuous” warning system has been activated with a reagent availability greater or equal to the value declared by the manufacturer according to the provisions of Section 6 of this Annex;’

(iii) point 3.4 is replaced by the following:

‘3.4. The demonstration of the warning system activation is deemed to be accomplished for reagent level events if, at the end of each demonstration test performed in accordance with Section 3.2.1, the warning system has been properly activated;’
(iv) the following point 3.5 is inserted after point 3.4:

‘3.5. The demonstration of the warning system activation is deemed to be accomplished for DTC triggered events if, at the end of each demonstration test performed in accordance with Section 3.2.1, the warning system has been properly activated and the DTC for the selected failure has got the status shown in table 1 in Appendix 2 of this Annex;’

(v) point 4.2 is replaced by the following:

‘4.2. The test sequence shall demonstrate the activation of the inducement system in case of lack of reagent and in case of one of the failures defined in Sections 7, 8 or 9 of this Annex;’

(vi) in point 4.3, paragraph (a) is replaced by the following:

‘(a) the approval authority shall select, in addition to the lack of reagent, one of the failures defined in Section 7, 8 or 9 of this Annex that has been previously used in the demonstration of the warning system;’

(vii) the introductory phrase of point 4.4 is replaced by the following:

‘The manufacturer shall, in addition, demonstrate the operation of the inducement system under those failure conditions defined in Sections 7, 8 or 9 of this Annex which have not been chosen for use in demonstration tests described in Sections 4.1, 4.2 and 4.3;’

(viii) point 4.5.2 is replaced by the following:

‘4.5.2. When the system is being checked for its reaction to the case of lack of reagent in the tank, the engine system shall be run until the reagent availability has reached a value of 2.5% of the nominal full capacity of the tank or the value declared by the manufacturer in accordance with Section 6.3.1 of this Annex at which the low-level inducement system is intended to operate;’

(ix) point 4.6.4 is replaced by the following:

‘4.6.4. The demonstration of the severe inducement system shall be deemed to be accomplished if, at the end of each demonstration test performed in accordance with Sections 4.6.2 and 4.6.3, the manufacturer has demonstrated to the type-approval authority that the required vehicle speed limitation mechanism has been activated;’

(x) point 5.2 is replaced by the following:

‘5.2. When the manufacturer applies for an approval of an engine or engine family as a separate technical unit, the manufacturer shall provide the approval authority with evidence that the installation documentation package complies with the provisions of Section 2.2.4 of this Annex concerning the measures to ensure that the vehicle, when used on the road or elsewhere as appropriate, will comply with the requirements of this Annex regarding severe inducement;’

(xi) point 5.4.2 is replaced by the following:

‘5.4.2. One of the failures defined in Sections 6 to 9 of this Annex shall be selected by the manufacturer, and shall be introduced or simulated on the engine system, as the manufacturer and the approval authority agree;’

(k) in Appendix 2, the introductory phrase of point 4.1.1 is replaced by the following:

‘To comply with the requirements of this Annex, the system shall contain at least five counters to record the number of hours during which the engine has been operated while the system has detected any of the following;’

(l) in Appendix 5, in point 3.1, point (e) is replaced by the following:

‘(e) number of warm-up cycles and number of engine operating hours since recorded ‘NOx control information’ was cleared due to service or repair.’
ANNEX II

ACCESS TO VEHICLE OBD AND VEHICLE REPAIR AND MAINTENANCE INFORMATION

1. INTRODUCTION
1.1. This Annex lays down technical requirements for the accessibility of vehicle OBD and vehicle repair and maintenance information.

2. REQUIREMENTS
2.1. Vehicle OBD and vehicle repair and maintenance information available through websites shall follow the common standard referred to in Article 6(1) of Regulation (EC) No 595/2009. Until this standard is adopted, manufacturers shall provide vehicle OBD and vehicle repair and maintenance information in a standardised manner which is non-discriminatory compared to the provisions given or access granted to authorised dealers and repairers.

Those requiring the right to duplicate or republish the information shall negotiate directly with the manufacturer concerned. Information for training material shall also be available, but may be presented through other media than websites.

Information on all parts of the vehicle, with which the vehicle, as identified by the vehicle identification number (VIN) and any additional criteria such as wheelbase, engine output, trim level or options, is equipped by the vehicle manufacturer and which can be replaced by spare parts offered by the vehicle manufacturer to its authorised repairers or dealers or third parties by means of reference to original equipment (OE) parts number, shall be made available in a database which is easily accessible to independent operators.

This database shall comprise the VIN, OE parts numbers, OE naming of the parts, validity attributes (valid-from and valid-to dates), fitting attributes and, where applicable, structuring characteristics.

The information on the database shall be regularly updated. The updates shall include in particular all modifications to individual vehicles after their production if this information is available to authorised dealers.

2.2. Access to vehicle security features used by authorised dealers and repair shops shall be made available to independent operators under protection of security technology in accordance with the following requirements:

(a) data shall be exchanged ensuring confidentiality, integrity and protection against replay;

(b) the standard https://ssl-tls (RFC4346) shall be used;

(c) security certificates in accordance with ISO 20828 shall be used for mutual authentication of independent operators and manufacturers;

(d) the independent operator's private key shall be protected by secure hardware.

The Forum on Access to Vehicle Information referred to in Article 2h shall specify the parameters for fulfilling these requirements in accordance with the state of the art. The independent operator shall be approved and authorised for this purpose on the basis of documents demonstrating that he pursues a legitimate business activity and has not been convicted of any criminal activity.

2.3. Reprogramming of control units shall be conducted in accordance with either ISO 22900-2 or SAE J2534 or TMC RP1210B using non-proprietary hardware. Ethernet, serial cable or local area network (LAN) interface and alternative media like compact disc (CD), digital versatile disc (DVD) or solid state memory device for infotainment systems (e.g. navigation systems, telephone) may also be used, but on the condition that no proprietary communication software (e.g. drivers or plug-ins) and hardware is required. For the validation of the compatibility of the manufacturer-specific application and the vehicle communication interfaces (VCI) complying to ISO 22900-2 or SAE J2534 or TMC RP1210B, the manufacturer shall offer either a validation of independently developed VCIs or the information, and loan of any special hardware, required for a VCI manufacturer to conduct such validation himself. The conditions of Article 2f(1) shall apply to fees for such validation or information and hardware.

2.4. The requirements of section 2.3 shall not apply in the case of reprogramming of speed limitation devices and recording equipment.

2.5. All emission-related DTCs shall be consistent with Annex X.
2.6. For access to any vehicle OBD and vehicle repair and maintenance information other than that relating to secure areas of the vehicle, registration requirements for use of the manufacturer’s website by an independent operator shall require only such information as is necessary to confirm how payment for the information is to be made. For information concerning access to secure areas of the vehicle, the independent operator shall present a certificate in accordance with ISO 20828 to identify himself and the organisation to which he belongs and the manufacturer shall respond with his own certificate in accordance with ISO 20828 to confirm to the independent operator that he is accessing a legitimate site of the intended manufacturer. Both parties shall keep a log of any such transactions indicating the vehicles and changes made to them under this provision.

2.7. Manufacturers shall indicate in their repair information websites the type-approval number by model.

2.8. If requested by the manufacturer, for vehicles of category M₁, M₂, N₁, and N₂ with a maximum permissible mass not exceeding 7.5 tonnes and M₃ Class I, Class II and Class A and Class B, as defined in Annex I to Directive 2001/85/EC, with a permissible mass not exceeding 7.5 tonnes, compliance with the requirements of Appendix 5 to Annex I and Annex XIV to Regulation (EC) No 692/2008 shall be considered equivalent to the compliance with this Annex.

2.9. The approval authority shall inform the Commission of the circumstances of each type-approval granted under Section 2.8.
Appendix 1

Manufacturer’s Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information

(Manufacturer): …

(Address of the manufacturer): …

Certifies that

it provides access to vehicle OBD and vehicle repair and maintenance information in compliance with the provisions of:

— Article 6 of Regulation (EC) No 595/2009 and Article 2a of Regulation (EU) No 582/2011,
— Article 4(6) of Regulation (EU) No 582/2011,
— Annex I, Appendix 4, Section 16 of Regulation (EU) No 582/2011,
— Annex X, Section 2.1 of Regulation (EU) No 582/2011,
— Annex XVII of Regulation (EU) No 582/2011,

with respect to the types of vehicle, engine, pollution control device listed in attachment to this Certificate.

The following derogations are applied: Customer adaptations (1) — Small volume (1) — Carry-over systems (1).

The principal website address through which the relevant information may be accessed and which is hereby certified to be in compliance with the above provisions are listed in an attachment to this Certificate along with the contact details of the responsible manufacturer’s representative whose signature is below.

Where applicable: The manufacturer hereby also certifies that it has complied with the obligation provided for in Article 3(1a) of Regulation (EU) No 582/2011 to provide the relevant information for previous approvals of these vehicle types no later than six months after the date of type-approval.

Done at ………………………………………………… [Place]

On …………………………………………………………… [Date]

[Signature] [Position]

(1) Delete where not applicable.

Annexes:
— Website addresses,
— Contact details.
ANNEX I

to Manufacturer's Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information

Website addresses referred to by this Certificate:
ANNEX II

to Manufacturer's Certificate on Access to Vehicle OBD and Vehicle Repair and Maintenance Information

Contact details of the manufacturer's representative referred to by this Certificate:
Appendix 2

Vehicle OBD information

1. The information required in this Appendix shall be provided by the vehicle manufacturer for the purposes of enabling the manufacture of OBD-compatible replacement or service parts and diagnostic tools and test equipment.

2. Upon request, the following information shall be made available to any interested component, diagnostic tools or test equipment manufacturer, on a non-discriminatory basis:

   — A description of the type and number of the preconditioning cycles used for the original type-approval of the vehicle.

   — A description of the type of the OBD demonstration cycle used for the original type-approval of the vehicle for the component monitored by the OBD system.

   — A comprehensive document describing all sensed components with the strategy for fault detection and MI activation (fixed number of driving cycles or statistical method), including a list of relevant secondary sensed parameters for each component monitored by the OBD system and a list of all OBD output codes and format used (with an explanation of each code and format) associated with individual emission-related power-train components and individual non-emission related components, where monitoring of the component is used to determine MI activation. In particular, in the case of vehicle types that use a communication link in accordance with ISO 15765-4 “Road vehicles — Diagnostics on controller area network (CAN) — Part 4: Requirements for emissions-related systems”, a comprehensive explanation for the data given in service $05$ Test ID $21$ to FF and the data given in service $06$, and a comprehensive explanation for the data given in service $06$ Test ID $00$ to FF, for each OBD monitor ID supported, shall be provided.

   In case other communication protocols standards are used, equivalent comprehensive explanation shall be provided.

   This information may be provided in the form of a table, as follows:

<table>
<thead>
<tr>
<th>Component</th>
<th>Fault code</th>
<th>Monitoring strategy</th>
<th>Fault detection criteria</th>
<th>MI activation criteria</th>
<th>Secondary parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td>Catalyst</td>
<td>P0420</td>
<td>Oxygen sensor 1 and 2 signals</td>
<td>Difference between sensor 1 and sensor 2 signals</td>
<td>3rd cycle</td>
<td>Engine speed, engine load, A/F mode, catalyst temperature</td>
</tr>
</tbody>
</table>

3. Information required for the manufacture of diagnostic tools

   In order to facilitate the provision of generic diagnostic tools for multi-make repairers, vehicle manufacturers shall make available the information referred to in points 3.1, 3.2 and 3.3 through their repair information websites. That information shall include all diagnostic tool functions and all the links to repair information and troubleshooting instructions. The access to the information may be subject to the payment of a reasonable fee.

3.1. Communication protocol information

   The following information shall be required indexed against vehicle make, model and variant, or other workable definition such as VIN or vehicle and systems identification:

   (a) Any additional protocol information system necessary to enable complete diagnostics in addition to the standards prescribed in Point 4.7.3 of Annex 9B to UN/ECE Regulation No 49, including any additional hardware or software protocol information, parameter identification, transfer functions, “keep alive” requirements, or error conditions.

   (b) Details of how to obtain and interpret all fault codes which are not in accordance with the standards prescribed in Point 4.7.3 of Annex 9B to UN/ECE Regulation No 49.

   (c) A list of all available live data parameters, including scaling and access information.

   (d) A list of all available functional tests, including device activation or control and the means to implement them.

   (e) Details of how to obtain all component and status information, time stamps, pending DTC and freeze frames.
(f) Resetting adaptive learning parameters, variant coding and replacement component setup, and customer preferences.

(g) ECU identification and variant coding.

(h) Details of how to reset service lights.

(i) Location of diagnostic connector and connector details.

(j) Engine code identification.

3.2. Test and diagnosis of OBD monitored components

The following information shall be required:

(a) A description of tests to confirm its functionality, at the component or in the harness.

(b) Test procedure including test parameters and component information.

(c) Connection details including minimum and maximum input and output and driving and loading values.

(d) Values expected under certain driving conditions including idling.

(e) Electrical values for the component in its static and dynamic states.

(f) Failure mode values for each of the above scenarios.

(g) Failure mode diagnostic sequences including fault trees and guided diagnostics elimination.

3.3. Data required to perform the repair

The following information shall be required:

(a) ECU and component initialisation (in the event of replacements being fitted).

(b) Initialisation of new or replacement ECU’s where relevant using pass-through (re-) programming techniques.
Appendix 3

List of carry-over systems covered by Article 2e

| 1. Climate systems                        | (a) Temperature control systems; |
|                                           | (b) Engine-independent heater; |
|                                           | (c) Engine-independent air-conditioning. |
| 2. Systems for buses and coaches          | (a) Door control systems; |
|                                           | (b) Turntable control systems; |
|                                           | (c) Interior light control. |