COMMISSION REGULATION (EC) No 407/2009
of 14 May 2009
amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein (1), and in particular Article 19(3) thereof,

Whereas:

(1) Regulation (EC) No 338/97 lists animal and plant species in respect of which trade is restricted or controlled. Those lists incorporate the lists set out in the Appendices to the Convention on International Trade in Endangered Species of Wild Fauna and Flora, hereinafter ‘the CITES Convention’.

(2) The following species have been added to Appendix III to the CITES Convention at the request of China: Corallium elatius, Corallium japonicum, Corallium konjoi and Corallium secundum.

(3) The species Crax daubentoni, Crax globulosa, Crax rubra, Ortalis vetula, Pauxi pauxi, Penelopina nigra, Arborophila campbelli, Arborophila charltonii, Lophura ignita, Semnornis ramphastinus, Baillonius bailloni, Pteroglossus castanotis, Ramphastos dicolorus and Selenidera maculirostris – which are currently included in Annex B to the Annex to Regulation (EC) No 338/97 – are not subject to levels of international trade that might be incompatible with its survival but are included in Appendix III to the CITES Convention at the requests of Colombia, Costa Rica, Guatemala, Honduras, Malaysia and Argentina, and should therefore be transferred from Annex B to Annex C to the Annex to Regulation (EC) No 338/97.

(4) The species Phyllomedusa sauvagii, Leptodactylus laticeps, Limnonectes macrodont, Rana shqiperica, Ranodon sibiricus, Bolitoglossa dufleini, Cynops ensicauda, Echinotriton andersoni, Pachytriton labiatus, Paramesotriton spp., Salamandra algira and Tylototriton spp. – which are currently not listed in the Annex to Regulation (EC) No 338/97 – are being imported into the Community in such numbers as to warrant monitoring. Those species should therefore be included in Annex D to the Annex to Regulation (EC) No 338/97.

(5) At the 14th Conference of the Parties to CITES in June 2007 new nomenclatural references for animals were adopted. Some inconsistencies between the CITES Appendices and the scientific names in those nomenclatural references as regards the species Asarcornis scutulata and Pezoporus occidentalis, the families Rheobatrachidae and Phasianidae as well as the order Scandentia were discovered. Since those inconsistencies also appear in the Annex to Regulation (EC) No 338/97, it should be adapted accordingly.

(6) In view of the extent of the amendments it is appropriate, for clarity purposes, to replace the Annex to Regulation (EC) No 338/97 in its entirety.

(7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora established pursuant to Article 18 of Regulation (EC) No 338/97,

HAS ADOPTED THIS REGULATION:

Article 1

The Annex to Regulation (EC) No 338/97 is replaced by the text in the Annex to this Regulation.

Article 2

This Regulation shall enter into force on the third day following its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 14 May 2009.

For the Commission
Stavros DIMAS
Member of the Commission
ANNEX

Notes on interpretation of Annexes A, B, C and D

1. Species included in these Annexes A, B, C and D are referred to:
   (a) by the name of the species; or
   (b) as being all of the species included in a higher taxon or designated part thereof.

2. The abbreviation “spp.” is used to denote all species of a higher taxon.

3. Other references to taxa higher than species are for the purposes of information or classification only.


5. The following abbreviations are used for plant taxa below the level of species:
   (a) “ssp.” is used to denote subspecies;
   (b) “var(s).” is used to denote variety (varieties); and
   (c) “fa” is used to denote forma.

6. The symbols “(I),” “(II)” and “(III)” placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.

7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.

8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.

9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.

10. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.

11. When a species is included in Annex A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t) of this Regulation, the symbol “#” followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:

   #1 Designates all parts and derivatives, except:
      (a) seeds, spores and pollen (including pollinia);
      (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
      (c) cut flowers of artificially propagated plants; and
      (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus Vanilla.

   #2 Designates all parts and derivations, except:
      (a) seeds and pollen; and
      (b) finished products packaged and ready for retail trade.
#3 Designates whole and sliced roots and parts of roots.

#4 Designates all parts and derivatives, except:

(a) seeds, except those from Mexican cacti originating in Mexico, and pollen;

(b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

(c) cut flowers of artificially propagated plants;

(d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and

(e) separate stem joints (pads) and parts and derivatives thereof of naturalised or artificially propagated plants of the genus Opuntia subgenus Opuntia.

#5 Designates logs, sawn wood and veneer sheets.

#6 Designates logs, sawn wood, veneer sheets and plywood.

#7 Designates logs, wood-chips, powder and extracts.

#8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.

#9 Designates all parts and derivatives, except those bearing a label "Produced from Hoodia spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement No BW/NA/ZA xxxxxx".

#10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.

#11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts.

12. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.

13. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.

14. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

§ 1 Any whole, or substantially whole, skins, raw or tanned.

§ 2 Any feathers or any skin or other part with feathers on it.

15. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

§ 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.

§ 4 Logs, sawn wood and veneer sheets
<table>
<thead>
<tr>
<th>Mammals</th>
<th>Pronghorn</th>
<th>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mammals</td>
<td>Mexican pronghorn</td>
<td>Addax, Barbary sheep, Blackbuck, Wood bison, Gaur, Wild yak, Kouprey, Wild Asiatic buffalo</td>
</tr>
</tbody>
</table>

**CHORDATA (CHORDATES)**

### Mammalia

#### ARTIODACTyla

#### Antilocapridae

- *Antilocapra americana* (I)
  
  (Only the population of Mexico; no other population is included in the Annexes to this Regulation)

#### Bovidae

- *Addax nasomaculatus* (I)
  
- *Ammotragus lervia* (II)
  
- *Antilope cervicapra* (II Nepal)
  
- *Bison bison athabascae* (II)
  
- *Bos gaurus* (I) (Excludes the domesticated form referenced as *Bos frontalis* which is not subject to the provisions of this Regulation)
  
- *Bos mutus* (I) (Excludes the domesticated form referenced as *Bos grunniens* which is not subject to the provisions of this Regulation)
  
- *Bos sauveli* (I)
  
- *Bubalus depressicornis* (I)
  
- *Bubalus mindorensis* (I)
  
- *Bubalus quarlesi* (I)
  
- *Capra falconeri* (I)
  
- *Capricornis milneedwardsii* (I)
  
- *Capricornis rubidus* (I)
  
- *Capricornis sumatraensis* (I)
  
- *Capricornis thar* (I)
  
- *Cephalophus brookei* (II)
  
- *Cephalophus dorsalis* (II)
  
- *Cephalophus ogilbyi* (II)
  
- *Cephalophus silvicultor* (II)
<table>
<thead>
<tr>
<th>Annex A</th>
<th>Annex B</th>
<th>Annex C</th>
<th>Common name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cephalophus zebra (II)</td>
<td>Gazella dorcas (III Algeria/Tunisia)</td>
<td>Zebra duiker</td>
<td></td>
</tr>
<tr>
<td>Damaliscus pygargus pygargus (II)</td>
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<td>Bontebok</td>
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<td>Gazella cuvieri (I)</td>
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<td>Cuvier’s gazelle</td>
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<td>Gazella leptoceros (I)</td>
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<td>Slender-horned gazelle</td>
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<td>Gazella dorcas (III Algeria/Tunisia)</td>
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<td>Dorcas gazelle</td>
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<td>Hipotragus niger variani (I)</td>
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<td>Giant sable antelope</td>
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<tr>
<td>Kobus leche (II)</td>
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<td>Lechwe</td>
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<td>Naemorhedus baileyi (I)</td>
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<td>Red goral</td>
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<td>Naemorhedus caudatus (I)</td>
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<td>Long-tailed goral</td>
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<td>Naemorhedus goral (I)</td>
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<td>Himalayan goral</td>
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<td>Naemorhedus griseus (I)</td>
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<td>Chinese goral</td>
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<td>Nanger dama (I)</td>
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<td>Dama gazelle</td>
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<td>Oryx damaah (I)</td>
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<td>Scimitar-horned oryx</td>
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<td>Oryx leucoryx (I)</td>
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<td>Argali</td>
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<td>Kara Tau argali</td>
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<td>Siola</td>
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<td>Saiga borealis (II)</td>
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<td>Abruzzo chamois</td>
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<td>Saiga tatarica (II)</td>
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<td>Mongolian saiga</td>
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<td>Steppe saiga</td>
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<td>Four-horned antelope</td>
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<td>Common name</td>
<td>Annex A</td>
<td>Annex B</td>
<td>Annex C</td>
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<tr>
<td>Camelidae</td>
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<td>Vicugna vicugna (I) (Except for the populations of: Argentina [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Annex B)</td>
<td></td>
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<td>Camels, guanaco, vicuña</td>
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<td>Lama glama guanicoe (II)</td>
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<td>Vicugna vicugna (II) (Only the populations of Argentina [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; all other populations are included in Annex A)</td>
<td></td>
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<td>Vicuña</td>
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<th>Cervidae</th>
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<td>Axis calamianensis (I)</td>
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<td>Deer, huemuls, muntjacs, pudus</td>
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<td>Axis kuhlii (I)</td>
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<td>Calamian deer</td>
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<td>Axis porcinus annamiticus (I)</td>
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<td>Indochina hog deer</td>
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<td>Bactrian deer</td>
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<td>Cervus elaphus barbatus (III Algeria/Tunisia)</td>
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<td>Cervus elaphus hanglu (I)</td>
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<td>Hangul</td>
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<td>Dama dama mesopotamica (I)</td>
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<td>Persian fallow deer</td>
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<td>Hippocamelus spp. (I)</td>
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<td>Huemuls</td>
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<td>Matama temama cerasina (III Guatemala)</td>
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<td>Central American red brocket</td>
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<td>Muntiacus crinifrons (I)</td>
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<td>Black muntjac</td>
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<td>Muntiacus vuquangensis (I)</td>
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<td>Giant muntjac</td>
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<td>Odocoileus virginianus mayensis (III Guatemala)</td>
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<td>Guatemalan white-tailed deer</td>
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<td>Pudu mephistophiles (II)</td>
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<td>Pampas deer</td>
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<td>Pudu puda (I)</td>
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<td>Northern pudu</td>
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<td>Rucervus duvaucelii (I)</td>
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<td>Southern pudu</td>
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<td>Rucervus eldii (I)</td>
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<td>Rucervus eldii (I)</td>
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<td>Eld's deer</td>
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<th>Hippopotamidae</th>
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<tr>
<td>Hexaprotodon liberiensis (II)</td>
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<td>Hippopotamuses</td>
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<td>Hippopotamus amphibius (II)</td>
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<td>Pygmy hippopotamus</td>
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<td></td>
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<td>Common hippopotamus</td>
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<td>Annex A</td>
<td>Annex B</td>
<td>Annex C</td>
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<tr>
<td>Musk deer</td>
<td>Moschus spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)</td>
<td>Moschus spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)</td>
<td>Musk deer</td>
</tr>
<tr>
<td>Babirusa, hogs, pigs</td>
<td>Suidae</td>
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<tr>
<td>Buru babirusa</td>
<td>Babyrousa babyrussa (I)</td>
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<td>Babirusa, hogs, pigs</td>
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<td>Bola Batu babirusa</td>
<td>Babyrousa bolabattensis (I)</td>
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<td>North Sulawesi babirusa</td>
<td>Babyrousa celebensis (I)</td>
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<td>Malegge babirusa</td>
<td>Babyrousa togeanensis (I)</td>
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<td>Pygmy hog</td>
<td>Sus salvanius (I)</td>
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<tr>
<td>Peccaries</td>
<td>Tayassuidae</td>
<td>Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of Pecari tajacu of Mexico and the United States, which are not included in the Annexes to this Regulation)</td>
<td>Deers</td>
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<td>Chacoan peccary</td>
<td>Catagonus wagneri (I)</td>
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<td>Peccaries</td>
<td>CARNIVORA</td>
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<td>Red panda</td>
<td>Ailuridae</td>
<td>Ailurus fulgens (I)</td>
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<tr>
<td>Dogs, foxes, wolves</td>
<td>Canidae</td>
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<tr>
<td>Golden jackal</td>
<td>Canis lupus (I/II) (All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.)</td>
<td>Canis lupus (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel)</td>
<td>Ethiopian wolf</td>
</tr>
<tr>
<td>Grey wolf</td>
<td>Canis simensis</td>
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<tr>
<td>Crab-eating fox</td>
<td>Cerdocyon thous (II)</td>
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<td>Ethiopian wolf</td>
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<tr>
<td>Maned wolf</td>
<td>Chrysocyon brachyurus (II)</td>
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<td>Crab-eating fox</td>
</tr>
<tr>
<td>Dhole</td>
<td>Cuon alpinus (II)</td>
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<td>Maned wolf</td>
</tr>
<tr>
<td>Culpeo</td>
<td>Lycalopex culpaeus (II)</td>
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<td>Dhole</td>
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<tr>
<td>Darwin's fox</td>
<td>Lycalopex fulvipes (II)</td>
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<td>Culpeo</td>
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<tr>
<td>South American grey fox</td>
<td>Lycalopex griseus (II)</td>
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<td>Darwin's fox</td>
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<tr>
<td>Pampas fox</td>
<td>Lycalopex gymnocercus (II)</td>
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<td>South American grey fox</td>
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<tr>
<td>Bush dog</td>
<td>Sporthos venaticus (I)</td>
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<td>Pampas fox</td>
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<tr>
<td>Bengali fox</td>
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<td>Fennec fox</td>
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<tr>
<td>Blanford's fox</td>
<td>Vulpes zerda (II)</td>
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### Eupleridae

<table>
<thead>
<tr>
<th>Common name</th>
<th>Eupleridae</th>
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<tbody>
<tr>
<td>Fossa</td>
<td>Cryptoprocta ferox (II)</td>
</tr>
<tr>
<td>Fossa</td>
<td>Eupleres goudoti (II)</td>
</tr>
<tr>
<td>Malagasy civet</td>
<td>Fossa fossana (II)</td>
</tr>
</tbody>
</table>

### Felidae

<table>
<thead>
<tr>
<th>Common name</th>
<th>Felidae</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cats, cheetahs, leopards, lions, tigers etc.</td>
<td>Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Common name</th>
<th>Felidae</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cheetah</td>
<td>Acinonyx jubatus (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1 of this Regulation.)</td>
</tr>
<tr>
<td>Asian Caracal</td>
<td>Caracal caracal (I) (Only the population of Asia; all other populations are included in Annex B)</td>
</tr>
<tr>
<td>Asian golden cat</td>
<td>Catopuma temminckii (I)</td>
</tr>
<tr>
<td>Black-footed cat</td>
<td>Felis nigripes (I)</td>
</tr>
<tr>
<td>Wild cat</td>
<td>Felis silvestris (II)</td>
</tr>
<tr>
<td>Geoffroy’s cat</td>
<td>Leopardus geoffroyi (I)</td>
</tr>
<tr>
<td>Andean mountain cat</td>
<td>Leopardus jacobitus (I)</td>
</tr>
<tr>
<td>Ocelot</td>
<td>Leopardus pardalis (I)</td>
</tr>
<tr>
<td>Margay</td>
<td>Leopardus tigrinus (I)</td>
</tr>
<tr>
<td>Margay</td>
<td>Leopardus wiedii (I)</td>
</tr>
<tr>
<td>Eurasian lynx</td>
<td>Lynx lynx (II)</td>
</tr>
<tr>
<td>Iberian lynx</td>
<td>Lynx pardinus (I)</td>
</tr>
<tr>
<td>Clouded leopard</td>
<td>Neofelis nebulosa (I)</td>
</tr>
<tr>
<td>Asiatic lion</td>
<td>Panthera leo persica (I)</td>
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<tr>
<td>Jaguar</td>
<td>Panthera onca (I)</td>
</tr>
<tr>
<td>Leopard</td>
<td>Panthera pardus (I)</td>
</tr>
<tr>
<td>Tiger</td>
<td>Panthera tigris (I)</td>
</tr>
<tr>
<td>Marbled cat</td>
<td>Pardofelis marmorata (I)</td>
</tr>
<tr>
<td>Bengal leopard cat</td>
<td>Prionailurus bengalensis bengalensis (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.)</td>
</tr>
<tr>
<td>Annex A</td>
<td>Annex B</td>
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<tr>
<td>------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Prionailurus iriomotensis (II)</td>
<td></td>
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<tr>
<td>Prionailurus planiceps (I)</td>
<td></td>
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<tr>
<td>Prionailurus rubiginosus (I) (Only the population of India; all other populations are included in Annex B)</td>
<td></td>
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<tr>
<td>Puma concolor conyi (I)</td>
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<tr>
<td>Puma concolor costaricensis (I)</td>
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<tr>
<td>Puma concolor couguar (I)</td>
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<tr>
<td>Puma yagouaroundi (I) (Only the populations of Central and North America; all other populations are included in Annex B)</td>
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<tr>
<td>Uncia uncia (I)</td>
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<tr>
<td>Hyaenidae</td>
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<tr>
<td>Herpestidae</td>
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<tr>
<td>Herpestes fuscus (III India)</td>
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<tr>
<td>Herpestes edwardsi (III India)</td>
<td></td>
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<tr>
<td>Herpestes javanicus aureopunctatus (III India)</td>
<td></td>
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<tr>
<td>Herpestes smithii (III India)</td>
<td></td>
</tr>
<tr>
<td>Herpestes urva (III India)</td>
<td></td>
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<tr>
<td>Herpestes vitticollis (III India)</td>
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</tr>
<tr>
<td>Uncia uncia (I)</td>
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<tr>
<td>Mephitidae</td>
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</tr>
<tr>
<td>Conepatus humboldtii (II)</td>
<td></td>
</tr>
<tr>
<td>Proteles cristata (III Botswana)</td>
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</tr>
<tr>
<td>Mustelidae</td>
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<tr>
<td>Lutrinae</td>
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<td>Lutrinae spp. (II) (Except for the species included in Annex A)</td>
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<tr>
<td>Aonyx capensis microdon (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)</td>
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<tr>
<td>Enhydra lutris nereis (I)</td>
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<td>Lontra felina (I)</td>
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<tr>
<td>Lontra longicaudis (I)</td>
<td></td>
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<tr>
<td>Lontra provocax (I)</td>
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<tr>
<td>Lutra lutra (I)</td>
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<tr>
<td>Lutra nippon (I)</td>
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<tr>
<td>Pteronura brasiliensis (I)</td>
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<tr>
<td><strong>Mustelinae</strong></td>
<td><strong>Grisons, martens, tayra, weasels</strong></td>
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<tr>
<td>----------------</td>
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</tr>
<tr>
<td>Eira barbara (III Honduras)</td>
<td></td>
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<tr>
<td>Galictis vittata (III Costa Rica)</td>
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<tr>
<td>Martes flavigula (III India)</td>
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<tr>
<td>Martes foina intermedia (III India)</td>
<td></td>
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<tr>
<td>Martes gwatkinsii (III India)</td>
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<tr>
<td>Mellivora capensis (III Botswana)</td>
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<tr>
<td><strong>Odobenidae</strong></td>
<td><strong>Walrus</strong></td>
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<tr>
<td>Odobenus rosmarus (III Canada)</td>
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<tr>
<td><strong>Otariidae</strong></td>
<td><strong>Fur seals, sealions</strong></td>
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<tr>
<td>Arctocephalus spp (II) (Except for the species included in Annex A)</td>
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<tr>
<td>Arctocephalus philippii (II)</td>
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<td>Arctocephalus townsendi (I)</td>
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<td><strong>Seals</strong></td>
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<td>Monachus spp. (I)</td>
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<tr>
<td>Mirounga leonina (II)</td>
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<td><strong>Procyonidae</strong></td>
<td><strong>Coatis, olingos</strong></td>
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<td>Bassaricyon gabbii (III Costa Rica)</td>
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<td>Bassariscus sumichrasti (III Costa Rica)</td>
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<td>Nasua narica (III Honduras)</td>
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<td>Nasua nasua solitaria (III Uruguay)</td>
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<td>Potos flavus (III Honduras)</td>
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<td>Helarctos malayanus (II)</td>
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<td>Melursus ursinus (I)</td>
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<td>Tremarctos ornatus (I)</td>
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<tr>
<td>Ursus arctos (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies Ursus arctos isabellinus are listed in Appendix I; all other populations and subspecies are listed in Appendix II).</td>
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<tr>
<td>Ursus thibetanus (I)</td>
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<td>Common name</td>
<td>Annex A</td>
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</tr>
<tr>
<td><strong>Viverridae</strong></td>
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<td>Binturong, civets, linsangs, otter-civet, palm civet</td>
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</tr>
<tr>
<td>Binturong</td>
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</tr>
<tr>
<td>African civet</td>
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<tr>
<td>Otter civet</td>
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<tr>
<td>Banded palm civet</td>
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</tr>
<tr>
<td>Masked palm civet</td>
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<td>Asian palm civet</td>
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<tr>
<td>Jerdon’s palm civet</td>
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<tr>
<td>Banded linsang</td>
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<tr>
<td>Spotted linsang</td>
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<tr>
<td>Malabar large-spotted civet</td>
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<tr>
<td>Large Indian civet</td>
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<tr>
<td>Small Indian civet</td>
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<tr>
<td>Cetaceans (dolphins, porpoises, whales)</td>
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<tr>
<td>Cetaceans</td>
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<td><strong>CETACEA</strong></td>
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<td><strong>CHIROPTERA</strong></td>
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<td><strong>Phyllostomidae</strong></td>
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<tr>
<td>Broad-nosed bats</td>
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<td>White-lined bat</td>
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<td>Fruit bats, flying foxes</td>
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<td>Flying foxes</td>
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<td>Golden-capped fruit bat</td>
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<td>Flying foxes</td>
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<td><strong>Pteropodidae</strong></td>
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<tr>
<td>Ruck flying fox</td>
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<tr>
<td>Comoro flying fox</td>
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<tr>
<td>Japanese flying fox</td>
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<td>Marianas flying fox</td>
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<tr>
<td>Caroline flying fox</td>
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<td>Pelew flying fox</td>
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<tr>
<td>Large Pelew flying fox</td>
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<tr>
<td>Rodrigues flying fox</td>
<td></td>
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<tr>
<td>Samoan flying fox</td>
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<tr>
<td>Pacific flying fox</td>
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<td>Kosrae flying fox</td>
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<tr>
<td>Pemba flying fox</td>
<td></td>
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<tr>
<td>Yap flying fox</td>
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</tr>
<tr>
<td>Common name</td>
<td>Annex A</td>
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<tr>
<td><strong>CINGULATA</strong></td>
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<tr>
<td>Dasypodidae</td>
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<tr>
<td>Cabassous centralis (III Costa Rica)</td>
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</tr>
<tr>
<td>Cabassous tatouay (III Uruguay)</td>
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<tr>
<td>Chaetophractus nationi (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)</td>
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<tr>
<td><strong>DASYUROMORPHA</strong></td>
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<tr>
<td>Dasyuridae</td>
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<tr>
<td>Sminthopsis longicaudata (I)</td>
<td>Sminthopsis psammophila (I)</td>
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<tr>
<td><strong>Thylacinidae</strong></td>
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</tr>
<tr>
<td>Thylacinus cynocephalus (possibly extinct) (I)</td>
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<tr>
<td><strong>DIPROTODONTIA</strong></td>
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</tr>
<tr>
<td>Macropodidae</td>
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<tr>
<td>Dendrolagus inustus (II)</td>
<td>Dendrolagus ursinus (II)</td>
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<tr>
<td>Lagorchestes hirsutus (I)</td>
<td>Lagostrophus fasciatus (I)</td>
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<tr>
<td><strong>Phalangeridae</strong></td>
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</tr>
<tr>
<td>Phalanger intercastellanus (II)</td>
<td>Phalanger mimicus (II)</td>
</tr>
<tr>
<td>Phalanger orientalis (II)</td>
<td>Spilocuscus kraemerii (II)</td>
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<tr>
<td><strong>Potoroidae</strong></td>
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<tr>
<td>Bettongia spp. (I)</td>
<td>Caloprymnus campestris (possibly extinct) (I)</td>
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<tr>
<td><strong>Vombatidae</strong></td>
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</tr>
<tr>
<td>Lasiorhinus krefftii (I)</td>
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</tr>
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<td>Annex A</td>
<td>Annex B</td>
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<tr>
<td><strong>LAGOMORPHA</strong></td>
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<td><strong>Leporidae</strong></td>
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<tr>
<td>Caprolagus hispidus (I)</td>
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<tr>
<td>Romerolagus diazi (I)</td>
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<tr>
<td><strong>MONOTREMATA</strong></td>
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</tr>
<tr>
<td><strong>Tachyglossidae</strong></td>
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<tr>
<td><strong>PERAMELEMORPHIA</strong></td>
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<tr>
<td><strong>Chaeropodidae</strong></td>
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</tr>
<tr>
<td>Chaeropus ecaudatus (possibly extinct) (I)</td>
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<tr>
<td><strong>Peramelidae</strong></td>
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<tr>
<td>Perameles bougainville (I)</td>
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<tr>
<td><strong>Thylacomyidae</strong></td>
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<td>Macrotis lagotis (I)</td>
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</tr>
<tr>
<td>Macrotis leucura (I)</td>
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<tr>
<td><strong>PERISSODACTYLA</strong></td>
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</tr>
<tr>
<td><strong>Equidae</strong></td>
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<tr>
<td>Equus africanus (I) (Excludes the domesticated form referenced as Equus asinus, which is not subject to the provisions of this Regulation)</td>
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<tr>
<td>Equus grevyi (I)</td>
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<tr>
<td>Equus hemionus (I/II) (The species is listed in Appendix II but subspecies Equus hemionus hemionus and Equus hemionus khur are listed in Appendix I)</td>
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<tr>
<td>Equus kiang (II)</td>
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<td>Equus przewalskii (I)</td>
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<tr>
<td>Equus zebra hartmannae (II)</td>
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<tr>
<td>Equus zebra zebra (I)</td>
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<tr>
<td><strong>Rhinocerotidae</strong></td>
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</tr>
<tr>
<td>Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)</td>
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</tr>
<tr>
<td>Annex A</td>
<td>Annex B</td>
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<td>---------</td>
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</tr>
<tr>
<td><em>Ceratherium simum simum</em> (II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)</td>
<td>Tapirus terrestris (II)</td>
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<td><strong>Tapiridae</strong></td>
<td><strong>Tapiridae</strong> spp. (I) (Except for the species included in Annex B)</td>
</tr>
<tr>
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<td>Tapirus terrestris (II)</td>
</tr>
<tr>
<td><strong>PHOLIDOTA</strong></td>
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<tr>
<td><strong>Manidae</strong></td>
<td>Manis spp. (II) (A zero annual export quota has been established for Manis crassicaudata, Manis culiimensis, Manis javanica and Manis pentaladactyla for specimens removed from the wild and traded for primarily commercial purposes)</td>
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<tr>
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<td><em>Pholidota</em></td>
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<td><strong>PILOSA</strong></td>
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<td><strong>Bradypodidae</strong></td>
<td>Bradypus variegatus (II)</td>
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</tr>
<tr>
<td><strong>Megalonychidae</strong></td>
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<tr>
<td></td>
<td>Choloepus hoffmanni (III Costa Rica)</td>
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<td><strong>Myrmecophagidae</strong></td>
<td>Myrmecophaga tridactyla (II)</td>
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<td>Tamandua mexicana (III Guatemala)</td>
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<td><strong>PRIMATES</strong></td>
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<td></td>
<td>Alouatta palliata (I)</td>
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<td>Alouatta pigra (I)</td>
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<td></td>
<td>Ateles geoffroyi frontatus (I)</td>
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<tr>
<td></td>
<td>Ateles geoffroyi panamensis (I)</td>
</tr>
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<td>Annex A</td>
<td>Annex B</td>
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</tr>
<tr>
<td>Brachyteles arachnoides (I)</td>
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<td>Brachyteles hypoxanthus (I)</td>
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<tr>
<td>Oreonax flavicauda (I)</td>
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<td><em>Callimico goeldii</em> (I)</td>
<td><em>Goeldi's marmoset</em></td>
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<td><em>Callithrix aurita</em> (I)</td>
<td><em>Buffy-tufted marmoset</em></td>
</tr>
<tr>
<td><em>Callithrix flaviceps</em> (I)</td>
<td><em>Buffy-headed marmoset</em></td>
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<td><em>Leontopithecus</em> spp. (I)</td>
<td><em>Lion tamarins</em></td>
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<tr>
<td><em>Saguinus bicolor</em> (I)</td>
<td><em>Pied tamarin</em></td>
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<tr>
<td><em>Saguinus geoffroyi</em> (I)</td>
<td><em>Geoffroy's tamarin</em></td>
</tr>
<tr>
<td><em>Saguinus leucopus</em> (I)</td>
<td><em>White-footed tamarin</em></td>
</tr>
<tr>
<td><em>Saguinus martinsi</em> (I)</td>
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<td><em>Saguinus oedipus</em> (I)</td>
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<td><em>Saimiri oerstedii</em> (I)</td>
<td>Central American squirrel monkey</td>
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<td><em>Cercopithecus diana</em> (I)</td>
<td>Tana River mangabey</td>
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<td><em>Cercopithecus roloway</em> (I)</td>
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<td><em>Cercopithecus solatus</em> (II)</td>
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<td><em>Colobus satanas</em> (II)</td>
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<td><em>Rhinopithecus</em> spp. (I)</td>
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<td><em>Semnopithecus dassumieri</em> (I)</td>
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<td>Tufted grey langur</td>
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<td>Aye-aye</td>
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<td>Loxodonta africana (I)</td>
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<tr>
<td>RODENTIA</td>
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<td><strong>Dendrocygna arborea</strong> (II)</td>
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<td><strong>Microcarbo melanotos</strong> (possibly extinct) (I)</td>
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<td><strong>Fulvous whistling-duck</strong></td>
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<td><strong>Oxyura leucocephala</strong> (II)</td>
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<td><strong>Oxyura jamaicensis</strong></td>
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<td><strong>Sarkidiornis melanotos</strong> (II)</td>
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<td><strong>Comb duck</strong></td>
<td><strong>Glaucis dohnnii</strong> (I) Hummingbirds</td>
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<td><strong>Burhinus bifrons</strong> (III Guatemala)</td>
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<td><strong>Larus relictus</strong> (I)</td>
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<td><strong>Jabiru mycteria</strong> (I)</td>
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<td><strong>Phoenicopteridae</strong></td>
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<td><strong>COLUMBIFORMES</strong></td>
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<td><strong>Gallicolumba luzonica (II)</strong></td>
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<td><strong>Penelopides spp. (II)</strong></td>
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<td>Turacos</td>
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Meleagris ocellata (III Guatemala)
Ocellated turkey

Odontophorus strophium
Gorgeted wood-quail

Ophrysia superciliosa
Himalayan quail

Pavo muticus (II)
Green peafowl

Polyplectron bicalcaratum (II)
Grey peacock-pheasant

Polyplectron germaini (II)
Germain's peacock-pheasant

Polyplectron inopinatum (III Malaysia)
Mountain peacock-pheasant

Polyplectron malacense (II)
Malayan peacock-pheasant

Polyplectron napoleonis (I)
Palawan peacock-pheasant

Polyplectron schleiermacheri (II)
Bornean peacock-pheasant

Rheinardia ocellata (I)
Crested argus

Rhizothera dulitensis (III Malaysia)
Hose's partridge

Rhizothera longirostris (III Malaysia)
Long-billed partridge

Polophlepsinus rouloul (III Malaysia)
Crested partridge

Syrmaticus ellioti (I)
Elliot's pheasant

Syrmaticus humiae (I)
Hume's pheasant

Syrmaticus mikado (I)
Mikado pheasant

Tetraogallus caspius (I)
Caspian snowcock

Tetraogallus tibetanus (I)
Tibetan snowcock

Tragopan blythii (I)
Blyth's tragopan

Tragopan caboti (I)
Cabot's tragopan

Tragopan melanocephalus (I)
Western tragopan

Tragopan satyra (III Nepal)
Satyr tragopan

Tragopan satyra (III Nepal)
Attwater's prairie-chicken

GRUIFORMES

Gruidae

Grus americana (I)
Whooping crane

Grus canadensis (I/II) (The species is listed in Appendix II but subspecies Grus canadensis nesiotes and Grus canadensis pulla are listed in Appendix I)
Sandhill crane

Grus grus (II)
Common crane

Grus japonensis (I)
Red-crowned crane

Grus leucogeranus (I)
Siberian crane

Grus monacha (I)
Hooded crane

Grus nigricollis (I)
Black-necked crane

Grus vipio (I)
White-necked crane

Gruidae spp. (II) (Except for the species included in Annex A)
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### Psittacidae

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<td>Crocodylus cataphractus (I)</td>
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(Except for the species included in Annex A; a zero annual export quota has been established for Geochelone sulcata for specimens removed from the wild and traded for primarily commercial purposes.)
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**Trionychidae**

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**Softshell turtles, terrapins**

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**AMPHIBIA**

**ANURA**

**Bufonidae**

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**Toads**

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**Malcolm’s Ethiopian toad**

**Golden frog**

**Golden toad**

**Cameroon toad**

**African viviparous toads**

**Nimba toads**

**Osgood’s Ethiopian toad**
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<td>Cetorhinus maximus (II)</td>
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<td>Pristis microdon (II) (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)</td>
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<td>Arapaimas, bonytongues</td>
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<td>Palu swallowtail butterfly</td>
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<td>Papilio benguetanus</td>
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<td>Luzon peacock swallowtail</td>
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<td>Papilio homerus (I)</td>
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<td>Teinopalpus spp. (II)</td>
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<td>Troides spp. (II)</td>
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<td>Birdwing butterflies</td>
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</table>

**ANNELIDA (SEGMENTED WORMS AND LEECHES)**

**HIRUDINOIDEA**

**ARHYNCHOBDELLIDA**

**Hirudinidae**

Hirudo medicinalis (II) | medicinal leech |

**MOLLUSCA (MOLLUSCS)**

**BIVALVIA**

**MYTILOIDA**

**Mytilidae**

Lithophaga lithophaga (II) | European date mussel |

**UNIONOIDA**

**Unionidae**

Conradilla caelata (I) | Birdwing pearly mussel |
<p>| Cyprogenia aberti (II) | Western fanshell mussel |
| Dromus dromas (I) | Dromedary pearly mussel |
| Epioblasma curtisi (I) | Curtis' pearly mussel |</p>
<table>
<thead>
<tr>
<th>Annex A</th>
<th>Annex B</th>
<th>Annex C</th>
<th>Common name</th>
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<tbody>
<tr>
<td><em>Epioblasma florentina</em> (I)</td>
<td></td>
<td></td>
<td>Yellow-blossom pearly mussel</td>
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<tr>
<td><em>Epioblasma sampsonii</em> (I)</td>
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<td>Wabash riffleshell</td>
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<tr>
<td><em>Epioblasma sulcata perobliqua</em> (I)</td>
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<td>White catspaw mussel</td>
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<tr>
<td><em>Epioblasma torulosa gubernaculum</em> (I)</td>
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<td>Green-blossom pearly mussel</td>
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<td><em>Epioblasma torulosa rangiana</em> (II)</td>
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<td>Northern riffleshell</td>
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<td><em>Epioblasma turgidula</em> (I)</td>
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<tr>
<td><em>Epioblasma walkeri</em> (I)</td>
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<td>Turgid-blossom pearly mussel</td>
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<td><em>Fusconaia cuneolus</em> (I)</td>
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<td><em>Fusconaia edgariana</em> (I)</td>
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<td>Fine-rayed pigtoe pearly mussel</td>
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<td>Higgins’ eye pearly mussel</td>
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<td>Pink mucket pearly mussel</td>
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<td><em>Lampsilis satur</em> (I)</td>
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<td>Sandback pocketbook mussel</td>
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<td><em>Lampsilis virescens</em> (I)</td>
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<td>Alabama lamp pearly mussel</td>
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<td><em>Plethobasus cicatricosus</em> (I)</td>
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<td><em>Pleurobema clava</em> (II)</td>
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<td><em>Pleurobema plenum</em> (I)</td>
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<td>Rough pigtoe pearly mussel</td>
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<td><em>Villosa trabalis</em> (I)</td>
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<td>Cumberland bean pearly mussel</td>
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**VENEROIDA**

**Tridacnidae**

**GASTROPODA**

**ARCHAEOGASTROPODA**

**Haliotidae**

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<td></td>
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CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)

| ANNTHOZOA | | | |
| ANTIPTATHARIA | | | |
| ANTIPATHARIA spp. (II) | | | Black corals |

GORGONACEAE

| CORALLIIDAE | | | |
| Corallium elatius (III China) | | | Corals, sea anemones |
| Corallium japonicum (III China) | | | |
| Corallium konjoi (III China) | | | |
| Corallium secundum (III China) | | | |

HELIOPORACEA

| HELIOPORIDAE | | | |
| Helioporidae spp. (II) (Includes only the species Heliopora coerulea) (7) | | | Blue coral |
| | | | Blue coral |

SCLERACTINIA

| SCLERACTINIA spp. (II) (7) | | | Stony corals |

STOLONIFERA

| TUBIPORIDAE | | | Organpipe corals |
| Tubiporidae spp. (II) (7) | | | Organpipe corals |

HYDROZOA

| HYDROZOA | | | Sea ferns, fire corals, stinging medusas |

MILLEPORINA

| Milleporidae | | | Wello fire corals |
| Milleporidae spp. (II) (7) | | | Wello fire corals |

STYLASTERINA

| STYLASTERIDAE | | | Lace corals |
| Stylasteridae spp. (II) (7) | | | Lace corals |

FLORA

<p>| AGAVACEAE | | | Agaves |
| Agave parviflora (I) | Agave victoriae-reginae (II) #1 | Nolina interrata (II) | Santa Cruz striped agave, Queen Victoria agave, Dehesa bear-grass |</p>
<table>
<thead>
<tr>
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<th>Annex B</th>
<th>Annex C</th>
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<td><strong>AMARYLLIDACEAE</strong></td>
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<td>Sternbergia spp. (II) #1</td>
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<td>Pachypodium spp. (II)</td>
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<td>(Except for the species included in Annex A) #1</td>
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<td>Panax ginseng (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3</td>
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<td><strong>EUPHORBIACEAE</strong></td>
<td>Euphorbia spp. (II) (Except for the species included in Annex A; succulent species only; artificially propagated specimens of cultivars of Euphorbia trigona, artificially propagated, crested, fan-shaped or colour mutants of Euphorbia lactea, when grafted on artificially propagated root stock of Euphorbia neriifolia and artificially propagated specimens of cultivars of Euphorbia “Mili” when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated specimens, are not subject to the provisions of this Regulation) #1</td>
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<tr>
<td>Aloe suzannae (I)</td>
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<td>Aloe versicolor (I)</td>
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<td>Aloe descoingii (I)</td>
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<td>Aloe haworthiodes (I)</td>
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<td>Aloe helenae (I)</td>
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<td>Aloe laeta (I)</td>
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<td>Aloe rauhii (I)</td>
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<td>Aloe suzannae (I)</td>
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<tr>
<td>Aloe versicolor (I)</td>
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<td>Aloe vossii (I)</td>
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</tr>
<tr>
<td>Magnolias</td>
<td>Safan</td>
<td>Spanish cedar</td>
<td>Tropical pitcher plants</td>
</tr>
<tr>
<td>MELIACEAE</td>
<td>Mahoganies</td>
<td></td>
<td>Caribbean mahogany</td>
</tr>
</tbody>
</table>
### Annex A

For all of the following Annex A species, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation.

<table>
<thead>
<tr>
<th>Common name</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Aerangis ellisii</em> (I)</td>
</tr>
<tr>
<td><em>Cephalanthera cucullata</em> (II)</td>
</tr>
<tr>
<td><em>Cypridipedium calceolus</em> (II)</td>
</tr>
<tr>
<td><em>Dendrobium cruentum</em> (I)</td>
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<tr>
<td><em>Goodyera macrophylla</em> (II)</td>
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<tr>
<td><em>Laelia jongheana</em> (I)</td>
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<tr>
<td><em>Laelia lobata</em> (I)</td>
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<tr>
<td><em>Liparis loeselii</em> (II)</td>
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<tr>
<td><em>Ophrys argolica</em> (II)</td>
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<tr>
<td><em>Ophrys lunulata</em> (II)</td>
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<tr>
<td><em>Orchis scopulorum</em> (II)</td>
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<tr>
<td><em>Paphiopedilum</em> spp. (I)</td>
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<tr>
<td><em>Peristeria elata</em> (I)</td>
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<tr>
<td><em>Phragmipedium</em> spp. (I)</td>
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<tr>
<td><em>Renanthera imschootiana</em> (I)</td>
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<tr>
<td><em>Spiranthes aestivalis</em> (II)</td>
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### Orobanchaceae

<table>
<thead>
<tr>
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<tbody>
<tr>
<td><em>Cistanche desertica</em> (II) #1</td>
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### Palmae (Arecales)

<table>
<thead>
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<tbody>
<tr>
<td><em>Beccariophoenix madagascariensis</em> (II) #1</td>
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<tr>
<td><em>Chrysaldocarpus decipiens</em> (I)</td>
</tr>
<tr>
<td><em>Lemurophoenix halleuxii</em> (II)</td>
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<tr>
<td><em>Marojejy darianii</em> (II)</td>
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<tr>
<td><em>Neodipsis decaryi</em> (II) #1</td>
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<tr>
<td><em>Ravena louvelii</em> (II)</td>
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<tr>
<td><em>Ravena rivularis</em> (II)</td>
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<tr>
<td><em>Satranala decussilvae</em> (II)</td>
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<tr>
<td><em>Voanioala gerardii</em> (II)</td>
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### Papaveraceae

<table>
<thead>
<tr>
<th>Common name</th>
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<tbody>
<tr>
<td><em>Meconopsis regia</em> (III Nepal) #1</td>
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### Pinaceae

<table>
<thead>
<tr>
<th>Common name</th>
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<tbody>
<tr>
<td><em>Abies guatemalensis</em> (I)</td>
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</table>


**Orobanchaceae**

- Desert cistanche

**Palmae** (Arecales)

- Butterfly palm
- Holy ghost orchid
- Asian slipper orchids
- South American slipper orchids
- Red vanda
- Summer lady’s-tresses

**Papaveraceae**

- Himalayan poppy

**Pinaceae**

- Guatemalan fir
<table>
<thead>
<tr>
<th>Order</th>
<th>Common name</th>
<th>Species</th>
<th>Notes</th>
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<tbody>
<tr>
<td>Podocarpaceae</td>
<td>Podocarps</td>
<td>Podocarpus neriifolius (III Nepal) #1</td>
<td>Yellow wood</td>
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<td></td>
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<td>Podocarpus parlatorei (I)</td>
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<tr>
<td>Portulacaceae</td>
<td>Portulacas, purslanes</td>
<td>Anacampseros spp. (II) #1</td>
<td>Purslanes</td>
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<td></td>
<td></td>
<td>Avonia spp. #1</td>
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<td>Lewisia serrata (II) #1</td>
<td>Saw-toothed lewisia</td>
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<td>Primulaceae</td>
<td>Primulas, cyclamens</td>
<td>Cyclamen spp. (II) (I) #1</td>
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<td>Proteas</td>
<td>Orothamnus zeyheri (II) #1</td>
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<td>Protea odorata (II) #1</td>
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<td>Buttercups</td>
<td>Adonis vernalis (II) #2</td>
<td>Yellow adonis</td>
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<td>Hydrastis canadensis (II) #8</td>
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<td>Rosaceae</td>
<td>Roses, cherries</td>
<td>Prunus africana (II) #1</td>
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<td>Ayugue</td>
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<td>Rubiaceae</td>
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<td>Ayugue</td>
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<td>Sarraceniaceae</td>
<td>Pitcher plants (new world)</td>
<td>Sarracenia spp. (II) (Except for the species included in Annex A) #1</td>
<td>Pitcher plants</td>
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<td>Sarracenia oreophila (I)</td>
<td>Green pitcher plant</td>
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<td></td>
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<td>Sarracenia rubra ssp. alabamensis (I)</td>
<td>Alabama canebrake pitcher plant</td>
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<td>Mountain sweet pitcher plant</td>
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<td>Sarracenia rubra ssp. jonesii (I)</td>
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<td>Scrophulariaceae</td>
<td>Figworts</td>
<td>Picrorhiza kurrooa (II) (excludes Picrorhiza scrophulariiflora) #2</td>
<td>Indian gentian</td>
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<td>Stangeriaceae</td>
<td>Stangerias (cycads)</td>
<td>Bowenia spp. (II) #1</td>
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<td>Taxus cuspidata (II) (I) #2</td>
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<td>Taxus faana (II) #2</td>
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<td>Taxus sumatrana (II) #2</td>
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<td>Taxus wallichiana (II) #2</td>
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<td>Common name</td>
<td>Annex A</td>
<td>Annex B</td>
<td>Annex C</td>
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<td>Agarwood, ramin</td>
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<td>Agarwood</td>
<td>Gonystylus spp. (II) #1</td>
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<td>Ramin</td>
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<td>Ramin</td>
<td>Gyrinops spp. (II) #1</td>
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<td>Tetradcentrons</td>
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<td>Tetradcentron sinense (III Nepal) #1</td>
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<td>Valerians</td>
<td>Nardostachys grandiflora #2</td>
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<td>Valerians</td>
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<td>Welwitschia mirabilis (II) #1</td>
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<td>Ceratozamia spp. (I)</td>
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<td>Bread palms</td>
<td>Chigua spp. (I)</td>
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<td>Bread palms</td>
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<td>Palm corcho</td>
<td>Encephalartos spp. (I)</td>
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<td>Ginger lilies</td>
<td>Microcycas calocoma (I)</td>
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<td>Ginger lilies</td>
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<td>Lignum-vitae</td>
<td>Hedychium philippinense (II) #1</td>
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<td>Lignum-vitae</td>
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<tr>
<td>Guaiacwood</td>
<td>Guaiacum spp. (II) #2</td>
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<td>Guaiacwood</td>
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</table>

(1) Population of Argentina (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUNA-ARGENTINA”. Other products must bear a label including the logotype and the designation “VICUNA-ARGENTINA-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(2) Population of Bolivia (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUNA-BOLIVIA”. Other products must bear a label including the logotype and the designation “VICUNA-BOLIVIA-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(3) Population of Chile (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUNA-CHILE”. Other products must bear a label including the logotype and the designation “VICUNA-CHILE-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(4) Population of Peru (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words “VICUNA-PERU”. Other products must bear a label including the logotype and the designation “VICUNA-PERU-ARTESANÍA”. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
Artificially propagated specimens of cultivars of Taxus cuspidata, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text “artificially propagated”, are not subject to the provisions of this Regulation.

For the exclusive purpose of allowing: a) trade in hunting trophies for non-commercial purposes; b) trade in live animals to appropriate and acceptable destinations as defined in Res. Conf. 11.20 for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa; c) trade in hides; d) trade in hair; e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: i) only registered government-ownned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; iv) Raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP15 which are 20 000 kg (Botswana), 10 000 kg (Namibia), 30 000 kg (South Africa); v) In addition to the quantities agreed at CoP15, government-owned ivory from Botswana, Zimbabwe, Namibia and South Africa registered by the 31st of January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in g) iv) in a single sale per destination under strict supervision of the Secretariat; vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and vii) The additional quantities specified in g) vi) shall be traded only after the Standing Committee has agreed that the above conditions have been met; h) No further proposals to allow trade in elephant ivory from populations already on Annex B shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraph g) i), g) ii), g) iii), g) vi) and g) vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78. On a proposal from the Secretariat, the Standing Committee can decide to cease this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

- **Hoya**
- **Schlumbergera**
- **Hatiora**

specifically for Namibia and South Africa:
- **Cymbidium**, **Dendrobium**, **Phalaenopsis** and **Vanda**:
  a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
  b) i) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
  ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

- **Euphorbia**
- **Cymbidium**
- **Dendrobium**
- **Phalaenopsis**
- **Vanda**

specifically for Namibia and South Africa:
- **Cyclamen**
- **Euphorbia**
- **Cymbidium**, **Dendrobium**, **Phalaenopsis** and **Vanda**:
  a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
  b) i) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
  ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

- **Hatiora**
- **Opuntia microdasys**
- **Euphorbia**
- **Cymbidium**, **Dendrobium**, **Phalaenopsis** and **Vanda**:
# FAUNA

**CHORDATA (CHORDATES)**

## MAMMALIA

### CARNIVORA

#### Canidae
- Vulpes vulpes griffithi (III India) §1
- Vulpes vulpes montana (III India) §1
- Vulpes vulpes pusilla (III India) §1

#### Mustelidae
- Mustela altaica (III India) §1
- Mustela erminea ferganae (III India) §1
- Mustela kathiah (III India) §1
- Mustela sibirica (III India) §1

## DIPROTODONTIA

### Macropodidae
- Dendrolagus dorianus
- Dendrolagus goodfellowi
- Dendrolagus matschiei
- Dendrolagus pulcherrimus
- Dendrolagus stellarum

## AVES

### ANSERIFORMES

#### Anatidae
- Anas melleri

### COLUMBIFORMES

#### Columbidae
- Columba oenops
- Didunculus strigirostris
- Ducula pickeringii
- Gallicolumba crinigera
- Ptilinopus marchei
- Turacoena modesta

### GALLIFORMES

#### Cracidae
- Crax alector
- Pauxi unicornis
- Penelope pileata

#### Megapodiidae
- Eulipoa wallacei
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<tr>
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<th>Common name</th>
</tr>
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<tbody>
<tr>
<td>Arborophila gingica</td>
<td>White-necklaced partridge</td>
</tr>
<tr>
<td>Lophura buleri</td>
<td>Bulwer's pheasant</td>
</tr>
<tr>
<td>Lophura diardi</td>
<td>Siamese fireback</td>
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<tr>
<td>Lophura inornata</td>
<td>Salvadori's pheasant</td>
</tr>
<tr>
<td>Lophura leucomelanos</td>
<td>Kalij pheasant</td>
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<tr>
<td>Syrmaticus reevesi §2</td>
<td>Reeves's pheasant</td>
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**Passeriformes**

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<thead>
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<th>Bombycillidae</th>
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<td>Bombycilla japonica</td>
<td>Japanese waxwing</td>
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<td>Cyanocorax dickeyi</td>
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<table>
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<td>Sporophila hypochroma</td>
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<td>Sporophila palustris</td>
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<td>Amandava amandava</td>
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<td>Cryptospiza reichenovii</td>
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<td>Erythrura coloria</td>
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<td>Erythrura viridifacies</td>
<td>Green-faced parrotfinch</td>
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<td>Estrilda quartinia (Frequently traded as Estrilda melanotis)</td>
<td>Yellow-bellied waxbill</td>
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<td>Hypargos niwoguttatus</td>
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<td>Lonchura griseicapilla</td>
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<td>Lonchura punctulata</td>
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<td>Kozlowia roborowskii</td>
<td>Tibetan rosefinch</td>
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<td>Pyrrhula erythaca</td>
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<td>Serinus canicollis</td>
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<td>Serinus citrinelloides hypostictus (Frequently traded as Serinus citrinelloides)</td>
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<td><strong>SERPENTES</strong></td>
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<td><strong>Colubridae</strong></td>
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<td>Elaphe carinata §1</td>
<td>Taiwan stink snake</td>
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<td>Elaphe taeniura §1</td>
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<td>Enhydris bocourt §1</td>
<td>Bocourt's water snake</td>
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<td>Homalopsis buccata §1</td>
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<td>Langaha nasuta</td>
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<td>Leioheterodon madagascariensis</td>
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<td>Ptyas korros §1</td>
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<td>Rhabdophis subminiatus §1</td>
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<td>Limnonectes macrodon</td>
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<td>Ranodon sibiricus</td>
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<td>Bolitoglossa dofleini</td>
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<td>Cynops ensicauda</td>
<td>Newts and salamanders</td>
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<td>Echinotriton andersoni</td>
<td>Sword-tailed newt</td>
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<td>Pachytriton labiatus</td>
<td>Anderson's salamander</td>
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<td>Warty newt</td>
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<td>Tylototriton spp.</td>
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<td>Pterapogon kauderni</td>
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### ARTHROPODA (ARTHROPODS)

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<td>Baronia brevicornis</td>
<td>Short-horned baronia</td>
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<tr>
<td>Papilio grosssmithi</td>
<td>Birdwing and swallow-tail butterflies</td>
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<td>Papilio maraho</td>
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### FLORA

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<tr>
<td><em>Calibanus hookeri</em></td>
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<td><em>Dasylirion longissimum</em></td>
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<td><em>Arisaema erubescens</em></td>
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<td><em>Arisaema galeatum</em></td>
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<td><em>Arisaema nepenthoides</em></td>
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<td><em>Arisaema sikokianum</em></td>
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<td><em>Arisaema thunbergii var. urashima</em></td>
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<td><em>Arisaema tortuosum</em></td>
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<tr>
<td><em>Biarum davisi ssp. marmarisense</em></td>
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<td><em>Biarum ditschianum</em></td>
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<tr>
<td><em>Arnica montana</em> §3</td>
<td>Asters, daisies, costus</td>
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<tr>
<td><em>Othonna cacalioides</em></td>
<td>Mountain tobacco</td>
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<tr>
<td><em>Othonna clavifolia</em></td>
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<td><em>Othonna hallii</em></td>
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<tr>
<td><em>Othonna herrei</em></td>
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<td><em>Othonna lepidocaulis</em></td>
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<td><em>Othonna retrorsa</em></td>
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<tr>
<td><em>Dalbergia retusa</em> (Except for the populations which are included in Annex C) §4</td>
<td>Black rosewood</td>
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<tr>
<td><em>Dalbergia stevensonii</em> (Except for the populations which are included in Annex C) §4</td>
<td>Honduras rosewood</td>
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<td>Annex D</td>
<td>Common name</td>
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<td><strong>LYCOPODIACEAE</strong></td>
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<td>Lycopodium clavatum §3</td>
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<td><strong>MELIACEAE</strong></td>
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<td>Cedrela lilloi (C. angustifolia) §4</td>
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<td>Cedrela montana §4</td>
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<td>Ceraria fruticulosa</td>
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<td>Sessile-flowered wakerobin wood-lily</td>
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<td><strong>Sesame, devil's claw</strong></td>
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<td>Selaginella lepidophylla</td>
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